Cour Pénale Internationale



International Criminal Court

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TRIAL CHAMBER IX

Before: Judge Bertram Schmitt, Presiding Judge

Judge Péter Kovács

Judge Raul C. Pangalangan

SITUATION IN UGANDA

IN THE CASE OF THE PROSECUTOR v. DOMINIC ONGWEN

Public Redacted

Trial Judgment

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Trial Chamber IX ('Chamber') of the International Criminal Court ('Court') hereby renders its judgment pursuant to Article 74 of the Rome Statute in the case of *The Prosecutor v. Dominic Ongwen*.¹

I. OVERVIEW

A. Historical background

- 1. The charges in this case concern events which took place in Northern Uganda between 1 July 2002 and 31 December 2005. Correspondingly, the evidence taken during the trial and the factual findings in this judgment focus on that period. However, the Lord's Resistance Army (LRA) has been active since the 1980s, and the related conflict in Northern Uganda has spanned four decades. The Chamber therefore deems it necessary to include in this judgment a brief background to the case, with a view to placing the case in a historical context and establishing the point of departure for the factual findings which are made as part of the adjudication of the case. In this regard, the Chamber notes the evidence provided by Professor Tim Allen, professor of international development at the London School of Economics, who prepared a report submitted into evidence² and testified before the Chamber as an expert witness. The basic lines of this historical background were not disputed between the parties in the course of the trial. Thus, the following overview is derived from Professor Allen's report as well as his testimony.
- 2. In 1986, following a guerrilla campaign directed against the government of Milton Obote and its Uganda National Liberation Army (UNLA), the National Resistance Army (NRA) under Yoweri Museveni seized power in Uganda and established the National Resistance Movement (NRM) government, taking over from the brief presidency of Tito Okello who had seized power from Obote in 1985. Regional identity played a role, as

.

¹ In the present judgment, and to the extent that this is required by its duty to provide a full and reasoned statement of its findings and conclusions under Article 74(5) of the Statute, the Chamber refers to some information, including identifying information of a number of protected witnesses, the confidentiality of which is still considered proportionate and justified. This demands that the present judgment, in the version that is made available to the parties and participants, be classified as 'confidential'. In its public version, which is filed simultaneously, the confidential information is redacted.

² P-0422's report, UGA-OTP-0270-0004.

³ P-0422: <u>T-28</u>; <u>T-29</u>.

⁴ See P-0422's report, UGA-OTP-0270-0004, at 0008-14, 0020-28; P-0422: <u>T-28</u>, p. 16, line 19 – p. 17, line 4, p. 21, line 24 – p. 22, line 15, p. 22, lines 17-20, p. 23, line 24 – p. 24, line 24, p. 25, lines 18-22, p. 29, lines 1-17, p. 30, lines 13-15, p. 53, lines 7-22, p. 54, line 21 – p. 55, line 9, p. 55, line 22 – p. 56, line 4, p. 58, lines 7-10, p. 59, lines 12-19, p. 65, line 1 – p. 66, line 5, p. 66, lines 10-21, p. 67, lines 19-22; <u>T-29</u>, p. 95, line 14 – p. 9, line

Yoweri Museveni waged his guerilla campaign with support from his own region in the southwest, and also from the central south of the country, where there was widespread aversion to what was perceived as northern domination. Following Yoweri Museveni's assertion of control over the Acholi areas of Uganda, resistance sprung up, and initially the most important group resisting the NRA was the Uganda People's Democratic Army (UPDA), largely made up of former UNLA soldiers.

- 3. In the upheavals that followed the victory of Yoweri Museveni in 1986, the cult of Alice Auma appeared and rapidly grew in importance. Alice Auma was one of numerous healers mixing Christian and local ideas, called *ajwaki* or *nebi* among the Acholi. Alice Auma was reported to be possessed by various spirits, including one usually known as *lakwena* the messenger. She performed healing rituals for returning UNLA soldiers, and offered an interpretation of the UNLA defeat by the NRA that seemed compelling to many, claiming that war was a form of healing through which people could be purified.
- 4. Soon Alice Auma began recruiting soldiers and started a campaign against President Museveni's government, as well as against alleged witches, other *nebi* and *ajwaki*, and 'bad people', such as impure soldiers or individuals who did not obey certain rules. Her movement came to be known as the Holy Spirit Movement or the Holy Spirit Mobile Forces. At the end of 1986, Alice Auma claimed to have 18,000 'soldiers'. In October 1987, she marched her followers south, overwhelming opposition along the way, before finally being defeated before reaching Kampala. Alice Auma fled and subsequently lived in a refugee camp in Kenya until her death in 2007.
- 5. A number of groups continuing to oppose the Ugandan government appeared in the Acholi homelands, associated with individuals who were inspired by the example of Alice Auma. One such group was led by a young man called Joseph Kony. Born in the early 1960s, Joseph Kony dropped out of school after six years of primary education, and trained as an *ajwaka*. In late 1986 or early 1987, it is reported that he tried to form an alliance with Alice Auma, but she rejected him.
- 6. Joseph Kony's campaign was initially mostly limited to the vicinity of his home area around Odek and the environs of Opit, but this changed in 1988 when President Museveni's government signed a peace agreement with the UPDA, and many of those unwilling to surrender turned to Joseph Kony. This included one of UPDA's most

effective commanders, Odong Latek. From this point onwards, Joseph Kony reportedly specialised in healing and divining, while Odong Latek organised the armed forces. Odong Latek's influence on the movement was considerable, and Joseph Kony seems to have learnt considerably about guerilla tactics from him. Odong Latek was killed in battle, but by 1990 Joseph Kony's force was the only significant armed unit still fighting in the Acholi homelands. Soon after Odong Latek's death, Joseph Kony changed the name of the movement to 'Lord's Resistance Army' (LRA).

- 7. Joseph Kony's forces maintained a guerilla campaign against the government and, increasingly, against those who collaborated with it. They mostly avoided pitched battles with government forces, but used terror tactics to maximum effect. The LRA also became associated with forced recruitment or abductions. The objectives of the LRA, and the activities put in place to realise them, will be discussed by the Chamber in the evidentiary assessment below as they are directly relevant to the charges.
- 8. In 1991, the Ugandan government mounted an intensive four-month military operation against the insurgency, called Operation North, but its main effect seems to have been to antagonise and alienate non-combatants. Thereafter, Betty Bigombe, Minister of State for Pacification of Northern Uganda, attempted to walk a middle ground, trying to keep the door open for negotiations, but also introducing some vigorous anti-insurgency measures, such as arming community defence groups called 'arrow brigades'. The LRA responded with violence against people thought to be government collaborators.
- 9. Nevertheless, in 1994 Betty Bigombe managed to engage the LRA in peace talks and arranged an uneasy ceasefire. However, following President Museveni's ultimatum to the LRA in February 1994, the killing resumed. President Museveni claimed that he had received military intelligence showing that the LRA was only involved in peace negotiations in order to build up their military capacity, but it has also been noted that, although expensive, the war in the north had certain political advantages for the Ugandan government. In the mid-1990s, Yoweri Museveni's NRA became the Ugandan People's Defence Force (UPDF).
- 10. An anti-insurgency strategy adopted by the Ugandan government was to remove the population from rural areas where it might assist the rebels, either out of choice or due to fear of what would happen to them if they did not. In some instances, such removals are

claimed to have been violently enforced. This initially resulted in a concentration of the population near towns and trading centers, but from the mid-1990s a more systematic policy was adopted of moving people into internally displaced person (IDP) camps. The camps were supposed to be protected by small groups of UPDF soldiers and 'local defence units' under UPDF command. Cultivation was very difficult and movement outside the camps strictly limited. Food and other commodities were provided by aid agencies, such as the World Food Programme. By the end of the 1990s, about half a million people were living in the camps. At the peak, around 2004, there were hundreds of IDP camps, and while there were still some people living around the towns, almost the entire population of the region was in IDP camps, amounting to 1.5 million people.

- 11. The LRA hostility towards people living in IDP camps is at the core of the present case; a significant number of charges brought against the accused relate to attacks carried out against some of these camps. Relevant aspects concerning the establishment of, and the living conditions in such IDP camps will thus be addressed below, as appropriate, as part of the Chamber's assessment of the evidence related to the charges.
- 12. Although there was little overt enthusiasm for the LRA among the Acholi population in Uganda, the LRA never depended on such support. On the contrary, from the failed peace negotiations in the mid-1990s onwards, assistance was offered from Sudan. The LRA had base camps in South Sudan, received weapons and military training from the Sudanese government and with this support became a more substantial military force. It fought on the Sudanese government's behalf against the Sudan Peoples' Liberation Army, while also launching attacks into Uganda against the Ugandan army and civilians in a continuation of its own conflict with the Ugandan government. One of the worst single incidents in Uganda occurred in May 1995, when the LRA burned scores of homes and killed some 300 people in Atiak.
- 13. During the late 1990s, international pressure on the Sudanese government increased, and further intensified following the attacks on the United States of America on 11 September 2001. As a consequence, the Sudanese government was persuaded to give permission for the so called 'Iron Fist' incursions from Uganda, which officially started in 2002. The Iron Fist campaign involved an estimated 10,000 Ugandan troops, logistical support from the United States of America, and the use of helicopter gunships. LRA bases in Sudan were destroyed and hundreds of people killed. Joseph Kony and almost all of his senior

commanders evaded capture, and as fast as abducted people were captured, killed, freed or escaped, others were taken. The LRA also broke up into smaller units which were able to outflank the Ugandan forces.

Shortly before the entry into force of the Rome Statute on 1 July 2002, which corresponds to the beginning of the period of the charges, and as a result of Iron Fist, a number of LRA units crossed from Sudan back into Uganda. A number of events which unfolded therefrom led to the referral of the situation to the Court by Uganda on 16 December 2003⁵ and ultimately to the present case.

B. Procedural history

- On 8 July 2005, Pre-Trial Chamber II issued warrants of arrest under Article 58 of the Rome Statute (the 'Statute') against Joseph Kony, Vincent Otti, Raska Lukwiya, Okot Odhiambo and Dominic Ongwen.⁶
- The present case, which was severed from the Kony et al. case on 6 February 2015,⁷ concerns exclusively Dominic Ongwen. He was surrendered to the Court by the Central African Republic on 16 January 2015,8 and made his initial appearance before Pre-Trial Chamber II on 26 January 2015.9
- On 23 March 2016, Pre-Trial Chamber II issued its decision confirming the charges against Dominic Ongwen and committing him for trial on the charges as confirmed. 10

⁵ See Confidential Annex A to Prosecutor's Amended Application for Warrants of Arrest Under Article 58, 18 May 2005, ICC-02/04-01/15-3-Conf-Red3.

⁶ As concerns Dominic Ongwen, see Warrant of Arrest for Dominic Ongwen, 8 July 2005, ICC-02/04-01/15-6. Since then, a waiver of the requirement of the rule of speciality was received to proceed against Dominic Ongwen with respect to further conduct. Registry's submission on the "Order to the Registrar to transmit a request for cooperation under article 101 of the Rome Statute to the Central African Republic" (ICC-02/04-01/15-319-Conf), 27 November 2015, ICC-02/04-01/15-359. Pre-Trial Chamber II also terminated proceedings with respect to Okot Odhiambo and Raska Lukwiya due to their deaths. Pre-Trial Chamber II, The Prosecutor v. Joseph Kony et al., Decision terminating proceedings against Okot Odhiambo, 10 September 2015, ICC-02/04-01/05-431; Pre-Trial Chamber II, The Prosecutor v. Joseph Kony et al., Decision to terminate the proceedings against Raska Lukwiya, 11 July 2007, ICC-02/04-01/05-248.

⁷ Pre-Trial Chamber II, The Prosecutor v. Joseph Kony et al., <u>Decision Severing the Case Against Dominic</u> Ongwen, 6 February 2015, ICC-02/04-01/05-424 (with one annex).

⁸ Report of the Registry on the voluntary surrender of Dominic Ongwen and his transfer to the Court, 22 January 2015, ICC-02/04-01/15-189 (with ten annexes) (reclassified on 7 July 2015) (hereinafter: 'Report of the Registry on the voluntary surrender of Dominic Ongwen and his transfer to the Court').

⁹ Transcript of hearing, ICC-02/04-01/15-T-4-ENG.

¹⁰ Decision on the confirmation of charges against Dominic Ongwen, 23 March 2016, ICC-02/04/01/15-422-Conf (public redacted version available: ICC-02/04-01/15-422-Red; hereinafter: 'Confirmation Decision').

- 18. Thereafter, on 2 May 2016, the case against Dominic Ongwen was referred to this Chamber.¹¹
- 19. The present trial commenced on 6 and 7 December 2016, with the opening statements of the Office of the Prosecutor (the 'Prosecution'), the Legal Representatives of Victims and Common Legal Representatives of Victims. The Prosecution called its first witness on 16 January 2017 and completed its evidence presentation on 13 April 2018. The Prosecution brought forward 116 witnesses in total (69 appeared before the Chamber 14 either at the seat of the Court or via video-link and a further 47 had their testimony introduced in writing).
- 20. From 1 May to 23 May 2018, and after receiving Chamber permission to do so, ¹⁵ the two teams of legal representatives of the participating victims called a total of seven witnesses.
- 21. Between 5 and 7 June 2018, the Chamber conducted a judicial site visit to the Republic of Uganda, visiting the four crime scenes relevant to the charges in the present case, namely Pajule, Odek, Abok and Lukodi in Northern Uganda, in the presence of representatives of the parties and participants but without the presence of the accused. A report of this visit is included in the record of the case. 17
- 22. On 18 September 2018, the Defence gave its opening statements. The Defence called its first witness on 1 October 2018 and completed its presentation of evidence on 6 December 2019. The Defence brought forward 63 witnesses in total (54 appeared

¹³ T-28; Notice of the Prosecution's completion of evidence presentation, 13 April 2018, ICC-02/04-01/15-1225.

¹¹ Presidency, <u>Decision constituting Trial Chambers VIII and IX and referring to them the cases of *The Prosecutor v. Ahmad Al Faqi Al Mahdi* and *The Prosecutor v. Dominic Ongwen*, 2 May 2016, ICC-02/04-01/15-430.</u>

^{12 &}lt;u>T-26; T-27</u>.

¹⁴ One witness – P-0447 – appeared a second time as a rebuttal witness.

¹⁵ Decision on the Legal Representatives for Victims Requests to Present Evidence and Views and Concerns and related requests, 6 March 2018, ICC-02/04-01/15-1199-Conf (public redacted version available: ICC-02/04-01/15-1199-Red).

¹⁶ Annex to the Registration into the Record of the Case of the Site Visit Report pursuant to Trial Chamber Decision ICC-02/04-01/15-1211 of 27 March 2018, 27 June 2018, ICC-02/04-01/15-1292-Anx; Decision on Judicial Site Visit to the Republic of Uganda, 13 October 2017, ICC-02/04-01/15-1020 (reclassified on 12 June 2018)

¹⁷ Annex to the Registration into the Record of the Case of the Site Visit Report pursuant to Trial Chamber Decision ICC-02/04-01/15-1211 of 27 March 2018, 27 June 2018, ICC-02/04-01/15-1292-Anx.

¹⁸ <u>T-179</u>.

 $^{^{19} \}overline{\text{T-}180}$.

²⁰ Defence Announcement of the Closure of its Presentation of Evidence, 6 December 2019, ICC-02/04-01/15-1694.

before the Chamber²¹ – either at the seat of the Court or via video-link – and a further nine had their testimony introduced in writing).

- 23. On 12 December 2019, the Presiding Judge declared the submission of evidence closed.²²
- 24. The parties and participants filed their closing briefs on 24 February 2020²³ and presented their closing statements on 10-12 March 2020.²⁴
- 25. In the course of the trial, 5149 items were recognised as formally submitted into evidence by the Chamber. 4095 victims currently participate in the proceedings through two teams of legal representatives.²⁵ In the course of the proceedings, and excluding the present decision, the Chamber rendered 190 written decisions by way of a formal filing and 70 oral decisions. It also rendered 403 decisions by email, which have then been published in the record of the case as part of periodic reports filed by the Registry'.²⁶

²¹ One witness – D-0042 – appeared a second time as a rejoinder witness.

²² Declaration on the Closure of the Submission of Evidence, 12 December 2019, ICC-02/04-01/15-1699.

²³ Prosecution Closing Brief, 24 February 2020, ICC-02/04-01/15-1719-Conf (public redacted version available: ICC-02/04-01/15-1719-Red; hereinafter: 'Prosecution Closing Brief'); Common Legal Representative of Victims' Closing Brief, 28 February 2020, ICC-02/04-01/15-1720-Conf (public redacted version available: ICC-02/04-01/15-1720-Red); Corrected version of the "Victims' Closing Brief" filed on 24 February 2020, ICC-02/04-01/15-1721-Conf, 31 March 2019, ICC-02/04-01/15-1721-Conf-Corr (public redacted version available: ICC-02/04-01/15-1721-Corr-Red); Corrected Version of "Defence Closing Brief", filed on 24 February 2020, 13 March 2020, ICC-02/04-01/15-1722-Conf-Corr (public redacted version available: ICC-02/04-01/15-1722-Corr-Red; hereinafter: 'Defence Closing Brief').

²⁴ T-256, T-257 and T-258.

²⁵ See Updated Consolidated List of Participating Victims, 29 June 2020, ICC-02/04-01/15-1746, para. 4.

²⁶ For an overview of the decisions issued by the Chamber via email, see the reports filed by the Registry and their corresponding annexes: Registry's Report filing in the Record of the Case Decisions issued by way of Email in April 2017, 13 June 2017, ICC-02/04-01/15-875; Registry's Report filing in the record of the case decisions issued by way of email in May 2017, 15 June 2017, ICC-02/04-01/15-876; Registry's Report filing in the Record of the Case Decisions issued by way of email in June 2017, 13 July 2017, ICC-02/04-01/15-914; Registry's monthly Report filing in the Record of the Case Decisions issued by way of email in September 2017, 17 October 2017, ICC-02/04-01/15-1022; Registry's Report filing in the Record of the Case Decisions issued by way of email from October 2017 to March 2018, 23 July 2018, ICC-02/04-01/15-1312; Registry's Report filing in the Record of the Case Decisions issued by way of email from January 2018 to January 2019, 15 March 2019, ICC-02/04-01/15-1483; Registry's Report Filing in the Record of the Case Decisions issued by way of email from January 2019 to June 2019, 31 October 2019, ICC-02/04-01/15-1651; Registry's Report Filing in the Record of the Case Decisions issued by way of email from June 2019 to January 2020, 4 February 2020, ICC-02/04-01/15-1714; Registry's Report Filing in the Record of the Case Decisions issued by way of email from June 2019 to January 2020, 20, ICC-02/04-01/15-1714; Registry's Report Filing in the Record of the Case Decisions issued by way of email from June 2020, 20, 20, 20, 20, ICC-02/04-01/15-1749; Registry's Report Filing in the Record of the Case Decisions issued by way of email from July 2020 to December 2020, 26 January 2021, ICC-02/04-01/15-1761.

C. The accused

- 26. Dominic Ongwen was born in Uganda and hails from Coorom in Northern Uganda.²⁷ He is known by other names or radio call signs, including Odomi, Wai Wai, Lima Charlie, Wanyama and Tem Wek Ibong.²⁸
- 27. Dominic Ongwen was abducted by the LRA as a child. His exact age at the time and the time when the abduction took place are not as such relevant to the charges, but because the parties, in particular the Defence, invoked Dominic Ongwen's age at the time of his abduction in various contexts, the Chamber deems it warranted to examine the underlying evidence.
- 28. As to the positions of the parties, the Chamber notes that in its closing submissions, the Defence stated that Dominic Ongwen was eight or nine years old at the time of his abduction.²⁹ On the year of the abduction, the Defence position appears to be that it took place in 1987 or 1988.³⁰ The Prosecution did not make any submission on the matter at the closing of the trial. It is also noted that Dominic Ongwen himself stated at the initial appearance that he was born in 1975 and abducted in 1988.³¹
- 29. Johnson Odong, who is Dominic Ongwen's uncle,³² testified that Dominic Ongwen was born at the family home in Coorom in May 1978, and was abducted in 1987, when he was in P-3.³³ In addition, Joe Kakanyero, who grew up in Coorom, knew Dominic Ongwen as a child and was abducted with him,³⁴ testified that the abduction happened in 1987 when he was 17 and Dominic Ongwen not more than 11 years old.³⁵ Further, P'Atwoga Okello was a teacher at Dominic Ongwen's school,³⁶ and testified that Dominic Ongwen was abducted when he was 'about 10, maybe 11 years old' and was in

²⁷ Annex A to the Joint Prosecution and Defence submission on agreed facts, 1 July 2016, ICC-02/04-01/15-487-Conf-AnxA (public redacted version available: ICC-02/04-01/15-487-AnxA-Red; hereinafter: 'Agreed Facts'), p. 5 (B1), considered as proven by virtue of Decision on Joint Agreed Facts Submission, 19 July 2016, ICC-02/04-01/15-500: D-0007: T-193 p. 5 line 23 – p. 6 line 6 p. 21 lines 2-12

^{01/15-500;} D-0007: T-193, p. 5, line 23 – p. 6, line 6, p. 21, lines 2-12.

28 Agreed Facts, p. 5 (B3); P-0138: T-120, p. 18, line 19 – p. 19, line 5; P-0016: T-32, p. 23, lines 10-16 and T-33, p. 45, lines 1-4; D-0032: T-200, p. 21, line 20 – p. 22, line 3; P-0440: T-40, p. 4, lines 15-16, p. 11, lines 4-9.

29 Defence Closing Brief, paras 487 ('around 8-9 years'), 569, 671 ('age 8 or 9'), 697 ('age of 9').

³⁰ Defence Closing Brief, paras 547 ('in 1987'), 618 ('in 1987 or 1988').

³¹ T-4, p. 4, lines 4-13.

³² D-0008 Statement, UGA-D26-0010-0307, at para. 2.

³³ D-0008 Statement, UGA-D26-0010-0307, at paras 1, 4.

³⁴ D-0007: <u>T-193</u>, p. 4, line 21 – p. 9, lines 6-23.

³⁵ D-0007: <u>T-193</u>, p. 9, lines 6-23.

³⁶ D-0012 Statement, UGA-D26-0010-0336, at para. 5.

the third year of primary school.³⁷ It appears that his estimate of Dominic Ongwen's age at the time of abduction is based on his knowledge that '[t]hat was the general age of that class around 1987'.³⁸ In addition, D-0032 testifed that he met Dominic Ongwen in the LRA in 1991, and that at the time Dominic Ongwen was 13 or 14 years old.³⁹ The Chamber also notes the evidence of

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- 30. Johnson Odong's is the only evidence which goes directly to Dominic Ongwen's birthdate. The Chamber accepts this evidence as reliable, based on Johnson Odong's family relationship with Dominic Ongwen and the fact that his evidence indicates a good knowledge of the family history. The estimates of Joe Kakanyero and P'Atwoga Okello point to a birthday earlier by approximately one or two years, but they are less precise and less solid, as they are merely estimates, based on their own observation and, in the case of P'Atwoga Okello, on the witness's general knowledge about the ages of children at Dominic Ongwen's school. As to Dominic Ongwen's own statement, the Chamber notes that the Defence itself does not refer to it, and indeed considers it less reliable than the evidence of Johnson Odong, as just explained. Finally, the Chamber notes that D-0032's evidence is compatible with that of Johnson Odong. Accordingly, the Chamber concludes that Dominic Ongwen was born in or around 1978. As to the year of abduction, the pertinent evidence is compatible, indicating 1987 as the year that Dominic Ongwen was abducted.
- 31. Dominic Ongwen spent the entire period between his abduction and the beginning of the period relevant for the charges, i.e. 1 July 2002, in the LRA. In the period relevant to the charges Dominic Ongwen was approximately 24 27 years old. His position at that time is discussed below as a relevant fact of the case.⁴¹

D. The charges

32. The text of the charges brought by the Prosecution against Dominic Ongwen in the present case is contained in the operative part of the decision, issued by Pre-Trial

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³⁷ D-0012 Statement, UGA-D26-0010-0336, at para. 8.

³⁸ D-0012 Statement, UGA-D26-0010-0336, at para. 8.

³⁹ D-0032: <u>T-201</u>, p. 3, line 11 – p. 4, line 4.

⁴¹ See section IV.C.3 below.

Chamber II, confirming the charges and committing Dominic Ongwen to trial before the Chamber on the charges as confirmed. ⁴² It includes the specification of the facts and circumstances underlying the charges as well as the corresponding legal characterisation alleged by the Prosecution and confirmed by the Pre-Trial Chamber. The confirmed charges cover 70 counts and concern both war crimes and crimes against humanity all allegedly committed, with the appropriate specifications provided in each of them, against civilians in Northern Uganda in the time frame between 1 July 2002 – the time of the Statute's entry into force – and 31 December 2005.

- 33. As recalled, the full text of the charges brought against Dominic Ongwen is included in a separate section of the decision confirming those charges under Article 61(7)(a) of the Statute. While that is the authoritative version of the charges that is binding on this Chamber both in terms of the facts and circumstances described therein and their proposed legal characterisation, the Chamber finds it appropriate to provide here for ease of reference only a brief overview of these charges. To this purpose, the Chamber observes that the charges can be sub-divided into three main categories: (i) charges of crimes committed within the context of four specific attacks against four IDP camps; (ii) charges concerning sexual and gender based violence crimes directly perpetrated by Dominic Ongwen against seven women, specifically identified in the charges themselves, who were in his household at any time between 1 July 2002 and 31 December 2005; and (iii) charges which are systemic in nature concerning other sexual and gender based violence and conscription and use in hostilities of children under the age of fifteen committed in Northern Uganda between 1 July 2002 and 31 December 2005.
- 34. In relation to the charges falling into the first category, the four attacks are as follows:

Attack on Pajule Camp of Internally Displaced Persons ('IDP camp'), on or about 10 October 2003.⁴³ Dominic Ongwen is charged under various modes of liability⁴⁴ for crimes against humanity and war crimes. Specifically, these crimes are: attacking civilians, murder, torture, cruel treatment, other inhumane acts,

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⁴² <u>Confirmation Decision</u>, pp. 71-104. The Chamber notes in this regard that the self-contained text of the charges as confirmed by the Pre-Trial Chamber contained within the operative part is clearly distinguishable, also following separate numbering of paragraphs.

⁴³ Paras 14-25 and counts 1 to 10 of the charges (Confirmation Decision, pp. 73-77).

⁴⁴ Article 25(3)(a) (indirect co-perpetration) or, in the alternative, 25(3)(b) (ordering, charged only for enslavement, pillaging and persecution), (c) (charged for all except persecution), (d) and 28(a) of the Statute.

enslavement and pillaging. 45 Dominic Ongwen is further charged with political persecution on grounds that the other alleged crimes were committed against civilians perceived by the LRA as being affiliated with, or supporting the Ugandan government. 46

Attack on Odek IDP Camp, on or about 29 April 2004.⁴⁷ Dominic Ongwen is charged under various modes of liability⁴⁸ for crimes against humanity and war crimes. Specifically, these crimes are: attacking civilians, murder, attempted murder, torture, cruel treatment, other inhumane acts, enslavement, pillaging and outrages upon personal dignity. ⁴⁹ Dominic Ongwen is further charged with political persecution on grounds that the other alleged crimes were committed against civilians perceived by the LRA as being affiliated with, or supporting the Ugandan government.⁵⁰

Attack on Lukodi IDP Camp, on or about 19 May 2004.⁵¹ Dominic Ongwen is charged under various modes of liability⁵² for crimes against humanity and war crimes. Specifically, these crimes are: attacking civilians, murder, attempted murder, torture, cruel treatment, other inhumane acts, enslavement, pillaging and destruction of property. ⁵³ Dominic Ongwen is further charged with political persecution on grounds that the other alleged crimes were committed against civilians perceived by the LRA as being affiliated with, or supporting the Ugandan government.⁵⁴

Attack on Abok IDP Camp, on or about 8 June 2004.⁵⁵ Dominic Ongwen is charged under various modes of liability⁵⁶ for crimes against humanity and war crimes. Specifically, these crimes are: attacking civilians, murder, attempted murder, torture, cruel treatment, other inhumane acts, enslavement, pillaging and destruction of property.⁵⁷ Dominic Ongwen is further charged with political persecution on grounds that the other alleged crimes were committed against civilians perceived by the LRA as being affiliated with, or supporting the Ugandan government.⁵⁸

⁴⁵ Respectively, Articles 8(2)(e)(i), 7(1)(a)/8(2)(c)(i)-1, 7(1)(f)/8(2)(c)(i)-4, 8(2)(c)(i)-3, 7(1)(k), 7(1)(c) and 8(2)(e)(v) of the Statute.

⁴⁶ Article 7(1)(h) of the Statute.

⁴⁷ Paras 26-39 and counts 11 to 23 of the charges (<u>Confirmation Decision</u>, pp. 77-81).

⁴⁸ Article 25(3)(a) (indirect co-perpetration) or, in the alternative, 25(3)(b) (ordering), (d) or 28(a) of the Statute.

⁴⁹ Respectively, Articles 8(2)(e)(i), 7(1)(a)/8(2)(c)(i)-1 (in conjunction with Article 25(3)(f) for attempted murder), 7(1)(f)/8(2)(c)(i)-4, 7(1)(k), 8(2)(c)(i)-3, 7(1)(c), 8(2)(e)(v) and 8(2)(c)(ii) of the Statute.

⁵⁰ Article 7(1)(h) of the Statute.

⁵¹ Paras 40-52 and counts 24 to 36 of the charges (<u>Confirmation Decision</u>, pp. 81-85).

⁵² Article 25(3)(a) (indirect perpetration) or, in the alternative, 25(3)(b) (ordering), (d) or 28(a) of the Statute.

⁵³ Respectively, Articles 8(2)(e)(i), 7(1)(a)/8(2)(c)(i)-1 (in conjunction with Article 25(3)(f) for attempted murder), 7(1)(f)/8(2)(c)(i)-4, 7(1)(k), 8(2)(c)(i)-3, 7(1)(c), 8(2)(e)(v) and 8(2)(e)(xii) of the Statute.

⁵⁴ Article 7(1)(h) of the Statute.

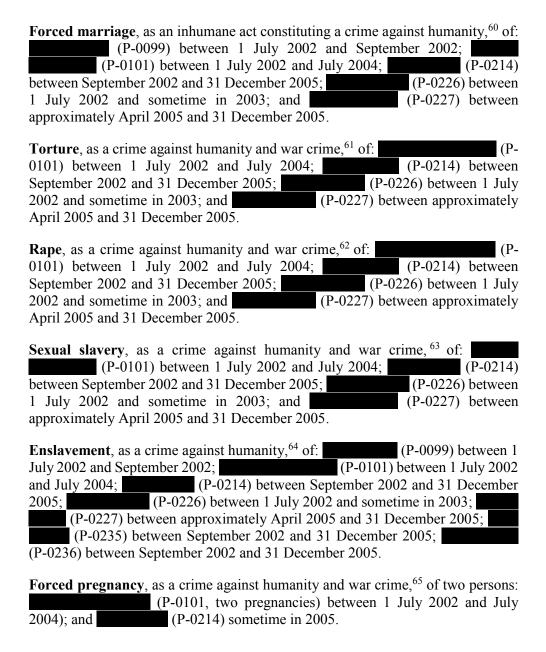
⁵⁵ Paras 53-25 and counts 37 to 49 of the charges (Confirmation Decision, pp. 86-89).

⁵⁶ Article 25(3)(a) (indirect perpetration) or, in the alternative, 25(3)(b) (ordering), (d) or 28(a) of the Statute.

⁵⁷ Respectively, Articles 8(2)(e)(i), 7(1)(a)/8(2)(c)(i)-1 (in conjunction with Article 25(3)(f) for attempted murder), 7(1)(f)/8(2)(c)(i)-4, 7(1)(k), 8(2)(c)(i)-3, 7(1)(c), 8(2)(e)(v) and 8(2)(e)(xii) of the Statute.

⁵⁸ Article 7(1)(h) of the Statute.

35. As concerns the charges of sexual and gender based violence crimes directly perpetrated by Dominic Ongwen,⁵⁹ the relevant counts are as follows:



⁵⁹ Paras 66-117 and counts 50 to 60 of the charges (Confirmation Decision, pp. 90-99).

⁶⁰ Article 7(1)(k) of the Statute.

⁶¹ Articles 7(1)(f) and 8(2)(c)(i)-4 of the Statute.

⁶² Articles 7(1)(g)-1 and 8(2)(e)(vi)-1 of the Statute.

⁶³ Articles 7(1)(g)-2 and 8(2)(e)(vi)-2 of the Statute.

⁶⁴ Article 7(1)(c) of the Statute.

⁶⁵ Articles 7(1)(g)-4 and 8(2)(e)(vi)-4 of the Statute.

Outrages upon personal dignity, as a war crime, ⁶⁶ of: (P-0226) sometime in 2002 or early 2003 close to Patongo, northern Uganda; and (P-0235) sometime in late 2002 or early 2003 at an unspecified location in northern Uganda.

36. Finally, in relation to the charges concerning the other sexual and gender based violence and the conscription and use in hostilities of children under the age of fifteen committed in Northern Uganda from at least 1 July 2002 until 31 December 2005, ⁶⁷ Dominic Ongwen is charged – under various modes of liability ⁶⁸ – with the crimes as follows:

Forced marriage, as an inhumane act constituting a crime against humanity. 69

Torture, as a crime against humanity and war crime. ⁷⁰

Rape, as a crime against humanity and war crime.⁷¹

Sexual slavery, as a crime against humanity and war crime.⁷²

Enslavement, as a crime against humanity. 73

Conscription of children under the age of 15 into an armed group, as a war crime.⁷⁴

Using children under the age of 15 to participate actively in hostilities, as a war crime. 75

37. In its closing brief, the Defence argues that '[t]he defective Confirmation of Charges Decision violates the right to notice' in respect of the charges under Article 25(3)(a) of the Statute, and 'incorporates' into its closing brief submissions presented to the Chamber before. The Defence argues that 'Mr Ongwen's right to notice was violated because, in respect to the forms of liability confirmed, the elements under Article 25(3)(a) of the Statute were incomplete, and unsubstantiated in respect to subjective elements and the

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⁶⁶ Article 8(2)(c)(ii) of the Statute.

⁶⁷ Paras 118-124 and counts 61-68 of the charges (<u>Confirmation Decision</u>, pp. 99-102) and paras 125-131 and counts 69-70 of the charges (<u>Confirmation Decision</u>, pp. 102-104), respectively.

⁶⁸ Article 25(3)(a) (indirect perpetration) or, in the alternative, 25(3)(b) (ordering), (d) or 28(a) of the Statute.

⁶⁹ Article 7(1)(k) of the Statute.

⁷⁰ Articles 7(1)(f) and 8(2)(c)(i)-4 of the Statute.

⁷¹ Articles 7(1)(g)-1 and 8(2)(e)(vi)-1 of the Statute.

⁷² Articles 7(1)(g)-2 and 8(2)(e)(vi)-2 of the Statute.

⁷³ Article 7(1)(c) of the Statute.

⁷⁴ Article 8(2)(e)(vii) of the Statute.

⁷⁵ Article 8(2)(e)(vii) of the Statute.

⁷⁶ <u>Defence Closing Brief</u>, para. 184, referring to <u>Defence Motion on Defects in the Confirmation of Charges Decision: Defects in the Modes of Liability (Part II of the Defects Series)</u>, 1 February 2019, ICC-02/04-01/15-1431, paras 32-49.

Pre-Trial Chamber only confirmed part of the legal elements of subjective elements for most of the modes of liability under Article 25(3)(a) of the Statute, and then failed to connect factual support to these elements'. In addition, the Defence argues that 'the pleading failure, and related disclosure issues, mean that the lack of notice renders the indirect co-perpetration charges defective in such a way that they must be dismissed for inadequate notice'. ⁷⁸

- 38. The Defence also submits that 'the charges of conscription and use of child soldiers under Counts 69 and 70 are fatally defective in so far as they do not specifically describe the alleged crimes'. ⁷⁹ Also on this point, the Defence refers to a previous written submission. ⁸⁰
- 39. The Defence does not develop its submissions in any detail other than by claiming that it 'incorporates all submissions' or 'reiterates' previous filings. ⁸¹ Those previous filings, dated 1 February 2019, were dismissed *in limine* by the Chamber on 7 March 2019 for untimeliness, under Rule 134(2) of the Rules. ⁸² The Chamber's decision was confirmed on appeal. ⁸³ The decision of the Chamber and the judgment of the Appeals Chamber on interlocutory appeal forming part of the record of the case, it is unnecessary to rehearse in this judgment the reasons underpinning them.
- 40. Taking into account the applicable legal framework, in particular Rule 134(2) of the Rules, the Chamber does not identify at the present stage of issuance of the judgment any circumstance that would justify consideration of the same belated submissions as concerns the formulation of the charges. The arguments of the Defence are thus dismissed *in limine*.

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⁷⁷ <u>Defence Closing Brief</u>, para. 185.

⁷⁸ Defence Closing Brief, para. 188.

⁷⁹ Defence Closing Brief, para. 490.

⁸⁰ Defence Closing Brief, paras 490-491, referring to Defence Motion on Defects in the Confirmation of Charges Decision: Defects in the Charged Crimes (Part IV of the Defects Series), 1 February 2019, ICC-02/04-01/15-1433, paras 62-70.

⁸¹ See Defence Closing Brief, paras 184, 491.

⁸² See <u>Decision on Defence Motions Alleging Defects in the Confirmation Decision</u>, 7 March 2019, ICC-02/04-01/15-1476.

⁸³ See Appeals Chambers, <u>Judgment on the appeal of Mr Dominic Ongwen against Trial Chamber IX's 'Decision on Defence Motions Alleging Defects in the Confirmation Decision'</u>, 17 July 2019, ICC-02/04-01/15-1562.

41. The Defence also complains that the confirmation of charges decision 'failed to define the contextual elements of the crimes against humanity, and contains extremely vague references to evidence and facts in paragraphs 60 to 64'. 84 It makes an identical argument as concerns the contextual elements of war crimes. 85 In addition to the fact that this complaint about the formulation of charges is also submitted late in the proceedings without a valid reason and thus dismissed *in limine*, the Chamber emphasises that the charges in the case are contained in a separate section in the operative part of the confirmation of charges decision, and that the degree of detail and depth of the Pre-Trial Chamber's reasoning in the decision confirming the charges are unrelated to the question whether the charges are properly formulated.

⁸⁴ Defence Closing Brief, para. 301. See also para. 303.

⁸⁵ Defence Closing Brief, para. 304.

II. DEFENCE ALLEGATIONS CONCERNING THE ACCUSED'S RIGHT TO A FAIR TRIAL AND 'OTHER HUMAN RIGHTS VIOLATIONS'

- 42. In its Closing Brief and during the closing statements the Defence raised a number of allegations concerning the accused's right to a fair trial and 'other human rights violations'. 86 The Defence submits that any single violation would 'cast serious doubts upon the fairness and reliability' of the proceedings and, because of their cumulative effect, they justify the declaration of a permanent stay of the proceedings. 87
- 43. The Prosecution responded to part of the Defence's arguments in its oral closing statement ⁸⁸
- 44. While the statutory framework does not explicitly provide for a permanent stay of proceedings, the jurisprudence of the Court confirms the availability of this remedy. 89 Interpreting the application of Article 21(3) of the Statute where breaches in the rights of the accused has been alleged, the Appeals Chamber held that '[w]here fair trial becomes impossible because of breaches of the fundamental rights of the suspect or the accused by his/her accusers, it would be a contradiction in terms to put the person on trial', and that '[i]f no fair trial can be held, the object of the judicial process is frustrated and the process must be stopped'. 90 Not every infraction of the statutory framework justifies the granting of a request for stay of proceedings: the violation must be of such importance as to make a fair trial permanently impossible. 91 The unfairness in the treatment of the

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⁸⁶ Defence Closing Brief, paras 31-158; Defence Closing Statement: T-258.

⁸⁷ Defence Closing Brief, para. 33.

⁸⁸ Prosecution Closing Statement: T-256, p. 24, line 22 – p. 35, line 21.

⁸⁹ See Trial Chamber X, The Prosecutor v. Al Hassan Ag Abdoul Aziz Ag Mohamed Ag Mahmoud, Public redacted version of 'Decision on the Defence request to terminate the proceedings and related requests', 29 October 2020, ICC-01/12-01/18-1009-Red, para. 50; Trial Chamber VI, The Prosecutor v. Bosco Ntaganda, Decision on Defence request for stay of proceedings with prejudice to the Prosecution, 28 April 2017, ICC-01/04-02/06-1883, para. 20, citing to Trial Chamber V(B); Trial Chamber V(B), The Prosecutor v. Uhuru Muigai Kenyatta, Public redacted version of Decision on Defence application for a permanent stay of the proceedings due to abuse of process, 5 December 2013, ICC-01/09-02/11-868-Red, para. 14. See also Article 21(3) of the Statute.

⁹⁰ Appeals Chamber, *The Prosecutor v. Thomas Lubanga Dyilo*, <u>Judgment on the Appeal of Mr. Thomas Lubanga Dyilo against the Decision on the Defence Challenge to the Jurisdiction of the Court pursuant to article 19 (2) (a) of the Statute of 3 October 2006, 14 December 2006, ICC-01/04-01/06-772, para. 37. *See also* Trial Chamber X, *The Prosecutor v. Al Hassan Ag Abdoul Aziz Ag Mohamed Ag Mahmoud*, <u>Public redacted version of 'Decision on the Defence request to terminate the proceedings and related requests'</u>, 29 October 2020, ICC-01/12-01/18-1009-Red, para. 50; Trial Chamber V(B), *The Prosecutor v. Uhuru Muigai Kenyatta*, <u>Public redacted version of Decision on Defence application for a permanent stay of the proceedings due to abuse of process</u>, 5 December 2013, ICC-01/09-02/11-868-Red, para. 14 (i).</u>

⁹¹ Appeals Chamber, *The Prosecutor v. Thomas Lubanga Dyilo*, <u>Judgment on the Appeal of Mr. Thomas Lubanga Dyilo against the Decision on the Defence Challenge to the Jurisdiction of the Court pursuant to article 19 (2) (a) of the Statute of 3 October 2006, 14 December 2006, ICC-01/04-01/06-772, para. 39. *See also* Appeals Chamber,</u>

accused must be of such a nature that it 'rupture[s] the process to an extent making it impossible to piece together the constituent elements of a fair trial'. ⁹² As such, a stay of proceedings is a remedy of an exceptional nature. ⁹³

45. Accordingly, the Chamber has considered each of the asserted violations of Dominic Ongwen's rights and assessed whether they, individually or in accumulation, warrant a permanent stay of the proceedings. As explained in detail below, the Chamber finds that the Defence submissions are entirely unfounded.

A. Allegations concerning the arrest of Dominic Ongwen and his surrender to the Court

46. The Defence argues that the Pre-Trial Chamber 'failed to protect Mr Ongwen's human rights prior to his appearance before the Court'. 94 It argues that it was the role and duty of the Pre-Trial Chamber, pursuant to Articles 21(3), 55(2) and 59(2) of the Statute, to verify that Dominic Ongwen 'was not subject to any violation of his fundamental rights in the process of his arrest and transfer to the Court'. 95 According to the Defence, it was

The Prosecutor v. Thomas Lubanga Dyilo, Judgment on the appeal of the Prosecutor against the decision of Trial Chamber I entitled "Decision on the consequences of non-disclosure of exculpatory materials covered by Article 54(3)(e) agreements and the application to stay the prosecution of the accused, together with certain other issues raised in the Status Conference on 10 June 2008", 21 October 2008, ICC-01/04-01/06-1486, paras 77-78; Pre-Trial Chamber I, The Prosecutor v. Laurent Gbagbo, Decision on the "Corrigendum of the challenge to the jurisdiction of the International Criminal Court on the basis of articles 12(3), 19(2), 21(3), 55 and 59 of the Rome Statute filed by the Defence for President Gbagbo (ICC-02/11-01/11-129)", 15 August 2012, ICC-02/11-01/11-212, para. 91; Trial Chamber V(B), The Prosecutor v. Uhuru Muigai Kenyatta, Public redacted version of Decision on Defence application for a permanent stay of the proceedings due to abuse of process, 5 December 2013, ICC-01/09-02/11-868-Red, para. 14 (i), (iii); Trial Chamber VI, The Prosecutor v. Bosco Ntaganda, Decision on Defence request for stay of proceedings with prejudice to the Prosecution, 28 April 2017, ICC-01/04-02/06-1883, para. 22; Trial Chamber X, The Prosecutor v. Al Hassan Ag Abdoul Aziz Ag Mohamed Ag Mahmoud, Public redacted version of 'Decision on the Defence request to terminate the proceedings and related requests', 29 October 2020, ICC-01/12-01/18-1009-Red, paras 51, 54.

⁹² Appeals Chamber, *The Prosecutor v. Thomas Lubanga Dyilo*, <u>Judgment on the Appeal of Mr. Thomas Lubanga Dyilo against the Decision on the Defence Challenge to the Jurisdiction of the Court pursuant to article 19 (2) (a) of the Statute of 3 October 2006, 14 December 2006, ICC-01/04-01/06-772, para. 39.</u>

⁹³ See Pre-Trial Chamber I, The Prosecutor v. Laurent Gbagbo, Decision on the "Corrigendum of the challenge to the jurisdiction of the International Criminal Court on the basis of articles 12(3), 19(2), 21(3), 55 and 59 of the Rome Statute filed by the Defence for President Gbagbo (ICC-02/11-01/11-129)", 15 August 2012, ICC-02/11-01/11-212, para. 91; Trial Chamber V(B), The Prosecutor v. Uhuru Muigai Kenyatta, Public redacted version of Decision on Defence application for a permanent stay of the proceedings due to abuse of process, 5 December 2013, ICC-01/09-02/11-868-Red, para. 14(iii); Trial Chamber X, The Prosecutor v. Al Hassan Ag Abdoul Aziz Ag Mohamed Ag Mahmoud, Public redacted version of 'Decision on the Defence request to terminate the proceedings and related requests', 29 October 2020, ICC-01/12-01/18-1009-Red, para. 51.

⁹⁴ <u>Defence Closing Brief</u>, para. 43.

^{95 &}lt;u>Defence Closing Brief</u>, para. 43.

in this process that breaches of Dominic Ongwen's rights to legal assistance and to remain silent occurred and were 'ignored by the Pre-Trial Chamber'. 96

- 47. The Defence argues that the Court obtained the custody of Dominic Ongwen 'through the actions of the authorities of Uganda and Central African Republic', and that because their conduct in respect of Dominic Ongwen was 'based on the issuance of the warrant of arrest by the Pre-Trial Chamber', Articles 55(2) and 59 of the Statute were applicable.⁹⁷
- 48. The Defence argues that '[t]he illegality of the process and the breach of Mr Ongwen's rights' are documented in a video showing Dominic Ongwen in UPDF custody. 98 According to the Defence, the video 'shows that on 16 January 2015, UPDF informed Mr Ongwen that he is an "ICC indictee" and that he "is being held and released on charges of war crimes", after which Dominic Ongwen is 'given several documents to sign', and an 'interview' takes place at the UPDF headquarters. 99 It is on this basis that the Defence alleges that Dominic Ongwen's right to counsel and right to remain silent and not be forced to self-incriminate were violated. 100
- 49. In its closing submissions, the Prosecution points out that the accused was assigned a duty counsel as soon as he was in the custody of the Court¹⁰¹ and argues that even if procedural irregularities occurred before Dominic Ongwen came into custody of the Court and even if those irregularities can be attributed to the Court, 'Mr Ongwen still had an obligation to mitigate any ensuing prejudice by pursuing a timely remedy'. ¹⁰²
- 50. The Chamber notes that Article 55(2) of the Statute and the rights enumerated therein only apply when the person concerned is questioned in the context of an investigation by the Court. Specifically, the provision envisages that a person against whom there are grounds to believe that he or she has committed a crime within the jurisdiction of the Court is about to be questioned either by the Prosecutor or by national authorities pursuant to a cooperation request made by the Court under Part 9 of the Statute.

^{96 &}lt;u>Defence Closing Brief</u>, para. 43.

⁹⁷ Defence Closing Brief, paras 44-48.

⁹⁸ Defence Closing Brief, para. 52. See Video Material, UGA-OTP-0283-1449.

⁹⁹ Defence Closing Brief, para. 53.

¹⁰⁰ Defence Closing Brief, paras 54-55.

Prosecution Closing Statement: <u>T-256</u>, p. 33, lines 18-23.

- 51. In the case at hand, the Defence assertion that the Ugandan or CAR authorities acted 'based on the issuance of the warrant of arrest by the Pre-Trial Chamber' is not completely accurate. In fact, Ugandan authorities did not question Dominic Ongwen pursuant to a request for cooperation under Part 9 of the Statute. At the relevant time, even though Uganda had received a request for arrest and surrender in respect of Dominic Ongwen, there is no request on the record which could serve as a basis for Uganda to question him under Article 55(2) of the Statute. In the same vein, no such request for cooperation to the Central African authorities to question the accused at the behest of the Court can be found. Accordingly, Article 55(2) of the Statute did not apply at the time at issue, i.e. during Dominic Ongwen's stay in the custody of Ugandan or Central African authorities prior to his surrender to the Court. Irrespective of these considerations, the Chamber furthermore notes that the Court provided Dominic Ongwen with a duty counsel as soon as he was in the custody of the Court.
- 52. Turning to Article 59 of the Statute, the Defence in its submissions makes reference to Ugandan national law, the CAR constitution, as well as Article 14(3) of the International Covenant on Civil and Political Rights. 104 The Chamber notes that Article 59 of the Statute regulates arrest proceedings in the custodial State leading up to the surrender of the person for whose arrest the Court has issued a warrant. In the present case, the State which surrendered the accused to the Court is CAR. All specific arguments of the Defence relate to events while Dominic Ongwen was in the custody of UPDF, before custody was handed over to the CAR. In fact, there is no link between the allegations of the Defence and the Article 59 proceedings as they are reflected on the record. 105
- 53. The Defence also alleges a procedural violation claiming that '[p]rior to the appearance of Mr Ongwen before the Court, the Pre-Trial Chamber was required to verify the efficacy of the process leading to Mr Ongwen's arrest and handover to the Court, including that his rights were respected', but that 'based on the record, nothing indicates that the Pre-Trial Chamber recognised the violations by Uganda and CAR in the impugned process'. As explained just above, Articles 55(2) and 59 were not applicable at the time when the alleged violations occurred, i.e. at the time Dominic Ongwen was in

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¹⁰³ Report of the Registry on the voluntary surrender of Dominic Ongwen and his transfer to the Court, paras 4-5.

Defence Closing Brief, para. 49.

¹⁰⁵ See Annex 3 to Report of the Registry on the voluntary surrender of Dominic Ongwen and his transfer to the Court.

^{106 &}lt;u>Defence Closing Brief</u>, para. 56.

- custody of the UPDF. Consequently, a failure of the Court to protect Dominic Ongwen's enjoyment of rights under the cited provisions of the Statute could not have occurred.
- The Chamber notes that the Court's powers vis-à-vis States are limited under Article 59 of the Statute. As stated by the Appeals Chamber, '[t]he Court does not sit in the process [...] on judgment as a court of appeal on the identificatory decision of the [national] judicial authority'. 107 Furthermore, Article 59(2) of the Statute states, and by doing so also limits, the competence of national authorities of the custodial State in the relevant arrest proceedings. Article 59(2) does not in itself create a duty for the surrendering State to undertake any particular proceeding in order for the competent national authorities to transfer custody to the Court, upon the surrendering State obtaining custody over a person subject to a warrant of arrest issued by the Court. 108 As said above, and as reflected in the record of the case, CAR duly executed domestic proceedings before surrendering Dominic Ongwen to the Court. 109
- Accordingly, the Chamber does not find that the Defence allegations pertain to any rights 55. protected under Articles 55 and 59 of the Statute. In addition, in the view of the Chamber, the facts as brought forward by the Defence and the resulting allegations would not constitute 'breaches of the fundamental rights [of Dominic Ongwen] by his accusers' that would make a fair trial impossible, 110 and thus would not justify a permanent stay of proceedings.
- Additionally, the Defence makes submissions concerning the use of the concerned video by one of the Prosecution experts, Professor Mezey, and requests that the video should be excluded and Professor Mezey's conclusions be disregarded, since the video was one of the materials she relied on the video in her report. 111 Without mentioning the provision explicitly, the Defence argues that the item should be declared inadmissible pursuant to

¹¹¹ Defence Closing Brief, paras 57-60.

¹⁰⁷ Appeals Chamber, The Prosecutor v. Thomas Lubanga Dyilo, <u>Judgment on the Appeal of Mr. Thomas Lubanga</u> Dyilo against the Decision on the Defence Challenge to the Jurisdiction of the Court pursuant to article 19 (2) (a) of the Statute of 3 October 2006, 14 December 2006, ICC-01/04-01/06-772, para. 41.

Decision on the applicability of article 101 of the Rome Statute in the proceedings against Dominic Ongwen,

⁷ July 2015, ICC-02/04-01/15-260, para. 10.

¹⁰⁹ See Annexes 1, 2 and 3 to Report of the Registry on the voluntary surrender of Dominic Ongwen and his transfer to the Court.

Appeals Chamber, The Prosecutor v. Thomas Lubanga Dyilo, Judgment on the Appeal of Mr. Thomas Lubanga Dyilo against the Decision on the Defence Challenge to the Jurisdiction of the Court pursuant to article 19 (2) (a) of the Statute of 3 October 2006, 14 December 2006, ICC-01/04-01/06-772, para. 37.

Article 69(7) of the Statute. The Prosecution argues in its closing statement that, even if arguendo there had been any procedural irregularity attributable to the Court, the accused 'had an obligation to [...] pursu[e] a timely remedy', but '[h]e didn't do so' and '[t]he Defence made no mention of this issue at any time till it appeared in the closing brief'. 112 In this regard, the Prosecution submits that '[i]t's true that they sought to oppose the Prosecution's submission of the video evidence, but they did so on entirely separate grounds from those they now advance.'113

- Article 69(7) of the Statute provides that evidence obtained by means of a violation of the Statute or internationally recognised human rights shall not be admissible if: (a) the violation casts substantial doubt on the reliability of this evidence; or (b) the admission of the evidence would be antithetical to and would seriously damage the integrity of the proceedings.
- The Defence argues that the evidence in question was obtained in violation of the Statute, in particular Articles 21(3), 55(2), 59(2) and 67(1)(g). As to Article 21(3) of the Statute, the Defence does not allege any particular infringement of Dominic Ongwen's rights other than that alleged under Articles 55(2), 59(2) and 67(1)(g). As found above, Articles 55(2) and 59(2) did not apply at the time.
- 59. Further, as stated by the Prosecution, 114 the Defence itself used video footage from the same interview during its questioning of witness Professor Allen and then requested it to be recognised as formally submitted. It played a statement made by the accused and asked Professor Allen to interpret its meaning, specifically asking whether the statement says anything about the state of mind of the accused, whether he showed expression of regret or defiance. 115 The Defence cannot claim that the admission of a video should be precluded because of Article 69(7) of the Statute and at the same time also request that another video recording the same event be recognised as formally submitted.
- When arguing that the accused's right pursuant to Article 67(1)(g) of the Statute is infringed, 116 the Defence misconstrues this right. The right to remain silent, pursuant to

¹¹² Prosecution Closing Statement: <u>T-256</u>, p. 33, line 24 – p. 34, line 3.
113 Prosecution Closing Statement: <u>T-256</u>, p. 34, lines 3-5.
114 Prosecution Closing Statement: <u>T-256</u>, p. 34, lines 6-21.

¹¹⁵ P-0422: T-29, p. 107, line 3 – p. 110, line 9.

¹¹⁶ Defence Closing Brief, para. 59.

Article 67(1)(g) of the Rome Statute, guarantees that, in the context of an investigation and subsequent proceedings, an accused does not have to answer when being questioned, and that this silence cannot be considered against him or her. As stated above, the accused was not questioned pursuant to Article 55(2) of the Statute. More generally, even though the video does show UPDF posing questions to Dominic Ongwen, there is no nexus between this exchange and any criminal proceedings, let alone proceedings before the Court.

61. Accordingly, the Chamber finds that the requirements for the application of Article 69(7) of the Statute have not been established, and considers that the request of the Defence is without merit.

B. Submissions concerning the taking of evidence under Article 56 of the Statute

- 62. The Defence indicates a number of issues which, in its view, violate the accused's fair trial rights in relation to the evidence emanating from the procedure for the collection of evidence under Article 56 of the Statute. Specifically, it submits that Article 67(1)(a) of the Statute was violated because, at the time of the taking of this evidence, the precise charges were not known, ¹¹⁷ the Single Judge of the Pre-Trial Chamber which decided on the confirmation of charges took the evidence pursuant to Article 56 of the Statute, ¹¹⁸ the Single Judge 'refus[ed]' to consider procedural challenges, ¹¹⁹ and the witnesses were not asked for whom they wish to testify. ¹²⁰ This, according to the Defence, violated the accused's rights pursuant to Article 67(1)(a) and (e) of the Statute. ¹²¹
- 63. In its closing statement, the Prosecution responded to these arguments. It submits that the Defence's interpretation of Article 67(1)(a) of the Statute would render the procedure of Article 56 *de facto* ineffectual, since it could only apply between the confirmation of the charges pursuant to Article 61 of Statute and the start of the trial. Further, it submits that the Defence had notice of what the witnesses were going to testify about and had an

¹¹⁷ <u>Defence Closing Brief</u>, paras 62-63.

Defence Closing Brief, para. 64.

^{119 &}lt;u>Defence Closing Brief</u>, para. 65.

Defence Closing Brief, para. 66.

Defence Closing Brief, para. 71.

¹²² Prosecution Closing Statement: <u>T-256</u>, p. 20, lines 13-18.

opportunity to challenge their accounts.¹²³ Regarding the issue that the witnesses have been confused as to their role in the proceedings, it submits that the Defence previously had a contrary position on this matter, having stated – correctly – that the role of a witness is about the truth and not by whom he or she is called.¹²⁴

- 64. With regard to the Defence submissions under Article 67(1)(a) of the Statute, the Chamber notes that said provision establishes the right of the accused to be informed of the charges against him, which are presented by the Prosecutor in advance of the confirmation of charges hearing. 125 Article 56 of the Statute, dealing with 'unique investigative opportunity' and placed within Part 5 of the Statute, is not limited to certain procedural stages. In fact, evidence may be preserved under that provision even before the surrender or voluntary appearance of the person concerned. Accordingly, the Defence interpretation which seeks to require the submission of charges before action in relation to a unique investigative opportunity is taken is without merit.
- 65. As regards the submissions concerning the fact that the Single Judge of the Pre-Trial Chamber presided over the taking of the evidence pursuant to Article 56 of the Statute and ruled on this evidence in the decision on the confirmation of the charges, the Chamber finds that the Defence's arguments are equally without merit. The Defence fails to explain wherein the purported conflict lies, and in fact acknowledges that the situation is compatible with the text of the Statute. 126
- 66. The assertion that the Single Judge 'precluded the Defence from raising objections to the nature, scope and purpose of the Article 56 Proceedings' is based on a false interpretation of the statement of the Single Judge. ¹²⁷ In fact, before the Pre-Trial Chamber's decisions on whether to take evidence pursuant to Article 56 of the Statute, the Defence made submissions on these points. ¹²⁸ There is simply no indication on the record, and neither

 $^{^{123}}$ Prosecution Closing Statement: $\underline{\text{T-256}}$, p. 20, line 23 – p. 21, line 4.

Prosecution Closing Statement: $\overline{\text{T-256}}$, p. 22, line 5 – p. 23, line 24, *referring to* the Defence submissions in $\overline{\text{T-12}}$, p. 14, lines 19-24 and p. 22, lines 5-10.

¹²⁵ See Rule 121(3) of the Rules of Procedure and Evidence.

¹²⁶ <u>Defence Closing Brief</u>, para. 64.

Defence Closing Brief, para. 65. See also T-8, p. 4, lines 5-8.

¹²⁸ Defence Response to the Prosecution's Request for an Article 56 Unique Investigative Opportunity, 3 July 2015, ICC-02/04-01/15-259-Conf (public redacted version available: ICC-02/04-01/15-259-Red); Confidential Redacted Response to "Second Prosecution Application to the Pre-Trial Chamber to preserve evidence and take measures under article 56 of the Rome Statute", 8 October 2015, ICC-02/04-01/15-314-Conf-Red (public redacted version available: ICC-02/04-01/15-314-Red2).

does the Defence purport, that the Defence was actually prevented from making specific submissions in relation to the proceedings under Article 56 of the Statute.

- 67. Further, the Chamber does not find that the accused's rights pursuant Article 67(1)(e) of the Statute were violated because the witnesses testifying pursuant to Article 56 of the Statute were not asked 'for whom' they intended to testify. 129 The Defence submits in this regard that it had contacted the witnesses concerned and 'obtained witness statements from them to testify for Mr Ongwen' and that 'proof that some of these witnesses wanted to testify for Mr Ongwen [...] was available to the Prosecutor and the Single Judge'. 130 The Defence specifically argues that the failure to determine the 'status of the witnesses' prejudiced Dominic Ongwen in that it resulted in the restrictions on his contact with his family, and in violations of his right to call witnesses on his behalf. 131 However, these allegations are entirely unexplained and unsupported. While noting that it was clear to the Defence during the proceedings under Article 56 of the Statute that the witnesses concerned were heard following a request by the Prosecutor, the Chamber in any case emphasises that a witness testifies in order to establish the truth. The Chamber notes that at the beginning of each testimony, the Single Judge of the Pre-Trial Chamber asked the witness to make an undertaking to tell the truth. Further, the Defence had every opportunity to pose questions to the witnesses – a possibility it availed itself of – and was not in a different position as it would have been, had it called the witnesses. Accordingly, the Chamber does not find any violation of Dominic Ongwen's rights.
- 68. Lastly, the Defence submits that the Single Judge of the Pre-Trial Chamber failed to carry out his role properly in the Article 56 proceedings, since he assessed the witnesses collectively when ruling on the basis for the proceedings and did not request corroboration in relation to certain inconsistencies in their testimonies. Again, these arguments are without merit.
- 69. With regard to the first argument, the Single Judge of the Pre-Trial Chamber ruled on the application of Article 56 of the Statute in two decisions, but assessed every witness

¹²⁹ <u>Defence Closing Brief</u>, para. 66.

Defence Closing Brief, para. 67.

Defence Closing Brief, para. 68.

¹³² Defence Closing Brief, paras 69-70.

separately.¹³³ The fact that the reasons for taking measures under Article 56 of the Statute were based on similar grounds – due to the similar circumstances the witnesses were in – does not mean that the decision for each witness was not made individually.

- 70. Concerning the second argument, the legal basis for the Defence request is entirely absent. The object and purpose of Article 56 of the Statute is to preserve evidence which may otherwise become unavailable. There is nothing in the applicable law that would require or enable the Pre-Trial Chamber, at that point in the proceedings, to 'request corroboration' from the Prosecution. For this reason, the Chamber rejects the argument.
- 71. In conclusion, the Chamber finds that none of the allegations brought forward by the Defence with regard to the Article 56 proceedings violated the accused's rights and therefore does not warrant the exceptional remedy of a permanent stay of proceedings.¹³⁴
- 72. Further, in this context the Defence made an unrelated complaint that it was denied to call an expert on sexual and gender based crimes, which, it argues, prejudiced the accused's fair trial rights. The Chamber repeats the reasons advanced in an earlier decision on this matter: the belated addition in the list of witnesses of the concerned expert was not considered necessary since 'the terms of reference instructing D-158 [the prospected witness] to produce [an expert] report indicate that much of the expected report and anticipated testimony of D-158 has already been discussed by other witnesses called by the Defence. Therefore, the proposed witness's evidence 'would merely be additional evidence for topics for which direct evidence has already been elicited by the Defence'. Accordingly, the Chamber does not find any violation of the accused's rights and subsequently no justification for a permanent stay of proceedings.

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¹³³ See Decision on the "Prosecution application for the Pre-Trial Chamber to preserve evidence and take measures under article 56 of the Rome Statute", 27 July 2015, ICC-02/04-01/15-277-Conf (public redacted version available: ICC-02/04-01/15-277-Red), paras 5-10; Decision on the "Second Prosecution application to the Pre-Trial Chamber to preserve evidence and take measures under article 56 of the Rome Statute", 12 October 2015, ICC-02/04-01/15-316-Conf (public redacted version available: ICC-02/04-01/15-316-Red), paras 2-12.

¹³⁴ For matters of completeness, the Chamber made a similar finding previously in <u>Decision on Request to Admit Evidence Preserved Under Article 56 of the Statute</u>, 10 August 2016, ICC-02/04-01/15-520.

^{135 &}lt;u>Defence Closing Brief</u>, para. 72.

Decision on Defence Request to Add Two Witnesses to its List of Witnesses and Accompanying Documents to its List of Evidence, 13 August 2019, ICC-02/04-01/15-1565, para. 16.

^{137 &}lt;u>Decision on Defence Request to Add Two Witnesses to its List of Witnesses and Accompanying Documents to its List of Evidence</u>, 13 August 2019, ICC-02/04-01/15-1565, para. 21.

C. Submissions regarding Article 64(8)(a)

- 73. The Defence submits that Dominic Ongwen's plea was 'illegal', as it was not 'voluntary, knowing or informed, and unequivocal'. 138
- 74. Article 64(8)(a) of the Statute required the Chamber, at the commencement of the trial, to 'afford [to the accused] the opportunity to make an admission of guilt in accordance with article 65 or to plead not guilty'. Dominic Ongwen did not admit guilt, and the procedure of Article 65 of the Statute was not triggered. In this regard, while the Chamber considers the Defence's interpretation of Dominic Ongwen's plea erroneous, it notes that contrary to the Defence's suggestions, ¹³⁹ the standards for a not guilty plea are not equivalent to the standards required for an admission of guilt under Article 65 of the Statute. A non-unequivocal 'not guilty' plea results simply in the proceeding with the trial. In any event, any submissions of the Defence arguing that Dominic Ongwen was prejudiced by his plea are unsubstantiated.
- 75. It is noted, however, that the arguments of the Defence under this heading contain other grievances in relation to 'Mr Ongwen's mental disability' and the translation of the decision on the confirmation of charges. ¹⁴⁰ On this basis, the Defence argues that Dominic Ongwen did not understand the charges against him at the time of his plea. ¹⁴¹
- 76. During its closing statements, the Prosecution submitted that the Defence presented no evidence that the accused was not able to understand the charges and that the report produced by the Court-appointed expert also does not support this assumption. Further, it submits that even if a person has a mental illness, this does not automatically mean that he or she cannot understand the charges. In respect of the statements made by the accused during the opening of the trial and their interpretation as to his understanding of the charges, the Prosecution agrees with the Chamber's interpretation of an earlier

¹³⁸ Defence Closing Brief, paras 73-77.

Defence Closing Brief, paras 73-77.

Defence Closing Brief, paras 78-79.

Defence Closing Brief, para. 76.

Prosecution Closing Statement: T-256, p. 27, line 9 – p. 28, line 2.

¹⁴³ Prosecution Closing Statement: <u>T-256</u>, p. 28, lines 2-6.

decision that the accused disputed his responsibility and denied the charges which is different from not understanding them. 144

- 77. At the opening of the trial, the Chamber issued an oral decision finding that Dominic Ongwen understood the nature of the charges. ¹⁴⁵ The Defence quotes a statement made by the accused before this decision that, while he understood the document containing the charges, 'the charges I [Dominic Ongwen] do understand as being brought against LRA but not me, because I'm not the LRA. The LRA is Joseph Kony who is the leader of the LRA.' ¹⁴⁶ The Defence 'interprets this statement to mean that Mr Ongwen did not understand the charges against him'. ¹⁴⁷
- 78. The argumentation of the Defence is untenable. The fact that an accused provides an answer which contains more than a simple 'yes' or 'no' as shown by the response of the accused during the hearing of the confirmation of the charges ¹⁴⁸ does not mean that he has no clear understanding of the question put to him. As the Chamber stated in its oral decision 'Mr Ongwen's remarks that the LRA is not him and that the LRA committed these acts demonstrate an understanding of the confirmed charges. Mr Ongwen's remarks are rather a dispute as to Mr Ongwen's responsibility for these alleged acts'. ¹⁴⁹ Further, when asked by the Presiding Judge whether he made 'an admission of guilt with respect to any charge', Dominic Ongwen responded '[i]n the name of God, I deny all these charges in respect to the war in northern Uganda'. ¹⁵⁰ This is not, as alleged by the Defence, ¹⁵¹ a further sign that Dominic Ongwen did not understand the charges as being brought against him but simply that he refuses to take any responsibility for them. The Chamber repeats its finding that Dominic Ongwen understood the charges as being brought against him.

¹⁴⁴ Prosecution Closing Statement: <u>T-256</u>, p. 28, line 7 – p. 29, line 1.

¹⁴⁵ <u>T-26</u>, p. 17, line 11 – p. 19, line 15.

Defence Closing Brief, para. 75, citing to T-26, p. 16, lines 18-20.

¹⁴⁷ Defence Closing Brief, para. 76.

¹⁴⁸ The Chamber notes in this regard that in response to the question by the Pre-Trial Chamber whether he understood the charges presented by the Prosecution, Dominic Ongwen responded in a similar manner, without replying with 'yes' or 'no', before unequivocally stating that had he read and understood the charges. *See* <u>T-20</u>, p. 6, lines 5-14.

¹⁴⁹ <u>T-26</u>, p. 19, lines 8-11.

 $[\]frac{150}{\text{T-26}}$, p. 20, lines 10-13.

¹⁵¹ Defence Closing Brief, para. 76.

- 79. The Defence's submits that 'in light of the information before [the Chamber] about Mr Ongwen's disability, a postponement of the 6 December proceedings should have been taken.' This misstates the facts. The only information available to the Chamber was a filing by the Defence, the day before the opening statement, stating, *inter alia*, that experts hired by the Defence made a finding pursuant to Article 64(8)(a) of the Statute and determined that Dominic Ongwen did not understand the charges and that he was not fit to stand trial. No supporting material was provided, and in particular not any expert report. 154
- 80. The Chamber did not ignore any information when making its determination pursuant to Article 64(8)(a) of the Statute that Dominic Ongwen understood the charges. Any reference by the Defence to reports by medical experts made after the decision is misplaced.¹⁵⁵
- 81. Lastly, concerning translation of the decision on the confirmation of the charges, the Chamber recalls that, as previously stated in a decision on the matter, at the opening of the trial Dominic Ongwen had available to him the full text of the charges in Acholi. 156 The document containing the charges on which the Prosecutor requested that Dominic Ongwen be brought to trial was translated into Acholi in its entirety. 157 Dominic Ongwen confirmed that he received this translation and understood the charges during the hearing of the confirmation of the charges. 158 The decision on the confirmation of the charges confirmed all counts contained in this document and copied it verbatim into its decision, including as concerns the facts and circumstances described in the charges. 159 Because

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¹⁵² <u>Defence Closing Brief</u>, para. 81.

¹⁵³ Defence Request for a Stay of the Proceedings and Examinations Pursuant to Rule 135 of the Rules of Procedure and Evidence, 5 December 2016, ICC-02/04-01/15-620-Conf (public redacted version available: ICC-02/04-01/15-620-Red2), para. 76.

The Procedure and Evidence, 5 December 2016, ICC-02/04-01/15-620-Conf (public redacted version available: ICC-02/04-01/15-620-Red2), paras 41-42.

¹⁵⁵ See Defence Closing Brief, para. 80.

¹⁵⁶ See Decision on Defence Request for Findings on Fair Trial Violations Related to the Acholi Translation of the Confirmation Decision, 24 January 2018, ICC-02/04-01/15-1147.

Annex A to Document Containing the Charges, 22 December 2015, ICC-02/04-01/15-375-Conf-AnxA (public redacted version available: ICC-02/04-01/15-375-AnxA-Red); Acholi translation at Annex B to Document Containing the Charges, 22 December 2015, ICC-02/04-01/15-375-Conf-AnxB (public redacted version available: ICC-02/04-01/15-375-AnxB-Red).

¹⁵⁸ T-20, p. 6, lines 13-14.

¹⁵⁹ See Confirmation Decision, pp. 71-104. The limited modifications made by the Pre-Trial Chamber to the text of the charges as presented (also in Acholi) by the Prosecution are precisely identified at para. 158 of the Confirmation Decision and consist exclusively in the removal of one section and of a few words and the insertion of some pseudonyms to refer to some witnesses whose identity was confidential vis-à-vis the public.

of the clear separation between the text of the charges brought against Dominic Ongwen and the other parts of the decision on the confirmation of charges containing the reasoning of the Pre-Trial Chamber, the lack of a full translation of the entire decision containing the charges at the opening of the trial was immaterial. In this regard, the Chamber observes that in accordance with Article 67(1)(a) of the Statute an accused has the right to be informed, in a language which he or she fully understands and speaks, of the 'nature, cause and content' of the charges. Finally, the Chamber recalls that at the opening of trial the numbered counts without references to the statutory provisions – which were contained in the operative part of the confirmation decision under the subheadings 'legal characterisation of facts' – were read out and, in that context, again made available to Dominic Ongwen in Acholi by virtue of the interpretation in the courtroom. ¹⁶⁰

82. In conclusion, the Chamber rejects the argument of the Defence that Dominic Ongwen did not understand the nature of the charges against him at the time of his plea. Accordingly, there is no prejudice which would justify the exceptional remedy of a permanent stay of the proceedings.

D. Submissions regarding the accused's right to notice and his right to prepare a defence

- 83. The Defence submits that '[f]rom the inception of this case, the Defence has litigated the breaches of the right to notice.' It cites to several motions and briefs which have all been addressed by either the Chamber or the Appeals Chamber. The Defence does not provide any new argument going beyond its prior submissions.
- 84. The Defence does not request a new resolution of its requests but submits that because of the allegations contained in these motions the accused 'has been placed in a position of not knowing the specifics against which he must defend the alleged crimes and his

¹⁶⁰ T-26, p. 8, line 20 – p. 15, line 25.

Defence Closing Brief, para. 84.

Decision on Defence Request for Leave to File a No Case to Answer Motion, 18 July 2018, ICC-02/04-01/15-1309; Decision on Defence Motions Alleging Defects in the Confirmation Decision, 7 March 2019, ICC-02/04-01/15-1476; Decision on Further Defence Motion Alleging Defects in the Confirmation Decision, 8 October 2019, ICC-02/04-01/15-1630; Decision on Defence Request for Ruling on Request to Dismiss the Charge of Enslavement, 11 February 2020, ICC-02/04-01/15-1717; Appeals Chambers, Judgment on the appeal of Mr Dominic Ongwen against Trial Chamber IX's 'Decision on Defence Motions Alleging Defects in the Confirmation Decision', 17 July 2019, ICC-02/04-01/15-1562.

alleged participation'¹⁶³ which prejudiced the Defence's planning and 'made the fair trial impossible'.¹⁶⁴ Since the Chamber ruled on these motions, the Defence's argument is, in essence, that the decisions of the Chamber violated the accused's right to a fair trial. When ruling on the various requests, the Chamber considered all these allegations and dismissed the arguments by the Defence. Since no new arguments are presented by the Defence, the Chamber finds that its prior decisions did not violate the accused's right to notice and right to prepare a defence. Accordingly, the Defence's allegations do not justify the exceptional remedy of a permanent stay of the proceedings.

E. Submissions with regard to the standard for burden of proof in relation to the alleged grounds excluding criminal responsibility

- 85. The Defence submits that the fact that the Chamber 'erred by failing to articulate the burden of proof standard for affirmative defences prior to the presentation of the Defence evidence' violated the accused's right under Article 67(1)(e) of the Statute to 'present defences'. ¹⁶⁵ It argues that, since the Chamber did not pronounce itself on the legal interpretation of Article 31(1)(a) and (d) of the Statute prior to the presentation of evidence by the Defence, it did not know what evidence it must adduce and 'it cannot fully address all issues which may be necessary for the [...] Article 74 judgment. ¹⁶⁶ Thus, the Defence alleges violation of Articles 67(1)(a) and (e) and 64(2) of the Statute. ¹⁶⁷
- 86. Indeed, the Chamber, in disposing of a motion filed by the Defence, ¹⁶⁸ previously explained that the burden and standard of proof are laid out in Article 66 of the Statute and that no further explanation was warranted at that point in the proceedings as the Chamber's interpretations of the applicable law would be set in the judgment. ¹⁶⁹ Naturally, this judgment applies this burden and standard of proof to the evidence presented.

¹⁶³ <u>Defence Closing Brief</u>, para. 85.

Defence Closing Brief, para. 85.

Defence Closing Brief, para. 91.

¹⁶⁶ Defence Closing Brief, para. 95.

Defence Closing Brief, para. 96.

Defence Request for the Chamber to Issue an Immediate Ruling Confirming the Burden and Standard of Proof Applicable to Articles 31(1)(a) and (d) of the Rome Statute, 28 January 2019, ICC-02/04-01/15-1423 (with two public annexes, A and B).

¹⁶⁹ <u>Decision on Defence Request for the Chamber to Issue an Immediate Ruling Confirming the Burden and Standard of Proof Applicable to Articles 31(1)(a) and (d) of the Rome Statute, 5 April 2019, ICC-02/04-01/15-1494, paras 13-14.</u>

- 87. All outstanding issues in relation to the applicable law, including as concerns the burden and standard of proof, are fully dealt with in the present judgment. The issue within the present context is therefore whether prejudice arose to the Defence by way of the fact that this was not provided by the Chamber in advance.
- 88. With regard to the presentation of evidence, the Defence explains further that 'it cannot fully address all issues which may be necessary for the Trial Chamber's Article 74 judgment if it does not know the standard which will be applied.' This is not true, since the Defence can fully address all issues, but it will only know the Chamber's legal interpretation on these matters at a later point. The Defence has a right to the former pursuant to Article 67(1) of the Statute, but it has no right to receive a full legal interpretation by the Chambers on the law at a specific point in the proceedings.
- 89. The Defence argues that it was prejudiced because, had it known the burden of proof during the proceedings, it 'may [have chosen] other evidence'. ¹⁷¹ This argument is speculative. The Defence called numerous witnesses on the matter and submitted numerous items of evidence. The Defence does not even seek to explain how its evidence would have been different. In fact, the Chamber considers that in this case where grounds excluding criminal responsibility were alleged by the Defence since the confirmation proceedings and where they form one of the crucial issues in the trial, the possibility of the Defence choosing a path different than the one it chose is purely theoretical.
- 90. It further needs to be noted that the Defence had every opportunity to present its evidence or legal submissions on any point of the law. It submitted expert reports and called experts in relation to Article 31(1)(a) of the Statute and it systematically explored matters related to Article 31(1)(d) of the Statute with witnesses who appeared before the court. In this context, the Chamber also recalls that it previously encouraged the Defence 'to put forward all the evidence it has in support of the grounds for excluding criminal responsibility it has raised.' 172

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¹⁷⁰ Defence Closing Brief, para. 95.

Defence Closing Statement: <u>T-258</u>, p. 67, lines 19-24.

^{172 &}lt;u>Decision on Defence Request for the Chamber to Issue an Immediate Ruling Confirming the Burden and Standard of Proof Applicable to Articles 31(1)(a) and (d) of the Rome Statute, 5 April 2019, ICC-02/04-01/15-1494, para. 15.</u>

- 91. As concerns Article 31(1)(a) of the Statute, the Chamber has specifically taken care that both parties had the opportunity to elicit all necessary evidence: it allowed the Prosecution to present evidence in rebuttal after the Defence had questioned D-0041 and D-0042. Table 173 It also determined *proprio motu*, after taking 'into account the principles of a fair trial and the rights of the accused pursuant to Article 67 of the Statute', that the Defence was allowed to present evidence in rejoinder of the rebuttal evidence 174 an opportunity which the Defence availed itself of.
- 92. In a more general manner, it must be noted that no accused before this Court was allowed to call more witnesses¹⁷⁵ in his or her defence or had a greater number of hearing days at his or her disposal.¹⁷⁶ The accused had all means to fully present its defence and evidence in accordance with Articles 64(2) and 67(1) of the Statute.
- 93. In conclusion on this point, Dominic Ongwen did not suffer any prejudice and accordingly, the allegations do not justify the exceptional remedy of a permanent stay of the proceedings.

F. Submissions concerning the 'prejudicial evidentiary regime'

- 94. The Defence argues that the fact that the Chamber, consistent with the procedure for submission of evidence it adopted in the present trial, ¹⁷⁷ made no ruling on the relevance, probative value or potential prejudice of an item of documentary evidence in the course of the trial is 'prejudicial, erroneous as a matter of law and undermines the fairness of the proceedings'. ¹⁷⁸
- 95. The Defence makes several arguments concerning the procedure for submission of documentary evidence at trial as set out by the Chamber in the present case, notably the fact that no ruling on the relevance or admissibility of items of evidence within the meaning of Article 69(4) of the Statute was conducted prior to the recognition of such

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¹⁷³ <u>Decision on Requests related to the Testimony of Defence Expert Witnesses D-0041 and D-0042</u>, 1 October 2019, ICC-02/04-01/15-1623, para. 16.

¹⁷⁴ <u>Decision on Requests related to the Testimony of Defence Expert Witnesses D-0041 and D-0042</u>, 1 October 2019, ICC-02/04-01/15-1623, para. 17.

¹⁷⁵ The Defence called 54 witnesses as live witnesses and submitted the prior recorded testimony of nine further witnesses pursuant to Rule 68(2) of the Rules.

¹⁷⁶ The Defence called its first witness on 1 October 2018 and the last one 29 November 2019 over 73 hearing days.

¹⁷⁷ <u>Initial Directions on the Conduct of the Proceedings</u>, 13 July 2016, ICC-02/04-01/15-497 (hereinafter: '<u>Directions on the Conduct of Proceedings</u>'), paras 24-31.

¹⁷⁸ <u>Defence Closing Brief</u>, para. 97.

evidence as 'submitted' for the purpose of Article 74 of the Statute. Some of these arguments concern the alleged 'illegality' of this procedure, while others purportedly relate to certain concrete aspects of its application during the present trial allegedly causing prejudice to Dominic Ongwen's right to a fair trial.

- 96. In relation to the first set of arguments raised by the Defence alleging that the Chamber's procedure for the submission of documentary evidence at trial is, as such, incompatible with the legal framework of the Court and is *per se* prejudicial to the rights of the accused, ¹⁷⁹ the Chamber refers to its considerations below to the effect that such procedure is in accordance with the relevant legal instruments and with the jurisprudence of the Court. ¹⁸⁰
- 97. This alone suffices to reject the Defence's claim of prejudice. Nevertheless, in order to fully respond to the arguments invoked by the Defence with respect to the specificities of this case, the Chamber proceeds to address at this juncture the purported concrete prejudice to the rights of the accused that, according to the Defence, have been caused as a result of the application in the present case of the procedure for submission of documentary evidence as set out by this Chamber. In this regard, the Defence argues that this procedure had a 'prejudicial impact' on the fairness of the proceedings, ¹⁸¹ in that, as a result of such procedure: (i) 'selective and inconsistent rulings on evidence' were made; ¹⁸² (ii) the evidentiary recorded is 'overcrowded' with 'prejudicial items'; ¹⁸³ and (iii) no safeguard exists to ensure 'the quality of the evidentiary process, i.e. permissible means of obtaining evidence'. ¹⁸⁴ The Chamber will address these three allegations in turn.
- 98. With respect to the first allegation (i.e. that 'selective and inconsistent rulings on evidence' were made¹⁸⁵), the Defence cites to two instances in particular. The first one

¹⁷⁹ This concerns the arguments made by the Defence to the effect that: it was unfair for it to be 'required to work on the assumption that all the items submitted into evidence by the Prosecution may be used against Mr Ongwen', also considering that 'there are over 4200 items formally submitted into evidence' (<u>Defence Closing Brief</u>, para. 98); the procedure established by the Chamber is 'in violation with [its] duty to apply the safeguards embodied in Article 69(4) of the Statute' (<u>Defence Closing Brief</u>, para. 99); and the relevant applicable law 'unequivocally obligate the Trial Chamber to provide evidentiary rulings on all items submitted into evidence in the judgment' (<u>Defence Closing Brief</u>, paras 104-06).

¹⁸⁰ See section IV.B.1 below.

¹⁸¹ Defence Closing Brief, para. 101.

Defence Closing Brief, para. 101 i).

Defence Closing Brief, para 101 ii).

¹⁸⁴ Defence Closing Brief, para. 101 iii).

¹⁸⁵ <u>Defence Closing Brief</u>, para. 101 i).

concerns the Chamber's rejection of a Defence request for disclosure. ¹⁸⁶ This matter, however, concerns the relevance of certain information sought by the Defence within the meaning of Rule 77 of the Statute, and is unrelated to the procedure for submission of evidence in this case. It is thus irrelevant in the present context.

- The second example concerns the rebuttal expert report by Prosecution witness P-0477, Professor Weierstall-Pust. The Defence argues that in relation to this document the Chamber, '[c]ontrary to its prior rulings', 'prematurely dismissed the Defence admissibility objections, without deferring its ruling until the deliberation of the judgment.' First, it is not clear how such a ruling at that point in the proceedings can prejudice one of the parties. Second, the possibility of making rulings, as appropriate, on challenges to the admissibility of individual items of evidence is clearly envisaged by the applicable law and, since before the opening of the trial, has been foreshadowed by the Chamber as part of the general procedure for submission of documentary evidence. 188 It remains entirely unexplained how the fact that the Chamber ruled on the objections raised by the Defence to the admissibility of a specific item of evidence upon its submission could prejudice the accused's rights. The same holds true with regard to the general allegation that the Chamber applied the procedure for the submission of evidence in a 'selective' and 'inconsistent' manner. Besides the fact that this allegation completely unfounded, the Defence does not even make any submission as to what the alleged prejudice consists of.
- 100. With respect to the second allegation by the Defence (i.e. that the evidentiary record is 'overcrowded' with 'prejudicial items' 189), the Defence cites to a decision rejecting its request to exclude, as inadmissible, parts of a report from a Victims expert, PCV-1. 190 In this decision, the Chamber addressed and rejected the Defence's arguments that parts of the report at issue were inadmissible as falling outside the scope of procedural rights vested in the participating victims, 191 and clarified that, like for any other evidence, it

¹⁸⁶ <u>Decision in Response to an Article 72(4) Intervention</u>, 26 June 2018, ICC-02/04-01/15-1267-Corr2.

Defence Closing Brief, para. 101 i).

¹⁸⁸ See Directions on the Conduct of Proceedings, para. 26 ('The Chamber always retains the discretion to rule on admissibility related issues upfront when appropriate, particularly when procedural bars are raised which may foreclose consideration of the standard admissibility criteria.').

¹⁸⁹ Defence Closing Brief, para. 101 ii).

Defence Closing Brief, para. 101 ii), referring to T-175, p. 11, line 14 – p. 13, line 1.

¹⁹¹ T-175, p. 12, lines 4-23.

would conduct a full assessment of the report at the time of the issuance of its final judgment. While the Defence might disagree with the decision to reject its objections to the admissibility of parts of the report, the Chamber would have made the exact same finding applying a different procedure for the submission of evidence – any purported prejudice in this regard is thus unrelated to the general procedure set out by the Chamber for the submission of documentary evidence at trial. The Defence has not pointed to any other item in the evidentiary record which would allegedly be prejudicial to the accused's fair trial rights; nor has the Chamber identified any such material.

101. Finally, as concerns its third allegation (i.e. that no safeguard exists ensuring 'the quality of the evidentiary process, i.e. permissible means of obtaining evidence' ¹⁹³), the Defence refers to 'the involvement of the Prosecution's potential witness and intermediary, P-78', whom it distrusts, and states that '[t]his example compromises the proceedings, because of the lack of integrity in the evidence collection process'. ¹⁹⁴ The Defence brought forward, in a previous request, the exact same submissions, which were considered and rejected by the Chamber. ¹⁹⁵ As observed by the Chamber on that occasion, ¹⁹⁶ the Defence appears once again to submit a generic list of grievances which – irrespective of the fact that they are unfounded and not supported by any concrete indicia in the record of the case – are in no manner related to the procedure by which documentary evidence has been submitted in the present case. In any case, and more generally, the Chamber considers that the Defence's general argument that the procedure for submission of documentary evidence in the present case does not envisage safeguards to the 'quality of the evidentiary process' is without merit. In this regard, the Chamber refers to its analysis below concerning the essential features of, and safeguards in such procedure. ¹⁹⁷

102. In conclusion, and also in light of the considerations further expressed below, 198 the Chamber finds that the Defence has failed to demonstrate that the procedure for

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¹⁹² T-175, p. 12, line 24 – p. 13, line 1.

¹⁹³ Defence Closing Brief, para. 101 iii).

¹⁹⁴ Defence Closing Brief, para. 101 iii).

¹⁹⁵ Decision on Defence Request regarding the Evidentiary Regime, 19 June 2019, ICC-02/04-01/15-1546, para.

¹⁹⁶ Decision on Defence Request regarding the Evidentiary Regime, 19 June 2019, ICC-02/04-01/15-1546, para.

¹⁹⁷ See section IV.B.1 below.

¹⁹⁸ See section IV.B.1 below.

submission of documentary evidence applied by in this case caused any prejudice to Dominic Ongwen's fair trial rights.

G. Submissions on the Prosecution's disclosure practices

- 103. The Defence asserts that the 'disclosure practices of the Prosecution [...] have individually and in sum amounted to an unfair trial.' It repeats submissions previously made and includes a list of alleged failures by the Prosecution in relation to its disclosure obligation. The Chamber notes that each of the instances referred to by the Defence in its Closing Brief had been specifically considered and ruled upon by the Chamber. ²⁰¹
- 104. Further, the Chamber notes the Defence's submission that it filed a request in relation to further disclosure violations in February 2020 which had not been ruled upon at the time of the writing of the Defence Closing Brief, which 'leads to prejudice'.²⁰² The Chamber has previously found that the Defence was aware, when filing this request that the statutory response deadline fell on the same day as the deadline for the submission of the closing briefs.²⁰³ The Chamber further repeats its finding that issues brought forward by the Defence relate to matters which occurred months or years before the filing of the Defence request.²⁰⁴ The Defence cannot make submissions and requests at such a belated point of the proceedings and then claim it suffered prejudice from the timing of its own request, when it had the chance to file the request at an earlier point in time.
- 105. The Chamber previously ruled on all alleged disclosure violations cited by the Defence, taking into account the rights of the accused and the fairness and expeditiousness of the

¹⁹⁹ Defence Closing Brief, para. 108.

²⁰⁰ Defence Closing Brief, paras 109-15.

Defence Closing Brief, para. 115 a.: Decision on Defence Request for Disclosure and Remedy for Late Disclosure, 28 September 2018, ICC-02/04-01/15-1351, rejecting the request for leave to appeal Decision on Defence Request for Leave to Appeal the Decision on Disclosure and Remedy for Late Disclosure, 12 October 2018, ICC-02/04-01/15-1364. Para. 115 b. makes reference to para. 227 of the Closing Brief. The Defence made a request for disclosure for certain material in the run-up of the testimony of a Prosecution Expert. The Prosecution was not in possession of the information but requested authorisation of the Chamber to contact the witness in order to obtain the material, which was granted. Para. 115 c. and 115 d. refer both to issues which were resolved in Decision on Defence Request for Remedies in Light of Disclosure Violations, 22 April 2020, ICC-02/04-01/15-1734.

²⁰² <u>Defence Closing Brief</u>, para. 116.

²⁰³ Email from the Chamber to the parties and participants and the Registry, Decision on Prosecution Request for Extension of Deadline to File Responses to ICC-02/04-01/15-1718-Conf, 14 February 2020, at 14:19. *See also* Annex III to Registry's Report Filing in the Record of the Case Decisions issued by way of email from January 2020 to July 2020, 2 October 2020, ICC-02/04-01/15-1749.

Decision on Defence Request for Remedies in Light of Disclosure Violations, 22 April 2020, ICC-02/04-01/15-1734, para. 38.

proceedings. Accordingly, the Defence did not suffer any prejudice which would warrant the exceptional remedy of a permanent stay of the proceedings.

H. Submissions concerning 'Other Human Rights Violations and Discriminations'

- 106. Finally, the Defence asserts that the trial proceedings have been conducted in a 'discriminatory manner' which was 'unprecedented in international courts'. ²⁰⁵ The Defence raises two issues which, in its view, constitute human rights violations: discrimination against the accused as a 'mentally disabled defendant' and violations to his right to family and private life.
- 107. With regard to the first issue the Defence submits that '[t]he Chamber has treated Dominic Ongwen as an accused and a detained person who does not suffer from any mental health disability.' The Defence argues that the Chamber 'discriminated against Mr Ongwen by assessing his participation and exercise of his minimum Article 67(1) guarantees as if he were not a defendant with mental disabilities'. 207
- 108. During its closing statements, the Prosecution submitted on this issue that, irrespective of the existence of any mental illness, the Defence has failed to show how this caused a concrete prejudice to the accused. ²⁰⁸ It argues that a person suffering from mental illnesses can also be put on trial and that the Chamber made all necessary accommodation for the accused. ²⁰⁹
- 109. The Chamber considers the Defence allegation to be entirely untenable. It recalls that in all its decisions, the Chamber assessed the specific situation of Dominic Ongwen, including his health, and in particular his mental health. Throughout the proceedings the Chamber has ensured that the accused received all the medical attention and care necessary. In December 2016, while rejecting a request by the Defence to order a medical examination in order to assess whether the accused is fit to stand trial, the Chamber

²⁰⁵ Defence Closing Brief, para. 119.

Defence Closing Brief, para. 121. The Defence repeats its submissions in its closing statement, $\underline{\text{T-258}}$, p. 62, line 13 – p. 67, line 1.

²⁰⁷ <u>Defence Closing Brief</u>, para. 124.

²⁰⁸ Prosecution Closing Statement: <u>T-256</u>, p. 26, lines 9-11.

²⁰⁹ Prosecution Closing Statement: <u>T-256</u>, p. 26, lines 11-19.

ordered an examination 'making a diagnosis as to any mental condition or disorder that [the accused] may suffer'. 210

- 110. In the report provided upon this order, the examiner stated that, while the accused suffered from various mental illnesses, 'he is oriented in time, oriented vis-à-vis his environment and himself. He has a good attention span and maintains his concentration after hours of interviewing.' Concerning his treatment by the responsible sections of the Court, the examiner stated that '[t]he current intervention strategy by the Detention Centre is more than adequate in the perception of [him]'. The Chamber did not go against any advice or finding provided by this neutral examination.
- 111. Further, each time the health condition of the accused warranted a break in the proceedings, this was immediately facilitated by the Chamber. For instance, when there had to be an interruption of the proceedings, the Chamber instructed the Registry to provide a medical report on whether the accused can attend hearings. ²¹³ Only after receiving confirmation by the medical experts from the Registry that Dominic Ongwen's state of health allowed for continuation did the Chamber resume hearings. This was explained in court by the Presiding Judge:

But continuing, the Chamber wishes to address the fact that many hearings this year have needed to be cancelled. The Chamber wishes to give a brief explanation for the benefit of the public as to these cancellations. The Chamber was initially scheduled to continue with the Defence presentation of evidence on 14 January 2019. In the early morning of 7 January 2019 Mr Ongwen was involved in what will be described generally as a medical incident. He was briefly hospitalised but returned to the detention centre later that same day. Ever since, there has been an ongoing discussion between all concerned on Mr Ongwen's current mental health and his necessary medical treatment. In particular, the Registry has been actively involved in making whatever further arrangement it considers appropriate to protect the health and the safety of the accused, as it is its obligation under Regulation 103(1) of the Regulations of the Court. The Chamber cancelled the hearing dates during the weeks of 14 and 21 January to ensure that Mr Ongwen received proper medical treatment. This postponement was done upon the request of the Defence and the reasons for this decision can be found in the public redacted version of decision 1412. Without going further into details, for similar reasons, the hearings of 28 and 29 January and 18 February were cancelled. On 18 February

²¹⁰ Decision on the Defence Request to Order a Medical Examination of Dominic Ongwen, 16 December 2016, ICC-02/04-01/15-637-Conf (public redacted version available: ICC-02/04-01/15-637-Red), p. 18.

²¹¹ Report, UGA-D26-0015-0046-R01, at 0049.

²¹² Report, UGA-D26-0015-0046-R01, at 0053.

²¹³ Decision on Defence Request to Order an Adjournment and a Medical Examination, 16 January 2019, ICC-02/04-01/15-1412-Conf (public redacted version available: ICC-02/04-01/15-1412-Red), para. 12.

the Chamber was given an indication from the medical officer that the hearing could proceed today. The Chamber wishes to stress that it does not cancel these hearings lightly. These cancellations have been compelled by considerations of the health and well-being of the accused, noting that the accused has a right under Article 67(1)(d) of the Statute to be present at his trial. We will always keep this in mind, but the Chamber emphasises its continued determination to proceed to an expeditious resolution of this trial.²¹⁴

- 112. On three occasions, the Defence requested a medical examination of the accused, twice combined with arguing that this warrants a stay of the proceedings. ²¹⁵ The Chamber has ruled on each request. ²¹⁶ In these decisions, it based its assessments and rulings on information provided by independent medical experts, taking into account Dominic Ongwen's specific situation. The Defence asserts that 'we concluded that sometimes when the Trial Chamber appears to us to not be looking at the disabilities of the client and not accommodating them fast enough or not believing there is merit to them, they were saying there may be, they may not be seeing the whole picture. It's as simple as that. ²¹⁷ The fact that the Chamber has not ruled in favour of the Defence does not mean that it has not fully considered the situation of the accused when ruling on the Defence's request.
- 113. Lastly, the Defence erroneously submits that the Chamber 'did not implement the ICC-DC Medical Officer's recommendations for the span of eight months'. ²¹⁸ The Prosecution submits on this matter that there was no discrimination against the accused and that the Chamber acted within the scope of its discretion and took into account the recommendation received by the medical officer as well as other pertinent factors. ²¹⁹

²¹⁴ T-199, p. 2, line 19 – p. 3, line 18.

²¹⁵ Defence Request for a Stay of the Proceedings and Examinations Pursuant to Rule 135 of the Rules of Procedure and Evidence, 5 December 2016, ICC-02/04-01/15-620-Conf (public redacted version available: ICC-02/04-01/15-620-Red2); Defence Request for a Stay of the Proceedings and for Trial Chamber IX, pursuant to Rule 135 of the Rules of Procedure and Evidence, to Order a Medical Examination of Mr Ongwen, 10 January 2019, ICC-02/04-01/15-1405-Conf-Exp (confidential redacted version available: ICC-02/04-01/15-1405-Conf-Red; public redacted version available: ICC-02/04-01/15-1405-Conf-Red; public redacted version available: ICC-02/04-01/15-1595-Conf (public redacted version available: ICC-02/04-01/15-1595-Red).

²¹⁶ Decision on the Defence Request to Order a Medical Examination of Dominic Ongwen, 16 December 2016, ICC-02/04-01/15-637-Conf (public redacted version available: ICC-02/04-01/15-637-Red); Decision on Defence Request to Order an Adjournment and a Medical Examination, 16 January 2019, ICC-02/04-01/15-1412-Conf (public redacted version available: ICC-02/04-01/15-1412-Red); Decision on Further Defence Request for a Medical Examination, 1 October 2019, ICC-02/04-01/15-1622.

²¹⁷ Defence Closing Statement: T-258, p. 66, lines 19-22.

²¹⁸ Defence Closing Brief, para. 137.

²¹⁹ Prosecution Closing Statement: <u>T-256</u>, p. 29, lines 8-11

Further it submits that the accused did not suffer any concrete harm to prepare or conduct his defence from the sitting schedule.²²⁰

- 114. The Chamber finds that the Defence's allegations on this point are without any reasonable justification and fundamentally misrepresent the facts. The Chamber first received information that the accused 'would significantly benefit from not being present in Court on Wednesdays, during the weeks that he is normally scheduled for a full five day court schedule' in March 2018. 221 Understanding the recommendation to guide against the accused sitting a full five day court schedule, the Chamber adapted the sitting schedule mindful of the health of the accused. On the rare occasions a hearing on Wednesday was scheduled the Chamber always made sure that another day of that week was off. Consequently the accused never spent five working days of a week in Court. On two of the five instances in which a hearing was scheduled on Wednesday, Dominic Ongwen attended court only two days in the entire week. 222 On one occasion he attended court only three days in that week. 223 On two occasions the accused attended court for four days in a week. 224 Further, despite claiming that 'this had a direct effect on Dominic Ongwen', 225 the Defence does not cite to any specific issue resulting from the five Wednesdays the accused attended court.
- 115. Accordingly, the submissions that the Chamber 'discriminated' against the accused are baseless. The Defence further fails to show the claimed impact on the fair trial rights of Dominic Ongwen.²²⁶
- 116. The second issue concerns asserted violations by the Defence to the accused's human rights because Dominic Ongwen's family life was affected by restrictions to his communications.²²⁷

²²⁰ Prosecution Closing Statement: <u>T-256</u>, p. 30, lines 12-15.

²²¹ Registrar Submission of Information Provided by the Medical Officer, 7 March 2018, ICC-02/04-01/15-1200-Conf, para. 8.

²²² In the week of 9 April 2018, the Chamber only sat on 10 and 11 April 2020; in the week of 21 May 2020 the Chamber only sat on 23 and 24 May 2020.

²²³ In the week of 26 March 2018, the Chamber only sat on 26, 27 and 28 March 2020.

²²⁴ In the week of 30 April 2018, the Chamber did not sit on 30 April 2020; in the week of 22 October 2020 it did not sit on 26 October 2020.

²²⁵ Defence Closing Statement: T-258, p. 62, line 25.

²²⁶ Defence Closing Brief, para. 146.

²²⁷ <u>Defence Closing Brief</u>, para. 147.

- 117. The Pre-Trial Chamber first installed restrictions to the communication of the accused.²²⁸ These restrictions were maintained and amended by the Chamber²²⁹ and later reviewed and again confirmed.²³⁰ The restrictions consisted in the active monitoring of the accused's non-privileged communication and a system of prior approval by the Chamber for the addition of persons, at the accused's request, to the list of authorised non-privileged telephone contacts.
- 118. The assertion that the conduct giving rise to the restrictions was not 'proven' during the proceedings by the Prosecution and that therefore the restrictions are per se unjustified²³¹ is misguided. The decisions ordering and confirming these restrictions were based on specific information concerning the threat of witness interference, in line with Regulation 101(2) of the Regulations of the Court.²³²
- 119. Further, as explained above, the restrictions did not entail that the accused could not under any circumstances contact a specific person, but rather that a prior authorisation of the Chamber was required. Both times that the Defence requested additions to the list of persons Dominic Ongwen was allowed to contact, the Chamber granted such requests²³³ Furthermore, when it considered it appropriate the Chamber, acted on its own initiative

²²⁸ <u>Decision on a request by the Prosecutor under article 57 of the Rome Statute and regulation 101(2) of the Regulations of the Court</u>, 24 June 2015, ICC-02/04-01/15-254; <u>Decision concerning the restriction of communications of Dominic Ongwen</u>, 3 August 2015, ICC-02/04-01/15-283-Conf.

²²⁹ Decision on issues related to the restriction of communications of Dominic Ongwen, 30 May 2016, ICC-02/04-01/15-283-Conf.

²²⁹ Decision on issues related to the restriction of communications of Dominic Ongwen, 30 May 2016, ICC-02/04-01/15-450-Conf-Exp (public redacted version available: ICC-02/04-01/15-450-Red).

²³⁰ <u>Decision on the Review of Dominic Ongwen's Detention and on the Restriction on Communication</u>, 21 July 2016, ICC-02/04-01/15-503.

²³¹ Defence Closing Brief, para. 149. *See also* Defence Closing Statement: <u>T-258</u>, p. 45, line 22 – p. 46, line 4.

²³² Pre-Trial Chamber II, <u>Decision on a request by the Prosecutor under article 57 of the Rome Statute and</u>

regulation 101(2) of the Regulations of the Court, 24 June 2015, ICC-02/04-01/15-254, para. 6; Decision concerning the restriction of communications of Dominic Ongwen, 3 August 2015, ICC-02/04-01/15-283-Conf, paras 9, 10, 12; Decision on issues related to the restriction of communications of Dominic Ongwen, 30 May 2016, ICC-02/04-01/15-450-Conf-Exp (public redacted version available: ICC-02/04-01/15-450-Red), para. 4.

233 Decision on Defence Request to Meet with Six Prosecution Witnesses, 13 September 2019, ICC-02/04-01/15-1593; Decision on Defence Request to Lift Communication Restrictions, 18 October 2019, ICC-02/04-01/15-1642. The Chamber refused that an expert witness of the Defence, Dr Akena, D-0041, is put on the privileged contact list, but stressed at the same time that he may be added to the non-privileged contact list. Decision on issues related to the restriction of communications of Dominic Ongwen, 30 May 2016, ICC-02/04-01/15-450-Conf-Exp (public redacted version available: ICC-02/04-01/15-450-Red), para. 8.

and requested submissions from the parties and participants on the contact restrictions²³⁴ and subsequently lifted them.²³⁵

120. Accordingly, upon the arguments presented by the Defence, the Chamber does not find that the rights of the accused to family or private life were violated. Consequently, the allegations of the Defence do not in any conceivable way warrant the exceptional remedy of a permanent stay of proceedings.

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²³⁴ Email from the Chamber to the parties and participants and the Registry, responses for relief requested in para. 731 of the Defence Closing Brief, 26 February 2020, at 18:09. *See also* Annex VI to Registry's Report Filing in the Record of the Case Decisions issued by way of email from January 2020 to July 2020, ICC-02/04-01/15-1749-AnxIV.

Public Corrected version of Decision on the Defence Request for Immediate Release and the Communication Restrictions Applying to the Accused, 17 April 2020, ICC-02/04-01/15-1733-Corr.

III. FINDINGS OF FACT

- 121. In this section, the Chamber sets outs the material facts and circumstances of this case as they have been established to the requisite threshold upon its assessment of the evidence submitted and discussed before it at trial. They are the facts and circumstances which form the basis for the Chamber's decision under Article 74 of the Statute. The reasoning for the Chamber's determination in this regard is provided below, in Section IV.C. ('Evidentiary analysis for findings of fact'), where the Chamber discusses, also in light of the arguments made at trial, the evidence which, directly or by way of inference (thus, through additional facts of a subsidiary nature), supports each of these findings on the facts and circumstances described in the charges. The legal characterisation of these facts and circumstances, in light of the charges brought against Dominic Ongwen, is made in Section V.B. ('Legal characterisation of the facts').
- 122. The Chamber clarifies that, pursuant to Article 74(2) of the Statute, it has ensured that its findings of fact do not exceed the facts and circumstances described in the charges against Dominic Ongwen as confirmed by the Pre-Trial Chamber.²³⁷

A. LRA as an organisation in 2002-2005

- 123. At the time relevant for the charges, i.e. from 1 July 2002 to 31 December 2005, the LRA had a hierarchical structure. Joseph Kony was the highest authority in the LRA. During the time period relevant for the charges, his deputy was Vincent Otti, who led a headquarters unit called Control Altar. Further, the LRA was divided into four brigades: Sinia, Stockree, Gilva and Trinkle. From 2003, there was also a division called Jogo. The brigades were divided into battalions and further into companies or 'coys'. Each of these units was led by a commander.
- 124. Orders were generally communicated from Joseph Kony directly or through Vincent Otti to the brigade commanders, who communicated them to the battalion commanders, who in turn passed them to their subordinates. Joseph Kony's orders were generally complied with. At the same time, in particular when Joseph Kony was geographically removed

²³⁶ In the electronic version of the judgment, the text of the finding of fact also functions as hyperlinks to the relevant sections of the evidentiary analysis: clicking on each such finding will direct to the corresponding evidentiary analysis.

²³⁷ The Chamber recalls that the text of the charges in the present case is contained at pages 71-104 of the Confirmation Decision, having its own numbering of paragraphs.

- from LRA units, brigade and battalion commanders took their own initiatives. This was regularly the case during the period of the charges, when Joseph Kony was in Sudan while various LRA units operated in Northern Uganda.
- 125. The LRA had at its disposal weapons and ammunition for use in military operations. It regularly seized weapons from the UPDF during combat. It also obtained weapons and other supplies from Sudan. The LRA supplied itself with food, medicines and other items of use by looting from civilians in Northern Uganda, in particular from IDP camps. The LRA relied on high-frequency radio as the principal mode of communication between units in various locations in Northern Uganda and Sudan.

B. Organisational features of the Sinia brigade

- 1. Structure and command
- 126. At the time relevant for the charges, i.e. from 1 July 2002 to 31 December 2005, Sinia brigade was led by a brigade commander, who had at his disposal a headquarters unit, which included the brigade intelligence officer, the brigade major, and the support commander.
- 127. Sinia brigade was composed of three battalions: Oka, Terwanga and Siba. Each of these battalions was led by a battalion commander, who reported to the brigade commander, and was composed of companies, or 'coys'.
- 128. Altogether, at the time relevant to the charges, between 1 July 2002 and 31 December 2005, Sinia brigade included several hundred soldiers.
 - 2. Ways to ensure capability to undertake military operations
- 129. Sinia brigade obtained new fighters through abductions of civilians. These abductions were targeted at civilians deemed capable for fighting, including young children. Following their abduction, recruits generally passed through initiation rituals, most regularly including anointment with shea butter, intended to instil obedience and prevent escape. Beating was also a common feature of such initiation. With some regularity, recruits were forced to brutally kill, or were forced to witness brutal killings, shortly after their abduction.

- 130. Upon abduction into Sinia brigade, recruits were given training in fighting skills, including the use of firearms. Weapons were distributed to recruits. As part of the training, recruits were also taught military discipline. Recruits were not taught, as part of their training, to distinguish between civilians and combatants, or between civilian objects and military objectives.
- 131. There were clear rules requiring obedience of LRA soldiers, and a violent disciplinary system that guaranteed adherence to them. LRA soldiers were punished, for example, for not executing orders, losing a gun, or failing to prevent abducted persons from escaping. Penalties ranged from beating to execution. Dominic Ongwen personally ordered disciplinary measures.
- 132. Sinia members, and LRA members generally, were threatened with death if they attempted escape. On certain occasions, execution of re-captured escapees in fact took place. Dominic Ongwen personally issued threats to LRA members that they would be killed if they attempted to escape, and ordered killings of abductees in front of LRA members to illustrate this threat. Members were also threatened that their home areas would be attacked by the LRA if they escaped. A further measure taken to discourage escaping was giving soldiers false or negative information about life outside of the LRA, including that they would be killed by government soldiers if they escaped and went home, and preventing them from obtaining information through public radio broadcasts.
- 133. Sinia, and more generally LRA members, especially those of lower rank, suffered from hunger and lack of adequate clothing. They regularly slept outside on the ground. There was no or very limited treatment available in case of sickness or injury.

C. Dominic Ongwen's position within the LRA

- 134. At the beginning of the period relevant for the charges, on 1 July 2002, Dominic Ongwen was battalion commander, in charge of the Oka battalion of Sinia brigade. Dominic Ongwen was promoted to the rank of major on 1 July 2002.
- 135. In October or November 2002 Dominic Ongwen was injured and placed in sickbay until around mid-2003. From at least December 2002 onwards, he again exercised his authority as battalion commander. In April 2003, Dominic Ongwen was briefly arrested

- by Vincent Otti. The arrest did not interrupt the exercise of his authority for any significant period.
- 136. On 17 September 2003, Joseph Kony appointed Dominic Ongwen as second-incommand of the Sinia brigade. On 15 November 2003, Joseph Kony promoted Dominic Ongwen to the rank of lieutenant colonel.
- 137. On 4 March 2004, Joseph Kony officially appointed Dominic Ongwen as brigade commander of Sinia brigade.
- 138. Dominic Ongwen remained Sinia commander until 31 December 2005, and further. On 30 May 2004, Joseph Kony promoted him to the rank of colonel, and sometime in late 2004 to the rank of brigadier.

D. Objectives and policies of the LRA

- 139. The LRA pursued an armed rebellion against the Government of Uganda.
- 140. The LRA perceived as associated with the Government of Uganda, and thus as the enemy, the civilians living in Northern Uganda, in particular those who lived in government-established IDP camps in Northern Uganda. LRA commanders routinely declared that civilians were failing to support the LRA in its effort against the government and should be killed by the LRA.
- 141. Dominic Ongwen knew that the LRA perceived, and also himself perceived, the civilians living in Northern Uganda as associated with the Government of Uganda and thus as the enemy.

E. Armed conflict and attack on civilians

- 142. Throughout the period of the charges, i.e. between 1 July 2002 and 31 December 2005, the LRA regularly fought the armed forces of the Government of Uganda and associated local armed units in Northern Uganda. Dominic Ongwen knew of this fact.
- 143. Throughout the period of the charges, in Northern Uganda, the LRA killed, injured and enslaved a large number of civilians in numerous attacks on individual civilians, IDP camps and other civilian locations. It also abducted and enslaved, and used as sexual

slaves and so-called 'wives', and as domestic servants a large number of civilians. Dominic Ongwen knew of these facts.

F. Attack on Pajule IDP camp

- 144. On 10 October 2003, LRA fighters, including Dominic Ongwen, attacked Pajule IDP camp. Pajule and Lapul IDP camps were situated in Aruu County, Pader district. The two camps were across from each other, Pajule on the east side of the Lira-Kitgum road and Lapul on the west. They were commonly referred to as 'Pajule IDP camp'. At the time of the attack, an estimated 15,000 to 30,000 people lived in the camp.
- 145. Several days before the attack on Pajule IDP camp, Vincent Otti summoned a number of LRA units to join him. Around that time, Dominic Ongwen and his group of fighters joined Vincent Otti.
- 146. A meeting took place the day before the attack at a location east of Pajule IDP camp, including Vincent Otti, Raska Lukwiya, Okot Odhiambo, Dominic Ongwen and other LRA commanders. Several hundred LRA members were present nearby. After the meeting, on the eve of the attack, the LRA soldiers were selected from the Control Altar, as well as Trinkle and Sinia brigades. Raska Lukwiya was designated as the overall commander for the attack. The attackers were briefed about the attack and instructed to attack the UPDF at the barracks, as well as civilian areas of the camp in order to loot radio equipment, food and other items. The attackers were also told to abduct civilians. The same evening after the briefing, the attackers, including Dominic Ongwen, departed for Pajule IDP camp. Vincent Otti remained behind.
- 147. In the early morning of 10 October 2003, several hundreds of LRA fighters, including fighters under the age of 15, entered through the Pajule side of the camp on the east, armed with an assortment of weapons, including SPG-9, AK-47s, a 12.7 mm anti-aircraft gun, RPGs, a PKM machine gun as well as pangas/machetes and knives. The LRA fighters were organised in several units, spreading throughout the camp. One group attacked the military barracks in the Lapul side of the camp, engaging with the Ugandan government forces. Another much smaller group went to the Catholic mission, from where it was repelled by government soldiers. Two small groups set up ambushes on the Pader and Kitgum roads, to prevent government military reinforcements from aiding the

- camp. A final large group of fighters went to attack the civilian camp. Amongst this group of fighters was Raska Lukwiya, the operations commander of the attack.
- 148. Government soldiers within the camp fled in the face of the attacking force, while government soldiers in the barracks managed to hold the barracks under heavy fire from the LRA.
- 149. Dominic Ongwen led a group of attackers to fight at the barracks, before directing them to attack the trading centre within the camp.
- 150. During the attack, LRA attackers, some of them led by Dominic Ongwen, broke into homes and shops and looted food and other property from them in Pajule IDP camp. There was widespread looting throughout the camp. The attackers raided the trading centre in the camp, broke into shops and took food items and supplies. Among the items looted by the LRA attackers were foodstuffs like beans, flour, salt, sugar, cooking oil, maize, sweets, biscuits, groundnuts, soda as well as household goods such as bedding, clothing, a radio set, saucepans and items such as medicine, livestock and money. Dominic Ongwen personally ordered LRA attackers to loot within the trading centre, ordering them to loot items from shops and homes within the camp. The LRA attackers complied with this order.
- 151. With the arrival of a government helicopter and reinforcement ground forces, LRA attackers left the camp with abductees and looted goods.
- 152. In the course of the attack, LRA fighters killed at least four civilians, most of whom were abductees killed because they tried to escape or refused to carry looted goods. The civilians killed by the LRA in the course of the attack on Pajule IDP camp included: an unnamed woman killed by machete, Kinyera Benson Lacung, Pangarasio Onek and unnamed abductee killed by the RV location.
- 153. LRA fighters abducted hundreds of civilians from the camp and forced them to carry looted items, including heavy loads, for long distances while retreating from the camp. Dominic Ongwen ordered a subordinate to abduct civilians. The order was immediately executed. Dominic Ongwen also led a group of abductees and ordered abductees to carry looted goods and instructed them not to drop items. Some abductees were forced to carry injured LRA fighters. The abductees were under armed guard to prevent their escape and

- were under constant threat of beatings or death. Some were tied to each other. Many of the abductees were forced to walk bare foot or not fully clothed through the bush for a long distance. LRA fighters beat abductees to make them walk faster.
- 154. Among the persons abducted from Pajule IDP camp were Witness P-0006, her neighbour, her three relatives; Witness P-0081; Richard Otim; Witness P-0249; Witness P-0249's wife; Benson Ojok; Dick Okot and his family members; Rwot Joseph Oywak; Charles Bongomin, Oryema Kadogo, Sunday Abalo, Charles Ayela, Concy Ayet; Ogen; Opira; Okumu; Santo Oweka; David Okwera; Francis Kitara; George; Ronald Labeja; Christopher; Celestino; Vincent Okot; Ocana; Charles Abonga; David Otti Moyo; Christine; Paska; Oluge; Opira Bosco and Oryema.
- 155. Abductees carried the looted items to a meeting place where the LRA met after the attackers left Pajule IDP camp. At this meeting point, the LRA collected the looted goods. Looted items were distributed within the LRA, including within Dominic Ongwen's group.
- 156. At the meeting point, Vincent Otti and other commanders addressed the abductees. Vincent Otti told abductees that the LRA reproached the civilians for settling in IDP camps set up by the government, and that there was a punitive nature to the LRA's attack on the camp. Dominic Ongwen also spoke to a group of abductees, telling them that anyone who escaped or dropped looted goods would be killed and that abductees would be trained as soldiers.
- 157. After the fighters returned from the camp, some abductees remained in the LRA and were distributed to various units, including among Dominic Ongwen's group.
- 158. Vincent Otti, the overall commander for the attack, communicated the results of the attack to Joseph Kony on the LRA radio communication system.

G. Attack on Odek IDP camp

159. On 29 April 2004, LRA fighters subordinate to Dominic Ongwen, and acting on his orders, attacked Odek IDP camp. Odek IDP camp was situated in Odek sub-county, Omoro County, Gulu district and at the time of the attack between 2000 and 3000 people lived in the camp.

- 160. Shortly before the attack on Odek IDP camp, Joseph Kony ordered that the people of Odek be attacked, to punish their perceived failure to support the LRA. Dominic Ongwen knew of Joseph Kony's order before the attack.
- 161. Dominic Ongwen decided that LRA soldiers under his command would attack Odek IDP camp. He coordinated with subordinate commanders and appointed them to lead the attack on the ground. Dominic Ongwen ordered the fighters to attack the camp in two groups, one focused on the military barracks in the camp and the other focused on the civilian areas. Dominic Ongwen and his subordinate commanders ordered LRA soldiers to target everyone they find at Odek IDP camp, including civilians, and also instructed them to loot food and abduct civilians. Dominic Ongwen ordered the selection of soldiers for the attack, and participated in a ritual and prayer before they set out. He encouraged the soldiers and repeated the orders to target everyone, including civilians, to loot and to abduct civilians. Afterwards, the LRA fighters left for Odek. The LRA fighters who went to attack Odek IDP camp were subordinate to Dominic Ongwen. They included fighters belonging to Sinia brigade, as well as two fighters from the Gilva brigade. The subordinate commanders Dominic Ongwen sent to attack Odek IDP camp included Ben Acellam, Okwer and Kalalang.
- 162. Dominic Ongwen moved with the attackers in the direction of Odek IDP camp. He did not enter Odek IDP camp with the fighters sent to attack.
- 163. In the late afternoon the day of the attack, Odek IDP camp residents gathered and a government soldier warned them to be vigilant as the LRA were believed to be operating in the area. As the residents and several government soldiers were dispersing from that gathering in the early evening, at least 30 LRA attackers, including children under the age of 15, executed Dominic Ongwen's orders and, with an assortment of arms including AK guns, a mortar and an RPG, a PK and a 'B-10' gun, attacked Odek IDP camp from the northern side of the camp.
- 164. The LRA fighters operated in two groups, pursuant to Dominic Ongwen's orders. One group of fighters attacked the military barracks, situated about a few hundred meters from the camp, killed some soldiers there and burned the barracks down, overwhelming the soldiers at the barracks. The other group of fighters spread into the civilian area, including the trading centre, where they dispelled several government soldiers and proceeded to

- attack the civilian residents, shooting, beating, abducting and forcing them to carry looted goods.
- 165. While in the camp, LRA attackers broke into homes and shops and looted food and other items from the camp, both from shops in the trading centre and from civilian homes, including items such as beans, cooking oil, maize, flour, soda and other beverages, biscuits, sugar, salt, posho, soap, clothes, saucepans, bedding, shoes. The food aid which had been recently distributed to the camp was looted by the attackers. The LRA distributed the items looted from Odek IDP camp to the households of different commanders, including Dominic Ongwen.
- 166. During the attack in the camp, a female LRA attacker raped resident of the camp, with a comb and a stick used for cooking, while the victim's husband was forced to watch. The rape was committed with such force that started to bleed.
- 167. Under orders to shoot civilians in the chest and head to ensure that they died, LRA fighters fired their weapons at civilians during the attack. At least 52 civilians died as a result of the injuries sustained in the camp or in the course of the retreat, while at least ten were the victims of attempted killings. Many civilians were shot as they ran away from the LRA. Among the victims were elderly civilians, children, a pregnant woman as well as women carrying babies tied to their back. The bodies of the dead were scattered everywhere across the camp. Witness P-0264, an LRA fighter, was ordered to spray bullets inside civilian houses. He also shot a civilian man dead. LRA also fighters set at least one hut on fire with civilians inside.
- 168. The LRA killed the following persons within the camp during their attack: Adoni Okullu, Agudu's wife and her grandson, Betty Adong and her daughter Ajok, Catherine Amono, Okeny, Aldo Okello, Ayita Labanya, Charles Obur, Doris Apiyo, Jenaro Ongwen, Jimmy Ojok, Catherine Laker, Kevin Apiyo, Kerobina Acayo, Kejikiya Okec, Veronica Auma, Mary Acayo, Monica Aciro, Wilson Okoya, Okoya, Obangomoko, Pedwang Opio, Thomas Opiyo, Thomas Ojok, Valentino Okot, Walter Ojok, Atikcon, an unnamed camp resident, Mary Agudu, Doreen Ojok, DP, Acayo, Aboni, Witness P-0269's mother-inlaw and her grandson, a girl found by the river.

- 169. LRA fighters also attempted to kill at least ten civilians. An LRA fighter fired shots through the door of a locked hut, killing the male inhabitant and wounding the female inhabitant in the neck. The LRA attempted to kill the following persons in the course of the attack: Santa Akello, Betty Atenyo, Christopher Moro, David Bua, Witness P-0252, Kengali and his wife, a man by a borassus palm tree, Okot LC's mother and a woman shot in the mouth.
- 170. About an hour after the LRA began their attack on Odek IDP camp, the LRA attackers retreated from the camp in the face of the arrival of government reinforcements.
- 171. When they left the camp, the LRA took with them abducted civilians and looted goods. In the course of the attack, LRA fighters abducted at least 40 civilian residents from the camp: men, women and children. Amongst many others Atir, Hilary Kilama, Lalam, Rose Aweko, David Ojok, James Titus Latigo, James Ayella, Kidega, Lagii, Patrick Opap Odong, P'Mala Okot, Ojok, Fabio Otto, Onek, Witness P-0275, Witness P-0269, Witness P-0252, Brian Odokonyero, Hellen Adong, Alice Kidega, Acan, Adaa/Ada, Agnes Adoch, Ajok, Akanyo, Apio, Atenyo, Carolina Lagulu, Dennis Otema, Doreen Aluku, Kadoge, Kala Adong, Joyce Aneno, Ocaka, Paul Ojara, Richard Okello, Santa Oling, Ventorina Akello, and the wife of Olet Okello were abducted and forced to work for the LRA.
- 172. Abductees, including children as young as 11 or 12 years old, were forced to carry looted items away from the camp. Abductees were under armed guard to prevent their escape and were under constant threat of beatings or death. Civilians were forced to carry heavy loads for long distances under constant threat of harm; some abductees walked these long distances barefoot. Abductees were forced to carry an injured fighter.
- 173. Civilians suffered instances of grave physical abuse at the hands of the LRA fighters, such as beatings with sticks and guns. Abductees were beaten for walking too slowly. One abductee was forced to kill another abductee with a club and forced to inspect corpses. Another abductee was forced to watch someone being killed. Some mothers were forced to abandon their children on the side of the road; one child was left on a rubbish pit.
- 174. Some civilians from the camp were killed when they struggled or tried to escape. One deceased was beaten so badly that his brain was exposed. LRA fighters killed a young

abductee because his feet were too swollen and he was unable to walk any further. Nine adult men were abducted from the camp and forced to carry an LRA fighter who had been wounded during the attack; LRA fighters later killed all nine men when the LRA commander died from his injury.

- 175. The LRA killed the following persons in the course of the retreat from Odek IDP camp: Aroja, Atir, Hilary Kilama, Lalam, Rose Aweko, David Ojok, James Ayella, James Titus Latigo, Kidega, Lagii, Patrick Opap Odong, P'Mala Okot, Ojok and Fabio Otto.
- 176. Apart from the abductees killed during the retreat, some abductees were released after a few days in the bush, others were integrated into the LRA, including into Dominic Ongwen's household.
- 177. After the attack, the attackers joined the rest of the group commanded by Dominic Ongwen. The subordinate commanders briefed Dominic Ongwen. Dominic Ongwen thanked the fighters. Dominic Ongwen communicated the results of the attack on military radio to other LRA commanders and to Joseph Kony, reporting that his fighters successfully carried out an attack on Odek IDP camp, shooting people, abducting civilians and looting in the camp.

H. Attack on Lukodi IDP camp

- 178. On or about 19 May 2004, LRA fighters, sent by Dominic Ongwen, attacked Lukodi IDP camp. Lukodi IDP camp was situated in Bungatira Sub-County, Aswa County, Gulu District and a large contingent of civilians resided in the camp at the time of the attack.
- 179. Dominic Ongwen decided to attack Lukodi IDP camp. For the purpose of the attack, he gathered soldiers from Sinia as well as from the nearby Gilva brigade sickbay. At a gathering the morning of the day before the attack, Dominic Ongwen instructed LRA fighters to attack Lukodi IDP camp and everyone present at that location, including civilians, and to take food from the camp. Dominic Ongwen selected his subordinate Ocaka to be commander on the ground. Other commanders on the ground included Ojok Kampala, Oyenga, Kobbi, Ojara and Abonga Won Dano. After receiving the orders given by Dominic Ongwen, the attackers left for Lukodi IDP camp. They arrived at Lukodi in the evening of the following day after setting out. Dominic Ongwen stayed behind at the location of the pre-attack gathering.

- 180. In the evening on the day of the attack, at least 80 LRA fighters, including fighters under the age of 15, executed Dominic Ongwen's orders and armed with an assortment of weapons, including an RPG, an SMG, a PK, AK-47s, and a '12', as well as machetes/pangas, attacked Lukodi camp from the east.
- 181. The LRA fighters went into the civilian areas of the camp and some fighters went to barracks to fight the government soldiers. Government soldiers engaged the LRA fighters and then quickly fled. The remaining civilian population in the camp were left defenceless. LRA fighters targeted civilians within the camp with acts of violence.
- 182. LRA fighters killed civilians in Lukodi IDP camp: men, women and children. At least 48 civilians died as a result of injuries sustained in the attack. Civilians were shot, burnt and beaten to death.
- 183. The following persons were among those killed by the LRA within the camp: Keneri Okot, Jeneth Lakot, Kilama Aloyo, Kilama Kidega, Jackline Anee, Milly Anek, Akello Acii, Innocent Okello, Ojoko, unnamed man shouting at the LRA, Christine Ajok, Odong Apiyo, David Otim and an unnamed stabbing victim, Agwesa Odoch, Beatrice's son, Charles Odong, Jasinta Aol, Jojina Angom, Lalobo's son, Tezira Oroma, Ojara, Okwera, Olwedo, Ocaka's wife and one unnamed teenage girl, Onencan, Witness P-0024's mother and Min Ojoko, Ocii, Atim, Charles Anywar, Danger Joseph Oryem, James Opiro, Jeneth Lalur Akello, Joseph Ojara, Obedi, Rose Kiter, Tabicha Alum, Vincent Ocaya and Santa Oroma.
- 184. LRA fighters attempted to kill at least 11 other civilians who managed to survive. The fighters shot at civilians and in some cases wounded them. Civilians were beaten and left for dead. Civilians, including children, were thrown into burning houses. The following persons were among those who survived these LRA acts of violence: Pyerina Ayaa, Florence Adong, Adong Paska, Piloya, Joel Opiyo, Ojoko, an unnamed elderly woman, Nyeko, two unnamed girls who had been shot and an unnamed girl with a burnt leg.
- 185. LRA fighters entered civilian homes and shops in Lukodi IDP camp and looted food and other property from them. Among the items stolen by the attackers were beans, maize, cooking oil, soap, cooking utensils, chickens, money and clothes.

- 186. During their attack on the camp, LRA fighters set huts on fire. Approximately 210 civilian huts in the camp were burnt. Civilians' household goods, including food stocks, were destroyed in these fires. Domestic animals such as goats were also burnt by the LRA.
- 187. LRA fighters abducted at least 29 civilians, men, women and children, to carry looted goods from the camp. Among the abductees were Witness P-0024, Olanya, Aleka, Onek, four unnamed male abductees, Witness P-0187, two unnamed female abductees, Joel Opiyo, Lilly Apiyo, Christine Alanyo, Milly Ayaa, Beatrice, Mary Aol, Min Lagum, Min Ojak, Min Ochora, Alora, Okumu, Nancy Akello, an unnamed man stabbed with a bayonet, an unnamed abductee, Justin Omony, Lakwec, Aleka, and Charles Obwoya. Some of the abductees were tied together. Civilians were forced to carry heavy loads, some for long distances, while tied together and under constant threat of harm. The abductees were under armed guard to prevent their escape and were under constant threat of beatings or death. Abductees were also injured by the LRA, Witness P-0187 was wounded by an LRA fighter. She was also raped by an LRA fighter. Witness P-0024 was beaten throughout her abduction. LRA fighters kept slapping her and threatening her. Some abductees were carrying their babies when the LRA took them. Mothers were forced to abandon their children in the bush. LRA fighters threw small children, including babies, into the bush because the children were crying and making it difficult for their mothers to carry looted goods. Many of the women abductees were subsequently released. Some abductees, particularly men, were killed in the bush. Some of the abducted children remained with the LRA.
- 188. The killing of civilians was not confined to the area of Lukodi IDP camp. After they left Lukodi, LRA fighters killed persons they had abducted from the camp. Among the civilians killed in the retreat were Nancy Akello, an unnamed man stabbed with a bayonet, an unnamed abductee, Justin Omony, Aleka, and Charles Obwoya.
- 189. LRA fighters returned from the attack and reported to Dominic Ongwen about the success of their mission. Dominic Ongwen also reported his soldiers' attack on Lukodi IDP camp to other LRA commanders, including Joseph Kony and Vincent Otti. Dominic Ongwen took responsibility for the attack on Lukodi IDP camp.

I. Attack on Abok IDP camp

- 190. On 8 June 2004, LRA fighters subordinate to Dominic Ongwen attacked Abok IDP camp. Abok IDP camp was situated in Ngai sub-county, Apac district and contained thousands of civilians displaced by frequent LRA attacks in the region.
- 191. In the days and weeks preceding the attack, Joseph Kony and Vincent Otti instructed Dominic Ongwen to continue to attack civilians in IDP camps.
- 192. Dominic Ongwen chose to attack Abok IDP camp. Prior to the attack, Dominic Ongwen ordered LRA fighters subordinate to him to attack this camp, including civilians. At a gathering in the foothills of Atoo, Dominic Ongwen addressed the troops before the attack and gave instructions to go and collect food, abduct people, attack the barracks and burn down the camp and the barracks. Dominic Ongwen did not go to Abok as part of the fighting force. He appointed Okello Kalalang to command the attackers on the ground according to his instructions. Kalalang led the LRA fighters in the attack on Abok IDP camp on behalf of Dominic Ongwen.
- 193. The day of the attack, Abok IDP camp residents observed LRA troops moving in the western side of the camp. A contingent of Ugandan government soldiers were sent to track the LRA activity. However, the LRA eluded the government soldiers. In the evening of that day, at least 20 LRA fighters, including fighters under the age of 15, executed Dominic Ongwen's orders and, with an assortment of arms, including guns, attacked Abok IDP camp from the southwest.
- 194. The LRA fighters went past the old barracks in the south of the camp and entered the camp, firing their guns. LRA fighters went to the civilian area in the camp and a contingent of the fighters eventually ended up near the new barracks in the north east of the camp. While government soldiers were able to defend the new barracks, the soldiers within the camp itself were not able to stop the LRA's attack on the camp. These soldiers in the camp fled. The LRA attacked the civilians in the camp.
- 195. The LRA fighters looted civilian houses and shops at the trading centre, taking away food items such as sugar, flour, beans, maize, goats, cooking oil, biscuits and salt, as well as a radio, money, clothing, cooking utensils and medicine. At times, while demanding the goods, LRA fighters would use violence.

- 196. LRA fighters set huts on fire in the camp, taking grass from burning thatched roofs to set other huts on fire. Several hundred civilian homes were burnt during the attack. Civilians' food stocks were also destroyed.
- 197. In the course of the attack, LRA fighters killed civilians by shooting, burning and/or beating them. The attackers killed at least 28 civilian residents of the camp. In other instances, killings were not fully carried out by the LRA fighters because of independent circumstances. Survivors returning the next morning found bodies strewn throughout the camp, including children. Some bodies were burnt or had the backs of their heads smashed, others had been shot. Dead bodies were found inside houses, at doorsteps and among the remains of burnt huts. These civilians were killed by LRA fighters. One of Dominic Ongwen's subordinate commanders intruded into a house with over 10 inhabitants, forced several to carry looted goods and then closed the door and set fire to the house with the remaining inhabitants inside.
- 198. The following persons were amongst those killed by the LRA in the course of the attack: Albino Okal, Justina Akullu, Hatari Anyima, D.P. Okello, Simon Okello, Alex Ogweng, Barikia Adonya, Fabio Ogweng and two of Hatari Anyima's children (Daniel Okite and Monica Ayugi) and Evelyn Akello.
- 199. LRA fighters also attempted to kill civilians in the camp. The following persons were amongst those the LRA attempted to kill within the camp: Jacob Opio, Cyprian Ogola and Robson Oper.
- 200. Unable to dislodge the government soldiers who remained holed up in the new barracks, the LRA eventually retreated from the camp.
- 201. LRA fighters abducted many civilians and forced them to carry heavy looted goods, and an injured fighter, for long distances oftentimes under the threat of beatings or death. Some of the abductees were tied to each other. The abductees were under armed guard to prevent their escape and were under constant threat of beatings or death. LRA fighters beat abductees as a means of punishment for not being able to continue walking and to intimidate other abductees to continue without stopping or resisting. In the course of the retreat, LRA fighters forced an abductee to kill another abductee with a club, as a lesson to others who were thinking of escaping.

- 202. The LRA attempted to kill abductee Gwentorina Akite, an elderly woman. They had abducted her from the camp and forced her to carry heavy loads, including at one point two goats. When she could no longer bear the weight, an LRA fighter beat and strangled her and cut her with a machete. LRA fighters passing her on the road hit her. She was left for dead but managed to crawl back home to the camp.
- 203. Among the civilians abducted and forced to work for the LRA were Charles Amodo, Gwentorina Akite, Evelyn Akello, Robson Oper, Witness P-0280, Thomas Okitte's daughter, Ogweng, Ameny, Lucy Akello, Molly Ayugi, Monica Adur, Nighty Atim, Dilis Awor and Witness V-0002. Some persons abducted by the LRA during the attack were rescued by a UPDF contingent that pursued the LRA fighters as they left Abok IDP camp. Some abductees were killed in captivity, at times for failing to keep up with their captors, others eventually escaped and returned home, some remained with the LRA, including in Dominic Ongwen's household.
- 204. Dominic Ongwen communicated the results of the attack on the LRA military radio to other LRA commanders and to Joseph Kony, reporting that his fighters carried out an attack on Abok IDP camp, directing fire and burning everything that was there, including huts in the camp.

J. Sexual and gender based violence directly perpetrated by Dominic Ongwen

(Witness P-0099) had been abducted by LRA fighters from Purongo, Northern Uganda in February 1998 and from there taken by the LRA to Sudan. By 1 July 2002, while in Sudan, she had been forced to become Dominic Ongwen's so-called 'wife'. Dominic Ongwen had personally abducted (Witness P-0101) from Northern Uganda in August 1996. Dominic Ongwen immediately took her into his household, where she stayed until her release in 2004. (Witness P-0214) had been abducted from Laliya, Northern Uganda, by LRA fighters in June 2000 and from there taken to Sudan. In September 2002, she was 'distributed' to Dominic Ongwen. (Witness P-0226) had been abducted from her home at Patiko Cetkana, Lukome, Northern Uganda, by LRA fighters under Dominic Ongwen's command around 1998. By 1 July 2002, she was a ting ting in Dominic Ongwen's household. (Witness P-0227) was abducted from Pageya, Northern Uganda by LRA fighters under Dominic Ongwen's command in approximately April 2005. She joined Dominic

Ongwen's household. (Witness P-0235) was abducted by the LRA fighters in Kitgum town in September 2002. After her abduction she was placed in Dominic Ongwen's household. (Witness P-0236) was abducted from Wang'yaa in Ogule, Pajule, Northern Uganda, by LRA fighters in September 2002. She was 'distributed' to Dominic Ongwen.

- 206. The seven women 'distributed' to Dominic Ongwen were not allowed to leave. Dominic Ongwen placed them under heavy guard. They were told or came to understand that if they tried to escape they would be killed. P-0099, P-0101, P-0214, P-0226 and P-0227 were considered Dominic Ongwen's so-called 'wives' and had to maintain an exclusive conjugal relationship with him. Being Dominic Ongwen's so-called 'wife' did not cease until they escaped or were released from the LRA.
- 207. During the period relevant to the charges, Dominic Ongwen had sex by force with P-0101 (between 1 July 2002 and July 2004), P-0214 (between September 2002 and 31 December 2005), P-0226 (between 1 July 2002 and sometime in 2003) and P-0227 (between approximately April 2005 and 31 December 2005). This happened on a repeated basis whenever Dominic Ongwen wanted. P-0101 became pregnant and gave birth to a girl fathered by Dominic Ongwen sometime between July 2002 and July 2004. In 2004, P-0101 became pregnant and gave birth to a boy fathered by Dominic Ongwen. In 2005, P-0214 became pregnant and, in December 2005, gave birth to a girl fathered by Dominic Ongwen.
- 208. The seven women were subjected to beating at Dominic Ongwen's command at any time. They were hit with canes and sticks. Some beatings knocked them unconscious, left them unable to walk and left permanent scars. The seven women had to perform different domestic duties, including cooking, working in the garden, doing laundry, fetching and chopping wood, carrying Dominic Ongwen's dishes, fetching water, washing, nursing Dominic Ongwen when he was injured and taking things to him. Failing to perform these tasks led to punishment by beating.
- 209. On 1 July 2002, Dominic Ongwen forced P-0226 to beat to death a captured UPDF soldier near Patongo, Northern Uganda. P-0226 hit him once, as did other girls. She had blood splattered on her clothes. P-0226 had never killed anyone before, and this was part

- of the reason given by Dominic Ongwen on why he selected her to do this. This experience caused her severe anguish.
- 210. In late 2002 or early 2003 in Northern Uganda, soon after P-0235's abduction, Dominic Ongwen ordered her to, along with other abductees, beat people to death until their blood splashed on the abductees. Although she eventually did not have to carry out the killings, this experience caused her severe anguish.
- 211. From 1 July 2002 until her escape in September 2002, P-0099 was in Uganda. She was placed by Dominic Ongwen in a sickbay where she continued her life under identical circumstances. P-0101 was released from the LRA in July 2004. P-0214 and P-0227 escaped in 2010 while in the DRC. P-0226 was captured by government soldiers in 2003 in Koch. P-0235 and P-0236 were released from the LRA in April 2015.

K. Sexual and gender based violence not directly perpetrated by Dominic Ongwen

- 212. Dominic Ongwen, Joseph Kony and the Sinia brigade leadership engaged in a coordinated and methodical effort, relying on the LRA soldiers under their control, to abduct women and girls in Northern Uganda and force them to serve in Sinia brigade as so-called 'wives' of members of Sinia brigade, and as domestic servants.
- 213. Dominic Ongwen, Joseph Kony and the Sinia brigade leadership ordered Sinia brigade soldiers to abduct civilian women and girls. Sinia brigade soldiers, in execution of orders of Joseph Kony, Dominic Ongwen and the Sinia brigade leadership, abducted civilian women and girls in Northern Uganda between 1 July 2002 and 31 December 2005. At any time during this period, there were over one hundred abducted women and girls in Sinia brigade.
- 214. Following their abduction, the abducted women and girls were 'distributed' to members of Sinia brigade. The 'distribution' of the abducted women and girls was the prerogative of Joseph Kony, or, in his absence, of the Sinia brigade commander or battalion commanders. In the exercise of his authority, Dominic Ongwen also personally decided on the 'distribution' of abducted women and girls.
- 215. The abducted women and girls were threatened with death if they attempted to escape. In some cases, women and girls were in fact killed for attempted escape. In other cases,

they were severely beaten. In addition, they were placed under heavy guard. Abducted women and girls were also forced to beat or kill other abductees for attempting escape or breaking rules. Occasionally, abducted women and girls were released.

- 216. Joseph Kony, Dominic Ongwen and the Sinia brigade leadership designated abducted women and girls as so-called 'wives' of male members of Sinia brigade. Occasionally, ceremonies were performed to mark the so-called 'marriage'. Frequently no such ceremony occurred, and abducted women and girls were considered so-called 'wives' from the time they were first forced to have sex with the man they had been assigned to. The abducted women and girls were not able to refuse. Dominic Ongwen personally assigned women and girls as so-called 'wives' and used his authority as LRA commander to enforce the so-called 'marriage' in Sinia brigade.
- 217. Younger abducted girls were used as household servants, referred to as *ting tings*, until they were considered mature enough to become so-called 'wives'.
- 218. Sinia brigade members regularly forced abducted women and girls who had been 'distributed' to them into sexual intercourse. Sexual intercourse was specifically considered to be part of the role of the so-called 'wives'. The women and girls were unable to resist, due to the physical force used by the Sinia brigade members and due to the threat of punishment for disobedience and their dependence on the Sinia brigade members for survival.
- 219. The abducted women and girls were not allowed to have sexual or romantic relations to any man other than the so-called 'husband' assigned to them.
- 220. The abducted women and girls were forced to perform work, such as household work and carrying items. Also this rule was strictly enforced by physical punishment.
- 221. As a result of the sexual and physical violence, and the living conditions to which they were submitted, the abducted women and girls suffered severe physical and mental pain.

L. Conscription and use of children in armed hostilities

222. Dominic Ongwen, Joseph Kony and the Sinia brigade leadership engaged in a coordinated and methodical effort, relying on the LRA soldiers under their control, to

- abduct children under 15 years of age in Northern Uganda and force them to serve as Sinia fighters.
- 223. Dominic Ongwen, Joseph Kony and the Sinia brigade leadership ordered Sinia soldiers to abduct children to serve as Sinia soldiers. Sinia soldiers, in execution of orders of Joseph Kony, Dominic Ongwen and the Sinia brigade leadership, abducted a large number of children under 15 years of age in Northern Uganda between 1 July 2002 and 31 December 2005. Children under the age of 15 were also abducted during the four attacks relevant to the charges. Dominic Ongwen also abducted children himself.
- 224. Following their abduction, children under the age of 15 years were integrated into Sinia with the aim of using them in hostilities. Dominic Ongwen knew that children under 15 years of age were integrated into Sinia as soldiers. The abducted children were trained, in some cases received guns, and were assigned to service in Sinia. In some cases, Dominic Ongwen himself assigned abducted children to service within the Sinia brigade. The children served as escorts in Sinia brigade in general and specifically in Dominic Ongwen's household.
- 225. Children under 15 years of age serving as soldiers in Sinia brigade took part in fighting. They further facilitated LRA attacks by raising alarms, burning and pillaging civilian houses, collecting and carrying pillaged goods from attack sites and serving as scouts. During all four attacks relevant to the charges, children under the age of 15 participated in the hostilities.

IV. ASSESSMENT OF EVIDENCE

A. The standard of proof of 'beyond reasonable doubt'

- 226. Everyone is presumed innocent until proved guilty before the Court.²³⁸ The onus is on the Prosecution to prove the guilt of the accused.²³⁹
- 227. In accordance with Article 66(3) of the Statute, the Chamber, in order to convict the accused must be convinced of the guilt of the accused beyond reasonable doubt. The standard of beyond reasonable doubt is to be applied to any facts indispensable for entering a conviction, namely those constituting the elements of the crimes or modes of liability charged. ²⁴⁰ For this determination, the Chamber must carry out a holistic evaluation and weighing of all the evidence taken together in relation to the facts at issue. ²⁴¹
- 228. As is clear from its plain meaning, reasonable doubts must be grounded in reason. The reasonable doubt standard cannot consist of imaginary or frivolous doubt it must have a rational link to the evidence, lack of evidence or inconsistencies in the evidence.²⁴²
- 229. The possibility that unavailable evidence may include exculpatory information is too hypothetical, without more, to qualify as a reasonable doubt. Accepting such a proposition is akin to requiring proof beyond all doubt, while what is required is instead proof beyond reasonable doubt.

²³⁸ Article 66(1) of the Statute.

²³⁹ Article 66(2) of the Statute.

²⁴⁰ Appeals Chamber, *The Prosecutor v. Thomas Lubanga Dyilo*, Public Redacted Version of Judgment on the appeal of Mr Thomas *Lubanga* Dyilo against his conviction, I December 2014, ICC-01/04-01/06-3121-Red (hereinafter: '*Lubanga* Appeals Judgment'), para. 22; Appeals Chamber, *The Prosecutor v. Bemba et al.*, Public Redacted Version of Judgment on the appeals of Mr Jean-Pierre *Bemba* Gombo, Mr Aimé Kilolo Musamba, Mr Jean-Jacques Mangenda Kabongo, Mr Fidèle Babala Wandu and Mr Narcisse Arido against the decision of Trial Chamber VII entitled "Judgment pursuant to Article 74 of the Statute", 8 March 2018, ICC-01/05-01/13-2275-Red (hereinafter: '*Bemba et al.* Appeals Judgment'), paras 96, 868; Appeals Chamber, *The Prosecutor v. Mathieu Ngudjolo Chui*, Public Version of Judgment on the Prosecutor's appeal against the decision of Trial Chamber II entitled "Judgment pursuant to article 74 of the Statute", 7 April 2015, ICC-01/04-02/12-271-Corr, paras 123-25. ²⁴¹ *Lubanga* Appeals Judgment, para. 22; Trial Chamber VI, *The Prosecutor v. Bosco Ntaganda*, Judgment, 8 July 2019, ICC-01/04-02/06-2359 (with public annexes A, B, C, hereinafter: '*Ntaganda* Trial Judgment'), para. 45. ²⁴² Appeals Chamber, *The Prosecutor v. Mathieu Ngudjolo Chui*, Public Version of Judgment on the Prosecutor's appeal against the decision of Trial Chamber II entitled "Judgment pursuant to article 74 of the Statute", 7 April 2015, ICC-01/04-02/12-271-Corr, para. 109, *with reference to* ICTR, Appeals Chamber, *Georges Anderson Nderubumwe Rutaganda v. The Prosecutor*, Judgement, 26 May 2003, ICTR-96-3-A, para. 488.

- 230. The Chamber notes that there has been a considerable amount of litigation on the issue of burden and standard of proof with respect to grounds excluding criminal responsibility.²⁴³ The Defence argues that the burden of proof lies with the Prosecution, and that 'the Prosecution must disprove each element of 31(a) and (d) beyond a reasonable doubt'.²⁴⁴ The Prosecution, on the other hand, submits that neither party bears the burden of proof, and that 'the ultimate responsibility for determining the applicability of article 31 grounds lies with the Chamber'.²⁴⁵
- 231. The Chamber notes that there is no specific provision in the Statute regulating the burden and standard of proof with respect to grounds excluding criminal responsibility. However, this is not a lacuna in the Statute. According to Article 66(2) and (3), the burden of proof (incumbent on the Prosecution) and the standard of proof (beyond reasonable doubt) relate to the 'guilt of the accused'. When a finding of the guilt of the accused also depends on a negative finding with respect to the existence of grounds excluding criminal responsibility under Article 31 of the Statute, the general provisions of Article 66(2) and (3) on the burden and standard of proof equally apply, operating (as is always the case for the determination on the guilt or innocence of the accused) solely with respect to the facts 'indispensable for entering a conviction', namely, in this case, the absence of any ground excluding criminal responsibility and, thus, the guilt of the accused.

²⁴³ See Prosecution Closing Brief, para. 380; Defence Closing Brief, paras 529-34; Defence Request for the Chamber to Issue an Immediate Ruling Confirming the Burden and Standard of Proof Applicable to Articles 31(1)(a) and (d) of the Rome Statute, 28 January 2019, ICC-02/04-01/15-1423 (with two public annexes, A and B); Prosecution Response to the "Defence Request for the Chamber to Issue an Immediate Ruling Confirming the Burden and Standard of Proof Applicable to Articles 31(1)(a) and (d) of the Rome Statute (ICC-02/04-01/15-1423)", 7 February 2019, ICC-02/04-01/15-1439.

²⁴⁴ <u>Defence Closing Brief</u>, paras 529-34. *See also* <u>Defence Request for the Chamber to Issue an Immediate Ruling Confirming the Burden and Standard of Proof Applicable to Articles 31(1)(a) and (d) of the Rome Statute, 28 January 2019, ICC-02/04-01/15-1423 (with two public annexes, A and B), para. 17.</u>

²⁴⁵ Prosecution Closing Brief, paras 380, 476. See also Prosecution Response to the "Defence Request for the Chamber to Issue an Immediate Ruling Confirming the Burden and Standard of Proof Applicable to Articles 31(1)(a) and (d) of the Rome Statute (ICC-02/04-01/15-1423)", 7 February 2019, ICC-02/04-01/15-1439, para. 23.

B. The evidence in the case

- 1. The basis for the Chamber's decision under Article 74 of the Statute: evidence 'submitted' and 'discussed' at trial
- 232. Pursuant to Article 74(2) of the Statute, the decision of a trial chamber on the guilt or innocence of the accused shall be based on 'evidence submitted and discussed before it at trial'.
 - i. Evidence 'submitted' at trial
- 233. The Chamber notes that in interpreting the provision of Article 74(2) of the Statute, read in conjunction with the other relevant provisions of the Court's legal instruments, the Appeals Chamber explained that evidence is properly before a trial chamber for the purpose of its decision under Article 74 of the Statute when it has been 'submitted' i.e. 'presented to the Trial Chamber by the parties on their own initiative or pursuant to a request by the Trial Chamber for the purpose of proving or disproving the facts in issue before the Chamber'²⁴⁶ in accordance with the procedure set out by the trial chamber (and 'discussed') at trial unless it is ruled as irrelevant or inadmissible.²⁴⁷ Accordingly, '[a]ny item of submitted evidence that is not excluded at trial must [...] be presumed to be considered by a trial chamber not to be inadmissible under any applicable exclusionary rule'.²⁴⁸ Importantly, the act of 'submission' of evidence is a procedural act performed by the parties.²⁴⁹
- 234. Evidence may be 'submitted' orally when given live by witnesses called to testify before a trial chamber²⁵⁰ or, when documentary in nature, in writing or in audio or visual form. According to article 64(8)(b) of the Statute 'the parties may submit evidence in

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²⁴⁶ Appeals Chamber, *The Prosecutor v. Jean-Pierre Bemba Gombo*, <u>Judgment on the appeals of Mr Jean-Pierre Bemba Gombo</u> and the Prosecutor against the decision of Trial Chamber III entitled "Decision on the admission into evidence of materials contained in the prosecution's list of evidence", 3 May 2011, ICC-01/05-01/08-1386, para. 43. *See also <u>Bemba et al. Appeals Judgment</u>*, para. 576.

²⁴⁷ Bemba et al. Appeals Judgment, paras 9, 105, 599.

²⁴⁸ Bemba et al. Appeals Judgment, paras 9, 599.

²⁴⁹ <u>Bemba et al. Appeals Judgment</u>, para. 576, referring, *inter alia*, to the provisions of Articles 64(8)(b) and 69(3) of the Statute. The Appeals Chamber concluded in this regard that 'it is the evidence that is presented ("submitted") by the parties that – insofar as "discussed" – constitutes the basis of the eventual decision under article 74 (2) of the Statute, rather than evidence "admitted" by the trial chamber'.

²⁵⁰ See also Rule 140(2) of the Rules according which, in specifying how a witness may be questioned, refers, under sub-paragraph (a) to the right of the party that 'submits evidence [...] by way of a witness' to question that witness.

accordance with the provisions of th[e] Statute' and '[s]ubject to any directions of the presiding judge'. ²⁵¹ Specifically with respect to the procedure for an item of documentary evidence to be considered as 'submitted' within the meaning of Article 74(2) of the Statute, the Appeals Chamber, upon consideration of the relevant legal framework of this Court, held that a trial chamber has discretion to either: (i) recognise the submission of such item of evidence by a party without a prior ruling on its relevance and/or admissibility and consider its relevance and probative value as part of the holistic assessment of all evidence submitted when deciding on the guilt or innocence of the accused; or (ii) rule on the relevance and/or admissibility of such item of evidence as a pre-condition for recognising it as 'submitted' within the meaning of Article 74(2) of the Statute, and assess its weight at the end of the proceedings as part of its holistic assessment of all evidence submitted.²⁵² The Appeals Chamber clarified in this regard that, irrespective of the procedure adopted in each individual case, 'both the procedure for the submission of evidence at trial and the status of each piece of evidence as "submitted" within the meaning of article 74 (2) of the Statute must be clear' as '[t]his is a fundamental guarantee for the rights of the parties at trial as well as for the purpose of any subsequent appellate review'.253

²⁵¹ Rule 140(1) of the Rules further specifies in this regard that '[i]f the Presiding Judge does not give directions under article 64, paragraph 8, the Prosecutor and the defence shall agree on the order and manner in which the evidence shall be submitted to the Trial Chamber'.

²⁵² Bemba et al. Appeals Judgment, paras 8, 598. The Defence suggests, in passing and without further elaboration, that these findings by the Appeals Chamber in its judgment in the Bemba et al. case concern only proceedings for offences against the administration of justice under Article 70 of the Statute, and that it is 'inappropriate' to employ the procedure for submission of documentary evidence set out in that case (and endorsed by the Appeals Chamber) in the present case which is a case for crimes under Article 5 of the Statute (Defence Closing Brief, para. 100). This proposition by the Defence is based exclusively on a remark expressed in a minority opinion appended to a judgment rendered by the Appeals Chamber on another appeal. The Chamber considers the Defence submission in this respect untenable. There exists no indication – whether explicit or implicit – in the judgment rendered by the Appeals Chamber in the Bemba et al. case that the analysis and conclusions made therein are specific to proceedings for offences under Article 70 of the Statute and are not equally applicable to proceedings for crimes under Article 5 of the Statute. To the contrary, all relevant provisions in the applicable law cited by the Appeals Chamber (and all considerations made in their regard) are entirely applicable, without any distinction, to proceedings under both types of crimes. There exists not a single instance in the entire analysis made by the Appeals Chamber in this regard (paras 552-628) in which a provision applicable only to proceedings for offences against the administration of justice (i.e., at that time, Article 70 of the Statute and Rules 162-169) is even mentioned at all; nor is any consideration concerning the types of offences that were the subject of those proceedings made at any point in the Appeals Chamber's analysis. To the contrary, all references to the legal framework of the Court, the intentions of their drafters, and any alleged prejudice to the rights of the accused are relevant to any type of criminal proceedings conducted at the Court. Also, the Chamber notes that the amount of documentary evidence submitted in the Bemba et al. case was even larger than in the present case, although remaining within a comparable range, further making any purported distinction in this regard devoid of any merit. ²⁵³ <u>Bemba et al. Appeals Judgment</u>, paras 9, 599.

- 235. The two procedures for submission of documentary evidence while essentially the same with respect to numerous aspects ²⁵⁴ differ in whether they envisage a distinct procedural step in the course of the trial consisting in an affirmative determination of the relevance and probative value of an item of evidence prior to it being considered as 'submitted' within the meaning of Article 74(2) of the Statute; an additional procedural step which is foreseen under the second procedure recalled above, and which is instead absent in the first one. This difference stems from the fact that in the legal system of the Court a separate ruling by a trial chamber on the relevance and probative value of an individual item of documentary evidence under Article 69(4) of the Statute is discretionary in nature, ²⁵⁵ and that no admissibility 'test' beyond that of not being inadmissible by virtue of the operation of specific exclusionary rules or 'procedural bars' is envisaged as such in the applicable law for an item of evidence to be 'submitted' at trial. ²⁵⁶ Chambers may thus determine that separate rulings under Article 69(4) of the Statute are justified in the specific circumstances of an individual case, but are not mandated to do so. ²⁵⁷
- 236. Under both procedures, evidence which is not excluded by a trial chamber is eligible to be used for the determination on the guilt or innocence of the accused.²⁵⁸ Each procedure

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²⁵⁴ In particular, under both procedures, all material disclosed between the parties could potentially appear on their respective lists of evidence, the production of which, while not being a statutory requirement for trial proceedings, has been found to be a best practice in all trials at the Court (<u>Chamber's Practice Manual (4th Edition)</u>, 29 November 2019, p. 21). Parties submit items on these lists into evidence, either orally or in writing. Generally, under Rule 64(1) of the Rules, issues relating to the relevance or admissibility are raised at the time when the evidence is submitted. Procedural bars which could preclude the submission of an item into evidence are considered, and ruled upon as appropriate, when such item is submitted by a party to the Chamber. All items of evidence which are part of the evidentiary record of the case are considered – and their relevance, reliability and weight assessed holistically in light of all other evidence therein – when determining the guilt or innocence of the accused. Conversely, in accordance with Rule 64(3) of the Rules, evidence which is ruled 'irrelevant' or 'inadmissible' is not considered by the Chamber for the purpose of its eventual determination on the guilt or innocence of the accused.

²⁵⁵ See, in particular, Article 69(4) of the Statute. See also Bemba et al. Appeals Judgment, paras 583-87, 598.

²⁵⁶ <u>Bemba et al.</u> Appeals <u>Judgment</u>, para. 583 ('the Appeals Chamber is not persuaded that article 69 (4) of the Statute sets out an additional "test" for evidence to be admissible in the proceedings before the Court (beyond that of not being inadmissible under an exclusionary rule), and that Trial Chambers have therefore the duty to render rulings on the relevance and admissibility of each item of evidence submitted by the parties to determine whether each item meets this general "test"). *See also* paras 584-92, 594, 598.

²⁵⁷ <u>Bemba et al.</u> Appeals <u>Judgment</u>, para. 592 ('[in] the hybrid system [of the Court] consideration by a trial chamber of the relevance and/or probative value of an item of evidence within the context of a possible ruling on its relevance or admissibility rendered separately from its assessment as part of the eventual evaluation of the guilt or innocence of the accused is, in principle, permitted, but is not mandatory.'). *See also* paras 585, 592.

²⁵⁸ <u>Bemba et al. Appeals Judgment</u>, para. 599 ('[a]ny item of submitted evidence that is not excluded at trial must therefore be presumed to be considered by a trial chamber not to be inadmissible under any applicable exclusionary rule.').

employs different terminology to reflect the scope of the trial chamber's determination with respect to items of documentary evidence upon their submission by a party: generally, they are either 'recognised as submitted' within the meaning of Article 74(2) of the Statute, in the first procedure, or are individually 'admitted', in the second one. Notwithstanding the difference as concerns the scope of this determination by a chamber, the consequence of either determination is the same: both indicate, with equal clarity, that the item of evidence is part of the collection of evidence that the chamber would consider for its eventual decision on the guilt or innocence of the accused. So long as such determination is clear with respect to each item of evidence, parties know with equal precision, irrespective of the procedure employed in each individual case, which items will be considered as part of the deliberation of the final judgment.

237. In the present trial, this Chamber adopted the first of the above-mentioned procedures.²⁵⁹ It decided, generally, not to exercise its discretion under Article 69(4) of the Statute to make separate rulings on the relevance or admissibility of items of documentary evidence submitted by the parties²⁶⁰ other than on any 'procedural bars',²⁶¹ which could preclude the submission of an item into evidence due to the operation of a specific exclusionary rule, ²⁶² such as those under Article 69(7) of the Statute or those concerning the procedural requisites for introduction of prior recorded testimony under Rule 68 of the Rules.²⁶³ When no procedural bars to the submission of an item of evidence were found

²⁵⁹ Directions on the Conduct of Proceedings, para. 24; Decision on Request to Admit Evidence Preserved Under Article 56 of the Statute, 10 August 2016, ICC-02/04-01/15-520, para. 7; Decision on Prosecution Request to Submit Interception Related Evidence, 1 December 2016, ICC-02/04-01/15-615 (hereinafter: 'Decision on Prosecution's Submission of Intercept Material'), para. 4(ii).

The Chamber recalls that the parties and participants were permitted to submit evidence (i) through a filing, (ii) by email or (iii) orally during the hearing (Directions on the Conduct of Proceedings, para. 27).

²⁶¹ See <u>Directions on the Conduct of Proceedings</u>, para. 26.

²⁶² See Bemba et al. Appeals Judgment, paras 580-82.

²⁶³ Rulings relating to Article 69(7) objections: <u>Decision on Request to Admit Evidence Preserved Under Article</u> 56 of the Statute, 10 August 2016, ICC-02/04-01/15-520. Rulings on procedural requisites for introduction of prior recorded testimony under Rule 68 of the Rules: Decision on the Prosecution's Applications for Introduction of Prior Recorded Testimony under Rule 68(2)(b) of the Rules, 18 November 2016, ICC-02/04-01/15-596-Conf (public redacted version available: <u>ICC-02/04-01/15-596-Red</u>; hereinafter: '<u>First Decision on Prosecution Applications under Rule 68(2)(b)</u>'); <u>Decision on the Prosecution's Request to Add Items to its List of Evidence, to include a Witness on its List of Witnesses and to Submit Two Prior Recorded Testimonies under Rule 68(2)(b) and (c), 22 November 2016, ICC-02/04-01/15-600; <u>Decision on the Prosecution's Applications for Introduction of Prior Recorded Testimony and Related Document Pursuant to Rule 68(3) of the Rules, 5 December 2016, ICC-02/04-01/15-621 (hereinafter: '<u>Decision on Prosecution Applications under Rule 68(3)</u>'); <u>Decision on Defence Request Pursuant to Rule 68(2)(c) of the Rules of Procedure and Evidence</u>, 19 June 2018, ICC-02/04-01/15-1288; <u>Decision on Defence Request to Introduce Previously Recorded Testimony Pursuant to Rule 68(2)(b) of the Rules of Procedure and Evidence</u>, 2 July 2018, ICC-02/04-01/15-1294 (hereinafter: '<u>Decision on the Defence Request under Rule 68(2)(b)</u>'); Decision on Prosecution Request to Introduce Evidence of Defence Witnesses via Rule</u></u>

to exist – or none were raised – the Chamber recognised the formal submission of the evidence at issue within the meaning of Article 74(2) of the Statute; this was the formal action taken by the Chamber to indicate that such item of evidence was part of the evidentiary basis on which Dominic Ongwen's guilt or innocence would eventually be established. Thereafter, the fact that an item of evidence had been 'submitted' within the meaning of Article 74(2) of the Statute was placed on the record, and such status accordingly reflected in the e-Court metadata of each such item of evidence. The assessment of the relevance and probative value of all items of evidence so 'submitted' – and any argument in this regard raised by the parties and participants in the course of the trial – was therefore conducted by the Chamber as part of its deliberation on the guilt or innocence of Dominic Ongwen and on the basis of a holistic evaluation of all items of evidence that are part of the evidentiary record in the present case, rather than for the purpose of discrete evidentiary rulings. Such assessment, including in terms of the disposal of the arguments advanced at trial by the parties and participants, is referred to in the present judgment as appropriate.

238. The Chamber reiterates in this regard that this procedure concerns exclusively matters related to the relevance and probative value of the items of documentary evidence

⁶⁸⁽²⁾⁽b), 16 August 2018, ICC-02/04-01/15-1322-Conf (public redacted version available: ICC-02/04-01/15-1322-Red).

²⁶⁴ The Chamber observes that the same procedural act was made also by Trial Chamber VII in the *Bemba et al.* case (*see* Trial Chamber VII, *The Prosecutor v. Bemba et al.*, <u>Public Redacted Version of Judgment pursuant to Article 74 of the Statute</u>, 19 October 2016, ICC-01/05-01/13-1989-Red (hereinafter: '<u>Bemba et al. Trial Judgment</u>'), paras 191-92), and that the Appeals Chamber held in this regard that '[it] sees no error in the fact that the Trial Chamber did not "admit" items of evidence, but its formal act was instead that of "recognising" the "submission" of this evidence by the parties. On the contrary, by doing so, and basing its decision on the guilt or innocence of the accused on the evidence which had been so submitted, the Trial Chamber acted in line with the procedure provided in the Statute.' (<u>Bemba et al. Appeals Judgment</u>, para. 578).

²⁶⁵ See in this regard <u>Bemba et al. Appeals Judgment</u>, paras 9, 599, emphasising that, in addition to the procedure

²⁶⁵ See in this regard <u>Bemba et al.</u> Appeals <u>Judgment</u>, paras 9, 599, emphasising that, in addition to the procedure for the submission of evidence at trial, also the status of each piece of evidence as 'submitted' within the meaning of Article 74(2) must be clear. See also para. 600.

²⁶⁶ In this regard, the Defence mischaracterises a decision by the Appeals Chamber – rendered in an interlocutory appeal in another case – when it claims that the Chamber would be in conflict with this decision if the judgment (or an annex to it) does not contain 'evidential rulings for all items submitted into evidence' (Defence Closing Brief, paras 104-05; see also para. 106). The same argument has been raised subsequently before the Appeals Chamber itself, which, in ruling on this matter, concluded that not even the decision referenced by the Defence mandated a trial chamber to render rulings on the relevance or admissibility of each item of evidence, and that consideration of the relevance, probative value and potential prejudice of the evidence submitted and the issues raised by the parties in this respect, may be made part of the trial chamber's assessment of the evidence when it is evaluating the guilt or innocence of the accused person (Bemba et al. Appeals Judgment, paras 593-94, referring to Appeals Chamber, The Prosecutor v. Jean-Pierre Bemba Gombo, Judgment on the appeals of Mr Jean-Pierre Bemba Gombo and the Prosecutor against the decision of Trial Chamber III entitled "Decision on the admission into evidence of materials contained in the prosecution's list of evidence", 3 May 2011, ICC-01/05-01/08-1386).

submitted in the course of the trial, and not issues related to the potential operation of specific exclusionary rules which would render a certain item of evidence inadmissible before the Court and which a trial chamber is mandated to consider separately for the purpose of a distinct evidentiary ruling.²⁶⁷ Any such issue, as recalled above, has been duly considered by the Chamber in the course of the trial.

239. Conversely, the Chamber explained at the beginning of the trial that, in principle, the relevance and probative value of a given piece of evidence could be assessed more accurately only after having received all evidence presented at trial in order to conduct such assessments in light of the entirety of the evidence submitted, rather than undertaking them during trial as the evidentiary record evolved. 268 At the same time, the Chamber clarifies that under the procedure for submission of evidence as set out in the present case, it still maintains the discretion, vested in it by the relevant statutory provisions, to render separate rulings on the relevance and/or probative value of individual items of evidence as warranted by the specific circumstances at hand, and exclude at any time from the evidentiary record material which, on its face, is patently irrelevant or is manifestly lacking any probative value. ²⁶⁹ In the Chamber's view, such discretion needs however to be exercised with caution and restraint, bearing in mind that the relevance and probative value of a given piece of evidence may not be evident in the course of the proceedings, but may become so when all the evidence is received and considered.²⁷⁰ Indeed, as cautioned by the Appeals Chamber, any such discretion is to be exercised 'consistently with the boundaries of [a trial chamber's] statutory competences

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²⁶⁷ See <u>Bemba et al. Appeals Judgment</u>, para. 580 ('[i]n the context of the potential operation of any such exclusionary rule, a distinct determination on the admissibility of certain items of evidence must be conducted – whether in the course of the trial or at the end of the proceedings – separately from the assessment of the evidence for the purpose of establishing the guilt or innocence of the accused.'). *See also* para. 582.

²⁶⁸ <u>Directions on the Conduct of Proceedings</u>, para. 25; <u>Decision on Prosecution's Submission of Intercept Material</u>, para. 7.

Bemba et al. Appeals Judgment, para. 603 ('[T]he Appeals Chamber recalls that while rulings on the relevance and/or admissibility of evidence are indeed discretionary, a trial chamber shall balance this discretion with, inter alia, its duty, under article 64 (2) of the Statute, to ensure that the trial is fair and expeditious and is conducted with full respect of the rights of the accused. In particular, this duty, in certain specific circumstances of each individual case, may warrant that a trial chamber, consistently with the boundaries of its statutory competence and, in the final instance, the object and purpose of the trial, exercise its discretion under article 69 (4) of the Statute, and render separate rulings on the relevance and/or admissibility of individual items of evidence.'). See also Directions on the Conduct of Proceedings, para. 26; Decision on Prosecution's Submission of Intercept Material, para. 11.

²⁷⁰ See also Trial Chamber VII, The Prosecutor v. Jean-Pierre Bemba Gombo et al., Decision on Prosecution Requests for Admission of Documentary Evidence (ICC-01/05-01/13-1013-Red, ICC-01/0501/13-1113-Red, ICC-01/05-01/13-1170-Conf), 24 September 2015, ICC-01/05-01/13-1285, para. 10.

and, in the final instance, the object and purpose of the trial'. 271 There is in fact an inherent risk, which may be in tension with the ultimate purpose of a trial to establish the truth, in excluding items of evidence on the basis of a determination of their relevance or probative value when considered individually - rather than as part of the system of evidence as a whole – and on the basis of a knowledge on the part of the Chamber which, until the end of the trial and prior to the rest of the evidence being available to it, is by definition partial.

- 240. Consistent with the considerations above and mindful that the 'submission' of evidence is a procedural act performed by the parties as a matter of statutory right, ²⁷² the Chamber, in the present trial, has been deferential to the parties in terms of the documentary evidence they submitted for the Chamber's consideration for the ultimate determination on the guilt or innocence of the accused. Importantly, upon submission of the different batches of documentary evidence by the Prosecution and by the Defence, and in the absence of any indication of an abuse on their part of their statutory right to submit evidence at trial in accordance with the relevant applicable law, ²⁷³ the Chamber generally considered that an intervention on its part in terms of exclusion of material from the evidentiary record of the case in the course of the trial was unwarranted.
- 241. The Chamber observes that this procedure for submission of documentary evidence as set out in the present case is now firmly established in the practice of the Court. It has been adopted in numerous cases²⁷⁴ and, as recalled, its foundation in the Statute was recently confirmed by the Appeals Chamber, which, on the basis of an in-depth analysis,

 ²⁷¹ Bemba et al. Appeals Judgment, para. 603.
 272 Bemba et al. Appeals Judgment, para. 576.

The Chamber recalls in this regard that the Court's statutory documents do not set limits on the submission of documentary evidence other than 'through' a witness. See First Decision on Prosecution Applications under Rule 68(2)(b), para. 36; Decision on Prosecution's Submission of Intercept Material, para. 10; Trial Chamber I, The Prosecutor v. Laurent Gbagbo and Charles Blé Goudé, Decision on the Prosecutor's application to introduce prior recorded testimony under Rules 68(2)(b) and 68(3), 9 June 2016, ICC-02/11-01/15-573-Red, para. 9; Trial Chamber I, The Prosecutor v. Thomas Lubanga Dvilo, Judgment pursuant to Article 74 of the Statute, 14 March 2012, ICC-01/04-01/06-2842 (hereinafter: 'Lubanga Trial Judgment'), paras 107-08.

²⁷⁴ See Trial Chamber VII, The Prosecutor v. Jean-Pierre Bemba Gombo et al., Decision on Prosecution Requests for Admission of Documentary Evidence (ICC-01/05-01/13-1013-Red, ICC-01/0501/13-1113-Red, ICC-01/05-01/13-1170-Conf), 24 September 2015, ICC-01/05-01/13-1285; Trial Chamber I, The Prosecutor v. Laurent Gbagbo and Charles Blé Goudé, Decision on the submission and admission of evidence, 29 January 2016, ICC-02/11-01/15-405; Trial Chamber I, The Prosecutor v. Al Hassan Ag Abdould Aziz Ag Mohamed Ag Mahmoud, Annex A to the Decision on the conduct of the proceedings, ICC-01/12-01/18-789-Anx, paras 27-34; Trial Chamber V. The Prosecutor v Alfred Yekatom and Patrice-Edouard Ngaïssona, Initial Directions on the Conduct of the Proceedings, 26 August 2020, ICC-01/14-01/18-631, paras 52-65.

concluded that it was consistent with the legal framework of the Court – including the plain language of Articles 69(4) and 74(2) of the Statute and Rule 64 of the Rules – as well as with the corresponding exact intentions of the drafters of the relevant legal instruments, as made clear by their drafting history. A series of other documents, authored by judges or other experts in international criminal law, have also confirmed the legitimacy of such procedure.

- 242. The Chamber considers that the procedure set out in the present trial is in conformity with the accused's right to a fair trial, and recalls the conclusions to the same effect made by the Appeals Chamber upon consideration of the details and implications of this procedure.²⁷⁷ This includes the explicit rejection on the part of the Appeals Chamber of an argument²⁷⁸ which is entirely comparable to the grievance, expressed by the Defence in the present case, that it was unfair for it to be 'required to work on the assumption that all the items submitted into evidence by the Prosecution may be used against Mr Ongwen'.²⁷⁹
- 243. While the Chamber has the responsibility not to rely on (and, correspondingly, to exclude) evidence which is inadmissible due to the operation of a statutory exclusionary

²⁷⁵ <u>Bemba et al. Appeals Judgment</u>, paras 572-601 (under the heading 'Whether the Court's legal framework mandates rulings on the relevance and admissibility of each item of evidence on the basis of a general admissibility test'), 628. *See also* the Appeals Chamber's relevant key findings at paras 8 and 9.

²⁷⁶ For example, a recent report by the Independent Expert Review, established by the Assembly of the States Parties to the Rome Statute, recognised 'the lack of consensus amongst the Judges on the issue of whether evidence should be admitted or submitted', but considered it not necessary that the difference 'be resolved in favour of one or the other through a Regulation on the matter', given the 'wide-ranging powers to pronounce orders relating to the conduct of the proceedings' of the trial chamber and in particular the presiding judge, and that '[w]hich approach to follow in relation to the bulk of documentary productions has been recognised by the Appeals Chamber as a decision that falls within the discretion of the Trial Chamber Judges' (Independent Expert Review of the International Criminal Court and the Rome Statute System, Final Report, 30 September 2020, paras 546-50). The Chamber also notes the 'Paris Declaration on the Effectiveness of International Criminal Justice' of 16 October 2017, endorsed by an array of international judges – including all the Presidents of international tribunals at the time – which recommended continued reflection upon 'the practice of totally or partially postponing to the deliberation stage the assessment of the admissibility of the evidence presented' (Paris Declaration on the Effectiveness of International Criminal Justice, 16 October 2017, para. 21).

Bemba et al. Appeals Judgment, paras 602-28 (under the heading 'Whether the Trial Chamber's decision not to rule on the relevance and/or admissibility of all items of evidence prejudiced the rights of the accused').

²⁷⁸ <u>Bemba et al.</u> Appeals <u>Judgment</u>, para. 607 ('the Appeals Chamber is not persuaded by [the appellant's] generic proposition that his fair trial rights were violated because he had to conduct his defence in the expectation that all evidence submitted in the proceedings could constitute the basis for the Trial Chamber's eventual decision on his guilt or innocence.').

²⁷⁹ Defence Closing Brief, para. 98.

rule, 280 there exists no automatic right to obtain a preliminary determination from the Chamber on the relevance and probative value of each item of evidence submitted in the course of the trial – whether oral or documentary in nature – as a pre-condition for any such item to be considered for the purpose of a determination under Article 74 of the Statute. 281 Rather, as already observed, it is the legal framework of this Court that provides that the evidentiary basis for the final decision on the guilt or innocence of the accused is constituted by the evidence 'submitted' by the parties (as well as discussed) at trial that is not otherwise inadmissible due to the operation of an exclusionary rule in the applicable law. 282 This is therefore a statutory effect that does not derive from a trial chamber's decision not to make discrete intermediate rulings on the relevance and probative value of the items of documentary evidence submitted by the parties as a matter of course. Indeed, as held by the Appeals Chamber, 'the "expectation" that all evidence submitted could be considered for the purpose of the Trial Chamber's decision under article 74 (2) arises directly from the Court's own legal instruments – which, by providing so, accept that there is no inherent incompatibility between fair trial rights and an assessment of the relevance and probative value of the evidence at the end of the proceedings in light of all evidence submitted'. 283

244. In this regard, the Chamber further recalls that notwithstanding the procedure for submission of documentary evidence set out by each trial chamber, a determination of the guilt or innocence of the accused requires an assessment on the part of a trial chamber of the relevance and reliability of all evidence submitted that has not been excluded in the course of the trial. This is the case irrespective of whether, as concerns items of documentary evidence, a provisional, *prima facie* assessment to the same effect has also been made during the trial as a pre-condition for any such item to be considered as 'submitted' within the meaning of Article 74 of the Statute. For this reason, and as held

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²⁸⁰ <u>Bemba et al.</u> Appeals <u>Judgment</u>, para. 582 ('a trial chamber is [...] required to ensure that evidence which is affected by an exclusionary rule is ruled inadmissible under the applicable ground and is, therefore, disregarded in the decision on the guilt or innocence of the accused. This consideration is mandatory in nature').

²⁸¹ See also Trial Chamber VII, The Prosecutor v. Jean-Pierre Bemba Gombo et al., Decision on Prosecution Requests for Admission of Documentary Evidence (ICC-01/05-01/13-1013-Red, ICC-01/0501/13-1113-Red, ICC-01/05-01/13-1170-Conf), 24 September 2015, ICC-01/05-01/13-1285, para. 12 ('[t]]he notion of a fair trial does not require that the Chamber rule on the admissibility of each piece of evidence upon submission – Article 69(4) of the Statute clearly gives the Chamber discretion in this respect'), explicitly approved by the Appeals Chamber (Bemba et al. Appeals Judgment, para. 607).

²⁸² Bemba et al. Appeals Judgment, para. 607. See also paras 9, 599.

²⁸³ Bemba et al. Appeals Judgment, para. 607.

by the Appeals Chamber, neither of the two procedures for submission of documentary evidence that are possible in the legal framework of the Court guarantees that an accused would not be "forced to invest times and resources in responding to" items of evidence that may end up being disregarded by the trial chamber'.²⁸⁴ This is also no different from what regularly occurs as far as oral testimonial evidence is concerned.

245. In addition to the considerations expressed above, and with respect to the circumstances of the present case, the Chamber further observes that in the course of the trial items of documentary evidence have not been placed on the record in a disorderly manner leaving the Defence 'in the dark' 285 as to their relevance to the facts of the case and their probative value. On the contrary, the Defence has been in a position to understand fully the evidence submitted by the Prosecution in support of the charges brought against the accused, and focus its work accordingly. In this regard, the Chamber recalls, inter alia, that the facts and circumstances described in the charges brought against Dominic Ongwen have been clearly and exhaustively set out as part of the proceedings before the Pre-Trial Chamber; and that, at the beginning of the trial, the Prosecution filed a detailed Trial Brief explaining how it intended to prove its allegations and how each item of (actual and prospective) evidence relates to the corresponding factual allegations described in the charges. In addition, specific indications as to the purported relevance and probative value of evidence were also given in the filings through which each batch of items of documentary evidence has been submitted by the Prosecution in the course of the trial, and to which the Defence has been able to respond throughout the proceedings providing its own views. In this context, and reiterating the absence of any indication of an abuse on the part of the Prosecution of its statutory right to submit documentary evidence at trial 'flood[ing] the "case file" with items of a prejudicial nature', 286 the proposition by the Defence that it has been prejudiced by the mere fact that 'there are over 4200 items formally submitted into evidence' 287 is without merit.

²⁸⁴ <u>Bemba et al.</u> Appeals <u>Judgment</u>, para. 609 ('even when a trial chamber decides to exercise its discretion to render a ruling on the relevance or admissibility of an item of evidence in the course of the trial, it will need to consider again the relevance, reliability and weight of all submitted evidence that it has not excluded as irrelevant or inadmissible, when assessing, in light of all evidence before it, the guilt or innocence of the accused. In other words, the accused person may, in any case be "forced to invest time and resources in responding to" items of evidence that may end up being disregarded by the trial chamber.').

²⁸⁵ See Defence Closing Brief, para. 101.

²⁸⁶ See Defence Closing Brief, para. 98.

²⁸⁷ <u>Defence Closing Brief</u>, para. 98.

- 246. As a final observation, it bears emphasis that a preliminary scrutiny on the relevance, probative value and 'potential prejudice' of each item of evidence prior to its entering into the evidentiary record of the case is typically designed in order to screen from 'inappropriate' material a – separate – trier of facts, most usually a jury. At this Court, the relevant procedural features are significantly different in that the judges of a trial chamber are themselves the triers of facts responsible for the ultimate fact-finding on the guilt or innocence of the accused (which must also be sufficiently reasoned), and are also professional judges capable of disregarding, for such fact-finding, material which is irrelevant or bears no probative value or is otherwise 'prejudicial' within the meaning of Article 69(4) of the Statute. 288 They are thus able to assess all items of evidence before them properly, without the need to render individual intermediate rulings on the relevance and probative value of each of those items to prevent unduly compromising the proceedings. Rather, such assessment – conducted as part of the deliberation of the judgment – emerges from its reasoning, in the context of which 'a trial chamber must indeed explain with sufficient clarity the basis for its determination'. 289 In fact, the requirement of a reasoned judgment makes it possible for the parties and participants to verify precisely how the Chamber evaluated the evidence before it for its decision on the guilt or innocence of the accused, ²⁹⁰ and enables appellate review as appropriate. This requirement constitutes the primary safeguard against a trial chamber erroneously relying on irrelevant or inadmissible evidence or failing to properly consider all relevant aspects of the evidence available to it, in that it enables proper oversight of the chamber's ultimate assessment of the evidence submitted and discussed before it at trial.
- 247. Importantly in this regard, the procedure adopted for the submission of evidence in each individual case has no impact on the quality required of the chamber's fact-finding, as the Chamber's duty under Article 74(5) of the Statute to provide 'a full and reasoned statement of [its] findings on the evidence and conclusions' remains unaffected. While not every item of evidence eligible to be used for the determination of the guilt or

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²⁸⁸ See also Trial Chamber VII, The Prosecutor v. Jean-Pierre Bemba Gombo et al., <u>Decision on Prosecution Requests for Admission of Documentary Evidence (ICC-01/05-01/13-1013-Red, ICC-01/0501/13-1113-Red, ICC-01/05-01/13-1170-Conf)</u>, 24 September 2015, ICC-01/05-01/13-1285, para. 12.

²⁸⁹ Bemba et al. Appeals Judgment, para. 597.

²⁹⁰ See also Directions on the Conduct of Proceedings, para. 25; Decision on Prosecution's Submission of Intercept Material, para. 11.

innocence of the accused must in fact be explicitly addressed in the judgment²⁹¹ and trial chambers have a degree of discretion as to what to address explicitly in their reasoning,²⁹² what is at issue in this context is the chamber's compliance with its statutory duty to provide sufficient reasons for its determinations. This duty is unrelated to whether the procedure for submission of evidence in the course of a trial envisaged preliminary, *prima facie* determinations of the relevance and probative value of individual items of evidence as a matter of course.²⁹³

248. That said, the Chamber observes that in the present case both parties submitted items of documentary evidence following the procedure set out by the Chamber, and had equal opportunity to provide their observations and comments, either orally or in writing, on any item of evidence submitted in the course of the trial, including in terms of its relevance and probative value. Such arguments made by the parties and participants in the course of the trial have been duly considered as part of the Chamber's determination of Dominic Ongwen's guilt or innocence, and are addressed and disposed of in the present judgment as appropriate.

ii. Evidence 'discussed' at trial

249. As observed above, the Chamber, in accordance with Article 74(2) of the Statute, is required to base its decision only on evidence 'submitted' and 'discussed' before it at

Appeals Chamber, *The Prosecutor v. Jean-Pierre Bemba Gombo*, Public document Judgment on the appeal of Mr Jean-Pierre Bemba Gombo against Trial Chamber III's "Judgment pursuant to Article 74 of the Statute, 8 June 2018, ICC-01/05-01/08-3636-Red, para. 53 ('[t]o fulfil its obligation to provide a reasoned opinion, a trial chamber is not required to address all the arguments raised by the parties, or every item of evidence relevant to a particular factual finding, provided that it indicates with sufficient clarity the basis for its decision'); *Bemba et al.* Appeals Judgment, paras 105-106.

Appeals Judgment, paras 105-106.

292 Appeals Chamber, *The Prosecutor v. Jean-Pierre Bemba Gombo*, Public document Judgment on the appeal of Mr Jean-Pierre Bemba Gombo against Trial Chamber III's "Judgment pursuant to Article 74 of the Statute, 8 June 2018, ICC-01/05-01/08-3636-Red, paras 54-55; Bemba et al. Appeals Judgment, paras 106, 107, 597.

²⁹³ See <u>Bemba et al.</u> Appeals Judgment, para. 597 ('[W]hen a trial chamber, in its decision under article 74 of the Statute, fails to explain sufficiently why it considers an item of evidence – whether documentary or testimonial – to be relevant and with sufficient probative value to be relied upon for its factual analysis (or vice versa) despite issues raised at trial in that regard, what is at issue is the trial chamber's compliance with its duty under article 74 (5) of the Statute to provide "a full and reasoned statement of [its] findings on the evidence and conclusion" in support of its decision on the guilt or innocence of the accused. In other words, the safeguard of an accused's right to a reasoned determination on the charges against him or her does not lie in the fact that a trial chamber exercises its discretion to rule on the relevance or admissibility of documentary evidence or rather considers its relevance and probative value as part of the evaluation of the guilt or innocence of the accused. The appellants may raise on appeal [...] errors by the Trial Chamber in its assessment of the evidence, including with respect to insufficient reasoning on its evaluation of the evidence and factual findings, in the same way as they could have done had the Trial Chamber decided to exercise its discretion to rule separately on the relevance and/or admissibility of the evidence.').

trial. As specified by the Appeals Chamber, what is required is that there has been the opportunity at trial to make arguments on the evidence concerned, irrespective of whether any such arguments are actually made. ²⁹⁴ The principal consideration is that the evidence upon which the Chamber bases its judgment pursuant to Article 74 of the Statute has been introduced during the trial – and has therefore become part of the case record – in a manner that the parties and participants had an opportunity to make submissions as to each item of evidence to the extent they deemed fit.²⁹⁵

250. In the present case, the parties and participants availed themselves of this statutory right to make arguments in relation to the evidence submitted at trial, and the Chamber duly considered such arguments when evaluating the evidence before it. Of particular significance in this regard are the arguments made by the parties and participants in the context of the submission of individual items of evidence (and responses thereto), as well as their closing briefs and closing statements containing the parties and participants' consolidated views on the entirety of the evidence presented at trial. As recalled above, all these arguments have been considered by the Chamber for the purpose of the present judgment and are addressed herein to the appropriate extent.

2. Testimonial evidence

Introductory remarks

- 251. The testimonial evidence in the present case consists of: the testimony of a total of 130 witnesses given viva voce before the Chamber; the prior recorded testimony of a number of such witness introduced under Rule 68(3) of the Rules; the testimony preserved for trial under Article 56 of the Statute of seven further witnesses; and the prior recorded testimony of a total of other 49 witnesses which were introduced under Rule 68(2)(b) or (c) of the Rules.
- 252. As recalled, a total of 130 witnesses testified live before the Chamber in the present case.²⁹⁶ On a number of occasions, the Chamber allowed the introduction, under Rule

²⁹⁴ Bemba et al. Appeals Judgment, footnote 1256.

²⁹⁵ See also <u>Bemba et al. Trial Judgment</u>, para. 198; Trial Chamber II, *The Prosecutor v. Germain Katanga*, <u>Public</u> Document Judgment pursuant to article 74 of the Statute, 7 March 2014, ICC-01/04-01/07-3436-tENG (hereinafter: 'Katanga Trial Judgment'), para. 78; Trial Chamber II, The Prosecutor v. Mathieu Ngudjolo Chui, Judgment pursuant to Article 74 of the Statute, 18 December 2012, ICC-01/04-02/12-4, para, 44.

²⁹⁶ 69 of these witnesses were called by the Prosecution, 54 by the Defence and seven by the participating victims through their legal representatives.

68(3) of the Rules, of the prior recorded testimony of some of these witnesses who testified live before it and did not object to such introduction – with both parties having the opportunity to examine them in court. In these instances, the recorded statement complements and forms an integral part of the witness's *viva voce* testimony, and the Chamber has accordingly considered the prior recorded testimony together with and in the light of the witness's testimony given in court, and vice versa.

- 253. Further, upon request by the Prosecution,²⁹⁷ the Chamber allowed the submission into evidence of the live testimony of seven witnesses²⁹⁸ which had been given before the Single Judge of the Pre-Trial Chamber and which had been preserved for trial pursuant to Article 56 of the Statute.²⁹⁹ The testimonies of these seven witnesses who were examined by both the Prosecution and the Defence were video-recorded, and written transcripts of the proceedings were made.³⁰⁰ The Chamber has viewed these video-recordings in their entirety and consulted the corresponding transcripts, and assessed the relevance and probative value of such evidence in the same way as any other *viva voce* testimonial evidence before it.
- 254. Finally, the Chamber allowed the introduction, pursuant to Rule 68(2)(b) or (c) of the Rules, of the prior recorded testimony of a total of further 49 witnesses.³⁰¹ They have been assessed by the Chamber, for the purpose of the present judgment, together with all the other evidence submitted and discussed at trial. Besides the other relevant considerations generally applicable to the assessment of testimonial evidence as set out below, in its evaluation of the prior recorded testimony introduced under Rule 68(2) of the Rules, the Chamber also took into account that the witnesses concerned did not testify before the court and the non-tendering party did not have the opportunity to examine

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²⁹⁷ Prosecution's request to admit evidence preserved under article 56 of the Statute, 13 June 2016, ICC-02/04-01/15-464 (with two public annexes).

²⁹⁸ Decision on Request to Admit Evidence Preserved Under Article 56 of the Statute, 10 August 2016, ICC-02/04-01/15-520.

²⁹⁹ See Decision on the "Prosecution application for the Pre-Trial Chamber to preserve evidence and take measures under article 56 of the Rome Statute", 27 July 2015, ICC-02/04-01/15-277-Conf (public redacted version available: ICC-02/04-01/15-277-Red); Decision on the "Second Prosecution application to the Pre-Trial Chamber to preserve evidence and take measures under article 56 of the Rome Statute", 12 October 2015, ICC-02/04-01/15-316-Conf (public redacted version available: ICC-02/04-01/15-316-Red).

³⁰⁰ Transcripts of Hearings, 15 September – 17 November 2015, <u>T-8</u>; <u>T-9</u>; <u>T-10</u>; <u>T-11</u>; <u>T-13</u>; <u>T-14</u>; <u>T-15</u>; <u>T-16</u>; <u>T-17</u>; Registry reports on the article 56 proceedings, 27-30 November 2015, ICC-02/04-01/15-351, -352, -353, -354, -355, -356, -357, -358 (annexing video recordings).

The prior recorded testimony of 39 witnesses were introduced by the Prosecution and nine by the Defence under Rule 68(2)(b) of the Rules. One prior recorded testimony was introduced under Rule 68(2)(c) of the Rules upon request by the Prosecution.

them. Like with any other type of evidence submitted before the Chamber, arguments made by the parties and participants concerning the relevance and probative value of this testimonial evidence that had been made in the course of the trial have been taken into account for the purpose of the present judgment and are explicitly addressed as appropriate.

- 255. For the purpose of its assessment of the reliability of any witness's testimony, the Chamber considered a number of different factors. Such factors include richness of details and coherence of the narrative provided by the witness, as well as the coherence of the testimony with other evidence before the Chamber.
- 256. The Chamber has also considered the coherence between the testimony given by the witness and his or her prior accounts in relation to the same facts given in other contexts as emerging from the evidence presented at trial. In this regard, the Chamber notes that throughout the trial, witnesses who testified live before the Chamber have often been asked questions in relation to prior accounts they gave in other contexts. Prior accounts were typically put to witnesses in two distinct situations: (i) when a witness declared themselves unable to respond to a question, in which case a prior account was used to refresh their memory; and (ii) in cases where there existed, in the assessment of the examining party, a discrepancy between the statement given in court and a prior account. In both cases the witness was then given an opportunity to express him- or herself on the accuracy and truthfulness of the prior account, and the relevant part of the prior account - as prompting in-court testimony in reaction - so became incorporated into the testimony.³⁰² In case of discrepancy between in-court testimony and prior account, the Chamber has carefully considered the content and all circumstances in which such prior account had been made - including, but not limited to, its context, purpose and the (expected) level of details – as well as any explanation for such discrepancy as provided by the witness during the testimony before the Court.

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³⁰² See <u>Bemba et al.</u> Appeals <u>Judgment</u>, para. 307; see also, more generally, paras 305-308. This limitation on the reliance on testimonial accounts previously given by a witness outside in-court testimony does not apply to prior recorded testimony (provided by witnesses who testified live before the Court) introduced under Rule 68(3) of the Rules, thus constituting evidence which, in its totality, 'can be relied upon by the chamber in its determination of the facts at issue'.

- 257. Amongst the relevant factors in the Chamber's assessment of testimonial evidence is also the consideration whether and to what extent the witness is (or is not) in a position to provide certain information as well as the basis of knowledge on which a particular statement is made. This is particularly the case in those instances where the Chamber is faced with competing versions of events, and therefore has to determine which evidence it considers more probative.³⁰³
- 258. The Chamber also took into account the individual circumstances of the witness, including his or her relationship to the accused, age, the provision of assurances against self-incrimination, indication of bias against the accused or the lack of such and/or motives for telling the truth. Witnesses who suffered trauma or were children at the time of the events may also have had difficulty in providing a coherent and complete account, and the Chamber made appropriate allowance for imprecisions or contradictions. The Chamber was also mindful and has taken due account of the fact that, given the significant passage of time in this case, the memory of some witnesses may have faded with regard to certain details. 306
- 259. Further factors which might also have an influence on the Chamber's assessment of evidence given by witnesses who testified live are its immediate impression of the witness during their testimony, the witness's demeanour and bearing when testifying in court, willingness to respond to questions and spontaneity when responding.
- 260. Finally, the Chamber clarifies that the considerations expressed above can by no means be considered an exhaustive list of factors, or a 'check-list' of requirements for a witness to be relied upon. Any assessment of testimonial evidence (like of any other type of evidence) is in fact dependent on the specific circumstances at hand. Each statement

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³⁰³ ICTR, Appeals Chamber, *The Prosecutor v. Yussuf Munyakazi*, <u>Judgement</u>, 28 September 2011, ICTR-97-36A-A, para. 118; ICTR, Appeals Chamber, *Tharcisse Muvunyi v. The Prosecutor*, <u>Judgement</u>, 1 April 2011, ICTR-2000-55A-A, para. 57; ICTR, Appeals Chamber, *Mikaeli Muhimana v. The Prosecutor*, <u>Judgement</u>, 21 May 2007, ICTR-95-1B-A, para. 103; ICTR, Appeals Chamber, *Sylvestre Gacumbitsi v. The Prosecutor*, <u>Judgement</u>, 7 July 2006, ICTR-2001-64-A, para. 81; ICTR, Appeals Chamber, *Georges Anderson Nderubumwe Rutaganda v. The Prosecutor*, <u>Judgement</u>, 26 May 2003, ICTR-96-3-A, paras 29, 501.

³⁰⁴ Similarly <u>Bemba et al. Trial Judgment</u>, para. 202; Trial Chamber II, *The Prosecutor v. Mathieu Ngudjolo Chui*, <u>Judgment pursuant to Article 74 of the Statute</u>, 18 December 2012, ICC-01/04-02/12-4, para. 51; <u>Lubanga Trial Judgment</u>, para. 106.

³⁰⁵ Similarly <u>Bemba et al. Trial Judgment</u>, para. 203; Trial Chamber II, *The Prosecutor v. Mathieu Ngudjolo Chui*, <u>Judgment pursuant to Article 74 of the Statute</u>, 18 December 2012, ICC-01/04-02/12-4, para. 53. ³⁰⁶ Similarly <u>Bemba et al. Trial Judgment</u>, para. 203.

made by a witness is assessed individually – while, at the same time, taking into account, holistically, the entire system of evidence available to the Chamber –, and, accordingly the same witness may be reliable in one part of their testimony, but not in another.³⁰⁷

- 261. Below, the Chamber sets forth its general considerations with respect to each of the witnesses who provided evidence in these proceedings. The Chamber emphasises that these assessments which are based on the totality of the evidence before the Chamber and not only on each witness's evidence alone must be read in conjunction with the evidentiary discussion further below in the present judgment. Indeed, certain aspects relating to the credibility of a number of witnesses and the reliability of their evidence, as well as to the relevance of their testimony to the Chamber's disposal of the charges against Dominic Ongwen, are further addressed, as appropriate, in the relevant evidentiary discussion.
- 262. The Chamber has structured the overview of testimonial evidence by category of witnesses; it is however understood that this categorisation is only for practical purposes. It does not have a bearing on the Chamber's assessment of any particular witness, and it is also noted that many witnesses could in fact be included in more than one category.
 - ii. LRA insiders
 - a. High-level insiders
 - i Kenneth Banya (P-0028)
- 263. Kenneth Banya's prior recorded statements were introduced pursuant to Rule 68(2)(b) of the Rules.³⁰⁸ He is a former LRA member, born in 1945, who served as a senior advisor to Joseph Kony. His statement relates to a wide range of topics in relation to the functioning of the LRA based on his experience in the organisation. The Chamber notes,

³⁰⁷ Appeals Chamber, *The Prosecutor v. Mathieu Ngudjolo Chui*, <u>Public Version of Judgment on the Prosecutor's appeal against the decision of Trial Chamber II entitled "Judgment pursuant to article 74 of the Statute"</u>, 7 April 2015, ICC-01/04-02/12-271-Corr, para. 168.

³⁰⁸ P-0028 Interview Transcript, UGA-OTP-0217-0054-R01; P-0028 Interview Transcript, UGA-OTP-0217-0075-R01; P-0028 Interview Transcript, UGA-OTP-0217-0100-R01; P-0028 Interview Transcript, UGA-OTP-0217-0125-R01; P-0028 Interview Transcript, UGA-OTP-0217-0148-R01; P-0028 Interview Transcript, UGA-OTP-0217-0192-R01; P-0028 Interview Transcript, UGA-OTP-0217-0192-R01; P-0028 Interview Transcript, UGA-OTP-0217-0218-R01; P-0028 Interview Transcript, UGA-OTP-0217-0241-R01; P-0028 Interview Transcript, UGA-OTP-0217-0266-R01; P-0028 Interview Transcript, UGA-OTP-0217-0287-R01; P-0028 Interview Transcript, UGA-OTP-0217-0305-R01; P-0028 Interview Transcript, UGA-OTP-0217-0327-R01. See Decision on the Defence Request under Rule 68(2)(b), paras 15-17, p. 13.

however, that Kenneth Banya's statement that if a man refused to 'go with a woman' in the LRA, he would be killed,³⁰⁹ is contradicted by more reliable evidence on the issue.³¹⁰ However, the Chamber does not discount the witness's testimony for this reason and relies on it where appropriate, as discussed at relevant points of the evidentiary analysis.

ii Hillary Daniel Lagen (P-0040)

264. Hillary Daniel Lagen's prior recorded statement was introduced pursuant to Rule 68(2)(b) of the Rules.³¹¹ The witness was a former LRA captain; he provided evidence related to specific individuals and attacks. The witness also commented on the LRA leadership, specifically the command structure, orders issued by Joseph Kony and the treatment of abducted women and girls. The Chamber relies on the testimony of Hillary Daniel Lagen on occasions where it provides corroboration or additional detail in the discussion of evidence. In a few passages of his testimony, the witness mentioned Dominic Ongwen and his participation in the attack on Lukodi IDP camp, but as clarified

³⁰⁹ P-0028 Interview Transcript, UGA-OTP-0217-0218-R01, at 0224-26, lines 223-66.

³¹⁰ *See* para. 2229 below.

³¹¹ P-0040 Interview Transcript, UGA-OTP-0209-0406-R01 (public redacted version available: UGA-OTP-0283-0114); P-0040 Interview Transcript, UGA-OTP-0209-0436-R01 (public redacted version available: UGA-OTP-0283-0144); P-0040 Interview Transcript, UGA-OTP-0209-0461-R01 (public redacted version available: UGA-OTP-0283-0169); P-0040 Interview Transcript, UGA-OTP-0209-0497-R01 (public redacted version available: UGA-OTP-0283-0205); P-0040 Interview Transcript, UGA-OTP-0209-0530-R01 (public redacted version available: UGA-OTP-0283-0238); P-0040 Interview Transcript, UGA-OTP-0209-0569-R01 (public redacted version available: UGA-OTP-0283-0277); P-0040 Interview Transcript, UGA-OTP-0209-0602-R01 (public redacted version available: UGA-OTP-0283-0310); P-0040 Interview Transcript, UGA-OTP-0209-0634-R01 (public redacted version available: UGA-OTP-0283-0342); P-0040 Interview Transcript, UGA-OTP-0209-0668-R01 (public redacted version available: UGA-OTP-0283-0376); P-0040 Interview Transcript, UGA-OTP-0209-0696-R01 (public redacted version available: UGA-OTP-0283-0404); P-0040 Interview Transcript, UGA-OTP-0209-0732-R01 (public redacted version available: UGA-OTP-0283-0440); P-0040 Interview Transcript, UGA-OTP-0209-0762-R01 (public redacted version available: UGA-OTP-0283-0470); P-0040 Interview Transcript, UGA-OTP-0209-0786-R01 (public redacted version available: UGA-OTP-0283-0494); P-0040 Interview Transcript, UGA-OTP-0209-0813-R01 (public redacted version available: UGA-OTP-0283-0521); P-0040 Interview Transcript, UGA-OTP-0209-0842-R01 (public redacted version available: UGA-OTP-0283-0550); P-0040 Interview Transcript, UGA-OTP-0209-0877-R01 (public redacted version available: UGA-OTP-0283-0585); P-0040 Interview Transcript, UGA-OTP-0209-0912-R01 (public redacted version available: UGA-OTP-0283-0620); P-0040 Interview Transcript, UGA-OTP-0220-0652-R01 (public redacted version available: UGA-OTP-0283-0624); P-0040 Interview Transcript, UGA-OTP-0220-0678-R01 (public redacted version available: UGA-OTP-0283-0651); P-0040 Interview Transcript, UGA-OTP-0220-0704-R01 (public redacted version available: UGA-OTP-0283-0677); P-0040 Interview Transcript, UGA-OTP-0220-0729-R01 (public redacted version available: UGA-OTP-0283-0702); P-0040 Interview Transcript, UGA-OTP-0220-0753-R01 (public redacted version available: UGA-OTP-0283-0726); P-0040 Interview Transcript, UGA-OTP-0220-0779-R01 (public redacted version available: UGA-OTP-0283-0752); P-0040 Interview Transcript, UGA-OTP-0220-0805-R01 (public redacted version available: UGA-OTP-0283-0778); P-0040 Interview Transcript, UGA-OTP-0220-0833-R01 (public redacted version available: UGA-OTP-0283-0806). See First Decision on Prosecution Applications under Rule 68(2)(b), paras 216-20, p. 109.

previously, this specific evidence is not relied upon by the Chamber for any determination concerning Dominic Ongwen's acts and conduct.³¹²

265. In its response to the Prosecution's request for introduction of Hillary Daniel Lagen's written testimony, the Defence submitted that he was unreliable, in particular because he claimed at one point to have had the same rank as Vincent Otti but then to have been demoted for his 'outspoken condemnation of Kony', because he had issues recalling dates 'to the point where even the investigators' questioned the accuracy of the timeline, and because he brought notes to the interview at which his statement was taken which 'had dates already noted down'. ³¹³ As to the first point, the Chamber observes that Hillary Daniel Lagen stated that he and 'Otti' held the same positions as battalion intelligence officers in two battalions at an unspecified time. ³¹⁴ It is speculative to consider this claim, or the witness's testimony in relation to his demotion in 2000-2001, ³¹⁵ as unreliable in the absence of any evidence supporting the assertions of the Defence. On the second point raised by the Defence, the Chamber observes that a witness's inability to recollect dates of events may make an authoritative dating of those events more difficult, but this does not undermine the reliability of the testimony as a whole. As to the third point, the Chamber notes that the witness explained what the notes were, and that there is no indication of any irregularity. 316 There is no issue with a witness writing down dates or details before his or her testimony. On the contrary, if this facilitates the accuracy of the testimony it is even advisable. In conclusion, the Chamber does not identify any circumstance rendering the evidence provided by Hillary Daniel Lagen unreliable.

iii P-0045

266. P-0045 testified live before the Chamber with protective measures having received assurances pursuant to Rule 74 of the Rules.³¹⁷ P-0045, an LRA fighter who stayed in the organisation between 1990 and 2004, provided testimony about her experiences in the

³¹² First Decision on Prosecution Applications under Rule 68(2)(b), para. 216.

³¹³ Corrected Version of "Defence Response to the Prosecution Application to Admit Testimony Pursuant to Rule 68(2)(b) of the Rules of Procedure and Evidence", filed on 26 July 2016, 27 July 2016, ICC-02/04-01/15-509-Conf-Exp (confidential redacted version available: ICC-02/04-01/15-509-Conf-Corr-Red; public redacted version available: ICC-02/04-01/15-509-Corr-Red4; hereinafter: Defence Response to Prosecution Application under Rule 68(2)(b)), para. 137.

³¹⁴ P-0040 Interview Transcript, UGA-OTP-0209-0877-R01, at 0881, lines 99-111.

³¹⁵ See P-0040 Interview Transcript, UGA-OTP-0209-0436-R01, at 0443, lines 180-89.

³¹⁶ See P-0040 Interview Transcript, UGA-OTP-0209-0436-R01, at 0458, line 687 – 0459, line 713.

³¹⁷ P-0045: <u>T-103</u>; <u>T-104</u>; <u>T-105</u>.

LRA, including her participation in the LRA's attack on Pajule. The witness's testimony was detailed, candid, comprehensive, and specific. The witness clearly distinguished between events she personally witnessed and information she was given by others. She was very knowledgeable about the LRA, its practices and activities and provided details consistent with what would be expected of a witness who spent many years in the LRA and rose to a position of some power. The Chamber is convinced that the witness testified about her personal experiences and that the witness testified truthfully.

iv P-0070

267. P-0070 testified live before the Chamber with protective measures and Rule 74 assurances. The witness, a former battalion commander of the LRA, testified about his abduction in 1988 at approximately 15 years old, and further gave an account of the general functioning of the LRA, including knowledge expected from an LRA fighter who spent many years in the LRA. He eventually escaped in 2004. The witness recalled in particular names and positions of other LRA fighters. Further, the witness readily admitted when he did not know certain information. There are no issues affecting the credibility of P-0070 and the Chamber accepts his account as truthful.

v P-0085

268. P-0085 testified live before the Chamber with protective measures, after receiving Rule 74 assurances. ³¹⁹ P-0085, a former LRA commander, testified about his experiences in the LRA, including in relation to the structure and organisation of the LRA, its policy on the abduction and distribution of civilians and the attacks on Pajule and Odek IDP camps relevant to the charges. P-0085's testimony was coherent, clear and comprehensive. The witness explained how he came to have certain information, distinguishing between events he witnessed himself and events he heard about from others. He offered detailed information in line with what the Chamber would expect of a witness in his position, for example, evidence related to the structure of the LRA and the names and ranks of officers. There are some slight inconsistencies surrounding the date of the witness's escape from the bush. ³²⁰ The Chamber views such inconsistencies as minor and they did not

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³¹⁸ P-0070: <u>T-105; T-106; T-107</u>.

³¹⁹ P-0085: T-158; T-159.

 $^{^{320}}$ P-0085 testified that he escaped from the LRA on 24 May 2004. P-0085: <u>T-158</u>, p. 44, line 23 – p. 45, line 5. When questioned as to why he told the Prosecution that he escaped on 24 April 2004 during his initial interview,

undermine its finding that the witness was generally credible. In the Chamber's view, the evidence suggests that the witness escaped from the LRA in late May 2004.

vi P-0142

269. P-0142 testified live before the Chamber with protective measures, after receiving Rule 74 assurances. 321 P-0142, a former , testified about his experiences in the LRA, his knowledge of Dominic Ongwen, the attacks on Odek and Lukodi IDP camps relevant to the charges and the experiences of women and girls in the LRA. P-0142 was in the Sinia brigade from 1994 to 2004. P-0142 was a clear and confident witness. His testimony was comprehensive and the witness offered evidence with a level of depth that spoke to his first-hand knowledge and personal experiences in the LRA – for example, P-0142's testimony about the command structure of the LRA and the hierarchy within the group was detailed, contextualised and consistent with other evidence, 322 as well as his testimony about the number of so-called 'wives' in Sinia brigade. 323 The witness was candid about his close relationship with Dominic Ongwen while they were in the LRA, calling him his 'boss', 'friend' and 'brother'. 324 The Chamber notes that at times, the witness appeared to be attempting to minimise the implications of the LRA actions as well as his own role in attacks. 325 However, this did not undermine the Chamber's view of his general credibility. Indeed, the witness was at times candid about his account of events which incriminated Dominic Ongwen, 326 thus

P-0085 testified that when he escaped he thought it was April but after some time he realised it was May. P-0085: T-159, p. 17, lines 11-23. The Chamber considers his explanation of the discrepancy reasonable given the circumstances of the years the witness spent in the bush and his escape. The Chamber also notes the Defence's reference to a intercepted radio communication between Joseph Kony and other LRA commanders discussing P-0085's escape

P-0085: T-159-CONF, p. 23, line 21 – p. 26, line 25. In the Chamber's view the exact date of the witness's escape in May remains unclear. However, the divergent dates concern a period of four days at most. Considering that the other details of the escape are consistent, the fact that the event happened almost 14 years ago at the time of questioning and the witness's demeanour on that matter (his genuine attempts to try to clear up the inconsistency), the discrepancy does not affect the Chamber's view of P-0085's overall credibility.

³²¹ P-0142: <u>T-70</u>; <u>T-71</u>; <u>T-72</u>; <u>T-73</u>

³²² See P-0142: T-70-CONF, p. 17, line 3 – p. 24, line 23.

³²³ See para. 2231 below.

³²⁴ P-0142: T-73, p. 8, line 17 – p. 9, line 1.

 $^{^{325}}$ See P-0142: T-70, p. 34, line 5 – p. 35, line 14. See also section IV.C.8 below. In the Chamber's view, the witness was reticent about speaking about civilians and the harms they suffered and downplayed his role in the Lukodi IDP camp attack.

³²⁶ See P-0142: <u>T-70</u>, p. 43, lines 13-15, p. 46, line 12 – p. 49, line 6.

bolstering the Chamber's view of his credibility given his very positive relationship with Dominic Ongwen.

vii P-0144

- 270. P-0144 testified live before the Chamber with protective measures, having received Rule 74 assurances. 327 The witness, a former LRA intelligence officer, testified about his abduction and his experiences in the LRA, including his observations of the Pajule IDP camp attack. P-0144's testimony was detailed and comprehensive. He explained the sources of his information and clearly delineated between events that he personally witnessed and information he came to learn from others. He was clear about events he could no longer recall, even when faced with his previous recollection. 328 The witness provided particularly relevant and credible testimony about Dominic Ongwen as well as about Dominic Ongwen's role in the Pajule attack.
- 271. The witness readily admitted that he had not been entirely forthcoming to the Prosecution about his role in the Pajule IDP camp attack.³²⁹ The witness explained that he had done this out of a desire to avoid further interviews.³³⁰ The Chamber notes that the witness was prompt and unequivocal in his admission and provided an explanation without hesitance or ambiguity. The Chamber does not consider the witness's initial reticence to the Prosecution to have a broader impact on the credibility of the evidence he provided, under oath, before the Chamber.

viii P-0205

272. P-0205 testified live before the Chamber with protective measures, after receiving Rule 74 assurances. ³³¹ P-0205, a former LRA fighter, testified about his role as an LRA commander, his knowledge of Dominic Ongwen, the attacks on Lukodi and Odek IDP camp relevant to the charges and the treatment of women in the LRA. P-0205 was a calm, restrained and forthcoming witness. His recollection was detailed and precise. His testimony was comprehensive and included the kind of details that the Chamber would

³²⁷ P-0144: T-91; T-92.

 $[\]frac{328}{1}$ P-0144: $\frac{1}{1}$, p. 38, line 14 – p. 39, line 11 (stating that he could no longer recall whether he saw Dominic Ongwen in the centre of Pajule IDP camp during the attack).

 $[\]frac{329}{1}$ P-0144: $\frac{\text{T-91}}{1}$, p. 57, line 19 – p. 59, line 11 (The witness had initially denied participating in the Pajule attack in his interview with the Prosecution).

³³⁰ P-0144: <u>T-91</u>, p. 57, line 19 – p. 59, line 11.

³³¹ P-0205: <u>T-47</u>; <u>T-48</u>; <u>T-49</u>; <u>T-50</u>; <u>T-51</u>.

expect from a witness with his rank and time spent in the LRA. For example, the Chamber particularly notes his testimony about the Sinia brigade's military structure; the officers sent on the Lukodi attack as well the abduction and distribution of women in the LRA. The Chamber is of the view that his testimony was as would be expected from a witness who testified to events he actually experienced. The witness distinguished clearly between information he gained from personal experiences as opposed to events he was informed about.

273. Contrary to the Defence suggestion, ³³³ and as discussed further in the evidentiary discussion below, the Chamber does not find that the witness contradicted himself by recalling information in his testimony that were not discussed in his earlier interviews with the Prosecution. ³³⁴ These aspects of the witness's testimony did not undermine the Chamber's view of the general credibility of his accounts.

P-0209 testified live before the Chamber with protective measures having received Rule 74 assurances. The witness, a former testified about his abduction and his experiences in the LRA, including his role in the attack on Pajule IDP camp relevant to the charges. P-0209 offered his testimony in a calm and confident manner. His demeanour did not change regardless of the identity of the questioning party. His testimony was detailed and contextualised. The witness provided noteworthy evidence regarding the effects of the initiation ceremony and the LRA's spiritualism on fighters. However, the Chamber is of the view that despite receiving Rule 74 assurances, P-0209 appeared to be attempting to minimise his involvement in the LRA's activities. However, the Chamber notes that his testimony is generally consistent with other reliable evidence.

³³² See P-0205: T-47-CONF, p. 52, line 20 – p. 54, line 22; T-48-CONF, p. 18, line 13 – p. 30, line 14, p. 50, line 25 – p. 55, line 24.

³³³ Defence Closing Brief, paras 366, 416.

³³⁴ See P-0205: <u>T-47</u>, p. 43, lines 12-21; T-47-CONF, p. 54, line 10-16; <u>T-50</u>, p. 43, line 5 – p. 46, line 1; T-50-CONF, p. 53, line 1 – p. 56, line 11; T-51-CONF, p. 6, line 18 – p. 17, line 22. The alleged contradictions relate to his testimony about whether Dominic Ongwen ordered an attack on civilians in Odek and Lukodi, and whether the witness reported seeing civilian deaths in Lukodi.

³³⁵ P-0209: <u>T-160</u>; <u>T-161</u>.

 $^{^{336}}$ P-0209: $\overline{\text{T-161}}$, p. 50, line 8 – p. 52, line 16.

³³⁷ See for example P-0209: T-161-CONF, p. 62, line 9 - p. 64, line 23 (the witness's testimony in relation to his roles and ranks in the LRA and his participation in certain attacks).

x P-0231

- 275. P-0231 testified live before the Chamber with protective measures, having received Rule 74 assurances. ³³⁸ P-0231, a former LRA fighter, who was abducted in 1994, ³³⁹ testified about his time as an officer in Sinia brigade's Oka battalion, his knowledge of Dominic Ongwen and various attacks. P-0231 was a forthright witness, distinguishing between events he witnessed personally and matters he learned about through other means. His testimony was detailed and his accounts are consistent with what would be expected of a witness who personally experienced the events he discussed. He eventually escaped from the LRA in 2007. ³⁴⁰
- 276. The Chamber notes that the witness testified to having a close relationship with Dominic Ongwen while he was in the bush,³⁴¹ and believed that Dominic Ongwen saved his life while they were both in the LRA.³⁴² Perhaps for this reason, there are aspects of the witness's testimony where he appears to be minimising Dominic Ongwen's actions. For example, the witness claims that he never saw anyone young in Dominic Ongwen's house.³⁴³ Such a statement is not credible in light of the testimony of other reliable witnesses. However, the Chamber also notes that much of the witness's testimony is consistent with other reliable evidence heard in this case.

xi P-0245

277. P-0245 testified live before the Chamber with protective measures, including voice distortion, after receiving Rule 74 assurances.³⁴⁴ P-0245, a former LRA fighter, testified about his experiences in the LRA, his knowledge of Dominic Ongwen and several attacks by the LRA, including the attacks on Lukodi and Odek IDP camps that are relevant to the charges.

³³⁸ P-0231: <u>T-122</u>; <u>T-123</u>.

³³⁹ P-0231: T-122-CONF, p. 27, lines 2-5.

³⁴⁰ P-0231: T-122-CONF, p. 30, lines 16-19.

³⁴¹ P-0231: <u>T-123</u>, p. 81, line 22 – p. 82, line 6.

³⁴² P-0231: T-123-CONF, p. 12, line 14 – p. 13, line 9.

³⁴³ P-0231: <u>T-122</u>, p. 72, line 4 – p. 73, line 3.

³⁴⁴ P-0245: T-98; T-99; T-100; T-101.

278.	The Chamber found many aspects of this witness's testimony, particularly after his first
	defection in 2003, dubious. 345 The witness testified that he returned to the LRA
	later the same year and participated in the Odek attack and heard the orders for the Lukodi
	attack.346 The Chamber is not convinced that the witness was with the LRA during this
	period. This witness was a prominent member of the LRA. Had he returned to the group,
	other LRA fighters who knew him would have known and testified accordingly. Yet in
	these proceedings, and offered credible evidence that the witness returned
	to the LRA only sometime in
	general terms that P-0245 was present around the time period of the Odek, Lukodi and
	Abok attacks, 348 however the Chamber places more weight on the testimony of witnesses
	like and and who provided more specific and contextualised testimony of the
	timing of P-0245's return.

279. The Chamber's view is further compounded by the divergence between his testimony and that of other witnesses. For example, P-0245 identified Okwonga Alero as one of the leading LRA commanders who issued the commands to attack Odek IDP camp attack along with Dominic Ongwen.³⁴⁹ No other witness credibly testified to this effect. Further, other aspects of his testimony, such as the evidence he provided about his while with the LRA, appear convoluted and implausible.³⁵⁰ The witness was evasive, equivocal, and unconvincing when explaining his criminal charges.³⁵¹

³⁴⁵ See P-0245: T-99-CONF, p. 22, lines 5-9; <u>Defence Closing Brief</u>, paras 340-45, 361, 365, 379, 393-95, 403, 412, 432.

³⁴⁶ P-0245: <u>T-99</u>, p. 49, line 15 – p. 75, line 24; T-100-CONF, p. 8, line 11 – p. 11, line 6 (testifying that he was with the LRA from roughly October 2003 to January 2005).

with the LRA from roughly October 2003 to January 2005).

347 See

348 See

³⁴⁹ See P-0245: <u>T-99</u>, p. 49, line 15 – p. 52, line 23.

³⁵⁰ See P-0245: T-99-CONF, p. 27, lines 4-19, p. 33, line 14 – p. 38, line 25; T-100-CONF, p. 59, line 23 – p. 60, line 21; T-101-CONF, p. 4, line 15 – p. 9, line 3, p. 13, line 25 – p. 20, line 2.

³⁵¹ P-0245: T-100-CONF, p. 61, line 17 – p. 65, line 12.

280. The Chamber notes the Prosecution contention that the logbooks' corroboration of P-0245's account of the attack at Palaro (Labworomor) in November 2003 indicates the reliability of his account, 352 suggesting that this proves that P-0245 was indeed back in the LRA by late 2003. However, the fact referred to by P-0245, i.e. that Dominic Ongwen used UPDF uniforms to enter a bar and then opened fire on UPDF inside, was well known 353 – and there is evidence that it was reported on public radio 354 – so the Chamber does not consider it significant that P-0245 knew this detail. 555 Other witnesses who testified to being present in that same attack did not attest to P-0245's presence. The Chamber is of the view that the unreliability of the witness's testimony as to the time of his return to the LRA – coupled with the fact that the witness's offered false testimony concerning events that he thus could not have witnessed – is so fundamental that the Chamber cannot but doubt the rest of his testimony, including his testimony in relation to the period of time in which the witness was undoubtedly a prominent member of the LRA. Therefore, the Chamber does not rely on the testimony of P-0245.

xii Joseph Okilan (D-0019)

281. Joseph Okilan testified live before the Chamber.³⁵⁷ He testified about joining the Uganda People's Army in 1987 and his subsequent stay within the LRA in Sudan and Uganda until his escape in 1999. He was at some point battalion commander, and knew Dominic Ongwen in the bush. It is noted that Joseph Okilan testified, in relation to Joseph Kony's authority, that 'if you don't follow Kony's commands, that means death is assured for you'.³⁵⁸ In light of all the other evidence, this statement is not credible.³⁵⁹ In addition, the Chamber considers that a natural explanation for this statement is the fact that the witness occupied a relatively high position in the LRA hierarchy, and was motivated to minimise

³⁵² See Prosecution Closing Brief, para. 56, n. 230.

³⁵³ See P-0309: <u>T-61</u>, p. 17, line 18 – p. 19, line 16, p. 22, lines 5-7; P-0372: <u>T-148</u>, p. 33, line 13 – p. 35, line 25. See also Enhanced audio recording, UGA-OTP-0239-0101; P-0059: <u>T-37</u>, p. 42, line 18 – p. 47, line 15; P-0016: <u>T-33</u>, p. 40, lines 12-23.

³⁵⁴ See Enhanced audio recording, UGA-OTP-0239-0101; P-0059 Tape 721 Transcript, UGA-OTP-0258-0791-R01, at 0795; P-0059: <u>T-37</u>, p. 43, lines 15-19.

³⁵⁵ P-0245: <u>T-99</u>, p. 41, lines 14-24.

³⁵⁶ See

³⁵⁷ D-0019: <u>T-236</u>.

³⁵⁸ D-0019: <u>T-236</u>, p. 16, line 24 – p. 17, line 8.

³⁵⁹ See paras 866-873 below.

his own responsibility. With this clarification, the Chamber considers Joseph Okilan generally reliable.

xiii D-0027

282. D-0027, a former LRA fighter, testified live before the Chamber with protective measures. The about this abduction as a child in 1990, his stay in the LRA and his knowledge of Dominic Ongwen as a young abductee and commander. D-0027 provided in particular a detailed and comprehensive narrative of his experiences in the LRA, including spiritual traditions within the organisation and his relationship with Dominic Ongwen. The details provided by the witness indicate that he indeed knew Dominic Ongwen and that the witness testified credibly of events that he personally experienced.

xiv D-0032

- 283. D-0032 testified live before the Chamber with protective measures, having received Rule 74 assurances. 361 D-0032, a former LRA commander, testified about his experiences in the LRA and about LRA structure, policy and rules. D-0032 offered detailed and comprehensive testimony. His testimony was contextualised and replete with the kind of details that indicated that the witness was indeed in the position to have the information he testified in relation to. The Chamber notes that much of D-0032's testimony was consistent with other reliable evidence heard in these proceedings.
- 284. However, D-0032 was not reliable on certain points, for example, the way 'courtship' worked in the LRA. 362 Here, the witness's testimony stands in stark contrast to other reliable evidence offered in these proceedings. The Chamber does not consider that D-0032's testimony was purposely untruthful in relation to this aspect of testimony, rather, the witness attempted to minimise the impact and severity of the LRA's actions. The Chamber also notes that the witness gave very different statements about the role of Dominic Ongwen in the attack on Odek IDP camp to the Defence and the Prosecution. 363

³⁶¹ D-0032: <u>T-199</u>; <u>T-200</u>; <u>T-201</u>.

³⁶⁰ D-0027: <u>T-202</u>.

³⁶² D-0032: <u>T-200</u>, p. 12, line 3 – p. 15, line 23; <u>T-201</u>, p. 39, lines 17-23, p. 42, line 10 – p. 47, line 9.

³⁶³ See D-0032: <u>T-200</u>, p. 24, line 6 – p. 26, line 9; <u>T-201</u>, p. 16, line 25 – p. 26, line 4 (to the Prosecution, the witness stated that he heard Dominic Ongwen speak to Joseph Kony on the radio requesting to attack Odek. To the Defence, the witness stated that it was Okwonga Alero who spoke with Joseph Kony).

In court, the witness testified that his statement to the Prosecution that Dominic Ongwen requested to go and fight at Odek was incorrect, and that a different commander made that request.³⁶⁴ When asked why he told the Prosecution's investigators that Dominic Ongwen made this request, the witness stated:

I told them that it does not necessarily mean that's what I said, but I said everybody was listening to what Kony was saying and there were other commanders who were supporting what Kony was saying. Because if your commander speaks you have to agree with what he is saying and confirm that you are going to take the relevant action that he wants you to do. 365

285. The Chamber considers the witness's explanation of the discrepancy evasive and unpersuasive. Further, his in-court testimony is inconsistent with other available reliable evidence as to the role of Dominic Ongwen in the planning of the attack on Odek IDP camp. ³⁶⁶ The Chamber is convinced that the information the witness offered to the Prosecution was the truthful account.

286. Acama Jackson is a priest and former LRA member, who testified live before the Chamber. He spent about 17 years in the LRA and provided certain relevant evidence about the organisation, including about the treatment of abducted women and girls and about Joseph Kony's spiritualism. The Chamber is satisfied that the witness testified credibly about events he personally experienced. He provided details in keeping with what could be expected of a witness who spent a significant time in the LRA.

287. Alfred Arop testified live before the Chamber. 368 Alfred Arop, a former LRA fighter, testified about his experiences in the LRA, the LRA's structure, membership and policies and the attacks on Pajule and Odek IDP camps relevant to the charges. The Chamber finds the testimony of this witness generally credible. Alfred Arop provided clear and detailed testimony. His testimony was comprehensive, chronological and his narrative included the kinds of details that indicate he spoke of his actual personal experiences.

³⁶⁴ D-0032: <u>T-201</u>, p. 22, lines 1-6. *See* D-0032: <u>T-201</u>, p. 20, line 4 – p. 26, line 4, p. 49, line 23 – p. 50, line 17.

³⁶⁵ D-0032: T-201, p. 25, line 23 – p. 26, line 3.

³⁶⁶ See section IV.C.7.iii.

³⁶⁷ D-0074: T-187; T-188.

³⁶⁸ D-0092: T-208: T-209.

The witness clearly distinguished between what he witnessed himself and events he was informed of by other persons.

- 288. The Chamber is of the view that the press interviews in which the witness spoke of his belief that Dominic Ongwen and LRA fighters such as him should not be prosecuted and should instead be granted amnesty does not undermine his credibility. 369 When questioned about these interviews, Alfred Arop readily admitted that these were his views. ³⁷⁰ He also stated that he knew and liked Dominic Ongwen. ³⁷¹ Witnesses are not blank slates, absent of personal opinions about the proceedings or of the accused. There is no evidence suggesting that this witness's views of the accused and the proceedings impacted the truthfulness of the evidence he offered to the Court. His testimony was internally consistent, coherent and largely consistent with other reliable evidence on record.
- 289. Of greater importance is the recent contact between the witness and former LRA fighters. When questioned about these contacts, Alfred Arop stated that he had been contacted by other former LRA fighters who were attempting to establish whether he had dealings with the Court.³⁷² Alfred Arop testified that they did not tell him 'not to say anything bad about Dominic Ongwen' or other fighters and that he never admitted to the former fighters that he was testifying before the Court.³⁷³ On the face of the evidence before the Chamber, there is no reason to doubt the validity of the witness's testimony. Absent such evidence and given that his testimony is largely consistent with other reliable evidence on the record, the Chamber finds that this contact with former LRA fighters does not undermine the witness's credibility.

xvii Charles Lokwiya (D-0134)

290. Charles Lokwiya testified live before the Chamber. 374 Charles Lokwiya, a former LRA fighter, provided testimony in relation to his experiences in the LRA, and in particular

³⁶⁹ See D-0092: T-209, p. 15, lines 18-25, quoting Aislinn Laing, 'As Kony's commander faces trial in The Hague, Ugandans recount tales of horror they endured', in The Telegraph (21 January 2016), UGA-OTP-0286-0650, at 0655; D-0092: T-209, p. 16, line 18 - p. 17, line 1, quoting Aislinn Liang, 'A Lord's Resistance Army commander goes on trial but Joseph Kony still eludes justice', in Time (21 January 2016), UGA-OTP-0286-0647, at 0648.

³⁷⁰ D-0092: <u>T-209</u>, p. 16, lines 1-5, p. 17, lines 21-25. ³⁷¹ D-0092: <u>T-209</u>, p. 14, lines 10-11.

³⁷² D-0092: T-209-CONF, p. 18, line 14 – p. 22, line 9, p. 23, lines 1-23.

³⁷³ D-0092: T-209-CONF, p. 23, line 24 – p. 24, line 4.

³⁷⁴ D-0134: T-240; T-241.

his participation in the October 2003 attack on Pajule IDP camp. Charles Lokwiya's testimony was detailed, comprehensive and specific. His testimony contained details that demonstrate that Charles Lokwiya spoke of his personal experiences and that he spent many years in the LRA. The witness clearly explained the source of the information he provided and delineated between events he experienced personally and information which he learnt from others. The witness was also clear in informing the Chamber when he did not recall an event.

291. The Chamber notes that Charles Lokwiya denied being under LRA 'punishment' at the time of the Pajule IDP camp attack.³⁷⁵ However, other witnesses credibly testified that Charles Lokwiya was indeed on punishment at the time of the attack.³⁷⁶ Given the in this regard, the Chamber is of the view that in this instance, Charles Lokwiya was not truthful and that he was indeed on punishment at the time of the attack. However, the Chamber notes that despite this issue, it found the rest of the witness's testimony credible, noting particularly that it is generally consistent with other reliable evidence heard in these proceedings.

b. Lower-level insiders

i P-0015

292. P-0015's two prior recorded statements were introduced pursuant to Rule 68(2)(b) of the Rules.³⁷⁷ P-0015, a former LRA abductee who lived in Vincent Otti's household, offered testimony about the Pajule IDP camp attack. The witness was abducted in June 2003 at the age of 13 and returned from captivity by March 2004. The witness clearly explained the source of her information and gave details that supported the credibility of the information provided. P-0015 provided evidence that was comprehensive and rich with the type of details that shows that she spoke about her personal experiences. Further, the Chamber considers that the witness's testimony was generally consistent with other reliable evidence.³⁷⁸ The Chamber notes that in her prior recorded statement of 2004, P-

³⁷⁵ D-0134: T-241-CONF, p. 12, line 7 – p. 13, line 6.

See P-0138: <u>T-121</u>, p. 37, line 17 – p. 38, line 5 (Lukwiya was punished for failing to carry out an order. He was made to walk barefoot).

³⁷⁷ P-0015 First Statement, UGA-OTP-0043-0131-R01 (public redacted version available: UGA-OTP-0283-0063); P-0015 Second Statement, UGA-OTP-0191-0254-R01 (public redacted version available: UGA-OTP-0283-0083). *See* First Decision on Prosecution Applications under Rule 68(2)(b), paras 43-50, p. 107.

³⁷⁸ See the testimonies of P-0045, P-0138, P-0142, P-0101.

0015 stated that she had not heard of 'Dominic Ongwen', while in the second prior recorded statement, given in 2006, she stated that she had heard of 'Dominic Ongwen' but had never seen him and that she had heard of and seen 'Odomi' but could not remember where. To Contrary to a submission by the Defence, the Chamber does not consider this alleged 'inconsistency' significant. Noting the short time the witness spent in captivity, her position within Vincent Otti's household and her young age at the time of her captivity, the Chamber finds it understandable that the witness recalled the name Dominic Ongwen in 2006 but not in 2004 and made no connection between Dominic Ongwen and Odomi.

ii P-0018

- 293. P-0018 testified live before the Chamber with protective measures.³⁸¹ P-0018, a former LRA fighter, testified in relation to her experience as an LRA attacker in the attack on Lukodi camp. P-0018 was a quiet and reserved, somewhat timid, witness. Yet, her testimony was detailed and generally clear. P-0018 had been abducted by the LRA in 2003 and was part of the LRA force that attacked Lukodi IDP camp in 2004. P-0018's account of events was consistent with what would be expected of a witness in her position and one who only spent a short time in the LRA. For example, the witness was specific and detailed about her participation in the attack on Lukodi IDP camp and the details of her escape from the LRA, but was more vague about matters relating to LRA hierarchy and structure.³⁸² Noting that the witness was not a trained and armed soldier, and rather was in the household of a low ranking LRA officer,³⁸³ the Chamber ascribes no weight to her lack of knowledge of aspects of the LRA.
- 294. The Chamber is of the view that the inconsistencies in the witness's account of the time of her abduction are insignificant. 384 At the same time, the Chamber notes that the witness's testimony in relation to the LRA fighters killed in Lukodi IDP camp is confused

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³⁷⁹ P-0015 First Statement, UGA-OTP-0043-0131-R01, at para. 101; P-0015 Second Statement, UGA-OTP-0191-0254-R01, at para. 40.

³⁸⁰ Defence Response to Prosecution Application under Rule 68(2)(b), para. 140.

³⁸¹ P-0018: <u>T-68</u>; <u>T-69</u>.

³⁸² See P-0018: <u>T-68</u>, p. 38, line 13-25, p. 40, lines 5-8, p. 42, lines 21-24, p. 51, line 24 – p. 52, line 16; <u>T-69</u>, p. 3, lines 2-7, p. 31, lines 2 – p. 32, line 1.

³⁸³ P-0018: <u>T-69</u>, p. 43, lines 7-9.

³⁸⁴ P-0018: T-69, p. 26, lines 2-18 (the witness could not recall whether she was abducted on 14 June 2003 or 6 July 2003).

and unclear.³⁸⁵ In this context, the Chamber notes that the witness did not go to the barracks in the course of the Lukodi attack. The Chamber also notes that her testimony was generally consistent with other reliable evidence heard in these proceedings. Concerning P-0018's age at the time of her abduction, in June or July 2003³⁸⁶ the witness testified that she was 'about 14' at the time of her abduction.³⁸⁷ However, during her testimony in May 2017, she stated that she was 26.³⁸⁸ Accordingly, the Chamber considers her to have been 12 years old when she was abducted.

iii P-0054

295. P-0054 testified live before the Chamber with protective measures after receiving Rule 74 assurances. P-0054, a former LRA fighter, testified about his experiences in the LRA, including his personal participation in the LRA attacks on Odek and Abok IDP camps. P-0054 was a forthcoming and thoughtful witness. The witness's narration was comprehensive and generally chronological, filled with the type of detail that showed that the witness spent many years with the LRA. The Chamber especially notes that P-0054 frequently distinguished between events he had witnessed himself, such as the attack on Abok IDP camp, and events he had heard about, such as the attack on Lukodi IDP camp.

296. The Chamber also notes that concerning both the Abok and Odek IDP camp attacks, the witness testified that he was amongst the groups that attacked the barracks and did not enter the centre himself. 390 However, the Chamber does not necessarily disregard P-0054's evidence as to the occurrences at the centre of the camps during the attacks, for example if the witness gained information in the aftermath of the attack from other LRA fighters who had gone into the centre of the camp. In light of the foregoing, the Chamber considers that it may generally rely on P-0054's testimony, in particular on the structure

³⁸⁵ P-0018: T-69, p. 52, line 15 – p. 53, line 10, p. 57, line 19 – p. 59, line 25.

³⁸⁶ The Chamber notes that both dates were put to the witness as her date of abduction, 14 June and 6 July 2003, and that the witness was not able to tell which one was the precise date of her abduction. P-0018: <u>T-69</u>, p. 26, lines 2-17. However, for the purposes of this discussion, the Chamber notes that the difference between those two dates of her abduction is irrelevant.

³⁸⁷ P-0018: <u>T-68</u>, p. 32, lines 19-24.

³⁸⁸ P-0018: <u>T-68</u>, p. 32, lines 10-11.

³⁸⁹ P-0054: <u>T-93</u>; <u>T-94</u>. See P-0054: <u>T-93</u>, p. 3, lines 21-24.

³⁹⁰ P-0054: <u>T-93</u>, p. 19, lines 13-14, p. 35, lines 2-5, p. 53, line 24 – p. 54, line 6.

and membership of the LRA, as well as its disciplinary regime, the use of children in the LRA and the aspects of the Abok and Odek IDP camp attacks in which he participated.

iv Aldo Odoch (P-0096)

297. Aldo Odoch's prior recorded statements were introduced pursuant to Rule 68(2)(c) of the Rules.³⁹¹ Aldo Odoch was a Lango man born in 1986, who had been abducted at the age of 15 years in 2002. He testified about his abduction and his time in the LRA, including his experiences as an escort of LRA commander Okot Odhiambo and his participation in the attacks on Abia and Barlonyo. The Chamber notes that his statement was both internally consistent and consistent with other reliable evidence.

v P-0097

- 298. P-0097 testified live before the Chamber, with protective measures. 392 The Chamber found the evidence provided by P-0097, an abductee and former LRA fighter, credible. When describing the facts contained in his testimony, the witness used detailed language, readily admitted when he did not know the answer to a question and described events in a manner which convinces the Chamber that P-0097 was abducted by the LRA and spent a considerable period of time as an LRA soldier.
- 299. Regarding the issue of P-0097's age at the time of his abduction, the Chamber notes that there is contradicting evidence. P-0097 stated that he was born in which would have made him 11 at the time of the abduction in February 2005. He states

³⁹¹ P-0096 Interview Transcript, UGA-OTP-0228-1698-R01 (public redacted version available: UGA-OTP-0283-0855); P-0096 Interview Transcript, UGA-OTP-0228-1739-R01 (public redacted version available: UGA-OTP-0283-0896); P-0096 Interview Transcript, UGA-OTP-0228-1778-R01 (public redacted version available: UGA-OTP-0283-0935); P-0096 Interview Transcript, UGA-OTP-0228-1814-R01 (public redacted version available: UGA-OTP-0283-0971); P-0096 Interview Transcript, UGA-OTP-0228-1851-R01 (public redacted version available: UGA-OTP-0283-1008); P-0096 Interview Transcript, UGA-OTP-0228-1862-R01 (public redacted version available: UGA-OTP-0283-1019); P-0096 Interview Transcript, UGA-OTP-0228-1901-R01 (public redacted version available: UGA-OTP-0283-1058); P-0096 Interview Transcript, UGA-OTP-0228-1938-R01 (public redacted version available: UGA-OTP-0283-1095); P-0096 Interview Transcript, UGA-OTP-0228-1974-R01 (public redacted version available: UGA-OTP-0283-1131); P-0096 Interview Transcript, UGA-OTP-0228-2014-R01 (public redacted version available: UGA-OTP-0283-1171); P-0096 Interview Transcript, UGA-OTP-0228-2014-R01 (public redacted version available: UGA-OTP-0283-1171); P-0096 Interview Transcript, UGA-OTP-0228-2056-R01 (public redacted version available: UGA-OTP-0283-1171); P-0096 Interview Transcript, UGA-OTP-0228-2056-R01 (public redacted version available: UGA-OTP-0283-1171); P-0096 Interview Transcript, UGA-OTP-0228-2056-R01 (public redacted version available: UGA-OTP-0283-1171); P-0096 Interview Transcript, UGA-OTP-0228-2056-R01 (public redacted version available: UGA-OTP-0283-1171); P-0096 Interview Transcript, UGA-OTP-0228-2056-R01 (public redacted version available: UGA-OTP-0283-1171); P-0096 Interview Transcript, UGA-OTP-0228-2056-R01 (public redacted version available: UGA-OTP-0283-1171); P-0096 Interview Transcript, UGA-OTP-0283-2016-R01 (public redacted version available: UGA-OTP-0283-1171); P-0096 Interview Transcript, UGA-OTP-0283-2016-R01 (public redacted version available: UGA-OTP-0283-1171); P-0096

³⁹² P-0097: <u>T-108; T-109</u>.

³⁹³ P-0097: T-108-CONF, p. 6, lines 2-5.

he knows his birthdate since his parents told him the date. ³⁹⁴ However, there is documentary evidence which indicates slightly different birthdates: his birth certificate ³⁹⁵ and his national ID³⁹⁶ both indicate 1993, his baptism document states 1993. ³⁹⁷ Further, a school identity card states that the witness was 20 years old on 2015, ³⁹⁸ an immunisation card states that the witness was aged 12 on 2003, ³⁹⁹ a school progress card from the year 2001 indicates the witness's age as 10⁴⁰⁰ and a second student identity card, issued on 2011, states the witness's age as 16. ⁴⁰¹ All those documents are incompatible with the date of birth provided by the witness, there is no information as to the production of the documents and the documents do not indicate the same date of birth consistently either. Considering the contradicting evidence, the Chamber finds it impossible to determine P-0097's precise date of birth. However, the Chamber notes the evidence indicates that the witness was born at the earliest on 1990⁴⁰² and was therefore at the most 14 years old in February 2005. Accordingly, the Chamber finds that P-0097 was under the age of 15 at the time of his abduction.

- 300. Regarding the Defence's submission that there are 'major contradictions, inconsistencies' which make P-0097's testimony as a whole unreliable, 403 the Chamber does not find any reason to disregard the witness's testimony as a whole. The parts of the witness's testimony which the Defence references in support of its submission 404 are instances where the witness answered questions concerning his prior recorded testimony. The fact that the witness clarifies and further explains his testimony is a matter assessing the evidence in the concrete instance but does not justify to generally discard his testimony.
- 301. The Chamber also notes that P-0097 conceded that it was difficult for him to determine the age of people based only on their appearance when they are not his age⁴⁰⁵ and stated

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    <sup>394</sup> P-0097: T-108-CONF, p. 6, lines 6-7.
    <sup>395</sup> P-0097: T-108, p. 63, lines 17-22; UGA-OTP-0272-0939.
    <sup>396</sup> P-0097: T-108, p. 64, lines 7-9; UGA-OTP-0269-0735.
    <sup>397</sup> P-0097: T-108, p. 59, line 24 – p. 60, line 3; UGA-OTP-0258-0509.
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³⁹⁸ P-0097: <u>T-108</u>, p. 61, lines 11-22; UGA-OTP-0263-2470.

³⁹⁹ P-0097: T-108, p. 61, lines 1-10; UGA-OTP-0269-0737, at 0738.

⁴⁰⁰ P-0097: T-108, p. 61, line 25, p. 62, lines 14-16; UGA-OTP-0269-0739.

⁴⁰¹ P-0097: <u>T-108</u>, p. 62, line 18 – p. 63, line 2; UGA-OTP-0269-0740, at 0<u>741</u>.

⁴⁰² According to the immunisation card stating that he was 12 years old on 2003

⁴⁰³ <u>Defence Closing Brief</u>, para. 525.

⁴⁰⁴ Defence Closing Brief, n. 868-74.

⁴⁰⁵ P-0097: <u>T-109</u>, p. 13, lines 22-25.

that one of the factors used to determine how old other abductees were was his own age. 406 The Chamber – also in light of the fact that it is unable to establish the witness's precise age on the basis of the available evidence – will take this into account in its consideration the age of person whose age was estimated by the witness.

vi P-0130

302. P-0130's prior recorded statement was introduced pursuant to Rule 68(2)(b) of the Rules. 407 P-0130, a former LRA fighter, testified about his abduction and his experiences in the LRA, including his participation in the attack on Pajule IDP camp relevant to the charges. The Chamber notes that the witness was a low level fighter and thus his lack of knowledge or errors about some of the operational aspects of the attack are understandable. The Chamber is of the view that P-0130's testimony of his specific personal experiences is generally credible.

vii P-0138

303. P-0138 testified live before the Chamber with protective measures and received Rule 74 assurances. The witness testified about his abduction in 1996, when he was 14 years old, about being an escort of one of the commanders of Oka battalion as well as being an escort of Vincent Otti in Control Altar and about his observations of the October 2003 Pajule IDP camp attack. He eventually escaped from the LRA in 2003. P-0138 was a valuable and honest witness. The witness was frank about his experience in the LRA, for example when he spoke in a detailed and clear manner about the extent in which killing and pillaging were part of the LRA attacks. The Defence submission concerning P-0138 and stating that his testimony is 'riddled with contradictions', 410 concerns factual allegations related to events in Teso which are not part of the charges and are not relied upon by the Chamber for the purposes of the judgment. This has no effect on the Chamber's assessment of the witness in general. The Chamber notes that the witness

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⁴⁰⁶ P-0097: T-108, p. 29, lines 8-11.

⁴⁰⁷ P-0130 Statement, UGA-OTP-0191-0272-R01 (public redacted version available: UGA-OTP-0283-1242). *See* First Decision on Prosecution Applications under Rule 68(2)(b), paras 64-67, p. 109.

⁴⁰⁸ See in particular P-0130 Statement, UGA-OTP-0191-0272-R01, at paras 45, 47, 58 (his testimony in relation to Vincent Otti's and Raska Lukwiya's participation in the attack and his testimony that Bogi was the overall commander of the three groups that went to attack Pajule, as opposed to Raska Lukwiya as the evidence establishes).

⁴⁰⁹ P-0138: <u>T-120</u>; <u>T-121</u>; <u>T-122</u>. See also <u>T-120</u>, p. 3, line 3 – p. 4, line 4.

^{410 &}lt;u>Defence Closing Brief</u>, para. 515.

testified that he knew Dominic Ongwen very well.⁴¹¹ Thus, the Chamber relies on P-0138 for various findings, as specified below.

viii P-0145

- 304. P-0145 testified live before the Chamber with protective measures and having received Rule 74 assurances. 412 P-0145 offered testimony about his experiences as a fighter in the LRA. The witness's testimony was detailed and he had a good recollection of events. The witness distinguished between events he personally witnessed and information he came to learn from others; his testimony was full of the kind of details that indicate that he spoke from personal experience.
- 305. The Chamber is of the view that despite receiving Rule 74 assurances, the witness was not truly forthcoming about his role in the attack on Lukodi IDP camp and understated his participation in the attack. The witness testified that he merely went to collect food in Lukodi IDP camp. ⁴¹³ However, the way the witness described the attack, and the details he spoke of, ⁴¹⁴ suggested to the Chamber that the witness had a more active role in the attack than he admits. Of additional concern, P-0145 was the sole witness to indicate that Dominic Ongwen participated in the Lukodi IDP camp attack. ⁴¹⁵ The Chamber notes that the witness testified that he did not see anything in Lukodi with his own eyes, apart from the food he carried. ⁴¹⁶ The witness refers to Dominic Ongwen's participation but never says that he actually saw Dominic Ongwen in Lukodi. In light of these concerns, the Chamber cannot rely on the witness's testimony in relation to the actual course of the Lukodi IDP camp attack.
- 306. However, the Chamber finds other aspects of the witness's testimony credible, particularly in relation to the planning of the Lukodi attack as well as the LRA's policy on the treatment of civilians and Dominic Ongwen's behaviour as an LRA commander.

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⁴¹¹ P-0138: <u>T-120</u>, p. 18, line 19 – p. 19, line 5.

⁴¹² P-0145: <u>T-143</u>; <u>T-144</u>.

⁴¹³ P-0145: <u>T-143</u>, p. 12, line 3-7; T-144-CONF, p. 46, line 20-22.

⁴¹⁴ See for example P-0145: <u>T-143</u>, p. 22, line 18 – p. 26, line 18 (discussing the course of the attack and mentioning the killing of civilians in their houses). The Chamber also notes that the witness testified both that he had a gun in the attack and then that he was without a gun. *Compare* P-0145: <u>T-143</u>, p. 19, lines 7-10 with P-0145: <u>T-143</u>, p. 24, lines 14-16.

 $[\]frac{415}{P}$ P-0145: $\frac{T}{1}$ P-0145: $\frac{T}{1}$

The Chamber also notes that much of the witness's testimony is supported by other reliable evidence heard in these proceedings.

307. Ray Apire testified live before the Chamber. Apire was an LRA fighter who testified about the LRA and the various attacks he participated in and heard about. Ray Apire's testimony was generally detailed, consistent and comprehensive. He was forthcoming in responding to questioning by the parties and participants. His account is consistent with what would be expected of someone who experienced the events and also contains the type of details consistent with the expected knowledge of a long-standing LRA officer. The witness also differentiated clearly between his personal experiences and information he came to learn from others.

- 308. P-0200 testified live before the Chamber with protective measures. 419 He testified that he was abducted in Teso in June 2003 by Dominic Ongwen and that he stayed in the LRA, and under Dominic Ongwen specifically, until March 2004.
- 309. The Defence submitted that P-0200's testimony 'should be disregarded for being incredible'. 420 It argued that Dominic Ongwen 'did not go to Teso and therefore it is impossible that he could have been abducted by Dominic'. 421 It also makes reference to the Pre-Trial Chamber's finding in the decision on the confirmation of charges that 'the evidence of Witness P-0200 [...] is incompatible in several material aspects with the rest of the available evidence, including the testimonies of seven former so-called "wives" of Dominic Ongwen which the Chamber considers fully credible'. 422 Further, the Defence argues that P-0200 was 'evasive throughout his testimony', and that he 'could not answer

⁴¹⁷ P-0172: T-113; T-114.

 $^{^{418}}$ See for example P-0172: $\underline{\text{T-113}}$, p. 42, line 11 – p. 45, line 4 (a discussion of the history, structure and membership of the LRA).

⁴¹⁹ P-0200: <u>T-145</u>; <u>T-146</u>.

^{420 &}lt;u>Defence Closing Brief</u>, para. 514.

⁴²¹ Defence Closing Brief, para. 514. See also para. 512.

^{422 &}lt;u>Defence Closing Brief</u>, para. 513. See also <u>Confirmation Decision</u>, para. 133.

some of the basic questions and quite often admitted how he had forgotten certain facts and could not remember'. 423

- 310. The witness narrated an account of his movements with Dominic Ongwen during this period: he stated that he moved with Dominic Ongwen to a meeting with Vincent Otti, Banya, and Raska Lukwiya in Abia, then to Lwala, where '[Dominic Ongwen] moved with his soldiers to Lwala Girls [School]' and after a short time, 'we saw Dominic Ongwen coming with his soldiers with the girls'. According to P-0200's testimony, he then moved, together with Dominic Ongwen, to Abalang, Idam-Akan, Morungatuny, Obalanga, before crossing the Amoroto River and meeting with Joseph Kony in Adilang, where Joseph Kony distributed the girls abducted from Lwala School. P-0200 testified that subsequently, he participated in the attack on Barlonyo under the direct command of Dominic Ongwen, after which they came back to Adilang, escorted Joseph Kony to Sudan, and came back to Uganda. P-0200 testified that he was pierced with a bayonet by Dominic Ongwen for attempted escape, and then managed to escape when crossing the Pece River, and was taken by a local to Palenga barracks.
- 311. P-0200, like many members of the LRA and entirely understandably, was not able to date the events he lived during his time in the LRA. Nevertheless, he provided sufficient detail that, in conjunction with other evidence, allows for a reliable reconstruction of the basic timeline. As noted below, there is reliable evidence that the abduction of a large number of girls by the LRA from Lwala Girls School took place on 23 June 2003. The Barlonyo attack took place in February 2004. Therefore, the events described by P-0200 would have taken place in mid- and late 2003, and early 2004. This is also compatible with the dates of abduction and escape given by the witness.
- 312. Importantly, P-0200's testified that he spent the entirety of his time in the LRA under the direct command of and in the household of Dominic Ongwen.⁴³⁰ Yet, the timeline given by P-0200 does not correspond to the timeline of Dominic Ongwen's activities as

⁴²³ Defence Closing Brief, para. 514.

⁴²⁴ P-0200: <u>T-145</u>, p. 13, lines 6-17.

⁴²⁵ P-0200: <u>T-145</u>, p. 13, line 18 – p. 14, line 8.

⁴²⁶ P-0200: <u>T-145</u>, p. 14, lines 8-24.

⁴²⁷ P-0200: <u>T-145</u>, p. 15, line 10 – p. 16, line 22.

⁴²⁸ See para. 1161 below.

⁴²⁹ See para. 1164 below.

⁴³⁰ See P-0200: <u>T-146</u>, p. 44, lines 20-22.

established on the basis of numerous reliable witness testimonies and other sources of evidence.

313. In particular, there is no other evidence attributing the abduction of the girls from Lwala Girls School to Dominic Ongwen. In addition, the multiple other reliable sources of evidence available as to Dominic Ongwen's activities and movements at the time do not allow for the conclusion that Dominic Ongwen went to Teso in June 2003, or at any time until after the attack on Pajule IDP camp on 10 October 2003. It is also notable that P-0200 denied having taken part in that attack, whereas there is a reliable body of evidence that Dominic Ongwen, in whose household P-0200 claimed to have been at the time, did participate. Conversely, P-0200's placing of Dominic Ongwen on the ground for the attack on Barlonyo is entirely unsupported by the other reliable evidence in relation to that attack. On the other hand, the Chamber notes that P-0200's testimony that at the time, to the effect that Dominic Ongwen limped because of a fracture of his left leg, is compatible with the findings in relation to Dominic Ongwen's injury in late 2002 and recovery lasting into mid-2003. This is, however, inconclusive and without bearing on the other identified issues.

314. Further, P-0200 testified that on the way to Sudan

.⁴³⁷ In the assessment of the Chamber, this specific detail, in particular the implication of Dominic Ongwen, is so unique in the context of the evidence in the case, so significant, and even so shocking, that it would have to be mentioned during the testimonies of other persons who reliably testified that they were with Dominic Ongwen in 2003-2004. Yet, no such evidence is on the record.

315. It is also notable that when asked whether he knew the names of any of Dominic Ongwen's so-called 'wives', P-0200 did not give the names of P-0101 or P-0214, who were in Dominic Ongwen's household as his so-called 'wives' during the relevant

⁴³¹ See paras 1017-1077 below.

⁴³² P-0200: <u>T-146</u>, p. 50, lines 7-9.

⁴³³ See section IV.C.6 below.

⁴³⁴ P-0200: <u>T-145</u>, p. 14, lines 8-10.

⁴³⁵ P-0200: <u>T-145</u>, p. 52, lines 15-17.

⁴³⁶ See paras 1017-1049 below.

⁴³⁷ P-0200: T-145-CONF, p. 14, lines 11-14.

time.⁴³⁸ Rather, he mentioned a person not indicated in the evidence as one of Dominic Ongwen's so-called 'wives', and for whom DNA analysis evidence in fact contradicts the claim that she was a so-called 'wife' of Dominic Ongwen.⁴³⁹

- 316. The incompatibility between the evidence of P-0200 and the rest of the reliable evidence is striking, and it is notable that the Prosecution, while still relying on P-0200 occasionally in its closing submissions, did not seek to furnish an explanation of this remarkable incompatibility.
- 317. Finally, when asked to give a physical description of Dominic Ongwen, P-0200 asserted that Dominic Ongwen was 'a bit bald' and 'didn't have much hair on the head'. 440 This description does not match the physical appearance of Dominic Ongwen. In fact, the Defence directly challenged P-0200 on his identification of Dominic Ongwen as the person who abducted him, and the explanation offered by the witness was weak: he stated that he got to 'know [Dominic Ongwen] clearly when Otti called him by his names in Abia. So I know him as my commander by the time when I was in the bush. 441 A positive identification of the accused in the courtroom by the witness was also not possible due to the partition put in place as a special measure under Rule 88 of the Rules of Procedure and Evidence.
- 318. In light of the all of the above, and considering the strong indicia that the person described by P-0200 as Dominic Ongwen is in fact not the accused, the Chamber concludes that there exist unsurmountable obstacles for the reliability of the testimony of P-0200. The Chamber will therefore not rely on his testimony.

319. P-0233 testified live before the Chamber with protective measures, having received Rule 74 assurances. 443 The witness, a former member of the LRA, testified about his abduction and his experiences in the LRA, in particular about the attacks on Acet and Abia. The

⁴³⁸ P-0200: <u>T-145</u>, p. 27, lines 13-24.

⁴³⁹ P-0200: <u>T-145</u>, p. 27, lines 13-24; P-0414 First Report, UGA-OTP-0278-0529, at 0535.

⁴⁴⁰ P-0200: <u>T-145</u>, p. 52, line 21 – p. 53, line 15.

⁴⁴¹ P-0200: <u>T-146</u>, p. 55, lines 9-18.

⁴⁴² See Email from VWS psychologist to TC IX Communications on 18 January 2018 at 14:56, which recommended the partition.

⁴⁴³ P-0233: T-111; T-112.

witness was very knowledgeable about the LRA and his testimony covered a broad range of issues, which the Chamber deems natural in light of the fact that he spent more than 10 years in the LRA. Further, the witness testified about experiences which incriminated the LRA as well as government forces, and testified that he liked Dominic Ongwen, 444 factors which support the credibility of the incriminatory statements.

xii P-0250

320. P-0250 testified live before the Chamber with protective measures. 445 P-0250, a former LRA abductee and fighter, testified about his experiences in the LRA including about the rules and practices in the LRA and the Pajule attack. Significantly, the witness's testimony about the Pajule IDP camp attack is manifestly different from the testimony of other credible witnesses. 446 In particular, contrary to other credible evidence, the witness testified that a large number of people were killed in the course of the Pajule IDP camp attack, people's limbs were chopped off, people were cut in half and people were decapitated. While the evidence shows that several people died in the course of the attack on Pajule IDP camp and that the LRA killed at least one person with a machete, the evidence does not support P-0250's description of events in the course of the attack. Further, the Chamber notes that the witness's description of Dominic Ongwen is not credible. 447 In light of the above, in particular the utter unreliability of his testimony about the Pajule attack, the Chamber finds that the witness's testimony cannot be relied upon.

xiii P-0252

321. P-0252 testified live before the Chamber with protective measures. 448 P-0252, a resident of Odek IDP camp at the time of the attack relevant to the charges, testified about his abduction in that attack as well as his experiences in the LRA in the aftermath, including

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⁴⁴⁴ P-0233: T-112, p. 39, lines 14-15.

⁴⁴⁵ P-0250: <u>T-141</u>; <u>T-142</u>.

⁴⁴⁶ See P-0250: <u>T-141</u>, p. 27, line 8 – p. 28, line 10, p. 31, line 4 – p. 31, line 22, p. 33, line 17 – p. 34, line 4. The Chamber also notes that the witness testified that the Pajule attack occurred on 9 October 2002. P-0250: <u>T-141</u>, p. 25, line 17-19. However, it is clear from certain details, for example the abduction of Rwot Oywak, that the witness was referring to the attack on Pajule IDP camp described in the charges in the present case. See P-0250: <u>T-141</u>, p. 39, lines 2-25.

 $[\]overline{^{447}See}$ P-0250: T-142, p. 7, line 10 – p. 8, line 22, p. 17, line 17 – p. 18, line 1 (the witness described Dominic Ongwen, as an 'invincible' runner and as a 'fat man' at the time of the witness's abduction). In light of the ample evidence to the contrary, the Chamber considers that this is not a credible description of Dominic Ongwen at the time the witness allegedly knew him.

⁴⁴⁸ P-0252: <u>T-87; T-88; T-89</u>.

about the attack on Abok IDP camp relevant to the charges. The Chamber is attentive to the psychological trauma the witness continues to suffer from as a result of his experience in captivity, as well as his other medical issues. However, such issues had no bearing on his evidence rendered in Court. The witness gave his testimony over the course of three days and throughout he was coherent, understood the proceedings, vigilant in following instructions from the Chamber and the questioning parties and testified in a forthright and consistent manner. Contrary to the argument of the Defence, the Chamber saw no indication that the witness had a mental disease or defect which impeded his ability to reconstruct memories.

- 322. Concerning the witness's age, P-0252, who was abducted by the LRA during the Odek attack, 452 stated that he was 14 at the time of the attack. 453 However, when asked his date of birth, the witness replied that he was born on 1993. 454 The Chamber also notes that there are several official documents, such as a certificate of birth, 455 a voter registration slip 456 and a national identity card 457 which indicate that the witness's date of birth was 1993. Additionally, a 'grower registration form' 458 states his age and the year in which the document was issued and in this manner corroborate his date of birth. 459 A 'citizen identity card' indicates that the witness would have to be born between 1993 and 1994. 460
- 323. In this context the Chamber notes the questions by Defence counsel with regard to the procedure of obtaining the birth certificate⁴⁶¹ and the fact that the date '1993' is probably an estimation.⁴⁶² With regard to how the certificate was obtained, P-0252

 $^{^{449}}$ P-0252: $\frac{T-88}{D}$, p. 29, line 18 – p. 30, line 4, p. 38, lines 4-9, p. 38, line 19 – p. 40, line 5; T-88-CONF, p. 61, line 10 – p. 64, line 19.

⁴⁵⁰ Contrary to the Defence's submission (<u>Defence Closing Brief</u>, para. 440), the Chamber saw no indication that he had a mental disease or defect which impeded his ability to reconstruct memories.

⁴⁵¹ See <u>Defence Closing Brief</u>, para. 440.

⁴⁵² See paras 1585-1587 below.

⁴⁵³ P-0252: <u>T-87</u>, p. 26, lines 19-21.

⁴⁵⁴ P-0252: T-87-CONF, p. 7, lines 14-15.

⁴⁵⁵ Birth certificate, UGA-OTP-0272-1018.

⁴⁵⁶ Voter location slip, UGA-OTP-0269-0732.

⁴⁵⁷ National ID Card, UGA-OTP-0269-0730.

⁴⁵⁸ Grower Registration Form, UGA-OTP-0269-0728.

⁴⁵⁹ By stating his age and the year in which the document was issued.

⁴⁶⁰ UGA-OTP-0269-0726, at 0727. The document states that P-0252 was 20 at the time of the issuance of the document on 2014.

⁴⁶¹ P-0252: <u>T-89</u>, p. 3, lines 4-11.

⁴⁶² P-0252: <u>T-89</u>, p. 3, lines 15-16. *See* <u>Defence Closing Brief</u>, para. 520, where the Defence also summarises the testimony concerning the witness's age.

replied that it was not him who provided the date and the Chamber notes that all documents but one⁴⁶³ consistently indicate 1993 as the year of birth.⁴⁶⁴ Accordingly, the Chamber does not doubt the veracity of the document. With regard to the argument that 'as a date of birth is probably an estimation, the Chamber agrees that this might be the case. However, this does not apply to the year indicated, 1993. Should P-0252 have been born later than 1993, this would mean that he would be even younger, which is irrelevant for the charges. The same holds true for the one document indicating that the witness was born either in 1993 or 1994. Accordingly, the Chamber finds that the witness was 11 years old at the time of the attack on Odek IDP camp.

- 324. P-0252's evidence shows that he was abducted during the Odek attack, which occurred on 29 April 2004. 466 The Chamber notes that the witness discussed two Abok attacks, a previous large scale attack that he did not participate in and a second attack that he did participate in. 467 The Chamber also notes that the witness indicated that the Abok attack he participated in happened about two months after his abduction from Odek IDP camp. 468 This timing is consistent with the Chamber's understanding of the timeline of the Abok IDP camp attack relevant to the charges. Further, the Chamber notes that the evidence provided by P-0252 about the events he witnessed is largely consistent with the testimony of other witnesses who testified about the 8 June 2004 Abok IDP camp attack. 469 Accordingly, the Chamber is satisfied that P-0252's reference to the second Abok IDP camp attack relates to the 8 June 2004 attack.
- 325. The Chamber also notes that P-0252 testified that he did not enter Abok IDP camp with other LRA fighters and stayed instead outside the boundaries of the camp. ⁴⁷⁰ The Chamber does not disregard P-0252's evidence as to the occurrences in the camp itself during the attack, for example if the witness gained information in the aftermath of the attack from other LRA fighters who had gone into the centre of the camp.

⁴⁶³ Citizen Identity Card, UGA-OTP-0269-0726.

⁴⁶⁴ A citizen identity card indicates the date of issuance and the witness's age at that time. According to this document, the witness would have to be born between 1993 and 1994. ⁴⁶⁵ UGA-OTP-0269-0726.

⁴⁶⁶ See the Chamber's discussion of P-0252's abduction during the Odek attack in paras 1585-1587 below.

⁴⁶⁷ P-0252: <u>T-87</u>, p. 73, line 17 – p. 74, line 2, p. 81, lines 13-15.

⁴⁶⁸ P-0252: T-89, p. 41, lines 19-21.

⁴⁶⁹ See the Chamber's discussion of Abok IDP camp attack in section Attack on Abok IDP camp IV.C.9 below.

⁴⁷⁰ P-0252: <u>T-87</u>, p. 77, line 25 – p. 78, line 7; <u>T-88</u>, p. 5, lines 4-7.

- 326. The Defence, noting the witness's testimony about the time he spent in the bush and the available documentary evidence, states that his testimony is inconsistent with the evidence and that the witness's testimony in relation to the Abok attack should be disregarded. ⁴⁷¹ The Chamber notes that there are indeed some irregularities in the witness's testimony.
- 327. P-0252 testified that he spent roughly one year and nine months in the bush. 472 However, the certificate of amnesty the witness received from the Ugandan government is dated 2004. 473 The Chamber has no reason to doubt the authenticity of the certificate of amnesty. 474 Additionally, the Chamber does not find the witness's explanation for the inconsistency persuasive. 475 However, noting that the witness's account of the attacks on Odek and Abok IDP camps is largely consistent with the testimony of other witnesses with knowledge of these events, the Chamber finds that this inconsistency does not undermine P-0252's testimony about these events. The Chamber is of the view that P-0252 returned from captivity sometime in June 2004.
- 328. The Chamber also notes that given the nature of the armed conflict, the context of abduction and subsequent captivity and the constant movement of the LRA while in the bush, it is understandable that some witnesses struggle to keep track of the time they spent in the bush and to recall it with precision these many years after their escape from the LRA. Indeed, it is reasonable that witnesses in captivity in the bush, particularly those who were children when they were abducted, have lost their attachment to the very concept of time. In light of the foregoing, the Chamber considers that it may rely on core parts of P-0252's testimony concerning his experience during the Odek IDP camp attack and its aftermath and the Abok IDP camp attack.

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⁴⁷¹ Defence Closing Brief, paras 441-43.

⁴⁷² P-0252: <u>T-88</u>, p. 15, lines 6-8; <u>T-89</u>: p. 52, line 22 – p. 53, line 8. Indeed, P-0218 testified that the witness had stayed in captivity for about one year, P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 38.

⁴⁷³ P-0252's Certificate of amnesty, UGA-OTP-0269-0722, at 0723, indicating that the witness was in the bush for about two months.

⁴⁷⁴ The witness acknowledges that this was the certificate that he was given when he returned from the bush. P-0252: <u>T-88</u>, p. 15, lines 22-24.

 $^{^{475}}$ P-0252: $\overline{\text{T-88}}$, p. 16, line 13 – p. 17, line 8; $\overline{\text{T-89}}$, p. 51, line 12 – p. 56, line 24 (P-0252 testified that at the rehabilitation centre for former abductees, other former abductees told him to tell the rehabilitation centre officials that he was only with the LRA for a short period of time so that he would not have to remain at the rehabilitation centre for a long period).

xiv P-0264

- 329. P-0264 testified live before the Chamber with protective measures after receiving Rule 74 assurances. 476 P-0264, a former LRA fighter, testified about his experiences in the LRA, his knowledge of Dominic Ongwen as well as the abduction of civilians in the LRA, the conscription and use of children as soldiers and women as so-called 'wives' and the attack on Odek IDP camp. P-0264 had a remarkable recollection, offering testimony that was very detailed and comprehensive with the kind of specific details that indicated he was speaking from personal experiences. His testimony was rich with the type of facts and knowledge expected from an LRA fighter who spent many years in the LRA and was exposed to the various facets of its operation. 477 P-0264 distinguished between events he personally witnessed as opposed to matters he was informed about and was clear about the source of his knowledge.
- 330. P-0264 testified that he was abducted in 2002 at the age of 11;⁴⁷⁸ however his national ID card indicates that he was born in 1989, which would have made him 12 or 13 at the time of his abduction in 2002.⁴⁷⁹ This inconsistency in relation to the witness's age does not undermine the Chamber's view of his credibility, contrary to Defence arguments.⁴⁸⁰ The witness explained that other records indicating his age were destroyed in the course of the conflict and that government authorities erroneously estimated the age noted in his national ID card after his return from the LRA.⁴⁸¹ The Chamber has no reason to doubt the witness's explanation and considers it reasonable. The Chamber also finds the witness's explanation of why he remembers his age at abduction credible. In his explanation of why he recalled that he was 11 years old when he was abducted, P-0264 explained:

'[t]he reason why I said I was 11, because while I was still in primary 4 [...] that's how I would write my age that I have 11 - I am 11 years old [...] And even when

⁴⁷⁶ P-0264: <u>T-64</u>; <u>T-65</u>; <u>T-66</u>.

⁴⁷⁷ See for example P-0264: <u>T-64</u>, p. 28, line 9 – p. 32, line 19 (the witness's description of the training of LRA fighters).

⁴⁷⁸ P-0264: T-64-CONF, p. 9, line 20 – p. 10, line 9.

⁴⁷⁹ P-0264's National ID Card, UGA-OTP-0270-1382.

⁴⁸⁰ <u>Defence Closing Brief</u>, para. 527.

⁴⁸¹ See P-0264: T-65-CONF, p. 46, lines 3-25, p. 47, lines 8-18; P-0264: T-66-CONF, p. 14, lines 13-25 (P-0264's parents did not recall his exact date of birth and his birth records were destroyed as a result of the conflict and his family's move to the camps. His school records were also destroyed for the same reason).

I was captured, the people who captured me asked me "How old are you?" I told them "I am 11 years old". 482

- 331. Given the above, the Chamber does not consider that the contradicting evidence on the witness's age affects his general credibility. It discusses this issue of the actual age of this witness at the time of his abduction and its impact on the charged crimes in greater depth below in its evidentiary analysis on the conscription and use of children in the hostilities.
- 332. The Chamber sees no evidence that the witness's interactions with other former LRA fighters prior to his interviews with the Prosecution in 2016 had any impact on his testimony.⁴⁸³

xv P-0307

- 333. P-0307 testified live before the Chamber, 484 with protective measures, and his prior recorded statement introduced pursuant to Rule 68(3) of the Rules. 485 The witness, an abductee and former LRA fighter, was deemed credible by the Chamber. However, additional explanations are necessary with regard to some aspects of his testimony, namely as concerns an attack on Pajule and the witness's age. With regard to the first issue, the witness explained in his prior statement and during several occasions in his incourt testimony that the attack on Pajule he was referring to took place in December. 486 In light of the details provided on the attack and the manner in which the witness provided the evidence, the Chamber is of the view that the witness rather than lying as to the occurrence to the event merely described a different attack than the 10 October 2003 attack on Pajule which forms the basis of some of the charges in the present case.
- 334. Concerning the second issue, the Chamber notes that there is diverging evidence as to the witness's age. In his prior recorded statement, the witness stated that he was born on

⁴⁸⁵ P-0307 Statement, UGA-OTP-0266-0425-R01. *See* <u>Decision on Prosecution Applications under Rule 68(3)</u>, para. 23, p. 19. *See also* P-0307: <u>T-152</u>, p. 62, line 17 – p. 64, line 3.

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⁴⁸² P-0264: T-65-CONF, p. 47, line 22 – p. 48, line 6. *See* P-0264: T-65-CONF, p. 45, lines 18-23. *See also* T-66-CONF, p. 15, lines 1-5.

 $^{^{483}}$ P-0264: T-66-CONF, p. 16, line 21 – p. 18, line 18, p. 21, line 23 – p. 22, line 6, p. 22, line 13 – p. 23, line 15 (The witness was warned by a former LRA fighter to not speak with the ICC about Dominic Ongwen.

⁴⁸⁴ P-0307: T-152; T-153.

⁴⁸⁶ P-0307 Statement, UGA-OTP-0266-0425-R01, at paras 32-44; <u>T-152</u>, p. 70, lines 8-17; <u>T-153</u>, p. 33, lines 20-23.

1989.⁴⁸⁷ This matches the birthdate recorded in the witness's immunisation card. However, P-0307's national ID card states 1990 as his date of birth. When questioned about this difference, the witness explained that he had not seen the immunisation card before and only found it recently, and that the national ID card states the date that he was told by his mother as his date of birth. A birth certificate indicates 1990 as his date of birth. A document from World Vision, which took care of P-0307 after his escape from the LRA, indicates that the witness was 15 years old on 2004, but the field 'date of birth' was left blank. Upon suggestion by the Defence, the witness accepted that this might have been the case as he was uncertain about his date of birth.

335. However, the information above does not present 'glaring contradictions' which make the witness's statement unreliable or even provide an indication that the witness has provided these different birthdates purposefully, as suggested by the Defence. The Chamber notes that none of the parties contests the authenticity of the immunisation card or that this document refers to P-0307, nor are there any indications that this might be the case. The card states that the witness received one immunisation in 1989 and several immunisations during the course of the year 1990, which indicates that the witness could not have been born in 1990. Especially, the card states that the BCG vaccine against tuberculosis should be given at 'birth' and the date for that immunisation is marked as 1989', one day after the indicated date of birth. Also, the Chamber notes that this document is the most contemporaneous one to the fact at issue (meaning P-0307's birth).

336. Regarding the date indicated in the World Vision document, the Chamber notes that, were the information concerning P-0307's date of birth true, he would have been born before 1989, which is not supported by any other piece of evidence. Further, there is no information how this document was filled out, the circumstances under which

⁴⁸⁷ P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 13.

⁴⁸⁸ UGA-OTP-0266-0446.

⁴⁸⁹ National ID Card, UGA-OTP-0266-0448.

⁴⁹⁰ P-0307: T-152, p. 71, lines 14-16.

⁴⁹¹ UGA-OTP-0272-0951.

⁴⁹² UGA-OTP-0170-0338, at 0338, 0341.

⁴⁹³ P-0307: <u>T-153</u>, p. 37, lines 7-21.

⁴⁹⁴ Defence Closing Brief, paras 521-22.

⁴⁹⁵ UGA-OTP-0266-0446.

it was produced and how the person filling out obtained the information that P-0307 was 15 at the time, also considering, as observed above, that the witness himself accepted the possibility that, when filling out the form, he was uncertain of his date of birth (and, for this reason, deliberately left blank the corresponding field). Accordingly, the Chamber considers the World Vision form is of no probative value when it comes to the witness's date of birth.

337. Regarding the birth certificate, ⁴⁹⁶ which indicates 2016. This is before the witness's prior recorded statement in which he explained that he saw the immunisation card just the day before his interview for the first time. ⁴⁹⁷ As he believed to be born on 1990 prior to receiving this information, this explains why he would have provided this date when requesting his birth certificate. Additionally, P-0307 stated that he did not provide any documentation when applying for the national ID card. ⁴⁹⁸ Taken this into account, the Chamber finds that system of the issuance of national ID cards or other public documents does not constitute automatic proof of the truthfulness of the information contained therein. Since the card was issued on 2015, ⁴⁹⁹ it is logical that the witness would indicate 1990 as his year of birth, which he thought to be true at that point in time.

338. Considering the above, the Chamber concludes that P-0307 was born on 1989. The Chamber also finds that the witness's explanation regarding the different dates of birth does not undermine his general credibility, as suggested by the Defence. To the contrary, in his prior recorded statement, the witness readily admitted that he did know of the health immunisation card and always indicated 1990 as his year of birth, because he was given this information by his mother. But after he was in possession of the immunisation card, he readily accepted that he was born in 1989 and not 1990. Sol

⁴⁹⁶ UGA-OTP-0272-0951.

⁴⁹⁷ P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 13.

⁴⁹⁸ P-0307: <u>T-153</u>, p. 36, line 23 – p. 37, line 2.

⁴⁹⁹ The date of issuance can be found in the second data column on the backside of the ID card ('150524') after his date of birth and the expiry-date.

⁵⁰⁰ *See* para. 335 above.

⁵⁰¹ P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 13.

- P-0307 escaped the LRA by 6 June 2004, as indicated in the World Vision document. This corresponds with the witness's statement that he escaped around April 2004 and stayed roughly three months with World Vision. Whether he had been abducted in September 2002, as stated by the witness, as insinuated by the Defence and indicated in the World Vision document is irrelevant, since in both scenarios P-0307 was under the age of 15 for his whole stay in the bush. The manner in which the witness testified as to what he has seen during his time with the LRA does not let the Chamber doubt that he has actually experienced the described events.
- 340. The Chamber further finds that this discussion of the witness's date of birth does not affect the witness's estimation of the age of other persons. As explained in the prior recorded testimony⁵⁰⁷ and confirmed during his live testimony before this Chamber,⁵⁰⁸ the witness used his own age as a reference point.

xvi P-0309

- 341. P-0309 testified live before the Chamber with protective measures.⁵⁰⁹ P-0309, a former LRA fighter and one time escort of Dominic Ongwen, testified about his experiences in the LRA, his knowledge of the attacks on the Odek and Pajule IDP camp attacks and Dominic Ongwen's role in those attacks.
- 342. P-0309 testified in a simple, honest and quiet manner. The witness was frank about his experience in the LRA and spoke about the events in a detailed and comprehensive manner that convinces the Chamber that he spoke about events he actually experienced. For example, the witness offered detailed information about the structure and composition of Dominic Ongwen's household. ⁵¹⁰ The witness clearly distinguished between events he witnessed and matters he learned of from others.

⁵⁰² UGA-OTP-0170-0338, at 0338.

⁵⁰³ P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 54.

⁵⁰⁴ P-0307: <u>T-152</u>, p. 73, lines 2-7.

⁵⁰⁵ P-0307: <u>T-153</u>, p. 6, lines 22-25.

⁵⁰⁶ UGA-OTP-0170-0338, at 0338.

⁵⁰⁷ P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 69.

⁵⁰⁸ P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 14; P-0307: <u>T-152</u>, p. 64, lines 23-25; <u>T-153</u>, p. 38, lines 3-7.

⁵⁰⁹ P-0309: T-60; T-61; T-62; T-63.

⁵¹⁰ See P-0309: T-60-CONF, p. 21, line 11 – p. 30, line 18.

- 343. The Defence suggests that P-0309 lied in his testimony, particularly in relation to the Odek IDP camp attack. 511 The Chamber saw nothing in the witness's demeanour or answer pattern to suggest that the Defence's assertion is true. Indeed, the witness asserted his lack of knowledge about certain matters, including matters where Dominic Ongwen would have been implicated, 512 indicating to the Chamber that the witness was carefully to testify within the parameters of his actual experiences and also that the witness was not motivated to implicate Dominic Ongwen at all cost.
- 344. Lastly, the Chamber will discuss the question of P-0309's age. It notes the Defence's arguments regarding the witness's credibility, stating that P-0309's testimony is 'full of contradictions and inconsistencies especially regarding his true age' and submits that he should be considered as unreliable.⁵¹³ The Defence further suggests ulterior motives 'in misrepresenting his date of birth on various documents' and that P-0309 admitted himself that he did not know his real age and submits that the documents concerning the witness's age, as well as his estimates regarding the age of others should be disregarded. 514
- 345. The witness testified that his mother told him that his birthday is 1988, 515 which would make him 13 at the time of his abduction in September 2002.⁵¹⁶ He also testified that he told the LRA fighters that he was 14 when he was abducted. 517 There are further four documents concerning the age of the witness. His national identity card⁵¹⁸ and his driver's licence⁵¹⁹ indicate 1988 as date of birth. An amnesty document⁵²⁰ states 1989 and, lastly, a voter registration card⁵²¹ shows his date of birth as 1987. When questioned in Court, the witness replied that, when

⁵¹¹ Defence Closing Brief, para. 360, n. 598. The Defence states that it 'implores the Trial Chamber to investigate the sheer number of times the witness could not remember something when asked by the Defence versus when asked by the Prosecution. The witness's demeanour and answer patterns are indicative of someone lying'.

⁵¹² See for example P-0309: T-60, p. 71, lines 7-9, p. 73, lines 1-4 (when asked whether Dominic Ongwen had a role in distributing abducted civilians taken from Pajule IDP camp, the witness stated that he did not know).

^{513 &}lt;u>Defence Closing Brief</u>, para. 517.

⁵¹⁴ Defence Closing Brief, para. 518. 515 P-0309: T-60-CONF, p. 12, lines 1-4.

⁵¹⁶ P-0309: T-60-CONF, p. 12, lines 17-21.

⁵¹⁷ P-0309: T-60-CONF, p. 15, lines 12-16.

⁵¹⁸ UGA-OTP-0258-0837-R01.

⁵¹⁹ UGA-OTP-0249-1045.

⁵²⁰ UGA-OTP-0248-0974.

⁵²¹ UGA-OTP-0249-1047.

providing the dates for the last two documents, he had not been told his exact date of birth by his mother yet. 522

346. Unlike what is suggested by the Defence, the Chamber does not find that the different dates of birth indicated make him generally not credible. Rather, the witness readily admitted that he initially did not know his date of birth. This is also the case when he told his age to the LRA fighters at the time of his abduction. It is also not incredible that P-0309 did not know his exact date of birth, as he explained. Once he was told by his mother 1988, he seems to have consistently indicated this date as his date of birth – as he did during his testimony. 523 This explanation is also consistent with part of the documentary evidence. The two documents indicating divergent dates of birth were issued immediately or relatively shortly after the witness's return from the bush. 524 The documents indicating 1988 as his date of birth⁵²⁵ are more recent and were presumably issued after the witness had asked his mother and then put this date as his date of birth. Accordingly, the Chamber finds the explanation provided by the witness believable and does not consider that the different dates of birth, provided at different times by the witness, affect his credibility in general or specifically, when he testified that his date of birth is 1988.

xvii P-0314

347. P-0314 testified live before the Chamber with protective measures. P-0314, an LRA fighter and one time escort testified about his experiences as an LRA escort, and his knowledge of the Odek IDP camp attack. P-0314 was a diligent and careful witness. He clarified the source of the knowledge of his information and was vigilant in delineating information he gained by his personal experiences from matters he heard of from others. The witness was forthright in explaining the limits of his knowledge, a factor which bolstered the Chamber's view of his credibility. For example, the witness explained the limits of what an LRA fighter in his position would know about

⁵²² P-0309: T-61-CONF, p. 47, line 9 – p. 49, line 1, p. 66, line 8 – p. 68, line 13.

⁵²³ P-0309: T-60, p. 12, lines 1-4.

⁵²⁴ UGA-OTP-0248-0974 is from 2004 and UGA-OTP-0249-1047 is from 2006.

⁵²⁵ UGA-OTP-0249-1045 was issued in 2013 and UGA-OTP-0258-0837-R01 on 2015. See the numerical information on the image of the back side of the identity card.

⁵²⁶ P-0314: T-74; T-75; T-76; T-77.

- the movements of LRA commanders.⁵²⁷ The witness offered comprehensive testimony replete with the kind of details that indicate that he spoke from personal experience.⁵²⁸
- 348. The Chamber notes that the Defence submits that P-0314's testimony regarding his age should be dismissed, since he did not know his exact age during the time of his abduction. ⁵²⁹ However, the Chamber does not follow the Defence's argumentation. Rather, it finds that the witness freely admitted that he was only told his precise age after his return from the bush by this mother ⁵³⁰ a sign for the truthfulness for his testimony. Further, the Chamber considers the fact that P-0314 had to ask his mother for his exact date of birth not to be such an unusual fact as to make the witness's explanation incredible. Accordingly, the Chamber relies on 11 July 1988 as P-0314's date of birth, as indicated in the official documents and stated by the witness. ⁵³¹

xviiiP-0330

- 349. P-0330 testified live before the Chamber with protective measures and received Rule 74 assurances. ⁵³² P-0330, a former LRA fighter, testified about his experiences in the LRA, including his knowledge of the attack on Pajule, Odek and Abok IDP camps relevant to the charges. P-0330 gave detailed testimony, offering unrequested details that increased the credibility of his account. The witness also differentiated between his own personal experience and what he was told by others. Importantly, P-0330 did not attempt to incriminate Dominic Ongwen at all cost and was mindful to offer only details within his scope of knowledge.
- 350. However, the Chamber is attentive to the witness's difficulty in recalling certain events and information. When speaking in narrative form or when questioned in sequence, P-0330 testified in great detail; however, when asked specific questions out of context, he frequently could not retrieve the information easily and either gave contradictory testimony or required refreshing of his memory.⁵³³ Additionally, when asked if he was already Dominic Ongwen's escort at the time of the Opit attack, the witness could not

⁵²⁷ P-0314: <u>T-74</u>, p. 26, lines 1-19.

⁵²⁸ See for example P-0314: <u>T-74</u>, p. 18, line 8 – p. 19, line 17 (describing the training of LRA 'recruits').

⁵²⁹ Defence Closing Brief, para. 523.

⁵³⁰ P-0314: T-75, p. 36, line 19 – p. 37, line 19.

⁵³¹ P-0314: <u>T-75</u>, p. 36, lines 8-18, p. 37, lines 13-19.

⁵³² P-0330: <u>T-51</u>; <u>T-52</u>; <u>T-53</u>; <u>T-54</u>; <u>T-55</u>; T-51-CONF, p. 41, line 15 – p. 42, line 1, p. 38, lines 18-21.

⁵³³ See P-0330: <u>T-51</u>, p. 74, lines 1-22; <u>T-55</u>, p. 18, lines 17 – p. 22, line 3.

recall, ⁵³⁴ nor could he recall the sequence of several attacks in which he allegedly participated. 535 Further, despite testifying to having prolonged close personal contact with Dominic Ongwen, the witness could not name many of the specific individuals in Dominic Ongwen's close orbit, such as Dominic Ongwen's 'wives', 536 his other escorts⁵³⁷ or his signallers.⁵³⁸ Indeed, P-0330 testified that he was the only escort working with Dominic Ongwen, ⁵³⁹ a detail which contradicts other evidence heard in this trial. P-0330 also could not remember any of Dominic Ongwen's call signs, despite stating that he sat near Dominic Ongwen when Dominic Ongwen communicated on the radio. 540 The Chamber considers that a person serving as Dominic Ongwen's close escort for several years, as the witness alleges, ⁵⁴¹ should be able to at least recall some such information.

- 351. The Chamber notes that P-0314's testimony bolsters P-0330's contention that he served as Dominic Ongwen's escort and that he was in the LRA for several years. 542 The Chamber also notes that P-0379 testified that P-0330 was not in Dominic Ongwen's household while P-0379 was in the LRA but allows that P-0330 may have moved to Dominic Ongwen's household after P-0379 left the bush in 2003.543
- 352. Upon being confronted by what appears to be incoherence as to certain dates related to his abduction (whether he was abducted in 1998, 2002 or 2003, whether he was 9, 12 or 14 at the time of abduction, whether he was in P1, P2 or P3, why he gave different information at different stages and why his mother gave different information), 544 the witness was unable to explain clearly. However, he stated that he had no concept of

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<sup>534</sup> P-0330: <u>T-52</u>, p. 57, lines 13-15.
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⁵³⁵ P-0330: <u>T-55</u>, p. 34, lines 13-15. 536 See P-0330: <u>T-55</u>, p. 34, lines 22-24. 537 See P-0330: <u>T-55</u>, p. 5, lines 4-5. 537 See P-0330: <u>T-51</u>, p. 70, lines 3-8; <u>T-55</u>, p. 45, lines 14-20. 538 See P-0330: <u>T-55</u>, p. 3, lines 9-17. See also P-0330: <u>T-55</u>, p. 12, lines 13-16. Here, the Chamber notes the Defence's arguments that the witness's inability to recall details about Dominic Ongwen's household, escorts and close commanders means that his evidence cannot be relied upon. Defence Closing Brief, para. 511.

⁵³⁹ P-0330: <u>T-51</u>, p. 70, lines 3-8. See P-0330: <u>T-55</u>, p. 45, lines 14-20.

⁵⁴⁰ P-0330: T-55-CONF, p. 7, line 25 – p. 8, line 24.

⁵⁴¹ See P-0330: <u>T-55</u>, p. 77, line 25 – p. 78, line 7 542

The Chamber notes that it finds P-0314 to be a credible witness whose testimony may be relied

⁵⁴³ P-0379: T-58-CONF, p. 69, lines 1-11.

⁵⁴⁴ P-0330: <u>T-54</u>, p. 29, line 14 – p. 30, line 5, p. 34, lines 7-15, p. 35, line 9 – p. 37, line 4, p. 37, line 25 – p. 38, line 7, p. 58, lines 3-15; T-54-CONF, p. 5, line 15 – p. 6, line 1, p. 7, lines 7-19, p. 20, line 15 – p. 21, line 21, p. 23, line 16 - p. 24, line 22, p. 25, line 5 - p. 26, line 21. See also Application for participation as victim, UGA-D26-0012-0102; Rachele rehabilitation centre file, UGA-OTP-0124-0358, at 0359.

years,⁵⁴⁵ an explanation which the Chamber deems to be a sincere and understandable response under the circumstances.⁵⁴⁶

353. Noting P-0330's testimony and also noting the incoherence in some of his testimony, the Chamber has some reservations about the reliability of his evidence about how long he was in the LRA and how long he spent in close proximity with Dominic Ongwen. The Chamber notes, however, that it is convinced that the witness did spend some time in proximity to Dominic Ongwen during the witness's time in the LRA. In light of P-0314's testimony as well as the fact that much of P-0330's testimony is consistent with other evidence, as will be discussed further in the evidentiary analysis below, the Chamber is of the view that P-0330 was indeed a low level fighter in the Sinia brigade.

xix P-0340

- 354. P-0340 testified live before the Chamber with protective measures, after receiving Rule 74 assurances. ⁵⁴⁷ P-0340, a former LRA abductee and fighter, testified about his experiences in the LRA and the Odek and Abok IDP camp attacks. P-0340's testimony was detailed and contextualised. The witness explained the source of his information and often offered nuanced explanations of his accounts. ⁵⁴⁸ His testimony was frequently in a narrative format and P-0340 offered details of a nature that would be expected of a witness speaking from personal experience.
- 355. The Defence highlights an 8 February 2004 ISO logbook entry to indicate that Mukwaya, Abola, and Kidega, three LRA fighters mentioned by P-0340 as being his superiors in Sinia's Siba battalion,⁵⁴⁹ were in Gilva brigade, and not Sinia.⁵⁵⁰ The Defence contends that, in light of the logbook entry, if the Chamber is of the view that P-0340 participated in the Abok IDP camp attack, then the Chamber must accept that at least one battalion of Gilva brigade sent fighters to the Abok attack.⁵⁵¹ The Chamber is satisfied that the

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⁵⁴⁵ P-0330: <u>T-54</u>, p. 51, lines 2-6.

⁵⁴⁶ The Chamber recalls its above discussion on the difficulties several witnesses face in keeping track of the time they spent in the bush.

⁵⁴⁷ P-0340: T-102; T-103.

⁵⁴⁸ See for example P-0340: <u>T-102</u>, p. 18, line 9 – p. 19, line 8.

⁵⁴⁹ P-0340: <u>T-102</u>, p. 16, lines 7-21; <u>T-103</u>, p. 19, lines 6-7.

⁵⁵⁰ See <u>Defence Closing Brief</u>, paras 454, 459, 460, citing ISO Logbook (Gulu), UGA-OTP-0061-0002, at 0056 (the logbook entry notes the promotion of Ogwal Kidega, Okello Mukwaya, Abola from 'Gilva Bde', 'Araka BN'). The Chamber notes that when questioning the witness, the Defence raised the possibility that the three men were intelligence officers in Terwanga battalion. *See* P-0340: <u>T-103</u>, p. 20, lines 11-24.

⁵⁵¹ See <u>Defence Closing Brief</u>, para. 460.

evidence shows that P-0340 was subordinate to Dominic Ongwen at the time of the attack on Abok IDP camp. The Chamber is of the view that the logbook mentioned by the Defence does not undermine this finding. The Chamber notes that P-0340 offered clear and detailed testimony in relation to the attacks on Odek and Abok IDP camp. His testimony in relation to these attacks is consistent with other evidence. The Chamber is convinced that P-0340 participated in the attacks on Odek and Abok IDP camps that are relevant to the charges. The Chamber is also convinced that the witness's detailed and corroborated evidence about the attacks and Dominic Ongwen's participation shows that the witness was subordinate to Dominic Ongwen at least by the time of the April 2004 attack on Odek IDP camp. Further, absent other evidence, the Chamber is not convinced that the logbook disproves the witness's testimony that he was abducted by a Sinia battalion in 2002 and participated in the Odek and Abok attack as a member of Sinia in 2004.

356. The witness testified that he was abducted in an area near Patongo by members of Siba battalion six days after a 1 July 2002 attack on Patongo by the LRA. 552 He identified Mukwaya as one of the persons who abducted him and stated that he saw Dominic Ongwen after his abduction and was told that Dominic Ongwen was in charge of the larger Sinia group. 553 The Chamber notes that evidence supports the witness's testimony about a 1 July 2002 attack on Patongo and indicates that Dominic Ongwen participated in this attack. 554 Dominic Ongwen and Sinia's Oka battalion's presence in the Patongo area around the time of P-0340's abduction offers support for the witness's testimony that he saw Dominic Ongwen after his abduction and that he was abducted by Sinia's Siba battalion. However, contrary to the witness's contention, the evidence also indicates that at the time of that attack, Dominic Ongwen was in charge of the Oka battalion and had not yet been appointed as commander of Sinia brigade. 555 Notably, the witness did not mention Dominic Ongwen's injury in late 2002 or the attack on Pajule IDP camp in 2003 relevant to the charges. Further, the Chamber is unconvinced by the witness's testimony about Dominic Ongwen's presence in Sudan throughout the duration of the witness's time in Sudan. 556 However, noting that the witness was a minor at the time of

⁵⁵² See P-0340: <u>T-102</u>, p. 11, line 23 – p. 12, line 22, p. 15, line 22 – p. 17, line 5, p. 58, lines 7-9.

⁵⁵³ P-0340: <u>T-102</u>, p. 16, line 2 – p. 17, line 17.

⁵⁵⁴ See para. 1159 below.

⁵⁵⁵ See paras 1013-1016 below.

⁵⁵⁶ See P-0340: <u>T-103</u>, p. 26, lines 13-21, p. 31, lines 3-19.

his abduction and that he expressed some ambiguity about Dominic Ongwen's position at the time, ⁵⁵⁷ the Chamber is of the view that any confusion about hierarchy or unit names is understandable.

357. Noting that the witness had difficulty assessing time and ages,⁵⁵⁸ the Chamber does not rely on his testimony to assess the ages of other captives. The Chamber does not consider the fact that the witness is a politician to be significant or to have impaired his testimony in any way.⁵⁵⁹

xx P-0372

- 358. P-0372 testified live before the Chamber with protective measures. F-0372, a former LRA fighter at times under Dominic Ongwen's command, testified about his experiences in the LRA, his time in Sinia brigade and his knowledge of LRA rules and practices. P-0372 was a coherent, clear and concise witness. The Chamber notes that the witness's testimony was at times less specific and contextualised than other witnesses who often spoke in a narrative fashion and would provide additional information and context when answering the questioning party. However, the witness's manner of speaking did not undermine the Chamber's view that the witness testified truthfully. The Chamber notes that in relation to the attacks in which he participated, particularly the Odek IDP camp attack, the witness's testimony was more detailed, specific and contextualised.
- 359. The Chamber notes that at one point in his testimony, the witness misidentified two of Dominic Ongwen's so-called 'wives'. ⁵⁶² The witness explained that he erroneously indicated that the two women were Dominic Ongwen's 'wives' because of the proximity of the women to Dominic Ongwen. ⁵⁶³ The Chamber is satisfied with the witness's

⁵⁵⁷ See P-0340: <u>T-103</u>, p. 26, lines 13-21.

⁵⁵⁸ See P-0340: T-103, p. 31, lines 13-19 ('while in the bush you lose count of time and days. [...] You, you just wait for days to end and you do not know what time it is'); T-102-CONF, p. 9, line 21 – p. 10, line 1; T-102, p. 24, lines 13-25, p. 64, line 4 – p. 65, line 23 (the witness testified that he assessed others age by comparing it to his own. However, the witness was not clear as to his own age).

⁵⁵⁹ See P-0340: <u>T-102</u>, p. 59, line 9 – p. 60, line 3.

⁵⁶⁰ P-0372: T-148; T-149.

⁵⁶¹ See P-0372: <u>T-148</u>, p. 40, line 7 – p. 48, line 24.

⁵⁶² See P-0372: <u>T-148</u>, p. 55, line 19 – p. 56, line 1 (the witness erroneously identified female fighters Alice and Aling as Dominic Ongwen's 'wives').

⁵⁶³ P-0372: <u>T-149</u>, p. 34, line 14 – p. 37, line 6.

explanation and also did not consider the discrepancy to have been significant. In view of the Chamber, this does not affect the witness's credibility.

xxi P-0379

360. P-0379 testified live before the Chamber with protective measures pursuant to Rule 74 of the Rules. ⁵⁶⁴ P-0379, a former LRA member and resident of Pajule IDP camp, testified about his life in the LRA after his abduction in August 2002, his time in Oka battalion, under Dominic Ongwen's overall leadership, and the activities of the LRA during the period of August 2002 to May 2003. P-0379 provided detailed, contextualised and comprehensive evidence which the Chamber finds to be credible. The witness had a remarkable recollection, recalling particular details that illustrated his experiences in the LRA and bolstered the Chamber's view of his credibility. 565 The witness recalled names. locations, and events in great depth, despite being a teenager at the time of his abduction and being in captivity with the LRA for less than a year. 566 P-0379 clearly distinguished between events he witnessed personally and information he was told by others. In questioning the witness, the Defence appeared to indicate that the witness's testimony was affected by feelings of bitterness towards Dominic Ongwen. 567 The Chamber saw no indication that the witness's testimony was anything other than his truthful account of his experiences in the LRA. In this context, the Chamber notes that the witness's testimony is consistent with other reliable evidence.

xxii P-0406

361. P-0406 testified live before the Chamber with protective measures, having received Rule 74 assurances. Feb. P-0406, an LRA fighter, testified about his experiences in the LRA and about the attacks on Odek, Lukodi and Abok IDP camps. His testimony was detailed, comprehensive and nuanced. The witness had a remarkable recollection, testifying

⁵⁶⁴ P-0379: <u>T-56; T-57; T-58; T-59</u>.

 $^{^{565}}$ See for example P-0379: T-56, p. 21, line 6 – p. 25, line 10 (describing how the LRA made newly abducted boys, including some as young as 10-12 years old, beat the dead body of a young boy who had tried to escape from the LRA and who the LRA fighters had killed by stabbing him with a bayonet. Around the same time, after being trained to dismantle a gun, LRA fighters made the newly abducted boys dance at Dominic Ongwen's household. They were told that if they did not dance, they would be beaten. After dancing, the boys were given biscuits).

 $^{^{566}}$ P-0379: $\underline{\text{T-56}}$, p. 6, line 23 – p. 14, line 3; T-57-CONF, p. 15, line 16 – p. 18, line 22. See P-0379: $\underline{\text{T-56}}$, p. 17, line 1 – p. 33, line 16; $\underline{\text{T-57}}$, p. 35, line 8 – p. 50, line 9, p. 67, line 3 – p. 74, line 10.

⁵⁶⁷ P-0379: <u>T-59</u>, p. 9, lines 7-12.

⁵⁶⁸ P-0406: <u>T-154</u>; <u>T-155</u>; <u>T-156</u>.

credibly as to the dates of events and the details of attacks. The witness was frank about his participation in attacks, thus demonstrating the credibility of his accounts. The witness did not attempt to incriminate Dominic Ongwen at all cost, strengthening the Chamber's view that the witness was not biased against the accused. The Chamber is satisfied that the witness credibly testified regarding the LRA's attacks on Odek, Lukodi and Abok IDP camps.

362. The Defence challenges P-0406's testimony, stating that his testimony is dubious and that it is doubtful P-0406 ever met Dominic Ongwen, let alone was a part of his group.

In particular, the Defence alleges that the witness testified to being in Sudan and seeing Dominic Ongwen and Joseph Kony together, which the Defence contends is implausible and unsupported by credible evidence.

The Chamber finds that the Defence's argument is without merit, noting that P-0205 credibly testified to going with Dominic Ongwen to see Joseph Kony in 2004, several months after the attacks in Odek, Lukodi and Abok IDP camps,

The Chamber also notes that P-0406 testimony is generally consistent with the testimony of other credible witnesses. The difference between his testimony and others is reasonably explained by the nuances to be expected in the testimony of individuals relaying their personal experience. Further, some imprecision is to be expected when witnesses name locations or testify to events that took place in the bush, a place where the nearest landmark is used to reference the location.

xxiiiP-0410

363. P-0410 testified live before the Chamber with protective measures.⁵⁷³ P-0410, a former LRA fighter, testified about his experiences in the LRA and about the attacks on Odek and Lukodi IDP camps. P-0410 was a candid and forthright witness. His narrative account of events was generally chronological and he noted the circumstances under

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⁵⁶⁹ See for example P-0406: <u>T-154</u>, p. 41, line 21 – p. 77, line 19 (testifying in relation to the Odek, Lukodi, Barlonyo and Abok attacks).

⁵⁷⁰ See <u>Defence Closing Brief</u>, paras 363, 408-09, 419, 452.

⁵⁷¹ The Defence alleges that this is not possible because the witness was abducted on or around 3 September 2002 and returned from the bush in December 2004, that Dominic Ongwen did not travel to Sudan during that time period and that no credible witness places Dominic Ongwen in Sudan in that period. <u>Defence Closing Brief</u>, paras 363, 408.

⁵⁷² See P-0205: $\underline{\text{T-48}}$, p. 7, line 20 – p. 9, line 16. P-0406 testified that Dominic Ongwen and Joseph Kony were both in Sudan in November 2004, shortly before his escape. P-0406: $\underline{\text{T-154}}$, p. 34, lines 16-20, p. 80, lines 14-17. $\underline{\text{573}}$ P-0410: $\underline{\text{T-151}}$; $\underline{\text{T-152}}$.

which events took place. He was extremely detailed and comprehensive in his recounting of events, indicating to the Chamber that he personally experienced the events he described. For this reason, the Chamber does not accept the Defence argument, made in the context of the discussion of the attack on Odek IDP camp, that 'P-0410 invented a story; he knew about plans, but he did not go to Odek or the RV'. 574

- 364. P-0410's testimony was consistent with his two years' experience in the LRA.⁵⁷⁵ When describing events, the witness recounted his thought process at the time, further indicating that he was speaking from personal experience. The witness differentiated between events he witnessed himself and what he heard of from others. The Chamber also considers it significant that the witness did not incriminate the accused at all cost,⁵⁷⁶ indicating a lack of bias and further enhancing the Chamber's view of his credibility.
- 365. While the Chamber is of the view that the witness testified about his own personal experiences and, in particular, that he participated in the attack on the Odek and Lukodi IDP camps, there is an aspect of P-0410's testimony which differs significantly from other reliable witnesses' accounts of events. In particular, P-0410 testified that Buk Abudema and Vincent Otti participated in the planning and in the attacks on Odek and Lukodi IDP camps. ⁵⁷⁷ As laid out in the respective sections of the Chamber's evidentiary analysis, ⁵⁷⁸ this account is not consistent with the testimony of other reliable witnesses. Noting also the Defence submissions, ⁵⁷⁹ the Chamber has considered whether this discrepancy should have a general effect on the Chamber's assessment of P-0410's credibility.

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⁵⁷⁴ <u>Defence Closing Brief</u>, para. 379. The Chamber notes that 'RV' was an expression frequently used by witnesses to refer to gatherings of LRA groups.

⁵⁷⁵ See for example P-0410: <u>T-152</u>, p. 28, line 15 – p. 30, line 3. Although he testified that 'Okwee' (Okwer) was a commander in charge of a battalion of Sinia, when asked to describe Okwer's tasks, the witness accurately described the role of an LRA intelligence officer. Okwer served as Sinia's brigade intelligence officer. See P-0205: <u>T-47</u>, p. 39, lines 5-10.

⁵⁷⁶ For example, while the witness assumed that Dominic Ongwen participated in the attack on Odek and Lukodi because in his view all the high ranking commanders would be there, he did not testify that he actually saw Dominic Ongwen there. P-0410: <u>T-151</u>, p. 41, lines 5-11, p. 42, lines 1-11, p. 42, lines 15-20; <u>T-152</u>, p. 37, line 14 – p. 38, line 3.

⁵⁷⁷ P-0410: <u>T-151</u>, p. 33, lines 14-19, p. 42, lines 15-20; <u>T-152</u>, p. 42, line 9 – p. 43, line 3.

⁵⁷⁸ See sections IV.C.7.iii, IV.C.8.ii below.

⁵⁷⁹ Defence Closing Brief, paras 379, 418.

366. In relation to the attack on Odek IDP camp, P-0410, asked which commanders were giving instructions at the assembly, responded:

All the commanders, all the senior commanders in the bush were present. Otti Vincent was present. Buk was present. Dominic was also present. Okwee was present. Komakech was also present.⁵⁸⁰

- 367. In addition, the witness spontaneously stated that he saw 'Buk' during the attack behind him at the barracks, and insisted on this testimony even when confronted with his prior statement where he had said that he did not see 'Buk' during the attack.⁵⁸¹
- 368. A little later, asked who was the overall commander of his group when they attacked Odek, P-0410 responded:

The commanders who were senior, who had authority then and who were fierce, included Buk, Otti and Dominic Ongwen. Those three people were feared by the junior soldiers and all of them were there. I believe that all of them were there because all the commanders went there. ⁵⁸²

369. In relation to Lukodi, P-0410 stated the following in relation to who was present at the pre-attack RV:

Most of the commanders who were in Odek were also present there. Those of Dominic were all there. There was Buk. There was Okwee also who was present. All the top commanders were there. Whenever there's an RV announcing an attack, all the commanders would come together and they contribute their soldiers from their units, then the group that has been selected would go.⁵⁸³

370. When asked by Defence counsel whether 'Otti and Banya' were also there, P-0410 reacted as follows:

They were there because all these commanders briefed us on the same issue. Just as we went to Odek, they repeated to us what people should and do in Lukodi. 584

371. When it was pointed out to P-0410 that in his prior statement he mentioned 'Banya, Komakech, Okwee, Ongwen and Buk', but not Vincent Otti, the witness stated:

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⁵⁸⁰ P-0410: <u>T-151</u>, p. 33, lines 14-19. The Chamber notes that the witness referred only to 'Buk', but that context establishes that this could reasonably only be a reference to Buk Abudema.

⁵⁸¹ P-0410: <u>T-151</u>, p. 41, line 5 – p. 43, line 11.

⁵⁸² P-0410: T-151, p. 42, lines 15-20. See also T-152, p. 37, line 14 – p. 38, line 3.

⁵⁸³ P-0410: <u>T-151</u>, p. 61, lines 8-15.

⁵⁸⁴ P-0410: T-152, p. 41, lines 10-12.

I could have forgotten, but all these leaders were there. Because I was present in all the RVs that were called, because most times when my commander is going for an operation, I would go with him. And usually when there is an attack which is planned like that, all these other commanders, senior commanders would be there. So it could be an oversight I had forgotten, because sometimes you would forget some things. But that is what I know. 585

- 372. On the face of this evidence, with the exception of the statement that he saw 'Buk' on the ground at Odek, which the Chamber finds dubious in light of the conflicting prior statement and in light of the following, it appears that the witness when discussing the presence of senior commanders, rather than recounting facts as observed, was stating what he deduced or believed to be the case. In addition to the witness himself saying as much in one instance, this is strongly indicating by the repeated reference to 'all' commanders, and to how an attack was usually conducted. Also, beyond mentioning Vincent Otti and Buk Abudema as just laid out, P-0410 did not attribute to them any specific actions during the preparation for the attacks on Odek and Lukodi, or during the attacks themselves. In light of this, and in light of the fact that there is no independent corroboration of Buk Abudema's and Vincent Otti's presence on the ground for the Odek or Lukodi attacks, 586 the Chamber concludes that this part of P-0410's evidence is not reliable.
- 373. In any case, P-0410's placing of Buk Abudema and Vincent Otti at the planning locations of both Odek and Lukodi attacks is a transparent, easily detectable error that is separable from the rest of his testimony. For this reason, and noting that his testimony was generally consistent with that of other witnesses, while the Chamber does not rely on P-0410's evidence to the extent that it implicates Vincent Otti and Buk Abudema in the attacks on Odek and Lukodi IDP camps, the Chamber finds that this issue has no bearing on P-0410's general credibility.
- 374. Finally, concerning P-0410's date of birth, the witness testified that he was born on 1989, 587 which is also indicated on its birth certificate. Regarding this re-issued birth certificate, P-0410 testified that his initial birth certificate was destroyed in the

⁵⁸⁵ P-0410: <u>T-152</u>, p. 42, line 14 – p. 43, line 3. *See also* P-0410: <u>T-152</u>, p. 42, lines 9-13.

⁵⁸⁶ In fact, in relation to the attack on Odek IDP camp, P-0410 is indirectly contradicted by P-0264 and P-0309, who testified that Dominic Ongwen was the most senior person involved; P-0264: <u>T-64</u>, p. 39, lines 23-24; P-0309: <u>T-60</u>, p. 74, lines 13-16.

⁵⁸⁷ P-0410: T-151-CONF, p. 5, lines 9-10.

⁵⁸⁸ Birth certificate, UGA-OTP-0272-0931.

camps, his mother told him the date of his birth and he obtained a birth certificate with that date in August 2016.⁵⁸⁹ The Defence submits that 'it takes strong exception to such evidence' in order to proof the witness's age since it was obtained 'after the commencement of the case'.⁵⁹⁰ First, the Chamber does not find that the point in time when the document was requested (after the opening of the case) influences its probative value. Unlike suggested by the Defence,⁵⁹¹ there is no indication that the witness requested the document with the intention to mislead the Chamber. Given the circumstances in which civilians abandoned their dwelling places and lived in camps that were burnt down in the conflict, it is reasonable that they received official government documents, such as birth certificates, that were issued recently and contain information the government obtained from the civilians' themselves. Further, the Chamber considers the witness's testimony in relation to his age credible and reliable. Absent other evidence undermining the reliability of the document, the Chamber does not make negative inferences as to the credibility of witnesses and their reliability of their information merely because governmental records such as birth certificates were recently issued.

xxiv John Robert Okodel (D-0020)

375. John Robert Okodel's prior recorded statement was introduced pursuant to Rule 68(2)(b) of the Rules. 592 John Robert Okodel, a former LRA member, provided testimony about joining the Uganda People's Army and subsequently staying with the LRA from 1996 until his escape in 2000. The witness provided testimony that was detailed and contextualised. The Chamber does not doubt that the witness testified truthfully of his recollection of events and relationships.

xxv Francis Okot (D-0024)

376. Francis Okot, a former LRA fighter, testified live before the Chamber without protective measures.⁵⁹³ He testified about his abduction, which he stated took place when he was approximately 17 years old, and his experiences in the LRA, including his knowledge of Joseph Kony and Dominic Ongwen. The witness spent more than 15 years in the LRA

⁵⁸⁹ P-0410: <u>T-152</u>, p. 5, line 22 – p. 7, line 6.

⁵⁹⁰ Defence Closing Brief, para. 519.

⁵⁹¹ Defence Closing Brief, para. 519.

⁵⁹² D-0020 Statement, UGA-D26-0010-0382. See Decision on the Defence Request under Rule 68(2)(b), paras 9-10, p. 12.

⁵⁹³ D-0024: T-192.

and eventually escaped in 2012. Francis Okot's testimony was precise and clear and he provided information, detailed and contextualised in a manner that convinced the Chamber that he spoke of his personal experience. The witness also provided evidence of a nature that could be expected of a fighter who had spent considerable time in the LRA.

xxvi Sam Opio (D-0025)

- 377. Sam Opio testified live before the Chamber. 594 Sam Opio, a former LRA fighter, testified about his experiences in the LRA, his knowledge of Dominic Ongwen and the attack on Pajule IDP camp.
- 378. The Chamber agrees with the Prosecution that D-0025's testimony regarding the Pajule attack cannot be relied upon. 595 The Chamber is not convinced of the witness's testimony that he did not participate in the attack on Pajule IDP camp relevant to the charges. 596 The details that he provided were so specific that it seems unlikely that he was repeating information he had come to learn from others. 597 Rather, the Chamber considers it probable that the witness was actually present in the attack. Additionally, the witness's testimony in relation to Dominic Ongwen's presence near Pajule is not credible. First, the Chamber notes that the evidence demonstrates that Dominic Ongwen was quite mobile at the time of the attack and was not infirm as the witness attests. 598 Indeed a number of witnesses place Dominic Ongwen on the ground at the attack on Pajule IDP camp. 599 The witness denied that the 'DO' he marked in a sketch refers to Dominic Ongwen as suggested in his statement and as would be presumable in light of his testimony. 600 His explanation for the deviation is unconvincing. 601 The Chamber is of the view that the witness was motivated to minimise Dominic Ongwen's involvement in the LRA attack on Pajule IDP camp. Therefore, the Chamber cannot rely on his testimony in

⁵⁹⁴ D-0025: <u>T-226</u>; <u>T-227</u>.

⁵⁹⁵ See Prosecution Closing Brief, para. 238. ⁵⁹⁶ D-0025: <u>T-226</u>, p. 63, lines 7-10, p. 64, lines 11-17.

⁵⁹⁸ See D-0025: <u>T-227</u>, p. 18, line 19 – p. 36, line 9. ⁵⁹⁸ See D-0025: <u>T-226</u>, p. 63, lines 10-16; <u>T-227</u>, p. 32, lines 2-6.

⁵⁹⁹ See paras 1264-1288, 1294-1296, 1330-1331, 1337 below.

⁶⁰⁰ D-0025: <u>T-227</u>, p. 29, line 2 – p. 36, line 9; D-0025 sketch of Pajule, UGA-D26-0010-0458.

 $[\]frac{601}{D}$ D-0025: $\frac{T-227}{D}$, p. 29, line 2 – p. 36, line 9 (the witness was evasive about Dominic Ongwen's location and stated that the 'DO' represents 'the direction that the people who left came and re-joined us').

relation to the Pajule IDP camp attack. In light of this, his whole evidence is brought in doubt to such an extent that the Chamber sets it aside completely.

xxvii Kenneth Oyet (D-0026)

379. Kenneth Oyet testified live before the Chamber. 602 Kenneth Oyet, a former LRA fighter, offered testimony about his experiences as an LRA fighter from 1997 to 2007. The witness testimony was clear, internally consistent and detailed. He was forthright when he did not recall or was unclear about certain information. 603 The witness's testimony in relation to the course of the attack on Pajule IDP camp was of limited relevance as the witness did not participate in the attack and only saw people coming back from Pajule. 604 The Chamber notes that the witness was present for parts of the planning of the Pajule IDP camp attack. 605

xxviii Simon Tabo (D-0034)

380. Simon Tabo's prior recorded statement was introduced pursuant to Rule 68(2)(b) of the Rules.⁶⁰⁶ He testified about his abduction, which he stated took place in 1998, when he was 11 years old, and his experiences in the LRA until his escape in 2014. The Chamber is satisfied that the witness testified credibly about his lived experience in the LRA.

xxix Daniel Opiyo (D-0056)

381. Daniel Opiyo testified live before the Chamber. Daniel Opiyo, a long term member of the LRA, testified about the LRA and about an attack on Pajule IDP camp⁶⁰⁸ and Dominic Ongwen's role in the attack. The witness's testimony was detailed, contextualised and the Chamber was satisfied that the witness was telling the truth as he knew it through the course of his testimony.

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⁶⁰² D-0026: T-191.

⁶⁰³ See for example the witness's testimony about the ranks of a certain officer. D-0026: T-191, p. 10, lines 14-17.

⁶⁰⁴ D-0026: <u>T-191</u>, p. 29, line 17 – p. 30, line 14.

⁶⁰⁵ D-0026: T-191, p. 25, line 25 – p. 27, line 11, p. 28, lines 16-25.

⁶⁰⁶ D-0034 Statement, UGA-D26-0022-0385. See Decision on the Defence Request under Rule 68(2)(b), paras 11-13, p. 12.

⁶⁰⁷ D-0056: <u>T-228</u>; <u>T-229</u>.

⁶⁰⁸ The Chamber considers that the evidence indicates that this attack on Pajule IDP camp described by this witness is not the same attack at issue in these proceedings. *See* para. 1287 below.

xxx Christopher Oloyo (D-0068)

382. Christopher Olovo testified live before the Chamber. 609 Christopher Olovo, a former LRA fighter, testified about his experience in the LRA and about an attack on Pajule IDP camp. 610 The witness's testimony was clear. He differentiated between his personal experiences and what he heard from others. The witness provided details that convinced the Chamber that he spoke from personal experiences.

xxxi Michael Orvem (D-0075)

- 383. Michael Oryem, known in the LRA as 'Abongomek', testified live before the Chamber. 611 Michael Oryem, an LRA fighter, testified about his experiences in the LRA, including his knowledge of Dominic Ongwen. The Chamber considers Michael Oryem's testimony at times evasive, particularly in relation to the attack on Odek IDP camp. When the witness was asked by the Defence how he heard of the Odek attack, he spoke for three minutes without answering the question. 612 Such behaviour undermined the Chamber's view of the witness's credibility.
- 384. Aspects of his testimony are also unreliable. Significantly, Michael Oryem testified that he did not participate in the attack on Odek IDP camp, but rather was east of the Achwa River, in an area called Lapak, with Dominic Ongwen. 613 However, multiple witnesses credibly testified that Michael Oryem not only participated in the Odek IDP camp attack, but also played a key role. 614 The Chamber is satisfied that the evidence shows that Michael Oryem participated in the Odek IDP camp attack and his testimony in relation to both his and Dominic Ongwen's whereabouts at the time of the Odek IDP camp attack cannot be relied upon. Additionally, the witness offered a confused and convoluted

⁶⁰⁹ D-0068: T-222; T-223.

⁶¹⁰ The Chamber considers that the evidence indicates that this attack on Pajule IDP camp described by this witness is not the same attack at issue in these proceedings. See para. 1287 below.

⁶¹¹ D-0075: T-224; T-225.

⁶¹² D-0075: <u>T-224</u>, p. 74, line 21 – p. 76, line 1. 613 D-0075: <u>T-224</u>, p. 76, lines 1-23.

⁶¹⁴ See P-0406: T-154-CONF, p. 45, line 21 – p. 46, line 1 (a 'support weapon' called a '12' was used in the course of the Odek IDP camp attack, fired by a commander known as Abongomek); P-0054; T-93, p. 15, lines 15-21; T-94, p. 22, lines 9-16 (an LRA fighter, Abongomek, fired an RPG and the shot landed on one of the huts in the barracks and burnt that hut during the Odek IDP camp attack); P-0314: T-75, p. 23, lines 13-19 (during the attack Abongomek fired some bullets, from the big gun with chains, however the gun failed later on). See also P-0264: T-64, p. 72, lines 15-20 (Abongomek participated in the Odek IDP camp attack); P-0330: T-52, p. 14, lines 19-22 (he went to Odek under the command of 'Bomek'). The Chamber understands that 'Bomek' is an abbreviation of Abongomek. See D-0068: T-223, p. 7, lines 4-5.

explanation of the inconsistencies between his previous statements to the Prosecution and his in-court testimony, indicating that there were multiple attacks in Odek IDP camp. ⁶¹⁵ The Chamber is unconvinced by his justification. It does not rely on the evidence D-0075 provided.

xxxii D-0079

385. D-0079 is an Acholi man born in 1986, who testified live before the Chamber with protective measures, after receiving Rule 74 assurances. The witness testified about his abduction, which he stated took place when he was approximately seven years old. Further, he provided an account of his experiences in the LRA and his knowledge of Joseph Kony and Dominic Ongwen. The witness spent more than 10 years in the LRA and eventually escaped in or around 2005. The Chamber is satisfied that the witness testified credibly about his personal experiences in the LRA.

xxxiii D-0085

- 386. D-0085 testified live before the Chamber with protective measures. 617 D-0085, a former LRA member, testified about her experiences in the LRA, including about the attack on Abok IDP camp attack relevant to the charges. The witness's testimony was clear, consistent and she was forthright regardless of the identity of questioning party. She clearly distinguished between events that she personally witnessed as opposed to matters she was informed about. D-0085 provided narration rich in the type of details that showed that the witness spent years in the LRA and spoke from personal experience. When the witness spoke of her forced marriage, it was clear to the Chamber that she was speaking of a personal experience that was deeply affecting. 618
- 387. There are aspects of D-0085's testimony of which the Chamber is not convinced. For example, D-0085 was the only witness to speak of two gatherings before the attack on Abok IDP camp.⁶¹⁹ D-0085 also indicated that Trinkle brigade participated in the attack

 $^{^{615}}$ See D-0075: T-225, p. 53, line 4 – p. 62, line 1. The Chamber notes the Prosecution submissions as to the myriad inconsistencies in the witness's testimony and his previous statements to the parties. See Prosecution Closing Brief, paras 269-71.

⁶¹⁶ D-0079: <u>T-189</u>.

⁶¹⁷ D-0085: T-239.

⁶¹⁸ See D-0085: <u>T-239</u>, p. 42, lines 20-23 ('[m]y abduction has made my live very difficult. I'm not happy, I cannot be happy like people who were not abducted. I'm constantly sad.').

⁶¹⁹ See D-0085: <u>T-239</u>, p. 21, lines 19-25.

xxxiv Francis Ocen (D-0100)

388. Francis Ocen is a former LRA fighter, who testified live before the Chamber. 624 The witness testified about his work as an LRA signaller and his knowledge of Dominic Ongwen. Francis Ocen offered testimony that was generally consistent with other reliable evidence.

xxxvKenneth Opiyo (D-0105)

- 389. Kenneth Opiyo testified live before the Chamber without protective measures. 625 Kenneth Opiyo, a former LRA fighter, testified about his experiences in the LRA, including his participation in the attack on Abok IDP camp relevant to the charges. The Chamber finds that this witness's testimony may not be relied upon.
- 390. Kenneth Opiyo offered a detailed account of his experience in the LRA, describing his personal experiences in a chronological manner and noting the circumstances in which they took place. Further, the Chamber found Kenneth Opiyo to be forthcoming in answering questions from the parties, participants and Chamber.
- 391. However, the Chamber is attentive to significant discrepancies between the witness's accounts and the other evidence heard in the case in relation to the 8 June 2004 Abok

624 D-0100: T-234.

⁶²⁰ See D-0085: T-239, p. 20, lines 7-9.

⁶²¹ See D-0105: T-190, p. 8, lines 21-22, p. 15, lines 3-9, p. 26, lines 17-23.

⁶²² See

⁶²⁵ D-0105: T-190.

IDP camp attack. 626 For example, contrary to other evidence introduced in these proceedings, the witness testified that: (i) the Abok attack took place on 6 August 2004; 627 (ii) members of the Convoy, under the command of Okot Odhiambo, participated in the Abok IDP camp attack along with the group commanded by Kalalang; 628 (iii) Okot Odhiambo was the commander that selected persons to attack Abok IDP camp; 629 (iv) about 200 LRA fighters from his group were sent to Abok; 630 (v) upon reaching Abok, the LRA entered without resistance, they proceeded to collect food in the camp for about an hour before Ugandan government soldiers arrived; ⁶³¹ (vi) civilians were asleep while the LRA was looting the camp and there was no fire in the camp until after the gunshots by the Ugandan government soldiers started well into the LRA's time in the camp; 632 and, more generally, (vii) only women 25 years old and above could be married in the LRA. 633 This testimony is so at odds with the other evidence on these points as to cast grave doubts as to its accuracy.

- 392. The discrepancies are so substantial that the Chamber is unable to conclude that the attack the witness discussed is the one at issue in this proceeding. The Chamber notes that the witness was very young when he was abducted, approximately eight years old, and was approximately 11 years old when he allegedly took part in the Abok IDP camp attack. 634 The witness's young age as well as the time elapsed since the attack, and the inherent chaotic nature of the attack, may explain the inconsistencies and illogicality in his accounts. However, it is clear to the Chamber that the witness's evidence cannot be relied upon in relation to the 8 June 2004 Abok IDP camp attack.
- 393. Lastly, the Chamber is of the view that Kenneth Opiyo and Sam Ojede met and discussed their accounts of the Abok attack prior to their testimony. 635 Sam Ojede admitted that he and Kenneth Opiyo discussed details of the Abok attack. 636 Kenneth Opiyo was not

⁶²⁶ In many instances, the witness is the only witness in the proceedings to offer this account of events.

⁶²⁷ D-0105: <u>T-190</u>, p. 26, lines 6-7.

⁶²⁸ D-0105: <u>T-190</u>, p. 26, lines 13-19.

⁶²⁹ D-0105: T-190, p. 26, lines 17-23.

 $[\]frac{630}{D}$ D-0105: $\frac{T-190}{D}$, p. 26, line 24 – p. 27, line 1. The Chamber notes that it is unclear from the testimony whether the witness means 200 fighters in total or 200 fighters from Odhiambo's group.

⁶³¹ D-0105: <u>T-190</u>, p. 31, line 7 – p. 33, line 1. ⁶³² D-0105: <u>T-190</u>, p. 32, line 18 – p. 33, line 21.

⁶³³ D-0105: <u>T-190</u>, p. 38, lines 19-23.

⁶³⁴ D-0105: T-190-CONF, p. 4, lines 17-18; T-190, p. 5, lines 12-13, p. 49, lines 6-12, p. 50, lines 14-17.

⁶³⁵ See also section IV.B.2.v.b.iv, discussion of Sam Ojede's testimony below.

⁶³⁶ D-0121: T-213-CONF, p. 49, line 16 – p. 51, line 17.

questioned about his pre-testimony contact with Sam Ojede. The Chamber notes that Sam Ojede and Kenneth Opiyo, alone among the witnesses, testified that the attack on Abok IDP camp occurred on 6 August 2004.⁶³⁷ Given Sam Ojede's admission that he and Kenneth Opiyo spoke about the attack, the Chamber is of the view that this misstatement of the date suggests that they coordinated their testimonies, at least to some degree. This further undermines the credibility and reliability of Kenneth Opiyo's testimony. The Chamber does not rely on this witness's testimony.

xxxvi D-0157

394.	D-0157's statement was introduced pursuant to Rule 68(2)(b) of the Rules. 638 D-0157's
	evidence is a comprehensive and detailed narrative of his abduction, which he stated took
	place on when he was 47 years old. He testified in particular about his
	escape after three days in the bush. The witness stated that

iii. Witnesses of sexual and gender based violence

- a. Witnesses of sexual and gender based violence directly perpetrated by Dominic Ongwen (P-0099, P-0101, P-0214, P-0226, P-0227, P-0235, P-0236 and Florence Ayot (D-0013))
- 395. The Chamber considers the accounts of P-0099,⁶³⁹ P-0101,⁶⁴⁰ P-0214,⁶⁴¹ P-0226,⁶⁴² P-0227, ⁶⁴³ P-0235⁶⁴⁴ and P-0236⁶⁴⁵ to be remarkable in their detail and consistency. All seven witnesses gave clear, nuanced and compelling accounts about their time with Dominic Ongwen in the LRA. They were generally forthcoming in their answers to both

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⁶³⁷ See D-0105: <u>T-190</u>, p. 26, lines 6-7; D-0121: <u>T-213</u>, p. 44, lines 14-18.

⁶³⁸ D-0157 Statement, UGA-D26-0026-0757-R01. *See* Decision on Defence Request to Add D-0157 to its List of Witnesses, 16 October 2019, ICC-02/04-01/15-1639, paras 12-15, p. 7.

⁶³⁹ P-0099: <u>T-14</u>.

⁶⁴⁰ P-0101: <u>T-13</u>; <u>T-14</u>.

⁶⁴¹ P-0214: <u>T-15</u>.

⁶⁴² P-0226: <u>T-8</u>; <u>T-9</u>.

⁶⁴³ P-0227: T-10; T-11.

⁶⁴⁴ P-0235: T-17.

⁶⁴⁵ P-0236: T-16.

parties, even on sensitive topics. 646 Their narratives were replete with complicating, unnecessary details which are indicators that the testimony is of personal experiences. 647 The extent to which their accounts overlap is also particularly notable, both in respect of commonly witnessed events 648 and the coercive environment they all lived in. 649

- 396. It is notable that the Defence identifies no discrete credibility issues concerning these seven witnesses in its closing brief. The Defence only challenges the procedure by which this evidence was received. This challenge is considered and rejected above. The Chamber has no reservation to relying fully on the Article 56 testimonies of these seven women, considering especially that: (i) the Chamber has watched all the recordings of their video-link testimony before the Pre-Trial Chamber and (ii) the Defence had a full opportunity to question these witnesses during the Article 56 proceedings.
- 397. The Chamber is mindful that much of the accounts of these women concern events which occurred over a decade ago. Failing to remember matters like the precise dates of distant events is understandable, particularly considering that life in the LRA was isolated from the information available in broader society. Noting that these women are all victims of prolonged enslavement, physical/sexual violence and other suffering, the Chamber has also not placed much weight on failures to articulate difficult details of their life during initial interviews with the Prosecution or organisations like GUSCO. Ather, the Chamber's focus has been on what the witnesses testified to under oath in the courtroom, and the mutual reinforcement which came from other witnesses giving similar testimony.

⁶⁴⁶ For a rare exception of reticence to answer sensitive questions, *see* para. 2050 below.

⁶⁴⁷ To pick one example of many, in the context of P-0226's first forcible sexual encounter with Dominic Ongwen she testifies to spilling a glass of water on his bed before trying to run away. *See* para. 2051 below.

⁶⁴⁸ E.g. para. 2038 below (death of Nyeko).

⁶⁴⁹ As an example, all women describe the moment they become so-called 'wives' in similar coercive terms. Para. 2035 below.

⁶⁵⁰ See section II.B above.

⁶⁵¹ E.g. paras 2015, 2026 below.

⁶⁵² As an example, the Chamber notes that the Defence confronted P-0226 with why she did not initially discuss her personal involvement in the incident described in para. 2084 below during her first interview with the Prosecution. P-0226 says she forgot to mention it. P-0226: <u>T-9</u>, p. 60, line 19 – p. 62, line 16, p. 73, line 10 – p. 74, line 8. The Chamber notes the evidence which indicates that in fact she did tell the Prosecution about her personal involvement in such an incident just as her first witness statement was being finalised. 4 June 2015 Investigation Note, UGA-OTP-0237-0230-R01; P-0226 Second Statement, UGA-OTP-0236-0583-R01, at paras 12, 23-24. Noting that P-0226 eventually did acknowledge her role and then gave a detailed, clear and fully credible account of the killing of the captured soldier while testifying, the Chamber considers P-0226's initial failure to discuss with the Prosecution her personal involvement in this killing to be inconsequential.

- 398. The Chamber turns to the credibility of one of Dominic Ongwen's so-called 'wives' called by the Defence Florence Ayot. 653 That she was also one of the women considered as Dominic Ongwen's so-called 'wife' in the LRA is clear. 654 The Chamber notes that Florence Ayot first spent time in the LRA as a so-called 'wife' of another LRA fighter who later died. 655 Unlike her initial 'distribution' to this LRA fighter, Florence Ayot previously knew Dominic Ongwen before joining his household; he approached her asking her to go live with him and she agreed. 656 She stated, 'I was free. It was my own choice to go live with him'. 657 Further, on multiple occasions in her testimony, Florence Ayot mentioned how difficult Dominic Ongwen's incarceration has been on her family and how he should be allowed to come back to Uganda to take care of his kids. 658 Noting the above context, the Chamber turns to the substance of Florence Ayot's testimony.
- 399. Florence Ayot has as harrowing an LRA abduction story as the other seven women, and describes similar suffering in the LRA prior to joining the accused's household. 659 However, Florence Ayot's account of her experiences and the experiences of other women in the LRA took on a markedly different tone once she began to describe events after she joined Dominic Ongwen's household following the death of the man she was originally distributed to. Florence Ayot explained how happily those with Dominic Ongwen lived together and how there were never any beatings. She was not able to recall a single instance describing a negative aspect about her relationship with him. 660 Florence Ayot discussed why she loved Dominic Ongwen and struggled with conceding any awareness of atrocities he committed in the bush. When confronted with her prior statements acknowledging that Dominic Ongwen committed 'very serious and terrible'

⁶⁵³ D-0013: T-244; T-245.

⁶⁵⁴ P-0101: T-13-CONF, p. 40, lines 1-11, p. 41, lines 1-12; P-0099: T-14-CONF, p. 36, line 20 – p. 37, line 7.

⁶⁵⁵ D-0013: <u>T-244</u>, p. 19, line 23 – p. 22, line 13, p. 35, lines 4-14.

⁶⁵⁶ D-0013: T-244, p. 36, lines 5-16. *See also* T-245, p. 4, lines 3-11. Her account of joining Dominic Ongwen's household is different from the personal accounts of the other seven women, but is consistent with other credible and reliable evidence that widows had more agency regarding the next man they lived with. *See* para. 2228 below. 657 D-0013: T-244, p. 36, lines 24-25.

⁶⁵⁸ D-0013: T-245, p. 9, lines 11-12 ('Let Ongwen come home and help raise his kids because we are fearful about how we are going to raise our kids'), p. 19, lines 5-10, p. 24, line 20 – p. 25, line 4, p. 27, line 14 – p. 28, line 11. 659 D-0013: T-244, p. 12, line 11 – p. 14, line 17 (abduction and threats of being killed for not following instructions), p. 19, line 23 – p. 21, line 21 (sexual violence victim of an LRA commander named Kijura), p. 23, line 2 – p. 26, line 11 (punishment for trying to escape, including being beaten for attempting to escape herself), p. 34, lines 4-13 (gave birth to a boy fathered by Kijura), p. 37, lines 1-4 (women were not allowed to live alone in the LRA – they had to live with a man).

⁶⁶⁰ D-0013: <u>T-244</u>, p. 38, line 22 – p. 39, line 5, p. 41, line 13 – p. 42, line 24; <u>T-245</u>, p. 3, line 4 – p. 4, line 6, p. 6, lines 5-22.

atrocities, Florence Ayot obfuscated and denied having mentioned Dominic Ongwen specifically in referring to atrocities committed. 661 She testified to being a leader in arranging a meeting with other of the seven so-called 'wives', in consort with Dominic Ongwen. 662 Recordings of that meeting were played during Florence Ayot's testimony and she confirmed making statements that the Chamber understands as attempts to influence the testimony of the other so-called 'wives' of Dominic Ongwen. 663 The Chamber is utterly unpersuaded by the witness's testimony that her statements were mere attempts to ensure that the other women get along with one another or facilitate their children's relationship with their paternal family.⁶⁶⁴ This meeting was instrumental to the accused becoming subject to contact restrictions and the Pre-Trial Chamber receiving the testimony of the other seven so-called 'wives' of Dominic Ongwen under Article 56 of the Statute. 665

400. Florence Ayot's testimony as to the experiences of women in Dominic Ongwen's household and in the LRA is clearly disproved by the fully credible and reliable testimonies of P-0099, P-0101, P-0214, P-0226, P-0227, P-0235 and P-0236, not to mention the other LRA women and girls - including Florence Ayot prior to being distributed to Dominic Ongwen – who testified to the same horrific environment the other so-called 'wives' described. But the Chamber considers that Florence Ayot's denial of

⁶⁶¹ D-0013: <u>T-245</u>, p. 6, line 23 – p. 10, line 8, with reference to D-0013 Interview, UGA-OTP-0233-1427, at 1428. See also p. 29, lines 8-23 (in reference to an interaction with the ICC: 'But there was a lady who was there who started accusing me, pointing fingers and saying "Don't you know what Dominic did? You know what he did." And they asked me to sign something and I told them no, why are you asking me to sign whatever it is that I'm supposed to sign? And they told me that we are people who have accused Dominic of crimes. And I told them, I said I cannot sign this because so many people went through these problems. I was abducted. Other people were abducted, so many people were abducted.').

⁶⁶² D-0013: <u>T-244</u>, p. 62, line 19 – p. 64, line 15; <u>T-245</u>, p. 11, line 17 – p. 12, line 13, p. 15, lines 9-17, p. 17, line 17 - p. 19, line 10, p. 24, line 20 - p. 25, line 4, p. 26, lines 13-21, p. 27, line 14 - p. 28, line 11, p. 29, line 3 - p. 31, line 22, p. 32, line 20 - p. 34, line 3. D-0013's consortium with Dominic Ongwen is established by multiple recorded phone conversations between them which are discussed across the transcript excerpts. 2 June 2015 Phone Conversation, UGA-OTP-0286-2623; 2 June 2015 Phone Conversation, UGA-OTP-0286-2579; 2 June 2015 Phone Conversation, UGA-OTP-0286-2421; 3 June 2015 Phone Conversation, UGA-OTP-0286-2526.

⁶⁶³ D-0013: <u>T-245</u>, p. 15, lines 9-17, p. 17, line 17 – p. 19, line 10, p. 24, line 20 – p. 25, line 4, p. 26, lines 13-21,

b. 27, line 14 – p. 28, line 11, p. 29, line 3 – p. 31, line 22, p. 32, line 20 – p. 34, line 3.

664 D-0013: T-245, p. 19, line 6-10, p. 24, line 20 – p. 25, line 4, p. 27, line 22 – p. 28, line 11, p. 33, line 3-23.

665 See generally Decision concerning the restriction of communications of Dominic Ongwen, 3 August 2015, ICC-02/04-01/15-283-Conf (reclassified 29 September 2015; prior procedural history cited therein); Decision on the "Second Prosecution application to the Pre-Trial Chamber to preserve evidence and take measures under article 56 of the Rome Statute", 12 October 2015, ICC-02/04-01/15-316-Conf (public redacted version available: ICC-02/04-01/15-316-Red).

- what life with Dominic Ongwen was like is understandable given the complex personal and parental relationship which develops as a result of such crimes.
- 401. The closest evidence approximating Florence Ayot's testimony is an isolated moment when P-0214, soon after testifying that Dominic Ongwen committed repeated sexual violence against her, says that 'Ongwen was taking care of us properly. He used to treat us equally and he used to treat us well. We were very he was very caring of us and we also cared a lot about him because he was taking care of us.'666 In the totality of her evidence, P-0214 gives a more mixed, nuanced assessment than Florence Ayot, testifying credibly, with detail and context, about her time spent in Dominic Ongwen's household.
- 402. The Chamber ultimately concludes that Florence Ayot's testimony is of very limited use to establish the life of Dominic Ongwen's so-called 'wives' in the bush. Florence Ayot's testimony is unreliable with regard to the accounts of the other so-called 'wives' about the sexual violence perpetrated against them by Dominic Ongwen. In light of all of the above considerations, the Chamber rejects those aspects of Florence Ayot's evidence which are contradicted by the consistent accounts of Dominic Ongwen's other so-called 'wives'.

b. P-0351

403. P-0351 is an Acholi woman who testified live before the Chamber with protective measures. 667 Her prior recorded testimony was introduced pursuant to Rule 68(3) of the Rules. 668 P-0351 testified about her abduction by LRA soldiers, which she stated took place in December 2002, when she was approximately 12 years old, 669 and in particular

⁶⁶⁶ P-0214: <u>T-15</u>, p. 41, lines 16-23. *See similarly* P-0233: <u>T-112</u>, p. 44, line 16 – p. 45, line 8 (former LRA fighter stating – without delving into any specificity – that Dominic Ongwen loved and cared for his 'wives'); D-0056: <u>T-228</u>, p. 49, line 25 – p. 50, line 14 (former LRA member who observed that Dominic Ongwen lived very happily with his 'wives' and did not issue 'very tough orders' for them). It is further noted that P-0214 worked with D-0013 to organise the meeting with the other 'wives' discussed in the previous paragraph. D-0013: <u>T-244</u>, p. 63, lines 3-6.

⁶⁶⁷ P-0351: <u>T-129</u>.

⁶⁶⁸ P-0351 Statement, UGA-OTP-0263-0002-R01. *See* Decision on Prosecution Applications under Rule 68(3), para. 24, p. 19. *See also* P-0351: <u>T-129</u>, p. 6, line 1 – p. 7, line 9.

⁶⁶⁹ The Chamber notes that the witness statement indicates her age as '24 yo' at the time her statement was taken in April and May 2016 (*see* P-0351 Statement, UGA-OTP-0263-0002-R01, at 0002), whereas the witness also stated that she was 12 years old when she was abducted in December 2002 (*see* P-0351 Statement, UGA-OTP-0263-0002-R01, at para. 12) and then during her questioning confirmed that she was born in 1989 (*see* P-0351: T-129-CONF, p. 15, lines 11-15. *See also* National ID Card, UGA-OTP-0266-0016 and Form, UGA-OTP-0233-0159, at 0159). However, the Chamber also notes that the witness clearly stated that she did not recall her date of birth (P-0351: T-129, p. 4, lines 10-11, p. 14, lines 11-13) and only asked her brother for it for purposes of

about the time she spent in Dominic Ongwen's group. P-0351 eventually escaped in 2006. She provided a detailed account of her own 'distribution' to an officer and her experience as his so-called 'wife'. She gave detailed and relevant information about the life of abducted women and girls in the LRA. She provided a detailed account of her own personal experience which is directly relevant to the charges. In the assessment of the Chamber, P-0351's testimony is fully credible and reliable.

c. P-0352

- 404. P-0352 testified live before the Chamber with protective measures. She provided a statement to the Prosecution, which was submitted under Rule 68(3) of the Rules. P-0352, a former LRA member and member of Dominic Ongwen's household, testified about her experiences in the LRA as well as about the rules concerning the 'distribution' of women, boys and girls. P-0352 was a confident, bold and candid witness. She was forthcoming, knowledgeable and detailed in her recounting of events. The witness's testimony was replete with the kind of details which convinced the Chamber that she testified of events she personally experienced. She was clear in distinguishing between events she witnessed herself and those she learned of through other means. Further, her testimony was consistent with other reliable evidence.
- 405. The Chamber notes the Defence's questioning as to alleged inconsistencies between her amnesty application form and her testimony before the Chamber, suggesting that she was never married in the bush. ⁶⁷² The Chamber considers reasonable the witness's

obtaining her ID (P-0351: T-129, p. 14, lines 17-22). Overall, the Chamber considers more reliable the witness's account of having been abducted at the age of approximately 12, corresponding to a date of birth in around 1989. The Chamber does not consider this smaller discrepancy to affect the witness's general credibility. The Chamber also notes that the date of abduction given by the witness is not compatible with her testimony that Dominic Ongwen was injured about eight months after her abduction (P-0351: T-129, p. 41, lines 6-13), given that Dominic Ongwen was injured in October or November 2002. *See* section IV.C.3 below. Even though P-0351 gave a specific date for her abduction (12 December 2002), stating that she knew 'because [she] was still going to school and [she] knew dates very well then' and that she was in P5 at the time (P-0351 Statement, UGA-OTP-0263-0002-R01, at para. 12), the Chamber considers that her evidence on both the date of abduction and the duration of time between the abduction and Dominic Ongwen's injury may very understandably have been affected by the witness's young age, the passage of time and the general difficulty in relation to estimating the duration of time in the bush. Accordingly, the issue does not affect the general reliability of the testimony of P-0351.

⁶⁷¹ P-0352 Statement, UGA-OTP-0260-0315-R01. *See* <u>Decision on Prosecution Applications under Rule 68(3)</u>, para. 25, p. 19. *See also* P-0352: <u>T-67</u>, p. 11, line 7 – p. 14, line 21.

⁶⁷² P-0352: <u>T-68</u>, p. 22, line 24 – p. 25, line 20; Amnesty Commission application, UGA-OTP-0270-0166, at 0169 (the Amnesty application checked 'never had sex' which suggested, according to the Defence, that the witness was a 'ting ting' throughout her time in the bush).

explanation that the persons filling out the amnesty form on her behalf must have looked at her age and size at the time and chosen an (incorrect) answer.⁶⁷³ Also, the Chamber is of the view that the discrepancy raised by the Defence is of limited importance. The witness provided compelling and believable testimony as to her experience in the bush and the Chamber places limited value on the information provided in the amnesty application form, which the witness did not fill in herself and in a process about which the Chamber has little to no information. The Chamber's view of P-0352's credibility is not undermined because of this discrepancy.

d. P-0366

- 406. P-0366 is an Acholi woman born in 1992, who testified live before the Chamber with protective measures.⁶⁷⁴ Her prior recorded testimony was introduced pursuant to Rule 68(3) of the Rules.⁶⁷⁵ P-0366 presented a detailed account of her experience as an LRA abductee at a young age, her time in Dominic Ongwen's household as a *ting ting*,⁶⁷⁶ and her time as so-called 'wife' of an LRA soldier.
- 407. Her evidence as to what she observed or otherwise got to know while in the LRA is detailed, specific and individual. The witness understandably was not always able to state the dates of various events, or estimate durations, and, again understandably based on her age and position as a *ting ting*, not able to describe accurately the organisational features of the LRA. Yet, the Chamber notes that P-0366 was able to place in context and discuss in detail when asked those facts and events which she testified about with confidence.⁶⁷⁷ The Chamber therefore accepts that the testimony of P-0366 is truthful, and based on P-0366's personal observation and experience.
- 408. At the same time, there are several aspects of P-0366's testimony which merit being addressed. First, in relation to when the witness was abducted by the LRA, the prior recorded testimony of P-0366 contains a contradiction: P-0366 stated that she was born

675 P-0366 Statement, UGA-OTP-0260-0289-R01. *See* <u>Decision on Prosecution Applications under Rule 68(3)</u>, para. 26, p. 19. *See also* P-0366: <u>T-147</u>, p. 9, line 20 – p. 11, line 3.

⁶⁷³ P-0352: T-68, p. 25, lines 9-16.

⁶⁷⁴ P-0366: T-147.

⁶⁷⁶ See para. 2107 below. *Ting tings* were young girls used as baby sitters and domestic servants by the LRA. ⁶⁷⁷ In the courtroom, P-0366 was asked to expand on information contained in the prior statement about a girl who was beaten because she attempted to refuse becoming a so-called 'wife' of an LRA soldier, *see* P-0366: <u>T-147</u>, p. 12, line 15 – p. 15, line 19. The witness responded naturally and provided further detail, indicating also when she did not know what she was being asked about.

on 1992,⁶⁷⁸ but also that she was abducted in December 2000 at the age of 11.⁶⁷⁹

- 409. The statement of P-0366 to the effect that she was abducted in December 2000 also presents difficulties when attempting to reconcile it with other evidence. P-0366 states that when she joined Dominic Ongwen's household shortly after her abduction, and were there. Were, there is compelling evidence, also has before it P-0366's declaration under the Ugandan Amnesty Act, dated 2003, wherein it is stated that P-0366 'join[ed] rebel activity', i.e. was abducted, on 5 November 2002. Moreover, P-0366's sponsorship programme application states that she was abducted in October 2002. Moreover, P-0368's
- 410. These discrepancies were put to P-0366 in court, but the witness maintained her testimony as recorded in her written statement.⁶⁸⁴ Moreover, the witness estimated the duration of her stay in the LRA at 'about two years and a half, coming to three'.⁶⁸⁵ Noting that the witness escaped from the LRA around mid-February 2003,⁶⁸⁶ this would be compatible with abduction in December 2000 but not with abduction in 2002.
- 411. In light of these discrepancies, the Chamber cannot positively determine at which time P-0366 was abducted. The issue is of particular significance because it is as a consequence not possible to determine to what extent the facts described by P-0366 took place during the period of the charges. To account for this, the Chamber relies on the testimony of P-0366 for those facts where it can conclusively be established that they occurred within the period relevant to the charges. In particular, the Chamber notes that

⁶⁷⁸ P-0366 Statement, UGA-OTP-0260-0289-R01, at 0289; P-0366: T-147-CONF, p. 8, lines 6-7.

⁶⁷⁹ P-0366 Statement, UGA-OTP-0260-0289-R01, at para. 13. This relates to the witness's second abduction by the LRA, which is relevant under the charges. *See* for the first abduction P-0366 Statement, UGA-OTP-0260-0289-R01, at para. 11.

⁶⁸⁰ P-0366 Statement, UGA-OTP-0260-0289-R01, at para. 33;

⁶⁸² Depleration LICA OTD 0267 0202 at 0202 0203

Declaration, UGA-OTP-0267-0293, at 0293, 0297.
 Application, UGA-OTP-0244-1958, at 1958.

⁶⁸⁴ P-0366: <u>T-147</u>, p. 54, line 22 – p. 56, line 7. *See also* P-0366 Statement, UGA-OTP-0260-0289-R01, at paras 130-31.

⁶⁸⁵ P-0366: <u>T-147</u>, p. 56, lines 13-21.

⁶⁸⁶ This is conclusively demonstrated by the documents produced shortly after P-0366's return from the LRA: UGA-OTP-0267-0293 (declaration dated 2003); UGA-OTP-0265-0077 (certificate of amnesty dated 2003).

P-0366 stated that after being made the so-called 'wife' of _____, she spent two months at the same sickbay where Dominic Ongwen was at the time. She provides a number of details which are externally corroborated, such as the fact that Dominic Ongwen was injured in one of his thighs, that Odong Cow was in the sickbay for security, and that Dominic Ongwen's escort Korea was also present. P-0366 also stated that she remained so-called 'wife' until her escape in early 2003.

- 412. This leaves no doubt that P-0366 was a so-called 'wife' in Sinia during the period relevant for the charges, and the Chamber relies on her evidence for this purpose.
- 413. The Chamber also notes that certain statements by P-0366 are demonstrated to be inaccurate by other evidence. P-0366 testified that Dominic Ongwen's so-called 'wife' was pregnant and gave birth during P-0366's stay in the bush, although she could not recall the sex of the child. However,

 [693] On this point, the Chamber does not accept the testimony of P-0366. However, considering that the events took place a long time ago, when P-0366 was a child, and considering that this is the kind of fact that may easily be susceptible to shortcomings of memory over time, the Chamber does not consider the issue to have an impact on P-0366's general credibility.
- 414. Finally, P-0366 testified that during her time with the LRA, Dominic Ongwen had a so-called 'wife' by the name of Acen, whose child P-0366 took care of.⁶⁹⁴ There is no other evidence of this woman, whereas a number of witnesses have testified about the composition of Dominic Ongwen's household. The issue was put to P-0366 in court, to which P-0366 reacted as follows: 'Acen is currently back at home. She also says herself that she was Odomi's wife. But anyway, in the bush people would know she's actually back home, and we are close.' The witness also stated: 'Acen is close to me. We stay together with her. Sometimes we would discuss what happened to us while we were in

⁶⁸⁷ P-0366 Statement, UGA-OTP-0260-0289-R01, at paras 98-99.

⁶⁸⁸ P-0366 Statement, UGA-OTP-0260-0289-R01, at para. 99. See section IV.C.3 below.

⁶⁸⁹ P-0366 Statement, UGA-OTP-0260-0289-R01, at para. 101. See section IV.C.3 below.

⁶⁹⁰ P-0366 Statement, UGA-OTP-0260-0289-R01, at para. 101. This is corroborated by P-0231, *see* P-0231: <u>T-122</u>, p. 56, lines 9-14.

⁶⁹¹ P-0366 Statement, UGA-OTP-0260-0289-R01, at paras 121-28.

⁶⁹² 693

⁶⁹⁴ P-0366 Statement, UGA-OTP-0260-0289-R01, at paras 38-41; P-0366: <u>T-147</u>, p. 59, lines 2-8.

⁶⁹⁵ P-0366: <u>T-147</u>, p. 59, lines 9-19.

the bush, just the two of us, not with other people.'696 In general terms, the Chamber understands that there may be circumstances in which contacts with a person with a similar personal experience may have an effect on a witness's recollection of past events; this dynamic, however, seems unlikely in this particular case, given that P-0366 not only stated that Acen was a so-called 'wife' of Dominic Ongwen but specifically indicated that the witness herself took care of Acen's child while in the LRA. The Chamber considers it unnecessary to speculate about possible reasons which may explain P-0366's mentioning of Acen as Dominic Ongwen's so-called 'wife', nor is it able, in the absence of further details, to determine to what extent the witness is mistaken in this regard. In any case, the Chamber is of the view that this discrete issue does not have a bearing on P-0366's credibility generally and on the reliability of her statement as concerns her own personal experience while in the LRA.

- 415. The Chamber also notes that P-0366's application for participation in the present proceedings states that P-0366 participated in the attack on Pajule in October 2003, a date incompatible with the date of P-0366's escape as otherwise transpiring from the evidence.⁶⁹⁷ The Defence put the issue to P-0366, who responded that she was unable to confirm the date.⁶⁹⁸ The statement of the witness refers to an attack on Pajule, but without specifying the date on which the attack took place.⁶⁹⁹ Noting that there is evidence of several attacks on Pajule IDP camp in 2002-2003,⁷⁰⁰ and noting that applications for participation in the proceedings as victims were not always compiled in ways which guarantee their accuracy, the Chamber attaches no consequence to this discrepancy. Neither does the Chamber attach any significance for the present purposes to the fact that some details which appear in P-0366's testimony are not reflected in P-0366's application for a sponsorship programme.⁷⁰¹
- 416. Overall, on the basis of the above, the Chamber is of the view that it is not possible to conclusively determine when P-0366 was abducted and how much of her account can be said to have taken place in the period of the charges. However, the Chamber also

⁶⁹⁶ P-0366: T-147, p. 59, lines 20-25. See also P-0366 Statement, UGA-OTP-0260-0289-R01, at para. 40.

⁶⁹⁷ UGA-OTP-0267-0293 (declaration dated 2003); UGA-OTP-0265-0077 (certificate of amnesty dated 2003); Application for participation as victim, UGA-D26-0012-0359, at 0361. The Chamber further notes that the document also states that P-0366 escaped in December 2003.

⁶⁹⁸ P-0366: <u>T-147</u>, p. 89, line 10 – p. 91, line 13.

⁶⁹⁹ P-0366 Statement, UGA-OTP-0260-0289-R01, at para. 109.

⁷⁰⁰ See para. 1173, n. 2394 below.

⁷⁰¹ Application, UGA-OTP-0244-1958, at 1961; P-0366: <u>T-147</u>, p. 79, line 7 – p. 80, line 5.

considers, bearing in mind the above, that it can generally rely on P-0366's evidence to the extent it is possible to otherwise connect it with the period of relevance to the charges in the present case.

e. P-0374

417. P-0374 is a Lango woman born in 1993, who testified live before the Chamber with protective measures. The prior recorded testimony of P-0374 was introduced pursuant to Rule 68(3) of the Rules. P-0374's evidence is a detailed and comprehensive narrative of her abduction in September 2003 and subsequent experience as a female abductee in Sinia, including as so-called 'wife', until her release around Easter 2005. The Chamber deems her evidence to be entirely credible and reliable.

f. P-0396

- 418. P-0396 is a Lango woman born in 1991, who testified live before the Chamber with protective measures. The prior recorded testimony was introduced pursuant to Rule 68(3) of the Rules. The P-0396 provided a coherent and detailed account of her abduction in December 2004, 'distribution' by Dominic Ongwen as a so-called 'wife' to the rapes by and other personal experiences in the LRA until her escape in April or May 2005.
- 419. During examination by the Defence, P-0396 was shown a picture in which another witness, P-0189, had identified , on testified that she did not recognise the person in the photo. The Prosecution submits in this regard that it is not established that the person in the photo was indeed P-0396's so-called 'husband', and that there were

⁷⁰³ P-0374 Statement, UGA-OTP-0263-0023-R01. *See* <u>Decision on Prosecution Applications under Rule 68(3)</u>, para. 27, p. 19. *See also* P-0374: <u>T-150</u>, p. 5, line 3 – p. 7, line 11.

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⁷⁰² P-0374: T-150.

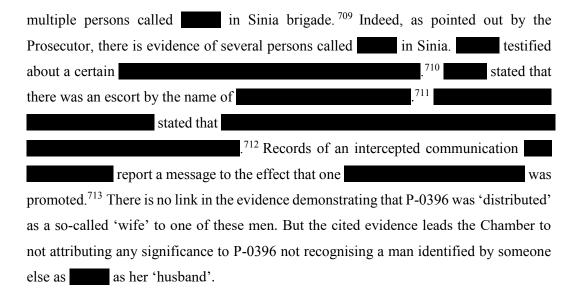
⁷⁰⁴ It is noted that P-0374 only referred to Dominic Ongwen as Sinia commander, and did not mention Buk Abudema who was Sinia commander at the time P-0374 was abducted, and that the issue was raised with P-0374 by the Defence; *see* P-0374 Statement, UGA-OTP-0263-0023-R01, at para. 46; P-0374: T-150, p. 40, line 6 – p. 41, line 3. However, the Chamber deems the matter to be of no consequence, as the witness was not in the position to necessarily correctly observe the specific hierarchical structure within the brigade, during her stay in Sinia as a civilian abductee. She was better placed to observe the organisation of her immediate surroundings.

⁷⁰⁵ P-0396: T-126; T-127.

⁷⁰⁶ P-0396 Statement, UGA-OTP-0267-0246-R01. See <u>Decision on Prosecution Applications under Rule 68(3)</u>, para. 28, p. 19. See also P-0396: T-126, p. 61, line 13 – p. 63, line 4.

¹007 Photograph, UGA-OTP-0260-0212. *See* for the identification Annotated Photograph, UGA-OTP-0260-0146. The only record of the identification is P-0189's annotation on the photograph; the issue was not discussed with P-0189 in court.

⁷⁰⁸ P-0396: T-127, p. 4, lines 17-22.



420. Instead, the Chamber notes that P-0396 provided a detailed description of the man to whom she was assigned as so-called 'wife'. P-0396 described as tall and light-skinned, with long hair, and said, notably, that he could not straighten his arms 'because they were a bit bent'. P-0396 estimated to be about 30 years old. P-0396 stated that she did not know srank, and said that he had told her that he 'was the leader of the young soldiers'. She stated that was with in the same 'group', of which Dominic Ongwen was the leader. In light of the fact that P-0396 provided detailed evidence about and more broadly also about her experience as his so-called 'wife', the Chamber deems her evidence reliable.

⁷⁰⁹ Prosecution Closing Brief, para. 153.

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⁷¹⁴ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 48; P-0396: <u>T-127</u>, p. 24, line 11 – p. 25, line 4.

⁷¹⁵ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 48.

⁷¹⁶ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 49; P-0396: <u>T-126</u>, p. 63, lines 16-17.

⁷¹⁷ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 54. It is noted that P-0396 stated that she had been told that the name of the group was 'Pirminia', an error with no significance. P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 54.

- 421. The Chamber notes that P-0396 also did not recognise the photograph of a man identified by and as as Considering that the witness had less contact with this person, the Chamber does not attribute any consequence to the witness's failure to recognise him on a photograph.
- 422. The Defence also questioned P-0396 about certain statements which appear in P-0396's application for participation in the proceedings as a victim, but are not included in the statement given to the Prosecution introduced as evidence in the trial.
- 423. In particular, P-0396's application for participation as victim contains a detailed claim to the effect that immediately after she was 'given' to the latter overpowered her and 'spent the whole afternoon having sexual intercourse with [her] under a tree as other body guards were watching over and again at night he continued until morning'. The court, questioned about the incident, P-0396 stated that '[t]hat encounter was not during the day. It was only during the night', and specifically that it was not true that had sexual intercourse with her under a tree while everyone was watching. Asked whether she remembered saying this to the people who prepared the document, P-0396 stated that she did not recall what she had said. P-0396's victim application also contains a claim that after military training, the abductees were each 'given a human being to remove the liver, heart and swallow it in order to become strong', to cut her open and eat her heart and liver. In court, P-0396 confirmed the story.
- 424. The Chamber emphasises that applications for participation as victim in proceedings before the Court are not witness statements. They are taken in circumstances where it is not established that the facts narrated by the applicants are accurately recorded, including interpretation and reading back to the applicant before signature. Moreover, the formality and solemnity of the occasion are less pronounced or possibly not present at all, which may have an effect on the way applicants present their story. For this reason, and in light

⁷¹⁸ P-0396: <u>T-127</u>, p. 4, lines 23-25. *See also* Photograph, UGA-OTP-0260-0214;

⁷¹⁹ Application for participation as victim, UGA-D26-0012-0271, at 0274.

⁷²⁰ P-0396: <u>T-127</u>, p. 47, line 23 – p. 48, line 18.

⁷²¹ P-0396: <u>T-127</u>, p. 48, lines 19-21.

⁷²² Application for participation as victim, UGA-D26-0012-0271, at 0274.

⁷²³ Application for participation as victim, UGA-D26-0012-0271, at 0275.

⁷²⁴ P-0396: <u>T-127</u>, p. 42, line 4 – p. 44, line 14.

of her persuasive testimony at trial, the Chamber will not draw any conclusions on the basis of discrepancies between P-0396's victim application and P-0396's evidence to the Chamber.

- 425. For the same reasons, the Chamber also attributes no consequence to any discrepancies between P-0396's evidence to the Chamber and an application for a sponsorship programme that she previously made.⁷²⁵
- 426. It is also noted that while there exists significant overlap, there are also notable discrepancies between P-0396's evidence on the women who were in Dominic Ongwen's household, and other evidence on this issue. P-0396 stated that there was a 'wife' called Sarah, and another 'wife' called Ageno, with a child called Aciro. The also stated that was there, with two children. P-0396 also mentioned and and Table and that there was another 'wife', 'in charge of women and girls at Dominic Ongwen's home', and that she was told that that woman had given birth and that her child had been released.
- 427. On the one hand, the Chamber notes that P-0396 accurately gave details which are not widely known, i.e. that Dominic Ongwen had a so-called 'wife' by the name of and that two girls called and were present in his household. This is a strong factor of external corroboration. On the other hand, however, the Chamber has received evidence from several witnesses who spent long periods of time with Dominic Ongwen in his household, and they do not refer to any of Dominic Ongwen's so-called 'wives' by the names of Sarah or Ageno. Moreover,

 [730] These inconsistencies were discussed with P-0396 in court, and she maintained her prior testimony. In this regard, the Chamber notes that Dominic Ongwen, as found above, had a number of so-called

'wives' in the LRA and that no witness was able to name all of them present during a certain period, and also that the witness's memory may reasonably be affected by the passage of time. Accordingly, while this does not affect the general reliability of the

⁷²⁵ See Application, UGA-OTP-0244-2280; P-0396: T-127-CONF, p. 30, line 25 – p. 32, line 18.

⁷²⁶ P-0396 Statement, UGA-OTP-0267-0246-R01, at paras 54, 73.

⁷²⁷ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 74.

⁷²⁸ P-0396 Statement, UGA-OTP-0267-0246-R01, at paras 75-76.

⁷²⁹ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 77.

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⁷³¹ P-0396: $\frac{T-127}{p-0396}$; p. 65, line 24 – p. 68, line 20.

witness, the Chamber does not rely on that information in the evidence of P-0396 which is demonstrated inaccurate by evidence which is more reliable on the specific issue. In light of the above, the Chamber considers the evidence of P-0396 to be generally reliable and credible, with exception of the specific aspect discussed in detail above.

g. P-0448

- 428. P-0448 testified live before the Chamber with protective measures. The had also provided a statement to the Prosecution, which was submitted under Rule 68(3) of the Rules. P-0448, a former LRA abductee, testified about her experiences in the LRA, including working as a *ting-ting* in Dominic Ongwen's household. P-0448 testified in a manner which made it clear that she was speaking from personal experience. She provided information of certain events in detail, and was able to compellingly express the effect her experience had on her. However, her testimony also demonstrated a number of unresolvable inconsistencies and a lack of clarity going beyond what could be expected from a witness in P-0448's situation. Of greatest significance is P-0448's identification of Dominic Ongwen as the commander in whose household she spent some of her time in the LRA as a *ting ting*.
- 429. P-0448 asserted that Dominic Ongwen had a so-called 'wife' by the name of Betty or Christine Adong. The her written statement, P-0448 testified that Interpretated with a small firearm in an attack on Pajule in 2003. Whereas in her written statement P-0448 spoke simply of a woman named Adongo, The court she explicitly confirmed that the female soldier was Dominic Ongwen's so-called 'wife' Adong, of whose other name P-0448 was not sure. While many witnesses testified about the composition of Dominic Ongwen's household at the relevant time, no evidence was elicited which would provide corroboration for P-0448's account of Betty or Christine Adong being among Dominic Ongwen's so-called 'wives'.

⁷³² P-0448: T-156; T-157.

⁷³³ P-0448 Statement, UGA-OTP-0236-0557-R01. *See also* P-0448: <u>T-156</u>, p. 32, line 4 – p. 33, line 16.

⁷³⁴ P-0448 Statement, UGA-OTP-0236-0557-R01, para. 85; T-156-CONF, p. 37, line 24 – p. 38, line 16.

⁷³⁵ P-0448 Statement, UGA-OTP-0236-0557-R01, para. 85.

⁷³⁶ P-0448: <u>T-157</u>, p. 15, line 22 – p. 16, line 23.

⁷³⁷ P-0448 Statement, UGA-OTP-0236-0557-R01, para. 35.

⁷³⁸ P-0448: <u>T-157</u>, p. 16, lines 17-23.

- 430. Additionally, P-0448's application to participate as a victim in the present proceedings, dated 6 September 2016, states that P-0448 was 'taken to where Kony stayed to help his very many "wives" with housework', and that 'Kony would occasionally meet with Dominic Ongwen'. The application does not contain the assertion that P-0448 stayed in Dominic Ongwen's household as a *ting ting*. While the Chamber, in light of the limited value of such applications, does not find the discrepancy in itself dispositive, P-0448 failed in court to confirm one or the other version, or even to explain how they could both be correct, perhaps at different times. As a result, her evidence on this point is confused and inconclusive. Thus, while the Chamber accepts that P-0448's is based on her experience as a female abductee in the LRA, the Chamber also considers that significant doubts exist as to whether
 - For this reason, the Chamber relies on the testimony of P-0448 only in those aspects which are not affected by this matter.
- 431. Furthermore, the Chamber notes that the Prosecution stated during the examination of P-0448 that it was 'not actually relying on this witness with regard to the charged Pajule attack'. The Prosecution's closing submissions only fleetingly refer to the evidence of P-0448, and in particular do not rely on her within the context of the attack on Pajule IDP camp of 10 October 2003. However, in light of the fact that P-0448 confirmed with reasonable confidence that the attack took place in 2003 after her abduction, and in light of the fact that she named Vincent Otti as the 'overall leader of the group', the Chamber is at a loss as to why P-0448's evidence with respect to the attack on Pajule IDP camp would have to be discarded entirely. The very limited reliance on P-0448 by the Prosecution and this unexplained refusal of her evidence with respect to the attack on Pajule IDP camp implies that the Prosecution itself has reservations as to P-0448's reliability. The Chamber also does not rely on P-0448 for its findings in relation to the attack on Pajule IDP camp.

⁷³⁹ Application for participation as victim, UGA-D26-0012-0302, at 0303.

⁷⁴⁰ See para. 424 above.

⁷⁴¹ P-0448: T-157-CONF, p. 39, line 21 – p. 40, line 13.

⁷⁴² P-0448: <u>T-157</u>, p. 15, lines 14-16.

⁷⁴³ P-0448 Statement, UGA-OTP-0236-0557-R01, para. 31; P-0448: <u>T-157</u>, p. 16, lines 1-8.

⁷⁴⁴ P-0448 Statement, UGA-OTP-0236-0557-R01, para. 33.

h. D-0006

432. D-0006, a woman born in _____, testified live before the Chamber with protective measures. The testified about her abduction in 1991 and subsequent stay in the LRA, considering that D-0006 spent a long period in proximity of Joseph Kony, her testimony is also relevant for certain disputed facts in the context of duress as an alleged ground excluding criminal responsibility. D-0006's testimony was detailed and credible.

i. Evelyn Amony (D-0049)

433. Evelyn Amony testified live before the Chamber. The She testified about her abduction in 1994 and subsequent experience as one of the so-called 'wives' of Joseph Kony. She offered a detailed account of Joseph Kony, her interactions with Dominic Ongwen, and her escape in 2004 and subsequent participation in the peace talks in 2006-2008. Evelyn Amony's testimony was credible and reliable.

j. D-0050

434. D-0050's prior recorded statement was introduced pursuant to Rule 68(2)(b) of the Rules. 747 D-0050 is a Lango woman born in and her experience as a so-called 'wife' of Joseph Kony. She eventually escaped in 2004. The Chamber did not identify any issues with D-

⁷⁴⁵ D-0006: <u>T-194</u>; <u>T-195</u>.

⁷⁴⁶ D-0049: T-243.

⁷⁴⁷ D-0050 Statement, UGA-D26-0025-0097 (public redacted version available: UGA-D26-0025-0097-R01); D-0050 Statement, UGA-OTP-0131-0002-R01 (public redacted version available: UGA-OTP-0131-0002-R02); D-0050 Interview Transcript, UGA-OTP-0228-1469-R01 (public redacted version available: UGA-OTP-0228-1469-R02); D-0050 Interview Transcript, UGA-OTP-0228-1506-R01 (public redacted version available: UGA-OTP-0228-1506-R02); D-0050 Interview Transcript, UGA-OTP-0228-1528-R01 (public redacted version available: UGA-OTP-0228-1528-R02); D-0050 Interview Transcript, UGA-OTP-0228-2452-R01 (public redacted version available: UGA-OTP-0228-2452-R02); D-0050 Interview Transcript, UGA-OTP-0228-2509-R01 (public redacted version available: UGA-OTP-0228-2509-R02); D-0050 Interview Transcript, UGA-OTP-0228-2545-R01 (public redacted version available: UGA-OTP-0228-2545-R02); D-0050 Interview Transcript, UGA-OTP-0228-2585-R01 (public redacted version available: UGA-OTP-0228-2585-R02); D-0050 Interview Transcript, UGA-OTP-0228-2623-R01 (public redacted version available: UGA-OTP-0228-2623-R02); D-0050 Interview Transcript, UGA-OTP-0228-2660-R01 (public redacted version available: UGA-OTP-0228-2660-R02); D-0050 Interview Transcript, UGA-OTP-0228-2720-R01 (public redacted version available: UGA-OTP-0228-2720-R02); D-0050 Interview Transcript, UGA-OTP-0228-2783-R01 (public redacted version available: UGA-OTP-0228-2783-R02); D-0050 Interview Transcript, UGA-OTP-0229-0230-R01 (public redacted version available: UGA-OTP-0229-0230-R02); D-0050 Interview Transcript, UGA-OTP-0229-0263-R01 (public redacted version available: UGA-OTP-0229-0263-R02). See Decision on the Defence Request under Rule 68(2)(b), paras 21-25, p. 13.

0050's credibility, but it is noted that she did not spend time in Sinia during her time in the LRA.

k. D-0117

435. D-0117 testified live before the Chamber. The She testified about her abduction in 1996 and subsequent experience in the LRA, including as a so-called 'wife', until her escape in 2014. Considering that D-0117 was not held captive in Sinia, her personal experience does not directly fall within the charges. This does not exclude, however, that her testimony – which is often detailed and differentiated – may be corroborative of other evidence with more direct relevance to the charges.

1. D-0118

436. D-0118 testified live before the Chamber, with protective measures. The protective measures about her experience of abduction in 1994, and the subsequent ten years she spent in the LRA, including about her 'distribution' as a so-called 'wife' by Joseph Kony at the age of 13 years, and the contacts she had with Dominic Ongwen in 1997 and again in 2003. There are no issues affecting the credibility of D-0118 and the Chamber accepts her account as truthful. It must, however, be noted that her own personal experience does not as such fall within the charges.

m. D-0119

437. D-0119 testified live before the Chamber with protective measures. The testified about her abduction in 1993, and the subsequent years she spent in the LRA, including as a so-called 'wife' of until her escape in 2004. D-0119 provided a vivid, credible and reliable account of being distributed as a so-called 'wife', her experiences in the LRA and the continued trauma she experienced as a result.

⁷⁴⁸ D-0117: <u>T-215</u>.

⁷⁴⁹ D-0118: <u>T-216</u>.

⁷⁵⁰ D-0119: T-196.

- iv. Residents of Northern Uganda
 - a. Leaders of IDP camps
 - i Omona Lokilamoi (P-0001), Okema John Brown (P-0008) Pajule IDP camp
- 438. Omona Alfonse Lokilamoi's statement was introduced pursuant to Rule 68(2)(b) of the Rules.⁷⁵¹ Omona Alfonse Lokilamoi was the Chairman of the Local Council⁷⁵² of Pajule sub-county and provided testimony about the attack on Pajule IDP camp relevant to the charges, describing the aftermath of the attack as well as the records he kept from the attack, including lists of persons abducted, items pillaged and persons killed. The Chamber finds that the witness clearly explained the source of his information, gave details that supported the credibility of the information provided and identified his personal experiences.
- 439. Okema John Brown's prior recorded statement was introduced pursuant to Rule 68(2)(b) of the Rules.⁷⁵³ Okema John Brown, the civilian camp commandant of the Pajule side of Pajule IDP camp,⁷⁵⁴ testified about the October 2003 attack on the camp and its aftermath. The witness's testimony was clear, detailed and comprehensive. The witness clearly explained the source of his information and differentiated between what he saw himself and events he was informed of by others.
- 440. The witnesses' testimonies are internally consistent and consistent with each other as well as other reliable evidence. 755

⁷⁵¹ P-0001 Statement, UGA-OTP-0138-0002-R01 (public redacted version available: UGA-OTP-0283-0025). *See* Decision on the Prosecution's Request to Add Items to its List of Evidence, to include a Witness on its List of Witnesses and to Submit Two Prior Recorded Testimonies under Rule 68(2)(b) and (c), 22 November 2016, ICC-02/04-01/15-600, paras 20-29, p. 14.

⁷⁵² A governmental administrative structure, commonly referred to by the abbreviation 'LC'.

⁷⁵³ P-0008 Statement, UGA-OTP-0137-0002-R01 (public redacted version available: UGA-OTP-0283-0048). *See* First Decision on Prosecution Applications under Rule 68(2)(b), paras 39-42, p. 107.

⁷⁵⁴ The camp commandant was charged with dealing with, *inter alia*, problems with food and outbreak of disease, and compiled records of those killed, abducted, huts burnt and items looted after an attack on the camp. P-0008 Statement, UGA-OTP-0137-0002-R01, at paras 8-9.

⁷⁵⁵ See the testimonies of Joseph Oywak (P-0009) and Dick Okot (P-0067), whom Okema John Brown names in his testimony as some of the sources of his information as well as the testimonies of P-0007 and P-0047.

- ii Joseph Ywakomoi Oywak (P-0009) Pajule IDP camp
- 441. Joseph Ywakomoi Oywak testified live before the Chamber. 756 Joseph Oywak, also commonly known as Rwot Oywak, is a local chief in Acholi land 757 and testified about the Pajule attack and the LRA's activities in the region during the period of the charges.
- 442. The Defence asserts that Rwot Oywak is not a credible witness and his testimony should be disregarded in its entirety, arguing that Rwot Oywak: (i) fabricated his story about what happened to him after having been taken at the trading centre in the course of the Pajule IDP camp attack, (ii) lied about how he was treated while walking to the RV, (iii) lied about how he was treated at the RV, and (iv) 'drastically changed' his account of the Pajule attack since his first statement was recorded by the Prosecution in July 2005. The Defence also suggests that Rwot Oywak had suspicious interactions with the LRA.
- 443. Indeed, there are some differences between the witness's statements and his in-court testimony, which the Defence explored in detail during its questioning of the witness.⁷⁶⁰ However, the differences do not rise to the level that can be rightfully termed 'drastic' or which render his testimony incredible.⁷⁶¹ In any case, the nuances of the witness's testimony are addressed in the evidentiary discussion below.
- 444. As to the allegations that Rwot Oywak fabricated key parts of his story and lied about his treatment walking to and at the RV, the Chamber discusses this evidence in greater depth in its evidentiary discussion below. For the purposes of this general assessment of Rwot Oywak's credibility, it is sufficient to note that many other witnesses, particularly observing Rwot Oywak at the RV, testified that he did not seem under threat. However,

⁷⁵⁶ P-0009: T-81; T-82; T-83.

⁷⁵⁷ P-0009: <u>T-81</u>, p. 4, lines 10-20. As the witnesses refer to Joseph Oywak as Rwot Oywak, the Chamber will also refer to him with his most common title.

⁷⁵⁸ Defence Closing Brief, paras 309-12

⁷⁵⁹ Defence Closing Brief, para. 311 (

⁷⁶⁰ See P-0009: T-83, p. 3, line 16 – p. 8, line 7.

⁷⁶¹ On the contrary, the Chamber is of the view that the witness's statements to the Prosecution are largely consistent with his in-court testimony, as the witness placed Dominic Ongwen at the attack also in his written statements. *See* P-0009 Statement to the Prosecution (July 2005), UGA-OTP-0151-0167-R01, at paras 41, 45, 53 (however, in his July 2005 statement, the witness stated that he was beaten, but did not mention being beaten by Dominic Ongwen); P-0009 Statement to the Prosecution (September 2015), UGA-OTP-0241-0546-R01, at paras 22-29.

⁷⁶² See paras 1347-1349 below.

⁷⁶³ P-0081: <u>T-118</u>, p. 40, line 14 – p. 41, line 16 (stating that Rwot Oywak and Vincent Otti exchanged greetings and hugged and that Rwot Oywak was smiling with Vincent Otti); D-0077 Statement, UGA-D26-0022-0316, at

the Chamber also recalls P-0006's testimony that in the course of the retreat from the camp after the Pajule attack, she saw Rwot Oywak and he had been given rice to carry, corroborating a key aspect of his story.⁷⁶⁴ Thus, it is clear to the Chamber that he was abducted by the LRA.

- 445. Further, the Chamber also notes that Rwot Oywak's testimony is in large part consistent with other reliable evidence about the course of the attack. The witness was not alone in placing Dominic Ongwen at the attack, nor is his description of the movement of the abductees or the arrival of the helicopter gunship inconsistent with other reliable evidence.⁷⁶⁵
- 446. When questioned by the Defence as to whether he had a conversation with Vincent Otti the night before the attack during which he told Vincent Otti that Pajule would best be attacked around Uhuru time, Rwot Oywak denied the accusation, saying '[t]hat is a blatant lie, that is a concocted is a concocted story'. ⁷⁶⁶ In this regard, the Chamber recalls that P-0081 testified that he heard from a camp resident that Rwot Oywak spoke to Vincent Otti the night before the attack on Pajule IDP camp. ⁷⁶⁷ Given the fact that Rwot Oywak denied the accusation under oath, P-0081 does not have direct knowledge and also taking into account that the fact is not directly relevant to the charges, the Chamber does not consider that the issue undermines the general credibility of the witness.
- 447. The Defence also raised with the witness the discrepancy between his prior statements as to when he first met Dominic Ongwen. In the view of the Chamber, this discrepancy is insignificant and Rwot Oywak has satisfactorily explained that he had such previous contact with the LRA that he was in a position to recognise Dominic Ongwen when he

⁷⁶⁶ P-0009: <u>T-82</u>, p. 79, lines 15-21.

para. 25 (Rwot Oywak did not seem worried or scared like the other abductees); P-0084 Statement, UGA-OTP-0139-0149-R01, at paras 127, 130 (P-0084 was also told by other abductees that Rwot Oywak was not treated like other captives but was able to move freely with the rebel commanders and had meetings with them that the abductees could not hear).

⁷⁶⁴ P-0006 Statement, UGA-OTP-0144-0072-R01, at para. 35.

⁷⁶⁵ See section IV.C.6.iii.

⁷⁶⁷ P-0081: T-118-CONF, p. 42, lines 5-10; P-0081 Statement, UGA-OTP-0070-0029-R01, at paras 44-45.

⁷⁶⁸ See P-0009: $\underline{\text{T-83}}$, p. 3, line 7 – p. 8, line 7 (the Defence raises the witness 2005 statement to the Prosecution in which he stated that he had met Dominic Ongwen at meetings between 2002 and 2003, as well as his 2015 statement to the Prosecution in which he indicates that he met Dominic Ongwen for the first time at the Pajule attack).

saw him at Pajule IDP camp on 10 October 2003.⁷⁶⁹ There is also other independent evidence corroborating Rwot Oywak's testimony that he met Dominic Ongwen before 10 October 2003.⁷⁷⁰

448. Regarding the suggestion that Rwot Oywak was a 'collaborator', worked for the LRA, or had financial dealings with some LRA commanders, including Dominic Ongwen, ⁷⁷¹ the Chamber notes his strenuous denials of the claims, ⁷⁷² as well as the numerous witnesses who testified about Rwot Oywak and his relationship with the LRA. ⁷⁷³ It is clear to the Chamber from Rwot Oywak's and other testimonies that Rwot Oywak communicated with the LRA as an intermediary between the LRA and the government. However, the evidence does not demonstrate that Rwot Oywak was a part of the LRA or worked for the LRA. More importantly, there is no indication in this evidence that the

 $^{^{769}}$ P-0009: $\underline{\text{T-81}}$, p. 18, lines 6-15, p. 20, line 13 – p. 21, line 12, p. 49, line 4 – p. 50, line 23, p. 51, line 21 – p. 52, line 6.

⁷⁷⁰ July 2002 report on Peace Initiative, UGA-OTP-0195-0105, at 0106 (a report on peace initiative activities notes that Dominic Ongwen met Rwot Oywak in August 2002); ISO Logbook (Gulu), UGA-OTP-0068-0002, at 0072 (a 15 October 2002 logbook entry stating that Joseph Oywak gave Dominic a letter). Regarding the July 2002 report on Peace Initiative, UGA-OTP-0195-0105, the Defence argued at the time of its submission that the document has low probative value as it did not have a section outlining its methodology and the authors, although apparently authors of ARLPI, were unknown. The report contains detailed notes on various events related to meetings with the LRA and the conflict in Uganda. The report has probative value, in the context of other evidence the Chamber relies upon in relation to the conflict in Uganda and the meetings of various people with the LRA.

⁷⁷¹ Defence Closing Brief, para. 311; P-0009: T-82, p. 45, line 21 – p. 55, line 15; T-83, p. 8, line 20 – p. 9, line 13.

 $^{^{772}}$ P-0009: $\underline{\text{T-82}}$, p. 45, line 21 – p. 55, line 15 (testifying that he was not a collaborator but rather was delegated by his community to work for peace); $\underline{\text{T-83}}$, p. 8, line 20 – p. 9, line 13 (denying that he had financial dealings with the LRA and particularly that Dominic Ongwen gave him money).

⁷⁷³ D-0134: T-240, p. 54, lines 20-25 (Charles Lokwiya testified that he knew Rwot Oywak personally and Rwot Oywak was not a collaborator); P-0249: T-80, p. 30, lines 8-14, p. 34, line 22 - p. 35, line 22 and p. 44, line 19 p. 45, line 12 (testifying to hearing rumours that Rwot Oywak was collaborating with the LRA but P-0249 did not personally have knowledge of any collaboration); P-0084 Statement, UGA-OTP-0139-0149-R01, at paras 122, 124 (testifying that Rwot Oywak was authorised by the Ugandan government to meet and speak with the rebels. P-0084 testified that he had a satellite phone with which he communicated with the rebels and would at times bring small items to them, such as food. P-0084 stated that there were some allegations that Rwot Oywak was collaborating with the rebels however he was monitored and there were no confirmed reports. According to P-0084, Rwot Oywak was helping rebel defectors come out of the bush and was seen by the government as one of the chiefs helping in peace negotiations); P-0047 Statement, UGA-OTP-0027-0177-R01, at para. 121; P-0047: T-114, p. 34, lines 16-25, p. 35, line 10 – p. 36, line 8 (testifying that many people said that Rwot Oywak was a collaborator and that the LRA took him to get information. Rwot Oywak was accused because he had a satellite phone and people said that he received it from the enemy camp and used it to speak with the rebels. John Lubwama stated that because of the allegations, Rwot Oywak was arrested but was soon released because there was no evidence. The satellite phone was received from the religious committee working on the Acholi peace initiative and was used because of Rwot Oywak's role in the peace initiative. John Lubwama testified that Rwot Oywak was arrested before the attack on Pajule and was detained for about an hour before he was released because there was no evidence that he was a collaborator).

testimony of Rwot Oywak before the Chamber was unreliable on account of his contacts with the LRA.

- 449. Mario Ottober's two prior recorded statements were introduced pursuant to Rule 68(2)(b) of the Rules.⁷⁷⁴ Mario Ottober, the camp leader of Odek IDP camp at the time of the attack, testified about the attack on the camp and its aftermath. Mario Ottober gave comprehensive and detailed testimony that supported his credibility and the reliability of the information provided. He provided evidence that was comprehensive and rich with the type of details that indicated that he spoke from personal experience and stated when he did not know certain information.
- 450. Zakeo Odora's prior recorded statement was introduced pursuant to Rule 68(2)(b) of the Rules.⁷⁷⁵ Zakeo Odora, one of the Odek IDP camp's leaders at the time of the attack relevant to the charges, testified about his experiences in the course of the attack and its aftermath. Zakeo Odora offered comprehensive and detailed testimony that supported his credibility and the reliability of the information he provided. His testimony was rich with the type of details that indicated that he spoke from personal experience.
- 451. The witnesses' testimonies are generally mutually consistent as well as consistent with the testimony of other reliable evidence.⁷⁷⁶

452. Santo Ojera's prior recorded statement was introduced pursuant to Rule 68(2)(b) of the Rules. 777 Santo Ojera offered testimony about his experiences as a Lukodi camp resident and one of the camp's leaders. Santo Ojera gave comprehensive and detailed testimony that supported his credibility and the reliability of the information he offered. He

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⁷⁷⁴ P-0274 First Statement, UGA-OTP-0244-3375-R01 (public redacted version available: UGA-OTP-0283-1307); P-0274 Second Statement, UGA-OTP-0267-0174-R01 (public redacted version available: UGA-OTP-0283-1320). *See* First Decision on Prosecution Applications under Rule 68(2)(b), paras 74-78, p. 109.

⁷⁷⁵ P-0325 Statement, UGA-OTP-0264-0242-R01 (public redacted version available: UGA-OTP-0283-1374). *See* First Decision on Prosecution Applications under Rule 68(2)(b), paras 82-85, p. 110.

⁷⁷⁶ See the testimonies of Hellen Acan and P'Oyoo Lakoch.

⁷⁷⁷ P-0060 Statement, UGA-OTP-0069-0034-R01 (public redacted version available: UGA-OTP-0283-0826). *See* First Decision on Prosecution Applications under Rule 68(2)(b), paras 118-22, p. 109.

provided evidence that was comprehensive and rich with the type of details that indicate that he spoke from personal experience. His testimony is also in line with what would be expected from a person with a position of leadership within the IDP camp structure.⁷⁷⁸ For example, he provided detailed lists related to the civilians killed, injured, or abducted in the course of the attack on Lukodi IDP camp.⁷⁷⁹

- 453. Gipson Okulu testified live before the Chamber. Ripson Okulu, a local councillor (LC)⁷⁸¹ in Lukodi at the time of the attack relevant to the charges, testified about his experiences in the course of the attack. The witness's testimony was detailed and comprehensive. The Chamber is convinced that he spoke of his personal experiences.
- 454. The witnesses' testimonies are internally consistent and consistent with each other as well as other reliable evidence. ⁷⁸²
 - v Cyprian Ogola (P-0284), Cyprian Ayoo (P-0293) and Douglas Obwor (P-0306) – Abok IDP camp
- 455. Cyprian Ogola's prior recorded statement was introduced pursuant to Rule 68(2)(b) of the Rules.⁷⁸³ Cyprian Ayoo testified live before the Chamber.⁷⁸⁴ Douglas Obwor also testified live before the Chamber,⁷⁸⁵ and his prior recorded testimony introduced under Rule 68(3) of the Rules.⁷⁸⁶ Cyprian Ogola, camp leader in Abok IDP camp at the time of the attack on the camp that is relevant to the charges in present case, testified about the attack and its aftermath. The witnesses' testimonies were credible and reliable.
- 456. Regarding the statement provided by Cyprian Ogola, the Chamber finds that the witness clearly explained the source of his information, gave details that supported the credibility of the information provided and clearly identified his personal experiences. Further, the

 781 Gipson Okulu serves as LC1 in the community, a formally elected position charged to ensure good sanitation and the general well-being of the community.

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⁷⁷⁸ See P-0060 Statement, UGA-OTP-0069-0034-R01, at para. 31. See also P-0024: T-78-CONF, p. 13, line 24 – p. 14, line 3 (Santo Ojera was in a leadership position in the camp).

⁷⁷⁹ P-0060 Statement, UGA-OTP-0069-0034-R01, at paras 71-79.

⁷⁸⁰ V-0004: <u>T-173</u>.

⁷⁸² See the testimonies of P-0017, P-0024, P-0036 and P-0187.

⁷⁸³ P-0284 Statement, UGA-OTP-0244-1180-R01 (public redacted version available: UGA-OTP-0283-1355). *See* First Decision on Prosecution Applications under Rule 68(2)(b), paras 138-40, p. 110.

⁷⁸⁴ P-0293: <u>T-138</u>; <u>T-139</u>.

⁷⁸⁵ P-0306: T-130.

⁷⁸⁶ Decision on Prosecution Applications under Rule 68(3), para. 22, p. 19. See also P-0306: <u>T-130</u>, p. 3, line 11 – p. 5, line 17.

Chamber considers that the witness's testimony was both internally consistent and consistent with other evidence.⁷⁸⁷

- 457. Regarding Cyprian Ayoo's testimony, the Chamber considers that the witness provided a clear narrative of events and the circumstances under which they took place even when he was questioned out of chronological order, thus demonstrating that he provided first-hand information based on his personal experience as an eyewitness. Throughout his testimony, the witness clearly distinguished between events he witnessed himself and what he heard from other persons. The witness provided details in a manner that indicates that he spoke from personal experience.
- 458. The Chamber observed that the witness was at times defensive or appeared agitated during Defence questioning.⁷⁸⁸ However, the witness was responsive to the Chamber's explanation of the role of the Defence and the need to cooperate in answering Defence questions and his testimony did not change during examination by the Defence, remaining consistent and coherent.
- 459. Regarding Douglas Obwor's testimony, the Chamber finds that Douglas Obwor was a forthcoming witness. He provided evidence rich in detail and grounded in a personal narrative of what he witnessed. His testimony was structured, coherent and detailed. The witness distinguished between what he witnessed and what he was told by others. The Chamber considers that Douglas Obwor had a rational and sensible manner throughout his testimony and notes that he did not change his testimony when questioned by the Defence.
- 460. The Chamber is attentive to the question of the exact titles of Cyprian Ayoo and Douglas Obwor at the time of the 8 June 2004 attack on Abok IDP camp. 789 However, the Chamber does not place much emphasis on the matter. Given the particularly specific and detailed nature of both witnesses' testimony, and the evidence of other witnesses, the Chamber considers that whatever official position each of these witnesses held at the

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⁷⁸⁷ Particularly, the evidence provided by Cyprian Ayoo is consistent with and corroborative of the evidence provided by the other leaders of Abok IDP camp, Cyprian Ayoo and Douglas Obwor.

⁷⁸⁸ See for example P-0293: <u>T-138</u>, p. 85, lines 8-22.

⁷⁸⁹ The evidence is not entirely clear as to whether P-0293 or P-0306 was the official 'Camp Leader' of Abok IDP camp. P-0306: <u>T-130</u>, p. 34, lines 3-8; P-0306 Statement, UGA-OTP-0261-0277-R01, at paras 17-18; P-0293: <u>T-138</u>, p. 12, line 24 – p. 13, line 3. *See* P-0286: <u>T-131</u>, p. 32, line 24 – p. 33, line 2; P-0293: <u>T-138</u>, p. 79, lines 4-14; P-0304: <u>T-133</u>, p. 50, line 22 – p. 51, line 1. *See also* List of names of Abok camp leaders, UGA-OTP-0247-1270-R01 (undated); P-0280: <u>T-84</u>, p. 21, line 13 – p. 22, line 1.

time of the attack, the witnesses clearly experienced the events first-hand, were in the position to engage with other camp officials and other camp residents in the manner that they described during their testimony and offered accounts consistent with what could be expected from witnesses with leadership roles in the community.

461. As to the issue of whether Cyprian Ayoo was relieved of duty and replaced with Douglas Obwor because of an alleged misappropriation of funds while Cyprian Ayoo served as the official Camp Leader, 790 the Chamber notes Cyprian Ayoo denied being relieved of duty and stated he resigned so that he could work with an aid organisation. 791 Further, the Chamber notes the paucity of the available evidence about this alleged incident, particularly Douglas Obwor's testimony, does not undercut the reasoning underpinning the Chamber's finding that Cyprian Ayoo was in a position to offer the type of information that he provided to the Chamber. Further, the Chamber notes that Cyprian Ayoo's testimony about the 8 June 2004 Abok IDP camp attack is generally consistent with the evidence provided by other witnesses, including Douglas Obwor. The Chamber also does not consider the alleged incident to have a bearing on the witness's credibility as a witness before this court.

b. Residents of Pajule IDP camp

i P-0006

462. P-0006 testified live before the Chamber with protective measures. ⁷⁹² She had also provided a statement to the Prosecution, which was submitted under Rule 68(3) of the Rules. ⁷⁹³ P-0006, a resident of the Lapul side of the Pajule IDP camp at the time of the attack on the camp and then 16 years old, provided testimony about her experience during the attack in 2003 and her subsequent experience in the LRA after her abduction in the course of the attack, including being 'distributed' as a so-called 'wife'. P-0006's testimony was clear, internally consistent and generally consistent with other evidence. The witness distinguished between events she personally experienced and information that she was told by others, was forthcoming with her testimony, regardless of the

⁷⁹⁰ P-0293: <u>T-138</u>, p. 79, lines 4-7, p. 85, lines 8-11. *See also* P-0306: <u>T-130</u>, p. 35, line 7 – p. 36, line 7.

⁷⁹¹ P-0293: T-138, p. 85, lines 16-20.

⁷⁹² P-0006: <u>T-140</u>.

⁷⁹³ P-0006 Statement, UGA-OTP-0144-0072-R01. See Decision on Prosecution Applications under Rule 68(3), para. 14, p. 19. See also P-0006: T-140, p. 6, line 9 – p. 8, line 7.

questioning party. The witness's evidence in this regard was detailed and rich in the kind of the detail that demonstrates that she spoke from personal experience.

463. During their questioning, the Defence raised an alleged inconsistency between her statement to the Prosecution introduced under Rule 68(3) and a statement she had previously given to the Ugandan police. The Chamber is satisfied with the witness's explanation of the discrepancy. The Chamber also notes that the witness's testimony is at times unclear as to specific locations. These issues do not undermine the Chamber's view of the credibility of her testimony. In this context, the Chamber notes that the witness stayed with the LRA for about seven months as such her knowledge of certain details about the LRA's movements is limited. The Chamber is also satisfied with the witness's explanation that her statement made initially to the Prosecution that she had never heard of Dominic Ongwen and certain other commanders was an omission and that she had briefly seen Dominic Ongwen during her captivity. The Chamber is also content to the commanders was an omission and that she had briefly seen Dominic Ongwen during her captivity.

ii Terrence Otika (P-0007)

464. Terrence Kanyum Otika's prior recorded statement was introduced pursuant to Rule 68(2)(b) of the Rules. 799 Terrence Kanyum Otika, a civilian resident of the Lapul part of Pajule IDP camp at the time of the attack relevant to the charges and camp commandant after the attack, provided testimony about the course of the attack as well as its consequences. The Chamber finds that the witness's testimony was detailed and clear; explaining the source of his information and offering details that supported the credibility

⁷⁹⁴ The witness stated to the Prosecution that her so-called 'husband' in the bush had three 'wives', while she informed the police that he had 11 'wives'. *See* P-0006: T-140, p. 64, line 4 – p. 65, line 17.

⁷⁹⁵ The witness explained that her so-called 'husband' had 11 'wives', excluding her and that she told the Prosecution that there were 'three' 'wives' because in the time she was with him in the Sudan, he only had three 'wives' with him and the other 'wives' had been left behind with other commanders. *See* P-0006: $\underline{\text{T-}140}$, p. 64, line 4 – p. 65, line 17.

⁷⁹⁶ For example, the witness's testimony in relation to where she stayed in Sudan with the LRA or whether she saw Dominic Ongwen in Sudan or in Uganda. P-0006: $\underline{\text{T-140}}$, p. 67, line 24 – p. 68, line 17, p. 76, line 19 – p. 77, line 5.

⁷⁹⁷ The witness was abducted during the Pajule IDP camp attack on 10 October 2003 and escaped around 28 April 2004. P-0006 Statement, UGA-OTP-0144-0072-R01, at paras 22-51, 60.

⁷⁹⁸ P-0006: <u>T-140</u>, p. 77, line 11 – p. 81, line 12.

⁷⁹⁹ P-0007 Statement, UGA-OTP-0147-0214-R01 (public redacted version available: UGA-OTP-0283-0037). *See* First Decision on Prosecution Applications under Rule 68(2)(b), paras 34-38, p. 107.

of the information provided. Further, the Chamber considers that the witness's testimony was both internally consistent and consistent with other reliable evidence.⁸⁰⁰

- 465. Benson Ojok's prior recorded statement was introduced pursuant to Rule 68(2)(b) of the Rules. 801 Benson Ojok, a civilian resident of the Pajule side of the Pajule IDP camp at the time of the attack on the camp relevant to the charges, offered testimony about his abduction along with other residents in the course of the attack as well as his time spent in captivity with the LRA. Benson Ojok provided detailed and comprehensive testimony. He explained the source of his information and clearly distinguished between events he witnessed himself and those he was told about.
- 466. The Chamber notes the Defence's contention that Benson Ojok's testimony is only partly corroborative and that his reliability comes into question. Ro2 The Chamber is of the view that the issues raised are minor and do not undermine the credibility of the witness. Benson Ojok was 15 years old at the time of his abduction, and only stayed with the LRA for a short time. Ro4 It is unexceptional that his information about the structure and membership of the LRA may not be as detailed and authoritative as would be expected of a longstanding member of the LRA. The Chamber notes also that Benson Ojok's evidence is internally consistent and also generally consistent with the testimony of other witnesses heard in these proceedings.

467. Dick Okot testified live before the Chamber. ⁸⁰⁵ Dick Okot, a civilian living in the Pajule trading centre at the time of the attack on Pajule IDP camp relevant to the charges,

⁸⁰⁰ See the testimonies of P-0001, P-0006, P-0081.

⁸⁰¹ P-0061 Statement, UGA-OTP-0144-0043-R01 (public redacted version available: UGA-OTP-0283-0840). *See* First Decision on Prosecution Applications under Rule 68(2)(b), paras 55-58, p. 109.

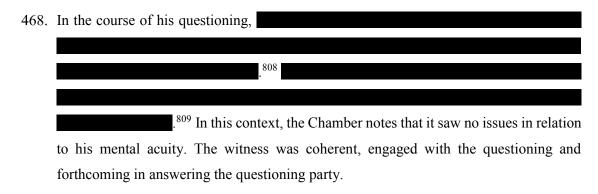
^{802 &}lt;u>Defence Response to Prosecution Application under Rule 68(2)(b)</u>, para. 153, with reference to UGA-OTP-0144-0043-R01, at paras 29, 31, 71 (arguing that the witness spoke about Charles Tabuley being present at Latanya Hill, and that one of the LRA's leaders was Otti Lagony. The Defence notes that Otti Lagony died in the late 1990s). The Chamber notes that the witness never testified that he ever saw Otti Lagony himself.

⁸⁰³ P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 9.

⁸⁰⁴ P-0061 Statement, UGA-OTP-0144-0043-R01, at paras 34-61. The witness does not offer a specific date of his escape but his testimony suggests that he was not in the bush for a long time and the witness indicated that he escaped after an attack in Abia. The Chamber notes that the LRA attacked Abia in February 2004. *See* paras 1164-1165.

⁸⁰⁵ P-0067: T-125; T-126.

testified about the attack on the camp as well as his abduction and time spent in captivity with the LRA for several weeks after the attack. Dick Okot provided detailed, graphic and contextualised evidence. While the Chamber is unable to rely on aspects of Dick Okot's testimony in relation to Vincent Otti's presence at the murder of Pangarasio Onek and Lacung, discussed in detail below, 806 the Chamber has no doubts that Dick Okot testified about his personal experiences in the course of the charged attack on Pajule IDP camp and considers him a credible witness. His narration about his experiences in the course of the attack was clear, detailed, contextualised, and generally consistent with other reliable evidence. The witness testified about events which incriminated the LRA as well as events which incriminated government forces, 807 indicating that his testimony was not biased.



v P-0081

469. P-0081 testified live before the Chamber with protective measures pursuant to Rule 74 of the Rules. 810 P-0081 also provided a statement to the Prosecution, which was submitted under Rule 68(3) of the Rules. 811 P-0081, a resident living in the Lapul side of the Pajule IDP camp at the time of the attack, testified about his abduction and experience in captivity with the LRA until he escaped in April 2004. The witness clearly delineated between his personal experiences and what he heard from others. He frequently spoke in

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⁸⁰⁶ See paras 1315-1323 below, the Chamber's discussion of the murders of Pangarasio Onek and Lacung during the course of the Pajule IDP camp attack.

⁸⁰⁷ See P-0067: T-125-CONF, p. 58, line 3 – p. 65, line 11 (the witness testified that he was tortured by the UPDF and was forced, under said torture, to name innocent persons as LRA collaborators).

⁸⁰⁸ P-0067: T-126-CONF, p. 31, line 23 – p. 33, line 12.

⁸⁰⁹ P-0067: T-126-CONF, p. 32, lines 12-17, p. 33, lines 5-12.

⁸¹⁰ P-0081: T-118.

⁸¹¹ P-0081 Statement, UGA-OTP-0070-0029-R01; <u>Decision on Prosecution Applications under Rule 68(3)</u>, paras 15-17, p. 19. *See also* P-0081: <u>T-118</u>, p. 6, line 2 – p. 8, line 14.

- a narrative manner and provided details that convince the Chamber that he was indeed abducted from Pajule IDP camp and spent time in captivity in the bush with the LRA.
- 470. As explored further below in the evidentiary discussion of the attack on Pajule IDP camp, the Chamber notes that there were some discrepancies between this witness's testimony and the testimony of several other witnesses in relation to the movements of Charles Lokwiya, the injured commander P-0081 testified he carried from Pajule IDP camp. 812 However, the Chamber notes that other aspects of P-0081's testimony are consistent with other reliable evidence heard in these proceedings.

vi P-0249

- 471. P-0249 testified live before the Chamber.⁸¹³ P-0249, a former resident of Pajule IDP camp, testified about his experience in the course of the 10 October 2003 attack on the camp, and in the LRA for a brief period of captivity.
- 472. The Defence asserts that the witness's testimony cannot be relied upon because he was intoxicated at the time of the attack in the morning of 10 October 2003. 814 Indeed, P-0249 testified that he had been celebrating and drinking the night before the attack. 815 In describing his state of mind at the time of the attack, the witness described himself as being 'personally drunk, but the gunshots made me become sober again'. 816
- 473. The Chamber notes that the witness testified that he was drinking until around 21:00 on 9 October 2003 when he went to sleep.⁸¹⁷ He stated that he then woke up to the gunshots of the LRA between 5:00 and 6:00.⁸¹⁸ The Chamber also notes the witness's testimony that at the attack, 'I was very sober. And I, I was sweating a lot and I could not feel tipsy anymore'.⁸¹⁹ It is clear to the Chamber, even noting the witness's stated difficulty telling

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⁸¹² See paras 1315, 1341-1342 below.

⁸¹³ P-0249: <u>T-79</u>; <u>T-80</u>.

⁸¹⁴ Defence Closing Brief, para. 328.

⁸¹⁵ P-0249: <u>T-80</u>, p. 13, line 9 – p. 16, line 7.

⁸¹⁶ P-0249: <u>T-79</u>, p. 36, lines 12-20; <u>T-80</u>, p. 14, line 21 – p. 16, line 7.

⁸¹⁷ P-0249: T-80, p. 14, lines 6-9.

⁸¹⁸ P-0249: T-79, p. 10, lines 10-13; T-80, p. 19, lines 1-3. *See* the Chamber's discussion of the timing of the Pajule IDP attack, at paras 1233, 1248 below, where the Chamber finds that the attack began at dawn, between 5:00 and 6:00.

⁸¹⁹ P-0249: T-80, p. 15, lines 2-4.

precise time, 820 that a significant amount of time had passed from when the witness was inebriated to when the LRA attacked.

- 474. In this context, the Chamber notes that the witness's descriptions of his experiences during the attack were clear and very comprehensive. He recalled details about his movement, LRA activity, his thoughts and reactions at the time and what was happening to others around him. His testimony was replete with the kind of details that indicate that he spoke about his personal experiences. He was clear when he was uncertain about specific information and was not led by suggestive questioning. His testimony was internally consistent and also consistent with other reliable evidence. In the Chamber's view the witness clearly distinguished between his own personal experiences and what he learned from others. The Chamber is convinced that the witness was clearheaded enough to have been fully aware of his experiences in Pajule IDP camp during the attack and to recall them accurately in his testimony before the Court.
- 475. The Chamber also notes that the witness gave his testimony over the course of two days and throughout he was coherent, understood the proceedings, vigilant in following instructions from the Chamber and the questioning parties and testified in a forthright and consistent manner.

vii Richard Otim (D-0076)

476. Richard Otim testified live before the Chamber. 822 Richard Otim, a resident of the Pajule side of Pajule IDP camp at the time of the attack, testified about the October 2003 attack by the LRA and his brief time spent in captivity with the LRA. The witness's testimony was detailed, forthcoming and candid. He offered details contextualised in a manner that convinces the Chamber that he spoke truthfully. Richard Otim delineated between events he personally experienced and matters he heard from others. The Chamber also notes that his testimony was both internally consistent and generally consistent with other reliable evidence.

822 D-0076: T-219.

⁸²⁰ P-0249: <u>T-80</u>, p. 20, lines 17-25.

 $^{^{821}}$ See P-0249: $\underline{\text{T-80}}$, p. 19, line 8 – p. 21, line 8 (the witness's testimony in relation to the difficulty of telling precise time and his estimation of time).

viii David Okwera (D-0077)

A77. David Okwera's statement was introduced pursuant to Rule 68(2)(b) of the Rules. 823

David Okwera, a civilian resident of the Pajule side of Pajule IDP camp at the time of the attack, offered testimony about his abduction in the course of the attack and about the movements of the LRA following the attack. David Okwera provided detailed and comprehensive testimony. The Chamber notes also that David Okwera's evidence is internally consistent. He explained the source of his information and clearly distinguished between events he witnessed himself and those he was told about. The Chamber notes that David Okwera's testimony about the timing of the attack is somewhat confusing and also contrary to the other evidence on record, as such the Chamber does not rely on this aspect of his testimony. 824

ix Santo Oweka (D-0081)

- 478. Santo Oweka testified live before the Chamber. 825 Santo Oweka, a resident of the Pajule side of Pajule IDP camp at the time of the attack relevant to the charges, testified about the October 2003 Pajule IDP camp attack as well as his experiences in the LRA after being abducted in that attack. The witness was forthcoming and straight-forward. He delineated the source of his information, provided context in his description of events, and frequently responded in a narrative manner, all indicia that positively impacted the Chamber's view of the witness's testimony.
- 479. However, one aspect of his testimony offered the Chamber pause: the witness's testimony in relation to the statement he gave to the Ugandan police after returning from captivity about his experiences during the attack on Pajule IDP camp. Despite the fact that the statement is titled '[s]tatement of an abductee', on its face appears to be the account of Santo Oweka, is written in first person narrative and is consistent with Santo Oweka's narrative of his abduction, Santo Oweka testified that the statement is a compilation of the narrative of multiple witnesses. 826 This, according to him, explains

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⁸²³ D-0077 Statement, UGA-D26-0022-0316 (public redacted version available: UGA-D26-0022-0316-R01). *See* Decision on the Defence Request under Rule 68(2)(b), para. 14, p. 13.

⁸²⁴ D-0077 Statement, UGA-D26-0022-0316, at paras 10-12, 14 (the witness testified that the attack began around 2:00 or 3:00 in the morning. The witness also described 'the end of the attack around 12 am'. The witness also testified that the attack lasted for over three to four hours).

⁸²⁵ D-0081: T-220; T-221.

⁸²⁶ See D-0081: <u>T-221</u>, p. 62, line 18 – p. 63, line 1, referring to Handwritten statement, UGA-OTP-0137-0275. At the time of submission of the document by the Prosecution, the Defence argued that it is 'irrelevant except for

why the statement mentions Dominic Ongwen as being present in the gathering after the Pajule IDP camp attack contrary to Santo Oweka's in-court testimony. 827 This aspect of Santo Oweka's testimony is untenable and casts significant doubt on the witness's testimony regarding the presence of Dominic Ongwen in Pajule. This discrepancy does not disqualify the entirety of Santo Oweka's testimony however. The Chamber notes that in large part, his testimony is generally consistent with other reliable evidence.

c. Residents of Odek IDP camp

i P'Oyoo Lakoch (P-0218)

480. P'Oyoo Lakoch testified live before the Chamber. 828 His prior recorded statement was also submitted under Rule 68(3) of the Rules. 829 P'Oyoo Lakoch, a local teacher living in Odek IDP camp at the time of the attack relevant to the charges, testified in relation to his observations about the attack and its aftermath. P'Oyoo Lakoch was a calm, thoughtful and forthcoming witness. He offered testimony that the Chamber considers completely credible and truthful. He offered comprehensive testimony concerning what he witnessed from the perspective of a victim of the attack on Odek, giving details that convince the Chamber that he spoke from personal experience. 830 P'Oyoo Lakoch's testimony is generally consistent with the testimony of other credible witnesses heard by the Chamber. 831

the explicit areas which the witness confirmed correct during his testimony'. <u>Annex VII to the Registry's Sixth Report on the Evidence recognised as formally submitted to the Chamber</u>, 15 November 2019, ICC-02/04-01/15-1671-AnxVII, p. 4-6. The item in its entirety is relevant to the Chamber's understanding of Santo Oweka's testimony.

⁸²⁷ D-0081: <u>T-220</u>, p. 30, line 25 – p. 31, line 15, p. 33, lines 4-7, p. 34, lines 3-6; <u>T-221</u>, p. 53, line 25 – p. 63, line 1. The Chamber is unconvinced by the Defence's arguments that circumstantial evidence supports Santo Oweka's testimony. The Defence notes various statements allegedly taken within a short time frame and argues that this shows that multiple persons were around the officers discussing their stories in groups, consistent with Santo Oweka's testimony. <u>Annex VII to the Registry's Sixth Report on the Evidence recognised as formally submitted to the Chamber</u>, 15 November 2019, ICC-02/04-01/15-1671-AnxVII, p. 4-5. The Chamber, while observing that these other statements are not in the record, notes that the very presence of other individualised accounts of experiences of the attack undermines the contention that Santo Oweka's statement was a group account.

⁸²⁸ P-0218: T-90.

⁸²⁹ P-0218 Statement, UGA-OTP-0238-0720-R01. *See* <u>Decision on Prosecution Applications under Rule 68(3)</u>, para. 20, p. 19. *See also* P-0218: <u>T-90</u>, p. 6, line 12 – p. 8, line 20.

⁸³⁰ See for example P-0218 Statement, UGA-OTP-0238-0720-R01, at paras 20-24 (P'Oyoo Lakoch describes his own experience of hiding himself from LRA fighters shooting and looting in the camp).

⁸³¹ See the testimonies of Mario Ottober and Zakeo Odora.

ii Hellen Adong (P-0268)

481. Hellen Adong's prior recorded statement was introduced pursuant to Rule 68(2)(b) of the Rules. 832 Hellen Adong, a civilian resident of Odek IDP camp at the time of the attack relevant to the charges, testified about her experiences during and in the aftermath of the attack, including her experience as an abductee. Hellen Adong's testimony was comprehensive and rich with details that indicated that she spoke from personal experience. She provided a detailed and contextualised account of the Odek IDP camp attack and her experiences in captivity in the bush. Her accounts were internally consistent and consistent with other evidence.

iii P-0269

482. P-0269 testified live before the Chamber with protective measures, after receiving Rule 74 assurances. 833 P-0269, a civilian resident of Odek IDP camp at the time of the attack relevant to the charges, testified about her two abductions by the LRA, her experience in captivity and about the Odek attack and its aftermath. P-0269 was a forthcoming and candid witness. She provided testimony in a narrative and generally logical manner. The Chamber is convinced that she personally experienced the events she described. Contrary to the Defence's suggestion, 834 the Chamber is of the view that the witness was indeed abducted the first time she was taken by an LRA group headed by Dominic Ongwen. The Chamber finds the witness's accounts of her knowledge of Dominic Ongwen compelling and credible. The witness's testimony is also largely consistent with other reliable evidence heard in these proceedings. The Chamber is satisfied with the witness's explanation that she failed to mention what she knew of Dominic Ongwen during her first interview with the Prosecution because she was afraid.⁸³⁵ The Chamber also does not consider this issue material. The witness subsequently mentioned Dominic Ongwen in her following interview with the Prosecution and provided testimony in these proceedings about her observations of him.

⁸³² P-0268 Statement, UGA-OTP-0248-0013-R01 (public redacted version available: UGA-OTP-0283-1285). *See* First Decision on Prosecution Applications under Rule 68(2)(b), paras 79-81, p. 109.

⁸³³ P-0269: <u>T-85</u>; <u>T-86</u>.

^{834 &}lt;u>T-86</u>, p. 19, line 19 – p. 21, line 15.

⁸³⁵ See P-0269: <u>T-86</u>, p. 7, line 12 – p. 8, line 9.

iv Helen Opoka Acan (P-0270)

483. Helen Opoka Acan's prior recorded statement was introduced pursuant to Rule 68(2)(b) of the Rules. 836 Helen Opoka Acan, a civilian resident of Odek IDP camp at the time of the attack relevant to the charges, testified about the attack on Odek camp and its aftermath. Helen Opoka Acan's testimony was comprehensive. She provided a detailed narrative that left the Chamber convinced that she speaks from personal experience. The Chamber notes that there are some inconsistencies in Helen Opoka Acan's account. Helen Opoka Acan indicated that she saw the bodies of her children David Ojok and James Latigo in the aftermath of the attack. 837 The Chamber notes its finding below in the discussion of the Odek IDP camp attack, that these two men were killed in the bush and their bodies never recovered. There are further discrepancies about her testimony in relation to 838 The Chamber is mindful of Helen Opoka Acan's age as well as the particularly traumatic impact of the Odek IDP camp attack on her life. Helen Opoka Acan endured, among other suffering, the abduction of four of her children, two of whom were killed in the bush. The Chamber also notes that much of what Helen Opoka Acan testified to is consistent with other reliable evidence.

v P-0275

484. P-0275 testified live before the Chamber with protective measures. He also provided a prior recorded statement, which was submitted under Rule 68(3) of the Rules. He also provided 275, a civilian resident of Odek IDP camp at the time of the attack on the camp, testified about his experiences during the attack and the aftermath, including his abduction and time in captivity. The Chamber found that the witness offered graphic and detailed evidence, providing details indicating a personal experience of events.

⁸³⁶ P-0270 Statement, UGA-OTP-0241-0168-R01 (public redacted version available: UGA-OTP-0283-1297). *See* <u>First Decision on Prosecution Applications under Rule 68(2)(b)</u>, paras 71-73, p. 109.

⁸³⁷ P-0270 Statement, UGA-OTP-0241-0168-R01, at para. 40. The Chamber does not find her reference to James Latigo as her son, rather than as her nephew, significant. As aptly explained, Acholi cultural practices allow for a woman to refer to all children born into her husband's family as her children, similarly children born into the same extended family may refer to each other as siblings. See

⁸³⁹ P-0275: T-124.

⁸⁴⁰ P-0275 Statement, UGA-OTP-0244-3398-R01. *See* <u>Decision on Prosecution Applications under Rule 68(3)</u>, para. 21, p. 19. *See also* P-0275: <u>T-124</u>, p. 5, line 7 – p. 8, line 14.

- 485. The Chamber notes the Defence's suggestion that the witness's young age at the time of the attack affects the reliability of his memories. ⁸⁴¹ The Chamber does note some confusion and discrepancies in his testimony. The Chamber notes that when viewing discrepancies between documents such as a GUSCO ⁸⁴² intake form or victim applications and in-court testimony, in general the testimony is more important given the Chamber's lack of knowledge about the circumstances of how other accounts are derived and the witness's oath before the Chamber. However, in relation to the lack of clarity as to when the witness came back from the bush, ⁸⁴³ the Chamber is of the view that the witness spent around a week in the bush and his testimony about the timing of his return is not reliable. However, this does not mean that the witness's testimony in general cannot be trusted. The discrepancy can be explained by the difficulties of telling time/distance while in the bush, as well as the witness's young age at the time of the attack and the traumatic circumstances of the events. As the witness himself explained, 'when you are in the bush sometimes you lose track of time, you do not think of days, you do not think of time. Your only concern is that you are alive'. ⁸⁴⁴
- 486. The Chamber also notes that, given the nature of the armed conflict, the context of abduction and subsequent captivity and the constant movement of the LRA while in the bush, it is understandable that some witnesses struggle to keep track of the time they spent in the bush and to recall it with precision many years after their escape from the LRA. Indeed, it is reasonable that witnesses in captivity in the bush, particular those who were children when they were abducted, have lost their attachment to the very concept of time for this period.

844 P-0275: T-124, p. 50, lines 23-24. See also P-0275: T-124, p. 64, lines 17-22.

⁸⁴¹ Defence Response to the Prosecution's Rule 68(3) Request, 14 November 2016, ICC-02/04-01/15-592-CONF, para. 34. The Chamber notes that the witness was 12 years old or younger at the relevant time. P-0275: <u>T-124</u>, p. 26, lines 4-13.

⁸⁴² Gulu Support the Children Organisation.

⁸⁴³ In his in-court testimony, the witness testified that he returned from the bush in July 2004. P-0275: <u>T-124</u>, p. 74, lines 3-8. However in the GUSCO intake form, the witness is listed as arriving in the GUSCO centre on 9 May 2004 and being signed out of the centre on 8 June 2004. *See* UGA-OTP-0097-0452 at 0455.

487. As to the witness's age, testified that he was 12 at the time of the attack. 845 The Chamber notes however that there exists ample documentation, including the witness's Ugandan national ID card, 846 school and health records, 847 as well as voter information, 848 that support the witness's testimony that he was nine years old at the time of the attack. In light of the documentation and the general credibility of the witness's testimony, the Chamber finds that the witness was indeed nine years old at the time of the Odek IDP camp attack.

d. Residents of Lukodi IDP camp

i P-0024

488. P-0024 testified live before the Chamber with protective measures. R49 P-0024, a former Lukodi camp resident, offered testimony in relation to her experience during the attack on Lukodi IDP camp relevant to the charges, including about her abduction in the course of the attack. She provided testimony that was detailed and consistent throughout the questioning. P-0024's testimony was offered simply and without artifice in a narrative manner. She contextualised her testimony with details that indicated to the Chamber that she spoke of events she personally experienced. The witness was a stoic witness yet her testimony laid bare the depth of the trauma she suffered and continues to suffer as a result of the attack. P-0024 distinguished clearly between events she witnessed herself and those that she heard from others. Her testimony is also consistent with other reliable evidence.

489.	In her questioning, the Defence raised the issue that

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Application for participation as victim, UGA-D26-0012-0266, at 0270 (National ID card listing the witness's date of birth as 1994).

^{847 1999} Ministry of Education and Sports Terminal Report, UGA-OTP-0269-0719 (stating that P-0275 was then five years old and in class 1); 2006 School Report, UGA-OTP-0269-0710 (stating that P-0275 was then 12 years old and the 'P6'); 2007 Ministry of Education and Sports Progressive Report, UGA-OTP-0269-0714 (stating that P-0275 was then 13 years old and in 'P6'); 2012 Examination for the Uganda Certificate of Education, UGA-OTP-0269-0712 (stating that P-0275 was then 18 years old); Child Health Card, UGA-OTP-0269-0711 (listing 1994 as the witness's date of birth).

^{848 2016} Voter Location Slip, UGA-OTP-0269-0720 (listing 1994 as the witness's date of birth). 849 P-0024: T-77; T-78.

. The Chamber finds that none of the issues raised affect its view of the credibility of P-0024's testimony either generally or specifically as concerns

ii Pyerina Ayaa (P-0026)

490. Pyerina Ayaa's prior recorded statement was introduced pursuant to Rule 68(2)(b) of the Rules.⁸⁵¹ Pyerina Ayaa was a civilian resident of Lukodi IDP camp who described her personal experiences of the attack, during which she and one of her daughters were injured and another daughter was killed. It was clear to the Chamber what the witness testified to seeing herself and what she heard from others. The Chamber considers that the witness's testimony is generally consistent with other reliable evidence.⁸⁵²

iii David Komakech (P-0185) and Lilly Apiyo (P-0195)

- 491. David Komakech's prior recorded statement was introduced pursuant to Rule 68(2)(b) of the Rules. 853 David Komakech was a Lukodi camp resident who testified about his escape from the LRA's attempt to shoot him during the May 2004 attack. David Komakech's recollection was specific and detailed, convincing the Chamber that he spoke of events he personally experienced.
- 492. Lilly Apiyo's prior recorded statement was introduced pursuant to Rule 68(2)(b) of the Rules. 854 Lilly Apiyo, a former Lukodi camp resident testified about her abduction in the course of the Lukodi IDP camp attack and about being forced to carry looted goods for the LRA. Lilly Apiyo's testimony was detailed and comprehensive. It was rich with the kind of details that convinces the Chamber of the veracity of her account. The witness

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⁸⁵¹ P-0026 Statement, UGA-OTP-0069-0018-R01 (public redacted version available: UGA-OTP-0283-0093). *See* First Decision on Prosecution Applications under Rule 68(2)(b), paras 102-04, p. 107.

⁸⁵² See for example the testimonies of P-0024 and P-0187.

⁸⁵³ P-0185 Statement, UGA-OTP-0233-1020-R01 (public redacted version available: UGA-OTP-0283-1253). *See* First Decision on Prosecution Applications under Rule 68(2)(b), paras 89-93, p. 109.

⁸⁵⁴ P-0195 Statement, UGA-OTP-0233-1046-R01 (public redacted version available: UGA-OTP-0283-1263). See First Decision on Prosecution Applications under Rule 68(2)(b), paras 94-97, p. 109.

testified with particular detail as to her experience during her abduction, ⁸⁵⁵ recalling specific information that further convinces the Chamber of her credibility.

- 493. David Komakech and Lilly Apiyo are spouses. David Komakech and Lilly Apiyo's accounts are individualised, contextualised and singular. The witnesses testified to their specific perspective during the attack and the Chamber sees nothing in their accounts that suggests collusion or an agreement on a common narrative.
- 494. Further, the Chamber considers that the witnesses' testimonies were consistent with other reliable evidence. 856

iv Joel Opiyo (P-0196)

495. Joel Opiyo's prior recorded statement was introduced pursuant to Rule 68(2)(b) of the Rules. S57 Joel Opiyo, a Lukodi camp resident and about seven years old at the time of the attack, S58 testified about his experiences during the attack. Contrary to the Defence's argument, S59 the Chamber does not find that the witness's age at the time of the attack made his testimony unreliable. On the contrary, the witness's recollection of events was quite good. Further, Joel Opiyo remembered details of his experience with a specificity that convinces the Chamber that he spoke of events he personally experienced and that remained seared in his mind. The Chamber's view of the witness's credibility was enhanced by the fact that he stated when he did not remember particular details. The witness also clearly distinguished between what he knew from his own experiences and what he was told by others. Lastly, the witness's testimony was consistent with other reliable evidence.

⁸⁵⁵ See P-0195 Statement, UGA-OTP-0233-1046-R01, at paras 31-42.

⁸⁵⁶ See the testimonies of P-0024, P-0187.

⁸⁵⁷ P-0196 Statement, UGA-OTP-0233-1061-R01 (public redacted version available: UGA-OTP-0283-1277). See <u>First Decision on Prosecution Applications under Rule 68(2)(b)</u>, paras 98-101, p. 109.

⁸⁵⁸ See P-0196 Statement, UGA-OTP-0233-1061-R01, at 1061.

⁸⁵⁹ Defence Response to Prosecution Application under Rule 68(2)(b), para. 177.

⁸⁶⁰ See P-0196 Statement, UGA-OTP-0233-1061-R01, at para. 24 (the witness was clear that he did not remember exactly what happened to his sister in the course of the attack).

⁸⁶¹ See the testimonies of P-0024, P-0185 and P-0187.

v Vincent Oyet (V-0003)

496. Vincent Oyet testified live before the Chamber. 862 Vincent Oyet, a resident of Lukodi IDP camp at the time of the attack relevant to the charges, testified about what he witnessed in the aftermath of the attack. The witness provided straight-forward evidence. The Chamber considers Vincent Oyet a credible witness. However, his testimony is only indirectly related to the issues relevant to the disposal of the charges.

vi P-0187

- 497. P-0187 testified live before the Chamber with protective measures. 863 P-0187, a camp resident of Lukodi IDP camp at the time of the attack relevant to the charges, testified about the Lukodi IDP camp attack and her abduction by the LRA. The witness was forthcoming and candid about what she experienced in the course of her abduction with the LRA. She also had an excellent recollection of events. P-0187 spoke frequently in a narrative mode and was able to contextualise her accounts with credible details that convince the Chamber of her truthfulness. The witness offered a detailed and comprehensive account of her experience, describing events in a manner and offering information which indicated that she spoke of her personal experiences. Further, the Chamber considers that P-0187's testimony was consistent with other reliable evidence.
- 498. In questioning the witness, the Defence noted an inconsistency between her victim's application form and her testimony. 864 The Chamber accepts the witness's clarification that her victim's application form did not reflect what she stated when the form was filled out for her. 865 Further, the Chamber notes that information provided on a victim application form is unsworn and intended for another purpose. The discrepancy does not undermine the Chamber's view of the witness's credibility.

⁸⁶² V-0003: T-172.

⁸⁶³ P-0187: <u>T-164</u>; <u>T-165</u>.

⁸⁶⁴ See P-0187: <u>T-165</u>, p. 6, lines 1-19 (the witness's victim application form indicated that she was in her hut at the start of the attack, while the witness testified that she was on her way home).

⁸⁶⁵ P-0187: <u>T-165</u>, p. 6, lines 5-11, p. 7, lines 18-23.

e. Residents of Abok IDP camp

i Gwentorina Akite (P-0279)

499. Gwentorina Akite's prior recorded statement was introduced pursuant to Rule 68(2)(b) of the Rules. 866 Gwentorina Akite, a resident of Abok IDP camp at the time of the attack relevant to the charges, testified about her experiences in the course of the attack, including her abduction by the LRA. The witness clearly explained the source of her information and gave details that supported the credibility of the information provided. Gwentorina Akite provided evidence that was comprehensive and rich with the type of details that shows that she spoke about deeply affecting personal experiences. Further, the Chamber considers that the witness's testimony was both internally consistent and consistent with other reliable evidence. 867

ii V-0002

500. V-0002 testified live before the Chamber. 868 V-0002, a former resident of Abok IDP camp at the time of the attack relevant to the charges, testified about his experiences in the course of the attack including his abduction by the LRA. The witness was forthcoming and candid. Further, the Chamber considers that the witness's testimony was both internally consistent and consistent with other reliable evidence. 869

iii P-0280

501. P-0280 testified live before the Chamber with protective measures and received Rule 74 assurances. P-0280, a former resident of Abok IDP camp at the time of the attack relevant to the charges, testified about his experiences in the course of the attack and its aftermath. P-0280's testimony was clear and consistent. He was forthcoming in his responses to questioning by the parties and participants and did not become recalcitrant nor did he change his account when questioned by the Defence. The Chamber considers that the level of detail in P-0280's account is consistent with someone who personally

⁸⁶⁶ P-0279 Statement, UGA-OTP-0258-0478-R01 (public redacted version available: UGA-OTP-0283-1326). *See* First Decision on Prosecution Applications under Rule 68(2)(b), paras 126-28, p. 110.

⁸⁶⁷ Particularly the testimony of P-0304 and P-0286.

⁸⁶⁸ V-0002: <u>T-171</u>.

⁸⁶⁹ See the testimonies of P-0286 and P-0304.

⁸⁷⁰ P-0280: <u>T-83</u>; <u>T-84</u>; <u>T-83</u>, p. 39, lines 21-25.

experienced the events.⁸⁷¹ Importantly, P-0280 also distinguished between events that he personally witnessed as opposed to matters he was informed about, and openly acknowledged when his testimony may have been impacted by an erroneous belief.

- 502. P-0280 testified that he was born in 1986, ⁸⁷² but also stated that he was 15 or 16 years old at the time of attack on Abok IDP camp in 2004 ⁸⁷³ which was disputed by the Defence on the basis of an application form for a sponsorship programme. ⁸⁷⁴ The Chamber attributes little significance to this discrepancy in the witness's testimony and evidence. The witness explained that at the time of the sponsorship programme application he believed his year of birth to be 1990 and he was only later informed by other adults that he was born in 1986. ⁸⁷⁵ Despite the contradiction in his testimony and the fact that his birth certificate was lost during the Abok attack, ⁸⁷⁶ the Chamber sees no reason to doubt the witness's explanation. In any event, this marginal issue does not cast doubt on the truthfulness of the witness's account of the Abok attack.
- 503. The Chamber also notes that there is inconsistency between P-0280's testimony and other evidence about the length of time he spent with the LRA in the bush after having been abducted. While P-0280 testified that he came out of the bush in October 2004, 877 Douglas Obwor testified that P-0280 stayed in the bush for only one month after the 8 June 2004 Abok attack. 878 Additionally, a UPDF report, dated August 2004, lists P-0280 amongst the witnesses to the Abok attack present within Abok IDP camp. 879 When questioned about this discrepancy, P-0280 conceded that he may have 'forgot[ten] one

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⁸⁷¹ For example the witness's account of: the death of his family members in Abok, expressions used within the LRA ('lapwony'), and the 'initiation' rituals performed on newly recruited LRA fighters.

 $^{^{872}}$ P-0280: $\underline{\text{T-84}}$, p. 50, line 25 – p. 51, line 3. This would make him 18 years old at the time of the 2004 Abok attack.

⁸⁷³ P-0280: T-84, p. 9, lines 16-17, p. 51, lines 16-19.

⁸⁷⁴ P-0280: T-84, p. 51, lines 6-8; Sponsoring Children Uganda Application, UGA-OTP-0244-2184.

⁸⁷⁵ P-0280: <u>T-84</u>, p. 51, line 9 – p. 53, line 15. *See also* T-83-CONF, p. 43, line 6.

⁸⁷⁶ P-0280: <u>T-84</u>, p. 52, lines 10-16.

⁸⁷⁷ P-0280: <u>T-84</u>, p. 59, lines 8-10, thus implying that he spent around four months in the bush.

⁸⁷⁸ P-0306 Statement, UGA-OTP-0261-0277-R01, at para. 32.

⁸⁷⁹ Thus, indicating that P-0280 was at home 2-3 months after the attacks. The Chamber notes that there are actually two UPDF Reports containing much of the same information. UPDF Report, atrocities committed by LRA rebels in Northern and Eastern Uganda, UGA-OTP-0037-0153, at 0178 and UPDF Report, some selected cases of atrocities committed by LRA rebels, UGA-OTP-0032-0038-R01, at 0059. Regarding the latter report, the Chamber notes that while pages 0052-91 contain information identical to the first UPDF Report (UGA-OTP-0037-0153), UGA-OTP-0032-0038-R01, at 0038-51 also contains a chart, Tabulation of some atrocities committed by LRA, status of investigations into things. Where the reports are identical, the Chamber will reference UGA-OTP-0037-0153. The Reports were provided to the Prosecutor by P-0038 on 14 December 2004. The Reports, dated August 2004, appear to have been created soon after the attacks discussed within. The Chamber finds that the two reports bear indicia of authenticity.

or two things', may have been 'confused' or 'lost count of time' after returning from the bush. 880 Such forthcoming admissions reflect positively on the witness's credibility and also explain the inaccuracy of his recollection of the time he spent in captivity. The Chamber considers it significant that although the witness is mistaken about how long he spent in the bush with the LRA, the witness did spend some time in captivity, at least one month, and thus personally experienced life in the LRA.

- 504. As noted above, the nature of the armed conflict, the context of abduction and subsequent captivity and the constant movement of the LRA while in the bush, make it understandable that some witnesses struggle to keep track of the time they spent in the bush and to recall it with precision years after their escape from the LRA. It is reasonable that witnesses in captivity in the bush, particularly those who were children when they were abducted, have lost their attachment to the very concept of time.
- 505. The Chamber is attentive to the traumatic nature of the events experienced by this witness. Additionally, P-0280's testimony is consistent with someone who personally experienced the events. Further, considering that the witness's account of events is largely consistent with the testimony of other witnesses with knowledge of those events, the Chamber does not consider the above discussed inconsistencies to undermine the reliability of P-0280's testimony.

506. Dorcas Ayo and Jacob Opio's prior recorded statements were introduced pursuant to Rule 68(2)(b) of the Rules. 881 The witnesses, civilian residents of Abok IDP camp at the time of the attack relevant to the charges, testified about their experience during the attack and in its aftermath. The witnesses' testimonies were comprehensive and rich with details that indicated that they spoke from personal experience. They provided detailed and contextualised accounts of the Abok IDP camp attack and the events they witnessed.

⁸⁸⁰ P-0280: <u>T-84</u>, p. 60, lines 17-22.

⁸⁸¹ P-0281 Statement, UGA-OTP-0261-0257-R01 (public redacted version available: UGA-OTP-0283-1336); First Decision on Prosecution Applications under Rule 68(2)(b), paras 129-33, p. 110; P-0282 Statement, UGA-OTP-0261-0246-R01 (public redacted version available: UGA-OTP-0283-1346); First Decision on Prosecution Applications under Rule 68(2)(b), paras 134-37, p. 110.

Further, the Chamber considers that their testimonies were both internally consistent and generally consistent with other reliable evidence.⁸⁸²

v Robson Oper (P-0286)

- 507. Robson Oper testified live before the Chamber. 883 Robson Oper, a resident of Abok IDP camp at the time of the attack relevant to the charges, testified about his experiences in the attack and in the aftermath. Robson Oper's testimony, provided in a narrative manner, was rich in detail, graphic, and narrated with a depth of emotion. The witness intervened at times to ensure that his account was being properly understood, indicating his attempt at accuracy. Robson Oper was generally forthcoming in answering questions and did not alter his testimony during examination by the Defence. In particular, the Chamber notes that he remained calm during Defence questioning, even when confronted with questions that attacked his credibility. The Chamber is also receptive to the fact that Robson Oper did not implicate Dominic Ongwen beyond what the witness personally experienced.
- 508. The Chamber notes that Robson Oper readily admitted that he lied on his victim's application form for his own benefit. 884 The witness was forthright and prompt with his admission and provided an explanation without hesitance or equivocation. Thus, and given that the information provided on a victim application form is unsworn and intended for another purpose, the Chamber does not consider the witness's untruthfulness on the form to have a broader impact on the credibility of the evidence he provided, under oath, before the Chamber.
- 509. Of greater significance is that Robson Oper testified about attacks he participated in during his time in the bush for example, he testified about participating in several attacks in Opit⁸⁸⁵ in the 5-6 months he alleges to have spent with the LRA.⁸⁸⁶ However,

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⁸⁸² With regard to the testimony of Dorcas Ayo, *see* in particular the testimonies of Charles Amodo and Gwentorina Akite; regarding the testimony of Jacob Opio, *see* in particular the testimonies of P-0280, Robson Oper, Cyprian Ayoo, Charles Amodo and Douglas Obwor.

⁸⁸³ P-0286: <u>T-131</u>; <u>T-132</u>.

⁸⁸⁴ See Application for participation as victim, UGA-D26-0012-0349, at 0351 ('[o]n my return home I found out that my shop was broken by the rebels and all the merchandise were looted and others were burnt. My houses were also burned with all the properties in it, the goats also and other goats were looted') as opposed to the witness's in court testimony P-0286: <u>T-131</u>, p. 70, line 13 – p. 71, line 16 ('mine too was supposed to be compensated...if people are getting compensation I would also benefit because I needed to say that so I could also be part of the people who would get compensations in case that ever happens'). See also p. 71, lines 17-20.

885 P-0286: <u>T-131</u>, p. 39, line 18 – p. 41, line 7.

⁸⁸⁶ P-0286: T-131, p. 48, lines 4-5. The witness recollects that he returned from captivity in November 2004.

the Chamber observes that several witnesses testified that Robson Oper returned to the camp right after the attack on Abok IDP camp. Ser Further, a UPDF report, dated August 2004, titled 'Atrocities committed by LRA rebels in Northern and Eastern Uganda' lists 'Oper, Robert's (Robson Oper) amongst the witnesses to the attack on Abok IDP camp who were all 'within Abok IDP Camp' at the time of the report. The Chamber also observes that when pushed by the Defence about the inconsistency in this aspect of his testimony, Robson Oper's responses were somewhat evasive. Ser Given the evidence with regards to when the witness returned from captivity, the Chamber does not rely on his testimony on his experiences with the LRA beyond the aftermath of the 8 June 2004 Abok IDP camp attack.

vi P-0287

P-0287's prior recorded testimony was introduced pursuant to Rule 68(2)(b) of the Rules. 891 P-0287, a civilian resident of Abok IDP camp, offered evidence about his experience in the course of the attack. The Defence argues that as the witness was only during the attack, it has 'serious doubt as to the witness's ability to recall the event with such specificity...one can easily reason why there is great circumspect as to whether the witness truly remembers the incidents at hand, or if it is a story made of hearsay'. 892 The Chamber agrees with the Defence that in light of the witness's very young age at the time of the attack and the subsequent time that had elapsed since the attack, it is unlikely that the evidence is his first-hand account of events. As there is better, credible and reliable, evidence about the events of Abok IDP camp on the record, the Chamber does not rely on P-0287's testimony.

⁸⁸⁷ P-0284 credibly testified that P-0286 had managed to escape 'that day' and had returned to the camp. P-0284 stated that he had spoken to P-0286 after P-0286's return to the camp and P-0286 had said that he managed to escape because the *mamba* was chasing the rebels and some abductees were able to escape during the confusion. P-0284 Statement, UGA-OTP-0244-1180-R01, at paras 44-46. Similarly, P-0306 credibly testified that P-0286 had been among the abductees rescued by UPDF soldier Engola Okello during the LRA's retreat from Abok. P-0306 Statement, UGA-OTP-0261-0277-R01, at para. 32.

⁸⁸⁸ P-0286 testified that while his given name was Robson Oper, some people called him Robert. P-0286: <u>T-131</u>, p. 66, lines 21-23.

⁸⁸⁹ Thus indicating that he was in Abok 2-3 months after the attack. UPDF Report, atrocities committed by LRA rebels in Northern and Eastern Uganda, UGA-OTP-0037-0153, at 0178.

⁸⁹⁰ P-0286: <u>T-132</u>, p. 41, line 6 – p. 43, line 18, p. 45, lines 12-18.

⁸⁹¹ P-0287 Statement, UGA-OTP-0261-0268-R01 (public redacted version available: UGA-OTP-0283-1367); First Decision on Prosecution Applications under Rule 68(2)(b), paras 142-45, p. 110.

^{892 &}lt;u>Defence Response to Prosecution Application under Rule 68(2)(b)</u>, para. 183.

vii Charles Amodo (P-0304)

- 511. Charles Amodo testified live before the Chamber. 893 Charles Amodo, a resident of Abok IDP camp at the time of the attack relevant to the charges, testified about his experiences in the attack and in its aftermath.
- 512. Importantly, the witness's testimony was consistent throughout the questioning and the witness delineated between when he was testifying to facts within his personal knowledge *e.g.* what he witnessed during the Abok attack and when he was relaying information that he gained from other persons *e.g.* information gained from Oringa James about the commanders responsible for the 8 June 2004 Abok attack. Additionally, when the witness described his personal experiences, he talked about events in a chronological manner and described the circumstances under which they took place.
- 513. Nonetheless, Charles Amodo offered a detailed and coherent account of his own experiences during the 8 June 2004 Abok attack.
- 514. The Chamber notes that, when referencing a group that briefly abducted him in 2002, Charles Amodo referred to 'Bookec' or 'Bojokech' as another name for the LRA. 894 The Defence raised this issue during their questioning of the witness and Charles Amodo explained that he came to know this name because any armed group other than the government soldiers were referred to by children as 'Bojokech'. 895 The Chamber is satisfied with the witness's explanation and considers that Charles Amodo's testimony on 'Bookec'/Bojokech' has no bearing on the identity of the group that abducted the witness during the 8 June 2004 attack on Abok nor does it have any bearing on the credibility and reliability of his account of his experiences during and after the 8 June 2004 attack on Abok IDP camp. Yet, the Chamber is attentive to the fact that there are some discrepancies between the witness's account, at times based on information he was told by others rather than personally observed, and other evidence heard in this case, for example in relation to the movements of the LRA during the attack and the burning of huts.

⁸⁹⁵ P-0304: T-133, p. 39, lines 12-17.

⁸⁹³ P-0304: T-133.

⁸⁹⁴ See P-0304: T-133, p. 5, line 22 – p. 7, line 2.

f. Other civilians

i Rwot Yusef Adek (D-0028)

515. Rwot Yusef Adek, a cultural chief of the Pageya clan, testified live before the Chamber. 896 He offered information on Acholi people's cultural, spiritual and religious practices. In addition, he testified about his knowledge of Joseph Kony and Dominic Ongwen as well as his personal role in the peace talks with the LRA. Rwot Adek's testimony was very general in nature and consisted of his overall views and interpretation rather than recounting personal observation of specific facts. Thus, while not deeming Rwot Adek not credible, in the presence of more direct evidence, the Chamber does not rely on his testimony.

ii Ishaa Otto (D-0083)

516. Ishaa Otto, a member and activist of a Ugandan NGO, testified live before the Chamber.⁸⁹⁷ He offered information about the establishment of IDP camps in Northern Uganda and the living conditions in such camps. Further, his testimony is also relevant regarding the formation of auxiliary forces as self-defence against the LRA, including the Arrow Boys in Teso. The Chamber is satisfied that the witness testified credibly about his experiences.

517. Ojwiya James Okot testified live before the Chamber. 898 He is a conflict resolution expert working on clan and inter-clan disputes. He was abducted by the LRA in 1989 and briefly stayed in the organisation. However, he primarily offered background information as concerns Acholi cultural norms, including clan and inter-clan disputes. As this topic does not have a bearing on the case, the Chamber does not rely on the evidence of Ojwiya James Okot for its conclusions in relation to the charges.

518. Adong Harriet Ojwiya, an *ajwaka*, or spiritual healer, testified live before the Chamber without protective measures. ⁸⁹⁹ The witness testified about her work as a traditional

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⁸⁹⁶ D-0028: T-180; T-181; T-182.

⁸⁹⁷ D-0083: <u>T-217</u>. ⁸⁹⁸ D-0087: T-184.

⁸⁹⁹ D-0111: T-183.

herbalist in Northern Uganda. The Chamber, however, considers her testimony not to be of direct relevance to the charges.

519. Joseph Bua Okol, a local politician and clan chief in Lango, testified live before the Chamber. 900 He testified about his role as a clan chief during the period relevant to the charges, and provided information which contextualised the establishment of IDP camps and the recruitment of auxiliary forces, in particular the Amuka. The Chamber is satisfied that the witness testified truthfully about his experiences and knowledge of the region and its peoples.

520. John Mawa Okello, an Itesot man born in 1957, testified live before the Chamber. 901 He testified about his abduction and short stay with the LRA in Teso in 2003, as well as about the establishment of IDP camps, the living conditions in the camps as well as the Arrow Boys. The Chamber is satisfied that the witness testified credibly about events he personally experienced.

521. Michael Okwir, an Itesot man born in 1959, testified live before the Chamber. 902 He testified about the structure and functioning of the Arrow Boys in Teso and in particular about the relationship of the Arrow Boys with the civilian population at the time of the LRA operations in Teso. He further gave evidence about how the attacks affected him and his family, having lost three brothers during the conflict and taking care of the children of his brothers. His testimony was simple and candid. The Chamber is satisfied that the witness testified credibly about events he personally experienced.

522. Michael Opiro's statement was introduced pursuant to Rule 68(2)(b) of the Rules. 903 The witness, a former aid worker at a Caritas Reception Centre in Pajule, testified about his

⁹⁰¹ D-0123: T-238.

⁹⁰⁰ D-0113: T-221.

⁹⁰² D-0124: T-238.

⁹⁰³ D-0132 Statement, UGA-D26-0025-0021 (public redacted version available: UGA-D26-0025-0021-R01). *See* Decision on Prosecution Request to Introduce Evidence of Defence Witnesses via Rule 68(2)(b), 16 August 2018, ICC-02/04-01/15-1322-Conf (public redacted version available: ICC-02/04-01/15-1322-Red), paras 20-21, p. 12.

work in the IDP camps, including his experiences as a counsellor of returnees from the bush. In addition, he testified about providing assistance for the Juba Peace Talks as a Caritas staff member in 2007 and 2008, about meeting Joseph Kony and witnessing the killing of LRA members. While the Chamber did not identify any issue affecting the credibility of the witness, the Chamber notes that his testimony is not directly relevant to the charges.

ix Julius Ochen (D-0136)

- 523. Julius Ochen testified live before the Chamber. 904 As a politician from Teso and member of the Ugandan parliament he offered a historical account on LRA and LDU activities in Teso at the time of the charges. In particular, he provided information on the formation and functioning of the Arrow Boys.
- 524. In the Chamber's view, the witness's testimony about the LRA's initial behaviour in Teso is contradictory. The witness stated that the LRA members behaved initially in a friendly manner towards the population in Teso, but then went on to say that the local population mobilised and set up the Arrow Boys against the 'rebel group' which was coming in. 905 In addition, the Chamber finds Julius Ochen's account of the treatment of former abductees by the community unconvincing, in particular the statement that '[w]hatever they did when they returned, there was a celebration for their return. '906 The Chamber is of the view that the witness was motivated to give a positive account of the reception of former LRA members, as the witness especially highlighted his role as a chairman in receiving them. 907 In light of the above, considering the limited relevance of the testimony of Julius Ochen and the availability of other more reliable evidence on the pertinent issues, the Chamber does not rely on Julius Ochen.
 - v. Government officers and agents
 - a. UPDF
- 525. The Defence alleges that the Prosecution did not carry out an impartial investigation, partly because 'the choice and management of witnesses was done by Major Patrick

 905 D-0136: <u>T-207</u>, p. 34, line 7 – p. 35, line 4.

⁹⁰⁴ D-0136: <u>T-207</u>.

⁹⁰⁶ D-0136: <u>T-207</u>, p. 54, line 5 – p. 55, line 23.

⁹⁰⁷ D-0136: <u>T-207</u>, p. 56, line 1 – p. 60, line 1.

Ocira (P-0078), a UPDF officer who acted as resource person for the Prosecution'. 908 First, the Chamber notes that the Defence does not make any specific allegation of wrong-doing. Rather, it asserts merely that the involvement of Patrick Ocira in allegedly facilitating the Prosecution's investigation is proof that the Prosecution did not carry out an impartial investigation. Further, the Chamber notes that neither the Defence nor the Prosecution called Patrick Ocira as a witness to these proceedings. Absent specific allegations and proof, the Chamber considers the Defence's assertion unsubstantiated and irrelevant.

i P-0035

526. P-0035's statement was introduced pursuant to Rule 68(2)(b) of the Rules. 909 P-0035 testified about his personal experience as ________ of the military detachment stationed in Lukodi IDP camp, he described the way the attack unfolded and the actions of the Ugandan government soldiers. 910 The Chamber notes that the witness only served _______. 911 This likely explains the lack of specificity of some of his information about Lukodi IDP camp. 912 The Chamber considers that most aspects of the witness's testimony are consistent with other reliable evidence. 913

ii John Lubwama (P-0047)

527. John Lubwama testified live before the Chamber. 914 He also provided a statement to the Prosecution, which was submitted under Rule 68(3) of the Rules. 915 John Lubwama, the UPDF commanding officer stationed at the army barracks of Pajule IDP camp at the time of the attack on the camp relevant to the charges, testified about the camp and his

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⁹⁰⁸ Defence Closing Brief, para. 10. The Defence further states that according to available records, approximately 40 Prosecution witnesses, of which 20 were on the Prosecution List of Witnesses, are attributed to this UPDF officer. The Defence makes further allegations in relation to Prosecution's collection of evidence which is discussed further below. See the Chamber's discussion of other documentary evidence at paras 848-849 below.
909 P-0035 Statement, UGA-OTP-0036-0082-R01 (public redacted version available: UGA-OTP-0283-0102). See First Decision on Prosecution Applications under Rule 68(2)(b), paras 105-08, p. 108.

⁹¹⁰ P-0035 Statement, UGA-OTP-0036-0082-R01, at paras 12, 34-54.

⁹¹¹ P-0035 Statement, UGA-OTP-0036-0082-R01, at para. 10.

⁹¹² For example, P-0035 did not know the number of people living in Lukodi IDP camp and could only offer a rough estimate. P-0035 Statement, UGA-OTP-0036-0082-R01, at para. 19.

⁹¹³ See the testimonies of P-0018, P-0024, P-0060.

⁹¹⁴ P-0047: <u>T-114</u>; <u>T-115</u>.

⁹¹⁵ P-0047 Statement, UGA-OTP-0027-0177-R01. See <u>First Decision on Prosecution Applications under Rule 68(2)(b)</u>, paras 51-54, p. 111. See also P-0047: <u>T-114</u>, p. 26, line 6 – p. 29, line 9.

experience during the attack and in its aftermath. The witness's testimony was clear, detailed and credible. He clearly identified the source of his information, clarified what he experienced and what he heard from others and describes events in a manner and with such detail that the Chamber is convinced he testified truthfully about his personal experiences. The Chamber also notes that his testimony was consistent with the testimony of other credible witnesses.⁹¹⁶

iii P-0084

528. P-0084's prior recorded statement was introduced pursuant to Rule 68(2)(b) of the Rules. 917 P-0084, an officer with the UPDF during the Pajule IDP camp attack, 918 testified about what he witnessed during the attack and his experience as part of a fact-finding team that visited Pajule immediately after the attack had ended on 10 October 2003. P-0084's testimony was detailed, comprehensive and supported by the related report, which was created just days after the October 2003 Pajule IDP camp attack. 919 He clearly stated the sources of his information and distinguished between what he witnessed personally and what he heard from others. The Chamber considers that the witness's testimony was both internally consistent and consistent with other reliable evidence. 920

iv Irumba Omero Tingira (P-0189)

529. Irumba Omero Tingira is a UDPF colonel, who testified live before the Chamber. 921 Irumba Tingira testified about his meetings with different LRA groups in his capacity as UPDF division intelligence officer in September 2006 during the ceasefire with the LRA. He gave in particular a detailed testimony about his meeting with Dominic Ongwen on 4 September 2006. This meeting does not fall within the period of the charges. However,

⁹¹⁶ See the testimonies of P-0008 and P-0084. The Chamber notes in particular that as John Lubwama and P-0084 testified to their personal knowledge and perspective, the Chamber does not find their testimonies inconsistent. Contrary to the Defence's arguments at the time of the submission of documents related to the witness's testimony, the Chamber does not consider that other witnesses' testimonies about the existence of a letter from the LRA or rumours of an impending attack preceding the Pajule IDP camp attack at issue undermine John Lubwama's credibility. See P-0047: T-115, p. 22, line 19 – p. 24, line 5; Annex XI to the Registry's Second Report on the Evidence recognised as formally submitted to the Chamber, 12 October 2017, ICC-02/04-01/15-1178-Anx-XI. ⁹¹⁷ P-0084 Statement, UGA-OTP-0139-0149-R01 (public redacted version available: UGA-OTP-0280-0982). See

First Decision on Prosecution Applications under Rule 68(2)(b), paras 59-63, p. 109.

⁹¹⁸ P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 11.

⁹¹⁹ See P-0084's report on the Pajule attack, UGA-OTP-0069-0416.

⁹²⁰ See the testimonies of Rwot Joseph Oywak, P-0008 and P-0047.

⁹²¹ P-0189: T-95; T-96.

as also explained below, the Chamber considers that it is nevertheless of relevance for the Chamber's conclusions in relation to the charges. 922

v Joseph Balikudembe (P-0359)

530. Joseph Balikudembe testified live before the Chamber. 923 Joseph Balikudembe, a UPDF colonel at the time of the attacks relevant to the charges, testified about his role as a UPDF commander during the relevant time period and about his knowledge of the attacks on Pajule, Odek and Abok IDP camps. Joseph Balikudembe was a calm, knowledgeable, forthright and forthcoming witness. His testimony was clear, logical, internally consistent and consistent with other reliable evidence before the Chamber. The witness clearly explained the source of his information, offering details that supported the credibility of the information provided. Joseph Balikudembe offered evidence that was clearly based on first-hand experience and of a nature that would be expected of a person is his position. For example, the witness's explanation of his role in the UPDF and his work with military intelligence demonstrates to the Chamber that he provided information based on his personal experience. 924

vi Emmanuel Ewicho (D-0122)

531. Emmanuel Ewicho testified live before the Chamber. 925 He testified about joining the UPDF in 1992 and subsequently fighting against the LRA in Teso in 2003. He further offered evidence on Charles Tabuley's death. The Chamber is satisfied that the witness testified credibly about his experiences.

b. LDU/Arrow boys/Amuka

i Bosco Ogwang (D-0065)

532. Bosco Ogwang testified live before the Chamber. 926 The witness testified about his experiences in the local defence unit ('LDU') and provided an account of the attack on Abok IDP camp, which he witnessed as a member of the LDU. His testimony was internally consistent and consistent with other reliable evidence heard in these

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⁹²² P-0189: T-95, p. 8, line 16 – p. 9, line 3.

⁹²³ P-0359: <u>T-109</u>; <u>T-110</u>.

⁹²⁴ P-0359: <u>T-109</u>, p. 54, line 13 – p. 55, line 16.

⁹²⁵ D-0122: T-237.

⁹²⁶ D-0065: T-211.

proceedings. He clearly distinguished between events he witnessed himself and what he heard from others. Importantly, the witness did not incriminate the accused at all cost, ⁹²⁷ indicating a lack of bias and further enhancing the Chamber's view of his credibility.

ii Julius Nyeko (D-0066)

533. Julius Nyeko testified live before the Chamber. ⁹²⁸ Julius Nyeko, an LDU soldier at the time of the attack on Odek IDP camp relevant to the charges, testified about the attack on the camp. The Chamber found Julius Nyeko to have been a forthcoming witness. The witness frequently testified in a narrative format, providing a narration of what he knew of the LRA attack on Odek IDP camp. However, despite his testimony that he fled and hid far away from the camp, ⁹²⁹ the witness at times testifies as though he personally witnessed things he could not have personally seen. ⁹³⁰ The Chamber takes this into account as part of the assessment of evidence provided by the witness.

iii Okello Michael Tookwaro (D-0072)

- 534. Okello Michael Tookwaro testified live before the Chamber. ⁹³¹ Okello Tookwaro testified about his experiences as an LDU soldier stationed in Lukodi IDP camp at the time of the May 2004 attack.
- 535. Several aspects of Okello Michael Tookwaro's testimony bring into question its reliability. The witness testified that the LDU barracks was being dismantled before the attack and that at the time of the attack the UPDF had a barrack on top of the hill. 932 The witness is the only one to offer such testimony. Other witnesses are consistent that there was only one barracks in Lukodi at the time of the attack and that the barracks on the hill was erected after the attack. 933 Further, no other witness apart from Okello Michael

⁹²⁷ D-0065: <u>T-211</u>, p. 22, lines 15-20, p. 24, lines 1-13, p. 29, lines 4-12 (stating that he did not see any commander and did not know which LRA commander commanded the attack on Abok IDP camp and that he never heard Dominic Ongwen mentioned with respect to Abok IDP camp until Dominic Ongwen was arrested).

⁹²⁸ D-0066: T-214.

⁹²⁹ D-0066: <u>T-214</u>, p. 23, lines 4-5, p. 24, lines 11-19.

⁹³⁰ See D-0066: T-214, p. 23, line 25 – p. 24, line 6 (testifying about the behaviour of the LRA forces towards civilians in the camp) or p. 23, lines 8-19, p. 28, lines 11-13, p. 29, lines 14-18 (testifying about the movement and actions of the mobile forces during the attack).

⁹³¹ D-0072: T-212.

 $^{^{932}}$ D-0072: $\overline{\text{T-212}}$, p. 12, line 20 – p. 15, line 18; D-0072's sketch of Lukodi IDP camp, UGA-D26-0021-0226. 933 See P-0145: $\overline{\text{T-144}}$, p. 43, lines 8-24 (at the time of the attack, there was only one government military barracks. The second barracks was created following the May 2004 attack); P-0060 Statement, UGA-OTP-0069-0034-R01,

at para. 17 (the second military barracks on the hills was built after the attack on Lukodi IDP camp). P-0035 made no mention of a separate UPDF force present in the camp. See P-0035 Statement, UGA-OTP-0036-0082-R01.

Tookwaro testified that the UPDF soldiers were on the top of the hill the day of the attack. ⁹³⁴ Such a discrepancy is significant, particularly given Okello Michael Tookwaro's position as a soldier in the LDU.

536. Most importantly, the Chamber is of the view that Okello Michael Tookwaro's testimony regarding about what happened during the Lukodi attack is unreliable. Okello Michael Tookwaro testified that he and other government soldiers present in Lukodi quickly fled in the heat of the initial exchange with the LRA and could not see what was happening within the camp. Soldiers Contrary to other credible and reliable testimony demonstrating that the attack lasted one to two hours, the witness also testified that the attack took quite a long time, almost six hours. The Chamber is unable to rely on his testimony in regard to the conduct of the attack.

- 537. Sam Ojede testified live before the Chamber. 938 Sam Ojede, an LDU soldier, offered an account of the attack on Abok IDP camp. The Chamber finds that this witness's testimony cannot be relied upon.
- 538. Sam Ojede's testimony was at times unclear, inconsistent or incredible. The Chamber finds that several issues completely undermined the credibility and reliability of his evidence.
- 539. First, the witness gave the Chamber the impression that he was not testifying in a natural, spontaneous way. When the witness was asked whether he had ever met Dominic Ongwen or heard about him being within the Lango sub-region, he gave a convoluted and somewhat tangential response about an unnamed commander who had met Dominic

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⁹³⁴ D-0072: <u>T-212</u>, p. 16, lines 15-18. *See also* D-0072: <u>T-212</u>, p. 19, lines 17-19 (indicating that the camp had not received its scheduled monthly distribution yet at the time of the attack).

⁹³⁵ D-0072: <u>T-212</u>, p. 38, line 9 – p. 43, line 19, p. 44, line 21 – p. 45, line 15, p. 47, lines 1-9, p. 47, line 17 – p. 48, line 2. The Chamber notes that the witness testifies about re-enforcements forces, including a *mamba*, coming to repel the LRA. However, the Chamber notes that his testimony about his location in relation to these reinforcement forces is unclear and inconclusive. It also contradicts other reliable evidence that the re-enforcement troops did not engage with the LRA within the camp as they arrived after the LRA had already fled. What is clear is that he was not at the camp after the initial gunfire from the LRA and cannot reliably testify about what happened within the camp.

⁹³⁶ See the Chamber's discussion of the course of the Lukodi IDP camp attack in para. 1796 below.

⁹³⁷ D-0072: <u>T-212</u>, p. 39, lines 13-14.

⁹³⁸ D-0121: T-213.

Ongwen and had reported Dominic Ongwen's specific orders.⁹³⁹ The Chamber finds it incredible that Sam Ojede, who before his memory was refreshed could not remember the name of his commander in the months he was captured by the LRA,⁹⁴⁰ would remember in such detail what he was told about the commands of Dominic Ongwen, whom he testified he never met or saw.⁹⁴¹ This aspect of the witness's testimony appeared overly deliberate, like a line memorised in advance.

- 540. The Chamber is further unconvinced by the witness's explanation of why he did not mention these commands of Dominic Ongwen in his interview with the Defence. 942 It is clear to the Chamber that had the witness truly heard the orders he claimed to have heard from Dominic Ongwen, he would have mentioned them in the course of his interviews with the Defence and/or the Prosecution.
- 541. Second, in his testimony about the 8 June 2004 Abok IDP camp attack, the witness was unclear in relation to the number of soldiers present within the camp during the attack. 943 The Chamber does not find the confusion in the testimony in itself dispositive or particularly significant, given the time that has elapsed. However, given the importance the witness places on the behaviour of the government soldiers, the lack of clarity is important to note.
- 542. Third, the Chamber found the witness's accounts of the Abok IDP camp attack improbable. As regards his testimony that no one was abducted from Abok and nothing was looted, 944 the Chamber notes that this witness's testimony is significantly at odds with the evidence offered by other witnesses in the proceedings, who testified credibly that persons were abducted and property was looted. 945 Further, the Chamber found the witness's explanation of the returned abductees odd. Sam Ojede testified that the morning after the attack, Colonel Engola returned to the camp with injured civilians and children

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⁹³⁹ D-0121: <u>T-213</u>, p. 14, lines 5-21.

⁹⁴⁰ D-0121: <u>T-213</u>, p. 9, lines 8-12, line 23 – p. 10, line 5.

⁹⁴¹ D-0121: <u>T-213</u>, p. 14, lines 5-21.

⁹⁴² See D-0121: <u>T-213</u>, p. 62, line 23 – p. 64, line 7, p. 66, lines 14-25.

 $^{^{943}}$ D-0121 testified that there were about 300 soldiers around the camp and about 30 of the soldiers were taken to the camp to protect the camp. D-0121 placed himself among the soldiers who were taken to defend the camp. D-0121: $\underline{\text{T-213}}$, p. 24, line 21 – p. 25, line 4. But he also testified that there were 270 government soldiers remaining in the camp. $\underline{\text{T-213}}$, p. 28, lines 8-13, p. 65, lines 2-6.

⁹⁴⁴ D-0121: <u>T-213</u>, p. 46, lines 9-20.

⁹⁴⁵ See paras 1901-1910, 1973-2000, the Chamber's evidentiary discussion of looting and abduction committed at Abok IDP camp.

whom the Colonel said were rescued from LRA rebels. 946 Sam Ojede contends that these civilians were not abducted from Abok but were abducted from a different location and rescued from the rebels. 947 The Chamber does not understand why Colonel Engola would have returned abductees who were not from Abok to Abok under the circumstances. 948 The Chamber also notes that in reference to these same abductees, the witness subsequently testified that the people who were abducted from Abok were abducted on a different day, not on the day of the attack. 949 Given the ample evidence showing that the abductees rescued by Captain Engola and the government soldiers had been abducted from Abok the day of the attack, the Chamber finds the witness's account unreliable.

- 543. As regards his testimony that government soldiers were responsible for the crimes committed in Abok, the Chamber notes particularly that Sam Ojede testified that soldiers and 'most of the people' in Abok were aware that it was government soldiers who killed civilians and burnt houses. 950 Were this testimony true, it would be peculiar that numerous camp residents who testified about the Abok IDP camp attack in these proceedings failed to mention such happenings. Further, the Chamber is unconvinced by the witness's explanation of why he did not mention that commanders had told government soldiers to keep quiet about the Abok attack during his earlier interviews with the parties.⁹⁵¹
- 544. Fourth, the witness was inconsistent with regards to the date of his abduction in his testimony as opposed to his statement to the Prosecution. 952 The Chamber generally finds an inconsistency of this nature unexceptional. However, the witness's response to the inconsistency undermined his credibility. Only after lengthy questioning, and after being confronted with his previous interview, did the witness admit that he gave a different date of abduction to the Prosecution. 953 The Chamber is unconvinced by the witness's

⁹⁴⁶ D-0121: T-213, p. 37, line 12 – p. 38, line 3. The Chamber notes that D-0121, in offering his idea of how people came into UPDF custody, stated 'I believe that these people went to the forest and they stayed. They spent the night there, and in the morning he went and fought against them and he brought back these people.' T-213, p. 37, lines 20-22. It is not clear to the Chamber who D-0121 testified fought against the LRA, civilians or the UPDF. ⁹⁴⁷ D-0121: <u>T-213</u>, p. 45, lines 7-13.

⁹⁴⁸ See section IV.C.9, the Chamber's discussion of the course of the Abok IDP camp attack.

⁹⁴⁹ D-0121: <u>T-213</u>, p. 46, lines 1-8. 950 D-0121: <u>T-213</u>, p. 41, line 16 – p. 42, line 3. 951 D-0121: <u>T-213</u>, p. 61, line 24 – p. 62, line 4.

When questioned in court, the witness testified that he was abducted on 20 December 2001. D-0121: T-213, p. 9, lines 13-16. During his interview with the Prosecution before his testimony the witness stated that he had been abducted in 2003. See D-0121: T-213, p. 52, line 15 – p. 53, line 4.

⁹⁵³ D-0121: <u>T-213</u>, p. 52, line 15 – p. 55, line 8.

- explanation of the inconsistency. 954 Further, the witness's obstinacy undermined his credibility in the Chamber's view.
- 545. Fifth, the witness was wrong about the date of the attack on Abok IDP camp. 955 Again, the witness's response when the inconsistency was identified undermined his credibility. He was adamant that the attack occurred on 6 August 2004, 956 the same date advanced by Kenneth Opiyo. Only after repeated questioning did the witness allow he might have been mistaken about the date of the attack on Abok IDP camp. 957
- 546. Lastly, regarding Sam Ojede and Kenneth Opiyo's discussion of the Abok attack, 958 Sam Ojede's testimony regarding this contact further undermines his credibility. He first denied speaking with Kenneth Opiyo and only admitted to it when confronted by evidence that made continued denial untenable. 959 The Chamber finds that both the witness's denial of the discussion with Kenneth Opiyo and the discussion itself undermine the credibility and reliability of the witness's testimony.
- 547. In light of the foregoing, the Chamber found the witness's evidence not credible and not reliable. The Chamber does not rely on his evidence.

548. Charles Opio testified live before the Chamber. 960 He testified about joining the Arrow Boys in 2003 in Teso as a former member of the UPDF. He provided information about the establishment of the Arrow Boys, his encounters with the LRA and about witnessing Charles Tabuley's death. The Chamber is satisfied that Charles Opio testified credibly about his experiences.

549. Justine Edeku Ooja is a former Arrow Boys commander, who testified live before the Chamber. 961 He provided a comprehensive narrative of the LRA operation in Teso between 2003 and 2004, the establishment and functioning of the Arrow Boys and his

⁹⁵⁴ See D-0121: <u>T-213</u>, p. 52, line 15 – p. 55, line 8.

⁹⁵⁵ D-0121: <u>T-213</u>, p. 44, lines 14-18.

⁹⁵⁶ D-0121: <u>T-213</u>, p. 44, lines 14-18, p. 58, lines 9-23.

⁹⁵⁷ D-0121: <u>T-213</u>, p. 67, line 5 – p. 68, line 5.

⁹⁵⁸ See discussion of Kenneth Opiyo's testimony in section IV.B.2.ii.b.xxxv above.

⁹⁵⁹ D-0121: T-213-CONF, p. 49, line 16 – p. 50, line 11.

⁹⁶⁰ D-0125: T-242.

⁹⁶¹ D-0138: <u>T-246</u>.

role as a commander. The Chamber is satisfied that Justine Edeku Ooja testified credibly about his experiences.

vii Richard Ebuju (D-0140)

550. Richard Ebuju, a former member of the Uganda People's Army and commander of the Arrow Boys, testified live before the Chamber. 962 He testified about his experiences in the Uganda People's Army, the attempted alliance between the latter and the LRA and his knowledge of Joseph Kony. Further, the witness provided an account on the functioning of the Arrow Boys in Teso, including detailed information on their command structure. The Chamber is satisfied that the witness testified credibly about his experiences in the Arrow Boys.

c. Elected official

Lapolo Santos Okot (P-0355)

551. Lapolo Santos Okot testified live before the Chamber. 963 He is a Resident District Commissioner in Northern Uganda since 2001. 964 Lapolo Santos Okot testified about the security situation in Kitgum during the period relevant to the charges in the present case and his participation in various peace talks during the period relevant to the charges and in 2006, where the accused was also present. He further testified about the presence of children in the LRA. The Defence questioned Lapolo Santos Okot concerning charges of alleged abuse of office against him, 965 with the apparent aim to impugn his credibility in general and specifically with regard to his testimony on the conditions in the IDP camps. 966 The Chamber notes that the witness's answers on this matter where not always straightforward and forthcoming, 967 which can be explained by the content of the subject. The Defence referenced allegations of improper behaviour during the exercise of the witness's public functions. It is not unusual for a witness to try to avoid talking about events that are potentially embarrassing for him- or herself or might affect his or her reputation. However, the Chamber finds that this does not limit the reliability of Lapolo Santos Okot's testimony as a whole. Taking into account the manner of testimony, as

⁹⁶² D-0140: <u>T-206</u>.

⁹⁶³ P-0355: <u>T-96</u>; <u>T-97</u>.

964 P-0355: <u>T-96</u>, p. 67, line 24 – p. 68, line 1.

⁹⁶⁵ P-0355: T-97, p. 23, line 13 – p. 25, line 21. 966 P-0355: T-97, p. 25, line 23 – p. 26, line 3.

⁹⁶⁷ P-0355: T-97, p. 25, lines 8-21.

well as additional evidence, such as photos, on which the witness commented during his testimony, the Chamber finds the witness believable and credible when Lapolo Santos Okot testified about the various peace talk meetings he attended, as well as seeing the accused and several children in with the LRA on these occasions. Furthermore, there is corroborating evidence concerning the peace talks, which was provided by other witnesses.⁹⁶⁸

d. Other government officers or agents

i P-0017

552. P-0017's prior recorded statement was introduced pursuant to Rule 68(2)(b) of the Rules. P-0017, a police investigator, offered testimony about his experience examining the crime scene of Lukodi IDP camp several days after the May 2004 attack on the camp. The evidence provided by P-0017 was detailed and comprehensive. The witness clearly explained the source of the information provided and his evidence is supported by documentary evidence. P-0017 described what he saw at Lukodi IDP camp when he arrived there the day after the attack, as well as the investigation into the attack, the conclusions reached and the exhumation of 25 bodies. P-0017 elaborated on the methodology and conclusions of the investigations he participated in, providing commentary that indicated a deep knowledge of the events he discussed. In addition, his evidence is internally consistent and also consistent with the testimony of other credible witnesses who testified in these proceedings about the same events.

ii Martin Kalyemenya (P-0036)

553. Martin Kalyemenya's prior recorded statement was introduced pursuant to Rule 68(2)(b) of the Rules. 973 Martin Kalyemenya offered testimony about his experience as a government pathologist 974 examining the persons deceased at Lukodi IDP camp after the May 2004 attack on the camp. The evidence provided by Martin Kalyemenya was

⁹⁶⁸ See P-0009 and P-0372.

⁹⁶⁹ P-0017 Statement, UGA-OTP-0036-0007-R01 (public redacted version available: UGA-OTP-0280-0857). *See* First Decision on Prosecution Applications under Rule 68(2)(b), paras 114-17, p. 107.

⁹⁷⁰ P-0017 Statement, UGA-OTP-0036-0007-R01, at paras 201-16.

⁹⁷¹ P-0017 Statement, UGA-OTP-0036-0007-R01, at paras 204-16.

⁹⁷² See the testimonies of P-0036, P-0024, P-0187.

⁹⁷³ P-0036 Statement, UGA-OTP-0036-0042-R01 (public redacted version available: UGA-OTP-0280-0961). *See* First Decision on Prosecution Applications under Rule 68(2)(b), paras 109-13, p. 108.

⁹⁷⁴ P-0036 Statement, UGA-OTP-0036-0042-R01, at paras 9, 18.

comprehensive and detailed. The witness explained that he arrived in Lukodi the day after the attack, and that he identified graves and carried out post-mortem exhumation and examination on 25 bodies of persons deceased in Lukodi IDP camp. ⁹⁷⁵ The witness explained the source of the information he offers and the documentary evidence provided supports his evidence. ⁹⁷⁶ Martin Kalyemenya also clearly explained the methodology and procedures observed and gave commentary which left the Chamber convinced as to the veracity of his account and the authenticity of the documents he provided. ⁹⁷⁷ In addition, his evidence is internally consistent and also consistent with the testimony of other credible witnesses heard in these proceedings. ⁹⁷⁸

iii Timothy Nabaasa Kanyogonya (P-0038)

554. Timothy Nabaasa Kanyogonya testified live before the Chamber. The testified publicly, without protective measures. Timothy Kanyogonya provided two prior recorded statements which were introduced pursuant to Rule 68(3) of the Rules. The witness testified mainly as to his role as liaison between the Ugandan Ministry of Defence and the UPDF on one side, and the Prosecution on the other, for purposes of providing material collected by Ugandan authorities during the period relevant to the charges to the Prosecution. He described the procedures followed and the nature of the material handed over to the Prosecution. Timothy Kanyogonya's testimony was comprehensive and clear and he offered details that supported the credibility of the information provided.

vi. Intercept witnesses

a. Core intercept witnesses: P-0003, P-0016, P-0059 and P-0440

555. The Chamber understands the Prosecution's core intercept witnesses to be the two veteran LRA signallers (P-0016 and P-0440) and the two primary government interceptors (P-0003 and P-0059). All four set out their training and experience at length

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⁹⁷⁵ P-0036 Statement, UGA-OTP-0036-0042-R01, at paras 15-18, 127-42.

⁹⁷⁶ P-0036 Statement, UGA-OTP-0036-0042-R01, at paras 127-42.

⁹⁷⁷ P-0036 Statement, UGA-OTP-0036-0042-R01, at paras 15-18, 127-42.

⁹⁷⁸ See the testimonies of P-0017, P-0024, P-0187.

⁹⁷⁹ P-0038: <u>T-116</u>; <u>T-117</u>.

⁹⁸⁰ P-0038 First Statement, UGA-OTP-0069-0784-R01; P-0038 Second Statement, UGA-OTP-0244-0912-R01. See P-0038: <u>T-116</u>, p. 5, line 24 – p. 7, line 17. See also <u>First Decision on Prosecution Applications under Rule 68(2)(b)</u>, paras 210-13, p. 111.

in their testimonies.⁹⁸¹ All four had the job of understanding how the LRA communicated, and each is very well placed to recognise LRA voices on the radio.⁹⁸² The Chamber does not accept the fact that some of these witnesses were engaged in clandestine operations to have any impact in their credibility.⁹⁸³

- 556. The Chamber considers each witness to have testified truthfully on their essential testimony. None of them attempted to incriminate Dominic Ongwen at all costs all differentiated between communications when Dominic Ongwen spoke and did not speak, none claimed to know everything spoken in every communication, and all qualified their answers to varying degrees when they were uncertain about particular details. This is true even of P-0003, who was demonstrably more aggressive towards (and less forthcoming with) the Defence than the Prosecution.
- 557. The most important aspect of these witnesses' testimonies is when they discussed specific intercepted communications. The general procedure for a given communication was the following. Prior to testifying, and independently from any other witness: (i) the Prosecution played the recording to each witness and provided a draft transcript it prepared; and (ii) at particular points in the recording identified by the Prosecution, the witness identified the speakers of the lines and made any corrections to the transcript as necessary. 986 Then, during the witness's in-court testimony, the procedure continued: (iii) the Prosecution played the recording to the witness, normally the enhanced audio version; (iv) the witness gave a summary of the recording played without recourse to a transcript;

 $^{^{981}}$ P-0003: T-42-CONF, p. 8, line 15 – p. 11, line 17; P-0016: T-32-CONF, p. 14, line 1 – p. 16, line 18; P-0059: $\overline{\text{T-36}}$, p. 7, line 1 – p. 9, line 9; T-38-CONF, p. 15, line 3 – p. 16, line 11; P-0440: T-39-CONF, p. 66, line 5 – p. 70, line 1; $\overline{\text{T-39}}$, p. 74, line 11 – p. 75, line 1.

⁹⁸² P-0003: <u>T-42</u>, p. 12, lines 2-25, p. 47, lines 2-17, p. 72, lines 1-18; P-0016: <u>T-32</u>, p. 19, line 21 – p. 30, line 20, p. 43, lines 4-6; <u>T-35</u>, p. 8, line 21 – p. 11, line 20, p. 25, lines 1-18; P-0059: <u>T-36</u>, p. 57, lines 1-25; T-38-CONF, p. 16, line 12 – p. 22, line 9; <u>T-38</u>, p. 26, line 12 – p. 27, line 6; P-0440: <u>T-40</u>, p. 7, line 10 – p. 14, line 3. On this basis, the Chamber finds the concerns expressed by the Defence unfounded. *See* <u>Defence Closing Brief</u>, paras 266-298

⁹⁸³ See Defence Closing Brief, para. 291.

 $^{^{984}}$ E.g. P-0003: $\underline{\text{T-42}}$, p. 86, lines 4-25; $\underline{\text{T-43}}$, p. 14, lines 11-17, p. 31, lines 8-16; P-0059: $\underline{\text{T-37}}$, p. 3, lines 5-10, p. 13, lines 12-14, p. 50, lines 16-18; $\underline{\text{T-38}}$, p. 4, lines 14-16; P-0016: $\underline{\text{T-32}}$, p. 52, line 22 – p. 53, line 4, p. 61, line 19 – p. 62, line 10, p. 68, lines 11-16; $\underline{\text{T-33}}$, p. 35, line 21 – p. 36, line 13, p. 45, lines 5-8, p. 55, lines 2-9; P-0440: $\underline{\text{T-40}}$, p. 21, line 21 – p. 22, line 2, p. 34, line 21 – p. 35, line 1. On this basis, the Chamber does not accept the related argument of the Defence that in particular P-0003 and P-0059 were biased against Dominic Ongwen. See Defence Closing Brief, paras 279, 284-87.

⁹⁸⁵ E.g. compare P-0003: <u>T-42</u>, p. 78, line 11 – p. 88, line 17 (identifying voices speaking in recording UGA-OTP-0239-0123 for the Prosecution) with P-0003: <u>T-46</u>, p. 26, line 13 – p. 30, line 2 (refusing to identify the speakers on this same recording during the Defence's examination).

⁹⁸⁶ P-0003: <u>T-42</u>: p. 76, line 13 – p. 78, line 10; P-0016: <u>T-32</u>, p. 36, line 12 – p. 39, line 12; <u>T-34</u>, p. 50, lines 2-17; P-0059: <u>T-36</u>, p. 70, line 15 – p. 73, line 4; <u>T-38</u>, p. 23, line 15 – p. 24, line 7.

- (v) the Prosecution then showed the witness his annotated transcript, discussing certain lines or annotations; and (vi) the witness confirmed in court whether the recording played matched what appeared in his annotated transcript. 987 A similar in-court procedure unfolded on certain occasions when the Defence played recordings to witnesses. 988
- 558. The Chamber considers this procedure to be well-suited to elicit reliable testimony on particular communications. When multiple witnesses commented on a given recording, the extent to which they corroborated each other and the formal logbooks is remarkable. The level of corroboration is such that these witnesses mutually reinforce each other, confirming that all of them are indeed capable of understanding the complex phrasing of LRA communications. This occurred even with those recordings discussed by both government and former LRA witnesses, which is particularly notable. There is no reasonable possibility of the Ugandan government systematically misunderstanding LRA communications when both government and former LRA witnesses understand what is said in the same way. This corroboration further confirms that the formal logbook entries in evidence have a high degree of accuracy.
- 559. This said, the Chamber notes that none of these witnesses gave indisputably clear evidence on all points. The intercepted communications use so much unusual phrasing that they are difficult to understand without additional evidence. In some instances witnesses contradicted themselves or each other about particular lines or speakers. 989 There were other occasions when a witness could identify information in a recording that another witness was unsure about. 990 The Chamber recognises that struggling to identify certain voices could be due to many factors, including the poor quality of certain recordings, the complexity of LRA communication, and the nearly 15 years which elapsed between an intercepted communication and the testimony about it. It also cannot be excluded that witnesses attempted to identify speakers from context in limited instances, such as hearing a call-sign or signaller and then inconsistently deducing who is speaking.

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⁹⁸⁷ See P-0016: <u>T-32</u>, p. 39, line 13 – p. 41, line 25, p. 71, lines 3-12 (explanation of approach); P-0059: <u>T-36</u>, p. 73, line 9 – p. 77, line 2.

 $^{^{988}}$ E.g., P-0138: $\frac{\text{T-121}}{\text{T-121}}$, p. 57, line 13 – p. 67, line 4; $\frac{\text{T-122}}{\text{T-122}}$, p. 5, line 4 – p. 13, line 10; P-0339: $\frac{\text{T-134}}{\text{T-134}}$, p. 47, line 21 – p. 49, line 6; <u>T-135</u>, p. 15, line 4 – p. 25, line 23. ⁹⁸⁹ See e.g. paras 707, 751 and 765 below.

⁹⁹⁰ See e.g. para. 758 below.

- 560. In principle, the Chamber considers that any such issues can be appropriately accounted for by relying only on those parts of the intercepted communications when the witnesses have a consistent understanding on who is speaking and what they are saying. Issues with understanding the recordings have been sufficiently rare that the Chamber is convinced of these witnesses' overall capabilities. Evidence on particular recordings overlapped far more often than they did not, especially on conversation portions of consequence. The divergences themselves speak to the witnesses' credibility – it would be suspicious if every witness had a perfectly mirrored understanding of coded LRA conversations from over a decade ago.
- 561. None of this is it to say that the Chamber believed what the witnesses said at all times on all points. Some of these points concern such inconsequential matters that may simply have been misunderstandings, such as when P-0059 claimed to have drawn a sketch of the Gulu intercept house when he really had only confirmed the accuracy of another's drawing. 991 The Chamber places similarly little weight on P-0016's confusing explanation as to whether or not he received money for witness related expenses. 992
- 562. Not every such issue can be explained as a misunderstanding. The Chamber notes P-0003's refusal to acknowledge that another officer raised a personnel complaint against him, 993 despite there being clear evidence this occurred. 994 The Chamber considers this to be P-0003 evading a potentially embarrassing matter he must have been aware of. 995 But this complaint is unrelated to P-0003's primary testimony on interpreting intercepted LRA radio communications. The Chamber believes this primary testimony, noting in particular that other witnesses corroborate P-0003's assessments of discrete communications in nearly all aspects. The Chamber considers this to be a situation where P-0003's testimony is credible and reliable on matters beyond this particular issue.
- 563. Similar considerations apply to discrepancies as to how much P-0003, P-0059 and other government interceptors discussed communications across agencies. The UPDF and ISO

⁹⁹¹ P-0059: T-38-CONF, p. 32, line 14 – p. 38, line 13; <u>T-39</u>, p. 54, line 20 – p. 58, line 12 (commenting on UGA-OTP-0258-0721-R01). The Chamber notes the Defence submission in this regard, but considers that the Defence overstated the importance of the discrepancy. See Defence Closing Brief, para. 281.

⁹⁹² P-0016: T-34-CONF, p. 25, line 9 – p. 30, line 15.

⁹⁹³ P-0003: T-44-CONF, p. 61, line 4 – p. 78, line 22.

⁹⁹⁴ Letter, UGA-OTP-0242-0219. See also P-0059: <u>T-36</u>, p. 43, lines 10-24; T-38-CONF, p. 39, line 17 – p. 43,

⁹⁹⁵ Consistent with Defence Closing Brief, para. 288.

in Gulu worked in the same building, and were under orders not to discuss their intercept operations with each other. ⁹⁹⁶ P-0003 and P-0059 testified that no such UPDF-ISO contacts occurred. ⁹⁹⁷ Despite this, many witnesses believably said that the UPDF and ISO personnel – including P-0003 and P-0059 – would sometimes help each other to understand the meaning of certain parts of communications. ⁹⁹⁸ The Chamber considers the desire to compare findings to be a natural impulse in these circumstances, noting further that these other witnesses have no reason to lie on this point. This said, the Chamber again considers that this kind of issue does not detract from the fact that both P-0003 and P-0059 demonstrated their independent ability to understand LRA communications during their testimony. ⁹⁹⁹

- 564. The Chamber also considers that other witnesses who testified about intercepted communications gave credible and reliable testimony which reinforced the testimony of the core witnesses. These witnesses include Patrick Lumumba Nyero, P-0138, P-0339 and the various witnesses whose prior recorded testimony were introduced under Rule 68(2)(b) of the Rules.
- 565. Patrick Lumumba Nyero gave a detailed account of the more limited intercept operation executed from the Kamdini Police Station. His police logbook is not prepared as systematically as those of the UPDF and ISO, but the overlap between these entries and those of the other interceptors again confirms the ability of this witness to understand LRA radio communications.

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⁹⁹⁶ P-0027 Second Statement, UGA-OTP-0249-0444-R01, at para. 27; P-0029 First Statement, UGA-OTP-0027-0231-R01, at para. 27; P-0339: <u>T-134</u>, p. 21, line 7 – p. 22, line 2; P-0400 Statement, UGA-OTP-0264-0015-R01, at para. 35; P-0404 Statement, UGA-OTP-0267-0470-R01, at para. 18.

 $^{^{997}}$ P-0003: $\underline{\text{T-42}}$, p. 17, line 24 – p. 18, line 5 ('Q. Did you ever have any interaction with personnel from the Internal Security Organisation? A. No. We were not allowed to. We were prohibited. You know, the intelligence prohibits us from sharing information. If we send the information to the superiors, I suppose that there is a way that they analyse the information, but at the moment – but in the performance of our jobs we are not allowed to share information.'); T-44-CONF, p. 34, lines 1-22; P-0059: $\underline{\text{T-36}}$, p. 41, line 25 – p. 42, line 23.

⁹⁹⁸ P-0029 Second Statement, UGA-OTP-0267-0455, at para. 50; P-0032 First Statement, UGA-OTP-0069-0796-R01, at paras 18, 26; P-0032 Third Statement, UGA-OTP-0246-0003-R01, at para. 40; P-0291 Statement, UGA-OTP-0246-0061-R01, at paras 55-56; P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 23; P-0337 Second Statement, UGA-OTP-0267-0445-R01, at paras 44-46; P-0404 Statement, UGA-OTP-0267-0470-R01, at para. 18. *See also* P-0126 First Statement, UGA-OTP-0253-0764-R01, at paras 51-52 (police in Gulu would sometimes compare interception notes with UPDF/ISO as well).

⁹⁹⁹ See also para. 661 below.

¹⁰⁰⁰ See para. 631 below. See also para. 582 below.

- 566. P-0138 and Francis Ocen (D-0100) are other former LRA soldiers with knowledge about signalling. The Chamber considers both to have a sound basis for knowledge about LRA radio communication, and found their testimony on such matters to be truthful and reliable. This extends to P-0138's testimony discussing certain intercepted recordings at the behest of both parties in court, following a procedure similar to the one used for the core intercept witnesses. 1002
 - ISO interception operation: P-0027, P-0032, P-0291, P-0301, P-0303, P-0384, P-0385 and P-0386
- 567. P-0027's prior recorded statements were introduced pursuant to Rule 68(2)(b) of the Rules. 1003 During the period of the charges, P-0027 worked as an officer for the Ugandan Internal Security Organisation (the 'ISO') and, based in Kampala, was the superior to the ISO interception operation in Gulu. He gave evidence on the ISO operation concerned with intercepting LRA radio communications, which he set up in the 1990s, and also provided ISO intercept materials to the Prosecution. The Chamber notes that P-0027 testified that the tape recorder used by the ISO intercepting staff in Gulu was voice-operated, 1004 while some of the ISO intercepting staff themselves indicated that they manually operated the tape recorder. However, in particular, and bearing in mind that he was based in Kampala and did not listen to and intercept LRA radio communications himself, the Chamber finds that this minor difference in the testimonies does not affect the reliability of P-0027's account. 1006 The Chamber considers that P-0027's testimony was comprehensive and clear, offering details that supported the credibility of the information provided.

 $^{^{1001}}$ P-0138: T-120-CONF, p. 15, line 7 – p. 16, line 21; T-121-CONF, p. 42, line 7 – p. 44, line 12; D-0100: $\underline{\text{T-}234}$, p. 16, line 21 – p. 20, line 14, p. 26, line 21 – p. 31, line 12, p. 45, line 6 – p. 46, line 13.

¹⁰⁰² P-0138: <u>T-120</u>, p. 54, line 8 – p. 68, line 14; <u>T-121</u>, p. 57, line 11 – p. 60, line 25; <u>T-122</u>, p. 5, line 4 – p. 15, line 3.

¹⁰⁰³ P-0027 First Statement, UGA-OTP-0207-0256-R01 (public redacted version available: UGA-OTP-0280-0890); P-0027 Second Statement, UGA-OTP-0249-0444-R01 (public redacted version available: UGA-OTP-0280-0895). *See* First Decision on Prosecution Applications under Rule 68(2)(b), paras 154-58, p. 108.

¹⁰⁰⁴ P-0027 First Statement, UGA-OTP-0207-0256-R01, at para. 10. *See also* Defence Response to Prosecution Application under Rule 68(2)(b), para. 114.

Statement, UGA-OTP-0246-0061-R01, at para. 38; P-0301 Statement, UGA-OTP-0249-0423-R01, at para. 42. In Chamber also notes in this regard that the tape recorder which P-0301 — who stated that he operated the tape recorder manually — identified as being the recorder which he used to tape LRA radio communications (see P-0301 Statement, UGA-OTP-0249-0423-R01, at para. 32(d); Photo, UGA-OTP-0244-3336) bears a print reading 'voice-operated recording', but at the same time clearly has a 'record'-button, in addition to the 'pause', 'play', 'stop', 'fast forward', and 'rewind'-buttons.

- 568. P-0032's prior recorded statements were introduced pursuant to Rule 68(2)(b) of the Rules. 1007 P-0032 supervised the ISO interception staff in Gulu and provided details on this operation, as well as some general information on the LRA from his perspective as intelligence officer. He further made some ISO interception material available to the Prosecution. The Chamber finds P-0032's testimony clear and comprehensive, offering details that supported the credibility of the information provided. The witness's testimony is credible and reliable.
- 569. P-0291's prior recorded statement was introduced pursuant to Rule 68(2)(b) of the Rules. 1008 For a significant part of the period of the charges, P-0291 was involved in the ISO operation in Gulu intercepting LRA radio communications, and he testified about the details of the interception process. He also provided some limited evidence regarding the UPDF direction-finding operation. While it is not entirely clear until when P-0291 stayed in Gulu, 1009 the Chamber finds that overall P-0291's testimony was clear and comprehensive. The witness offered details supporting the information provided.
- 570. P-0301's prior recorded statement was introduced pursuant to Rule 68(2)(b) of the Rules. 1010 He was involved in the ISO interception operation in Gulu mostly before the

¹⁰⁰⁷ P-0032 First Statement, UGA-OTP-0069-0796-R01 (public redacted version available: UGA-OTP-0280-0933); P-0032 Second Statement, UGA-OTP-0150-0030-R01 (public redacted version available: UGA-OTP-0280-0939); P-0032 Third Statement, UGA-OTP-0246-0003-R01 (public redacted version available: UGA-OTP-0280-0945). See First Decision on Prosecution Applications under Rule 68(2)(b), paras 163-67, p. 108. The Chamber clarifies that, as anticipated in its decision allowing introduction of the witness's statements pursuant to Rule 68(2)(b) of the Rules, it has not considered for purposes of the present judgment the discrete part of the second statement in which the witness refers to Dominic Ongwen's role and actions at the time the statement was taken (see First Decision on Prosecution Applications under Rule 68(2)(b), para. 163, n. 291 referring to P-0032 Second Statement, UGA-OTP-0150-0030-R01, at para. 8 (first sentence)).

¹⁰⁰⁸ P-0291 Statement, UGA-OTP-0246-0061-R01 (public redacted version available: UGA-OTP-0280-1038). See First Decision on Prosecution Applications under Rule 68(2)(b), paras 171-74, p. 110.

¹⁰⁰⁹ See also para. 574 below. The Chamber further notes in this context that P-0291 testified that the UPDF did not intercept from the same house as ISO while he was still working in Gulu (P-0291 Statement, UGA-OTP-0246-0061-R01, at para. 55), while other evidence suggests that the staff working on the UPDF interception operation moved to the same facility as ISO either in 2003 (P-0032 Third Statement, UGA-OTP-0246-0003-R01, at paras 21(d), 40; P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 25 (stating that when the UPDF direction-finding team in about 2003 moved into the building from which P-0003 used to intercept, P-0003 with the interception team moved to the intercept building)) or in about 2004-05 (P-0003: T-42, p. 18, line 24 – p. 19, line 2; P-0027 First Statement, UGA-OTP-0207-0256-R01, at para. 7 (indicating that at the time of the statement, February 2005, the ISO and UPDF interception operations were housed in the same building); P-0386 Statement, UGA-OTP-0260-0508-R01, at para. 20).

¹⁰¹⁰ P-0301 Statement, UGA-OTP-0249-0423-R01 (public redacted version available: UGA-OTP-0280-1051). See <u>First Decision on Prosecution Applications under Rule 68(2)(b)</u>, paras 175-77, p. 110. The Chamber clarifies that, as anticipated in its decision allowing introduction of the witness's statement pursuant to Rule 68(2)(b) of the Rules, it has not considered for purposes of the present judgment the discrete part of the statement in which the witness refers to Dominic Ongwen's alleged responsibility for the attacks on Lukodi and Odek IDP camps (see <u>First Decision on Prosecution Applications under Rule 68(2)(b)</u>, paras 175-76).

period relevant to the charges, as he left Gulu in August 2002. P-0301 provided testimony concerning the ISO interception procedure and also testified about his investigation of the attacks on Lukodi and Odek IDP camps. The Chamber finds the witness's testimony detailed, clear and supported by the related documents.

- 571. P-0303's prior recorded statement was introduced pursuant to Rule 68(2)(b) of the Rules. ¹⁰¹¹ P-0303 supervised the ISO staff in Gulu intercepting LRA radio communications between 2000 and 2003, after which he transferred to Kampala where he received the information faxed from Gulu. He did not personally listen to LRA radio communications. P-0303 also provided some intercept material to the Prosecution. The Chamber finds that P-0303's testimony was clear and comprehensive, offering details that supported the credibility of the information provided.
- 572. P-0384's prior recorded statement was introduced pursuant to Rule 68(2)(b) of the Rules. P-0384 was an ISO radio operator who was, during the period relevant to the charges, briefly involved in the interception operation in Gulu and then in Kampala, although not directly participating in the actual interception of radio communications. P-0384 was also involved in direction-finding activities, but did not provide specific information in this regard. The Chamber notes that P-0384 indicated that P-0301 joined the interception team in Gulu after 1997, which does not accord with the evidence provided by either P-0301 himself or his superiors. However, aside from this minor detail, the Chamber finds that P-0384's testimony was clear and internally consistent.
- 573. P-0385's prior recorded statement was introduced pursuant to Rule 68(2)(b) of the Rules. 1016 Throughout the period of the charges, P-0385 was stationed in Kampala and

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¹⁰¹¹ P-0303 Statement, UGA-OTP-0258-0723-R01 (public redacted version available: UGA-OTP-0280-1065). *See First Decision on Prosecution Applications under Rule* 68(2)(b), paras 178-81, p. 110.

P-0384 Statement, UGA-OTP-0260-0491-R01 (public redacted version available: UGA-OTP-0280-1105). See First Decision on Prosecution Applications under Rule 68(2)(b), paras 190-92, p. 110.

¹⁰¹³ P-0384 Statement, UGA-OTP-0260-0491-R01, at paras 17, 19.

¹⁰¹⁴ P-0301 Statement, UGA-OTP-0249-0423-R01, at para. 19 (testifying that he transferred from Kampala, where he had been since 1995, to Gulu in 1996).

¹⁰¹⁵ P-0027 Second Statement, UGA-OTP-0249-0444-R01, at para. 18(b) (testifying that he recruited P-0301 in about 1995); P-0032 Third Statement, UGA-OTP-0246-0003-R01, at para. 23(e) (testifying that P-0301 started intercepting in about 1994 or 1995).

¹⁰¹⁶ P-0385 Statement, UGA-OTP-0260-0498-R01 (public redacted version available: UGA-OTP-0280-1111). *See First Decision on Prosecution Applications under Rule* 68(2)(b), paras 193-95, p. 110.

tasked with receiving and recording the information sent by the ISO interception team based in Gulu. The witness's testimony was detailed and clear and offered details that supported the credibility of the information provided.

P-0386's prior recorded statement was introduced pursuant to Rule 68(2)(b) of the Rules. 1017 P-0386 worked with the ISO interception team in Gulu and described his involvement in and the details of the operation intercepting LRA radio communications. The Chamber notes that P-0386 stated that he went to Gulu in order to replace P-0291 who transferred to Kampala, but that it appears from the witnesses' evidence that the time during which they worked in Gulu may have overlapped. 1018 Having examined entries in ISO logbooks regarding which P-0386 identified his own handwriting, the Chamber concludes that it is indeed likely that the stay in Gulu of P-0386 and P-0291, respectively, may indeed have partially overlapped. 1019 In any event, this does not otherwise affect the substance of P-0386's testimony or his general credibility.

Furthermore,	the	Chamber	takes	note	of							
			1020									
			. 102	The	Chamber	finds	that	this	does	not	affect	the

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¹⁰¹⁷ P-0386 Statement, UGA-OTP-0260-0508-R01 (public redacted version available: UGA-OTP-0280-1119). *See First Decision on Prosecution Applications under Rule* 68(2)(b), paras 196-99, p. 110.

¹⁰¹⁸ Compare P-0386 Statement, UGA-OTP-0260-0508-R01, at para. 17 (stating that he transferred to Gulu in about September 2003 to replace P-0291 who went to Kampala, and that he is certain about the date because he recalls being in Gulu when hearing about the death of Tabuley) with P-0291 Statement, UGA-OTP-0246-0061-R01, at para. 19 (stating that he worked at Gulu station for about one year after Tabuley's death). See also P-0032 Third Statement, UGA-OTP-0246-0003-R01, at para. 23(c) (stating that P-0386 joined the operation in Gulu in about 2002).

¹⁰¹⁹ See, for example, ISO Logbook (Gulu), UGA-OTP-0066-0002-R01, at 0024 (entry of 5 November 2003); ISO Logbook (Gulu), UGA-OTP-0061-0002, at 0007 (entry of 19 January 2004); ISO Logbook (Gulu), UGA-OTP-0061-0206, at 0237 (entry of 19 April 2004); ISO Logbook (Gulu), UGA-OTP-0062-0002, at 0020 (entry of 7 June 2004); ISO Logbook (Gulu), UGA-OTP-0062-0145, at 0149 (entry of 30 July 2004); ISO Logbook (Gulu), UGA-OTP-0152-0002, at 0005-06, 0012-13 (entries of 24, 27 October 2004). At the same time, P-0386 reviewed ISO Logbook (Gulu), UGA-OTP-0232-0234 and indicated that it does not include his handwriting (see P-0386 Statement, UGA-OTP-0260-0508-R01, at para. 29(k)). This logbook covers the period from 2 August to 26 October 2003. The Chamber further notes in this context that P-0291 was not shown any logbook entries on which to identify his handwriting, but does not attach further consequence to this, in particular bearing in mind that both P-0291 himself and P-0032 testified that he stopped making entries in the logbooks when the UPDF 4th Division commander complained about his poor handwriting; P-0032 instead transferred P-0291's intercepts to the logbook (see P-0032 Third Statement, UGA-OTP-0246-0003-R01, at para. 31; P-0291 Statement, UGA-OTP-0246-0061-R01, at para. 46).

¹⁰²⁰ P-0386 Statement, UGA-OTP-0260-0508-R01, at paras 35-36.

witness's general credibility. Overall, and in any event as concerns the subject matter of his testimony – namely the details of the ISO interception operation – the Chamber finds that the witness's testimony was clear, comprehensive, and offered details that supported the credibility of the information provided.

- 575. The Chamber considers that the witnesses' testimonies were clear and internally consistent and also were generally mutually consistent as well as consistent with other reliable evidence. 1022
 - UPDF interception operation: P-0029, P-0337, P-0339, P-0400 and P-0404
- 576. P-0029's prior recorded statements were introduced pursuant to Rule 68(2)(b) of the Rules. P-0029 was part of the UPDF technical intelligence department and oversaw the UPDF interception of LRA radio communications, which he described in detail. He also gave evidence on the UPDF direction-finding operation. The Chamber finds that P-0029's testimony was clear and comprehensive, offering details that supported the credibility of the information provided.
- 577. P-0337's prior recorded statements were introduced pursuant to Rule 68(2)(b) of the Rules. 1024 P-0337 headed the UPDF direction-finding team in Gulu until it was disbanded in June 2005 and provided details on this process. As of 2003, P-0337 also took control of the static interception team in Gulu, as well as administrative control over all UPDF interception operations in Northern Uganda. The Chamber notes that certain smaller aspects of P-0337's testimony are somewhat unclear, specifically when P-0337 started

¹⁰²² See the testimony of P-0059.

¹⁰²³ P-0029 First Statement, UGA-OTP-0027-0231-R01 (public redacted version available: UGA-OTP-0280-0907); P-0029 Second Statement, UGA-OTP-0267-0455 (public redacted version available: UGA-OTP-0280-0919). *See* First Decision on Prosecution Applications under Rule 68(2)(b), paras 159-62, p. 108.

¹⁰²⁴ P-0337 First Statement, UGA-OTP-0256-0201-R01 (public redacted version available: UGA-OTP-0280-1073); P-0337 Second Statement, UGA-OTP-0267-0445-R01 (public redacted version available: UGA-OTP-0280-1085). *See* First Decision on Prosecution Applications under Rule 68(2)(b), paras 182-85, p. 110.

working in Gulu¹⁰²⁵ and which role he recalled P-0400 to have,¹⁰²⁶ but considers that these points do not affect the reliability of the witness's evidence as they are easily explained by divergences in the personal memories of different witnesses, in particular bearing in mind the passage of time. In any event, this does not impact on the substance of P-0337's testimony, which was detailed and comprehensive. He offered details to be expected from a witness in his position, supporting the credibility of the information provided.

578. P-0339 testified live before the Chamber with protective measures. 1027 P-0339, as member of the UPDF, worked on intercepting LRA radio communications as of 1996 in Gulu, Achol Pii, Soroti and Lira. He described the process of listening to, recording of and reporting on LRA radio communications, and identified his handwriting in some logbook entries. The Chamber notes some discrepancies pointed out between the witness's prior statement and his testimony in court, specifically as concerns his relation with P-0003, ¹⁰²⁸ as well as the identification of his handwriting in a UPDF logbook. ¹⁰²⁹ In relation to the first matter, the Chamber considers that even if there may have been personal animosities at times, there is no indication that this would have impacted either witness's interception activities. With regard to the second point, the Chamber refers to its discussion in the general intercepts section. 1030 The Chamber finds that, bearing in mind the testimony of the witness in court, these differences do not affect the general reliability of the information provided by the witness or his overall credibility. While the witness may, at times during his testimony, have been slightly less responsive to some questions than others, he clearly did answer questions of both parties to the best of his abilities and knowledge. In general, especially as regards the substance of his testimony

¹⁰²⁵ See P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 16 (testifying that he came to Gulu at some point after August 2001); P-0029 Second Statement, UGA-OTP-0267-0455, at para. 55 (stating that the direction-finding operation was set up in about December 1999); P-0339: <u>T-134</u>, p. 20, lines 18-20 (indicating that P-0337 came to Gulu in 2000).

¹⁰²⁶ Compare P-0337 Second Statement, UGA-OTP-0267-0445-R01, at para. 36(f) (testifying that P-0400 came to Gulu to support P-0003 after P-0339 moved to Achol Pii, and that before coming to Gulu, P-0400 did interception work at the brigade level) with P-0400 Statement, UGA-OTP-0264-0015-R01, at paras 27-29, 32-34 (testifying that while he also worked for several months in Gulu, he was part of a mobile interception team which intercepted in Kitgum, Achol Pii and Lira).

¹⁰²⁷ P-0339: <u>T-134</u>; <u>T-135</u>.

¹⁰²⁸ See P-0339: T-135-CONF, p. 5, line 21 – p. 6, line 3, p. 8, line 2 – p. 9, line 18.

¹⁰²⁹ See P-0339: <u>T-135</u>, p. 13, line 11 – p. 14, line 6.

¹⁰³⁰ See para. 659 (iv), n. 1209 below.

- on the UPDF interception efforts, P-0339's testimony was clear and comprehensive, offering details that supported the credibility of the information provided.
- 579. P-0400's prior recorded statement was introduced pursuant to Rule 68(2)(b) of the Rules. P-0400 was part of a mobile UPDF interception team which intercepted LRA radio communications from Kitgum, Achol Pii and Lira. He briefly also assisted the UPDF interception in Gulu and intercepted LRA communications while being deployed to southern Sudan for several months in 2004-05. The Chamber finds that the witness's testimony was clear, detailed and comprehensive.
- 580. P-0404's prior recorded statement was introduced pursuant to Rule 68(2)(b) of the Rules. 1032 P-0404 was mainly involved in the UPDF direction-finding activities, but also worked for some time on the UPDF interception team in Gulu. The witness's testimony was detailed and clear, with details which supported the credibility of the information provided.
- 581. The Chamber considers that the witnesses' testimonies were clear and internally consistent and also were generally mutually consistent as well as consistent with other reliable evidence. 1033
 - d. Police interception operation: Patrick Lumumba Nyero (P-0125), P-0126 and P-0370
- 582. Patrick Lumumba Nyero testified live before the Chamber. ¹⁰³⁴ Between July 2003 and 2010, Patrick Nyero was stationed at Kamdini police station, where he worked, between September 2003 and some point in 2007, on intercepting LRA radio communications. He described listening to LRA radio communications, identified handwritten notes prepared by him in the process, and reporting the communications to his superiors. Patrick Nyero was a calm, knowledgeable and direct witness. His testimony was to the point, logical and internally consistent. The Chamber notes a small discrepancy between the evidence provided by Patrick Lumumba Nyero and P-0370 as concerns how the

¹⁰³¹ P-0400 Statement, UGA-OTP-0264-0015-R01 (public redacted version available: UGA-OTP-0280-1129). See First Decision on Prosecution Applications under Rule 68(2)(b), paras 200-02, p. 110.

P-0404 Statement, UGA-OTP-0267-0470-R01 (public redacted version available: UGA-OTP-0280-1139). See First Decision on Prosecution Applications under Rule 68(2)(b), paras 203-05, p. 110.

¹⁰³³ See the testimony of P-0003.

¹⁰³⁴ P-0125: T-135; T-136.

police interception operation in Kamdini initially started.¹⁰³⁵ However, considering that the remainder of their testimonies are consistent with regard to the details of the police interception operation in Kamdini, the Chamber attaches no further importance to this discrepancy and considers this not to affect the reliability of either witness's testimony.

- P-0126's prior recorded statements were introduced pursuant to Rule 68(2)(b) of the Rules. P-0126 During the period of the charges, P-0126 was a member of the Ugandan police, special branch, and provided evidence on the police interception of LRA radio communications mainly in Kamdini, which he supervised, as well as on general intelligence gathering activities of the Ugandan police. Also, P-0126 provided material from the police interception operation to the Prosecution. The Chamber notes a difference in the way in which P-0126 described P-0370's role in Kamdini and P-0370's own description thereof. However, in light of the evidence provided by Patrick Nyero, who worked with P-0370, and considering that it is likely, on the basis of the witnesses' testimonies, that P-0126 may not have been fully aware of P-0370's involvement on the ground, the Chamber relies on P-0370's own description of his role and does otherwise not consider this to affect P-0126's overall credibility. This is further based on the fact that the general substance of P-0126's testimony was clear and comprehensive, offering details that supported the credibility of the information provided.
- 584. P-0370's prior recorded statement was introduced pursuant to Rule 68(2)(b) of the Rules. 1039 P-0370, a police officer, worked between 2002 and 2006 in Kamdini on

with P-0370 Statement, UGA-OTP-0258-0687-

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¹⁰³⁵ Compare P-0125: T-135, p. 66, lines 2-13, p. 80, lines 14-19; T-136, p. 6, lines 13-15, p. 7, lines 14-19 (testifying that he learned about the LRA frequency in use upon his arrival to Kamdini from P-0370 and that someone else at Kamdini had been listening to LRA communications before his arrival, without, however, taking any notes) with P-0370 Statement, UGA-OTP-0258-0687-R01, at para. 26 (testifying that he and Patrick Nyero discovered together, by chance, that they could intercept LRA radio communications). The Chamber also notes in this regard that P-0126 stated that he first heard about the possibility to intercept LRA radio communications from Patrick Nyero, but that he did not know how Patrick Nyero had discovered this (see P-0126 First Statement, UGA-OTP-0253-0764-R01, at para. 39).

¹⁰³⁶ P-0126 First Statement, UGA-OTP-0253-0764-R01 (public redacted version available: UGA-OTP-0280-1011); P-0126 Second Statement, UGA-OTP-0264-0002-R01 (public redacted version available: UGA-OTP-0280-1026). *See* First Decision on Prosecution Applications under Rule 68(2)(b), paras 168-70, p. 109.

¹⁰³⁷ Compare P-0126 First Statement, UGA-OTP-0253-0764-R01, at para.

R01, at paras 22-45 (testifying about working on radio monitoring with Patrick Nyero between 2002 or 2003 and 2006).

¹⁰³⁸ See P-0125: <u>T-135</u>, p. 55, lines 18-22, p. 65, lines 21 – p. 66, line 13; <u>T-136</u>, p. 10, line 21 – p. 11, line 8. ¹⁰³⁹ P-0370 Statement, UGA-OTP-0258-0687-R01 (public redacted version available: UGA-OTP-0280-1094). See <u>First Decision on Prosecution Applications under Rule 68(2)(b)</u>, paras 186-89, p. 110.

intercepting LRA radio communications, in addition to his general duties as police officer, and provided details on the interception process. With regard to the question of the extent of the witness's involvement in intercepting LRA radio communications as stated by P-0126, the Chamber refers to its discussion above. ¹⁰⁴⁰ As concerns P-0370, the Chamber finds that his testimony was detailed and clear and offered details which supported the credibility of the information provided.

- 585. Furthermore, the Chamber points out that the witnesses' testimonies were clear and internally consistent and, in addition to the individual assessment, also were generally mutually consistent.
 - e. Audio enhancement: Alan Robert French (P-0242) and Xavier Laroche (P-0256)
- 586. Alan Robert French testified live before the Chamber. ¹⁰⁴¹ The witness also provided a prior recorded statement, which was submitted under Rule 68(3) of the Rules. ¹⁰⁴² He described the process of enhancing a number of audio recordings he was provided with by the Prosecution and talked about the technical details involved with audio enhancement in general, as well as looking at some specific examples related to the audio recordings of LRA radio communications. Alan French was particularly knowledgeable, forthright and forthcoming in his testimony and provided differentiated answers. His testimony was comprehensive and filled with details of a nature that illustrated his expertise in the field of audio enhancement.
- 587. Xavier Laroche testified live before the Chamber. 1043 He also provided a prior recorded statement, which was submitted under Rule 68(3) of the Rules. 1044 Similar to Alan French, who in fact trained the witness in using the relevant forensic system, Xavier Laroche enhanced, together with another colleague, the audio recordings of some LRA radio communications intercepted by Ugandan authorities and described which procedures were applied. While the witness himself made clear that he is not, as such, an expert in audio enhancement, Xavier Laroche plainly answered all questions to the best of his

¹⁰⁴² P-0242 Statement, UGA-OTP-0261-0333-R01. *See* P-0242: <u>T-128</u>, p. 4, line 23 – p. 6, line 18. *See also* <u>First</u> <u>Decision on Prosecution Applications under Rule 68(2)(b)</u>, para. 209, p. 111. ¹⁰⁴³ P-0256: T-119.

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¹⁰⁴⁰ See para. 583 above.

¹⁰⁴¹ P-0242: <u>T-128</u>.

¹⁰⁴⁴ P-0256 Statement, UGA-OTP-0269-0015. See P-0256: <u>T-119</u>, p. 8, line 6 – p. 10, line 2. See also <u>First</u> <u>Decision on Prosecution Applications under Rule 68(2)(b)</u>, para. 209, p. 111.

knowledge and ability. He was detailed, straightforward and forthcoming in his testimony.

588. The Chamber considers that the witnesses' testimonies were internally consistent and mutually consistent.

f. Prosecution analysis of intercept materials: P-0403

589. P-0403 testified live before the Chamber with protective measures. ¹⁰⁴⁵ The witness, a member of the Situation Analysis Section within the Prosecution, discussed a report which contains his analysis of the body of evidence collected by the Prosecution in relation to the interception of LRA radio communications by Ugandan authorities, as well as information on how the collection of this evidence took place and the evidence was registered by the Prosecution. P-0403 was forthcoming in his testimony and the information he provided was comprehensive. His evidence, as such, was of limited value to the Chamber's consideration of the charges. ¹⁰⁴⁶

vii. Witnesses on Dominic Ongwen's personal background

a. Joe Kakanyero (D-0007)

590. Joe Kakanyero, a local councillor in Coorom, Uganda and relative of the accused, testified live before the Chamber. 1047 He testified about being abducted together with Dominic Ongwen, and provided a comprehensive and clear testimony in a narrative manner.

b. Johnson Odong (D-0008)

591. Johnson Odong's prior recorded statement was introduced pursuant to Rule 68(2)(b) of the Rules. 1048 Johnson Odong, Dominic Ongwen's uncle, testified about the accused's childhood and subsequent abduction in 1987. He also gave an account of subsequent events, such as the killings of Dominic Ongwen's parents. His testimony was simple, without artifice, and credible.

1046 See also para. 685 below.

¹⁰⁴⁵ P-0403: <u>T-30</u>; <u>T-31</u>.

¹⁰⁴⁷ D-0007: T-193.

D-0008 Statement, UGA-D26-0010-0307 (public redacted version available: UGA-D26-0010-0307-R01). See Decision on the Defence Request under Rule 68(2)(b), at paras 7-8, p. 12.

c. P'Atwoga Okello (D-0012)

592. P'Atwoga Okello's prior recorded statement was introduced pursuant to Rule 68(2)(b) of the Rules. 1049 He was a teacher at Alero Primary School at the time of Dominic Ongwen's abduction, and testified about his knowledge of Dominic Ongwen before his abduction, as well as about the abduction itself. It is noted that P'Atwoga Okello further testified that he was an uncle of the accused. His testimony was simple and without artifice. The Chamber is satisfied that the witness testified credibly.

viii. Expert witnesses

a. Mental health expert witnesses

593. The issue of Dominic Ongwen's mental health at the time relevant for the charges, and in particular the possible presence of a mental disease or defect, is a live issue in the case. Five expert witnesses prepared reports and gave oral testimonies before the Chamber: Dr Catherine Abbo (P-0445), Professor Gillian Mezey (P-0446), Professor Roland Weierstall-Pust (P-0447), Dr Dickens Akena (D-0041) and Professor Emilio Ovuga (D-0042). In a dedicated section below, the Chamber lays out its analysis of the evidence provided by the experts, including as concerns the reliability of their reports and conclusions. ¹⁰⁵⁰

b. Other expert witnesses

i Professor Ate Kloosterman (P-0414)

594. Professor Kloosterman is a forensic reporting expert at the Netherlands Forensic Institute, who testified live before the Chamber. 1051 Ate Kloosterman provided expert reports, which were submitted under Rule 68(3) of the Rules. 1052 Professor Kloosterman testified about his analysis of the kinship between Dominic Ongwen and twelve children. Further, he offered detailed information about the DNA testing process and the interpretation of

¹⁰⁴⁹ D-0012 Statement, UGA-D26-0010-0336 (public redacted version available: UGA-D26-0010-0336-R01). See Decision on the Defence Request under Rule 68(2)(b), at paras 7-8, p. 12.

See section IV.D.1 below.

¹⁰⁵¹ P-0414: T-137.

¹⁰⁵² P-0414 First Report, UGA-OTP-0278-0529; P-0414 Second Report, UGA-OTP-0265-0106; P-0414 Third Report, UGA-OTP-0267-0160. *See* <u>Decision on Prosecution Applications under Rule 68(3)</u>, paras 29-30, p. 19. *See also* P-0414: <u>T-137</u>, p. 3, line 23 – p. 6, line 17.

his findings, which were also not contested by the Defence. His expert testimony is fully reliable, and the Chamber relies on it.

ii Professor Tim Allen (P-0422)

595. Professor Allen testified live before the Chamber and his Independent Background Report on the Situation in Northern Uganda was introduced under Rule 68(3) of the Rules. Professor Allen is a professor in Development Anthropology and Head of the Department of International Development at the London School of Economics, United Kingdom. He provided general background information on the origins of the LRA, development into a politico-military force and the historic and continuing effect the LRA activities have upon the civilian population in Uganda. His expert testimony, which was not contested, is credible and reliable.

iii Professor Kristof Titeca (D-0060)

- 596. Professor Kristof Titeca testified live before the Chamber. ¹⁰⁵⁴ He is a Professor for International Development at the Institute of Development and Policy Management at the University of Antwerp, Belgium. He provided an expert report, which was introduced pursuant to Rule 68(3) of the Rules. ¹⁰⁵⁵
- 597. Professor Titeca testified about his previous work on the LRA and elaborated on the concepts, methodology and conclusions of his expert report on the 'cosmological space of the LRA'. 1056 His testimony was candid, comprehensive and clear. However, the Chamber also notes that Professor Titeca did not question the statements made to him about the spiritual influence on LRA fighters and did not consider it to be his role to make a judgment about the truthfulness or falsity of the statements. As such, his evidence is only of very limited value in the present proceedings, especially given the abundance of direct evidence of witnesses.

¹⁰⁵⁶ D-0060 Report, UGA-D26-0018-3901.

¹⁰⁵³ P-0422: <u>T-28</u>; P-0422's report, UGA-OTP-0270-0004. See also p. 3, lines 1-14.

¹⁰⁵⁴ D-0060: T-197.

¹⁰⁵⁵ D-0060 Report, UGA-D26-0018-3901. See also D-0060: <u>T-197</u>, p. 10, line 20 – p. 12, line 19.

iv Professor Adam Branch (D-0139)

598. Professor Adam Branch is a Professor for Politics and International Studies at the University of Cambridge, United Kingdom, who testified live before the Chamber. He also provided an expert report, which was submitted pursuant to Rule 68(3) of the Rules. He offered in particular a detailed account on the economic and security situation in IDP camps. However, his evidence is mostly based on indirect sources and literature, and his own personal experience relates primarily to the situation in Pabbo camp, which is not directly relevant to the charges of the present case. For this reason, in the presence of ample more direct evidence on the situation in IDP camps in Northern Uganda at the relevant time generally, and specifically in relation to the Pajule, Odek, Lukodi and Abok IDP camps, the Chamber does not rely on Professor Adam Branch.

v Dr Teddy Atim (V-0001)

599. Dr Teddy Atim, a researcher from Northern Uganda at the Feinstein International Center at the Tufts University, United States of America, testified live before the Chamber. 1059

She provided a joint expert report with Anastasia Marshak, Dyan Mazurana and Jordan Farrar, which was submitted under Rule 68(3) of the Rules. 1060 Dr Atim's expert report constitutes an assessment of the physical, material and psychosocial effects of the attacks on Odek IDP camp, Lukodi IDP camp and Abok IDP camp on the victims. The report is based on interviews with 396 victims participating in the present case (Victimization Assessment Survey). Findings are compared to a survey on the entire population in Acholi and Lango sub-regions in the same period (Secure Livelihoods Research Consortium Uganda Survey). Dr Atim elaborated on the methods applied in the report as well as on the findings of the report, including relevant information about the victims' mental and physical well-being before, during and after the attacks. Her testimony was candid, clear and comprehensive. The Chamber notes her evidence, but also observes that it does not directly underlie any part of the Chamber's analysis as to whether the facts alleged in the charges are established.

¹⁰⁵⁷ D-0139: <u>T-218</u>.

¹⁰⁵⁸ D-0139 Report, UGA-D26-0015-1172. *See also* D-0139: <u>T-218</u>, p. 5, line 22 – p. 6, line 12.

¹⁰⁵⁹ V-0001: <u>T-174</u>.

¹⁰⁶⁰ V-0001 Report, UGA-V40-0001-0010. See also V-0001: <u>T-174</u>, p. 7, line 11 – p. 8, line 19.

vi Professor Daryn Reicherter (PCV-0001)

600. Daryn Reicherter testified live before the Chamber. 1061 He provided an expert report, which was submitted under Rule 68(3) of the Rules. 1062 Professor Reicherter is a clinical professor for psychiatry and behavioural sciences at Stanford University School of Medicine, United States of America. He testified about a report he elaborated together with Ryan Matlow and the Human Rights in Trauma Mental Health Laboratory at Stanford University on mental health outcomes of rape and other forms of sexual violence, forced marriage and forced pregnancy. His testimony offered a detailed account of the methodology and terminology adopted by the report and its outcomes. Professor Reicherter's testimony was comprehensive, structured, clear and specific. He offered in particular information on the psychological impact of rape and other forms of sexual violence on men and women in the cultural context of the charged crimes. The Chamber notes his evidence, but also observes that it does not directly underlie any part of the Chamber's analysis as to whether the facts alleged in the charges are established.

vii Professor Michael Wessells (PCV-0002)

601. Michael Wessells testified live before the Chamber. 1063 He provided an expert report, which was submitted under Rule 68(3) of the Rules. 1064 Professor Wessells is a professor of clinical population and family health at Columbia University, United States of America. He testified about his report on the psychological, social, developmental and behavioural consequences of enlistment, conscription and use of children under the age of 15 to participate actively in hostilities. His expert report is based on the testimonies of witnesses and the application forms of the victims represented by the Common Legal Representative of Victims. The Chamber notes his evidence, but also observes that it does not directly underlie any part of the Chamber's analysis as to whether the facts alleged in the charges are established.

¹⁰⁶¹ PCV-0001: <u>T-175</u>.

¹⁰⁶² PCV-0001 Report, UGA-PCV-0001-0020. See also PCV-0001: <u>T-175</u>, p. 18, line 2 – p. 20, line 20.

¹⁰⁶³ PCV-0002: <u>T-176</u>.

¹⁰⁶⁴ PCV-0002 Report, UGA-PCV-0002-0076. See also PCV-0002: <u>T-176</u>, p. 6, line 8 – p. 8, line 4.

viii Professor Seggane Musisi (PCV-0003)

602. Seggane Musisi testified live before the Chamber. 1065 He provided an expert report, which was submitted under Rule 68(3) of the Rules. 1066 Professor Musisi is a professor of psychiatry at Makerere University College of Health Sciences in Kampala, Uganda. He testified about his expert report on the interplay of Acholi culture with traumas and PTSD. He elaborated in particular on the impact of loss of traditions on the individual's and community's development as well as on Acholi cultural approaches to crimes and traumas. He described, for example, in detail the role of Acholi rituals in healing processes. The Chamber notes his evidence, but also observes that it does not directly underlie any part of the Chamber's analysis as to whether the facts alleged in the charges are established.

ix. Other witnesses

a. Nathan Iron Emory (D-0018)

603. Nathan Iron Emory testified live before the Chamber. Nathan Iron Emory, a former consultant to the LRA, testified about his experiences with the LRA and about the history of the LRA. Nathan Iron Emory was a forthcoming witness. His testimony was detailed and comprehensive. The witness provided information which contextualised the conflict between the LRA and the Ugandan government. The Chamber also notes his evidence in relation to the circumstances of the execution of Otti Lagony, which the Chamber deems reliable based on the witness's involvement at the time in the negotiations among the various actors. It is clear that the witness attempts to distance himself from the LRA and their actions. However, in the Chamber's view the witness is generally reliable.

¹⁰⁶⁵ PCV-0003: <u>T-177</u>; <u>T-178</u>.

¹⁰⁶⁶ PCV-0003 Report, UGA-PCV-0003-0046. See also PCV-0003: <u>T-177</u>, p. 6, line 7 – p. 8, line 8.

¹⁰⁶⁷ D-0018: <u>T-185</u>; <u>T-186</u>.

¹⁰⁶⁸ See D-0018: <u>T-185</u>, p. 34, line 21 – p. 38, line 3.

¹⁰⁶⁹ See D-0018: T-185, p. 45, lines 6-16.

 $^{^{1070}}$ See for example D-0018: $\underline{\text{T-185}}$, p. 41, line 22 – p. 42, line 1 (testifying that he did not have any role and was merely an advisor for the LRA in Sudan). The Chamber notes that within the context of his testimony, as the witness describes his activities with the LRA, it is clear that the witness played an active role.

Tommy Obote (D-0084)

- 604. Tommy Obote testified live before the Chamber. 1071 Tommy Obote, a local politician, testified about his knowledge of the LRA's activity in Ngai sub-county, the establishment of IDP camps in the region and what he witnessed in Abok IDP camp in the aftermath of the attack relevant to the charges. Tommy Obote offered considered testimony, credible in its detail and range. The witness was comprehensive, offering a historic perspective that can be expected of a close observer of the conflict in the region during the period relevant to the charges. 1072 The witness clearly distinguished between events he witnessed himself and events he heard about.
- 605. The Chamber also notes that concerning the attack on Abok IDP camp, the witness testified that he did not witness the attack and came to the camp the next morning. 1073 However, the Chamber does not necessarily disregard Tommy Obote's evidence as to the occurrences at the centre of the camps during the attacks, for example if the witness gained information in the aftermath of the attack from persons who had witnessed the attack.

c. Nicolas Ocirowijok (D-0088)

606. Nicolas Ochirowijok, a social consultant and researcher working in Northern Uganda, provided his testimony live before the Chamber. 1074 He testified about his work with World Vision, people who had been forced to be soldiers as children and aspects related to their return from the bush. The witness was responsive and concise when answering questions. The Chamber finds Nicolas Ocirowijok to be a credible and reliable witness. However, since his evidence is related to the treatment of children who returned from the LRA, it is only indirectly related to the issues relevant to the disposal of the charges.

d. Eric Awich Ochen (D-0114)

607. The witness testified live before the Chamber. 1075 Eric Awich Ochen is a lecturer at the Makerere University in Kampala and provided evidence on his work with several non-

¹⁰⁷¹ D-0084: T-235.

¹⁰⁷² E.g. D-0084: <u>T-235</u>, p. 10, lines 12-25 (government forces would cut down trees in the forest so that the LRA would have no cover to hide.).

¹⁰⁷³ D-0084: <u>T-235</u>, p. 29, lines 2-24. ¹⁰⁷⁴ D-0088: <u>T-230</u>.

¹⁰⁷⁵ P-0114: T-247.

governmental organisations such as Save the Children, World Vision and Gusco in the late 1990's and during the period relevant to the charges. The witness testified in a straight-forward, open and concise manner. The Chamber finds Eric Awich Ochen to be credible and reliable. But while the Chamber did not identify any issue affecting the credibility of the witness, it notes that his testimony is not directly relevant to the disposal of the charges.

e. D-0150

608. D-0150 testified live before the Chamber with protective measures. ¹⁰⁷⁶ D-0150, a farmer in Northern Uganda, testified about Acholi spiritual traditions, including his own possession by spirits and his experience practicing as an *ajwaka* (spiritual healer). While the witness explained the phenomenon of spirit possession and his beliefs about Joseph Kony's alleged possession, the witness had no direct knowledge of Joseph Kony's alleged spirits or Joseph Kony himself. ¹⁰⁷⁷ Nor did the witness express any knowledge of Dominic Ongwen. While the Chamber did not identify any issue affecting the credibility of the witness, the Chamber notes that his testimony goes to facts that are not directly relevant to the disposal of the charges.

f. D-0110

609. D-0110 testified live before the Chamber with protective measures. ¹⁰⁷⁸ D-0110, a child fathered by Joseph Kony and born in the bush, testified about her early childhood in the LRA in Sudan and her subsequent experiences in Uganda after leaving the LRA. She provided a detailed account of her knowledge of Joseph Kony. Her testimony was candid and clear. She described her experiences in a manner which clearly shows that she spoke about her personal experiences. The Chamber, however, found her account not to be directly relevant to the charges.

g. D-0130

610. D-0130, fathered by Joseph Kony and born in the bush in 1999, testified live before the Chamber with protective measures, having received Rule 75 assurances. He testified about his childhood in the LRA in Sudan and his subsequent life in Uganda

¹⁰⁷⁹ D-0130: <u>T-198</u>.

¹⁰⁷⁶ D-0150: T-182.

¹⁰⁷⁷ D-0150: <u>T-182</u>, p. 43, line 25 – p. 44, line 8, line 21 – p. 45, line 4.

¹⁰⁷⁸ D-0110: <u>T-231</u>.

after his capture by the UPDF in 2002. The Chamber notes, however, that his statements are not of direct relevance to the charges in the present case.

h. D-0131

611. D-0131, fathered by Joseph Kony and born in the bush in 1991, testified live before the Chamber with protective measures, having received Rule 75 assurances. ¹⁰⁸⁰ D-0131 testified in detail about his childhood after having left the bush with his mother shortly after his birth. He further offered

The Chamber notes, however, that his evidence is not of direct relevance to the charges.

i. Pollar Awich (D-0133)

612. Pollar Awich testified live before the Chamber. 1081 The witness testified about having been abducted as a child and integrated in the National Resistance Army¹⁰⁸² and about the experiences of persons who were forced to be soldiers as children. He testified about his own experience, provided evidence on children in the LRA and wrote a report on this issue, which was submitted into evidence. 1083 Pollar Awich answered in a clear and structured manner. The Chamber deems his testimony to be credible. However, the Chamber also notes Pollar Awich's general conclusions concerning the enduring effect on the mental health of having been a child soldier, ¹⁰⁸⁴ the conditions within the LRA on abductees and the influence on their free will as a grown up 1085 and whether they are, ultimately, responsible for any of their actions undertaken as an adult. 1086 First, Pollar Awich is not a mental health expert and, more importantly, the question of whether Article 31(1)(a) or (d) of the Statute are fulfilled can only be determined by the Chamber. Lastly, the Chamber finds Pollar Awich's statement that 'there are no cases where children escaped [...] voluntary' incredible considering the ample evidence received to the contrary. The remainder of Pollar Awich's testimony does not go to issues of relevance to the disposal of the charged crimes.

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1080 D-0131: T-205.

1081 D-0133: T-203; T-204.

1082 D-0133: T-203, p. 20, lines 14-17, p. 21, lines 3-7.

1083 D-0133 Report, UGA-D26-0015-1022.

1084 D-0133: T-203, p. 31, line 25 - p. 32, line 13.

1085 D-0133: T-203, p. 63, line 17 - p. 66, line 6.

1086 D-0133: T-203, p. 33, line 13 - p. 34, line 4.

1087 D-0133: T-203, p. 81, lines 4-15; T-204, p. 33, line 22 - p. 34, line 7, p. 35, lines 10-18.
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3. Documentary evidence

613. In this section, the Chamber lays out some general considerations with respect to the documentary evidence submitted in the case. The analysis, which responds also to the arguments of the parties, must be read in conjunction with the evidentiary discussion further below in the present judgment. Indeed, certain aspects relating to the relevance or reliability of documentary evidence, are further addressed, as appropriate, in the relevant evidentiary discussion.

i. Intercept materials

- 614. Before assessing the specific intercepted communications the Chamber relies upon, the Chamber will set out its overall understanding of the voluminous intercept evidence submitted in this case. In addition, individual recordings will be discussed in section IV.B.3.ii below.
- 615. The Defence raises a variety of arguments against the intercept-related evidence. 1088

 These arguments are addressed across this section. Ultimately, the Chamber's general conclusion is that the intercept materials are reliable and the Defence arguments without merit.

a. Interception process

616. During the period relevant to the charges, the LRA would communicate over radio at regular intervals. ¹⁰⁸⁹ Jargon, proverbs and/or codes obscured the meaning of these communications. ¹⁰⁹⁰ The Chamber heard from former LRA members with detailed

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¹⁰⁸⁸ Defence Closing Brief, para. 225 (summarising these arguments).

¹⁰⁸⁹ P-0003: <u>T-42</u>, p. 19, lines 14-19; P-0016: T-32-CONF, p. 35, lines 5-25; P-0029 First Statement, UGA-OTP-0027-0231-R01, at paras 20-23; P-0125: <u>T-135</u>, p. 68, lines 3-5; P-0400 Statement, UGA-OTP-0264-0015-R01, at paras 42-43; P-0404 Statement, UGA-OTP-0267-0470-R01, at para. 29; P-0440: <u>T-40</u>, p. 7, line 10 – p. 8, line 9; D-0100: <u>T-234</u>, p. 46, lines 4-10.

 $^{^{1090}}$ P-0003: $\overline{\text{T-42}}$, p. 55, line 11 – p. 65, line 19, p. 67, line 3 – p. 71, line 22; $\overline{\text{T-44}}$, p. 56, line 8 – p. 58, line 18; P-0016: $\overline{\text{T-32}}$, p. 23, line 17 – p. 27, line 19; $\overline{\text{T-35}}$: p. 23, line 1 – p. 24, line 14; P-0029 First Statement, UGA-OTP-0027-0231-R01, at paras 13, 15, 58; P-0440: $\overline{\text{T-40}}$, p. 8, line 10 – p. 14, line 3; D-0100: $\overline{\text{T-234}}$, p. 26, line 21 – p. 31, line 12.

knowledge of the group's communication methods, including P-0016, ¹⁰⁹¹ P-0138, ¹⁰⁹² P-0440¹⁰⁹³ and Francis Ocen. ¹⁰⁹⁴

- 617. Various branches of the Ugandan government intercepted these messages. The primary purpose of the intercept operation was not criminal prosecution, but rather to gain military intelligence to further the war effort against the LRA. On the whole, these agencies conducted their operations independently of each other for purposes of 'counterintelligence'; *i.e.* to ensure that no one interceptor was a spy or otherwise manipulating the intelligence collected.
- 618. The agencies responsible for the interception operation were the UPDF (the army), ISO (the national intelligence agency) and CID (certain local police forces).

i Uganda People's Defence Force interception

619. The primary UPDF interceptor during the time period relevant to the charges was P-0003. 1097 Other witnesses involved in the UPDF interception operation are: P-0029; 1098 P-0337; 1099 P-0339; 1100 P-0400 1101 and P-0404. 1102 All these witnesses had training in

¹⁰⁹¹ P-0016: <u>T-32</u>; <u>T-33</u>; <u>T-34</u>; <u>T-35</u>.

¹⁰⁹² P-0138: T-120; T-121; T-122.

¹⁰⁹³ P-0440: <u>T-39; T-40; T-41</u>.

¹⁰⁹⁴ D-0100: <u>T-234</u>.

¹⁰⁹⁵ P-0029 First Statement, UGA-OTP-0027-0231-R01, at paras 18, 19; P-0029 Second Statement, UGA-OTP-0267-0455, at para. 17 ('[t]]he main purpose of the intercept operation was to collect intelligence to support combat operations. We knew there was a lot of information on the sound waves, and our job was to pick up that information, correlate it, and send an intelligence report on to command. It had to be done quickly, so it was actionable'); P-0038: T-116, p. 38, lines 4-11; P-0059: T-36, p. 21, line 8 – p. 22, line 4; P-0291 Statement, UGA-OTP-0246-0061-R01, at para. 18; P-0301 Statement, UGA-OTP-0249-0423-R01, at para. 27; P-0404 Statement, UGA-OTP-0267-0470-R01, at para. 41. There is some evidence that certain procedures in the intercept operation may have been intended to assist the ICC, but this does not change the primary purpose of the operation. *See* P-0003: T-42, p. 37, line 24 – p. 38, line 12.

¹⁰⁹⁶ P-0029 Second Statement, UGA-OTP-0267-0455, at para. 50; P-0032 First Statement, UGA-OTP-0069-0796-R01, at para. 18; P-0038: <u>T-117</u>, p. 62, line 23 – p. 63, line 9, *commenting on* P-0038 First Statement, UGA-OTP-0069-0784-R01, at para. 19. *See also* paragraphs 563, 661-663 below.

¹⁰⁹⁷ P-0003: <u>T-42</u>; <u>T-43</u>; <u>T-44</u>; <u>T-45</u>; <u>T-46</u>. *See also* P-0029 First Statement, UGA-OTP-0027-0231-R01, at para. 11; P-0400 Statement, UGA-OTP-0264-0015-R01, at para. 36; P-0404 Statement, UGA-OTP-0267-0470-R01, at para. 31.

¹⁰⁹⁸ P-0029 First Statement, UGA-OTP-0027-0231-R01; P-0029 Second Statement, UGA-OTP-0267-0455.

¹⁰⁹⁹ P-0337 First Statement, UGA-OTP-0256-0201-R01; P-0337 Second Statement, UGA-OTP-0267-0445-R01.

¹¹⁰⁰ P-0339: <u>T-134</u>; <u>T-135</u>.

¹¹⁰¹ P-0400 Statement, UGA-OTP-0264-0015-R01

¹¹⁰² P-0404 Statement, UGA-OTP-0267-0470-R01.

monitoring radio communications,¹¹⁰³ and/or were the supervisors of these persons.¹¹⁰⁴ The UPDF interceptors had sufficient experience that they could understand what the LRA was saying and recognise the voices of certain LRA members as they spoke.¹¹⁰⁵

- 620. P-0003 and those working with him in Gulu listened to LRA radio communications. 1106 During the period relevant to the charges, they tape recorded these conversations onto audio cassettes. 1107 The UPDF interceptors prepared shorthand notes of communications as they unfolded, and then did any necessary work required to understand the contents afterwards (including breaking any codes or playing back the tape to listen again to what was said). 1108
- 621. As soon as possible after the communication, the interceptor then prepared a logbook summary of what transpired during the LRA communication. ¹¹⁰⁹ The basis for this summary was exclusively the information provided over the radio the interceptors used no other intelligence in making their summaries except to the extent necessary for code breaking. ¹¹¹⁰ Though the LRA communications themselves were predominantly in Acholi or Luo, the interceptors wrote the summaries in plain English so that commanders

¹¹⁰³ P-0003: <u>T-42</u>, p. 8, line 15 – p. 11, line 17; P-0339: <u>T-134</u>, p. 6, line 22 – p. 8, line 8; P-0400 Statement, UGA-OTP-0264-0015-R01, at paras 24-26; P-0404 Statement, UGA-OTP-0267-0470-R01, at paras 13-15. *See also* P-0337 Second Statement, UGA-OTP-0267-0445-R01, at paras 36-37. P-0029 indicates that 'those who intercept the LRA radio communications do not receive formal training', but this is understood to mean that there was no formal training in relation to LRA communications specifically. This is not inconsistent with those who stated they received technical intelligence training more generally, and P-0029 himself indicates he took such courses. P-0029 First Statement, UGA-OTP-0027-0231-R01, at paras 7, 14.

¹¹⁰⁴ P-0029 First Statement, UGA-OTP-0027-0231-R01, at paras 8, 11; P-0029 Second Statement, UGA-OTP-0267-0455, at para. 38; P-0337 Second Statement, UGA-OTP-0267-0445-R01, at paras 15-16.

 $[\]frac{1105}{2}$ P-0003: $\frac{T-42}{2}$, p. 12, lines 2-25, p. 47, lines 2-17, p. 72, lines 1-18; P-0029 First Statement, UGA-OTP-0027-0231-R01, at para. 17; P-0029 Second Statement, UGA-OTP-0267-0455, at para. 36; P-0339: $\frac{T-134}{2}$, p. 46, line 6 – p. 47, line 8; P-0404 Statement, UGA-OTP-0267-0470-R01, at para. 43.

¹¹⁰⁶ P-0003: <u>T-42</u>, p. 13, line 20 – p. 15, line 23.

¹¹⁰⁷ P-0003: <u>T-42</u>, p. 19, lines 20-24, p. 37, line 24 – p. 41, line 5; P-0029 First Statement, UGA-OTP-0027-0231-R01, at paras 28-34; P-0400 Statement, UGA-OTP-0264-0015-R01, at paras 37-39; P-0404 Statement, UGA-OTP-0267-0470-R01, at paras 35, 39-40.

 $^{^{1108}}$ P-0003: 1108 P-0003: 1108 P-0029 First Statement, UGA-OTP-0027-0231-R01, at paras 40-41; P-0339, p. 9, line 12 - p. 10, line 10 ; P-0400 Statement, UGA-OTP-0264-0015-R01, at para. 38; P-0404 Statement, UGA-OTP-0267-0470-R01, at para. 35.

 $[\]begin{array}{l} ^{1109} \text{ P-}0003: \ \underline{\text{T-}42}, \text{ p. } 29, \text{ line } 15-\text{p. } 32, \text{ line } 12; \text{ P-}0029 \text{ First Statement, UGA-OTP-}0027-0231-R01, at paras } 41, \\ 44-45; \text{ P-}0339: \ \underline{\text{T-}134}, \text{ p. } 12, \text{ line } 25-\text{p. } 15, \text{ line } 19; \text{ P-}0404 \text{ Statement, UGA-OTP-}0267-0470-R01, at para. } 35. \\ ^{1110} \text{ P-}0003: \ \underline{\text{T-}42}, \text{ p. } 32, \text{ lines } 5-12; \ \underline{\text{T-}46}, \text{ p. } 12, \text{ lines } 8-25, \text{ p. } 17, \text{ line } 14-\text{p. } 18, \text{ line } 12; \text{ P-}0029 \text{ First Statement, UGA-OTP-}0227-0231-R01, at paras } 48-49, 64; \text{ P-}0337 \text{ First Statement, UGA-OTP-}0256-0201-R01, at para. } 29; \\ \text{P-}0339: \ \underline{\text{T-}134}, \text{ p. } 14, \text{ line } 18-\text{p. } 15, \text{ line } 7. \\ \end{array}$

- could easily understand them. 1111 Logbook entries were dated and appeared in chronological order. 1112
- 622. The interceptors then gave their logbook entries to their commanders, who read them and marked that they had seen them. 1113 The intercepted information would then be communicated to Kampala to inform the UPDF's broader military operations. 1114 All completed recordings and logbooks were securely stored, either at the sites of interception or in Kampala. 1115
- 623. The UPDF's intercept operation extended to locations beyond Gulu, most notably Achol Pii, Soroti and Lira. 1116 These locations did not record conversations, but the procedure for summarising intercepted communications was otherwise about the same. 1117 Communications at each location were summarised independently of on-going intercept operations at other locations, including Gulu. 1118
- 624. P-0400 also kept a personal logbook in Sudan from April-May 2004. 1119 Though this book is P-0400's personal record, it is apparent that P-0400 wrote it in the same style as

¹¹¹¹ P-0339: <u>T-134</u>, p. 14, lines 3-17; P-0003: <u>T-42</u>, p. 29, lines 15-25, p. 46, lines 6-10.

¹¹¹² P-0003: <u>T-42</u>, p. 24, lines 7-14; P-0339: <u>T-134</u>, p. 10, lines 2-6; P-0404 Statement, UGA-OTP-0267-0470-R01, at para. 40. P-0003 and P-0339 are testifying as to shorthand notes, but the dating procedure described is visibly reproduced in the formal logbooks.

 $[\]frac{1113}{P-0003}$: $\frac{T-42}{T-42}$, p. 36, line 2 – p. 37, line 21; P-0029 First Statement, UGA-OTP-0027-0231-R01, at para. 46; P-0339: <u>T-134</u>, p. 15, line 20 – p. 16, line 4; P-0400 Statement, UGA-OTP-0264-0015-R01, at para. 40; P-0404 Statement, UGA-OTP-0267-0470-R01, at para. 36.

¹¹¹⁴ P-0029 First Statement, UGA-OTP-0027-0231-R01, at paras 46-48; P-0337 Second Statement, UGA-OTP-0267-0445-R01, at para. 18; P-0339: <u>T-134</u>, p. 15, line 20 – p. 17, line 13; P-0400 Statement, UGA-OTP-0264-0015-R01, at para. 41; P-0404 Statement, UGA-OTP-0267-0470-R01, at paras 37, 41.

¹¹¹⁵ P-0003: T-42, p. 32, lines 13-24, p. 40, line 25 – p. 41, line 5; P-0029 Second Statement, UGA-OTP-0267-0455, at paras 26-28, 46-47; P-0337 Second Statement, UGA-OTP-0267-0445-R01, at para. 41; P-0339: T-134, p. 17, line 14 – p. 18, line 12; P-0404 Statement, UGA-OTP-0267-0470-R01, at para. 40.

P-0029 Second Statement, UGA-OTP-0267-0455, at paras 29-32; P-0337 Second Statement, UGA-OTP-0267-0445-R01, at paras 25, 28-31, 33; P-0339: T-134, p. 22, line 23 – p. 32, line 20.

¹¹¹⁷ P-0029 Second Statement, UGA-OTP-0267-0455, at para. 32; P-0337 Second Statement, UGA-OTP-0267-0445-R01, at paras 21-25; P-0339: T-134, p. 22, line 23 - p. 32, line 20; P-0400 Statement, UGA-OTP-0264-0015-R01, at para. 37.

¹¹¹⁸ P-0003: T-44, p. 51, line 13 – p. 52, line 4; P-0029 Second Statement, UGA-OTP-0267-0455, at paras 42-45; P-0337 Second Statement, UGA-OTP-0267-0445-R01, at paras 38-40; P-0339: T-134, p. 26, lines 7-17 ('Q. Did you ever discuss with [a colleague from Gulu] what you heard in an LRA communication? A. No, it was prohibited. Whenever you finish intercepting, you are not required to discuss what you have recorded.'); P-0400 Statement, UGA-OTP-0264-0015-R01, at para. 29.

¹¹¹⁹ UPDF Logbook (Sudan), UGA-OTP-0242-7194; P-0400 Statement, UGA-OTP-0264-0015-R01, at para. 44(i).

the official UPDF logbooks. P-0400's operational commander signed the entries in this logbook, and P-0400 gave it to P-0003 upon his return to Gulu. 1120

ii Internal Security Organisation interception

- 625. The primary ISO interceptor during the time period relevant to the charges was P-0059. The primary ISO interceptor during the time period relevant to the charges was P-0059. The primary ISO interceptor operation are: P-0027; P-0032; P-0032; P-0031; P-0301; P-0303; P-0303; P-0384; P-0385; P-0385; P-0386. The primary P-0386. The primary ISO interceptors and primary P-0386. The primary ISO interceptors had sufficient experience that they could understand what the LRA was saying and recognise the voices of certain LRA members as they spoke.
- 626. The ISO interception process unfolded similarly to the UPDF process conversations would be recorded, short hand notes prepared, language de-coded, plain language logbook summaries written chronologically (in English and based exclusively on the

¹¹²⁰ P-0400 Statement, UGA-OTP-0264-0015-R01, at para. 44(i).

¹¹²¹ P-0059: <u>T-36</u>; <u>T-37</u>; <u>T-39</u>. See also P-0027 First Statement, UGA-OTP-0207-0256-R01, at para. 9; P-0032 First Statement, UGA-OTP-0069-0796-R01, at paras 8-9, 12; P-0386 Statement, UGA-OTP-0260-0508-R01, at paras 17, 24

paras 17, 24.

1122 P-0027 First Statement, UGA-OTP-0207-0256-R01; P-0027 Second Statement, UGA-OTP-0249-0444-R01.

1123 P-0032 First Statement, UGA-OTP-0069-0796-R01; P-0032 Second Statement, UGA-OTP-0150-0030-R01; P-0032 Third Statement, UGA-OTP-0246-0003-R01.

¹¹²⁴ P-0291 Statement, UGA-OTP-0246-0061-R01.

¹¹²⁵ P-0301 Statement, UGA-OTP-0249-0423-R01.

¹¹²⁶ P-0303 Statement, UGA-OTP-0258-0723-R01.

¹¹²⁷ P-0384 Statement, UGA-OTP-0260-0491-R01.

¹¹²⁸ P-0385 Statement, UGA-OTP-0260-0498-R01.

¹¹²⁹ P-0386 Statement, UGA-OTP-0260-0508-R01.

¹¹³⁰ P-0059: <u>T-36</u>, p. 7, line 1 – p. 9, line 9; <u>T-38</u>, p. 15, line 3 – p. 16, line 11; P-0291 Statement, UGA-OTP-0246-0061-R01, at para. 16; P-0301 Statement, UGA-OTP-0249-0423-R01, at para. 15 (note this witness also had supervisory responsibilities); P-0384 Statement, UGA-OTP-0260-0491-R01, at para. 15; P-0385 Statement, UGA-OTP-0260-0498-R01, at para. 16 (though P-0385 did not personally intercept LRA communications); P-0386 Statement, UGA-OTP-0260-0508-R01, at para. 16.

¹¹³¹ P-0027 First Statement, UGA-OTP-0207-0256-R01, at para. 5; P-0027 Second Statement, UGA-OTP-0249-0444-R01, at para. 16; P-0032 First Statement, UGA-OTP-0069-0796-R01, at paras 4, 8; P-0032 Third Statement, UGA-OTP-0246-0003-R01, at para. 14; P-0303 Statement, UGA-OTP-0258-0723-R01, at paras 22, 29.

¹¹³² P-0059: <u>T-36</u>, p. 56, line 27 – p. 57, line 25; <u>T-38</u>, p. 16, line 12 – p. 22, line 9, p. 26, line 12 – p. 27, line 6; P-0291 Statement, UGA-OTP-0246-0061-R01, at paras 19, 32; P-0301 Statement, UGA-OTP-0249-0423-R01, at paras 20-21, 25; P-0384 Statement, UGA-OTP-0260-0491-R01, at paras 17-20; P-0386 Statement, UGA-OTP-0260-0508-R01, at paras 17-19, 24-25.

- recording), and entries reviewed by commanding officers. ¹¹³³ The recordings and logbooks would likewise be securely stored. ¹¹³⁴
- 627. One distinguishing feature of the ISO process is that the ISO would sequentially label each audio cassette, and then use this serial number in their logbooks. This allows a reader to easily identify which logbook summaries reflect the contents of which tape.
- 628. Once the ISO interceptors in Gulu prepared the logbook summary, it was then faxed to Kampala (often after being re-copied by hand) or communicated by telephone. Iso Staff in Kampala then copied the summary by hand into a kind of duplicate logbook. The information was further disseminated as necessary for military intelligence.

iii Police interception

629. Patrick Lumumba Nyero was the primary interceptor for the police interception operation in Kamdini. 1139 Patrick Nyero conducted his work with P-0370 1140 and reported the

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¹¹³³ P-0027 First Statement, UGA-OTP-0207-0256-R01, at para. 11; P-0032 First Statement, UGA-OTP-0069-0796-R01, at paras 15-20; P-0032 Third Statement, UGA-OTP-0246-0003-R01, at para. 20; P-0059: <u>T-36</u>, p. 22, line 9 – p. 28, line 12; <u>T-39</u>: p. 37, line 23 – p. 42, line 6; P-0291 Statement, UGA-OTP-0246-0061-R01, at paras 38-49; P-0301 Statement, UGA-OTP-0249-0423-R01, at paras 28-29; P-0303 Statement, UGA-OTP-0258-0723-R01, at paras 22-30; P-0384 Statement, UGA-OTP-0260-0491-R01, at paras 24-26; P-0386 Statement, UGA-OTP-0260-0508-R01, at paras 18, 23. *See also* P-0291 Statement, UGA-OTP-0246-0061-R01, at para. 55 (would speak to UPDF direction finding team when making summaries, but only for the limited purpose of code breaking).

¹¹³⁴ P-0027 First Statement, UGA-OTP-0207-0256-R01, at paras 17-20; P-0027 Second Statement, UGA-OTP-0249-0444-R01, at para. 21; P-0032 First Statement, UGA-OTP-0069-0796-R01, at paras 21-25; P-0032 Third Statement, UGA-OTP-0246-0003-R01, at paras 22(d)-(e), 51; P-0059: <u>T-36</u>, p. 40, lines 10-23; P-0303 Statement, UGA-OTP-0258-0723-R01, at para. 30.

¹¹³⁵ P-0027 First Statement, UGA-OTP-0207-0256-R01, at paras 18-19; P-0059: <u>T-36</u>, p. 32, line 16 – p. 33, line 5; P-0291 Statement, UGA-OTP-0246-0061-R01, at para. 40; P-0301 Statement, UGA-OTP-0249-0423-R01, at para. 41: P-0386 Statement, UGA-OTP-0260-0508-R01, at para. 24.

para. 41; P-0386 Statement, UGA-OTP-0260-0508-R01, at para. 24.

1136 P-0027 Second Statement, UGA-OTP-0249-0444-R01, at para. 23; P-0032 First Statement, UGA-OTP-0069-0796-R01, at para. 20; P-0032 Third Statement, UGA-OTP-0246-0003-R01, at paras 27-28; P-0059: T-36, p. 28, line 22 – p. 30, line 22; P-0291 Statement, UGA-OTP-0246-0061-R01, at paras 46-47; P-0301 Statement, UGA-OTP-0249-0423-R01, at para. 28; P-0303 Statement, UGA-OTP-0258-0723-R01, at paras 26, 31-35; P-0385 Statement, UGA-OTP-0260-0498-R01, at paras 19-24; P-0386 Statement, UGA-OTP-0260-0508-R01, at paras 24, 26. UPDF entries also would sometimes be faxed, but the faxed papers were not retained. P-0029 Second Statement, UGA-OTP-0267-0455, at para. 25 (saying UPDF faxes were 'exceptional'); P-0337 Second Statement, UGA-OTP-0267-0445-R01, at para. 18.

¹¹³⁷ P-0027 Second Statement, UGA-OTP-0249-0444-R01, at para. 23; P-0032 Third Statement, UGA-OTP-0246-0003-R01, at paras 32-33; P-0059: <u>T-36</u>, p. 28, line 22 – p. 30, line 22; P-0291 Statement, UGA-OTP-0246-0061-R01, at para. 48; P-0303 Statement, UGA-OTP-0258-0723-R01, at paras 31-35; P-0384 Statement, UGA-OTP-0260-0491-R01, at para. 27; P-0385 Statement, UGA-OTP-0260-0498-R01, at para. 24 (these were formally called RABMINT logbooks); P-0386 Statement, UGA-OTP-0260-0508-R01, at para. 26.

¹¹³⁸ P-0027 First Statement, UGA-OTP-0207-0256-R01, at para. 8; P-0303 Statement, UGA-OTP-0258-0723-R01, at para. 24. *See also* P-0059: <u>T-36</u>, p. 30, line 23 – p. 31, line 18.

¹¹³⁹ P-0125: <u>T-135</u>; <u>T-136</u>. See also P-0370 Statement, UGA-OTP-0258-0687-R01, at paras 29, 44-45.

¹¹⁴⁰ P-0370 Statement, UGA-OTP-0258-0687-R01.

results of his work to P-0126. 1141 Only Patrick Nyero received significant radio or signalling training, 1142 but both Patrick Nyero and P-0370 intercepted LRA communications for many years. 1143

- 630. Like the other intercepting agencies, the Kamdini police would listen to the LRA, prepare short hand notes of what was being said, and summarise the conversation in a contemporaneous 'fair copy' (aka 'good note') of the conversation. 1144 The police wrote fair copies in English and dated them. 1145 But there are gaps in the police entries which do not appear in the UPDF or ISO logbooks, 1146 with evidence suggesting that the police notes may be an incomplete collection. 1147
- 631. The police interception operation was conducted less formally than those of the UPDF or ISO in Gulu. They did not record communications onto cassettes. They destroyed all short hand notes. Patrick Nyero also resorted to 'human intelligence' in making his summaries, though he indicated that it was easily detectible when he did so. Also, the police interceptors did not manage to break the LRA codes and therefore only recorded what was communicated in clear language.

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 $^{^{1141}}$ P-0126 First Statement, UGA-OTP-0253-0764-R01; P-0126 Second Statement, UGA-OTP-0264-0002-R01. 1142 Compare P-0125: $\underline{\text{T-135}}$, p. 50, line 10 - p. 51, line 11 with P-0370 Statement, UGA-OTP-0258-0687-R01, at para. 21.

 $^{^{1143}}$ P-0125: T-135-CONF, p. 52, line 16 – p. 54, line 19; P-0370 Statement, UGA-OTP-0258-0687-R01, at paras 25, 51 (noting that, unlike P-0125, he was not able to recognise the voices of the LRA beyond Joseph Kony).

¹¹⁴⁴ P-0125: <u>T-135</u>, p. 57, line 3 – p. 59, line 11; P-0370 Statement, UGA-OTP-0258-0687-R01, at para. 37. ¹¹⁴⁵ *E.g.* UGA-OTP-0037-0002; P-0125: <u>T-135</u>, p. 59, line 14 – p. 62, line 9; P-0370 Statement, UGA-OTP-0258-0687-R01, at para. 55.

¹¹⁴⁶ The largest such gap is that there are no submitted police entries before 12 April 2004, despite the operation in Kamdini commencing in 2003 and the UPDF/ISO recording a wealth of LRA communications in the year prior to this date. For a gap appearing within a police entry, *compare* ISO Logbook (Gulu), UGA-OTP-0061-0206, at 0272-74; UPDF Logbook (Gulu), UGA-OTP-0254-2982, 3006-07 (both discussing a conversation from 1 May 2004 at 18:30) *with* Police Logbook, UGA-OTP-0037-0002, at 0144-46 (entry with a date of '1/5/2004', but no record of any conversations from 18:30 on that day).

¹¹⁴⁷ See P-0125: <u>T-135</u>, p. 82, lines 7-25; <u>T-136</u>, p. 5, lines 12-21 (police interception could not penetrate communications when LRA used coded language); P-0126 First Statement, UGA-OTP-0253-0764-R01, at para. 61 (indicating that he destroyed Patrick Nyero's notes after using them, which, although this cannot have been done in all instances, would explain gaps in the evidence record).

¹¹⁴⁸ P-0125: T-135, p. 65, lines 19-20; P-0126 First Statement, UGA-OTP-0253-0764-R01, at para. 49.

¹¹⁴⁹ P-0125: T-135, p. 58, lines 18-22; P-0370 Statement, UGA-OTP-0258-0687-R01, at para. 37.

¹¹⁵⁰ P-0125: <u>T-135</u>, p. 58, line 23 – p. 59, line 11. *See also* P-0126 First Statement, UGA-OTP-0253-0764-R01, at para. 30 (mentioning IDP camp informants as an example of human intelligence).

¹¹⁵¹ P-0125: <u>T-135</u>, p. 82, lines 7-25; <u>T-136</u>, p. 5, lines 12-21.

632. The Kamdini interceptors regularly transferred their fair copies to P-0126 in Gulu. 1152

The intelligence gathered was distributed to other agencies like the UPDF or ISO. 1153

iv Transfer of intercept materials to the Prosecution

- 633. Since 2004, the Prosecution was in contact with the Ugandan government authorities to receive all recordings, short hand notes, logbooks and other information it considered relevant for its investigation. The Prosecution received certain intercept materials from others, but the largest batches of materials came from two government contacts: Timothy Kanyogonya and P-0027.
- 634. Timothy Kanyogonya was the Prosecution's primary focal point for receiving such materials. Timothy Kanyogonya is the Head of Legal Affairs for the UPDF, but gave the Prosecution materials emanating from both the UPDF and ISO. Timothy Kanyogonya was responsible for collecting the materials related to the Prosecution's cooperation requests. P-0078, a fellow UPDF officer, assisted him.
- 635. P-0027 of the ISO is the other person who gave a significant number of materials to the Prosecution. 1158
- 636. When it received materials from either Timothy Kanyogonya or P-0027, the Prosecution prepared a pre-registration form memorialising the exchange. The forms vary as to their completeness, but all contain a date, description of contents, and a name of the government official providing the materials to the Prosecution. Generally, Timothy Kanyogonya or P-0027 also signed the form. 1160

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¹¹⁵² P-0125: <u>T-135</u>, p. 64, line 17 – p. 65, line 18; P-0126 First Statement, UGA-OTP-0253-0764-R01, at paras 47, 57, 60; P-0370 Statement, UGA-OTP-0258-0687-R01, at paras 37-38, 42.

¹¹⁵³ P-0126 First Statement, UGA-OTP-0253-0764-R01, at paras 47-48, 61; P-0370 Statement, UGA-OTP-0258-0687-R01, at para. 27.

¹¹⁵⁴ P-0038: <u>T-116</u>; <u>T-117</u>; P-0038 First Statement, UGA-OTP-0069-0784-R01; P-0038 Second Statement, UGA-OTP-0244-0912-R01.

¹¹⁵⁵ P-0038: <u>T-116</u>, p. 4, lines 18-23, p. 8, line 3 – p. 9, line 2; P-0038 CV, UGA-OTP-0279-0294.

¹¹⁵⁶ P-0038: <u>T-116</u>, p. 10, lines 7-20, p. 34, line 19 – p. 35, line 1, p. 39, lines 1-20; <u>T-117</u>, p. 20, line 12 – p. 22, line 2

¹¹⁵⁷ P-0038: T-116-CONF, p. 44, line 19 – p. 50, line 15; T-117-CONF, p. 42, line 11 – p. 46, line 21.

¹¹⁵⁸ P-0027 First Statement, UGA-OTP-0207-0256-R01, at paras 21-25; P-0027 Second Statement, UGA-OTP-0249-0444-R01, at paras 30 (d)-(e), 34-39.

¹¹⁵⁹ P-0038 Pre-Registration Forms, UGA-OTP-0244-0920-R01; P-0027 Pre-Registration Forms, UGA-OTP-0246-0039-R01.

¹¹⁶⁰ For P-0038, out of 27 pre-registration forms, six do not bear a 'source signature', but indicate the witness's name as source (*see* UGA-OTP-0244-0920-R01, at 0926, 0934, 0935, 0936, 0938, 0940); for P-0027, out of 20

- b. Assessment of intercept evidence
- 637. The Chamber will now set out its assessment of the intercept related evidence before it.
- 638. Preliminarily, the Defence raised two general objections to these materials when the Prosecution submitted the bulk of them prior to trial. These are repeated in the Defence Closing Brief.
- 639. First, the Defence variously argued before the trial that these materials required witness testimony prior to being introduced. The Defence subsequently argued that these materials are insufficiently authenticated. 1163
- 640. Although the Chamber disagreed and recognised these items as submitted prior to trial, the Chamber notes that it received testimony from over 20 witnesses on this evidence. The Chamber considers this general objection to be without merit, also bearing in mind that the authenticity of recordings and other intercept material relied upon is further discussed in this section.
- 641. Second, the Defence argues that matters like technical difficulties or improperly destroyed records mean that the evidence before the Chamber is not a complete collection of all LRA communications during the relevant period. 1164
- 642. By definition, all the intercept evidence the Chamber has actually received is unaffected by technical difficulties or improperly destroyed records. As put by P-0059: 'whatever was brought before court here was not destroyed and that's why we were able to hear it.' While it can be reasonably assumed that the intercept materials available in these proceedings do not cover the totality of LRA radio communications during the relevant

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pre-registration forms, only one does not bear a 'source signature', but indicates the witness's name as source (UGA-OTP-0246-0039-R01, at 0042; *see also* P-0027 Second Statement, UGA-OTP-0249-0444-R01, at para. 34(d)). Given the overall content of the forms as well as their similarity with the forms which do bear signatures, the Chamber is satisfied that despite the missing source signatures, the materials mentioned in the forms were indeed provided by the two witnesses respectively.

^{1161 &}lt;u>Defence Response to "Prosecution's formal submission of intercept evidence via the 'bar table'" (ICC-02/04-01/15-580)</u>, 21 November 2016, ICC-02/04-01/15-599 (hereinafter: '<u>Defence Response to Prosecution's Submission of Intercept Material</u>'), *summarised in <u>Decision on Prosecution's Submission of Intercept Material</u>, paras 14-21.*

Defence Response to Prosecution's Submission of Intercept Material, paras 17, 25-29.

Defence Closing Brief, paras 234-40.

Defence Response to Prosecution's Submission of Intercept Material, paras 18-24, 35-44.

¹¹⁶⁵ P-0059: T-38-CONF, p. 41, line 21 – p. 42, line 15.

time period, the Chamber, in light of all the relevant evidence before it, considers that this probability does not have a bearing on the Chamber's ability to assess what is available. The Chamber therefore considers that such concerns have no impact on the evidence actually before it.

- 643. Relatedly, the Defence submits that most of the intercept material lacks relevance and has not been translated or interpreted by witnesses during trial, for which reason also the related logbooks and rough notes should not be relied upon. 1166 As further outlined below, the Chamber has focused in its review of the material on those audio recordings which could be understood in a working language of the Court. 1167 At the same time, the Chamber considers that the discussion of specific audio recordings further below also demonstrates the reliability of the logbook entries in general, irrespective of whether a related audio recording was translated and as such could be independently and in conjunction assessed by the Chamber. 1168 The material which is before the Chamber and could be reviewed in a working language of the Court in itself, and even more so in combination with an abundance of witness evidence which confirms the veracity of the interception procedures, provides sufficient context for the Chamber's analysis of this material.
- 644. The argument that the aim for which the intercepts were taken for military and not judicial purposes – impacts their reliability, ¹¹⁶⁹ is rejected. While this could, theoretically, affect the probative value of the material, the Chamber fails to see why the purpose of the intercept operations automatically diminishes the reliability of the obtained items. As to the Defence assertions that there is an intrinsic bias which may not reflect exculpatory exchanges¹¹⁷⁰ or that the intercept collection is incomplete, ¹¹⁷¹ the Chamber's obligation is to consider only evidence submitted and discussed at trial. It cannot speculate as to what further evidence there could have been. Contrary to the Defence's suggestion, 1172 whatever interest the Ugandan government has in convicting the accused cannot alone

¹¹⁶⁶ Defence Closing Brief, paras 232-233.

 $[\]overline{See}$ paras 648-650 below.

¹¹⁶⁸ See section IV.B.3.ii below.

¹¹⁶⁹ Defence Closing Brief, paras 243-48; Defence Response to Prosecution's Submission of Intercept Material, para. 21.

¹¹⁷⁰ Defence Closing Brief, paras 253-56; Defence Response to Prosecution's Submission of Intercept Material, para. 22.

¹¹⁷¹ Defence Closing Brief, paras 257-62, 288, 295, 315.

Defence Closing Brief, para. 263.

justify any inference that the intercepts were tampered with or selectively provided in any way. There is no evidence any such issues occurred, nor did the Defence present any evidence that undermines the reliability or probative value of the intercepted material. There is no indication that any further intercept evidence – if it even exists – would be meaningfully different than the voluminous materials presented. Accordingly, the Defence arguments on these points are dismissed.

i Chain of custody evidence

- 645. The Chamber considers that Timothy Kanyogonya's testimony was clear, consistent and reliable. Timothy Kanyogonya explained with care that his provision of material to the Prosecution did not give him any broader expertise as to what these materials could mean. 1173 The Defence challenged that the materials Timothy Kanyogonya provided are incomplete¹¹⁷⁴ and why he selected the materials he did in response to the Prosecution's assistance requests. 1175 The Chamber considers such challenges to be irrelevant to the question of whether the materials Timothy Kanyogonya provided are authentic. The Chamber is fully satisfied that Timothy Kanyogonya provided the items indicated in his pre-registration forms to the Prosecution. The occasions when other witnesses independently verified the provenance of Timothy Kanyogonya's materials bolsters this assessment 1176 and reinforces the overall accuracy of Timothy Kanyogonya's preregistration forms.
- 646. Similarly, the Chamber is also satisfied from P-0027's prior recorded testimony that he provided the materials specified in his pre-registration forms to the Prosecution.
- 647. For purposes of evaluating the chain of custody of specific recordings, the Chamber has used the dates provided in the e-court metadata to isolate the pre-registration form

¹¹⁷⁶ *E.g.* paragraphs 760, 798 below.

¹¹⁷³ P-0038: <u>T-116</u>, p. 24, line 8 – p. 26, line 18, p. 29, line 7 – p. 30, line 2, p. 73, lines 7-20, p. 79, lines 16-24; T-117, p. 18, lines 5-23 ('[w]e have a whole intelligence department with so many different actors. There is an analysis branch of this department, where I belong. I was scanning through basically thousands of documents. I do not think I even had the competence to go through all these letters that I handed over to the ICC. There's no way. It would have taken me years. Some of these documents I'm just even reading them now, selecting documents because of relevance does not mean I have read them thoroughly').

¹¹⁷⁴ P-0038: <u>T-116</u>, p. 18, line 21 – p. 23, line 1.

¹¹⁷⁵ P-0038: <u>T-116</u>, p. 15, line 6 – p. 16, line 25; <u>T-117</u>, p. 48, line 21 – p. 53, line 12, p. 55, line 11 – p. 56, line 14, p. 60, line 6 – p. 62, line 13. *See also* <u>T-117</u>, p. 18, line 24 – p. 19, line 13.

corresponding to that particular recording. The Chamber has then verified that the preregistration form's contents are consistent with this metadata.

ii Audio recordings

- 648. The original audio cassettes prepared by the UPDF and ISO are the initial recordings of intercepted radio communications. Short hand notes were prepared while the original audio cassettes were being recorded, and both served as basis for the logbook summaries. The enhanced audios prepared at a later stage are equally derived from the original audio cassettes. The Chamber notes that not all of these recordings have translated transcripts, and accordingly has focused only on those recordings which could be understood in the working languages of the Court. 1177
- 649. The audio cassettes provide limited information as to when they were recorded. Some cassettes either on their label or the cassette paper provide information on the date of the recording or its contents. ¹¹⁷⁸ Most do not. Tapes coming specifically from ISO provide the serial tape number, but often nothing else. ¹¹⁷⁹ This necessitates the Chamber having to consult the corresponding ISO logbook in order to date the tape in question.
- 650. The contents of the audio recordings are in non-working languages, predominantly Acholi or Luo. They are impossible for the Chamber to understand without translated transcripts, and even then generally require further testimony from witnesses to understand their contents. The Chamber does not consider it has the requisite ability to identify voices on these recordings itself, and has resorted to witness testimony for such identifications. What the Chamber has been able to discern from the original recordings is only the general impression that all intercepts concern men speaking in a non-working language over the radio.

iii Enhanced audio recordings

651. The Prosecution selected certain audio recordings for enhancement. Two witnesses were primarily responsible for this enhancement: Alan French¹¹⁸⁰ and Xavier Laroche.¹¹⁸¹ In

¹¹⁷⁷ See Defence Closing Brief, para. 293.

¹¹⁷⁸ E.g. Audio Cassette, UGA-OTP-0039-0006; Audio Cassette, UGA-OTP-0025-0625.

¹¹⁷⁹ E.g. Audio Cassette, UGA-OTP-0052-0026.

¹¹⁸⁰ P-0242: <u>T-128</u>; P-0242 Statement, UGA-OTP-0261-0333-R01.

¹¹⁸¹ P-0256: <u>T-119</u>; P-0256 Statement, UGA-OTP-0269-0015.

the words of Alan French, the enhancement exercise was 'striving to enhance the quality of audio material to enable members of the court to comprehend or interpret the material to the best possible standard without adding to or detracting from the content of the original'. 1182 The intercept witnesses were primarily played enhanced audios during their testimony, requiring the Chamber to further assess the probative value of the enhanced audios.

- 652. Alan French is an audio forensic specialist, who set out his detailed experience in enhancing audio for purposes of criminal proceedings. 1183 The audio-enhancement company where Alan French works sells specialised software to clients who want to conduct their own audio enhancement. 1184 The Prosecution is one such client, and Xavier Laroche is the Prosecution forensic officer trained by Alan French to conduct this enhancement. 1185 Xavier Laroche enhanced audios with the assistance of one of his colleagues, also trained by Alan French. 1186
- 653. The Chamber found both Alan French and Xavier Laroche to be truthful witnesses, and takes note of the careful steps taken by each to ensure that no speech would be lost in the process of audio enhancement. 1187 The Chamber considers the Defence's arguments that content could have possibly been lost/distorted is speculative and without a clear evidentiary basis. 1188 Xavier Laroche was quite clear in stating his limitations in this field, and that he was unable to answer specific questions on the science of audio enhancement. Xavier Laroche often deferred to Alan French's expertise on these points. 1189 Thus, the Chamber has given more weight to Alan French on matters related to the science or mechanics of audio enhancement. But, noting Xavier Laroche's own training and

¹¹⁸² P-0242 Statement, UGA-OTP-0261-0333-R01, at para. 17; P-0242; T-128, p. 12, line 2 – p. 13, line 2.

¹¹⁸³ P-0242: <u>T-128</u>, p. 4, lines 11-16, p. 18, line 16 – p. 20, line 17; P-0242 CV, UGA-OTP-0261-0343-R01.

¹¹⁸⁴ P-0242: <u>T-128</u>, p. 4, lines 11-16, p. 20, line 18 – p. 21, line 9.

¹¹⁸⁵ P-0242: T-128, p. 21, line 16 – p. 23, line 15, p. 57, lines 1-13; P-0256: T-119, p. 18, line 6 – p. 19, line 1.

¹¹⁸⁶ P-0256: T-119, p. 18, line 6 – p. 19, line 1, p. 20, line 6 – p. 24, line 3.

1187 P-0242: T-128, p. 13, line 3 – p. 16, line 11; P-0256: T-119, p. 25, lines 1-22, p. 32, lines 12-23, p. 39, line 18 – p. 40, line 18. The Defence argues that the Chamber draw adverse inferences due to it having insufficient time to consider Alan French's documents outlining his enhancement process. Defence Closing Brief, para. 277. The Defence had this information in 2017 – any time constraints it had to consider these materials cannot still be true. ¹¹⁸⁸ See Defence Closing Brief, paras 269-74, 276.

¹¹⁸⁹ P-0256: <u>T-119</u>, p. 25, lines 19-22, p. 30, lines 2-15, p. 34, lines 2-16, p. 36, line 10 – p. 37, line 21, p. 40, line 25 – p. 41, line 7, p. 44, line 5 – p. 45, line 3.

experience, ¹¹⁹⁰ the Chamber is satisfied that Alan French and Xavier Laroche both have the requisite qualifications to enhance audio recordings. ¹¹⁹¹

- 654. When evaluating a particular enhanced audio, the Chamber has considered various indicators to ensure that an enhanced audio is a copy of an original. The Chamber verified whether the labelling of the enhanced audio matches the original recording it is purportedly linked to. The Chamber listened to the enhanced audio to ensure it has at least the same general impression that it contains men speaking in a non-working language over the radio. In this broad sense, and despite being unable to understand the non-working languages spoken, the Chamber checked that the enhanced audio contents are consistent with the original audios. The Chamber also compared the recorded track times on the original and enhanced tapes to see if they sufficiently correspond. If more than *de minimis* discrepancies exist, the Chamber endeavoured to determine why this was so. The Chamber has also considered these indicators against the full body of evidence, meaning that there may still be sufficient evidence to conclusively link an enhanced audio to an original even if for instance the original/enhanced audio track times do not align.
- 655. The Chamber finds nothing in the evidence to suggest that the enhanced audios are anything other than faithful reproductions of the originals. It must be emphasised that the Prosecution always provided the unenhanced and enhanced recordings together, so that any listener could identify potential discrepancies in the recorded contents. 1192 Alan French and Xavier Laroche also provided technical reports on the exact processes applied to each audio. 1193 The Defence spoke of many possible issues which can come from enhancing an audio, 1194 but generally made no substantiated challenge that any of these

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 $^{^{1190}}$ P-0256: $\underline{\text{T-}119}$, p. 7, line 25 – p. 8, line 5, p. 14, line 23 – p. 16, line 21; P-0256 CV, UGA-OTP-0269-0034, at 0035

¹¹⁹¹ See Defence Closing Brief, paras 264-68.

¹¹⁹² See P-0242: <u>T-128</u>, p. 16, lines 6-11 ('I mean, one of the things in this particular case that we have done almost as like a safety net is also to supply enhanced versions of the cassette tapes as digital files but also unenhanced versions of the, of the cassette tapes so that if there was any question about whether or not the enhanced material was of poorer quality than the original material, the two could be compared.').

¹¹⁹³ E.g. Process Manager Chain for UGA-OTP-0039-0006, UGA-OTP-0281-1219; Process Manager Chain for UGA-OTP-0195-0022, UGA-OTP-0247-1199; Processing Chain for UGA-OTP-0053-0046, UGA-OTP-0261-0349-R01.

 $^{^{1194}}$ P-0242: $\underline{\text{T-}128}$, p. 25, line 6 – p. 28, line 21 (possibility of non-Acholi enhancer altering Acholi words), p. 37, lines 3-25, p. 80, line 19 – p. 81, line 21 (possibility of edits being made to the original tape), p. 38, line 1 – p. 40, line 12 (possibility that part of a cassette recorded prior to being re-copied survives on the re-copied version), p. 60, line 13 – p. 62, line 6, p. 64, line 7 – p. 68, line 16 (possibility that adaptive filters unduly affected the quality of the recording, but only directing witness to a recording where an adaptive filter was applied), p. 70, line 25 – p. 71, line 11 (possibility of altering voices when removing frequencies).

issues affected the particular recordings in this case. Even when the Defence did exceptionally do so, its arguments failed to persuade. 1195

656. The Chamber cannot find reasonable doubt that the intercepted audio recordings (as enhanced) are altered on the basis of abstract possibilities not grounded in the evidentiary record. The Chamber emphasises that no witness played the enhanced audios – including former LRA soldiers – commented on there being anything unusual about the way they sounded. The Chamber is also able to compare the intercept witnesses' summaries of the enhanced audio to the logbook entries prepared contemporaneously to when the recording occurred. When the summary matches the logbook – as it consistently did – then this further demonstrates that the audio enhancement did not distort the spoken contents of the original tape.

657. For these reasons, the Chamber considers the enhanced audios to be accurate enhancements of the originals and fully reliable.

iv Interceptor logbooks

- 658. The primary interceptor logbooks are a contemporaneous written record of the LRA's intercepted communications. They are written in plain language, and have formed an essential part of the Chamber's assessment of particular recordings.
- 659. The logbooks before the Chamber are written in a systematic manner, have marks indicating that commanding officers read them, and summarise LRA communications. They give every indication of being what the witnesses describe them to be, and the various witnesses who authored or were otherwise familiar with these books identified them in the course of their testimony. The Chamber can confirm the provenance of the following logbooks:

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¹¹⁹⁵ See paras 772-773 below.

i. UPDF (Gulu): UGA-OTP-0254-3399;¹¹⁹⁶ UGA-OTP-0254-0455;¹¹⁹⁷ UGA-OTP-0254-0229;¹¹⁹⁸ UGA-OTP-0254-0725;¹¹⁹⁹ UGA-OTP-0254-1077;¹²⁰⁰ UGA-OTP-0254-2982;¹²⁰¹ UGA-OTP-0254-3833;¹²⁰² UGA-OTP-0254-4143.¹²⁰³

¹¹⁹⁸ Re-scan of UGA-OTP-0197-2040. It is noted that there seem to have been errors in scanning this logbook. Both re-scanned and original books clearly start with the same entry (dated 12 October 2003), but the re-scanned book contains additional entries not scanned in the original. These errors make it impossible to determine exactly when this logbook ends. Nevertheless, the authenticity of the overlapping pages is established, as this book shows identical characteristics to all UPDF logbooks the authenticity of which is considered established, the ecourt metadata indicates that P-0038 of the UPDF provided this book to the Prosecution on 1 August 2015, a pre-registration form signed by P-0038 confirms that certain UPDF logbooks (including with the sequence number 23, which can be found on a separate slip of paper scanned in UGA-OTP-0254-0229, at 0258-59) were provided on this date (UGA-OTP-0244-0920-R01, at 0944), and the beginning of this book fits in chronological sequence with another Gulu UPDF logbook the authenticity of which is also established (book UGA-OTP-0254-0725's last entry is 11 October 2003, the day before this book opens).

1199 The Chamber is satisfied of the authenticity of this logbook, considering the testimony provided by Witness P-0400 in this regard (P-0400 Statement, UGA-OTP-0264-0015-R01, at para. 44(k)), and considering that it shows identical characteristics to other UPDF logbooks, that its ecourt metadata indicates that P-0038 of the UPDF provided this book to the Prosecution on 1 August 2015, that a pre-registration form signed by P-0038 confirms that logbooks 'UPDF 0024 to UPDF 0029' were provided on this date (UGA-OTP-0244-0920-R01, at 0944), that 'UPDF 0028' appears in a slip of paper in this logbook, matching the identification number range in the pre-registration from (*see* UGA-OTP-0254-0725, at 0726-27), and that this book fits in chronological sequence with other Gulu UPDF logbooks (book UGA-OTP-0254-0455's last entry is 25 June 2003 at 11:00, the day before this book opens, while book UGA-OTP-0254-0229's first entry is 12 October 2003 at 8:00, the day after this book is closed).

1200 The Chamber is satisfied of the authenticity of this logbook, considering the testimony provided by Witness P-0400 in this regard (P-0400 Statement, UGA-OTP-0264-0015-R01, at para. 44(1)), and considering that it shows identical characteristics to other UPDF logbooks, that its ecourt metadata indicates that P-0038 of the UPDF provided this book to the Prosecution on 1 August 2015, that a pre-registration form signed by P-0038 confirms that logbooks 'UPDF 0024 to 0029' were provided on this date (UGA-OTP-0244-0920-R01, at 0944), that 'UPDF 0029' appears in a slip of paper in this logbook, matching the identification number range in the pre-registration form (*see* UGA-OTP-0254-1077, at 1078-79), and that this book fits in chronological sequence with another Gulu UPDF logbook (book UGA-OTP-0254-3833's last entry is 3 December 2004, at 11:00, while this logbook starts on 3 December 2004, at 18:30).

Re-scan of UGA-OTP-0197-1670. The Chamber is satisfied of the authenticity of this logbook, considering the testimony provided by Witnesses P-0003, P-0400 and P-0404 in this regard (P-0003: <u>T-42</u>, p. 32, line 25 – p. 36, line 10; <u>T-44</u>, p. 34, line 20 – p. 36, line 18; P-0400 Statement, UGA-OTP-0264-0015-R01, at para. 44(b); P-0404 Statement, UGA-OTP-0267-0470-R01, at para. 49(a)), and considering that it shows identical characteristics to other UPDF logbooks, that its ecourt metadata indicates that P-0038 of the UPDF provided this book to the

¹¹⁹⁶ Re-scan of UGA-OTP-0197-2162. The Chamber is satisfied of the authenticity of this logbook, containing entries from 5 January 2003 − 5 April 2003, considering that it shows identical characteristics to all UPDF logbooks the authenticity of which is considered established, that its ecourt metadata indicates that P-0038 of the UPDF provided this re-scanned book to the Prosecution on 1 August 2015, that a pre-registration form signed by P-0038 confirms that logbooks 'UPDF 0024 to UPDF 0029' were provided on this date (UGA-OTP-0244-0920-R01, at 0944), and that 'UPDF 0024' appears in a slip of paper in this logbook, matching the identification number range in the pre-registration form (*see* UGA-OTP-0254-3399, at 3400).

¹¹⁹⁷ Re-scan of UGA-OTP-0197-1224. The Chamber is satisfied of the authenticity of this logbook, containing entries from 8 April 2003 – 25 June 2003, considering that it shows identical characteristics to all UPDF logbooks the authenticity of which is considered established, that its ecourt metadata indicates that P-0038 of the UPDF provided this re-scanned book to the Prosecution on 1 August 2015, that a pre-registration form signed by P-0038 confirms that logbooks 'UPDF 0024 to UPDF 0029' were provided on this date (UGA-OTP-0244-0920-R01, at 0944), that 'UPDF 0025' appears in a slip of paper in this logbook, matching the identification number range in the pre-registration form (*see* UGA-OTP-0254-0455, at 0456-0457 – the slip of paper is scanned backwards), and that this book fits in chronological sequence with another Gulu UPDF logbook the authenticity of which is also established (book UGA-OTP-0254-0725's first entry is 26 June 2003, the day after the book at issue closed).

ii. UPDF (Achol Pii): UGA-OTP-0242-6018; 1204 UGA-OTP-0242-7309. 1205

Prosecution on 1 August 2015, that a pre-registration form signed by P-0038 confirms that logbooks 'UPDF 0021 to 0023' were provided on this date (UGA-OTP-0244-0920-R01, at 0944), that 'UPDF 0022' appears in a slip of paper in this logbook, matching the identification number range in the pre-registration form (see UGA-OTP-0254-2982, at 2983), and that this book fits in chronological sequence with other UPDF Gulu logbooks (book UGA-OTP-0254-4143's last entry is 21 April 2004 at 9:00, while this book opens on 21 April 2004 at 18:30, and book UGA-OTP-0254-3833's first entry is 2 August 2004 at 11:00, while this book closes on 2 August 2004 at 9:00). ¹²⁰² Re-scan of UGA-OTP-0197-0308. The Chamber is satisfied of the authenticity of this logbook, considering the testimony provided by Witnesses P-0400 and P-0404 in this regard (P-0400 Statement, UGA-OTP-0264-0015-R01, at para. 44(1); P-0404 Statement, UGA-OTP-0267-0470-R01, at para. 49(b)), and considering that it shows identical characteristics to other UPDF logbooks, that its ecourt metadata indicates that P-0038 of the UPDF provided this book to the Prosecution on 1 August 2015, that a pre-registration form signed by P-0038 confirms that logbooks 'UPDF 0024 to UPDF 0029' were provided on this date (UGA-OTP-0244-0920-R01, at 0944), that 'UPDF 0026' appears in a slip of paper in this logbook, matching the identification number range in the preregistration from (see UGA-OTP-0254-3833, at 3834), and that this book fits in chronological sequence with other Gulu UPDF logbooks (book UGA-OTP-0254-2982's last entry is 2 August 2004 at 9:00, while this book opens on 2 August 2004 at 11:00, and book UGA-OTP-0254-1077's first entry is 3 December 2004 at 18:30, while this book closes on 3 December 2004 at 11:00). The Chamber notes that P-0339 also identified as his the handwriting on a number of pages which were identified by P-0404 to be his handwriting (see P-0339: T-134, p. 34, line 22 – p. 35, line 17). However, in light of the fact that two other witnesses identified this logbook as having been written in Gulu, and bearing in mind that it concerned a time at which P-0339 no longer worked in Gulu, but rather in Lira (the book contains entries from 2 August 2004 – 3 December 2004), the Chamber disregards the evidence of P-0339 on this point.

1203 Re-scan of UGA-OTP-0197-0697. The Chamber is satisfied of the authenticity of this logbook, considering the testimony provided by Witness P-0400 in this regard (P-0400 Statement, UGA-OTP-0264-0015-R01, at para. 44(a)), and considering that it shows identical characteristics to other UPDF logbooks, that its ecourt metadata indicates that P-0038 of the UPDF provided this book to the Prosecution on 1 August 2015, that a pre-registration form signed by P-0038 confirms that logbooks 'UPDF 0024 to UPDF 0029' were provided on this date (UGA-OTP-0244-0920-R01, at 0944), that 'UPDF 0027' appears in a slip of paper in this logbook, matching the identification number range in the pre-registration from (*see* UGA-OTP-0254-4143, at 4153), and that this book fits in chronological sequence with other Gulu UPDF logbooks (book UGA-OTP-0254-0229's last entry is 10 December at 11:00, the day before this book opens, while book UGA-OTP-0254-2982's first entry is 21 April 2004 at 18:30, and this book closes on 21 April 2004 at 9:00).

1204 The Chamber is satisfied of the authenticity of this logbook, considering the testimony provided by Witness P-0400 in this regard (P-0400 Statement, UGA-OTP-0264-0015-R01, at para. 44(f)), and considering that its ecourt metadata indicates that P-0038 of the UPDF provided this book to the Prosecution on 29 May 2015, that a pre-registration form signed by P-0038 on 1 August 2015 (and indicating a 'collection date' of 29 May 2015) confirms that logbooks 'UPDF 0015 to 0017' were provided on this date (UGA-OTP-0244-0920-R01, at 0943), that 'UPDF 0015' appears in a slip of paper in this logbook, matching the identification number range in the pre-registration form (*see* UGA-OTP-0242-6018, at 6019), and that this book fits in chronological sequence with another Achol Pii UPDF logbook (book UGA-OTP-0242-7309's first entry is 21 November 2003, the day after this book closes).

¹²⁰⁵ The Chamber is satisfied of the authenticity of this logbook, considering the testimony provided by Witness P-0400 in this regard (P-0400 Statement, UGA-OTP-0264-0015-R01, at para. 44(g)), and considering that its ecourt metadata indicates that P-0038 of the UPDF provided this book to the Prosecution on 29 May 2015, that a pre-registration form signed by P-0038 on 1 August 2015 (and indicating a 'collection date' of 29 May 2015) confirms that logbooks 'UPDF 007 to 0010' were provided on this date (UGA-OTP-0244-0920-R01, at 0942), that 'UPDF 0008' appears in a slip of paper in this logbook, matching the identification number range in the pre-registration form (*see* UGA-OTP-0242-7309, at 7309), and that this book fits in chronological sequence with another Achol Pii UPDF logbook (book UGA-OTP-0242-6018's last entry is 20 November 2003, the day before this book opens).

- iii. UPDF (Soroti): UGA-OTP-0254-2284;¹²⁰⁶ UGA-OTP-0242-6212;¹²⁰⁷ UGA-OTP-0254-1991.¹²⁰⁸
- iv. UPDF (Lira): UGA-OTP-0255-0228; 1209 UGA-OTP-0255-0451. 1210

1206 Re-scan of UGA-OTP-0197-1866. The Chamber is satisfied of the authenticity of this logbook, considering the testimony provided by Witness P-0339 in this regard (P-0339: T-134, p. 36, lines 19-24), and considering that its ecourt metadata indicates that P-0038 of the UPDF provided this book to the Prosecution on 29 May 2015, that a pre-registration form signed by P-0038 on 1 August 2015 (and indicating a 'collection date' of 29 May 2015) confirms that logbooks 'UPDF 0011 to 0012' were provided on this date (UGA-OTP-0244-0920-R01, at 0942), that 'UPDF 0012' appears in a slip of paper in this logbook, matching the identification number range in the pre-registration form (*see* UGA-OTP-0254-2284, at 2285), and that this book fits in chronological sequence with another Soroti UPDF logbook (book UGA-OTP-0254-1991's last entry is 13 November 2003 at 11:00 (also headed 'Compact No. 4'), while this book opens on 13 November 2003 at 11:00 (equally headed 'Compact No. 4'). P-0400 identified this book as emanating from Lira, noting that he recognised P-0339's handwriting within it. P-0400 Statement, UGA-OTP-0264-0015-R01, at para. 44(d). P-0339 testified to recording some of this book in both Soroti and Lira and therefore, there is no significant inconsistency. P-0339: T-134, p. 30, lines 15-19; T-135, p. 11, line 24 – p. 13, line 10.

The Chamber is satisfied of the authenticity of this logbook, considering the testimony provided by Witness P-0339 in this regard (P-0339: T-134, p. 37, lines 2-13), and considering that its ecourt metadata indicates that P-0038 of the UPDF provided this book to the Prosecution on 29 May 2015, that a pre-registration form signed by P-0038 on 1 August 2015 (and indicating a 'collection date' of 29 May 2015) confirms that logbooks 'UPDF 0015 to 0017' were provided on this date (UGA-OTP-0244-0920-R01, at 0943), and that 'UPDF 0016' appears in a slip of paper in this logbook, matching the identification number range in the pre-registration form (*see* UGA-OTP-0242-6212, at 6213).

1208 Re-scan of UGA-OTP-0197-1078. The Chamber is satisfied of the authenticity of this logbook, considering the testimony provided by Witness P-0339 in this regard (P-0339: T-134, p. 36, lines 9-18), and considering that its ecourt metadata indicates that P-0038 of the UPDF provided this book to the Prosecution on 29 May 2015, that a pre-registration form signed by P-0038 on 1 August 2015 (and indicating a 'collection date' of 29 May 2015) confirms that logbooks 'UPDF 0011 to 0012' were provided on this date (UGA-OTP-0244-0920-R01, at 0942), that 'UPDF 0011' appears in a slip of paper in this logbook, matching the identification number range in the pre-registration form (*see* UGA-OTP-0254-1991, at 1992), and that this book fits in chronological sequence with another Soroti UPDF logbook (book UGA-OTP-0254-2284's first entry is 13 November 2003 at 11:00 (also headed 'Compact No. 4'), while this book closes on 13 November 2003 at 11:00 (equally headed 'Compact No. 4').

1209 The Chamber is satisfied of the authenticity of this logbook, considering the testimony provided by Witness P-0339 in this regard (P-0339: T-134, p. 39, lines 17-23), and considering that its ecourt metadata indicates that P-0038 of the UPDF provided this book to the Prosecution on 24 August 2015, that a pre-registration form signed by P-0038 on this date indicates that '3 message books' were provided on this date (UGA-OTP-0244-0920-R01, at 0946), and that this book fits in chronological sequence with another Lira UPDF logbook (book UGA-OTP-0255-0451's first entry is 8 August 2004 at 11:30, while this book closes on 8 August 2004 at 9:21). The Defence pointed P-0339 to his prior statement whereby he did not recognise his handwriting on page 0231 of this logbook – P-0339 indicated his prior statement is in error on this point. T-135, p. 13, line 13 to – p. 14, line 6. Whatever confusion occurred here does not affect the authenticity of this logbook, noting that no challenge is raised against P-0339 further identifying his handwriting on page 0409 of this same book. It is also noted that there appear to be two different handwritings on both pages 0231 and 0409 – the writing in black ink on p. 0231 appears to match that found on the top half of p. 0409.

¹²¹⁰ The Chamber is satisfied of the authenticity of this logbook, considering the testimony provided by Witnesses P-0339 and P-0400 in this regard (P-0339: <u>T-134</u>, p. 39, line 24 – p. 40, line 3; P-0400 Statement, UGA-OTP-0264-0015-R01, at para. 44(e)), and considering that its ecourt metadata indicates that P-0038 of the UPDF provided this book to the Prosecution on 24 August 2015, that a pre-registration form signed by P-0038 on this date indicates that '3 message books' were provided on this date (UGA-OTP-0244-0920-R01, at 0946), and that this book fits in chronological sequence with another Lira UPDF logbook (book UGA-OTP-0255-0228's last entry is 8 August 2004 at 9:21, while this book opens on 8 August 2004 at 11:30).

- v. UPDF (P-0400 Sudan Logbook): UGA-OTP-0242-7194. 1211
- vi. ISO (Gulu): UGA-OTP-0061-0002; ¹²¹² UGA-OTP-0061-0206; ¹²¹³ UGA-OTP-0062-0002; ¹²¹⁴ UGA-OTP-0062-0145; ¹²¹⁵

1213 The Chamber is satisfied of the authenticity of this logbook, considering the testimony provided by Witness P-0386 in this regard (P-0386 Statement, UGA-OTP-0260-0508-R01, at para. 29(h)), and considering that it shows identical characteristics to other Gulu ISO logbooks the authenticity of which is also established (most notably tick marks or the word 'seen' following many entries, *see* P-0032 Third Statement, UGA-OTP-0246-0003-R01, at para. 33), that the ecourt metadata indicates that P-0027 of the ISO provided this book to the Prosecution on 7 March 2005, that a pre-registration form signed by P-0027 confirms that 18 'Books English Transcripts' were provided on this date (Pre-Registration Form, UGA-OTP-0246-0039-R01, at 0040; P-0027 Second Statement, UGA-OTP-0249-0444-R01, at para. 34(b)), and that this book fits in chronological sequence with other Gulu ISO logbooks (book UGA-OTP-0061-0002's last entry is 1 April 2004 at 18:30 (with tape 790B+791A), while this book opens on 2 April 2004 at 9:00 (with tape 791A), and book UGA-OTP-0062-0002's first entry is 30 May 2004 at 18:30 (with tape 830B), while this book closes on 30 May 2004 at 8:00 (with tape 830A)).

¹²¹⁴ The Chamber is satisfied of the authenticity of this logbook, considering the testimony provided by Witness P-0386 in this regard (P-0386 Statement, UGA-OTP-0260-0508-R01, at para. 29(g)), and considering that it shows identical characteristics to other Gulu ISO logbooks the authenticity of which is also established (most notably tick marks or the word 'seen' following many entries, see P-0032 Third Statement, UGA-OTP-0246-0003-R01, at para. 33), that the ecourt metadata indicates that P-0027 of the ISO provided this book to the Prosecution on 7 March 2005, that a pre-registration form signed by P-0027 confirms that 18 'Books English Transcripts' were provided on this date (Pre-Registration Form, UGA-OTP-0246-0039-R01, at 0040; P-0027 Second Statement, UGA-OTP-0249-0444-R01, at para. 34(b)), and that this book fits in chronological sequence with other Gulu ISO logbooks (book UGA-OTP-0061-0206's last entry is 30 May 2004 at 8:00 (with tape 830A), while this book opens on 30 May 2004 at 18:30 (with tape 830B), and book UGA-OTP-0062-0145's first entry is 30 July 2004 at 9:00 (with tape 874B+875A), while this book closes on 29 July 2004 at 18:30 (with tape 874B)). ¹²¹⁵ The Chamber is satisfied of the authenticity of this logbook, considering the testimony provided by Witness P-0386 in this regard (P-0386 Statement, UGA-OTP-0260-0508-R01, at para. 29(f)), and considering that it shows identical characteristics to other Gulu ISO logbooks the authenticity of which is also established (most notably tick marks or the word 'seen' following many entries, see P-0032 Third Statement, UGA-OTP-0246-0003-R01, at para. 33), that the ecourt metadata indicates that P-0027 of the ISO provided this book to the Prosecution on 7 March 2005, that a pre-registration form signed by P-0027 confirms that 18 'Books English Transcripts' were provided on this date (Pre-Registration Form, UGA-OTP-0246-0039-R01, at 0040; P-0027 Second Statement, UGA-OTP-0249-0444-R01, at para. 34(b)), and that this book fits in chronological sequence with other Gulu ISO logbooks (book UGA-OTP-0062-0002's last entry is 29 July 2004 at 18:30 (with tape 874B), while this book

¹²¹¹ The Chamber is satisfied of the authenticity of this logbook, considering the testimony provided by Witnesses P-0339 and P-0400 in this regard (P-0339: <u>T-134</u>, p. 38, lines 4-8; P-0400 Statement, UGA-OTP-0264-0015-R01, at para. 44(i)), and considering that its ecourt metadata indicates that P-0038 of the UPDF provided this book to the Prosecution on 29 May 2015, that a pre-registration form signed by P-0038 on 1 August 2015 (and indicating a 'collection date' of 29 May 2015) confirms that logbooks 'UPDF 007 to 0010' were provided on this date (UGA-OTP-0244-0920-R01, at 0942), and that 'UPDF 0007' appears in a slip of paper in this logbook, matching the identification number range in the pre-registration form (*see* UGA-OTP-0242-7194, at 7195).

¹²¹² The Chamber is satisfied of the authenticity of this logbook, considering the testimony provided by Witness P-0386 in this regard (P-0386 Statement, UGA-OTP-0260-0508-R01, at para. 29(i)), and considering that it shows identical characteristics to other Gulu ISO logbooks the authenticity of which is also established (most notably tick marks or the word 'seen' following many entries, *see* P-0032 Third Statement, UGA-OTP-0246-0003-R01, at para. 33), that the ecourt metadata indicates that P-0027 of the ISO provided this book to the Prosecution on 7 March 2005, that a pre-registration form signed by P-0027 confirms that 18 'Books English Transcripts' were provided on this date (Pre-Registration Form, UGA-OTP-0246-0039-R01, at 0040; P-0027 Second Statement, UGA-OTP-0249-0444-R01, at para. 34(b)), and that this book fits in chronological sequence with other Gulu ISO logbooks (book UGA-OTP-0066-0002-R01's last entry is 17 January 2004 at 8:00 (with tape 747A), while this book opens on 18 January 2004 at 8:00 (with tape 748A), and book UGA-OTP-0061-0206's first entry is 2 April 2004 at 9:00 (with tape 791A), while this book closes on 1 April 2004 at 18:30 (with tape 790B+791A)).

UGA-OTP-0063-0002; ¹²¹⁶ UGA-OTP-0063-0194; ¹²¹⁷ UGA-OTP-0064-0002; ¹²¹⁸ UGA-OTP-0064-0093; ¹²¹⁹ UGA-OTP-0065-0002; ¹²²⁰ UGA-OTP-0066-0002-

opens on 30 July 2004 at 9:00 (with tape 874B+875A), and book UGA-OTP-0152-0002's first entry is 22 October 2004 at 9:00 (with tape 922B), while this book closes on 21 October 2004 at 18:30 (with tape 922B)).

1217 The Chamber is satisfied of the authenticity of this logbook, considering the testimony provided by Witnesses P-0384 and P-0385 in this regard (P-0384 Statement, UGA-OTP-0260-0491-R01, at para. 28(a); P-0385 Statement, UGA-OTP-0260-0498-R01, at para. 30(a)), and considering that it shows identical characteristics to other Gulu ISO logbooks the authenticity of which is also established (most notably tick marks or the word 'seen' following many entries, *see* P-0032 Third Statement, UGA-OTP-0246-0003-R01, at para. 33), that the ecourt metadata indicates that P-0027 of the ISO provided this book to the Prosecution on 7 March 2005, that a pre-registration form signed by P-0027 confirms that 18 'Books English Transcripts' were provided on this date (Pre-Registration Form, UGA-OTP-0246-0039-R01, at 0040; P-0027 Second Statement, UGA-OTP-0249-0444-R01, at para. 34(b)), and that this book fits in chronological sequence with another Gulu ISO logbook (book UGA-OTP-0064-0002's first entry is 4 August 2002 at 8:00 (with tape 518B), while this book closes on 3 August 2002 at 8:00 (with tape 517B+518B)).

1218 The Chamber is satisfied of the authenticity of this logbook, containing entries from 4 August 2002 – 2 September 2002, considering that it shows identical characteristics to all Gulu ISO logbooks the authenticity of which is also established (most notably tick marks following many entries, *see* P-0032 Third Statement, UGA-OTP-0246-0003-R01, at para. 33), that the ecourt metadata indicates that P-0027 of the ISO provided this book to the Prosecution on 7 March 2005, that a pre-registration form signed by P-0027 confirms that 18 'Books English Transcripts' were provided on this date (Pre-Registration Form, UGA-OTP-0246-0039-R01, at 0040; P-0027 Second Statement, UGA-OTP-0249-0444-R01, at para. 34(b)), and that this book fits in chronological sequence with another Gulu ISO logbook the authenticity of which is also established, with an opening entry of 4 August 2002 (0800, Tape 518B) which follows the last entry in book UGA-OTP-0063-0194, at 0344-47 (on 3 August 2002, at 08:00-11:30, referring to Tape 518A).

¹²¹⁹ This specific book contains entries from 2 September 2002 (1100, Tape 539A) – 23 September 2002 (1100, Tape 553B). The Chamber is satisfied of its authenticity, considering that it this book shows identical characteristics to all Gulu ISO logbooks the authenticity of which is also established (most notably tick marks following many entries, *see* P-0032 Third Statement, UGA-OTP-0246-0003-R01, at para. 33), that the ecourt metadata indicates that P-0027 of the ISO provided this book to the Prosecution on 7 March 2005, that a preregistration form signed by P-0027 confirms that 18 'Books English Transcripts' were provided on this date (Pre-Registration Form, UGA-OTP-0246-0039-R01, at 0040; P-0027 Second Statement, UGA-OTP-0249-0444-R01, at para. 34(b)), and that this book fits in chronological sequence with another Gulu ISO logbook the authenticity of which is considered established, with an opening entry of 24 September 2002 (0800, also referring to Tape 553B. *See* ISO Logbook (Gulu), UGA-OTP-0068-0002, at 0003).

¹²²⁰ The Chamber is satisfied of the authenticity of this logbook, containing entries from 5 November 2002 – 4 January 2003, considering that it shows identical characteristics to all Gulu ISO logbooks the authenticity of which is also established (most notably tick marks or the word 'seen' following many entries, *see* P-0032 Third Statement, UGA-OTP-0246-0003-R01, at para. 33), that the ecourt metadata indicates that P-0027 of the ISO provided this book to the Prosecution on 7 March 2005, that a pre-registration form signed by P-0027 confirms that 18 'Books English Transcripts' were provided on this date (Pre-Registration Form, UGA-OTP-0246-0039-R01, at 0040; P-0027 Second Statement, UGA-OTP-0249-0444-R01, at para. 34(b)), and that this book fits in chronological sequence with another Gulu ISO logbook the authenticity of which is considered established, with an opening entry of 5 November 2002 (08:00 entry, Tape 577) which follows the last entry in book UGA-OTP-0068-0002, at 0144-45 (on 4 November 2002, also referring to Tape 577).

¹²¹⁶ This specific book contains entries from 13 March 2003 to 8 May 2003 (0800, Tape 651). The Chamber is satisfied of its authenticity, considering that it shows identical characteristics to all Gulu ISO logbooks the authenticity of which is also established (most notably tick marks or the word 'seen' following many entries, *see* P-0032 Third Statement, UGA-OTP-0246-0003-R01, at para. 33), that the ecourt metadata indicates that P-0027 of the ISO provided this book to the Prosecution on 7 March 2005, that a pre-registration form signed by P-0027 confirms that 18 'Books English Transcripts' were provided on this date (Pre-Registration Form, UGA-OTP-0246-0039-R01, at 0040; P-0027 Second Statement, UGA-OTP-0249-0444-R01, at para. 34(b)), and that this book fits in chronological sequence with another Gulu ISO logbook the authenticity of which is considered established, with an opening entry of 8 May 2003 (11:00 entry, Tape 652. *See* ISO Logbook (Gulu), UGA-OTP-0068-0146, at 0147).

R01; ¹²²¹ UGA-OTP-0068-0002; ¹²²² UGA-OTP-0068-0146; ¹²²³ UGA-OTP-0152-0002; ¹²²⁴ UGA-OTP-0232-0234. ¹²²⁵

The Chamber is satisfied of the authenticity of this logbook, considering the testimony provided by Witness P-0386 in this regard (P-0386 Statement, UGA-OTP-0260-0508-R01, at para. 29(j)), and considering that it shows identical characteristics to other Gulu ISO logbooks the authenticity of which is also established (most notably tick marks or the word 'seen' following many entries, *see* P-0032 Third Statement, UGA-OTP-0246-0003-R01, at para. 33), that the ecourt metadata indicates that P-0027 of the ISO provided this book to the Prosecution on 7 March 2005, that a pre-registration form signed by P-0027 confirms that 18 'Books English Transcripts' were provided on this date (Pre-Registration Form, UGA-OTP-0246-0039-R01, at 0040; P-0027 Second Statement, UGA-OTP-0249-0444-R01, at para. 34(b)), and that this book fits in chronological sequence with other Gulu ISO logbooks (book UGA-OTP-0232-0234's last entry is 26 October 2003 at 8:00 (with tape 704B+705A), while this book opens on 27 October 2003 at 8:00 (with tape 705A), and book UGA-OTP-0061-0002's first entry is 18 January 2004 at 8:00 (with tape 748A), while this book closes on 17 January 2004 at 8:00 (with tape 747A)).

The Chamber is satisfied of the authenticity of this logbook, considering the testimony provided by Witness P-0032 in this regard (P-0032 Third Statement, UGA-OTP-0246-0003-R01, at para. 34(e)), and considering that it shows identical characteristics to other Gulu ISO logbooks the authenticity of which is also established (most notably tick marks or the word 'seen' following many entries, *see* P-0032 Third Statement, UGA-OTP-0246-0003-R01, at para. 33), that the ecourt metadata indicates that P-0027 of the ISO provided this book to the Prosecution on 7 March 2005, that a pre-registration form signed by P-0027 confirms that 18 'Books English Transcripts' were provided on this date (Pre-Registration Form, UGA-OTP-0246-0039-R01, at 0040; P-0027 Second Statement, UGA-OTP-0249-0444-R01, at para. 34(b)), and that this book fits in chronological sequence with other Gulu ISO logbooks (book UGA-OTP-0064-0093's last entry is 23 September 2002 at 11:00 (with tape 553B), while this book opens on 24 September 2002 at 8:00 (with tape 553B+554), and book UGA-OTP-0065-0002's first entry is 5 November 2002 at 8:00 (with tape 577A+B), while this book closes on 4 November 2002 at 11:00 (with tape 577A)).

1223 The Chamber is satisfied of the authenticity of this logbook, considering the testimony provided by Witnesses P-0059, P-0032 and P-0303 in this regard (P-0059: T-36, p. 31, line 21 – p. 33, line 17; P-0032 Third Statement, UGA-OTP-0246-0003-R01, at para. 34(a); P-0303 Statement, UGA-OTP-0258-0723-R01, at para. 37(e)), and considering that it shows identical characteristics to other Gulu ISO logbooks the authenticity of which is also established (most notably tick marks or the word 'seen' following many entries, *see* P-0032 Third Statement, UGA-OTP-0246-0003-R01, at para. 33), that the ecourt metadata indicates that P-0027 of the ISO provided this book to the Prosecution on 7 March 2005, that a pre-registration form signed by P-0027 confirms that 18 'Books English Transcripts' were provided on this date (Pre-Registration Form, UGA-OTP-0246-0039-R01, at 0040; P-0027 Second Statement, UGA-OTP-0249-0444-R01, at para. 34(b)), and that this book fits in chronological sequence with other Gulu ISO logbooks (book UGA-OTP-0063-0002's last entry is 8 May 2003 at 8:00 (with tape 651B), while this book opens on 8 May 2003 at 11:00 (with tape 652A), and book UGA-OTP-0232-0234's first entry is 2 August 2003 at 8:00 (with tape 675A)).

The Chamber is satisfied of the authenticity of this logbook, considering the testimony provided by Witnesses P-0032 and P-0386 in this regard (P-0032 Third Statement, UGA-OTP-0246-0003-R01, at para. 34(c); P-0386 Statement, UGA-OTP-0260-0508-R01, at para. 29(b)), and considering that it shows identical characteristics to other Gulu ISO logbooks the authenticity of which is also established (most notably tick marks or the word 'seen' following many entries, *see* P-0032 Third Statement, UGA-OTP-0246-0003-R01, at para. 33), that the ecourt metadata indicates that P-0038 of the UPDF provided this book to the Prosecution on 21 July 2005, that a preregistration form signed by P-0038 confirms that an 'ISO (LRA) book of intercepts from 22 Oct 2004 to 05 April 2005' was provided on this date (UGA-OTP-0244-0920-R01, at 0933), and that this book fits in chronological sequence with another Gulu ISO logbook (book UGA-OTP-0062-0145's last entry is 21 October 2004 at 18:30 (with tape 822B), while this book opens on 22 October 2004 at 9:00 (with tape 822B)).

The Chamber is satisfied of the authenticity of this logbook, considering the testimony provided by Witness P-0386 in this regard (P-0386 Statement, UGA-OTP-0260-0508-R01, at para. 29(k)), and considering that it shows identical characteristics to other Gulu ISO logbooks the authenticity of which is also established (most notably tick marks or the word 'seen' following many entries, *see* P-0032 Third Statement, UGA-OTP-0246-0003-R01, at para. 33), that the ecourt metadata indicates that P-0027 of the ISO provided this book to the Prosecution on 23 April 2015, that a pre-registration form signed by P-0027 confirms that a 'blue manuscript book opened on 2 Aug 2003, last entry is 26 Oct 2003' was provided on this date (Pre-Registration Form, UGA-OTP-

- vii. Police: UGA-OTP-0037-0002; ¹²²⁶ UGA-OTP-0151-0002 through UGA-OTP-0151-0042. ¹²²⁷
- 660. While other logbooks have been submitted into evidence, the logbooks listed above include content the Chamber considers to be specifically relevant to its evidentiary discussion. These logbooks have been extensively used during the hearings at trial, making it quite clear to all involved what parts of them are most relevant.
- 661. As discussed previously, there is evidence that, despite orders to the contrary, UPDF and ISO personnel would sometimes help each other to understand the meaning of certain parts of communications. ¹²²⁸ However, all those who acknowledged that such discussions occurred described them in a way which made it clear that each agency was still working independently from the other. ¹²²⁹ There is, for instance, no evidence suggesting that the UPDF simply copied the entries in the ISO logbooks, or vice-versa. The Chamber considers that, despite the possibility that the UPDF and ISO may have

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⁰²⁴⁶⁻⁰⁰³⁹⁻R01, at 0045; P-0027 Second Statement, UGA-OTP-0249-0444-R01, at para. 35(g)), and that this book fits in chronological sequence with other Gulu ISO logbooks (book UGA-OTP-0068-0146's last entry is 1 August 2003 at 18:00 (with tape 675A), while this book opens on 2 August 2003 at 8:00 (with tape 675A), and book UGA-OTP-0066-0002-R01's first entry is 27 October 2003 at 8:00 (with tape 705A), while this book closes on 26 October 2003 at 8:00 (with tape 704B+705A)).

¹²²⁶ The Chamber is satisfied of the authenticity of this material, considering the testimony provided by Witnesses P-0125 and P-0370 in this regard (P-0125: <u>T-135</u>, p. 59, line 14 – p. 62, line 9; P-0370 Statement, UGA-OTP-0258-0687-R01, at para. 55), and considering that its ecourt metadata indicates that P-0038 of the UPDF provided this material to the Prosecution on 14 December 2004, and that a pre-registration form signed by P-0038 on this date confirms that 'civilian intercept folder/docs 12 Apr – 04 Nov 04' was provided on this date (UGA-OTP-0244-0920-R01, at 0929), with a date range matching the entries in these papers. The police themselves described such papers as 'fair copies', and they were not written into a single logbook like those of the UPDF and ISO. However, the collection of papers is functionally equivalent to a 'police logbook', and for consistency in referencing the Chamber will describe these collected papers as a logbook.

¹²²⁷ The Chamber is satisfied of the authenticity of this material, considering the testimony provided by Witnesses P-0125, P-0126 and P-0370 in this regard (P-0125: T-135, p. 62, line 13 – p. 64, line 16; P-0126 First Statement, UGA-OTP-0253-0764-R01, at para. 69; P-0370 Statement, UGA-OTP-0258-0687-R01, at para. 56), and considering that its ecourt metadata indicates that P-0126 of the Police provided this material to the Prosecution on 24 June 2005, and that a pre-registration form, although not signed by P-0126, confirms that a 'set of handwritten transcripts of radio-intercepts' was provided on this date (Pre-Registration Form, UGA-OTP-0151-0001-R01; P-0126 First Statement, UGA-OTP-0253-0764-R01, at para. 76), with an ERN range matching this collection of papers (indicated as 'UGA.00151.001 to UGA.00151.044', with the end page being the last page of item UGA-OTP-0151-0042). The Chamber notes that these 'fair copies' were registered into e-court separately, and will therefore refer to them as 'police notes'. However, this collection of papers contains notes of the same kind as those included in Police Logbook, UGA-OTP-0037-0002.

¹²²⁹ P-0029 Second Statement, UGA-OTP-0267-0455, at para. 50; P-0032 Third Statement, UGA-OTP-0246-0003-R01, at para. 40; P-0337 Second Statement, UGA-OTP-0267-0445-R01, at paras 44-46 (would read both sets of logbooks, and point out to UPDF or ISO if something caught in one logbook was missing in the other); P-0404 Statement, UGA-OTP-0267-0470-R01, at para. 18 ('[w]e worked independently from [ISO], but would occasionally compare our findings if there was something we had difficulty with').

- compared findings about aspects of some communications, the work in their respective logbooks still can be understood to be corroborative when their entries are consistent.
- 662. Further, the UPDF operations in Gulu, Achol Pii, Soroti and Lira were conducted independently. 1230 Matching logbook entries from each of these places can likewise be understood as corroborating each other.
- 663. The police interception operation was also independent of those of the UPDF/ISO. 1231 For those communications where a police logbook entry exists, these logbooks provide a further layer of corroboration as to what the LRA was saying and when.
- 664. When considering the corroborative effect of different logbooks, the Chamber is not expecting word-for-word mirroring across the agencies. To the contrary, this would actually suggest the logbook authors did not prepare them independently. It is of particular import in this regard that differences in details are to be expected, noting the diverse experience levels of the interceptors, the potential for varying quality of what could be heard at each interception site, and the inevitability that different people will summarise different parts and focus on varying details of a long conversation. In assessing whether logbooks corroborate each other or witness or documentary evidence (such as transcripts) for a given LRA conversation, the Chamber is only looking for overlapping content sufficient for it to conclude that each logbook is describing the same overall conversation.
- 665. As to legibility, the Chamber is able to easily read the police logbook in evidence. The contents of ISO logbooks are generally legible, though sometimes the dates of the entries are written faintly. Noting that ISO logbooks are written in chronological order, the Chamber has occasionally made recourse to more legible dates near cited entries in order to confirm the dates of the cited entries themselves. For UPDF logbooks, the Prosecution also re-scanned a number of them to make them easier to read. The initial scans of the logbooks are also provided, meaning that the Chamber has been able to compare versions of these logbooks. As a general rule, the Chamber will cite to the clearest version of the

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¹²³⁰ See n. 1118 above.

¹²³¹ P-0125: <u>T-135</u>, p. 66, lines 18-23; P-0337 Second Statement, UGA-OTP-0267-0445-R01, at para. 47; P-0370 Statement, UGA-OTP-0258-0687-R01, at para. 46.

evidence in the record. This means that the Chamber generally cites to the re-scanned UPDF logbook entries in its reasoning (as well as enhanced audios for recordings).

666. The Defence argues that logbook entries may discuss conversation topics out of order or may have inaccurately interpreted proverbs or coded messages. 1232 But the Chamber considers that much of the value of these logbooks comes precisely from their providing a plain language summary of an otherwise indecipherable conversation. As will be shown in the discussion on specific intercepted communications, it must also be noted that the summaries in these logbooks have been extensively corroborated by witnesses who were played the corresponding recorded conversations in court. In principle in its evidentiary discussion, the Chamber has taken care to verify the meaning of any LRA conversation sourced from a single logbook, relying on available audio recording transcripts, witness testimonies or other logbooks to corroborate their accuracy. That said, the Chamber notes that in certain instances, it has not been possible to match the details of conversations as recorded in specific logbooks to other available evidence. This holds true in particular when looking at the logbooks produced by ISO in 2002, time for which the Chamber was not provided with logbooks from other intercepting agencies. 1233 In such cases, while the Chamber may be referencing the content of LRA communications sourced from a single logbook, the Chamber considers such logbook entries sufficiently reliable in the context of its evidentiary discussion and in light of the evidence received on how the logbooks were produced. This is also the case in particular bearing in mind the discussion of the specific intercepts below which demonstrates that witnesses corroborated summaries in logbooks when played the corresponding sound recordings, as well as that for years subsequent 2002, for which logbooks from other intercepting agencies are available, in many cases the logbook entries across agencies match to an extent which allows the Chamber to conclude sufficiently on the reliability of the ISO logbooks from 2002. To the extent possible, the Chamber has also noted corroboration for the content of such logbooks entries by reference to other material available. Furthermore, throughout the evidentiary discussion, and as regards logbook entries relevant to the entire period of the charges, in cases in which the Chamber found especially variations in the amount of details recorded across different logbook entries which are of significance to the

¹²³² Defence Response to Prosecution's Submission of Intercept Material, paras 30-32.

¹²³³ The Chamber notes the existence of two logbooks which appear to have been produced by the UPDF between January and April as well as May-June 2002, but considers them of no immediate relevance for the current discussion as they concern a period before the time relevant to the charges.

Chamber's discussion, additional reasoning has been provided as to why the Chamber may have decided to rely on one specific logbook entry.

v Short hand notes

- 667. The Chamber has received a large number of short hand notes from the UPDF and ISO intercept operations. ¹²³⁴ Interceptors wrote these notes as the communications transpired to inform the formal logbook entries made subsequently.
- 668. On some level, these notes should be probative in that they provide an even more contemporaneous record of LRA conversations compared to the logbook summaries. But there are several competing considerations. First, and understandably, the witnesses' short hand notes are not as complete a record of the conversation as when they can collect their thoughts for the full logbook entry. Second, many of the notes are so hard to read as to be illegible. Third, even when the text is clear enough to read, the notes themselves are written in a mixture of Acholi and English and lack full translations. Fourth, full sentences are not always used, making it difficult for someone other than the author to know the import of isolated words or phrases.
- 669. All told, this has led to the Chamber placing little reliance on these short hand notes. The Chamber instead uses the logbook summaries for a contemporaneous written record of the intercepted conversation.

vi Copies of ISO logbooks

670. ISO interception operations also generated copies of the logbook entries prepared in Gulu. The ISO operation in Gulu often faxed a copy of their logbook entry to Kampala (sometimes after being rewritten more legibly in Gulu). 1237 The Chamber has received

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¹²³⁴ E.g. UPDF Shorthand Notes, UGA-OTP-0254-2619 (re-scan of UGA-OTP-0197-2319); ISO Shorthand Notes, UGA-OTP-0242-3630; ISO Shorthand Notes, UGA-OTP-0242-5078.

¹²³⁵ P-0003: <u>T-42</u>, p. 23, lines 11-21; P-0059: <u>T-36</u>, p. 27, line 18 – p. 28, line 2 ('[s]ometimes their speed is high, you have to draft very fast and you do not write everything, you skip some things. And then you start rewriting directly in the logbook. That is what can happen. You may not have it in the rough book, but it can surface in the notebook or on the paper.').

 $^{^{1236}}$ E.g. UGA-OTP-0254-2619, at 2815 (itself a re-scan of UGA-OTP-0197-2319, at 2513), discussed by P-0003: $\overline{\text{T-42}}$, p. 23, line 22 – p. 29, line 25. The Chamber is unable to read the words on this page and is dependent upon P-0003's description as to what is written.

¹²³⁷ Footnote 1136 above.

- these faxed copies. ¹²³⁸ ISO staff in Kampala would also copy Gulu logbook entries into new logbooks, and some of these logbooks are in evidence as well. ¹²³⁹
- 671. These faxed copies and additional logbooks are 'new evidence' in the sense that a fax or handwritten copy is not entirely identical to the original logbook, and some evidence indicates that the handwritten Kampala copies contain some corrected typos or other marginal differences. 1240 That these copies exist provides further proof that the ISO logbooks from Gulu are authentic, but the Chamber has ample witness testimony on the authenticity of these same books. As to the contents of these copied books, the Chamber considers it self-evident that they cannot be considered as corroborative in any way of the original logbooks.
- 672. As a result, in order to avoid double-counting repetitive evidence, the Chamber will not use copied logbooks be they faxes or handwritten duplicates to prove anything beyond the originals. The Chamber has referred to copied logbooks in some limited instances in which the copies assisted with the legibility of an entry in the original logbook.

vii Intercept evidence in intelligence reports

- 673. On a regular basis during the period relevant to the charges, the Ugandan army would prepare intelligence reports. 1241 These reports commonly contain exchanges amongst the LRA in intercepted communications. 1242 The police also prepared certain intelligence reports on the basis of information received from the Kamdini police interceptors. 1243
- 674. Other aspects of these intelligence reports will be considered elsewhere in this judgment. 1244 But, for purposes of understanding intercepted communications, the Chamber considers that the probative value of these reports is minimal. The sources of

¹²³⁸ E.g. ISO Faxed Copy, UGA-OTP-0163-0007; ISO Faxed Copy, UGA-OTP-0163-0292.

¹²³⁹ Footnote 1137 above. E.g. ISO Logbook (Kampala), UGA-OTP-0067-0002; ISO Logbook (Kampala), UGA-OTP-0067-0148.

¹²⁴⁰ P-0032 Third Statement, UGA-OTP-0246-0003-R01, at para. 27; P-0385 Statement, UGA-OTP-0260-0498-R01, at para. 24.

 $^{^{1241}}$ P-0003: T-44-CONF, p. 85, line 21 – p. 88, line 25; P-0029 Second Statement, UGA-OTP-0267-0455, at paras 64-81.

¹²⁴² P-0029 Second Statement, UGA-OTP-0267-0455, at paras 25, 28, 71, 74. *E.g.* 27 April 2004 Intelligence Report, UGA-OTP-0017-0130; 30 April 2004 Intelligence Report, UGA-OTP-0017-0150; 21 May 2004 Intelligence Report, UGA-OTP-0017-0268; 9 June 2004 Intelligence Report, UGA-OTP-0017-0353.

¹²⁴³ E.g. UGA-OTP-0256-0232 to 0233; UGA-OTP-0256-0243, both discussed in P-0126 Second Statement, UGA-OTP-0264-0002-R01, at paras 13-17, 26-27 (discussing other similar reports in subsequent paragraphs). ¹²⁴⁴ See section IV.B.3.iii below.

information used to create the intercept sections of these intelligence reports seem to be the same logbook entries disseminated from the various intercept operations. As such, these reports do not provide any meaningful information about intercepted communications beyond the logbooks.

- 675. Contrary to what the Defence intimates, ¹²⁴⁶ the Chamber also does not consider intelligence reports to be necessarily more reliable because they incorporate information from sources beyond the intercepted communications. An intelligence report's recounting of an intercepted communication is derivative on at least two levels the actual communication is summarised in a logbook entry, whose contents are then communicated and further summarised by the author of the intelligence report. Many details are lost in highly abbreviated summaries, as these intelligence reports are only a handful of pages each and cover various subjects beyond intercepted communications. If the goal is to fully understand the contents of an intercepted communication, the Chamber considers that the recorded conversations (as explained by witnesses) and corresponding logbook summaries more accurately reflect the LRA's communications than such intelligence reports.
- 676. This is not to say that these intelligence reports have not been useful to the Chamber. They assist in providing general context to what was going on in the conflict at any given point in time, such as what other attacks may have been going on (or not) at the time of a particular intercepted communication. This may, for instance, help to date certain communications. But, for the purpose of interpreting the intercepted communications, the Chamber considers that better evidence is available for establishing who actually said what during a particular LRA communication.

viii Miscellaneous intercept evidence

677. The Chamber will briefly address three miscellaneous kinds of evidence: (i) intercept operation pictures or sketches; (ii) TONFAS codes ¹²⁴⁸ and (iii) materials used to

¹²⁴⁵ P-0029 Second Statement, UGA-OTP-0267-0455, at paras 25, 28, 71, 74; P-0126 First Statement, UGA-OTP-0253-0764-R01, at paras 47-48, 61.

¹²⁴⁶ See P-0059: T-39, p. 12, lines 7-10, p. 21, line 17 – p. 22, line 17.

¹²⁴⁷ See para. 734 below.

¹²⁴⁸ Not all witnesses had a uniform understanding as to why these were called 'TONFAS' codes, but P-0301 describes TONFAS as an acronym for 'Time of opening/closing net, Operator, Nicknames, Frequencies, Address group, and Security'. P-0301 Statement, UGA-OTP-0249-0423-R01, at para. 39.

understand the intercept collection. The collection of intercept materials contains items on other subjects – such as LRA command structure lists ¹²⁴⁹ and information on the UPDF direction-finding operation ¹²⁵⁰ – but these are discussed more fully elsewhere in the judgment to the extent relevant for the evidentiary discussion.

- 678. The Prosecution provides various photographs of the ISO/UPDF intercept operations in Gulu and the police interception operation in Kamdini. Witnesses also commented on sketches showing which rooms they worked in during intercept operations. These pictures and sketches which do not seem to be highly contested provide a more complete understanding of the interception equipment and the conditions under which the operation was undertaken. Beyond this, they are not particularly relevant to the Chamber's assessment.
- 679. The Prosecution provides several documents related to the LRA's TONFAS codes, most notably a series of code books designed by the LRA. 1253
- 680. The Chamber understands TONFAS codes as being the LRA version of a well-known classical cryptogram technique, where the letters of a word are defined by indicating their position in a secret code book, according to page, line and numerical position in a real word or group of letters. ¹²⁵⁴ In this system, the encrypting person can choose a different combination when they have to code the same letter for the second time onwards. ¹²⁵⁵ By using the same code book at both ends of the communication, the encrypting party puts

¹²⁴⁹ E.g. LRA Commander List, UGA-OTP-0244-3328; LRA Commander List, UGA-OTP-0242-1021; LRA Commander List, UGA-OTP-0242-1008; LRA Commander List, UGA-OTP-0242-1005.

¹²⁵⁰ See section IV.B.3.iii below.

¹²⁵¹ E.g. Picture of Gulu Intercept House, UGA-OTP-0244-3348; Picture of P-0003's Office Location, UGA-OTP-0244-3308; Picture of Gulu Barracks Radio Antenna, UGA-OTP-0244-3352; Picture of Kamdini Police Radio Room, UGA-OTP-0254-1647.

 $^{^{1252}}$ E.g. Gulu Intercept House Sketch, UGA-OTP-0246-0022, discussed by P-0032 Third Statement, UGA-OTP-0246-0003-R01, at para. 21.

 $^{^{1253}}$ E.g. TONFAS Code, UGA-OTP-0025-0173, discussed by P-0003: $\underline{\text{T-42}}$, p. 63, line 20 – p. 71, line 22; P-0339: $\underline{\text{T-134}}$, p. 42, line 5 – p. 44, line 1; $\underline{\text{T-135}}$, p. 35, lines 10-17; TONFAS Code, UGA-OTP-0053-0118, discussed by P-0059: $\underline{\text{T-36}}$, p. 51, line 12 – p. 53, line 4; P-0386 Statement, UGA-OTP-0260-0508-R01, at para. 34

¹²⁵⁵ See P-0003: <u>T-42</u>, p. 70, line 16 – p. 71, line 18.

the text into coded format and, upon receipt, the decrypting party transcribes the text into an understandable format.

- 681. Following military practice, the LRA code books (or rather code pages) were regularly changed by the LRA leaders in order to render more difficult the understanding of the intercepted communications, especially when they knew or presumed that the current code book was seised by the Ugandan authorities.¹²⁵⁶
- 682. Communicating in TONFAS code was used by the LRA only for select messages. 1257

 Part of the Ugandan government's intercept operation was to decode these messages for their plain language logbook entries, relying in large part on seized code books in these efforts. 1258
- 683. None of the specific intercepted communications discussed in the next section required the Chamber to consult TONFAS code books in order to understand. So, despite the importance of these books to the government intercept operation, the evidence related to TONFAS code is of limited relevance to the Chamber.
- 684. Finally, the Chamber notes the materials the Prosecution provides to facilitate the Chamber's understanding of the intercept evidence. Most notably, a Prosecution evidence analyst P-0403 prepared a detailed report describing the intercept evidence collection. He also testified, giving a general overview of the relevant materials. The Prosecution also provides typed summaries of all relevant ISO logbooks, a chart showing the range of dates covered by each logbook and a spread sheet providing all information corresponding to each recording in evidence. 1261
- 685. All these materials have been helpful in guiding the Chamber through the evidence related to the intercepted communications. However, the actual intercept evidence (the

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¹²⁵⁶ P-0440: T-39-CONF, p. 69, line 17 – p. 70, line 1.

¹²⁵⁷ See P-0003: $\underline{\text{T-42}}$, p. 63, line 20 – p. 71, line 22 (discussing UGA-OTP-0025-0173); P-0016: $\underline{\text{T-34}}$, p. 3, line 1 – p. 5, line 4; P-0339: $\underline{\text{T-134}}$, p. 40, line 11 – p. 42, line 2.

P-0003: <u>T-44</u>, p. 52, line 5 – p. 53, line 12; P-0059: <u>T-38</u>, p. 27, line 15 – p. 28, line 2 ('I still repeat that we do not record the TONFAS in the logbook. The person who works on the radio is the one who needs the TONFAS. Whatever is recorded in the logbook is for our superiors.'); P-0337 Second Statement, UGA-OTP-0267-0445-R01, at para. 42; P-0404 Statement, UGA-OTP-0267-0470-R01, at para. 32.

¹²⁵⁹ P-0403 Report, UGA-OTP-0272-0446.

¹²⁶⁰ P-0403: <u>T-30; T-31</u>.

¹²⁶¹ Intercept Analytical Materials, W16/03294 (Records Manager folder).

recordings, interceptor and LRA witnesses, logbooks, etc.) was the basis for the Chamber's assessments of particular communications. P-0403's testimony is useful, but he only analysed a collection of evidence given to him by the Prosecution. ¹²⁶² He is not able to say anything about how this evidence was created beyond what other witnesses said. Therefore, the Chamber does not rely upon P-0403 – or any chart/table prepared by the Prosecution – as proof.

ii. Specific intercepted communications

- 686. All intercepted evidence of LRA's radio communications has been considered and, overall, the Chamber considers these communications to be highly probative evidence in this case. They reveal the LRA's contemporaneous communications during the period relevant to the charges, giving a unique window into their conversations. Their importance demands that the Chamber set out a precise foundation for its conclusions on when a recorded conversation occurred and who was communicating.
- 687. The Chamber will now set out its foundational assessment for recordings it considers necessary for purposes of its evidentiary discussion. This includes the recordings played by the parties during the trial or referenced in their closing briefs. The present section establishes the following four points with respect to these recordings:
 - i. That a given recording, as enhanced, contains radio communications intercepted by the Ugandan authorities.
 - ii. The approximate date on which a conversation at issue occurred.
 - iii. Who is speaking.
 - iv. Where available, the accuracy of the transcript reflecting what was said.
- 688. As stated previously, LRA communications are often not readily intelligible. They are mostly in non-working languages, and on the basis of other evidence on the record, they are replete with jargon, proverbs and ambiguous phrasing. Here, the Chamber establishes the necessary foundation for an intercepted communication. The Chamber will not discuss the contents of the intercepted communications in this section, including differing interpretations witnesses may give on certain conversations. This will be discussed in the appropriate parts of the evidentiary discussion. To the extent the LRA may not always

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¹²⁶² Letter of Instruction to P-0403, UGA-OTP-0262-0032.

have been truthful on the radio, including the possibility of commanders falsely claiming responsibility for certain attacks, this is likewise considered elsewhere when addressing the contents of individual conversations.

689. Generally, the assessments of the individual witnesses testifying on the contents of the specific intercepts – namely P-0003, P-0016, P-0059, Patrick Lumumba Nyero, P-0138, P-0339, P-0440 and Francis Ocen – can be found in section IV.B.2.v above. For any such witness not covered in that section, the Chamber will briefly explain whether it considers the witness's testimony about the recordings to be reliable.

31 March 2003 – Tape 638 (UGA-OTP-0241-0303)

690. The Chamber recognised the formal submission of the audio contents of a cassette identified as '638/G 3RD'. 1263 Its e-court metadata indicates that P-0027 of the ISO provided this tape on 3 June 2015. A pre-registration form related to P-0027 on this date memorialises the exchange of this tape. 1264 The recording is of men speaking over the radio in a non-working language.

691. Tape 638 was not audio enhanced.

692. Tape 638 has no date on its label. The ISO logbook entries for Tape 638 indicate a specific communication at 11:00 on 31 March 2003. 1265 The corresponding UPDF logbooks do not contain the ISO tape numbers, but it is clear from their entries on this date 1266 that the UPDF heard the same overall conversation recorded in the ISO logbook.

693. The Prosecution played part of this intercepted communication to D-0032, a long-time former LRA member who, despite having significant issues concerning other parts of his testimony, ¹²⁶⁷ is well-placed to identify Joseph Kony's voice. D-0032 confirmed certain

¹²⁶⁷ See paras 283-284 above.

¹²⁶³ UGA-OTP-0241-0303.

¹²⁶⁴ Pre-Registration Form 0155, UGA-OTP-0246-0039-R01, at 0058-59 (item #2).

¹²⁶⁵ UGA-OTP-0063-0002, at 0066-67.

¹²⁶⁶ UPDF Logbook (Gulu), UGA-OTP-0254-3399, at 3544-45. The UPDF logbook from Soroti has entries on 31 March 2003, but does not summarise any communication at 11:00 that day. UPDF Logbook (Soroti), UGA-OTP-0242-6212, at 6255-56. There are no police logbook entries on these dates.

- statements he heard, recognising Joseph Kony as speaking. ¹²⁶⁸ D-0032's summary concerns part of the same conversation recorded in the logbooks.
- 694. From the above, the Chamber concludes that Tape 638 contains a recording of Joseph Kony and others speaking over the radio on 31 March 2003.
 - b. 20-22 April 2003 Tape 646 (UGA-OTP-0241-0313)
- 695. The Chamber recognised the formal submission of the audio contents of a cassette identified as '646/G ^{3RD}'. ¹²⁶⁹ Its e-court metadata indicates that P-0027 of the ISO provided this tape on 3 June 2015. A pre-registration form related to P-0027 on this date memorialises the exchange of this tape. ¹²⁷⁰ The recording is of men speaking over the radio in a non-working language.
- 696. Tape 646 was not audio enhanced.
- 697. Tape 646 has no date on its label. The ISO logbook entries for Tape 646 indicate dates of 20-22 April 2003. ¹²⁷¹ The corresponding UPDF logbooks do not contain the ISO tape numbers, but it is clear from their entries on these dates ¹²⁷² that the UPDF heard the same overall conversations recorded in the ISO logbook.
- 698. The Defence produced a partial transcript of side B of this tape. ¹²⁷³ The Defence played part of this intercepted communication to P-0138. P-0138 recognised certain voices, gave an overall summary of the recorded conversation, and annotated one section of the transcript. ¹²⁷⁴ P-0138's summary and the Defence's transcript concern part of the same conversations recorded in the logbooks. Accordingly, and despite concerns raised by the Prosecution that parts of the Defence transcript may be inaccurate, ¹²⁷⁵ the Chamber will

¹²⁶⁸ D-0032: <u>T-201</u>, p. 15, line 22 – p. 16, line 24. *See also* Transcript Tape 638, UGA-OTP-0286-0165, at 0195-98

¹²⁶⁹ UGA-OTP-0241-0313.

¹²⁷⁰ Pre-Registration Form 0155, UGA-OTP-0246-0039-R01, at 0058-59 (item #3).

¹²⁷¹ UGA-OTP-0063-0002, at 0133-44.

¹²⁷² UPDF Logbook (Gulu), UGA-OTP-0254-0455, at 0502-16; UPDF Logbook (Soroti), UGA-OTP-0242-6212, at 6273-76, 6278-79. There are no police logbook entries on these dates.

¹²⁷³ Tape 646 Transcript, UGA-D26-0026-0001.

¹²⁷⁴ P-0138: <u>T-121</u>, p. 57, line 11 – p. 60, line 25; <u>T-122</u>, p. 5, line 4 – p. 15, line 3; P-0138 Annotations on Tape 646, UGA-REG-0001-0006, at 0013.

¹²⁷⁵ See P-0138: <u>T-121</u>, p. 61, line 4 – p. 67, line 5; <u>T-122</u>, p. 2, line 21 – p. 4, line 13; <u>Annex I to the Registration into the Record of the Case of a Document presented during the Trial Hearing held on 1 November 2017 (ICC-02/04-01/15-HNE-1), 16 November 2017, ICC-02/04-01/15-1075-AnxI.</u>

- proceed on the understanding that this transcript, as annotated, accurately reflects the conversation. The voices recognised by P-0138 were Joseph Kony and Vincent Otti.
- 699. P-0231 was played the same excerpt. P-0231 did not comment on a transcript, but he did identify the same voices as P-0138. P-0231 is a witness with direct knowledge about the activities of the LRA, and the Chamber considers him well-placed to identify the voices of the LRA senior leadership on the radio.
- 700. From the above, the Chamber concludes that: (i) Tape 646 contains a recording of Joseph Kony, Vincent Otti and others speaking over the radio at some point in the period of 20-22 April 2003; and (ii) the corresponding Defence transcript as annotated by P-0138 accurately reflects the speakers and words spoken.
 - c. 9-10 October 2003 Tape 693 (enhanced: UGA-OTP-0247-1102)
- 701. The Chamber recognised the formal submission of the audio contents of a cassette identified as '693/G ^{3RD}'. ¹²⁷⁷ Its e-court metadata indicates that P-0027 of the ISO provided this tape to a Prosecution staff member on 23 February 2005. A pre-registration form related to P-0027 on this date which memorialises the exchange of '12 [c]assette tapes containing relevant data' to this same staff member mentions the ERN range including this tape. ¹²⁷⁸ The recording is of men speaking over the radio in a non-working language.
- 702. The Prosecution gave this tape to Xavier Laroche and his colleague for audio enhancement. 1279 These persons then performed this enhancement and registered the enhanced digital copy. 1280 An enhanced audio file (UGA-OTP-0247-1102) with a cover page indicating the ERN of Tape 693 is in evidence. The length of the recorded content on Tape 693's side B corresponds to the length of the track in this enhanced audio. 1281

¹²⁷⁶ P-0231: T-123-CONF, p. 54, line 20 – p. 56, line 25.

¹²⁷⁷ UGA-OTP-0054-0010 (and copied disc at 0013).

¹²⁷⁸ Pre-Registration Form 0155, UGA-OTP-0246-0039-R01, at 0039 (the ERN range including this tape appears on the bottom of the form); P-0027 Second Statement, UGA-OTP-0249-0444-R01, at para. 34(a).

¹²⁷⁹ Inventory, UGA-OTP-0269-0044, at 0047; P-0256 Statement, UGA-OTP-0269-0015, at paras 22, 51.

¹²⁸⁰ P-0256 Statement, UGA-OTP-0269-0015, at paras 37-39; Pre-Registration Form, UGA-OTP-0269-0087, at 0093 (item #19).

¹²⁸¹ Compare UGA-OTP-0054-0010 (31:41) with UGA-OTP-0247-1102 (31:41). For this and all similar determinations subsequently, see para. 654 above.

The general impression of the enhanced audio's contents is consistent with that of Tape 693's side B contents.

- 703. Tape 693 has no date on its label. The ISO logbook entries for Tape 693's side B indicate dates of 9-10 October 2003. The corresponding UPDF logbooks do not contain the ISO tape numbers, but it is clear from their entries on these dates that the UPDF heard the same overall conversations recorded in the ISO logbook.
- 704. In the course of its investigation, the Prosecution played part of this intercepted communication to P-0003. He reviewed a draft transcript prepared by the Prosecution, identifying speakers and annotating amendments where necessary. 1284
- 705. Upon being played part of the enhanced audio in court, P-0003 recognised certain voices, gave an overall summary of the recorded conversation, explained certain transcript annotations and confirmed that his annotated transcript matched what was played. The summary describes part of the same conversations recorded in the logbooks. Although P-0138 did not discuss a transcript of this conversation, he was played part of a recording in court and gave a similar overall summary and some of the same speaker identifications as P-0003. The voices consistently recognised were those of Joseph Kony and Vincent Otti.
- 706. This said, the Chamber notes two significant points where the witnesses diverged in their summaries of the recording.
- 707. First, P-0003 testified that the speakers were discussing an attack that the accused was responsible for. P-0138 did not indicate this information in his testimony, and the transcript P-0003 annotated shows no clear reference to Dominic Ongwen. Noting that P-0003 was unable to indicate where the lines he claimed to hear appeared in this transcript, 1288 the Chamber cannot conclude that there is any reference to Dominic

¹²⁸² UGA-OTP-0232-0234, at 0542-48.

¹²⁸³ UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 1065-71; UPDF Logbook (Achol Pii), UGA-OTP-0242-6018, at 6158-60; UPDF Logbook (Soroti), UGA-OTP-0254-1991, at 2111-19. There are no police logbook entries on these dates.

¹²⁸⁴ P-0003 Tape 693 Transcript, UGA-OTP-0132-0105-R01, at 0133-36.

¹²⁸⁵ P-0003: <u>T-43</u>, p. 3, line 17 – p. 12, line 21.

¹²⁸⁶ P-0138: T-120, p. 65, line 25 – p. 68, line 14.

¹²⁸⁷ P-0003: <u>T-43</u>, p. 4, lines 6-9, p. 5, lines 4-13.

¹²⁸⁸ P-0003: <u>T-43</u>, p. 10, line 21 – p. 12, line 13.

Ongwen in this part of the recording. The Chamber does not consider that this warrants any broader concern about P-0003's abilities or any potential bias on his part against the accused - the witness did not insist on Dominic Ongwen being referenced in every recording he heard 1289 and other recordings where P-0003 actually heard Dominic Ongwen speaking were independently corroborated by other witnesses. 1290 The Chamber considers P-0003 to simply be mistaken on this occasion.

- 708. Second, P-0138 indicated that the recording relates to orders to attack Adilang and Orum. 1291 P-0003 did not give these locations in his summary, nor do they appear in his annotated transcript. But it must be noted that P-0138 did not provide this information in his initial in-court summary of the recording, 1292 and he says quite clearly that the speakers 'did not mention a place' in their discussion. 1293 P-0138 rather seems to have attempted to speculate on the incidents being discussed based on information beyond what is in the recording itself. The Chamber therefore does not consider P-0138's discussion of these locations to be part of his overall summary of the recording, and therefore sees no conflict between P-0003 and P-0138 on this point.
- 709. From the above, the Chamber concludes that: (i) UGA-OTP-0247-1102 is an enhanced audio copy of Tape 693's side B; (ii) Tape 693's side B – as enhanced – contains a recording of Joseph Kony, Vincent Otti and others speaking over the radio at some point in the period of 9-10 October 2003; and, (iii) at least for all portions where the reviewing witnesses had a consistent understanding, the corresponding annotated transcript accurately reflects the speakers and words spoken.
 - d. 13-14 October 2003 Tape 695 (enhanced: UGA-OTP-0247-1110)
- 710. The Chamber recognised the formal submission of the audio contents of a cassette identified as '695/G 3RD'. 1294 Its e-court metadata indicates that P-0027 of the ISO provided this tape to a Prosecution staff member on 23 February 2005. A pre-registration form related to P-0027 on this date which memorialises the exchange of '12 [c]assette

 $^{^{1289}}$ E.g. P-0003: $\underline{\text{T-43}}$, p. 12, line 22 – p. 17, line 25 (Tape 695). 1290 See as examples, the discussion of Tapes 808, 824 and 837 below.

¹²⁹¹ P-0138: <u>T-120</u>, p. 67, line 6 – p. 68, line 9.

¹²⁹² P-0138: <u>T-120</u>, p. 66, lines 12-21.

¹²⁹³ P-0138: <u>T-120</u>, p. 67, line 25 – p. 68, line 2.

¹²⁹⁴ UGA-OTP-0054-0014 (and copied disc at 0017).

tapes containing relevant data' to this same staff member mentions the ERN range including this tape. 1295 The recording is of men speaking over the radio in a non-working language.

- 711. The Prosecution gave this tape to Xavier Laroche and his colleague for audio enhancement. 1296 These persons then performed this enhancement and registered the enhanced digital copy. 1297 An enhanced audio file (UGA-OTP-0247-1110) with a cover page indicating the ERN of Tape 695 is in evidence. The length of the recorded content on Tape 695's side A corresponds to the length of the track in this enhanced audio. 1298 The general impression of the enhanced audio's contents is consistent with that of Tape 695's side A contents.
- 712. Tape 695 has no date on its label. The ISO logbook entries for Tape 695's side A indicate dates of 13-14 October 2003. 1299 The corresponding UPDF logbooks do not contain the ISO tape numbers, but it is clear from their entries on these dates 1300 that the UPDF heard the same overall conversations recorded in the ISO logbook.
- 713. In the course of its investigation, the Prosecution played part of an intercepted communication to P-0003 and P-0138. Each reviewed a draft transcript prepared by the Prosecution, identifying speakers and annotating amendments where necessary. ¹³⁰¹
- 714. Upon being played part of the enhanced audio in court, both witnesses recognised certain voices, gave the same overall summary of the recorded conversation, explained certain transcript annotations and confirmed that their annotated transcript matched what was

¹²⁹⁵ Pre-Registration Form 0155, UGA-OTP-0246-0039-R01, at 0039 (the ERN range including this tape appears on the bottom of the form); P-0027 Second Statement, UGA-OTP-0249-0444-R01, at para. 34(a).

¹²⁹⁶ Inventory, UGA-OTP-0269-0044, at 0047; P-0256 Statement, UGA-OTP-0269-0015, at paras 22, 51.

¹²⁹⁷ P-0256 Statement, UGA-OTP-0269-0015, at paras 37-39; Pre-Registration Form, UGA-OTP-0269-0087, at 0093 (item #20).

¹²⁹⁸ Compare UGA-OTP-0054-0014 (31:42) with UGA-OTP-0247-1110 (31:42).

¹²⁹⁹ UGA-OTP-0232-0234, at 0559-71. Note that some entries across these pages have no ISO tape reference (they are marked 'not rec'd' where the reference should be). However, ISO logbooks follow a pattern whereby entries are prepared in chronological order. The first and last entries in this range of pages explicitly refer to Tape 695's side A, and the 'unreferenced' entries are dated in chronological order (13 October at 08:00, then 11:00, then 13:00, and finally 14 October at 08:00). It is therefore understood that all pages in this range are part of the same side of the same ISO tape.

¹³⁰⁰ UPDF Logbook (Gulu), UGA-OTP-0254-0229, at 0234-42; UPDF Logbook (Achol Pii), UGA-OTP-0242-6018, at 6162-64; UPDF Logbook (Soroti), UGA-OTP-0254-1991, at 2130-40. There are no police logbook entries on these dates.

¹³⁰¹ P-0003 Tape 695 Transcript, UGA-OTP-0132-0243-R01, at 0263-69 (Tape 695 transcript begins at 0253); P-0138 Tape 695 Transcript, UGA-OTP-0191-0623-R01, at 0635-39 (each individual page is a separate ERN).

played. 1302 The summaries describe part of the same conversations recorded in the logbooks. Although P-0016 did not review a transcript of this intercept prior to testifying, he was played the recording in court and gave the same overall summary and speaker identifications as the other witnesses. 1303 The voices consistently recognised were those of Joseph Kony and Vincent Otti.

715. From the above, the Chamber concludes that: (i) UGA-OTP-0247-1110 is an enhanced audio copy of Tape 695's side A; (ii) Tape 695's side A – as enhanced – contains a recording of Joseph Kony, Vincent Otti and others speaking over the radio at some point in the period of 13-14 October 2003; and, (iii) at least for all portions where the reviewing witnesses had a consistent understanding, the corresponding annotated transcripts accurately reflect the speakers and words spoken.

> e. 22-24 November 2003 – Tape UGA-OTP-0037-0314 (enhanced: UGA-OTP-0239-0062)

716. The Chamber recognised the formal submission of the audio contents of an un-numbered cassette with no label other than an ICC ERN (UGA-OTP-0037-0314). Its e-court metadata indicates that Timothy Kanyogonya provided this tape to a Prosecution staff member on 14 December 2004. A pre-registration form related to Timothy Kanyogonya on this date which memorialises the exchange of, amongst other items, 113 intercept tapes to this same staff member mentions the ERN range including this tape. 1304 The recording is of men speaking over the radio in a non-working language.

717. The Prosecution gave Tape UGA-OTP-0037-0314 to Alan French for audio enhancement. 1305 Alan French then enhanced the audio and labelled the copy 'AF/37'. 1306 An enhanced audio disc (UGA-OTP-0239-0062) marked with Alan French's company name, the code 'AF/37' and the ERN of the original tape is in evidence. The length of the recorded content on the tapes does not entirely correspond, with over a minute of additional recording on the original tape tracks relative to those on the enhanced

 $^{^{1302}}$ P-0003: $\overline{\text{T-43}}$, p. 12, line 22 – p. 17, line 25; P-0138: $\overline{\text{T-120}}$, p. 54, line 8 – p. 65, line 24. 1303 P-0016: $\overline{\text{T-33}}$, p. 53, line 13 – p. 55, line 10. 1304 Pre-Registration Form 00311, UGA-OTP-0244-0920-R01, at 0929 (the ERN range including this tape appears on the bottom of the form); P-0038 Second Statement, UGA-OTP-0244-0912-R01, at para. 29.

¹³⁰⁵ P-0242 Statement, UGA-OTP-0261-0333-R01, at para. 22.

¹³⁰⁶ P-0242 Statement, UGA-OTP-0261-0333-R01, at para. 23; Enhanced Copies Schedule, UGA-OTP-0261-0348 (line 1).

audio. ¹³⁰⁷ The Chamber nevertheless notes the number of Alan French's other enhanced audios whose provenance the Chamber establishes elsewhere in this section, confirming the overall accuracy of the purported associations between original and enhanced audios. The general impression of the enhanced audio's contents is consistent with that of the original tape's contents. Overall, the Chamber is satisfied that what is captured on the enhanced audio derives from Tape UGA-OTP-0037-0314.

- 718. Also, in the course of its investigation, the Prosecution played part of an intercepted communication to P-0003, P-0016 and P-0059. Each reviewed the draft transcript prepared by the Prosecution, identifying speakers and annotating amendments where necessary. 1308
- 719. Tape UGA-OTP-0037-0314's cassette paper indicates 'Recorded Ended 25-11-03', but no further information is given as to when the recording began. ¹³⁰⁹ However, by comparing the contents of the transcripts reviewed by the witnesses to the ISO logbook entries, it appears that this tape's recorded contents are dated 22-24 November 2003 (corresponding to ISO tape 720). ¹³¹⁰ The overlapping content in the corresponding UPDF logbook entries on these dates ¹³¹¹ further indicates that the UPDF heard the same conversations recorded in the relevant part of the ISO logbook.
- 720. Upon being played part of the enhanced audio in court, P-0003, P-0016 and P-0059 recognised certain voices, gave the same overall summary of the recorded conversation, explained certain transcript annotations and confirmed that their annotated transcript

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¹³⁰⁷ Compare UGA-OTP-0037-0314 (track 1: 32:27; track 2: 32:23) with UGA-OTP-0239-0062 (track 1: 31:33; track 2: 31:37).

¹³⁰⁸ P-0003 Tape UGA-OTP-0037-0314 Transcript, UGA-OTP-0248-0224-R01, at 0251-54; P-0016 Tape UGA-OTP-0037-0314 Transcript, UGA-OTP-0259-0128; P-0059 Tape UGA-OTP-0037-0314 Transcript, UGA-OTP-0248-0342-R01, at 0369-72.

¹³⁰⁹ UGA-OTP-0037-0314, at 0315.

¹³¹⁰ ISO Logbook (Gulu), UGA-OTP-0066-0002-R01, at 0076-87. *Compare, e.g.*, UGA-OTP-0066-0002-R01, at 0086-87 (reference to Taban Amin, followed by discussion of overthrowing Yuweri Museveni) *with* P-0003 Tape UGA-OTP-0037-0314 Transcript, UGA-OTP-0248-0224-R01, at 0250-54 (same); UGA-OTP-0066-0002-R01, at 0085 ('Kony seriously blamed LC 5 c/man Mahaman Ojwee as being a very bad man') *with* P-0003 Tape UGA-OTP-0037-0314 Transcript, UGA-OTP-0248-0224-R01, at 0248 ('[t]he other day you heard me saying Ojwee is very bad, Ojwee is very bad, Ojwee is someone that among all the Acholi's should be killed and he should not stay amongst the Acholi's Over.'); UGA-OTP-0066-0002-R01, at 0077 ('...one civilian tried to grab the gun from one of his soldiers and what he did was to shoot him dead') *with* P-0003 Tape UGA-OTP-0037-0314 Transcript, UGA-OTP-0248-0224-R01, at 0228 ('[s]ome guy tried to grab my gun Over, then I just started firing, then everybody I captured I hit them all on the back of their head Over.').

¹³¹¹ ÚPDF Logbook (Gulu), UGA-OTP-0254-0229, at 0390-402; UPDF Logbook (Soroti), UGA-OTP-0254-2284, at 2342-58; UPDF Logbook (Achol Pii), UGA-OTP-0242-7309, at 7311-14.

matched what was played.¹³¹² As already indicated, the summaries describe part of the same conversations recorded in the logbooks. The voices consistently recognised were those of Joseph Kony and Buk Abudema.

721. From the above, the Chamber concludes that: (i) UGA-OTP-0239-0062 is an enhanced audio copy of Tape UGA-OTP-0037-0314; (ii) Tape UGA-OTP-0037-0314 – as enhanced – contains a recording of Joseph Kony, Buk Abudema and others speaking over the radio at some point in the period of 22-24 November 2003; and, (iii) at least for all portions where the reviewing witnesses had a consistent understanding, the corresponding annotated transcripts accurately reflect the speakers and words spoken.

f. 25-26 November 2003 – Tape 721 (enhanced: UGA-OTP-0239-0101)

- 722. The Chamber recognised the formal submission of the audio contents of a cassette identified as '721 A+B/G 3rd'. ¹³¹³ Its e-court metadata indicates that Timothy Kanyogonya provided this tape to a Prosecution staff member on 15 February 2005. The only pre-registration form related to Timothy Kanoygonya on this date is one memorialising the exchange of, amongst other items, 136 ISO tapes to this same staff member. ¹³¹⁴ The recording is of men speaking over the radio in a non-working language.
- 723. The Prosecution gave this tape to Alan French for audio enhancement. Alan French then enhanced the audio and labelled the copy 'AF/65'. An enhanced audio disc (UGA-OTP-0239-0101) marked with Alan French's company name, the code 'AF/65' and the ERN of Tape 721 is in evidence. The length of the recorded content on both tapes corresponds to the length of the audio tracks in Tape 721. The general impression of the enhanced audio's contents is consistent with that of Tape 721's contents.

¹³¹⁴ Pre-Registration Form 0153, UGA-OTP-0244-0920-R01, at 0930 (UGA-OTP-0045-0001); P-0038 Second Statement, UGA-OTP-0244-0912-R01, at para. 30.

 $^{^{1312}}$ P-0003: $\underline{\text{T-43}}$, p. 48, line 22 – p. 51, line 20; P-0016: $\underline{\text{T-33}}$, p. 51, line 11 – p. 52, line 23; P-0059: $\underline{\text{T-37}}$, p. 29, line 17 – p. 31, line 11.

¹³¹³ UGA-OTP-0049-0068.

¹³¹⁵ P-0242 Statement, UGA-OTP-0261-0333-R01, at para. 22.

¹³¹⁶ P-0242 Statement, UGA-OTP-0261-0333-R01, at para. 23; Enhanced Copies Schedule, UGA-OTP-0261-0348 (line 15).

¹³¹⁷ Compare UGA-OTP-0049-0068 (track 1: 48:00 re-encoded; track 2: 48:00) with UGA-OTP-0239-0101 (track 1: 32:09; track 2: 32:14). The discrepancy in track times is due almost entirely to there being a long unrecorded section on the original tape's two tracks. Alan French explains that such gaps are commonly seen when using a

- 724. In the course of its investigation, the Prosecution played part of an intercepted communication to P-0016 and P-0059. Each reviewed a draft transcript prepared by the Prosecution, identifying speakers and annotating amendments where necessary.¹³¹⁸
- 725. Tape 721 is undated.¹³¹⁹ The ISO logbook entries for Tape 721 do not follow the standard sequencing in logbooks, as entries for Tape 721 appear both before and after entries for Tape 720.¹³²⁰ This makes it more difficult to precisely date Tape 721, as its contents could correspond to dates ranging anywhere from 23-26 November 2003.¹³²¹ However, by comparing the contents of the transcripts reviewed by the witnesses to the ISO logbook entries, it appears that the ISO logbook entries actually corresponding to Tape 721 are only those from 25-26 November 2003.¹³²² The corresponding UPDF logbooks do not contain the ISO tape numbers, but it is clear from their entries on 25-26 November 2003¹³²³ that the UPDF heard the same conversations recorded in the relevant part of the ISO logbook.
- 726. Upon being played the enhanced audio in court, P-0016 and P-0059 recognised certain voices, gave the same overall summary of the recorded conversation, explained certain transcript annotations and confirmed that their annotated transcript matched what was played. 1324 As already indicated, the summaries describe part of the same conversation recorded in the logbooks. The voices consistently recognised were those of Joseph Kony and Vincent Otti.

fast copier to transfer a 60 minute cassette onto a 90 minute one. P-0242: $\underline{\text{T-}128}$, p. 8, line 3 – p. 10, line 14; P-0242 Statement, UGA-OTP-0261-0333-R01, at paras 27-32, 60.

¹³¹⁸ P-0016 Tape 721 Transcript, UGA-OTP-0265-0439, at 0439-68; P-0059 Tape 721 Transcript, UGA-OTP-0258-0791-R01.

¹³¹⁹ UGA-OTP-0049-0068, at 0068-69.

¹³²⁰ UGA-OTP-0066-0002-R01, at 0081-93.

¹³²¹ UGA-OTP-0066-0002-R01, at 0081-93. The date on the first page is illegible. The date immediately preceding the relevant entries clearly reads '22/11/2003' (p. 0076), meaning that date on p. 0081 should be '23/11/2003'. The dates listed around the end of the relevant entries are faint, but legible in the logbook. The last date in the cited entries is '26/11/2003' on p. 0090, and the date just after the cited entries is '27/11/2003' on p. 0094.

¹³²² UGA-OTP-0066-0002-R01, at 0087-93. *Compare* UGA-OTP-0066-0002-R01, at 0087 (discussion of an attack at 'Labwor Omor' – the only entry in the entire logbook mentioning this exact place), *with* P-0016 Tape 721 Transcript, UGA-OTP-0265-0439, at 0440-41; P-0059 Tape 721 Transcript, UGA-OTP-0258-0791-R01, at 0792-93 (discussing an attack at this same location at the beginning of the transcript).

¹³²³ UPDF Logbook (Gulu), UGA-OTP-0254-0229, at 0403-08; UPDF Logbook (Soroti), UGA-OTP-0254-2284, at 2359-71; UPDF Logbook (Achol Pii), UGA-OTP-0242-7309, at 7315-16. There are no police logbook entries on these dates.

 $^{^{1324}}$ P-0016: $\underline{\text{T-33}}$, p. 36, line 20 – p. 45, line 12; P-0059: $\underline{\text{T-37}}$, p. 42, line 18 – p. 47, line 15. Note that P-0016 was played approximately one minute more of this tape than P-0059.

727. From the above, the Chamber concludes that: (i) UGA-OTP-0239-0101 is an enhanced audio copy of Tape 721; (ii) Tape 721 – as enhanced – contains a recording of Joseph Kony, Vincent Otti and others speaking over the radio at some point in the period of 25-26 November 2003; and, (iii) at least for all portions where the reviewing witnesses had a consistent understanding, the corresponding annotated transcripts accurately reflect the speakers and words spoken.

g. 6 February 2004 – Tape 757 (UGA-OTP-0141-0005) and Tape UGA-OTP-0025-0625

- 728. The Chamber recognised the formal submission of the audio contents of a cassette identified as $^{\circ}757/G$ $^{3RD}^{\circ}$. 1325
- 729. The Chamber further recognised the formal submission of the audio contents of an unnumbered tape (UGA-OTP-0025-0625) whose cassette label only reads 'Abiya Attack'. 1326
- 730. For Tape 757, its e-court metadata indicates that P-0027 provided this tape to a Prosecution staff member on 14 April 2005. A pre-registration form related to P-0027 on this date memorialises the exchange of, amongst other items, Tape 757 to this same staff member. 1327
- 731. For Tape UGA-OTP-0025-0625, its e-court metadata indicate that Timothy Kanyogonya provided this tape to a Prosecution staff member on 17 October 2004. A pre-registration form related to Timothy Kanyogonya on this date memorialises the exchange of, amongst other items, six audio tapes of intercepts to this same staff member. ¹³²⁸ P-0032 confirms that the writing on this tape as well as the voice which can be heard at the beginning of side A is his. ¹³²⁹

¹³²⁵ UGA-OTP-0141-0005.

¹³²⁶ The following is written on the cassette paper: 'LRA attack on Abiya IDP Camp. Odyambo reported to Kony that he attacked the camp and fought with UPDF 31st Bri, charged weapons, killed civ. indiscriminately whose no, he doesn't know and burnt all their huts'.

¹³²⁷ Pre-Registration Form, UGA-OTP-0246-0039-R01, at 0042; P-0027 Second Statement, UGA-OTP-0249-0444-R01, at para. 34(d).

¹³²⁸ Pre-Registration Form 00193, UGA-OTP-0244-0920-R01, at 0924 (the ERN range including this tape appears on the bottom of the form); P-0038 Second Statement, UGA-OTP-0244-0912-R01, at para. 24.

¹³²⁹ P-0032 Third Statement, UGA-OTP-0246-0003-R01, at para. 55(h).

- 732. Both recordings are of men speaking over the radio in a non-working language.
- 733. Neither Tape 757 nor Tape UGA-OTP-0025-0625 was audio enhanced.
- 734. Tape UGA-OTP-0025-0625 is undated, but a UPDF report states that the Abiya attack referenced on the tape label took place on 4 February 2004. SO and UPDF logbook entries for 6 February 2004 contain detailed discussions about an Abiya attack one or two days after it occurred. So logbook entry on this date indicates it corresponds to Tape 757. The intercepted conversation is described similarly in both logbooks, indicating that both agencies heard the same conversations.
- 735. The Defence played part of Tape 757 to P-0339, and arranged for him to annotate a short transcript extract. ¹³³³ P-0339 was also asked to identify voices, give an overall summary of the recorded conversation, and explain certain transcript annotations. ¹³³⁴ The voice recognised by P-0339 in the excerpt played was that of Joseph Kony. The Prosecution did not prepare an annotated transcript for Tape 757.
- 736. In the course of its investigation, the Prosecution played part of Tape UGA-OTP-0025-0625 to P-0016. He reviewed a draft transcript prepared by the Prosecution, identifying speakers and annotating amendments where necessary. 1335
- 737. Upon being played part of Tape UGA-OTP-0025-0625 in court, P-0016 recognised certain voices, gave an overall summary of the recorded conversation, explained certain parts of the transcript having listened to the related excerpts of the audio and confirmed

¹³³⁰ UGA-OTP-0069-0423. *See also* Pre-Registration Form 00561, UGA-OTP-0244-0920-R01, at 0931 (including this document in the provided ERN range, and indicating in the catalogue of items description that P-0038 gave a document on 'witnesses in Abiya/Barlonyo attacks' to the Prosecution on 17 March 2005); P-0038 Second Statement, UGA-OTP-0244-0912-R01, at para. 31.

¹³³¹ ISO Logbook (Gulu), UGA-OTP-0061-0002, at 0047-51 (corresponding to Tapes 756-57); UPDF Logbook (Gulu), UGA-OTP-0254-4143, at 4227-28; UPDF Logbook (Achol Pii), UGA-OTP-0242-7309, at 7364-65. There are no police logbook entries on this date.

¹³³² The partial transcript for tape UGA-OTP-0025-0625 also includes details equally mentioned in the ISO logbook entry corresponding to Tape 757 on 6 February 2004 and the UPDF logbook entries for 6 February 2004. *Compare* UGA-OTP-0167-0118, at 0121-0123 *with* UGA-OTP-0061-0002, at 0047 and UGA-OTP-0254-4143, at 4227; UGA-OTP-0242-7309, at 7364.

¹³³³ Tape 757 Transcript, UGA-D26-0027-0003; P-0339 Annotations to Tape 757 Transcript, UGA-REG-0001-0021, at 0035-36.

¹³³⁴ P-0339: <u>T-134</u>, p. 47, line 21 – p. 49, line 6; <u>T-135</u>, p. 15, line 4 – p. 26, line 4.

¹³³⁵ P-0016 Tape UGA-OTP-0025-0625 Transcript, UGA-OTP-0167-0118.

that his annotated transcript matched what was played.¹³³⁶ The voices recognised by P-0016 in the excerpt played were Joseph Kony and Raska Lukwiya.

- 738. P-0016 and P-0339 discuss entirely distinct conversations, and neither was played the part of the intercepted conversation specifically mentioning Abiya. Both witnesses testified exclusively concerning separate transcript extracts, making it impossible for the Chamber to confirm whether the contents of Tape 757 and Tape UGA-OTP-0025-0625 overlap. But both witnesses describe parts of the same overall conversations recorded in the logbooks for 6 February 2004.
- 739. From the above, the Chamber concludes that: (i) Tape 757 contains a recording of Joseph Kony and others speaking over the radio on 6 February 2004; (ii) Tape UGA-OTP-0025-0625 contains a recording of Joseph Kony, Raska Lukwiya, and others speaking over the radio on this same date; and (iii) the corresponding annotated transcripts accurately reflect the speakers and words spoken.
 - h. 12 February 2004 Tape 760 (enhanced: UGA-OTP-0239-0079)
- 740. The Chamber recognised the formal submission of the audio contents of a cassette identified as '760/G ^{3RD}'. ¹³³⁸ Its e-court metadata indicates that Timothy Kanyogonya provided this tape to a Prosecution staff member on 15 February 2005. The only preregistration form related to Timothy Kanyogonya on this date is one memorialising the exchange of, amongst other items, 136 ISO tapes to this same staff member. ¹³³⁹ The recording is of men speaking over the radio in a non-working language.
- 741. The Prosecution gave this tape to Alan French for audio enhancement. Alan French then enhanced the audio and labelled the copy 'AF/51'. An enhanced audio disc (UGA-OTP-0239-0079) marked with Alan French's company name, the code 'AF/51'

¹³³⁶ P-0016: <u>T-33</u>, p. 19, line 7 – p. 24, line 18.

¹³³⁷ This said, reference to an attack at Abiya can be found in an earlier part of P-0016's transcript. P-0016 Tape UGA-OTP-0025-0625 Transcript, UGA-OTP-0167-0118, at 0120-23.

¹³³⁸ UGA-OTP-0046-0063.

¹³³⁹ Pre-Registration Form 0153, UGA-OTP-0244-0920-R01, at 0930 (UGA-OTP-0045-0001); P-0038 Second Statement, UGA-OTP-0244-0912-R01, at para. 30.

¹³⁴⁰ P-0242 Statement, UGA-OTP-0261-0333-R01, at para. 22.

¹³⁴¹ P-0242 Statement, UGA-OTP-0261-0333-R01, at para. 23; Enhanced Copies Schedule, UGA-OTP-0261-0348 (line 8).

and the ERN of Tape 760 is in evidence. The length of the recorded content on Tape 760 corresponds to the length of the audio tracks in this enhanced audio. ¹³⁴² The general impression of the enhanced audio's contents is consistent with that of Tape 760's contents.

- 742. Tape 760 is undated.¹³⁴³ The ISO logbook entries for Tape 760 indicate dates of 12-14 February 2004.¹³⁴⁴ The corresponding UPDF logbooks do not contain the ISO tape numbers, but it is clear from their entries on 12-14 February 2004¹³⁴⁵ that the UPDF heard the same overall conversations recorded in the ISO logbook.
- 743. In the course of its investigation, the Prosecution played part of an intercepted communication to P-0016 and P-0059. Each reviewed a draft transcript prepared by the Prosecution, identifying speakers and annotating amendments where necessary. 1346
- 744. Upon being played part of the enhanced audio in court, P-0016 and P-0059 recognised certain voices, gave the same overall summary of the recorded conversation, explained certain transcript annotations and confirmed that their annotated transcript matched what was played. The summaries describe part of the same conversations recorded in the logbooks. The voices consistently recognised were those of Dominic Ongwen, Vincent Otti and Labalpiny.
- 745. From the above, the Chamber concludes that: (i) UGA-OTP-0239-0079 is an enhanced audio copy of Tape 760; (ii) Tape 760 as enhanced contains a recording of Dominic Ongwen, Vincent Otti, Labalpiny and others speaking over the radio at some point in the period of 12-14 February 2004; and, (iii) at least for all portions where the reviewing

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¹³⁴² Compare UGA-OTP-0046-0063 (track 1: 47:44; track 2: 47:04) with UGA-OTP-0239-0079 (track 1: 31:46; track 2: 31:48). The discrepancy in track times is due almost entirely to there being a long unrecorded section on the original tape's two tracks. Alan French explains that such gaps are commonly seen when using a fast copier to transfer a 60 minute cassette onto a 90 minute one. P-0242: T-128, p. 8, line 3 – p. 10, line 14; P-0242 Statement, UGA-OTP-0261-0333-R01, at paras 27-32, 60.

¹³⁴³ UGA-OTP-0046-0063, at 0063-64.

 $^{^{1344}}$ UGA-OTP-0061-0002, at 0067-78. The dates in the entries are a little faint, but the entry right before it is $^{11/2/2004}$ (p. 0063) and the one just after is $^{15/2/2004}$ (p. 0078).

¹³⁴⁵ UPDF Logbook (Gulu), UGA-OTP-0254-4143, at 4235-40; UPDF Logbook (Achol Pii), UGA-OTP-0242-7309, at 7368-72. There are no police logbook entries on this date.

¹³⁴⁶ P-0016 Tape 760 Transcript, UGA-OTP-0259-0106; P-0059 Tape 760 Transcript, UGA-OTP-0258-0772-R01.

¹³⁴⁷ P-0016: <u>T-33</u>, p. 16, line 19 – p. 18, line 21; P-0059: <u>T-37</u>, p. 37, line 13 – p. 42, line 17.

witnesses had a consistent understanding, the corresponding annotated transcripts accurately reflect the speakers and words spoken.

- i. 6 March 2004 Tape 771 (enhanced: UGA-OTP-0239-0085)
- 746. The Chamber recognised the formal submission of the audio contents of a cassette identified as '771/G ^{3RD}'. ¹³⁴⁸ Its e-court metadata indicates that Timothy Kanyogonya provided this tape to a Prosecution staff member on 15 February 2005. The only preregistration form related to Timothy Kanyogonya on this date is one memorialising the exchange of, amongst other items, 136 ISO tapes to this same staff member. ¹³⁴⁹ The recording is of men speaking over the radio in a non-working language.
- 747. The Prosecution gave this tape to Alan French for audio enhancement. Alan French then enhanced the audio and labelled the copy 'AF/55'. An enhanced audio disc (UGA-OTP-0239-0085) marked with Alan French's company name, the code 'AF/55' and the ERN of Tape 771 is in evidence. The length of the recorded content on Tape 771 corresponds to the length of the audio tracks in this enhanced audio. The general impression of the enhanced audio's contents is consistent with that of Tape 771's contents.
- 748. Tape 771 is undated. ¹³⁵³ The ISO logbook entries for Tape 771 cover, in relevant part, 6 March 2004. ¹³⁵⁴ The corresponding UPDF logbooks do not contain the ISO tape numbers, but it is clear from their entries on 6 March 2004 ¹³⁵⁵ that the UPDF heard the same overall conversations recorded in the ISO logbook.

¹³⁴⁸ UGA-OTP-0047-0044.

¹³⁴⁹ Pre-Registration Form 0153, UGA-OTP-0244-0920-R01, at 0930 (UGA-OTP-0045-0001); P-0038 Second Statement, UGA-OTP-0244-0912-R01, at para. 30.

¹³⁵⁰ P-0242 Statement, UGA-OTP-0261-0333-R01, at para. 22.

¹³⁵¹ P-0242 Statement, UGA-OTP-0261-0333-R01, at para. 23; Enhanced Copies Schedule, UGA-OTP-0261-0348 (line 10).

¹³⁵² Compare UGA-OTP-0047-0044 (track 1: 47:37; track 2: 47:39) with UGA-OTP-0239-0085 (track 1: 32:04; track 2: 32:03). The discrepancy in track times is due almost entirely to there being a long unrecorded section on the original tape's two tracks. Alan French explains that such gaps are commonly seen when using a fast copier to transfer a 60 minute cassette onto a 90 minute one. P-0242: T-128, p. 8, line 3 – p. 10, line 14; P-0242 Statement, UGA-OTP-0261-0333-R01, at paras 27-32, 60.

¹³⁵³ UGA-OTP-0047-0044, at 0046.

 $^{^{1354}}$ UGA-OTP-0061-0002, at 0128-30. The date '6/3/2004' can be seen within the page range (p. 0128), and the date immediately following the cited entry is '7/3/2004' (p. 0130).

¹³⁵⁵ UPDF Logbook (Gulu), UGA-OTP-0254-4143, at 4272-73; UPDF Logbook (Soroti), UGA-OTP-0254-2284, at 2556-58; UPDF Logbook (Achol Pii), UGA-OTP-0242-7309, at 7404-06. There are no police logbook entries on this date.

- 749. In the course of its investigation, the Prosecution played part of an intercepted communication to P-0016 and P-0059. Each reviewed a draft transcript prepared by the Prosecution, identifying speakers and annotating amendments where necessary. 1356
- 750. Upon being played part of the enhanced audio in court, P-0016 and P-0059 recognised certain voices, gave the same overall summary of the recorded conversation, explained certain transcript annotations and confirmed that their annotated transcript matched what was played. The summaries describe part of the same conversations recorded in the logbooks. The voices consistently recognised were those of Dominic Ongwen and Vincent Otti.
- 751. Both witnesses also identify a signaller of Joseph Kony speaking, but diverge on which signaller it is. 1358 The Chamber considers this discrepancy immaterial, as both witnesses are consistent in identifying Joseph Kony as the ultimate source behind the words relayed.
- 752. From the above, the Chamber concludes that: (i) UGA-OTP-0239-0085 is an enhanced audio copy of Tape 771; (ii) Tape 771 as enhanced contains a recording of Dominic Ongwen, Vincent Otti, a signaller of Joseph Kony and others speaking over the radio at some point in the period of 5-6 March 2004; and, (iii) at least for all portions where the reviewing witnesses had a consistent understanding, the corresponding annotated transcripts accurately reflect the speakers and words spoken.
 - j. 20 March 2004 Tape 781 (enhanced: UGA-OTP-0239-0106)
- 753. The Chamber recognised the formal submission of the audio contents of a cassette identified as '781/G ^{3RD}'. ¹³⁵⁹ Its e-court metadata indicates that Timothy Kanyogonya provided this tape to a Prosecution staff member on 15 February 2005. The only preregistration form related to Timothy Kanyogonya on this date is one memorialising the

¹³⁵⁶ P-0016 Tape 771 Transcript, UGA-OTP-0259-0094; P-0059 Tape 771 Transcript, UGA-OTP-0258-0782-R01

¹³⁵⁷ P-0016: <u>T-32</u>, p. 67, line 20 – p. 75, line 13; P-0059: <u>T-37</u>, p. 26, line 16 – p. 29, line 17.

¹³⁵⁸ P-0016: <u>T-32</u>, p. 67, line 25 – p. 68, line 21 (Okot Odoge); P-0059: <u>T-37</u>, p. 26, line 19 – p. 27, line 17 (Labalpiny).

¹³⁵⁹ UGA-OTP-0051-0020.

- exchange of, amongst other items, 136 ISO tapes to this same staff member. 1360 The recording is of men speaking over the radio in a non-working language.
- 754. The Prosecution gave this tape to Alan French for audio enhancement. Alan French then enhanced the audio and labelled the copy 'AF/69'. An enhanced audio disc (UGA-OTP-0239-0106) marked with Alan French's company name, the code 'AF/69' and the ERN of Tape 781 is in evidence. The length of the recorded content on Tape 781 corresponds to the length of the audio tracks in this enhanced audio. The general impression of the enhanced audio's contents is consistent with that of Tape 781's contents.
- 755. Tape 781 is undated.¹³⁶⁴ The ISO logbook entries for Tape 781 cover, in relevant part, 20 March 2004.¹³⁶⁵ The corresponding UPDF logbooks do not contain the ISO tape numbers, but it is clear from their entries on 20 March 2004¹³⁶⁶ that the UPDF heard the same overall conversations recorded in the ISO logbook.
- 756. In the course of its investigation, the Prosecution played part of an intercepted communication to P-0016 and P-0059. Each reviewed a draft transcript prepared by the Prosecution, identifying speakers and annotating amendments where necessary. 1367
- 757. Upon being played part of the enhanced audio in court, P-0016 and P-0059 recognised certain voices, gave the same overall summary of the recorded conversation, explained certain transcript annotations and confirmed that their annotated transcript matched what

¹³⁶⁰ Pre-Registration Form 0153, UGA-OTP-0244-0920-R01, at 0930 (UGA-OTP-0045-0001); P-0038 Second Statement, UGA-OTP-0244-0912-R01, at para. 30.

¹³⁶¹ P-0242 Statement, UGA-OTP-0261-0333-R01, at para. 22.

¹³⁶² P-0242 Statement, UGA-OTP-0261-0333-R01, at para. 23; Enhanced Copies Schedule, UGA-OTP-0261-0348 (line 17).

¹³⁶³ Compare UGA-OTP-0051-0020 (track 1: 47:22 re-encoded; track 2: 47:27) with UGA-OTP-0239-0106 (track 1: 32:02; track 2: 32:14). The discrepancy in track times is due almost entirely to there being a long unrecorded section on the original tape's two tracks. Alan French explains that such gaps are commonly seen when using a fast copier to transfer a 60 minute cassette onto a 90 minute one. P-0242: T-128, p. 8, line 3 – p. 10, line 14; P-0242 Statement, UGA-OTP-0261-0333-R01, at paras 27-32, 60.

¹³⁶⁴ UGA-OTP-0051-0020, at 0020-21.

¹³⁶⁵ UGA-OTP-0061-0002, at 0165-67.

¹³⁶⁶ UPDF Logbook (Gulu), UGA-OTP-0254-4143, at 4293-97; UPDF Logbook (Achol Pii), UGA-OTP-0242-7309, at 7415-16 (while this logbook does not include an entry on 19 March 2004 at 13:00 hours, which corresponds to the start of Tape 781, it is clear from the entries for 20 and 21 March 2004 that the same overall conversation was recorded). There are no police logbook entries on these dates.

¹³⁶⁷ P-0016 Tape 781 Transcript, UGA-OTP-0265-0402, at 0407-9, 0411-20; P-0059 Tape 781 Transcript, UGA-OTP-0266-0260, at 0265-79.

was played. ¹³⁶⁸ The summaries describe part of the same conversations recorded in the logbooks. The voices consistently recognised were those of Dominic Ongwen, a signaller, Joseph Kony and Raska Lukwiya. ¹³⁶⁹

- 758. As to the signaller in the conversation, P-0059 identified him as Lukwiya's signaller in his annotated transcript. ¹³⁷⁰ But, during his testimony, P-0059 only referred to the signaller as 'Two Victor' and could not recognise the voice. ¹³⁷¹ P-0016 testified to being unsure whether this signaller worked for Lukwiya or Charles Tabuley. ¹³⁷² The evidence is unclear, and the Chamber cannot conclude the identity of this signaller's commander with certainty.
- 759. From the above, the Chamber concludes that: (i) UGA-OTP-0239-0106 is an enhanced audio copy of Tape 781; (ii) Tape 781 as enhanced contains a recording of Dominic Ongwen, Raska Lukwiya, Joseph Kony, a signaller and others speaking over the radio on 20 March 2004; and, (iii) at least for all portions where the reviewing witnesses had a consistent understanding, the corresponding annotated transcripts accurately reflect the speakers and words spoken.
 - k. 30 April 2004 Tape 808 (enhanced: UGA-OTP-0235-0038)
- 760. The Chamber recognised the formal submission of the audio contents of a cassette identified as '808/G ^{3RD}'. ¹³⁷³ Its e-court metadata indicates that Timothy Kanyogonya provided this tape to a Prosecution staff member on 15 February 2005. The only preregistration form related to Timothy Kanyogonya on this date is one memorialising the exchange of, amongst other items, 136 ISO tapes to this same staff member. ¹³⁷⁴ P-0059

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¹³⁶⁸ P-0016: T-33, p. 8, line 22 – p. 16, line 17; P-0059: T-38, p. 5, line 17 – p. 8, line 14.

¹³⁶⁹ P-0059 did not testify to hearing Raska Lukwiya, but he was not played the part of the tape where P-0016 clearly heard Raska Lukwiya speaking. *Compare* P-0016: <u>T-33</u>, p. 12, line 9 – p. 13, line 4 (recording played until 15:29), *with* P-0059: <u>T-38</u>, p. 5, line 17 – p. 8, line 14 (recording played until 12:03). P-0059's annotated transcript also indicates Lukwiya ('LOK') as a speaker just after timestamp 12:03. P-0059 Tape 781 Transcript, UGA-OTP-0266-0260, at 0275.

¹³⁷⁰ P-0059 Tape 781 Transcript, UGA-OTP-0266-0260, at 0265; P-0059: <u>T-38</u>, p. 7, lines 14-20.

¹³⁷¹ P-0059: <u>T-38</u>, p. 5, line 17 – p. 6, line 20.

¹³⁷² P-0016: <u>T-33</u>, p. 11, lines 14-20, p. 14, line 20 – p. 15, line 11.

¹³⁷³ UGA-OTP-0051-0074.

¹³⁷⁴ Pre-Registration Form 0153, UGA-OTP-0244-0920-R01, at 0930 (UGA-OTP-0045-0001); P-0038 Second Statement, UGA-OTP-0244-0912-R01, at para. 30.

recognised his handwriting on the tape label. 1375 The recording is of men speaking over the radio in a non-working language.

- 761. The Prosecution gave this tape to Alan French for audio enhancement. Alan French then enhanced the audio and labelled the copy 'AF/23'. An enhanced audio disc (UGA-OTP-0235-0038) marked with Alan French's company name, the code 'AF/23' and the ERN of Tape 808 is in evidence. The length of the recorded content on Tape 808 corresponds to the length of the audio tracks in this enhanced audio. The general impression of the enhanced audio's contents is consistent with that of Tape 808's contents.
- 762. Tape 808 is undated.¹³⁷⁹ The ISO logbook entries for Tape 808 indicate a date of 30 April 2004.¹³⁸⁰ The corresponding UPDF and police logbooks do not contain the ISO tape numbers, but it is clear from their entries of 30 April 2004¹³⁸¹ that these agencies heard the same overall conversations recorded in the ISO logbook.
- 763. In the course of its investigation, the Prosecution played part of an intercepted communication to P-0003, P-0016, P-0059 and P-0440. Each reviewed a draft transcript prepared by the Prosecution, identifying speakers and annotating amendments where necessary.¹³⁸²

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¹³⁷⁵ P-0059: <u>T-36</u>, p. 38, line 25 – p. 39, line 12.

¹³⁷⁶ P-0242 Statement, UGA-OTP-0261-0333-R01, at para. 20.

¹³⁷⁷ P-0242 Statement, UGA-OTP-0261-0333-R01, at para. 21; Enhanced Copies Schedule, UGA-OTP-0261-0347 (line 13; duplicate ERN UGA-OTP-0235-0055).

¹³⁷⁸ Compare UGA-OTP-0051-0074 (track 1: 47:20 re-encoded; track 2:47:25) with UGA-OTP-0235-0038 (track 1: 31:35; track 2: 31:34). The discrepancy in track times is due almost entirely to there being a long unrecorded section on the original tape's two tracks. Alan French explains that such gaps are commonly seen when using a fast copier to transfer a 60 minute cassette onto a 90 minute one. P-0242: T-128, p. 8, line 3 – p. 10, line 14; P-0242 Statement, UGA-OTP-0261-0333-R01, at paras 27-32, 60.

¹³⁷⁹ UGA-OTP-0051-0074, 0074-75.

¹³⁸⁰ UGA-OTP-0061-0206, at 0267-70. The date '30/04/2004' can be seen just before the cited entry of the same date (at p. 0266) and the date '1/5/2004' can be seen just after the cited entry associated with the sequentially following tape number (at p, 0271).

¹³⁸¹ UPDF Logbook (Gulu), UGA-OTP-0254-2982, at 3003-05 (the entry with date '30th April 2004' starts at 3002, but the summary for the communication at 11:00 hours can be found at 3003); UPDF Logbook (Achol Pii), UGA-OTP-0242-7309, at 7480-81; UPDF Logbook (Sudan), UGA-OTP-0242-7194, at 7244-46 (the entry with date 'Friday 30th Apr 2004' starts at 7243, but the summary for the communication at 11:00 hours can be found at 7244-45); Police Logbook, UGA-OTP-0037-0002, at 0144-46 (describing conversations from this date).

¹³⁸² P-0003 Tape 808 Transcript, UGA-OTP-0248-0263-R01, 0300-04, 0315-24; P-0016 Tape 808 Transcript, UGA-OTP-0259-0065; P-0059 Tape 808 Transcript, UGA-OTP-0248-0462-R01, at 0499-501, 0513-22; P-0440 Tape 808 Transcript, UGA-OTP-0262-0298-R01, at 0336-42, 0352-62.

- 764. Upon being played part of the enhanced audio in court, all four witnesses recognised certain voices, gave the same overall summary of the recorded conversation, explained certain transcript annotations and confirmed that their annotated transcript matched what was played. The summaries describe part of the same conversations recorded in the logbooks. The voices consistently recognised were those of Dominic Ongwen, Ocen, Joseph Kony, Vincent Otti and Labalpiny. It is also noted that the Defence played 20 seconds of this tape to Patrick Lumumba Nyero, but only for the limited purpose of asking whether the witness heard a particular person's call sign and any instructions given to or from this call sign. 1384
- 765. P-0003 and P-0016 further recognised the voice of Labongo (first name Ocen or Ocan) when summarising this recording while testifying. ¹³⁸⁵ These witnesses also attributed certain lines of their annotated transcripts to him. ¹³⁸⁶ P-0059 did not mention Labongo when summarising this recording in court. His annotated transcript attributed these same lines to an 'LAB', but P-0059 is not consistent in whether this is a reference to Labongo or Labalpiny. ¹³⁸⁷ P-0440 appears to have identified yet another speaker in his annotated transcript of this recording, but when testifying was not played the part of the recording where other witnesses heard Labongo. ¹³⁸⁸ The Chamber is satisfied on the basis of the corroborating testimony of P-0003 and P-0016 that Labongo also speaks on this tape, noting further that neither party appears to contest that this is the case. But these discrepancies do suggest that this portion of the recording may be particularly difficult to follow, even for those with lots of experience in recognising voices on LRA radio communications.
- 766. From the above, the Chamber concludes that: (i) UGA-OTP-0235-0038 is an enhanced audio copy of Tape 808; (ii) Tape 808 as enhanced contains a recording of Dominic Ongwen, Ocen, Joseph Kony, Labongo (first name Ocen or Ocan), Vincent Otti,

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 $^{^{1383}}$ P-0003: $\underline{\text{T-43}}$, p. 18, line 1 – p. 31, line 2; $\underline{\text{T-45}}$, p. 50, line 14 – p. 52, line 16; P-0016: $\underline{\text{T-32}}$, p. 42, line 4 – p. 64, line 9; P-0059: $\underline{\text{T-37}}$, p. 2, line 23 – p. 13, line 5; $\underline{\text{T-39}}$, p. 25, line 23 – p. 27, line 23; P-0440: $\underline{\text{T-40}}$, p. 21, line 17 – p. 27, line 24; $\underline{\text{T-41}}$, p. 28, lines 12-25.

¹³⁸⁴ P-0125: <u>T-136</u>, p. 27, line 20 – p. 30, line 8.

 $^{^{1385}}$ P-0003: $\overline{\text{T-43}}$, p. 18, line 7 – p. 19, line 18 (appearing to distinguish an 'Ocen', also called 'Madilu', with 'Ocen Labongo'); P-0016: $\overline{\text{T-32}}$, p. 42, line 21 – p. 43, line 3.

¹³⁸⁶ P-0003 Tape 808 Transcript, UGA-OTP-0248-0263-R01, at 0302; P-0016 Tape 808 Transcript, UGA-OTP-0259-0065, at 0068.

 $^{^{1387}}$ P-0059 Tape 808 Transcript, UGA-OTP-0248-0462-R01, at 0501; *Compare* P-0059: $\underline{\text{T-37}}$, p. 21, line 22 – p. 22, line 3 *with* P-0059: $\underline{\text{T-39}}$: p. 23, lines 4-11.

 $^{^{1388}}$ P-0440 Tape 808 Transcript, UGA-OTP-0262-0298-R01, at 0338-41 (indicating 'KWO' as the speaker of these lines); P-0440: $\frac{\text{T}-40}{\text{T}-9}$, p. 21, line 17 – p. 22, line 21 (timestamp of recording ends at 07:40).

Labalpiny and others speaking over the radio on 30 April 2004; and, (iii) at least for all portions where the reviewing witnesses had a consistent understanding, the corresponding annotated transcripts accurately reflect the speakers and words spoken.

- 1 May 2004 Tape UGA-OTP-0039-0006 (enhanced: UGA-OTP-0235-0015)
- 767. The Chamber recognised the formal submission of the audio contents of an un-numbered cassette labelled only with a date and an ICC ERN (UGA-OTP-0039-0006). Its e-court metadata indicates that Timothy Kanyogonya provided this tape to a Prosecution staff member on 14 December 2004. The only pre-registration form related to Timothy Kanyogonya on this date is one memorialising the exchange of, amongst other items, 113 intercept tapes to this same staff member. 1389 The recording is of men speaking over the radio in a non-working language.
- 768. The Prosecution gave this tape to Alan French for audio enhancement. 1390 Alan French then enhanced the audio and labelled the copy 'AF/3'. ¹³⁹¹ An enhanced audio disc (UGA-OTP-0235-0015) marked with Alan French's company name, the code 'AF/3' and the ERN of the original tape is in evidence. The length of the recorded content on Tape UGA-OTP-0039-0006 corresponds to the length of the audio tracks in this enhanced audio. 1392 The general impression of the enhanced audio's contents is consistent with that of Tape UGA-OTP-0039-0006's contents. 1393

¹³⁸⁹ Pre-Registration Form 00311, UGA-OTP-0244-0920-R01, at 0929; P-0038 Second Statement, UGA-OTP-0244-0912-R01, at para. 29.

¹³⁹⁰ P-0242 Statement, UGA-OTP-0261-0333-R01, at para. 20.

¹³⁹¹ P-0242 Statement, UGA-OTP-0261-0333-R01, at para. 21; Enhanced Copies Schedule, UGA-OTP-0261-0347 (line 2; duplicate ERN UGA-OTP-0235-0055).

¹³⁹² Compare UGA-OTP-0039-0006 (track 1: 31:55; track 2: 31:58) with UGA-OTP-0235-0015 (track 1: 31:36; track 2: 31:34). The small discrepancy in track times is due almost entirely to there being unrecorded sections in approximately the first five seconds and last 10 seconds on the original tape's two tracks. The enhanced audio tracks do not have these small gaps.

¹³⁹³ The Chamber notes the Defence argument that, having been played excerpts of UGA-OTP-0039-0006 in Court, Alan French would have noted pauses and a possible edit in the recording caused at the source of the recording (see Defence Closing Brief, para. 387). However, it is clear from Alan French's testimony that he referred to the possibility of there being pauses or clicks in the relevant excerpts, while at the same time insisting that further investigation in a laboratory setting would be necessary to make any further determinations (see, in particular, <u>T-218</u>, p. 42, lines 12-13, p. 43, line 6 – p. 44, line 2, p. 46, line 8-23, p. 48, lines 8-10, p. 49, lines 2-5), and that he also referred to possible causes of a technical nature (see T-218, p. 41, lines 1-11, p. 46, line 24 – p. 47, line 22). Bearing this in mind, the Chamber considers that Alan French's testimony does not undermine its findings as regards the nature and reliability of tape UGA-OTP-0039-0006 and its related enhanced recording.

- 769. Tape UGA-OTP-0039-0006's label reads '01 May 2004 1830 HRS'. The ISO logbook entry for this time corresponds with parts of ISO Tapes 809 and 810. ¹³⁹⁴ The corresponding UPDF logbook entries for this date and time ¹³⁹⁵ show that the UPDF heard the same overall conversations recorded in the ISO logbook.
- 770. In the course of its investigation, the Prosecution played part of an intercepted communication to P-0059 and P-0440. Each reviewed a draft transcript prepared by the Prosecution, identifying speakers and annotating amendments where necessary. 1396
- 771. Upon being played part of the enhanced audio in court, both witnesses recognised certain voices, gave the same overall summary of the recorded conversation, explained certain transcript annotations and confirmed that their annotated transcript matched what was played. Transcript ocen was also played part of the enhanced audio and gave a similar overall summary of the recorded conversation. The summaries describe part of the same conversations recorded in the logbooks. This is further indication that Tape UGA-OTP-0039-0006 concerns a conversation from the evening of 1 May 2004. The voices consistently recognised were those of Dominic Ongwen and Joseph Kony.
- 772. The Chamber notes that the Defence focused on certain audio discontinuities in the unenhanced Tape UGA-OTP-0039-0006 when examining Alan French. ¹³⁹⁹ Alan French suggested that these discontinuities could be caused by stopping the tape recorder and then starting it again. ¹⁴⁰⁰
- 773. To the extent the Defence suggests that these discontinuities may demonstrate that the conversation was edited such as by combining audio from different days to make it

 $^{^{1394}}$ UGA-OTP-0061-0206, at 0272-74. Entries for '1/5/2004' begin on page 0271, and the date '2/5/2004' is clearly visible on the last page of the cited entry.

¹³⁹⁵ UPDF Logbook (Gulu), UGA-OTP-0254-2982, at 3006-07; UPDF Logbook (Achol Pii), UGA-OTP-0242-7309, at 7481-83; UPDF Logbook (Sudan), UGA-OTP-0242-7194, at 7247-7250. There is a police logbook entry with a date of '1/5/2004', but it does not record any conversations from 18:30 on that day. *See* Police Logbook, UGA-OTP-0037-0002, at 0144-46.

¹³⁹⁶ P-0059 Tape UGA-OTP-0039-0006 Transcript, UGA-OTP-0258-0809-R01; P-0440 Tape UGA-OTP-0039-0006 Transcript, UGA-OTP-0262-0205-R01.

¹³⁹⁷ P-0059: T-37, p. 47, line 16 – p. 50, line 21; P-0440: T-40, p. 27, line 25 – p. 30, line 2.

¹³⁹⁸ D-0100: T-234, p. 50, line 13 – p. 52, line 20, p. 54, lines 16-20.

¹³⁹⁹ See also Defence Closing Brief, paras 386-87.

^{1400 &}lt;u>Defence Closing Brief</u>, paras 249-52; P-0242: <u>T-128</u>, p. 41, line 18 − p. 49, line 13.

- sound like a single conversation the Chamber does not consider this to be a reasonable possibility.
- 774. First, and most importantly, none of the testifying witnesses commented about hearing anything abnormal in this recorded conversation.
- 775. Second, no evidence indicates that the Ugandan government ever deliberately altered the contents of this or any other intercept tape. To the contrary, such tapes were made as part of a broader procedure intended to ensure accurate, timely interception. ¹⁴⁰¹
- 776. Third, it is equally unclear what motive the Ugandan government would have had in doing so. This tape was collected and stored for military operations, not the Prosecution's investigation. 1402 Altering tapes would have risked the accuracy of the intelligence needed for the war effort. To the extent the Defence insinuates that alterations may have been made to overstate Dominic Ongwen's personal culpability, it must also be noted that this tape was given to the Court before the Prosecution had filed any arrest warrant applications targeting particular LRA commanders.
- 777. Fourth, if new audio content was edited into this recording then there would be a large discrepancy between the recording and the logbook summaries contemporaneously prepared by the UPDF and ISO. But there is no such discrepancy.
- 778. Whatever issues there may have been in the recording of Tape UGA-OTP-0039-0006, the only reasonable conclusion inferable from the evidence is that this tape is a single conversation.
- 779. From the above, the Chamber concludes that: (i) UGA-OTP-0235-0015 is an enhanced audio copy of Tape UGA-OTP-0039-0006; (ii) Tape UGA-OTP-0039-0006 as enhanced contains a recording of Dominic Ongwen, Joseph Kony and others speaking over the radio on 1 May 2004; and, (iii) at least for all portions where the reviewing witnesses had a consistent understanding, the corresponding annotated transcripts accurately reflect the speakers and words spoken.

¹⁴⁰¹ See generally section IV.B.3.i.a above.

¹⁴⁰² See n. 1095 above.

m. 18 May 2004 – Tape 822 (enhanced: UGA-OTP-0235-0043)

- 780. The Chamber recognised the formal submission of the audio contents of a cassette identified as '822/G ^{3RD}'. ¹⁴⁰³ Its e-court metadata indicates that Timothy Kanyogonya provided this tape to a Prosecution staff member on 15 February 2005. The only preregistration form related to Timothy Kanyogonya on this date is one memorialising the exchange of, amongst other items, 136 ISO tapes to this same staff member. ¹⁴⁰⁴ The recording is of men speaking over the radio in a non-working language.
- 781. The Prosecution gave this tape to Alan French for audio enhancement. Alan French then enhanced the audio and labelled the copy 'AF/27'. An enhanced audio disc (UGA-OTP-0235-0043) marked with Alan French's company name, the code 'AF/27' and the ERN of Tape 822 is in evidence. The length of the recorded content on Tape 822 corresponds to the length of the audio tracks in this enhanced audio. The general impression of the enhanced audio's contents is consistent with that of Tape 822's contents.
- 782. Tape 822's cassette label and paper indicate the date of '18/5/2004'. The ISO logbook entries for Tape 822 correspond to this same date. The corresponding UPDF and police logbooks do not contain the ISO tape numbers, but it is clear from their entries of 18 May 2004 that these agencies heard the same overall conversations recorded in the ISO logbook.

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¹⁴⁰³ UGA-OTP-0052-0038.

¹⁴⁰⁴ Pre-Registration Form 0153, UGA-OTP-0244-0920-R01, at 0930 (UGA-OTP-0045-0001); P-0038 Second Statement, UGA-OTP-0244-0912-R01, at para. 30.

¹⁴⁰⁵ P-0242 Statement, UGA-OTP-0261-0333-R01, at para. 20.

¹⁴⁰⁶ P-0242 Statement, UGA-OTP-0261-0333-R01, at para. 21; Enhanced Copies Schedule, UGA-OTP-0261-0347 (line 15; duplicate ERN UGA-OTP-0235-0055).

¹⁴⁰⁷ Compare UGA-OTP-0052-0038 (track 1: 48:05; track 2: 48:09) with UGA-OTP-0235-0043 (track 1: 31:28; track 2: 31:04). The discrepancy in track times is due almost entirely to there being a long unrecorded section on the original tape's two tracks. Alan French explains that such gaps are commonly seen when using a fast copier to transfer a 60 minute cassette onto a 90 minute one. P-0242: <u>T-128</u>, p. 8, line 3 – p. 10, line 14; P-0242 Statement, UGA-OTP-0261-0333-R01, at paras 27-32, 60.

¹⁴⁰⁸ UGA-OTP-0052-0038, at 0038-39.

¹⁴⁰⁹ UGA-OTP-0061-0206, at 0316-17. The date is a little faint, but the date just prior to the one in the cited entry is '17-05-2004' (on p. 0314).

¹⁴¹⁰ UPDF Logbook (Gulu), UGA-OTP-0254-2982, at 3039-41; UPDF Logbook (Lira), UGA-OTP-0255-0228, at 0250-54; UPDF Logbook (Sudan), UGA-OTP-0242-7194, at 7280-84; Police Logbook, UGA-OTP-0037-0002, at 0127-28.

- 783. In the course of its investigation, the Prosecution played part of an intercepted communication to P-0003, P-0016, P-0059 and P-0440. Each reviewed a draft transcript prepared by the Prosecution, identifying speakers and annotating amendments where necessary.¹⁴¹¹
- 784. Upon being played part of the enhanced audio in court, all four witnesses recognised certain voices, gave the same overall summary of the recorded conversation, explained certain transcript annotations and confirmed that their annotated transcript matched what was played. The summaries describe part of the same conversations recorded in the logbooks. The voices consistently recognised were those of Joseph Kony and Vincent Otti. While he did not comment on any transcript, D-0032, to whom the recording was also played, gave a similar overall summary and equally recognised the voices of Joseph Kony and Vincent Otti. 1414
- 785. From the above, the Chamber concludes that: (i) UGA-OTP-0235-0043 is an enhanced audio copy of Tape 822; (ii) Tape 822 as enhanced contains a recording of Joseph Kony, Vincent Otti and others speaking over the radio on 18 May 2004; and, (iii) at least for all portions where the reviewing witnesses had a consistent understanding, the corresponding annotated transcripts accurately reflect the speakers and words spoken.
 - n. 21-22 May 2004 Tape 824 (enhanced: UGA-OTP-0239-0123)
- 786. The Chamber recognised the formal submission of the audio contents of a cassette identified as '824/G ^{3RD}'. ¹⁴¹⁵ Its e-court metadata indicates that P-0027 provided this tape to a Prosecution staff member on 23 February 2005. A pre-registration form related to P-0027 on this date which memorialises the exchange of '12 [c]assette tapes containing

¹⁴¹¹ P-0003 Tape 822 Transcript, UGA-OTP-0248-0198-R01, at 0201-06; P-0016 Tape 822 Transcript, UGA-OTP-0259-0044; P-0059 Tape 822 Transcript, UGA-OTP-0248-0436-R01, at 0437-46; P-0440 Tape 822 Transcript. UGA-OTP-0262-0399-R01, at 0400-09.

 $^{^{1412}}$ P-0003: $\underline{\text{T-43}}$, p. 31, line 3 – p. 33, line 13; P-0016: $\underline{\text{T-33}}$, p. 6, line 16 – p. 8, line 17; P-0059: $\underline{\text{T-37}}$, p. 13, line 8 – p. 15, line 24; P-0440: $\underline{\text{T-40}}$, p. 40, line 1 – p. 42, line 10.

¹⁴¹³ P-0016 did not address who Joseph Kony was speaking to in his testimony, but identifies Vincent Otti as this person in his annotated transcript. P-0016 Tape 822 Transcript, UGA-OTP-0259-0044, at 0049-50 ('OT' and 'JK' appearing across the lines corresponding to timestamp played in court, namely from 04:03-06:31).

¹⁴¹⁴ D-0032: T-201-CONF, p. 26, line 7 – p. 29, line 13.

¹⁴¹⁵ UGA-OTP-0054-0046. See also UGA-OTP-0052-0044.

relevant data' to this same staff member mentions the ERN range including this tape. ¹⁴¹⁶ The recording is of men speaking over the radio in a non-working language.

- 787. The Prosecution gave this tape to Alan French for audio enhancement. Alan French then enhanced the audio and labelled the copy 'AF/83'. An enhanced audio disc (UGA-OTP-0239-0123) marked with Alan French's company name, the code 'AF/83' and the ERN of Tape 824 is in evidence. The length of the recorded content on Tape 824 corresponds to the length of the audio tracks in this enhanced audio. The general impression of the enhanced audio's contents is consistent with that of Tape 824's contents.
- 788. The cassette paper accompanying Tape 824 indicates that: '21/5/04 is partly on 824A and partly 824B. The text is from 1830-1915Hrs only' and '824/G 3rd 22/5/04'. The ISO logbook entries for Tape 824 correspond to these same dates. The corresponding UPDF logbooks do not contain the ISO tape numbers, but it is clear from their entries on these dates that the UPDF heard the same overall conversations recorded in the ISO logbook.
- 789. In the course of its investigation, the Prosecution played part of an intercepted communication to P-0003, P-0016, P-0059 and P-0440. Each reviewed a draft transcript prepared by the Prosecution, identifying speakers and annotating amendments where necessary. 1423
- 790. Upon being played part of the enhanced audio in court, all four witnesses recognised certain voices, gave the same overall summary of the recorded conversation, explained

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¹⁴¹⁶ Pre-Registration Form 0155, UGA-OTP-0246-0039-R01, at 0039 (the ERN range including this tape appears on the bottom of the form); P-0027 Second Statement, UGA-OTP-0249-0444-R01, at para. 34(a).

¹⁴¹⁷ P-0242 Statement, UGA-OTP-0261-0333-R01, at para. 22.

¹⁴¹⁸ P-0242 Statement, UGA-OTP-0261-0333-R01, at para. 23; Enhanced Copies Schedule, UGA-OTP-0261-0348 (line 24).

¹⁴¹⁹ Compare UGA-OTP-0054-0046 (track 1: 31:57; track 2: 31:56) with UGA-OTP-0239-0123 (track 1: 31:50; track 2: 31:52).

¹⁴²⁰ UGA-OTP-0054-0046, at 0047-48.

¹⁴²¹ UGA-OTP-0061-0206, at 0320-22.

¹⁴²² UPDF Logbook (Gulu), UGA-OTP-0254-2982, at 3045-47; UPDF Logbook (Lira), UGA-OTP-0255-0228, at 0263-66; UPDF Logbook (Sudan), UGA-OTP-0242-7194, at 7291-92. There are police logbook entries discussing communications on 20 and 23 May 2004, but there appear to be no entries corresponding to these conversations. *See* Police Logbook, UGA-OTP-0037-0002, at 0121-26.

¹⁴²³ P-0003 Tape 824 Transcript, UGA-OTP-0132-0093-R01, 0102-03; P-0016 Tape 824 Transcript, UGA-OTP-0129-0419, 0428-29; P-0059 Tape 824 Transcript, UGA-OTP-0266-0084, at 0113-15, 0137-39; P-0440 Tape 824 Transcript, UGA-OTP-0262-0235-R01, at 0277, 0288-90.

certain transcript annotations and confirmed that their annotated transcript matched what was played. The summaries describe part of the same conversations recorded in the logbooks. The voices consistently recognised were those of Dominic Ongwen and Vincent Otti. 1425

791. From the above, the Chamber concludes that: (i) UGA-OTP-0239-0123 is an enhanced audio copy of Tape 824; (ii) Tape 824 – as enhanced – contains a recording of Dominic Ongwen, Vincent Otti, and others speaking over the radio at some point in the period of 21-22 May 2004; and, (iii) at least for all portions where the reviewing witnesses had a consistent understanding, the corresponding annotated transcripts accurately reflect the speakers and words spoken.

- 792. The Chamber recognised the formal submission of the audio contents of a cassette identified as '830/G ^{3RD}'. ¹⁴²⁶ Its e-court metadata indicates that Timothy Kanyogonya provided this tape to a Prosecution staff member on 15 February 2005. The only preregistration form related to Timothy Kanyogonya on this date is one memorialising the exchange of, amongst other items, 136 ISO tapes to this same staff member. ¹⁴²⁷ The recording is of men speaking over the radio in a non-working language.
- 793. The Prosecution gave this tape to Alan French for audio enhancement. Alan French then enhanced the audio and labelled the copy 'AF/73'. An enhanced audio disc (UGA-OTP-0239-0112) marked with Alan French's company name, the code 'AF/73' and the ERN of Tape 830 is in evidence. The length of the recorded content on Tape 830

¹⁴²⁴ P-0003: <u>T-42</u>, p. 78, line 11 – p. 88, line 17; <u>T-46</u>, p. 26, line 14 – p. 30, line 2; P-0016: <u>T-32</u>, p. 75, line 14 – p. 77, line 17; <u>T-35</u>, p. 29, line 18 – p. 33, line 13; P-0059: <u>T-36</u>, p. 73, line 14 – p. 77, line 2; <u>T-38</u>, p. 60, line 7 – p. 74, line 15; <u>T-39</u>, p. 3, line 18 – p. 21, line 15; P-0440: <u>T-40</u>, p. 30, line 3 – p. 36, line 13.

¹⁴²⁵ The Chamber notes that while for a small part of the communication, P-0440 also identified Joseph Kony as speaking (*see* P-0440: $\underline{\text{T-40}}$, p. 34, line 21 – p. 35, line 20), he equally recognised the voice of Vincent Otti in the exchange with Dominic Ongwen, as did the other witnesses. *See* P-0440: $\underline{\text{T-40}}$, p. 30, line 3 – p. 36, line 13. ¹⁴²⁶ UGA-OTP-0052-0056.

¹⁴²⁷ Pre-Registration Form 0153, UGA-OTP-0244-0920-R01, at 0930 (UGA-OTP-0045-0001); P-0038 Second Statement, UGA-OTP-0244-0912-R01, at para. 30.

¹⁴²⁸ P-0242 Statement, UGA-OTP-0261-0333-R01, at para. 22.

¹⁴²⁹ P-0242 Statement, UGA-OTP-0261-0333-R01, at para. 23; Enhanced Copies Schedule, UGA-OTP-0261-0348 (line 19).

corresponds to the length of the audio tracks in this enhanced audio. 1430 The general impression of the enhanced audio's contents is consistent with that of Tape 830's contents.

- 794. Tape 830's cassette label and paper indicate that the tape 'covers 30/5/2004 partly and 31/5/04 partly'. The ISO logbook entries for Tape 830 correspond a small part of the tape seems to have been recorded at the end of 29 May 2004 with the remainder on the dates indicated on the cassette label. The corresponding UPDF and police logbooks do not contain the ISO tape numbers, but it is clear from their entries of 29-31 May 2004 that these agencies heard the same overall conversations recorded in the ISO logbook.
- 795. In the course of its investigation, the Prosecution played part of an intercepted communication to P-0003, P-0016, P-0059 and P-0440. Each reviewed a draft transcript prepared by the Prosecution, identifying speakers and annotating amendments where necessary. 1434
- 796. Upon being played part of the enhanced audio in court, all four witnesses recognised certain voices, gave the same overall summary of the recorded conversation, explained certain transcript annotations and confirmed that their annotated transcript matched what was played. The summaries describe part of the same conversations recorded in the logbooks. Vincent Otti's voice was consistently recognised, and all witnesses were

¹⁴³⁰ Compare UGA-OTP-0052-0056 (track 1: 47:31; track 2: 47:34) with UGA-OTP-0239-0112 (track 1: 31:53; track 2: 32:57). The discrepancy in track times is due almost entirely to there being a long unrecorded section on the original tape's two tracks. Alan French explains that such gaps are commonly seen when using a fast copier to transfer a 60 minute cassette onto a 90 minute one. P-0242: T-128, p. 8, line 3 – p. 10, line 14; P-0242 Statement, UGA-OTP-0261-0333-R01, at paras 27-32, 60. Even accounting for this, there is still a gap of about 1 minute and 20 seconds for track 2. But this remaining discrepancy is explained by unrecorded sections at the beginning and end of the enhanced audio's track 2 being significantly longer than those on the original.

¹⁴³¹ UGA-OTP-0052-0056, at 0056-57.

 $^{^{1432}}$ ISO Logbook (Gulu), UGA-OTP-0061-0206, at 0341-42 (with the '29/05/2004' date indicated on p. 0340); ISO Logbook (Gulu), UGA-OTP-0062-0002, at 0003-06.

¹⁴³³ UPDF Logbook (Gulu), UGA-OTP-0254-2982, at 3060-63; UPDF Logbook (Lira), UGA-OTP-0255-0228, at 0301-07; Police Logbook, UGA-OTP-0037-0002, at 0104-07.

¹⁴³⁴ P-0003 Tape 830 Transcript, UGA-OTP-0248-0143-R01, at 0179-82; P-0016 Tape 830 Transcript, UGA-OTP-0259-0116; P-0059 Tape 830 Transcript, UGA-OTP-0248-0381-R01, at 0417-19; P-0440 Tape 830 Transcript, UGA-OTP-0262-0425-R01, at 0438-45.

 $^{^{1435}}$ P-0003: $\underline{\text{T-43}}$, p. 40, line 18 – p. 43, line 15; P-0016: $\underline{\text{T-33}}$, p. 3, line 2 – p. 6, line 15; P-0059: $\underline{\text{T-37}}$, p. 23, line 21 – p. 26, line 13; P-0440: $\underline{\text{T-40}}$, p. 42, line 11 – p. 44, line 13.

¹⁴³⁶ P-0016 did not address who Joseph Kony was speaking to in his testimony, but identifies Vincent Otti as one of these persons in his annotated transcript. P-0016 Tape 830 Transcript, UGA-OTP-0259-0116, at 0118-23 ('OT'

likewise consistent that he was speaking to either Joseph Kony or a signaller acting on Joseph Kony's behalf.

797. From the above, the Chamber concludes that: (i) UGA-OTP-0239-0112 is an enhanced audio copy of Tape 830; (ii) Tape 830 – as enhanced – contains a recording of Joseph Kony (or a signaller acting on his behalf), Vincent Otti and others speaking over the radio at some point in the period of 30-31 May 2004; and, (iii) at least for all portions where the reviewing witnesses had a consistent understanding, the corresponding annotated transcripts accurately reflect the speakers and words spoken.

p. 9-10 June 2004 – Tape 837 (enhanced: UGA-OTP-0235-0049)

- 798. The Chamber recognised the formal submission of the audio contents of a cassette identified as '837'. ¹⁴³⁷ Its e-court metadata indicates that Timothy Kanyogonya provided this tape to a Prosecution staff member on 15 February 2005. The only pre-registration form related to Timothy Kanyogonya on this date is one memorialising the exchange of, amongst other items, 136 ISO tapes to this same staff member. ¹⁴³⁸ P-0059 further confirms that the ISO made Tape 837. ¹⁴³⁹ The recording is of men speaking over the radio in a non-working language.
- 799. The Prosecution gave this tape to Alan French for audio enhancement. Alan French then enhanced the audio and labelled the copy 'AF/31'. An enhanced audio disc (UGA-OTP-0235-0049) marked with Alan French's company name, the code 'AF/31' and the ERN of Tape 837 is in evidence. The length of the recorded content on Tape 837 corresponds to the length of the audio tracks in this enhanced audio. The general

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and 'JK' primary speakers appearing across the lines corresponding to timestamp played in court, namely from 12:25-15:47, starting at p. 0117).

¹⁴³⁷ UGA-OTP-0053-0006.

 $^{^{1438}}$ Pre-Registration Form 0153, UGA-OTP-0244-0920-R01, at 0930 (UGA-OTP-0045-0001); P-0038 Second Statement, UGA-OTP-0244-0912-R01, at para. 30.

¹⁴³⁹ P-0059: <u>T-36</u>, p. 39, line 13 – p. 40, line 2.

¹⁴⁴⁰ P-0242 Statement, UGA-OTP-0261-0333-R01, at para. 20.

¹⁴⁴¹ P-0242 Statement, UGA-OTP-0261-0333-R01, at para. 21; Enhanced Copies Schedule, UGA-OTP-0261-0347 (line 17; duplicate ERN UGA-OTP-0235-0055).

¹⁴⁴² Compare UGA-OTP-0053-0006 (track 1: 31:22; track 2: 31:17) with UGA-OTP-0235-0049 (track 1: 31:27; track 2: 31:26).

impression of the enhanced audio's contents is consistent with that of Tape 837's contents.

- 800. The label on Tape 837 and its cassette shell indicates 'Tape 837 covers 9/6/04 to 10/6/04'. The ISO logbook entries for Tape 837 correspond a small part of the tape seems to have been recorded at the end of 8 June 2004, with the remainder on the dates indicated on the cassette label. The corresponding UPDF and police logbooks do not contain the ISO tape numbers, but it is clear from their entries of 8-10 June 2004 that these agencies heard the same overall conversations recorded in the ISO logbook.
- 801. In the course of its investigation, the Prosecution played part of an intercepted communication to P-0003, P-0016, P-0059 and P-0440. Each reviewed a draft transcript prepared by the Prosecution, identifying speakers and annotating amendments where necessary. 1446
- 802. Upon being played part of the enhanced audio in court, all four witnesses recognised certain voices, gave the same overall summary of the recorded conversation, explained certain transcript annotations and confirmed that their annotated transcript matched what was played. The summaries describe part of the same conversations recorded in the logbooks. The voices consistently recognised were those of Dominic Ongwen, Vincent Otti, Raska Lukwiya, Labalpiny (Joseph Kony's signaller) and Joseph Kony. 1448

¹⁴⁴³ UGA-OTP-0053-0006, at 0006-07.

¹⁴⁴⁴ UGA-OTP-0062-0002, at 0022-26. The date on p. 0022 is not visible, and the dates of the two 09:00 entries on p. 0023 are difficult to see, but ISO logbooks follow a pattern whereby entries are marked in chronological order. Looking before the relevant entries, the most recent visible date is the 09:00 entry for '7/6/2004' on p. 0019. The next entries leading up to p. 0023 are at the following times: 11:00, 18:30, 09:00 (an entry for the next day, i.e. 8 June 2004) and 18:30. This means that the first 09:00 entry on p. 0023 is the entry for 9 June 2004, and the second is an entry for 10 June 2004. The date immediately after the relevant entries clearly reads '11/6/2004' (p. 0026), confirming that the two dates on p. 0023 should be '9/6/2004' and '10/6/2004', respectively.

¹⁴⁴⁵ UPDF Logbook (Gulu), UGA-OTP-0254-2982, at 3076-80; UPDF Logbook (Lira), UGA-OTP-0255-0228, at 0324-26; Police Logbook, UGA-OTP-0037-0002, at 0099-101 (describing conversations from 9 and 10 June 2004).

¹⁴⁴⁶ P-0003 Tape 837 Transcript, UGA-OTP-0248-0106-R01, at 0121-34; P-0016 Tape 837 Transcript, UGA-OTP-0259-0086; P-0059 Tape 837 Transcript, UGA-OTP-0248-0524-R01, at 0539-52; P-0440 Tape 837 Transcript, UGA-OTP-0262-0363-R01, at 0378-90.

¹⁴⁴⁷ P-0003: <u>T-43</u>, p. 33, line 14 – p. 40, line 17; <u>T-45</u>, p. 61, line 17 – p. 64, line 14; P-0016: <u>T-32</u>, p. 64, line 10 – p. 67, line 17; P-0059: <u>T-37</u>, p. 15, line 25 – p. 23, line 18; P-0440: <u>T-40</u>, p. 36, line 14 – p. 39, line 25.

1448 P-0440 did not testify to hearing Vincent Otti, but he did indicate him as one of the main speakers in the

¹⁴⁴⁸ P-0440 did not testify to hearing Vincent Otti, but he did indicate him as one of the main speakers in the transcript annotated by him and related to the audio excerpt that he was played in court (*see* UGA-OTP-0262-0363-R01, at 0381-5 (approx. lines 399-497)). P-0016 did not testify to hearing Labalpiny or Joseph Kony, but was not played the portion of the tape where the other three witnesses heard them speaking. *Compare* P-0016: <u>T-</u>

803. From the above, the Chamber concludes that: (i) UGA-OTP-0235-0049 is an enhanced audio copy of Tape 837; (ii) Tape 837 – as enhanced – contains a recording of Dominic Ongwen, Vincent Otti, Raska Lukwiya, Labalpiny, Joseph Kony and others speaking over the radio at some point in the period of 8-10 June 2004; and, (iii) at least for all portions where the reviewing witnesses had a consistent understanding, the corresponding annotated transcripts accurately reflect the speakers and words spoken.

q. 31 July-1 August 2004 – Tape 876 (enhanced: UGA-OTP-0258-0143)

- 804. The Chamber recognised the formal submission of the audio contents of a cassette identified as '876'. 1449 Its e-court metadata indicates that P-0027 of the ISO provided this tape on 1 June 2015. A pre-registration form related to P-0027 on this date memorialises the exchange of, amongst other items, Tape 876 to the Prosecution. 1450 The recording is of men speaking over the radio in a non-working language.
- 805. The Prosecution gave this tape to Xavier Laroche and his colleague for audio enhancement. These persons then performed this enhancement and registered the enhanced digital copy. An enhanced audio file (UGA-OTP-0258-0143) with a cover page indicating the ERN of Tape 876's side B is in evidence.
- 806. Tape 876 has no date on its label. A second page of cassette paper reads Tape No 03 24th 6-97. This suggests the tape dates back to June 1997, but this is irreconcilable with the other evidence available. First, the reference to Tape No 3' suggests this 1997 date corresponds to an entirely different tape number than the one listed on the first page of the cassette paper (876). Second, as ISO tapes in the relevant time period were numbered sequentially, other tapes with numbers close to 876 and which the Chamber has already dated above suggest that Tape 876 must actually date sometime in mid-

<u>32</u>, p. 64, line 10 – p. 67, line 17, *with* P-0003: <u>T-43</u>, p. 37, line 20 – p. 40, line 17; P-0059: <u>T-37</u>, p. 20, line 25 – p. 23, line 18; P-0440: <u>T-40</u>, p. 38, line 17 – p. 39, line 25.

¹⁴⁴⁹ UGA-OTP-0241-0257.

¹⁴⁵⁰ Pre-Registration Form, UGA-OTP-0246-0039-R01, at 0054; P-0027 Second Statement, UGA-OTP-0249-0444-R01, at para. 37(p).

¹⁴⁵¹ Inventory, UGA-OTP-0269-0044, at 0053; P-0256 Statement, UGA-OTP-0269-0015, at paras 22, 51.

¹⁴⁵² P-0256 Statement, UGA-OTP-0269-0015, at paras 37-39; Pre-Registration Form, UGA-OTP-0269-0101, at 0101 (item # 2).

¹⁴⁵³ UGA-OTP-0241-0257, at 0257.

¹⁴⁵⁴ UGA-OTP-0241-0257, at 0258.

2004. The ISO logbook entries for Tape 876 confirm this, as they are dated 31 July-1 August 2004. ¹⁴⁵⁵ The corresponding UPDF logbooks do not contain the ISO tape numbers, but it is clear from their entries on these same dates ¹⁴⁵⁶ that the UPDF heard the same overall conversations recorded in the ISO logbook. Considering the above, the Chamber finds that the conversations recorded on Tape 873's side B, and as reflected in the corresponding logbook entries, took place on 31 July-1 August 2004.

- 807. In the course of its investigation, the Prosecution played part of an intercepted communication to P-0016 and P-0059. They reviewed a draft transcript prepared by the Prosecution, identifying speakers and annotating amendments where necessary. 1457
- 808. Upon being played part of the enhanced audio in court, P-0016 and P-0059 recognised certain voices, gave an overall summary of the recorded conversation, explained certain transcript annotations and confirmed that their annotated transcript matched what was played. ¹⁴⁵⁸ The summary describes part of the same conversations recorded in the logbooks, providing further proof that Tape 876 concerns a conversation from mid-2004. The voices consistently recognised were those of Dominic Ongwen and Ocen (identified by P-0059 as a signaller of Abudema).
- 809. The Chamber notes a massive discrepancy between the length of the recorded content on the original audio's side B (05:35) and the enhanced audio's track 2 (33:17). This discrepancy makes it impossible to hear whether the original and enhanced audio tracks actually sound like each other throughout the tape. But both P-0059 and P-0016 seemed to hear the same conversation on the enhanced audio segment, and this conversation is reflected in the ISO logbook under Tape 876 (i.e. the number on the label of the original tape). The Defence also seems to consider the words said by Dominic Ongwen on this tape to be exculpatory, 1459 suggesting that it does not contest the provenance of this

¹⁴⁵⁵ UGA-OTP-0062-0145, at 0150-54. The dates are hard to read, but the book itself is opened on 30 July 2004 (p. 0146), the date '31 July' appears just before the cited entry (p. 0149), and a faint '2 Aug 2004' can be seen in the date just after the cited entry (p. 0156).

¹⁴⁵⁶ UPDF Logbook (Gulu), UGA-OTP-0254-2982, at 3166-68 (the date of '31st July 2004' is visible on p. 3165); UPDF Logbook (Lira), UGA-OTP-0255-0228, at 0427-29. There is a police logbook entry partially corresponding to these dates, but any LRA communications before 1830 on 1 August 2004 were not summarised. *See* Police Logbook, UGA-OTP-0037-0002, at 0044-45.

¹⁴⁵⁷ P-0016 Tape 876 Transcript, UGA-OTP-0265-0481, at 0490; P-0059 Tape 876 Transcript, UGA-OTP-0266-0146, at 0154-55.

¹⁴⁵⁸ P-0016: <u>T-33</u>, p. 24, line 20 – p. 32, line 5; P-0059: <u>T-37</u>, p. 31, line 12 – p. 37, line 2; <u>T-38</u>, p. 52, line 23 – p. 55, line 1.

¹⁴⁵⁹ P-0059: <u>T-38</u>, p. 54, line 9 – p. 55, line 1.

particular enhanced audio. In these circumstances, the Chamber is satisfied that the parts of the enhanced audios reviewed and discussed by the witnesses are part of the original tapes.

810. From the above, the Chamber concludes that: (i) UGA-OTP-0258-0143 is an enhanced audio copy of Tape 876's side B, at least for the part commented upon by the witnesses; (ii) Tape 876 – as enhanced – contains a recording of Dominic Ongwen, Ocen and others speaking over the radio at some point in the period of 31 July-1 August 2004; and (iii) at least for all portions where the reviewing witnesses had a consistent understanding, the corresponding annotated transcript accurately reflects the speakers and words spoken.

iii. Direction-finding evidence

- 811. The evidence in the case includes a specific category of information produced by the UPDF in the course of its particular operation to determine the location of LRA commanders by intercepting and analysing their radio communications with special equipment. This information, which has been referred to during the trial as 'direction-finding evidence', is contained in several UPDF intelligence reports, some of which are specifically relied upon by the Defence in its closing brief. 1460
- 812. In its pre-trial brief, the Prosecution averred that it would not rely on the direction-finding evidence since it was not satisfied as to the reliability of the process by which direction-finding information was obtained. 1461
- 813. Also the Defence initially indicated that it intended to 'challenge' the direction-finding process and 'explain the deficiencies in the UPDF techniques'. 1462
- 814. While the Prosecution then submitted the UPDF intelligence reports into evidence, it reiterated that it would not rely on the direction-finding information. Although the

¹⁴⁶⁰ See 27 April 2004 Intelligence Report, UGA-OTP-0017-0130; 30 April 2004 Intelligence Report, UGA-OTP-0017-0150; 1 May 2004 Intelligence Report, UGA-OTP-0017-0157; 18 May 2004 Intelligence Report, UGA-OTP-0017-0353.

Prosecution's Pre-Trial Brief, 6 September 2016, ICC-02/04-01/15-533 (hereinafter: 'Prosecution Pre-Trial Brief'), para. 78. *See also* Prosecution's second request for introduction of prior recorded testimony pursuant to rule 68(2)(b) of the Rules, 20 September 2016, ICC-02/04-01/15-538-Conf (public redacted version available: ICC-02/04-01/15-538-Red), para. 29.

The Defence Response to Prosecution's second Request pursuant to Rule 68(2)(b), 5 October 2016, ICC-02/04-01/15-555-Conf (public redacted version available: ICC-02/04-01/15-555-Red2), para. 17.

¹⁴⁶³ Prosecution's formal submission of intercept evidence *via* the 'bar table', 28 October 2016, ICC-02/04-01/15-580, para. 43. *See also* Confidential Annex D, ICC-02/04-01/15-580-Conf-AnxD.

Defence generally opposed the submission of all intercept-related material by the Prosecution, it did not, in this context, specify its position regarding the direction-finding data included in the UPDF intelligence reports.¹⁴⁶⁴

- 815. In November 2016, prior to the commencement of the trial, the Chamber decided on whether certain previously recorded testimonies, some of which partly discussed the process for the collection of the UPDF direction-finding data and were intended to be relied upon for other purposes by the Prosecution, could be introduced under Rule 68(2)(b) of the Statute. On the basis of the initial positions expressed by the parties, the Chamber concluded that the unreliability of the UPDF direction-finding evidence was not disputed between the parties, neither of which intended to rely on this material in the trial, 1465 and that the information concerning this process was therefore irrelevant to the case. However, because the Chamber found the conditions of Rule 68(2)(b) of the Rules to be met, the statements were introduced in their entirety, including the parts in which the witnesses refer to the direction-finding process. The witness statements concerned were those of P-0029, 1467 P-0337, 1468 P-0384, 1469 and P-0404. 1470
- 816. The Defence subsequently argued that its position on the direction-finding evidence had been misinterpreted ¹⁴⁷¹ and that it 'provisionally' considered the material reliable, 'hop[ing] to be able to establish through questioning that the material was collected correctly'. ¹⁴⁷² It sought reconsideration of the decision allowing the introduction of the statements of P-0029, P-0337, P-0384 and P-0404, and submitted that '[i]f the Prosecution wishe[d] to rely upon these witnesses, they should be called to testify *viva*

¹⁴⁶⁴ See <u>Defence Response to Prosecution's Submission of Intercept Material</u>. See also <u>Decision on Prosecution's Submission of Intercept Material</u>.

¹⁴⁶⁵ First Decision on Prosecution Applications under Rule 68(2)(b), para. 160.

First Decision on Prosecution Applications under Rule 68(2)(b), paras 183, 191.

¹⁴⁶⁷ P-0029 First Statement, UGA-OTP-0027-0231-R01; P-0029 Second Statement, UGA-OTP-0267-0455.

¹⁴⁶⁸ P-0337 First Statement, UGA-OTP-0256-0201-R01; P-0337 Second Statement, UGA-OTP-0267-0445-R01.

¹⁴⁶⁹ P-0384 Statement, UGA-OTP-0260-0491-R01. The Chamber notes that while P-0384 seems to have been involved in ISO direction-finding activities, he did not provide any specific information in this regard.

¹⁴⁷⁰ P-0404 Statement, UGA-OTP-0267-0470-R01.

¹⁴⁷¹ Defence Request for Re-Consideration of 'Decision on the Prosecution's Applications for Introduction of Prior Recorded Testimony under Rule 68(2)(b) of the Rules' with Regards to Four Individuals, 19 January 2017, ICC-02/04-01/15-659, para. 13.

¹⁴⁷² <u>Defence Request for Re-Consideration of 'Decision on the Prosecution's Applications for Introduction of Prior Recorded Testimony under Rule 68(2)(b) of the Rules' with Regards to Four Individuals, 19 January 2017, ICC-02/04-01/15-659, para. 17.</u>

voce'. ¹⁴⁷³ The Defence also specified 'that in no way ha[d] it waived its rights to rely upon the direction-finding material, raise questions in cross-examination with interception-related witnesses, and present expert-testimony or expert-reports in the Defence case or prior to any ruling upon the reliability of the direction-finding evidence'. ¹⁴⁷⁴

- 817. The Chamber then held that the new interpretation by the Defence of its previous submissions was untenable, and that in fact the Defence had (legitimately) changed its strategy. The Chamber further held that, in any case, the Defence could rely on the evidence of the four witnesses which had been introduced pursuant to Rule 68(2)(b) of the Rules, 1476 as well as attempt to obtain supplementary statements from the same witnesses or call its own witnesses with respect to the direction-finding operations. 1477
- 818. Subsequently, in its closing brief, the Defence relied on material originating from direction-finding¹⁴⁷⁸ with a view to supporting its submissions that Dominic Ongwen could not have been in the vicinity of attacks relevant to the charges at the time of their occurrence.¹⁴⁷⁹
- 819. In consequence, the Prosecution reiterated in its closing statements that it did not rely on the direction-finding material and did not ask the Chamber to rely on it to convict Dominic Ongwen. The Prosecution submitted that there was not much evidence about direction-finding and how precise or reliable it is, and even if reliable, it had limitations. 1482

¹⁴⁷³ Defence Request for Re-Consideration of 'Decision on the Prosecution's Applications for Introduction of Prior Recorded Testimony under Rule 68(2)(b) of the Rules' with Regards to Four Individuals, 19 January 2017, ICC-02/04-01/15-659, para. 20.

¹⁴⁷⁴ Defence Request for Re-Consideration of 'Decision on the Prosecution's Applications for Introduction of Prior Recorded Testimony under Rule 68(2)(b) of the Rules' with Regards to Four Individuals, 19 January 2017, ICC-02/04-01/15-659, para. 21.

¹⁴⁷⁵ Decision on the Defence Request for Partial Reconsideration of the Decision under Rule 68(2)(b) of the Rules of Procedure and Evidence, 23 February 2017, ICC-02/04-01/15-711, para. 8.

¹⁴⁷⁶ Decision on the Defence Request for Partial Reconsideration of the Decision under Rule 68(2)(b) of the Rules of Procedure and Evidence, 23 February 2017, ICC-02/04-01/15-711, para. 11.

¹⁴⁷⁷ Decision on the Defence Request for Partial Reconsideration of the Decision under Rule 68(2)(b) of the Rules of Procedure and Evidence, 23 February 2017, ICC-02/04-01/15-711, para. 11.

¹⁴⁷⁸ Defence Closing Brief, para. 347.

¹⁴⁷⁹ Defence Closing Brief, paras 346-48, 351, 372-74, 396-98, 405-07, 409-10, 444-45. *See also* Defence Opening Statement: <u>T-179</u>, p. 39, lines 12-22.

¹⁴⁸⁰ Prosecution Closing Statement: T-256, p. 72, lines 19-20.

¹⁴⁸¹ Prosecution Closing Statement: <u>T-256</u>, p. 74, lines 13-14, p. 76, lines 23-24.

¹⁴⁸² Prosecution Closing Statement: <u>T-256</u>, p. 74, lines 15-25.

- 820. The Defence in turn restated its position on the direction-finding evidence in its own closing statements. 1483
- 821. According to the witness evidence before the Chamber, ¹⁴⁸⁴ the UPDF direction-finding operation was set up in Gulu as of 1999 and further developed in 2003-2004. ¹⁴⁸⁵ It developed incrementally until it became part of the UPDF strategy, ¹⁴⁸⁶ with information gathered through direction-finding being used for UPDF operational planning ¹⁴⁸⁷ and included in intelligence reports. ¹⁴⁸⁸
- 822. Further witness evidence indicates that while direction-finding also called radio wave surveillance ¹⁴⁸⁹ and interception of radio communications formed separate operations, ¹⁴⁹⁰ they were both part of technical intelligence. ¹⁴⁹¹
- 823. According to P-0337, the purpose of the direction-finding operation was to determine the locations of certain LRA commanders at the time of their radio communications by intercepting the transmissions and calculating the geographical coordinates of their whereabouts. 1492
- 824. Witnesses stated that the direction-finding operation was commanded by P-0337, who set up the operation in Gulu. He deployed staff to the field, collected the information

¹⁴⁸³ Defence Closing Statement: <u>T-258</u>, p. 37, lines 10-11, p. 38, lines 7-10. The Chamber notes in this regard that the Defence relied on a statement of P-0027 which, however, has not been submitted into evidence (UGA-D26-0011-0508. *See* Defence Closing Statement: <u>T-258</u>, p. 87, line 15 – p. 88, line 1). Therefore, the Chamber will not consider it further.

¹⁴⁸⁴ The Chamber considered, most importantly, witnesses P-0029, P-0291, P-0337 and P-0404.

¹⁴⁸⁵ P-0029 Second Statement, UGA-OTP-0267-0455, at para. 55.

¹⁴⁸⁶ P-0029 Second Statement, UGA-OTP-0267-0455, at para. 54.

¹⁴⁸⁷ P-0404 Statement, UGA-OTP-0267-0470-R01, at para. 25.

¹⁴⁸⁸ See para. 835 below. See also P-0029 Second Statement, UGA-OTP-0267-0455, at para. 67.

¹⁴⁸⁹ P-0404 Statement, UGA-OTP-0267-0470-R01, at para. 25.

¹⁴⁹⁰ P-0029 First Statement, UGA-OTP-0027-0231-R01, at para. 64.

¹⁴⁹¹ P-0003: T-44-CONF, p. 23, line 18 – p. 24, line 1; <u>T-44</u>, p. 29, lines 2-3.

¹⁴⁹² P-0337 First Statement, UGA-OTP-0256-0201-R01, at paras 36, 40. *See also* P-0029 Second Statement, UGA-OTP-0267-0455, at para. 54; P-0404 Statement, UGA-OTP-0267-0470-R01, at para. 25; P-0003: T-46-CONF, p. 11, lines 15-25.

¹⁴⁹³ P-0029 Second Statement, UGA-OTP-0267-0455, at para. 58; P-0291 Statement, UGA-OTP-0246-0061-R01, at para. 55; P-0404 Statement, UGA-OTP-0267-0470-R01, at para. 15.

¹⁴⁹⁴ P-0337 First Statement, UGA-OTP-0256-0201-R01, at paras 30, 35; P-0339: T-134, p. 20, lines 22-24.

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- and disseminated it to UPDF military commanders. ¹⁴⁹⁵ P-0029 was P-0337's direct supervisor ¹⁴⁹⁶ and ordered direction-finding equipment and trained the team. ¹⁴⁹⁷
- 825. P-0337 specified that in about 2003, he became the commanding officer of both static interception team and direction-finding team when they were merged, although in practice nothing changed in terms of reporting. He also took administrative control over all UPDF interception operations in Northern Uganda. 1499
- 826. P-0404 stated that several direction-finding teams worked in different locations, ¹⁵⁰⁰ including Soroti, Masindi and Nebbi. ¹⁵⁰¹ In addition, P-0337 explained that the staff involved in the direction-finding operation trained on the job and improved the accuracy of their capabilities and speed of deployment over time. ¹⁵⁰²
- 827. P-0337 testified that in 2005, the direction-finding operation was disbanded because it became obsolete in light of technical failures and fewer LRA radio communications. 1503
- 828. The witness evidence indicates that direction-finding staff worked in mobile teams of at least three people¹⁵⁰⁴ with vehicles that carried the technical equipment.¹⁵⁰⁵ A minimum of two direction-finding staff were assigned to each vehicle.¹⁵⁰⁶ The teams deployed every day, except if there was equipment failure, which it could take several days to fix.¹⁵⁰⁷

¹⁴⁹⁵ P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 30; P-0404 Statement, UGA-OTP-0267-0470-R01, at para. 27.

¹⁴⁹⁶ P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 34; P-0337 Second Statement, UGA-OTP-0267-0445-R01, at para. 15; P-0029 Second Statement, UGA-OTP-0267-0455, at para. 38.

¹⁴⁹⁷ P-0029 Second Statement, UGA-OTP-0267-0455, at para. 24.

¹⁴⁹⁸ P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 28; P-0337 Second Statement, UGA-OTP-0267-0445-R01, at para. 15; P-0003: T-44-CONF, p. 35, lines 15-23.

¹⁴⁹⁹ P-0337 Second Statement, UGA-OTP-0267-0445-R01, at paras 16-17. The Chamber notes in this context that P-0339 maintained that P-0337 was only engaged in direction-finding and did not assume any other role (*see* P-0339: <u>T-134</u>, p. 21, lines 1-6). However, the Chamber is of the view that P-0339 may not have been aware of all organisational details, also bearing in mind that by that time he had left Gulu to intercept at other locations, and that indeed P-0337 is best placed to indicate which roles he assumed in the course of his career, and therefore does not consider this small discrepancy to cast doubt on P-0337's statement regarding this aspect.

¹⁵⁰⁰ P-0404 Statement, UGA-OTP-0267-0470-R01, at para. 25.

¹⁵⁰¹ P-0404 Statement, UGA-OTP-0267-0470-R01, at para. 27.

¹⁵⁰² P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 31.

¹⁵⁰³ P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 33.

¹⁵⁰⁴ P-0029 Second Statement, UGA-OTP-0267-0455, at para. 59.

¹⁵⁰⁵ P-0337 First Statement, UGA-OTP-0256-0201-R01, at paras 31, 37.

¹⁵⁰⁶ P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 51.

¹⁵⁰⁷ P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 32.

- 829. P-0029 stated that the teams went into the field with their equipment to locate the strongest signal. ¹⁵⁰⁸ P-0337 and P-0029 further specified that a minimum of two intercepting teams with vehicles were needed to pinpoint the geographical coordinates of a transmitting LRA commander. ¹⁵⁰⁹ Both witnesses also explained that the staff searched for the right frequency, ¹⁵¹⁰ ensured that both teams were listening to the same communication ¹⁵¹¹ and that it was also the same LRA radio signal as the one being intercepted in Gulu. ¹⁵¹² According to P-0029, one person recorded the geographic coordinates while the operation was ongoing. ¹⁵¹³
- 830. P-0337 and P-0029 elaborated on the steps followed in the field: the mounted antennae¹⁵¹⁴ identified the strongest signal from a certain direction relative to the north, the 'directional bearing'.¹⁵¹⁵ The equipment automatically calculated the direction from where the signal came and issued the bearings, also called line of sight or line of bearing.¹⁵¹⁶ The geographical coordinates of the target (Northings and Eastings) were calculated by the command station computer in a third vehicle.¹⁵¹⁷ Liaison officers stationed in Gulu coordinated between the direction-finding vehicles and the vehicle carrying the command station computer.¹⁵¹⁸
- 831. The evidence shows that the accuracy of readings gained through direction-finding depended on a number of factors. P-0337 explained how distance from the target affected the accuracy of the results. He stated that most accurate results were obtained if the direction-finding vehicles were less than 30 kilometres from the target, receiving the so-called 'ground wave'. According to P-0337, direction-finding was entirely impossible in the so-called 'skip zone', at a distance between 30 and 100 kilometres from the target, due to the fact that the interception devices were receiving the signal as it bounced off

¹⁵⁰⁸ P-0029 Second Statement, UGA-OTP-0267-0455, at para. 58.

¹⁵⁰⁹ P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 37; P-0029 Second Statement, UGA-OTP-0267-0455, at para. 57.

¹⁵¹⁰ P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 39.

¹⁵¹¹ P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 39; P-0029 Second Statement, UGA-OTP-0267-0455, at para. 60.

¹⁵¹² P-0029 Second Statement, UGA-OTP-0267-0455, at para. 59.

¹⁵¹³ P-0029 Second Statement, UGA-OTP-0267-0455, at para. 62.

¹⁵¹⁴ P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 38.

¹⁵¹⁵ P-0029 Second Statement, UGA-OTP-0267-0455, at para. 56.

¹⁵¹⁶ P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 40.

¹⁵¹⁷ P-0337 First Statement, UGA-OTP-0256-0201-R01, at paras 42-43.

¹⁵¹⁸ P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 45.

¹⁵¹⁹ P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 52.

the sky, at a too steep angle.¹⁵²⁰ Beyond 100 kilometres from the target, direction-finding became possible again, but results were inaccurate, with a range of accuracy around five kilometres.¹⁵²¹ Even further away from the target, at over 150 kilometres, the results became more accurate again, with a range of accuracy of about three kilometres.¹⁵²² Significantly, P-0337 stated that the coordinates were transferred as intelligence in the same way, irrespective of whether they were intercepted from under 30 or over 100 kilometres away from the target.¹⁵²³

- 832. P-0029 testified that in general the results came with a range of accuracy of around three kilometres, and also depended on variables such as signal strength, number of readings and terrain. Further, P-0337 clarified that the direction-finding vehicles and the target had to form a triangle with the target at the apex. P-0337 stated that if the triangle was not well formed, the coordinates could not be accurately determined and were discarded. Even taking these factors into account, P-0029 also testified that the UPDF direction-finding operation was not able to produce reliable direction-finding intelligence until after 2004. Sec. 1528
- 833. P-0337 stated that the direction-finding team was told in advance which LRA commander to target on any given day or week. Further according to P-0337, the bearings of each commander were recorded as they transmitted because usually one person communicated at a time, there was little chance of making a mistake with the coordinates. 1530
- 834. P-0337 also testified that after an intercepted radio communication, at the end of the communication time, the liaison officers liaised with the UPDF interception team in Gulu

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¹⁵²⁰ P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 53.

¹⁵²¹ P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 54.

¹⁵²² P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 55.

¹⁵²³ P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 62.

¹⁵²⁴ P-0029 Second Statement, UGA-OTP-0267-0455, at para. 67. *See also* P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 55. The Chamber notes that even from this it is unclear whether this was the case throughout, or whether the range of accuracy of three kilometres was an improved result which started being achieved after 2004.

¹⁵²⁵ P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 41.

¹⁵²⁶ P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 43.

¹⁵²⁷ P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 43.

¹⁵²⁸ P-0029 Second Statement, UGA-OTP-0267-0455, at para. 55.

¹⁵²⁹ P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 56.

¹⁵³⁰ P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 43.

which could identify the real names of the targets.¹⁵³¹ Witness evidence indicates that the data collected by all direction-finding teams was collated and communicated up the UPDF chain of command.¹⁵³² Both P-0337 and P-0029 testified that any notes taken by the teams in the field were destroyed for security reasons.¹⁵³³

- 835. According to witnesses, UPDF intelligence reports included the directional data gathered by the direction-finding operation, in Eastings and Northings, as well as locations. P-0337 made clear that these reports were not produced by the direction-finding team. P-0029 testified that the synopses of LRA communications included in the reports were based on merging the direction-finding intelligence and information received from the interception team. 1536
- 836. P-0337 testified that the direction-finding liaison officers as well as he himself had access to the UPDF and ISO interception logbooks; P-0337 consulted them on a daily basis to understand what the LRA commanders were talking about.¹⁵³⁷
- 837. Bearing in mind the above, and as further outlined below, the Chamber finds that the reliability of information gathered through direction-finding cannot sufficiently be established.
- 838. First, the Chamber notes that according to P-0029, the UPDF direction-finding operation which was disbanded in mid-2005 *inter alia* due to technical failures¹⁵³⁸ was not able to produce reliable intelligence until after 2004. This evidence is significant for two reasons: first, because it comes from the person who supervised the operation and was therefore well suited to give an assessment of its reliability, and, second, because the

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¹⁵³¹ P-0337 First Statement, UGA-OTP-0256-0201-R01, at paras 46-47.

¹⁵³² P-0337 First Statement, UGA-OTP-0256-0201-R01, at paras 48-49; P-0029 Second Statement, UGA-OTP-0267-0455, at para. 62.

¹⁵³³ P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 44; P-0029 Second Statement, UGA-OTP-0267-0455, at para. 63.

¹⁵³⁴ P-0029 Second Statement, UGA-OTP-0267-0455, at para. 67; P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 60.

¹⁵³⁵ P-0337 First Statement, UGA-OTP-0256-0201-R01, at paras 58-59.

¹⁵³⁶ P-0029 Second Statement, UGA-OTP-0267-0455, at para. 73. Additional considerations regarding the probative value of the content of these reports can be found in the section discussing the interception operation, *see* paras 673-676 above.

¹⁵³⁷ P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 27; P-0337 Second Statement, UGA-OTP-0267-0445-R01, at para. 44.

¹⁵³⁸ P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 33.

¹⁵³⁹ P-0029 Second Statement, UGA-OTP-0267-0455, at para. 55.

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reliability of direction-finding evidence is a live issue with respect to events which occurred in 2004, in particular the attacks on the Odek, Lukodi and Abok IDP camps.

- 839. Second, the evidence indicates that, irrespective of how far developed the operation or how skilled the participating staff, direction-finding could only produce accurate results under specific circumstances, as to which it is unclear whether they were present at the relevant time. By design, precision was limited as the results came with a range of accuracy, sometimes up to five kilometres. It appears that coordinates were transmitted in the same format, irrespective of whether they were gathered at less than 30 kilometres or more than 100 kilometres from the target. The UPDF intelligence reports including direction-finding results do not specify if a given result was collected at under 30 kilometres distance to the target or any other distance. Yet as explained above, distance from the target was a crucial factor for accuracy.
- 840. In fact, it is equally unclear in which way it was determined whether a specific target could be found at a certain distance. While the evidence suggests that the direction-finding teams would attempt to first set up at over 100 kilometres from the target, and then, having determined the coordinates, approach to under 30 kilometres for more accurate results before the next communication, ¹⁵⁴³ there is no information in which way the direction-finding teams knew that a specific target was indeed at over 100 kilometres away from their own location to begin with.
- 841. While P-0337 claimed that the location of a target would be accurate if the teams set up well and recorded the coordinates accurately, ¹⁵⁴⁴ and that positive feedback was received about the accuracy of the coordinates, ¹⁵⁴⁵ he did not refer to any process of assessment or verification of the accuracy of the coordinates.
- 842. Third, additional questions arise when analysing the direction-finding data included in the intelligence reports. P-0337 stated that, based on his experience, a forward slash separating two Eastings and Northings in the reports meant either (i) that the two sets

¹⁵⁴⁰ See paras 831-832 above.

¹⁵⁴¹ See paras 831-832 above.

¹⁵⁴² P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 62.

¹⁵⁴³ P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 56.

¹⁵⁴⁴ P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 65.

¹⁵⁴⁵ P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 64.

give a general range of transmitting location, or (ii) a target communicated at one time from a particular location and then moved to another for a later communication. ¹⁵⁴⁶ Yet nowhere in the intelligence reports is it specified which of these two options it would be, either generally or with respect to particular entries. The Chamber is therefore unable to determine whether the data in fact provides general areas in which a target could have been or if a target may have moved. It is also impossible to tell whether the double entries were intended to take into account the range of accuracy, or whether the range of accuracy would have to be considered with each entry. This is of particular note insofar as of all reports analysed, only a minority provides merely one reading (Easting/Northing) for each LRA commander listed. ¹⁵⁴⁷ Indeed, some reports include not only two Eastings and Northings for a specific commander, but three or even four. ¹⁵⁴⁸

843. While the format of the reports slightly changed over time, the Chamber notes that some reports show readings indeed listed by different communication times, and yet still include several readings for an individual within what appears to be the same communication time. 1549 Even if not explicitly separated into different communication

¹⁵⁴⁶ See P-0337 First Statement, UGA-OTP-0256-0201-R01, at para. 63.

¹⁵⁴⁷ Of the 271 reports analysed, merely 36 (approx. 13%) include not more than one reading for each LRA commander reported (the number of 271 takes into account that the total of 285 intelligence reports submitted to the Chamber includes some duplicates, but at the same time also reports for two or more dates within one ERN; it does not discount those intelligence reports which do not include any direction-finding data at all (either because the first page is blank, or because there is no page for direction-finding data included)).

Intelligence Report, UGA-OTP-0025-0772, at 0772; 21 January 2004 Intelligence Report, UGA-OTP-0012-0065, at 0065; 23 January 2004 Intelligence Report, UGA-OTP-0012-0065, at 0065; 23 January 2004 Intelligence Report, UGA-OTP-0012-0057, at 0057; 24 January 2004 Intelligence Report, UGA-OTP-0012-0059, at 0059; 25 January 2004 Intelligence Report, UGA-OTP-0012-0049, at 0049; 2 February 2004 Intelligence Report, UGA-OTP-0016-0059, at 0053; 13 February 2004 Intelligence Report, UGA-OTP-0016-0059, at 0059; 17 February 2004 Intelligence Report, UGA-OTP-0016-0059, at 0059; 17 February 2004 Intelligence Report, UGA-OTP-0016-0078, at 0078; 20 February 2004 Intelligence Report, UGA-OTP-0016-0092, at 0092; 23 February 2004 Intelligence Report, UGA-OTP-0016-0107, at 0107; 11 March 2004 Intelligence Report, UGA-OTP-0016-0196, at 0196; 27 March 2004 Intelligence Report, UGA-OTP-0016-0298, at 0298; 15 April 2004 Intelligence Report, UGA-OTP-0017-0065, at 0065; 17 April 2004 Intelligence Report, UGA-OTP-0017-0074, at 0074; 18 April 2004 Intelligence Report, UGA-OTP-0017-0078, at 0078; 21 April 2004 Intelligence Report, UGA-OTP-0017-00793, at 0093.

Intelligence Report, UGA-OTP-0025-0514, at 0514; 9 November 2003 Intelligence Report, UGA-OTP-0025-0509, at 0509; 14 November 2003 Intelligence Report, UGA-OTP-0025-0509, at 0509; 14 November 2003 Intelligence Report, UGA-OTP-0025-0481, at 0481; 17 November 2003 Intelligence Report, UGA-OTP-0025-0460, at 0460; 8 March 2004 Intelligence Report, UGA-OTP-0016-0181, at 0181; 9 March 2004 Intelligence Report, UGA-OTP-0016-0185, at 0185; 10 March 2004 Intelligence Report, UGA-OTP-0016-0191, at 0191; 16 March 2004 Intelligence Report, UGA-OTP-0016-0228, at 0228; 23 March 2004 Intelligence Report, UGA-OTP-0016-0263, at 0263; 24 March 2004 Intelligence Report, UGA-OTP-0016-0270, at 0270; 25 March 2004 Intelligence Report, UGA-OTP-0016-0278, at 0278. Similarly, in the 25 May 2004 Intelligence Report, UGA-OTP-0016-0515, at 0515, even though not separated into different communication times, two commanders (Kony and Anum) are listed twice, with an indication of 'same as above' for the readings of the second mentioning, which would suggest that the entries are meant for different communication times.

times,¹⁵⁵⁰ other intelligence reports mention commanders more than once with several readings in one,¹⁵⁵¹ several,¹⁵⁵² or all¹⁵⁵³ of the listings. This indicates that the different readings included for a single commander within the same communication time indeed related to broader areas of transmission. It could be argued that for a number of reports which include several readings for the first mention of a commander, but not the second,¹⁵⁵⁴ that these were instances in which the direction-finding team first established

¹⁵⁵⁰ The Chamber notes that some reports include remarks which relate a second entry for a specific commander in the report to an earlier entry, thereby suggesting that the different listings do indeed relate to different communication times (*see*, for example, 15 March 2004 Intelligence Report, UGA-OTP-0016-0218, at 0218; 23 April 2004 Intelligence Report, UGA-OTP-0017-0101, at 0101; 24 April 2004 Intelligence Report, UGA-OTP-0017-0108, at 0108; 29 April 2004 Intelligence Report, UGA-OTP-0017-0141, at 0141; 30 April 2004 Intelligence Report, UGA-OTP-0017-0150, at 0150; 3 May 2004 Intelligence Report, UGA-OTP-0017-0170, at 0170; 4 May 2004 Intelligence Report, UGA-OTP-0016-0573, at 0573; 13 May 2004 Intelligence Report, UGA-OTP-0016-0544, at 0544; 13 May 2004 Intelligence Report, UGA-OTP-0017-0232, at 0232; 25 May 2004 Intelligence Report, UGA-OTP-0016-0515, at 0515; 11 June 2004 Intelligence Report, UGA-OTP-0016-0428, at 0428; 12 June 2004 Intelligence Report, UGA-OTP-0016-0424, at 0424; 15 June 2004 Intelligence Report, UGA-OTP-0016-0384, at 0384; 26 June 2004 Intelligence Report, UGA-OTP-0016-0384, at 0384; 26 June 2004 Intelligence Report, UGA-OTP-0017-0411); 28 June 2004 Intelligence Report, UGA-OTP-0017-0423, at 0423; 4 July 2004 Intelligence Report, UGA-OTP-0016-0366, at 0366). However, this is not the case for all intelligence reports.

¹⁵⁵¹ See, e.g., 2 February 2004 Intelligence Report, UGA-OTP-0016-0009, at 0009; 7 February 2004 Intelligence Report, UGA-OTP-0016-0033, at 0033; 8 February 2004 Intelligence Report, UGA-OTP-0016-0038, at 0038; 20 February 2004 Intelligence Report, UGA-OTP-0016-0092, at 0092; 21 February 2004 Intelligence Report, UGA-OTP-0016-0097, at 0097; 26 February 2004 Intelligence Report, UGA-OTP-0016-0125, at 0125; 7 March 2004 Intelligence Report, UGA-OTP-0016-0176, at 0176; 1 April 2004 Intelligence Report, UGA-OTP-0017-0010, at 0010-11; 24 April 2004 Intelligence Report, UGA-OTP-0017-0108, at 0108; 28 April 2004 Intelligence Report, UGA-OTP-0017-0134, at 0134; 29 April 2004 Intelligence Report, UGA-OTP-0017-0141, at 0141-42; 30 April 2004 Intelligence Report, UGA-OTP-0017-0150, at 0150; 1 May 2004 Intelligence Report, UGA-OTP-0017-0157, at 0157; 3 May 2004 Intelligence Report, UGA-OTP-0017-0170, at 0170; 4 May 2004 Intelligence Report, UGA-OTP-0016-0573, at 0573; 13 May 2004 Intelligence Report, UGA-OTP-0016-0544, at 0544 (a duplicate of this report is at UGA-OTP-0017-0232); 22 May 2004 Intelligence Report, UGA-OTP-0016-0538, at 0538; 23 May 2004 Intelligence Report, UGA-OTP-0016-0528, at 0528-29; 11 June 2004 Intelligence Report, UGA-OTP-0016-0428, at 0428; 12 June 2004 Intelligence Report, UGA-OTP-0016-0424, at 0424; 15 June 2004 Intelligence Report, UGA-OTP-0016-0412, at 0412; 16 June 2004 Intelligence Report, UGA-OTP-0016-0405, at 0405; 26 June 2004 Intelligence Report, UGA-OTP-0016-0384, at 0384 (a duplicate of this report is at UGA-OTP-0017-0411); 28 June 2004 Intelligence Report, UGA-OTP-0017-0423, at 0423; 1 July 2004 Intelligence Report, UGA-OTP-0016-0371, at 0371-72 (a partial duplicate of this report is at UGA-OTP-0017-0433).

¹⁵⁵² See, e.g., 24 April 2004 Intelligence Report, UGA-OTP-0017-0108, at 0108; 29 April 2004 Intelligence Report, UGA-OTP-0017-0141, at 0141-42.

¹⁵⁵³ See, e.g., 25 January 2004 Intelligence Report, UGA-OTP-0012-0049, at 0049; 2 February 2004 Intelligence Report, UGA-OTP-0016-0053, at 0053; 24 February 2004 Intelligence Report, UGA-OTP-0016-013, at 0113; 6 March 2004 Intelligence Report, UGA-OTP-0016-0170, at 0170; 7 March 2004 Intelligence Report, UGA-OTP-0016-0176, at 0176; 11 March 2004 Intelligence Report, UGA-OTP-0016-0196, at 0196; 15 March 2004 Intelligence Report, UGA-OTP-0016-0218, at 0218; 23 April 2004 Intelligence Report, UGA-OTP-0017-0101, at 0101; 24 April 2004 Intelligence Report, UGA-OTP-0017-0108, at 0108; 25 April 2004 Intelligence Report, UGA-OTP-0017-0119, at 0119; 4 May 2004 Intelligence Report, UGA-OTP-0016-0573, at 0573; 25 May 2004 Intelligence Report, UGA-OTP-0016-0515, at 0515; 1 July 2004 Intelligence Report, UGA-OTP-0016-0371, at 0371 (a partial duplicate of this report is at UGA-OTP-0017-0433); 4 July 2004 Intelligence Report, UGA-OTP-0016-0366, at 0366.

¹⁵⁵⁴ See, e.g., 9 November 2003 Intelligence Report, UGA-OTP-0025-0509, at 0509-10; 20 February 2004 Intelligence Report, UGA-OTP-0016-0092, at 0092; 21 February 2004 Intelligence Report, UGA-OTP-0016-0097, at 0097; 26 February 2004 Intelligence Report, UGA-OTP-0016-0125, at 0125; 8 March 2004 Intelligence Report, UGA-OTP-0016-0181, at 0181; 1 April 2004 Intelligence Report, UGA-OTP-0017-0010, at 0010-11; 29

a range of transmission and then was able to further narrow down the location in the course of the day. ¹⁵⁵⁵ However, the Chamber notes that numerous reports either include several readings for each mentioning of the commander in question, ¹⁵⁵⁶ or include several readings only the second or third time a commander is mentioned in a specific report. ¹⁵⁵⁷ Bearing in mind the discussion above that it is also not clear whether several readings linked to one commander may refer to general ranges of transmission or a commander having moved – which further raises questions when considering that a commander being mentioned several times in a report may already account for a commander having been targeted by the direction-finding team several times during the day –, this leads to the conclusion that the multiple entries cannot simply be explained as part of a process of obtaining more accurate readings.

844. In this context it is also of note that some reports at times indicate the same location for the second mention of a commander or also a different commander while, however, at

April 2004 Intelligence Report, UGA-OTP-0017-0141, at 0141-42; 1 May 2004 Intelligence Report, UGA-OTP-0017-0157, at 0157; 3 May 2004 Intelligence Report, UGA-OTP-0017-0170, at 0170; 4 May 2004 Intelligence Report, UGA-OTP-0016-0573, at 0573; 13 May 2004 Intelligence Report, UGA-OTP-0016-0544, at 0544 (a duplicate of this report is at UGA-OTP-0017-0232); 22 May 2004 Intelligence Report, UGA-OTP-0016-0538, at 0538; 23 May 2004 Intelligence Report, UGA-OTP-0016-0528, at 0528-29; 12 June 2004 Intelligence Report, UGA-OTP-0016-0424, at 0424; 15 June 2004 Intelligence Report, UGA-OTP-0016-0412, at 0412; 16 June 2004 Intelligence Report, UGA-OTP-0016-0405, at 0405; 1 July 2004 Intelligence Report, UGA-OTP-0016-0371, at 0371-72 (a partial duplicate of this report is at UGA-OTP-0017-0433).

¹⁵⁵⁵ See para. 840 above, suggesting that a team would attempt to set up at a further distance in the beginning and then approach closer to the target before the next communication time.

Intelligence Report, UGA-OTP-0012-0049, at 0049; 12 February 2004 Intelligence Report, UGA-OTP-0016-0053, at 0053; 24 February 2004 Intelligence Report, UGA-OTP-0016-0170, at 0170; 7 March 2004 Intelligence Report, UGA-OTP-0016-0176, at 0176; 8 March 2004 Intelligence Report, UGA-OTP-0016-0181, at 0181; 9 March 2004 Intelligence Report, UGA-OTP-0016-0176, at 0176; 8 March 2004 Intelligence Report, UGA-OTP-0016-0181, at 0181; 9 March 2004 Intelligence Report, UGA-OTP-0016-0185, at 0185; 10 March 2004 Intelligence Report, UGA-OTP-0016-0191, at 0191; 11 March 2004 Intelligence Report, UGA-OTP-0016-0196, at 0196; 15 March 2004 Intelligence Report, UGA-OTP-0016-0218, at 0218; 16 March 2004 Intelligence Report, UGA-OTP-0016-0228, at 0228; 23 March 2004 Intelligence Report, UGA-OTP-0016-0263, at 0263; 24 March 2004 Intelligence Report, UGA-OTP-0016-0270, at 0270; 23 April 2004 Intelligence Report, UGA-OTP-0017-0101, at 0101; 24 April 2004 Intelligence Report, UGA-OTP-0017-0170, at 0170; 4 May 2004 Intelligence Report, UGA-OTP-0016-0573, at 0573; 25 May 2004 Intelligence Report, UGA-OTP-0016-0515, at 0515; 1 July 2004 Intelligence Report, UGA-OTP-0016-0371, at 0371 (a partial duplicate of this report is at UGA-OTP-0017-0433); 4 July 2004 Intelligence Report, UGA-OTP-0016-0366, at 0366.

¹⁵⁵⁷ See, e.g., 7 February 2004 Intelligence Report, UGA-OTP-0016-0033, at 0033; 7 March 2004 Intelligence Report, UGA-OTP-0016-0176, at 0176; 25 March 2004 Intelligence Report, UGA-OTP-0016-0278, at 0278; 24 April 2004 Intelligence Report, UGA-OTP-0017-0108, at 0108; 28 April 2004 Intelligence Report, UGA-OTP-0017-0134, at 0134; 29 April 2004 Intelligence Report, UGA-OTP-0017-0141, at 0141; 30 April 2004 Intelligence Report, UGA-OTP-0017-0150, at 0150; 11 June 2004 Intelligence Report, UGA-OTP-0016-0428, at 0428; 26 June 2004 Intelligence Report, UGA-OTP-0016-0384, at 0384 (a duplicate of this report is at UGA-OTP-0017-0411); 28 June 2004 Intelligence Report, UGA-OTP-0017-0423, at 0423.

the same time providing slightly different geographical coordinates. ¹⁵⁵⁸ This again supports the assumption that the direction-finding data was reported as accurate and relating to a specific location even in cases in which the location was in fact not a pinpoint determination, but rather, as discussed above, concerned a range of transmission. The Chamber is of the view that this is an indication that direction-finding data in general related to broader areas of transmission, and that it is therefore not possible to conclude from the reported data on the accurate location of a specific target (meaning, LRA commander), at any given communication time. This is of note also insofar as some intelligence reports provide several readings for a particular listing, yet at the same time giving pinpoint locations going down to mere hundreds of metres. ¹⁵⁵⁹

¹⁵⁵⁹ See, e.g., 10 March 2004 Intelligence Report, UGA-OTP-0016-0191, at 0191 (for Odiambo, two readings related to specific distances seem to be approximately four kilometres apart; for Dominic, two readings related to specific distances from a trading centre seem to be approximately 10 kilometres apart); 11 March 2004 Intelligence Report, UGA-OTP-0016-0196, at 0196 (for Raska, two readings related to specific distances seem to be approximately eight kilometres apart); 15 March 2004 Intelligence Report, UGA-OTP-0016-0218, at 0218 (for Ochan Bunia, two readings related to specific distances from a trading centre and other landmarks seem to be approximately five and a half kilometres apart); 16 March 2004 Intelligence Report, UGA-OTP-0016-0228, at 0228 (for Ochan Bunia, two readings related to specific distances from a town seem to be approximately ten

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¹⁵⁵⁸ See, e.g., 29 August 2003 Intelligence Report, UGA-OTP-0025-0619, at 0619 (Tabuley is listed at 1°57'18"N and 33°31'11"E, with location 'Amatikile, Katini county', while Okulu is listed at 1°55'00"N and 33°33'07"E, with the same location); 8 March 2004 Intelligence Report, UGA-OTP-0016-0181, at 0181 (Odiambo is listed for 09:00 hours, at 2°35'54"/2°36'02"N and 33°17'55"/33°20'56"E, with location '3 Km E, of Patongo-Corner Adwari rd, 21Km N of Orum', and listed for 16:00 hours, at 2°37'11"/2°32'10"N and 33°17'25"/33°18'52"E, with the same location reference); 12 March 2004 Intelligence Report, UGA-OTP-0016-0202, at 0202 (Otti is listed at 4°02'49"/4°03'51"N and 32°38'47"/32°39'47"E, with location 'Katire Valley', while Kony is listed at 4°05'32"N and 32°39'49"E, also with location 'Katire Valley'); 13 April 2004 Intelligence Report, UGA-OTP-0017-0057, at 0057 (Kony is listed at 4°32'37"/4°30'39"N and 31°45'40"/31°45'04"E, with location 'Lubanga Tek, 5.5 Km S of Jabelin, 9Km E of R. Kit') compare with 14 April 2004 Intelligence Report, UGA-OTP-0017-0061, at 0061 (Kony is listed at 4°31'51"/4°32'26"N and 31°45'33"/31°46'26"E, with location 'Lubanga Tek area, 5.5 Km S of Jabelin, 9 Km E of R. Kit as per loc of 130404'); 24 April 2004 Intelligence Report, UGA-OTP-0017-0108, at 0108-09 (Angola is first listed at 2°49'59"/2°48'35"N and 32°39'26"/32°40'37"E, with location 'At the confluence of R. Chome and Aswa in Lalogi/Atanga boundary. 13 Km Nort of Acet IDP', and then at 2°48'35"/2°50'16"N and 32°40'37"/32°37'10"E, with indication 'Same loc as previous at 130 Hrs.'; Abudema appears in a second listing at 2°56'52"/2°53'02"N and 32°59'52"/33°02'38"E, with location '01 Km North of Pader IDP', while Lamola is listed at 2°57'14"/2°51'19"N and 33°01'33"/33°04'29"E, with indication 'Same location with Abudema'); 4 July 2004 Intelligence Report, UGA-OTP-0016-0366, at 0366 (Raska is listed first at 4°07'20"/4°08'27"N and 32°26'59"/32°26'26"E, with location '9.8 Km North of Palabek, 18.4 Km East of Magwi, 6.3 Km NW [illegible], 9.5 Km NW of Ngong Peak', and then at 4°05'11"/4°05'16"/4°07'25"N and 32°27'17"/32°28'47"/32°27'43"E, with indication 'Same as loc at 1100Hrs'). The Chamber also notes that when reports draw relations between different locations, yet the locations are reported with several different readings per specific commanders, it remains unclear in which way these relations are determined (see, e.g., 4 May 2004 Intelligence Report, UGA-OTP-0016-0573, at 0573 (Abudema is first listed at 3°02'23"/3°00'56"N and 33°09'59"/33°09'00"E, with location 'Along the Eastern bank of R. Kimiya, 13.2 Km Se of Latanya IDP, 15 Km SW of Wol IDP (per yester evening 030405)', and then listed a second time at 3°00'02"/3°00'20"N and 33°09'00"/33°10'14"E, with location '2.7 Km S of his loc. as of 040504 at 0900 hrs'). From these coordinates it appears that the distance between the reported locations could be anywhere between approximately 1.6 and 4.7 kilometres, depending on whether the first or the second readings are related, or the first with the second readings and vice versa. A similar example can be found in 29 April 2004 Intelligence Report, UGA-OTP-0017-0141, at 0141.

845. Fourth, even for reports which provide a single reading for a specific commander, and this reading, to the extent it can be determined, at least approximately corresponds to the location indicated in the report, ¹⁵⁶⁰ the above leaves doubts as to whether the commander in question was indeed present at that specific location, or in its wider area. The Chamber recalls in particular in this regard that the direction-finding data were transmitted in the same format, even if they were in fact collected with varying ranges of accuracy, ¹⁵⁶¹ and that, in addition, the intelligence reports were not compiled by the direction-finding team

kilometres apart); 4 June 2004 Intelligence Report, UGA-OTP-0016-0462, at 0462 (for Otti, two readings related to specific distances from a number of IDP camps seem to be approximately 10 kilometres apart).

¹⁵⁶⁰ See, e.g., 10 March 2004 Intelligence Report, UGA-OTP-0016-0191, at 0191 (for c/s Bogi); 19 March 2004 Intelligence Report, UGA-OTP-0016-0239, at 0239 (for Raska); 24 March 2004 Intelligence Report, UGA-OTP-0016-0270, at 0270 (for Abudema); 25 March 2004 Intelligence Report, UGA-OTP-0016-0278, at 0278 (for Lagulu); 26 March 2004 Intelligence Report, UGA-OTP-0016-0287, at 0287 (for Lapanyikwara); 29 March 2004 Intelligence Report, UGA-OTP-0016-0308, at 0308 (for Lapanyikwara); 10 April 2004 Intelligence Report, UGA-OTP-0017-0042, at 0042 (for Angola) (a partial duplicate of this report is at UGA-OTP-0016-0588); 11 April 2004 Intelligence Report, UGA-OTP-0017-0047, at 0047 (for Abudema); 14 April 2004 Intelligence Report, UGA-OTP-0017-0061, at 0061 (for Angola); 22 April 2004 Intelligence Report, UGA-OTP-0017-0097, at 0097 (for Abudema); 26 April 2004 Intelligence Report, UGA-OTP-0017-0124, at 0124 (for Abudema, Odiambo); 28 April 2004 Intelligence Report, UGA-OTP-0017-0134, at 0134 (for Kapere, Abudema); 29 April 2004 Intelligence Report, UGA-OTP-0017-0141, at 0141 (for Kapere, Otti, Lagulu); 9 May 2004 Intelligence Report, UGA-OTP-0017-0207, at 0207 (for Abudema, Angola, Onen Kamdule); 11 May 2004 Intelligence Report, UGA-OTP-0016-0556, at 0556 (for Otti); 12 May 2004 Intelligence Report, UGA-OTP-0016-0548, at 0548 (for Otti); 14 May 2004 Intelligence Report, UGA-OTP-0017-0236, at 0236 (for Otti); 16 May 2004 Intelligence Report, UGA-OTP-0017-0250, at 0250 (for Wokorach, Abudema); 21 May 2004 Intelligence Report, UGA-OTP-0017-0268, at 0268 (for Lakati, Kapere); 22 May 2004 Intelligence Report, UGA-OTP-0016-0538, at 0538 (for Kapere); 24 May 2004 Intelligence Report, UGA-OTP-0016-0522, at 0522 (for Dominic, Abudema) (a duplicate of this report is at UGA-OTP-0017-0284); 25 May 2004 Intelligence Report, UGA-OTP-0016-0515, at 0515 (for Otti (1st), Angola); 26 May 2004 Intelligence Report, UGA-OTP-0016-0509, at 0509 (for Wokorach, Angola); 28 May 2004 Intelligence Report, UGA-OTP-0016-0500, at 0500 (for RT-Otti) (a duplicate of this report is at UGA-OTP-0017-0309); 29 May 2004 Intelligence Report, UGA-OTP-0016-0493, at 0493 (for Kapere, Otti); 31 May 2004 Intelligence Report, UGA-OTP-0016-0481, at 0481 (for Labong, Dominic); 3 June 2004 Intelligence Report, UGA-OTP-0016-0466, at 0466 (for Labong) (a duplicate of this report is at UGA-OTP-0017-0340); 8 June 2004 Intelligence Report, UGA-OTP-0016-0445, at 0445 (for Abudema, Kapere); 9 June 2004 Intelligence Report, UGA-OTP-0016-0440, at 0440 (for Dominic) (a duplicate of this report is at UGA-OTP-0017-0353); 11 June 2004 Intelligence Report, UGA-OTP-0016-0428, at 0428 (for Bunia, Angola, Otti); 12 June 2004 Intelligence Report, UGA-OTP-0016-0424, at 0424 (for Abudema) (a duplicate of this report is at UGA-OTP-0017-0370); 13 June 2004 Intelligence Report, UGA-OTP-0016-0420, at 0420 (for Otti); 15 June 2004 Intelligence Report, UGA-OTP-0016-0412, at 0412 (for Dominic, Kamdule); 16 June 2004 Intelligence Report, UGA-OTP-0016-0405, at 0405 (for Kapere, Dominic); 20 June 2004 Intelligence Report, UGA-OTP-0016-0395, at 0395 (for Bunia); 26 June 2004 Intelligence Report, UGA-OTP-0016-0384, at 0384 (for c/s Labongo Lworo) (a duplicate of this report is at UGA-OTP-0017-0411); 27 June 2004 Intelligence Report, UGA-OTP-0017-0416, at 0416 (for Abudema, Angola); 28 June 2004 Intelligence Report, UGA-OTP-0017-0423, at 0423 (for Angola); 30 June 2004 Intelligence Report, UGA-OTP-0016-0378, at 0378 (for Otti, Abudema); 1 July 2004 Intelligence Report, UGA-OTP-0016-0371, at 0371-72 (for Otti, Abudema, Angola); 4 July 2004 Intelligence Report, UGA-OTP-0016-0366, at 0366 (for Otti); 28 July 2004 Intelligence Report, UGA-OTP-0016-0326, at 0326 (for Labong) (a duplicate of this report is at UGA-OTP-0017-0444); 29 July 2004 Intelligence Report, UGA-OTP-0016-0319, at 0319 (for Kapere 1st listing, Abudema); 31 July 2004 Intelligence Report, UGA-OTP-0016-0314, at 0314 (for Otti, Kapere, Kamdule, Abudema); 1 August 2004 Intelligence Report, UGA-OTP-0017-0453, at 0453 (for Kapere, Ocan Bunia, Labong, Otti); 9 August 2004 Intelligence Report, UGA-OTP-0017-0469, at 0469 (for Labong); 14 August 2004 Intelligence Report, UGA-OTP-0017-0487, at 0487 (for Dominic, Abudema); 21 August 2004 Intelligence Report, UGA-OTP-0017-0499, at 0499 (for Labong). ¹⁵⁶¹ See paras 831-832 above.

which only transmitted the geographical data.¹⁵⁶² It is not clear from the evidence if the UPDF staff compiling the intelligence reports merely mapped the geographical coordinates as received, without taking into account that they may involve ranges of accuracy and areas of transmission.¹⁵⁶³

846. All of the above are important issues affecting the reliability of information gathered through direction-finding and of its derivative location data in this particular case. Of special note is the fact that, according to the evidence and information available to the Chamber, it is not possible to ultimately determine the range of accuracy and that this range of accuracy may well have been in the range of several kilometres, which renders the direction-finding evidence inconclusive for purposes of the Chamber in the sense that it merely represents another estimate. Further, it must be recalled that according to the supervisor of the operation, it was not possible to produce reliable direction-finding results until after 2004¹⁵⁶⁴ and that, at the same time, the commanding officer of that operation stated that it was disbanded in mid-2005 *inter alia* due to technical failures. In light of the information before the Chamber, the Chamber does not consider that the reliability of direction-finding evidence has been established to the extent that it would be possible to rely on it, in particular as concerns the whereabouts of Dominic Ongwen at the time of the attacks on the Odek, Lukodi and Abok IDP camps. For this reason, the Chamber does not rely on direction-finding evidence.

iv. Other documentary evidence

847. Apart from the intercept and direction-finding evidence, the parties and the legal representatives of the participating victims have submitted other documentary evidence including, *inter alia*, photographic material, police investigation reports, forensic reports, official documentation and documents obtained from the LRA. The Chamber has

¹⁵⁶² See paras 834-835 above.

This is supported by the fact that for some reports which provide several readings for a specific commander, any indicated specific location appears to approximately correspond with one of the readings, but not necessarily with the other (*see, e.g.*, 16 March 2004 Intelligence Report, UGA-OTP-0016-0228, at 0228 (for Lagulu); 4 June 2004 Intelligence Report, UGA-OTP-0016-0462, at 0462 (for Bunia) (a duplicate of this report is at UGA-OTP-0017-0346); 14 June 2004 Intelligence Report, UGA-OTP-0016-0416, at 0416 (for Dominic); 1 July 2004 Intelligence Report, UGA-OTP-0016-0371, at 0371 (for Labong) (a partial duplicate of this report is at UGA-OTP-0017-0433)). This suggests that the UPDF staff mapping the coordinates in these instances simply took one of the readings to find a map location, without assessing the possible area of transmission implicated in the reporting of several readings.

¹⁵⁶⁴ See paras 832, 838 above.

¹⁵⁶⁵ See paras 827, 838 above.

reviewed this evidence, and considered the arguments of the parties made at the time of submission, the use of the evidence in the courtroom, and any further arguments made during closing submissions. The Chamber's reasoning for the use or non-use of a particular item, as the case may be, is given below in pertinent context in the evidentiary analysis as appropriate.

- 848. The Defence argued generally against the reliability of documentary evidence obtained with the assistance of the Government of Uganda. In its closing brief, the Defence submitted that '[t]he Court should treat with caution and avoid overly relying on evidence procured from, or with the assistance of investigations carried out by the GoU, one of the protagonists in the conflict of which the case is a direct consequence'. 1566
- 849. Whereas the Defence is factually correct in asserting that the Prosecution obtained large amounts of evidence through requests for assistance made to the Government of Uganda, the Chamber sees no basis to hold that the reliability of the evidence thus obtained is generally impaired for this reason. It is natural that a State may possess evidence in relation to crimes allegedly committed on its territory, and it is the duty of the Prosecution to seek access to such evidence through the appropriate statutory avenues. The Defence does not allege any specific irregularity, and its argument is based exclusively on the mere fact that the Government of Uganda is the LRA's adversary in the conflict. No irregularity has also become apparent during the trial. As such, the suggestion is speculative and therefore rejected. The Chamber does not see any general impediment to the use of the evidence obtained through the assistance of the Government of Uganda, and, in principle, makes use of such evidence. As stated, the Chamber provides below further specific reasoning, as appropriate, for the use or non-use of a particular item.

4. Agreed Facts

850. As provided in Rule 69 of the Rules, the Prosecution and Defence submitted 23 agreed facts related to Dominic Ongwen's background, the parentage of certain children, geographical facts, the meaning of certain acronyms and the occurrence of an attack on Pajule. These agreed facts are proven for the purposes of the judgment. 1568

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¹⁵⁶⁶ Defence Closing Brief, para. 9. See also Defence Closing Statement: T-258, p. 13, lines 14-22.

¹⁵⁶⁷ Agreed Facts

Decision on Joint Agreed Facts Submission, 19 July 2016, ICC-02/04-01/15-500.

C. Evidentiary analysis for findings of fact

851. The Chamber sets out below its assessment of the evidence submitted and discussed before it at trial with respect to each of the findings of fact identified above in Section III ('Findings of fact'). In particular, the Chamber details below the evidence which supports each of those findings of fact, and explains how any such finding was reached in light of the available evidence – and the arguments made at trial – both in support of and against that particular finding, as appropriate. This also includes instances when findings on the facts and circumstances described in the charges are reached by way of inference – thus, through facts which are of 'intermediate' or 'subsidiary' nature – on the basis of circumstantial evidence. At the same time, the Chamber clarifies that while it structures its discussion below delineating its analysis of evidence with respect to each individual finding of fact, evidence may be relevant to more than one factual finding even if not necessarily reiterated in each instance, and all evidence submitted and discussed before it at trial has been considered holistically.

1. LRA as an organisation in 2002-2005

- 852. The analysis of the evidence begins with a discussion of the basic features of the LRA as an organisation. Because the charges focus on the Sinia brigade, this brigade is analysed in more detail in section IV.C.2, further below.
- 853. The Chamber obtained relevant information in relation to the issues at hand from different witnesses, and mostly from insider witnesses. The value of their evidence, however, differs. In particular, the Chamber considers that certain witnesses are better placed than others to provide reliable information on the issues under consideration. This primarily includes insiders who held a relatively high position in the LRA or spent a long time in the organisation, such as P-0070 and P-0205,

. These witnesses were in a position to give reliable descriptions of the structure of the LRA and of the interactions within the LRA leadership. In addition, the Chamber relies on the evidence of lower ranking insiders, or of insiders who spent a comparatively shorter period of time within the organisation, when it relates to facts or events these witnesses directly observed. The records of intercepted radio communications are also of significance in order to establish certain dynamics within the LRA at the relevant time.

At the time relevant for the charges, i.e. from 1 July 2002 to 31 December 2005, the LRA had a hierarchical structure. Joseph Kony was the highest authority in the LRA. During the time period relevant for the charges, his deputy was Vincent Otti, who led a headquarters unit called Control Altar. Further, the LRA was divided into four brigades: Sinia, Stockree, Gilva and Trinkle. From 2003, there was also a division called Jogo. The brigades were divided into battalions and further into companies or 'coys'. Each of these units was led by a commander. 1569

- 854. It is agreed between the parties that Joseph Kony was 'in charge' of the LRA between 1 July 2002 and 31 December 2005. 1570 Witnesses with knowledge of the internal structure of the LRA refer to his position as 'overall leader', 1571 'overall commander', 1572 'chief commander', 1573 'chairman', 1574 or to him being 'like the president of the LRA'. 1575
- 855. Insider witnesses testified that Vincent Otti was Joseph Kony's deputy at the relevant time. 1576 P-0070 further explained that Vincent Otti was in Control Altar, which was 'the high command' with several departments, of which P-0070 could recall the support department, a 'Yard' department, an elders group, a religious affairs group, a medical group and the group of signallers. 1577 P-0144 defined 'Yard' as 'a place to hold ceremonies, prayers'. 1578 P-0070 further stated that Nyeko Tolbert Yadin was an army commander in Control Altar, and that Raska Lukwiya was a brigade general, also in Control Altar. 1579
- 856. Other witnesses provided essentially compatible definitions of Control Altar as the 'overall group' and headquarters of the LRA. P-0209 defined Control Altar as 'the big group where most senior commanders are'. P-0231 corroborated the fact that

¹⁵⁶⁹ Para. 123 above. For ease of reference, the findings of fact serving as headings in this evidentiary analysis include a footnote directing to the corresponding paragraph of the findings of fact in section III above.

¹⁵⁷⁰ Agreed Fact, A8.

¹⁵⁷¹ P-0054: <u>T-94</u>, p. 6, lines 21-23; P-0209: <u>T-161</u>, p. 20, line 22 – p. 21, line 2; P-0379: <u>T-58</u>, p. 45, lines 4-8.

¹⁵⁷² P-0070: <u>T-105</u>, p. 56, lines 6-11; P-0440: <u>T-39</u>, p. 75, lines 5-8.

¹⁵⁷³ P-0264: <u>T-65</u>, p. 73, lines 3-7.

¹⁵⁷⁴ D-0074: <u>T-187</u>, p. 40, lines 22-24.

¹⁵⁷⁵ P-0264: <u>T-65</u>, p. 73, lines 3-7. *See also* P-0070: <u>T-105</u>, p. 56, lines 12-15.

 $^{^{1576}}$ P-0070: $\underline{\text{T-}105}$, p. 56, line 20 – p. 57, line 2; P-0085: $\underline{\text{T-}158}$, p. 27, lines 9-11; P-0138: $\underline{\text{T-}120}$, p. 13, lines 18-21; P-0205: $\underline{\text{T-}48}$, p. 20, lines 4-6; P-0209: $\underline{\text{T-}161}$, p. 21, lines 5-7. The Chamber notes that evidence indicates that at some point after the period relevant to the charges, Vincent Otti was killed on the orders of Joseph Kony, *see* para. 2613 below.

¹⁵⁷⁷ P-0070: <u>T-105</u>, p. 57, line 12 – p. 59, line 3.

¹⁵⁷⁸ P-0144: <u>T-92</u>, p. 19, lines 8-16. *See also* P-0054: <u>T-94</u>, p. 4, lines 12-15; P-0231: <u>T-123</u>, p. 31, lines 5-9; P-0264: <u>T-66</u>, p. 31, lines 11-15.

¹⁵⁷⁹ P-0070: <u>T-105</u>, p. 59, lines 11-21.

¹⁵⁸⁰ P-0440: <u>T-39</u>, p. 68, lines 1-4.

¹⁵⁸¹ P-0138: T-120, p. 13, lines 22-23; P-0144: T-91-CONF, p. 15, lines 7-8.

¹⁵⁸² P-0209: <u>T-160</u>, p. 9, lines 7-12.

during the period relevant to the charges Control Altar was within the domain of Vincent Otti. 1583

- 857. The principal unit in the LRA hierarchy was the brigade, of which there were four, referred to as Sinia, Stockree, Gilva and Trinkle. 1584 It is noted that according to P-0070 and Ray Apire, Trinkle brigade was responsible for providing security to Control Altar and Joseph Kony. 1585
- 858. Brigades were headed by a brigade commander, and there was also a brigade second-in-command (2IC). September 2002, the brigade commander of Sinia at the time was Buk Abudema, of Stockree Charles Tabuley, of Gilva Ocan Bunia, and of Trinkle Okot Odhiambo. September 2002, the individuals who held the position of brigade commander in the context of Sinia brigade are discussed in more detail further below.
- 859. P-0070 testified that initially, each brigade had two commanders of equal rank, but that with the creation of the division, this was reduced to one. 1589 At another point in his testimony, he indicated that Stockree brigade had switched from two commanders to one already at the time of Iron Fist. 1590 Charles Lokwiya testified that at the time when he went to Soroti in 2003, Stockree brigade had 'Tabuley as a commander, and Okullu'. 1591

¹⁵⁸³ P-0231: T-122, p. 46, lines 16-17.

¹⁵⁸⁴ P-0070: <u>T-105</u>, p. 57, lines 3-6, p. 60, lines 6-12; P-0085: <u>T-158</u>, p. 20, lines 13-18. The four-brigade structure is also revealed in some intercepted radio messages, such as in the list of radio call signs recorded in the ISO logbook on 4 December 2002 (ISO Logbook (Gulu), UGA-OTP-0065-0002, at 0083-84. The Chamber notes that the date for this specific entry in the logbook is not legible. However, the Chamber considers it likely that this conversation was intercepted on 4 December 2002. This is based on the fact that the entries preceding the relevant excerpt are dated 3 December 2002, with tape number 593A and B, recorded at 08:00 and 11:00 (at 0079, 0081), while the following entry still under tape reference 593B is recorded on 5 December 2002 at 09:00 (at 0085). Indeed, the entry with the same tape and time reference, as well as reproducing the same content, in the ISO Logbook (Kampala), UGA-OTP-0066-0201, at 0261, is recorded under the date of 4 December 2002.), and in the schedule of commanders transmitted on 20 September 2002 (ISO Logbook (Gulu), UGA-OTP-0064-0093, at 0166-67).

¹⁵⁸⁵ P-0070: <u>T-105</u>, p. 60, lines 6-12; P-0172: <u>T-114</u>, p. 3, lines 17-21.

¹⁵⁸⁶ This structure is clearly visible from an intercepted radio communication dated 20 September 2002; *see* ISO Logbook (Gulu), UGA-OTP-0064-0093, at 0167. The Chamber notes its discussion of the reliability of the 2002 ISO logbooks at para. 666 above. *See also* P-0085: <u>T-158</u>, p. 24, line 16 – p. 25, line 6; P-0205: <u>T-48</u>, p. 51, lines 5-10.

¹⁵⁸⁷ ISO Logbook (Gulu), UGA-OTP-0064-0093, at 0167. The same source also gives the names of the brigade ²IC's: Lapanyikwara (Sinia), Okulu Ben (Stockree), John Lagoga (Gilva) and Opiro Livingstone (Trinkle).

¹⁵⁸⁸ See section IV.C.2.i below.

¹⁵⁸⁹ P-0070: <u>T-105</u>, p. 62, lines 3-14.

¹⁵⁹⁰ P-0070: <u>T-105</u>, p. 64, lines 18-25.

¹⁵⁹¹ D-0134: <u>T-240</u>, p. 53, line 23 – p. 54, line 7.

A similar testimony was provided by P-0016, who stated that he was told brigades within the LRA had two brigade commanders that were working together. ¹⁵⁹² On the other hand, when asked whether each brigade had one or two commanders at the time of the LRA's re-entry into Uganda, P-0145 stated that there was one brigade commander in each brigade. 1593 Kenneth Oyet testified that there were two brigade commanders per brigade at the time of Iron Fist, but added that one was superior to the other. 1594 These testimonies, given by witnesses who were questioned specifically on the matter, are not univocal. Overall, the Chamber considers that the evidence discussed above, which demonstrates that each brigade had one commander and one second-in-command, is not undermined by the evidence of witnesses who testified about the presence of two commanders simultaneously in each brigade also during the period of the charges. Specifically, the Chamber also emphasises that as to the period and the events relevant for the charges, there is no evidence that Dominic Ongwen's authority as Sinia brigade commander was shared with another person. 1595

- 860. P-0070 testified that a brigade commander had departments, such as the operation room and intelligence. 1596 As the charges in the present case focus on the Sinia brigade, the organisation of that particular brigade as well as Dominic Ongwen's positions during the relevant period first as battalion commander and later as brigade commander in Sinia are analysed in more detail below. 1597
- 861. Brigades were divided into battalions. 1598 The evidence indicates that the number of battalions fluctuated in the range of between two and four per brigade. 1599 Battalions were headed by a commander, commonly referred to as 'CO'. 1600 The evidence also indicates

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¹⁵⁹² P-0016: T-34-CONF, p. 71, line 25 – p. 73, line 17.

¹⁵⁹³ P-0145: <u>T-144</u>, p. 11, line 24 – p. 12, line 2.

¹⁵⁹⁴ D-0026: <u>T-191</u>, p. 35, lines 4-21.

¹⁵⁹⁵ See paras 1075-1083 below. See also para. 890.

¹⁵⁹⁶ P-0070: <u>T-105</u>, p. 61, line 15 – p. 62, line 2. ¹⁵⁹⁷ *See* section IV.C.3 below.

¹⁵⁹⁸ P-0070: T-105, p. 60, lines 13-14; ISO Logbook (Gulu), UGA-OTP-0064-0093, at 0166-67.

 $[\]frac{1599}{P}$ P-0070: $\frac{T-105}{P}$, p. 60, line 22 – p. 61, line 1 (indicating that, initially, there were three battalions per brigade, which was increased to four at some point in 2003 and reduced back to three sometime thereafter); ISO Logbook (Gulu), UGA-OTP-0064-0093, at 0167 (radio communication intercept dated 20 September 2002, indicating four battalion commanders per brigade); ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0414-15 (radio communication intercept dated 17 September 2003, indicating two battalion commanders per brigade). The communication of 17 September 2003 can also be found in the UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 0992-94.

¹⁶⁰⁰ P-0205: T-48, p. 20, lines 17-19. See also as examples of specific references to battalion commanders, P-0054: <u>T-94</u>, p. 22, lines 5-8; P-0070: <u>T-105</u>, p. 64, lines 2-10.

- that battalions were still further divided in companies, commonly referred to as 'coys', again under the control of a designated commander. 1601
- 862. As to the division, P-0070 explained that it went by the name of 'Jogo', and that it was created in 2003 because 'it was realised that LRA has many soldiers' and 'because in the army when there is a brigade then there also has to be a division'. This fact is also corroborated by the intercepted communication recorded in the ISO logbook on 17 September 2003. According to P-0070, the division commander 'was in charge of all the brigades' and was 'second-in-command after Control Altar'. He also stated that Charles Tabuley was the commander when the division was created, and that after Charles Tabuley's death in late 2003, Raska Lukwiya and then Buk Abudema held this post. 1605
- 863. LRA soldiers also carried ranks, as demonstrated by the testimonies of a number of witnesses. 1606
- 864. The Chamber notes that the Defence argued that the LRA was 'not a conventional army' 1607 and had a 'highly irregular structure' in which only Joseph Kony exercised effective control. 1608 However, irrespective of what the initial or claimed origin of any orders within the organisation may have been, the evidence outlined above and below clearly shows that the LRA exhibited an established functioning structure which operated across hierarchically built units.

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¹⁶⁰¹ P-0205: <u>T-48</u>, p. 20, lines 20-21, p. 53, lines 2-23; P-0070: <u>T-105</u>, p. 61, lines 11-14; P-0379: <u>T-57</u>, p. 68, line 21 – p. 69, line 9. *See also* P-0054: <u>T-93</u>, p. 31, lines 6-8. *See also* as examples of specific references to coy commanders, P-0205: <u>T-47</u>, p. 39, line 25 – p. 40, line 3; P-0205: <u>T-47-CONF</u>, p. 59, lines 14-17. ¹⁶⁰² P-0070: <u>T-105</u>, p. 57, lines 7-11, p. 62, lines 15-17.

¹⁶⁰³ ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0413. *See also* UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 0992.

¹⁶⁰⁴ P-0070: <u>T-105</u>, p. 62, lines 18-20.

¹⁶⁰⁵ P-0070: T-105, p. 62, line 21 – p. 63, line 20. *See also* P-0231: T-122-CONF, p. 29, lines 18-20; P-0205: T-47, p. 36, lines 13-15; ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0413 (mentioning Tabuley as new division commander); UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 0992 (mentioning Tabuley as new division commander); UPDF Logbook (Achol Pii), UGA-OTP-0242-6018, at 6138 (mentioning Tabuley as division commander). In relation to the death of Tabuley, *see* para. 1076 below.

¹⁶⁰⁶ P-0016: T-34-CONF, p. 18, lines 1-3; P-0054: <u>T-93</u>, p. 13, lines 4-5; <u>T-94</u>, p. 7, line 13 – p. 8, line 7; P-0142: <u>T-70</u>, p. 17, lines 3-6; P-0205: T-47-CONF, p. 11, lines 6-10; P-0440: T-39-CONF, p. 68, line 25 – p. 69, line 1. ¹⁶⁰⁷ <u>Defence Closing Brief</u>, para. 22. *See also* <u>Defence Closing Brief</u>, para. 23.

¹⁶⁰⁸ Defence Closing Brief, para. 164.

865. Finally in this context, the Chamber notes that witness testimonies have indicated that movement of people from one unit to another, including between brigades, was a relatively common occurrence in the LRA. 1609

Orders were generally communicated from Joseph Kony directly or through Vincent Otti to the brigade commanders, who communicated them to the battalion commanders, who in turn passed them to their subordinates. Joseph Kony's orders were generally complied with. At the same time, in particular when Joseph Kony was geographically removed from LRA units, brigade and battalion commanders took their own initiatives. This was regularly the case during the period of the charges, when Joseph Kony was in Sudan while various LRA units operated in Northern Uganda. 1610

- 866. Insider witnesses agreed that, in principle, orders in the LRA originated from Joseph Kony. 1611 P-0070 stated that Joseph Kony could give orders via radio directly to all units, or through Vincent Otti, his second-in-command. 1612 In such cases, Vincent Otti passed the orders down to the brigade commanders. ¹⁶¹³ P-0205 similarly testified that there were a number of ways in which Joseph Kony issued orders: on some occasions, he convened everybody and issued an order directly, 1614 otherwise, he only convened the senior commanders or gave the order to a specific person. 1615 The witness described a standard procedure for military orders, by which Joseph Kony issued the order to his second-incommand Vincent Otti, from whom the orders were passed down to brigade, battalion and company commanders. 1616
- 867. Daniel Opiyo similarly testified that there were 'two different ways communication would move'. 1617 In Sudan, Joseph Kony would summon the brigade commanders and hold a meeting, after which some of the information would trickle down to the lower ranking officers. 1618 In Uganda, when Vincent Otti was the highest ranking officer, information would go from Joseph Kony to Vincent Otti, through a signaller or

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<sup>1609</sup> P-0054: T-93, p. 9, line 23 – p. 10, line 3, p. 12, line 20 – p. 13, line 3; P-0205: T-47-CONF, p. 10, line 17 –
p. 11, line 2; P-0231: T-122-CONF, p. 29, lines 15-17; P-0264: <u>T-65</u>, p. 78, lines 16-24.
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¹⁶¹⁰ Para. 124 above.

¹⁶¹¹ P-0070: <u>T-105</u>, p. 79, lines 23-25; P-0142: <u>T-71</u>, p. 28, lines 14-16; P-0205: <u>T-48</u>, p. 19, lines 3-4; P-0231: <u>T-</u> 123, p. 28, lines 15-24.

¹⁶¹² P-0070: <u>T-105</u>, p. 80, lines 1-7.

¹⁶¹³ P-0070: <u>T-105</u>, p. 80, lines 8-17. ¹⁶¹⁴ P-0205: <u>T-48</u>, p. 19, lines 5-9, 23-25.

¹⁶¹⁵ P-0205: T-48, p. 19, lines 9-13.

 $^{^{1616}}$ P-0205: $\overline{\text{T-48}}$, $\hat{\text{p}}$. 19, line 9 – p. 20, line 21.

¹⁶¹⁷ D-0056: <u>T-229</u>, p. 37, line 18 – p. 38, line 11.

¹⁶¹⁸ D-0056: <u>T-229</u>, p. 38, lines 12-15.

directly. 1619 However, if Joseph Kony wanted a message to go to a brigade commander directly, he could do that, and the brigade commander would then assign tasks down the hierarchy. 1620

- 868. P-0070 observed generally that in the LRA, 'there was no strict following of the chain of command'. 1621 More specifically, P-0205 testified that occasionally Joseph Kony would bypass the hierarchy and issue orders directly to battalion commanders. ¹⁶²² P-0016 also stated that Joseph Kony could choose to give orders directly to the battalion commanders, and clarified that Joseph Kony could not directly approach platoon commanders because they did not have radio communication equipment. ¹⁶²³ P-0070's testimony also indicates that Joseph Kony did not give orders to persons lower than battalion commanders. 1624 Similar testimony was provided by D-0027, who stated that Joseph Kony could issue direct orders to a splinter group if that group had a radio device, but otherwise had to go through the brigade commander. 1625
- 869. The Chamber considers that the terms in which witnesses have spoken of Joseph Kony issuing orders directly to brigade or battalion commanders indicates that these were occasional deviations from an otherwise effective hierarchical organisation. Indeed, these same witnesses are also among the main witnesses who testified about the hierarchical organisation of the LRA. Their testimonies, laid out in appropriate detail above, indicate that the witnesses perceived the LRA hierarchy to be effective. Accordingly, what is at hand is not a contradiction in the evidence, but a precise and nuanced description of the LRA as an organisation.
- 870. While this is also an issue explored below in the context of duress, ¹⁶²⁶ the Chamber notes that several insider witnesses explained how Joseph Kony's orders were received and implemented.

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<sup>1619</sup> D-0056: <u>T-229</u>, p. 38, lines 16-19.
<sup>1620</sup> D-0056: T-229, p. 38, lines 19-22.
<sup>1621</sup> P-0070: T-107, p. 34, lines 11-17.
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¹⁶²² P-0205: T-49, p. 54, line 20 – p. 55, line 21.

¹⁶²³ P-0016: T-34, p. 86, lines 5-23. ¹⁶²⁴ P-0070: <u>T-107</u>, p. 34, lines 1-4.

¹⁶²⁵ D-0027: <u>T-202</u>, p. 27, lines 3-13.

¹⁶²⁶ See section IV.D.2.ii below.

- 871. In this regard, P-0440 stated, in response to the question whether subordinate commanders obeyed Joseph Kony's order to stop abductions, that 'some people could violate the orders'. He testified that some commanders 'like Onen Unita and Odongo' disobeyed Joseph Kony's orders, assigning tasks to others rather than going on mission themselves, and that Joseph Kony complained about them and called them lazy. He of themselves, and that commanders would also make up excuses not to go on mission, such as pretending to be ill. He conversely, the witness named Dominic Ongwen and Odhiambo as commanders who obeyed Joseph Kony's orders. He whereas this distinction made by P-0440 between obedient and disobedient commanders appears based on reputation rather than personal knowledge of facts in particular noting that, as discussed just below, Dominic Ongwen would not always execute orders from above —, it is nonetheless indicative of the fact that the high commanders of the LRA had a considerable degree of choice and independence.
- 872. In addition, many of Joseph Kony's orders were general, such as orders to conduct attacks, or to abduct. ¹⁶³¹ For much of the relevant period of the charges, Joseph Kony was also in Sudan, while LRA units were in Uganda, communicating mostly by radio and in person only during occasional visits from the units operating in Uganda. ¹⁶³² As a result, it fell upon the commanders closer to the units on the ground to translate these general orders into concrete acts. ¹⁶³³ This necessitated that the commanders display a considerable degree of initiative. Daniel Opiyo's testimony is illustrative in this regard. Asked specifically about Dominic Ongwen, he stated that Dominic Ongwen 'would not just engage in something without being sure', that if there was an order from his senior, Dominic Ongwen 'would sit down with his officers and they would assess', and that

¹⁶²⁷ P-0440: <u>T-39</u>, p. 84, lines 10-14.

¹⁶²⁸ P-0440: $\overline{\text{T-40}}$, p. 4, line 17 – p. 5, line 12.

¹⁶²⁹ P-0440: <u>T-40</u>, p. 6, line 18 – p. 7, line 9.

 $^{^{1630}}$ P-0440: $\overline{\text{T-40}}$, p. 3, line 21 – p. 4, line 13.

¹⁶³¹ See the discussion of Joseph Kony's orders in section IV.C.4III.D below. See also sections IV.C.11.ii and IV.C.12.ii below.

¹⁶³² See P-0070: <u>T-105</u>, p. 81, line 24 – p. 82, line 1; P-0205: <u>T-48</u>, p. 5, lines 1-4; P-0233: <u>T-111</u>, p. 26, lines 6-14; P-0314: <u>T-75</u>, p. 56, lines 19-24; P-0372: <u>T-149</u>, p. 51, lines 16-25. See also P-0138: <u>T-121</u>, p. 30, line 14 – p. 31, line 7.

 $^{^{1633}}$ P-0205's testimony indicates that a similar relationship could also exist at brigade and battalion levels. P-0205 testified about a specific gathering at Koyo, during which Dominic Ongwen instructed the LRA soldiers subordinate to him to 'attack', but did not give specifics on the targets to be selected, and instead stated that, as reported by P-0205: '[W]henever you get the opportunity to make an attack or to stage an ambush, you should do so when you think you can do it, if you feel capable of doing it', P-0205: T-48-CONF, p. 13, line 17 - p. 14, line

Dominic Ongwen would only act if he knew he could accomplish the objective. ¹⁶³⁴ Intercepted radio communications also demonstrate that attacks were typically reported to Joseph Kony only after they had already been carried out. ¹⁶³⁵ In light of this evidence, which is more specific and/or coming largely from well-informed high-level insiders, the Chamber does not accept the general evidence of Simon Tabo to the effect that Joseph Kony did not give blanket authorisations, but only specific instructions to attack specific locations, and that carrying out an operation without authorisation by Joseph Kony would be punished by execution. ¹⁶³⁶

873. In sum, the Chamber finds that the LRA had a functioning hierarchy, but that it relied also on the independent actions and initiatives of commanders at division, brigade and battalion levels. For the organisation to operate and sustain itself, coordinated action by its leadership, including the brigade and battalion commanders, was necessary. In other words, the LRA was a collective project, and the Chamber does not accept the proposition of the Defence that the LRA should be equated with Joseph Kony alone, and all its actions attributed only to him. 1637

The LRA had at its disposal weapons and ammunition for use in military operations. It regularly seized weapons from the UPDF during combat. It also obtained weapons and other supplies from Sudan. The LRA supplied itself with food, medicines and other items of use by looting from civilians in Northern Uganda, in particular from IDP camps. The LRA relied on high-frequency radio as the principal mode of communication between units in various locations in Northern Uganda and Sudan. ¹⁶³⁸

874. The evidence indicates that the LRA possessed personal firearms which it distributed among its soldiers. 1639 It also possessed other kinds of weapons, including heavy

¹⁶³⁴ D-0056: <u>T-229</u>, p. 33, line 17 – p. 34, line 9.

¹⁶³⁵ See sections IV.C.5, IV.C.8.v, IV.C.9.v below.

¹⁶³⁶ D-0034 Statement, UGA-D26-0022-0385, at para. 34.

 $[\]frac{1637}{\text{Defence Closing Brief}}$, paras 6, 30, 164, 174, 202, 466, 501. See also Defence Closing Statement: $\frac{\text{T-258}}{\text{T-258}}$, p. 20, line 20 - p. 22, lines 21.

¹⁶³⁸ Para. 125 above.

¹⁶³⁹ P-0309: <u>T-60</u>, p. 53, line 22 – p. 54, line 12, p. 77, lines 10-21. *See also* paras 942-945 below.

- weapons, such as PKM machine guns, RPG, recoilless, SPG-9, 12.7 and 60 mm mortars. 1640 Grenades were also available. 1641
- 875. There are also numerous references in the evidence before the Chamber to the LRA obtaining weapons from adversaries during combat. P-0205 testified about a specific order by Dominic Ongwen, as brigade commander, to engage in attacks on places where ammunition and weapons could be found. There are numerous reports in records of intercepted communications of LRA units seizing weapons, as well as uniforms and boots. Indicative is also the entry in the ISO logbook on 21 September 2003, which records Joseph Kony instructing Vincent Otti to order that ammunition be replenished by taking it from the UPDF. Indicative

¹⁶⁴⁰ P-0070: T-105-CONF, p. 55, lines 8-16 (identifying a 12.7 and an SPG-9 on a photograph shown in the courtroom: Photo compilation, UGA-OTP-0028-0073, at 0082); P-0142: <u>T-70</u>, p. 13, lines 6-10 (mentioning grenades, RPG, SPG-9, SMG and B-10); P-0144: <u>T-91</u>, p. 30, lines 15-18 (mentioning SMG, PKM machine gun, RPG, recoilless, SPG-9, 12.7 and 60 mm mortar); P-0264: <u>T-64</u>, p. 67, lines 15-18 (referring to 'PK, RPG, mortar, B10 and SPG9').

¹⁶⁴¹ P-0142: <u>T-70</u>, p. 13, lines 5-8.

¹⁶⁴² P-0205: <u>T-47</u>, p. 29, lines 19-25; P-0309: <u>T-61</u>, p. 28, lines 17-20. *See also* paras 1380, 1382, 1710 below.

¹⁶⁴³ P-0205: <u>T-47</u>, p. 41, lines 9-13.

¹⁶⁴⁴ See, for example, ISO Logbook (Gulu), UGA-OTP-0063-0194 at 0209, 0211, 0213, 0217, 0219, 0230, 0236, 0238, 0249 (see Chamber's discussion on the reliability of the 2002 ISO logbooks, para. 666 above); ISO Logbook (Gulu), UGA-OTP-0068-0146, at 0147, 0168, 0175, 0191, 0200, 0209, 0238, 0244, 0299, 0302 (see also UPDF Logbook (Gulu), UGA-OTP-0254-0455, at 0596, 0602-03, 0630, 0641-42, 0675, 0683-84; UPDF Logbook (Soroti), UGA-OTP-0242-6212, at 6358, 6367-68, 6377, 6405; UPDF Logbook (Achol Pii), UGA-OTP-0242-6018, at 6022); ISO Logbook (Gulu), UGA-OTP-0152-0002, at 0169-70 (see also UPDF Logbook (Gulu), UGA-OTP-0254-1077, at 1194; Police Notes, UGA-OTP-0151-0021, at 0021-22).

¹⁶⁴⁵ ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0442. The Chamber notes that this detail is not included in the corresponding UPDF logbook entries. However, these entries clearly concern the same radio communication (compare ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0441 (Michael reporting on entering UPDF ambush on Bobi road on 17 September, capturing one UPDF soldier called Okot from Pabo alive, with list of items taken; Kony recorded as being 'very happy') with UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 1006 (Michael reporting on clash with UPDF in Bobi on 17 September, capturing one UPDF soldier called Okot from Pabbo alive, with same list of items taken; Kony recorded as being 'very happy') and UPDF Logbook (Achol Pii), UGA-OTP-0242-6018, at 6140 (Michael reporting on entering UPDF ambush on Pabo road on 17 September, capturing one UPDF soldier called Okot from Pabbo alive, with same list of items taken; Kony recorded as congratulating Michael 'with great happiness') and UPDF Logbook (Soroti), UGA-OTP-0254-1991, at 2030-31 (Michael reporting on clash with UPDF at Bobi on 17 September, capturing one UPDF soldier called Okot from Pabbo alive)); compare ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0441-42 (Kony telling Otti he wants LRA 'to start killing seriously, right from Soroti up to Atyka (Acholi), mercilessly, as if LRA are going to finish pple') with UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 1006 (Kony instructing Otti to 'refragment LRA rebels in all corners for havoes' and 'kill very many civs') and UPDF Logbook (Achol Pii), UGA-OTP-0242-6018, at 6140-41 (Kony telling Otti should 'begin serious operations starting from Soroti district to Gulu district without mercy') and UPDF Logbook (Soroti), UGA-OTP-0254-1991, at 2031 (Kony telling Otti to issue orders to all units to start 'serious heavy atrocities against the civilians starting fm Soroti to Atiak areas')). Bearing this in mind, as well as the fact that the entries are overall less detailed, the Chamber consider its appropriate to refer to this particular detail of the radio communication as recorded by ISO.

876. In addition, the LRA received significant support from Sudan. The historical context of this support, which was at its most intense in the mid- to late 1990s, is briefly laid out above. 1646 However, the evidence indicates that even after Operation Iron Fist, there were LRA units based in Sudan, including an LRA armoury. 1647 There is consistent witness evidence to the effect that the Government of Sudan provided weapons, as well as ammunition and uniforms, to the LRA. P-0085, 1648 P-0144, 1649 P-0231, 1650 D-0006, 1651 D-0013, 1652 Joseph Okilan, 1653 Francis Okot, 1654 D-0032, 1655 Kenneth Oyet, 1656 Daniel Opiyo, 1657 and Acama Jackson 1658 are among the witnesses who testified to this effect. P-0410 testified specifically that the heavy weapons brought from Sudan were used in the attacks on Odek and Lukodi IDP camps. 1659 Several witnesses mentioned that the Government of Sudan also trained LRA fighters in the use of heavy weapons. 1660 In addition to weapons, a number of witnesses stated that the Government of Sudan provided food and medicine to the LRA. 1661 There is also evidence that in some cases LRA members received medical treatment at hospitals in Juba and Khartoum. 1662 Daniel Opiyo explained that even after Operation Iron Fist assistance to the LRA continued, and that some LRA remained in Sudan. 1663 Finally, the Chamber notes that there is evidence

¹⁶⁴⁶ See section I.A above.

¹⁶⁴⁷ P-0205: <u>T-48</u>, p. 34, lines 5-13; P-0138: T-121, p. 27, lines 13-18 (stating that they frequently went to Sudan from Uganda to collect ammunition).

¹⁶⁴⁸ P-0085: <u>T-158</u>, p. 19, lines 5-13. It is noted that P-0085 stated that he saw, on one occasion, how the weapons were brought.

¹⁶⁴⁹ P-0144: <u>T-91</u>, p. 14, lines 8-14.

¹⁶⁵⁰ P-0231: T-123-CONF, p. 59, line 6 – p. 60, line 3.

¹⁶⁵¹ D-0006: T-194, p. 39, lines 4-5.

¹⁶⁵² D-0013: T-244, p. 28, lines 22-25.

¹⁶⁵³ D-0019: T-236, p. 14, lines 10-21.

¹⁶⁵⁴ D-0024: T-192, p. 9, line 21.

¹⁶⁵⁵ D-0032: T-199, p. 42, lines 12-17.

¹⁶⁵⁶ D-0026: T-191, p. 13, lines 6-10.

¹⁶⁵⁷ D-0056: T-228, p. 28, lines 14-16.

¹⁶⁵⁸ D-0074: T-187, p. 29, lines 20-23.

¹⁶⁵⁹ P-0410: T-151, p. 31, lines 12-15, p. 64, lines 13-17.

¹⁶⁶⁰ D-0019: T-236, p. 25, lines 17-19; D-0056: T-228, p. 27, line 18 – p. 28, line 11; D-0074: T-187, p. 30, lines 5-19

¹⁶⁶¹ P-0172: T-114, p. 18, lines 18-19; P-0231: T-123-CONF, p. 59, line 6 – p. 60, line 3; P-0233: T-112, p. 35, line 25 – p. 36, line 12; D-0006: T-194, p. 38, line 22 – p. 39, line 3; D-0019: T-236, p. 25, lines 4-8; D-0056: T-228, p. 28, lines 12-17; D-0068: T-222, p. 32, lines 8-17; D-0074: T-187, p. 29, line 24 – p. 30, line 1.

¹⁶⁶² D-0026: T-191, p. 17, line 6 – p. 18, line 15; D-0056: T-228, p. 28, lines 17-22. *See also* D-0006: T-194, p. 39, lines 4-10. This evidence, however, does not indicate that professional medical care was generally available. *See also* para. 1009 below.

¹⁶⁶³ D-0056: T-228, p. 29, lines 15-20.

that before the time of the charges, the LRA received some support from the Government of Kenya. 1664

- 877. There is considerable evidence of the LRA engaging, in a systematic manner, in looting from civilians in order to obtain food, medicines and other items of use. P-0070 stated generally that the LRA obtained food and livestock from IDP camps, or from the fields left behind by civilians. 1665 P-0379 testified that food, in particular beans, goats, chicken and cattle, was looted from civilians, and that '[t]here was no other way other than getting the items from the civilians'. 1666 It is also notable that P-0379, when asked about any instructions before a specific attack, stated that '[w]e were instructed that we were going on a mission to the centre, but we were not told not to abduct, but you knew that when you go on mission, abduction is part of the mission, looting is part of the mission'. 1667 There is further corroboration of this evidence by P-0406, who testified that the LRA took food, including goats, chicken and cattle, from civilians. 1668 He further stated that items other than food, such as gumboots or jackets, were also taken from civilians. ¹⁶⁶⁹ P-0314 testified that orders to pillage were given to obtain food for the LRA fighters, and that for this purpose, camps or vehicles were attacked. 1670 P-0307 is another witness who testified that the LRA used to supply itself with food by looting, in particular from IDP camps. 1671 This topic is explored in further detail in the Chamber's evidentiary analysis concerning the four attacks relevant to the charges. 1672
- 878. Turning to communications, the Chamber refers to its analysis above of the evidence on intercepted radio communications. ¹⁶⁷³ The evidence discussed there conclusively establishes that high-frequency radio was the principal mode of communication in the LRA.
- 879. As stated by witnesses, and demonstrated by the records of intercepts, in particular comprehensive series of logbooks, radio communication took place consistently and

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<sup>1664</sup> D-0032: T-199, p. 42, lines 24-25.
<sup>1665</sup> P-0070: T-105, p. 79, lines 10-19; T-106, p. 24, lines 4-12.
<sup>1666</sup> P-0379: T-56, p. 25, lines 12-16.
<sup>1667</sup> P-0379: T-56, p. 49, lines 2-6. See also P-0379: T-57, p. 36, lines 3-12.
<sup>1668</sup> P-0406: T-154, p. 79, lines 15-20.
<sup>1669</sup> P-0406: T-154, p. 79, line 21 – p. 80, line 6.
<sup>1670</sup> P-0314: T-74, p. 59, lines 10-18.
<sup>1671</sup> P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 25; T-152, p. 65, line 24 – p. 66, line 10.
<sup>1672</sup> See sections IV.C.6.iii, IV.C.7.vi, IV.C.8.iv, IV.C.9.iv below.
<sup>1673</sup> See section IV.B.3.i above.
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methodically several times a day.¹⁶⁷⁴ As explained above, a code system was used to increase security.¹⁶⁷⁵ Solar energy was used to power the radios, using equipment obtained through looting.¹⁶⁷⁶ Notably, the radio communications system used by the LRA made possible the exchange of messages between LRA units around Northern Uganda and in Sudan.¹⁶⁷⁷

- 880. P-0016 and P-0264 testified that radios were available in the LRA from the battalion level and upwards. ¹⁶⁷⁸ P-0440 stated that radios were only systematically available from brigade level and upwards, but not usually below brigade level, unless there was a specific mission for which the commander was given a radio. ¹⁶⁷⁹ This evidence is not necessarily contradictory, and the Chamber in any case considers that it suffices to conclude, on the basis of the witness testimonies, that access to radio equipment below brigade level was limited.
- 881. There were designated radio operators, or signallers. However, as explained by P-0205, commanders spoke on the radio in person too. P-0101 testified that she saw Dominic Ongwen operate the radio by himself to communicate.
- 882. In addition to the long-range radio communication system, there is also evidence that the LRA used walkie-talkies. 1683

 $^{^{1674}}$ P-0070: $\underline{\text{T-105}}$, p. 83, line 14 – p. 84, line 1; P-0379: $\underline{\text{T-57}}$, p. 64, lines 12-17; P-0440: $\underline{\text{T-40}}$, p. 7, line 25 – p. 8, line 5; ISO Logbook (Gulu), UGA-OTP-0066-0002-R01, at 0103. *See also* generally the logbooks listed at paras 659-660 above.

¹⁶⁷⁵ See para. 616 above.

¹⁶⁷⁶ P-0440: T-39, p. 75, line 23 – p. 76, line 4.

¹⁶⁷⁷ P-0016: <u>T-32</u>, p. 21, lines 12-20. *See also* P-0372: <u>T-149</u>, p. 51, lines 16-25 (stating that when Joseph Kony was in Sudan, he communicated with the units in Uganda by radio).

¹⁶⁷⁸ P-0016: <u>T-32</u>, p. 20, lines 11-16; P-0264: <u>T-65</u>, p. 18, lines 6-8.

¹⁶⁷⁹ P-0440: <u>T-40</u>, p. 7, lines 16-24.

¹⁶⁸⁰ P-0016: <u>T-32</u>, p. 20, lines 4-10; P-0070: <u>T-105</u>, p. 84, lines 2-5; P-0205: <u>T-48</u>, p. 55, lines 10-20; P-0264: <u>T-65</u>, p. 18, lines 9-10.

¹⁶⁸¹ P-0205: <u>T-48</u>, p. 55, lines 21-23.

¹⁶⁸² P-0101: T-13-CONF, p. 38, lines 18-24.

¹⁶⁸³ P-0016: T-35-CONF, p. 16, line 21 – p. 17, line 14; P-0070: <u>T-105</u>, p. 83, lines 1-11; P-0252: <u>T-88</u>, p. 7, lines 17-25; P-0144: <u>T-91</u>, p. 31, line 19 – p. 32, line 19; P-0045: T-104-CONF, p. 68, line 24 – p. 69, line 8; P-0067: <u>T-126</u>, p. 11, lines 1-14, p. 46, lines 5-11; P-0264: <u>T-64</u>, p. 47, lines 10-15.

2. Organisational features of the Sinia brigade

883. The following section explores in detail some organisational features of the Sinia brigade. It commences with a description of the structure itself, before focusing on the various mechanisms employed in the Sinia brigade to ensure effectiveness of the organisation and – in essence – compliance with orders. As laid out further below, Dominic Ongwen held commanding positions in Sinia during the period relevant to the charges. Indeed, the charges in this case focus primarily on Sinia. However, on the basis of the evidence it can be stated that Sinia was a typical LRA brigade, and that the below conclusions can also be drawn with respect to the LRA generally.

i. Structure and command

At the time relevant for the charges, i.e. from 1 July 2002 to 31 December 2005, Sinia brigade was led by a brigade commander, who had at his disposal a headquarters unit, which included the brigade intelligence officer, the brigade major, and the support commander. ¹⁶⁸⁶

- 884. Witness evidence indicates that from 2002, and until Dominic Ongwen took over the position, Buk Abudema was Sinia brigade commander. This is confirmed by the ISO logbook entries of intercepted communications for 20 September 2002, 1688 2 December 2002, 1689 and 17 September 2003, 1690 which indeed list Buk Abudema as Sinia brigade commander.
- 885. Dominic Ongwen was officially appointed Sinia commander on 4 March 2004, as discussed in detail below as part of the Chamber's assessment of Dominic Ongwen's position within the LRA. 1691

¹⁶⁸⁴ See section IV.C.3 below.

¹⁶⁸⁵ See also para. 12 of the charges (Confirmation Decision, p.73).

¹⁶⁸⁶ Para. 126 above.

¹⁶⁸⁷ P-0085: <u>T-158</u>, p. 24, lines 22-23; P-0138: <u>T-120</u>, p. 38, lines 9-11; P-0231: T-122-CONF, p. 29, lines 13-14; P-0235: T-17-CONF, p. 5, lines 4-9. It is noted that at some point, P-0205 stated that Buk Abudema replaced Tabuley as brigade commander in 2003 (*see* P-0205: <u>T-47</u>, p. 21, lines 20-25). However, in light of all other evidence, the Chamber considers that this was simply an inaccurate recollection of the year by the witness.

¹⁶⁸⁸ ISO Logbook (Gulu), UGA-OTP-0064-0093, at 0167. The Chamber notes its discussion of the reliability of the 2002 ISO logbooks at para. 666 above. *See also* P-0085: T-158, p. 24, lines 22-24.

¹⁶⁸⁹ ISO Logbook (Gulu), UGA-OTP-0065-0002, at 0079. The Chamber notes its discussion of the reliability of the 2002 ISO logbooks at para. 666 above. *See also* P-0085: <u>T-158</u>, p. 24, lines 22-24.

¹⁶⁹⁰ ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0414. The Chamber notes its discussion of the reliability of the 2002 ISO logbooks at para. 666 above. *See also* P-0085: <u>T-158</u>, p. 24, lines 22-24.

¹⁶⁹¹ See paras 1075-1077 below.

886. P-0205, a witness well-placed to describe the internal structure of the Sinia brigade, was questioned on the matter. He described the Sinia brigade headquarters as including the brigade commander, the brigade intelligence officer (BIO), the brigade major (BM), the administrator and the support commander in charge of heavy weapons. These people were assisted by persons assigned to support them. P-0205 also stated that there was an operations room, the function of which was to receive orders from the brigade commander and implement them. The operations room included the BIO, the BM, the administrator, as well as the 'RCM', who was the officer who supervised foot soldiers. Other witnesses also spoke of the headquarters unit in Sinia.

Sinia brigade was composed of three battalions: Oka, Terwanga and Siba. Each of these battalions was led by a battalion commander, who reported to the brigade commander, and was composed of companies, or 'coys'. 1697

- 887. Insider witnesses stated consistently that Sinia included three battalions, and gave their names as Oka, Terwanga and Siba. 1698 Each battalion was controlled by a battalion commander, commonly referred to as 'CO'. 1699
- 888. In relation to the hierarchy under the battalion commander, P-0205 testified that battalions also had a deputy commander (2IC), ¹⁷⁰⁰ and that intelligence officers and support commanders at battalion level reported to the brigade intelligence officer and the brigade support commander, respectively. ¹⁷⁰¹ He further explained that the equivalent of an administrator at battalion level was referred to as the adjutant. ¹⁷⁰² Similarly, Daniel Opiyo testified that, at least at battalion level, the deputy commander and the intelligence officer worked together in the operation room which the battalion had at its disposal. ¹⁷⁰³

¹⁶⁹² P-0205: T-48, p. 51, lines 5-16. *See also* P-0205: T-47-CONF, p. 11, lines 9-10. The Chamber notes that the witness was specifically asked about the composition of Sinia brigade headquarters in 2004-2005.
¹⁶⁹³ P-0205: T-48, p. 51, lines 17-19.
¹⁶⁹⁴ P-0205: T-48, p. 51, line 20 – p. 52, line 4.
¹⁶⁹⁵ P-0205: T-48, p. 52, lines 5-12. *See also* P-0264: T-64, p. 69, lines 13-14.
¹⁶⁹⁶ P-0054: T-93, p. 12, line 23 – p. 13, line 3; P-0231: T-123, p. 50, lines 2-5; P-0264: T-64, p. 38, lines 17-19.
¹⁶⁹⁷ Para. 127 above.
¹⁶⁹⁸ P-0054: T-93, p. 11, lines 8-13; P-0016: T-34, p. 8, lines 8-17; P-0142: T-70, p. 17, lines 11-16; P-0264: T-64, p. 38, lines 17-19. *See also* P-0406: T-154, p. 33, lines 13-16.
¹⁶⁹⁹ See P-0205: T-48, p. 52, lines 17-21.
¹⁷⁰⁰ P-0205: T-48, p. 53, lines 13-15.
¹⁷⁰¹ P-0205: T-48, p. 53, line 24 – p. 54, line 13. *See also* P-0379: T-58, p. 56, line 7 – p. 58, line 1.
¹⁷⁰² P-0205: T-48, p. 52, line 22 – p. 53, line 1.
¹⁷⁰³ D-0056: T-229, p. 12, lines 3-8.

- 889. P-0205 stated that the unit below battalion level was the 'coy' or company, and that each coy was headed by an 'OC'. ¹⁷⁰⁴ He also stated that a battalion would typically have three companies. ¹⁷⁰⁵ This evidence is confirmed by other witness testimonies. ¹⁷⁰⁶
- 890. In addition to Buk Abudema and Dominic Ongwen, the two successive brigade commanders during the period of the charges, prominent members of Sinia who held various positions during the period relevant to the charges were Kalalang, ¹⁷⁰⁷ Lapaicho (also Lapaico, Lapanyikwara or Paicho), ¹⁷⁰⁸ Pokot, ¹⁷⁰⁹ Celestino Akuri, ¹⁷¹⁰ Loum Icaya, ¹⁷¹¹ Okwer (also Okwee or Okwera), ¹⁷¹³ Ocaka, ¹⁷¹⁴ and Ben Acellam. ¹⁷¹⁵ In light of certain submissions made by the Defence in relation to the attack on Odek IDP camp on 29 April 2004, ¹⁷¹⁶ the Chamber notes in particular that Ocan

¹⁷⁰⁴ P-0205: <u>T-48</u>, p. 53, lines 19-23. *See also* P-0205: <u>T-48</u>, p. 20, lines 20-21.

¹⁷⁰⁵ P-0205: <u>T-48</u>, p. 54, lines 24-25.

 $[\]frac{1706}{P}$ P-0070: $\frac{T-105}{P}$, p. 61, lines 11-14; P-0379: $\frac{T-57}{P}$, p. 68, line 21 – p. 69, line 2; D-0056: $\frac{T-229}{P}$, p. 11, lines 14-18.

 $^{^{1707}}$ P-0054: $\underline{\text{T-93}}$, p. 11, line 24 – p. 12, line 5 (stating that Kalalang was Terwanga battalion commander at some point).

¹⁷⁰⁸ P-0054: <u>T-93</u>, p. 11, line 24 – p. 12, line 1 (stating that Lapaicho was Terwanga battalion commander at the time of Operation Iron Fist); P-0264: <u>T-64</u>, p. 80, line 25 – p. 81, line 5. *See also* P-0264: <u>T-64</u>, p. 81, lines 9-25 (stating that at the time of his abduction in 2002, Lapaico was the commander of Terwanga); P-0406: <u>T-154</u>, p. 33, line 20 – p. 34, line 2 (stating that Paicho was Terwanga battalion commander at the time of Tabuley's death). ¹⁷⁰⁹ P-0205: T-49-CONF, p. 62, lines 7-10 (stating that Okello Pokot was Terwanga battalion commander at the time Dominic Ongwen was commander of the Sinia brigade).

¹⁷¹⁰ P-0264: T-64-CONF, p. 15, lines 19-21. *See also* P-0264: <u>T-64</u>, p. 82, lines 10-15 (stating that 'Cele Akuri' was Oka commander at some point).

¹⁷¹¹ P-0205: <u>T-47</u>, p. 35, lines 11-18 (stating that Loum Icaya was Terwanga commander at the time of Tabuley's death).

¹⁷¹³ P-0016: <u>T-35</u>, p. 36, lines 7-10 (stating that Okwer was an intelligence officer in Control Altar who was transferred to Sinia and later also to other brigades); P-0142: T-70-CONF, p. 21, lines 17-19 (referring to Okwee as the brigade intelligence officer of Sinia brigade); P-0205: <u>T-47</u>, p. 39, lines 5-10 (also stating that Okwer was brigade intelligence officer of Sinia brigade).

 $^{^{1714}}$ P-0054: T-93-CONF, p. 12, line 20 – p. 13, line 20 (stating that Ocaka was a support commander in Sinia brigade); P-0016: T-35, p. 35, line 7 – p. 36, line 16 (stating that Ocaka was a lieutenant and later a captain in Sinia brigade); P-0142: T-70, p. 44, lines 19-25 (also stating that Ocaka was a captain in Sinia, specifically at the time of the attack on Lukodi IDP camp).

¹⁷¹⁵ P-0264: <u>T-64</u>, p. 83, lines 12-16 (stating that Ben Acellam was Oka commander after Celestino Akuri).

¹⁷¹⁶ P-0016: <u>T-34</u>, p. 62, line 2 – p. 63, line 7; P-0059: <u>T-39</u>, p. 29, lines 8-17; P-0440: <u>T-41</u>, p. 28, line 12 – p. 13, line 5; P-0003: <u>T-45</u>, p. 39, line 10 – p. 40, line 9; P-0205: <u>T-51</u>, p. 5, line 21 – p. 6, line 15; T-51-CONF, p. 30, line 1 – p. 31, line 24; P-0330: <u>T-55</u>, p. 43, lines 17-20; P-0309: <u>T-63</u>, p. 17, lines 13-14; P-0264: <u>T-66</u>, p. 68, line 18 – p. 69, line 18; P-0269: <u>T-86</u>, p. 64, line 23 – p. 65, line 14; P-0340: <u>T-103</u>, p. 53, line 17 – p. 54, line 14; P-0359: <u>T-110</u>, p. 48, line 9 – p. 50, line 7; P-0372: <u>T-149</u>, p. 77, lines 7-10. However, in its closing brief, it appears the Defence holds the view that at the time of the attack on Odek IDP camp, Dominic Ongwen was as brigade commander superior to Ocan Labongo, *see* <u>Defence Closing Brief</u>, para. 377.

Labongo is attested in the evidence as commander of Siba battalion.¹⁷¹⁷ There is also an entry in the ISO logbook indicating that at the time of Dominic Ongwen's appointment to Sinia brigade commander, Ocan Labongo had been left as caretaker when Buk Abudema departed for Sudan. ¹⁷¹⁸ P-0205 testified unequivocally that upon his appointment to brigade commander of Sinia, Dominic Ongwen was hierarchically superior to Ocan Labongo. ¹⁷¹⁹ There is no evidence that Ocan Labongo was, in particular at the time of the attack on Odek IDP camp on 29 April 2004, brigade commander of Sinia individually or jointly with Dominic Ongwen.

Altogether, at the time relevant to the charges, between 1 July 2002 and 31 December 2005, Sinia brigade included several hundred soldiers. 1720

891. The Chamber received evidence on the approximate size of the Sinia brigade and its battalions from several witnesses in a position to make informed estimates. P-0231 estimated the number of people in Sinia brigade at 'about 700 to 800', ¹⁷²¹ of which 'approximately 200 and over' were in Oka battalion when he was there. ¹⁷²² P-0205 estimated that there were 120 men in Terwanga in 2004, and about 180 in Siba at the same time. ¹⁷²³ In relation to Oka, P-0205 testified that there were about 100 men. ¹⁷²⁴ P-0379 testified that, at the time he was in Oka battalion in 2002-2003, the battalion had 'perhaps more than 150 [men]'. ¹⁷²⁵ More generally, P-0054 estimated that a brigade in

 $^{^{1717}}$ P-0205: $\underline{\text{T-47}}$, p. 35, lines 11-18 (stating that Ocan Labongo was Siba commander at the time of Tabuley's death); P-0264: $\underline{\text{T-64}}$, p. 83, line 25 – p. 84, line 8; $\underline{\text{T-65}}$, p. 78, lines 10-15 (also stating that Ocan Labongo was Siba commander).

¹⁷¹⁸ ISO Logbook (Gulu), UGA-OTP-0061-0002 at 0128. The intercepted message is Joseph Kony's order to Dominic Ongwen to meet with Ocan Labongo for the handover. *See also* UPDF Logbook (Gulu), UGA-OTP-0254-4143, at 4272; UPDF Logbook (Achol Pii), UGA-OTP-0242-7309, at 7405. The Chamber notes that the UPDF Soroti logbook records Ben Acellam as being instructed to take over the command of Sinia brigade (*see* UGA-OTP-0254-2284, at 2556). However, in light of the other logbooks consistently referring to Dominic Ongwen, as well as the other evidence on Dominic Ongwen taking over the command of Sinia Brigade, the Chamber disregards this aspect of the UPDF Soroti logbook. *See also* Enhanced audio Tape 771, UGA-OTP-0239-0085; P-0016 Tape 771 Transcript, UGA-OTP-0259-0094; P-0016: T-32, p. 67, line 20 – p. 75, line 13; P-0059 Tape 771 Transcript, UGA-OTP-0258-0782-R01; P-0059: T-37, p. 26, line 16 – p. 29, line 17. The Chamber notes its discussion of this particular audio tape at section IV.B.3.ii.i.

¹⁷¹⁹ P-0205: <u>T-49</u>, p. 46, line 25 – p. 47, line 9. P-0205 testified that after the attack on Abok IDP camp, Ocan Labongo was brought to the Sinia brigade headquarters and started working together with Dominic Ongwen; P-0205: <u>T-48</u>, p. 3, line 24 – p. 4, line 2. The Chamber does not understand this particular testimony to be that Ocan Labongo and Dominic Ongwen were sharing the position of brigade commander.

1720 Para. 128 above.

¹⁷²¹ P-0231: <u>T-122</u>, p. 42, lines 12-17. The Chamber notes that P-0231 did not place any specific time frame on this estimate, but referred generally to when he was 'in the bush', which was a period from 1994-2007. *See* P-0231: T-122-CONF, p. 27, lines 2-5, p. 30, lines 16-19.

¹⁷²² P-0231: <u>T-122</u>, p. 42, lines 5-11.

¹⁷²³ P-0205: T-48, p. 28, lines 10-15.

¹⁷²⁴ P-0205: T-48, p. 27, lines 16-21.

¹⁷²⁵ P-0379: <u>T-57</u>, p. 37, lines 11-19.

- the LRA included from 500 people upwards, whereas a battalion was 50 to 100 people. 1726
- 892. While actual numbers may in fact differ in the estimates provided by the different witnesses at varying times, the Chamber considers that their approximations remain at a coherent scale and that it can be concluded that at the relevant time the Sinia brigade was composed of several hundred soldiers.
 - ii. Ways to ensure capability to undertake military operations
 - a. Recruitment through abduction

Sinia brigade obtained new fighters through abductions of civilians. These abductions were targeted at civilians deemed capable for fighting, including young children. 1727

893. During the course of the trial, the Chamber heard numerous personal stories of abduction into the LRA by persons who came to testify as insiders. ¹⁷²⁸ For the relevant time period, there is no evidence of any recruitment system based on voluntary enlistment. Witnesses also mentioned that they had no knowledge of anyone voluntarily joining the LRA. ¹⁷²⁹ It is an uncontested fact of the case that Dominic Ongwen himself was abducted into the LRA as a child ¹⁷³⁰

 $^{^{1726}}$ P-0054: $\underline{\text{T-94}}$, p. 38, line 24 – p. 39, line 17. P-0054 was in the LRA from 1992-2005. P-0054: $\underline{\text{T-93}}$, p. 8, lines 11-19, p. 10, line 24 – p. 11, line 2.

¹⁷²⁷ Para. 129 above.

¹⁷²⁸ See P-0205: T-47-CONF, p. 9, lines 11-17; P-0054: <u>T-93</u>, p. 7, line 15 – p. 8, line 15; P-0233: T-111-CONF, p. 8, lines 1-6; P-0231: T-122-CONF, p. 27, lines 5-7; P-0016: T-32-CONF, p. 12, lines 19-21; P-0081 Statement, UGA-OTP-0070-0029-R01, at paras 18-21; P-0097: <u>T-108</u>, p. 6, line 23 – p. 7, line 5; P-0138: <u>T-120</u>, p. 8, lines 2-8; P-0142: <u>T-70</u>, p. 9, lines 20-25; P-0144: <u>T-91</u>, p. 7, line 23 – p. 8, line 8; P-0145: <u>T-143</u>, p. 7, lines 8-14; P-0209: <u>T-160</u>, p. 8, lines 9-17; P-0252: <u>T-87</u>, p. 9, line 25 – p. 10, line 5; P-0264: T-64-CONF, p. 10, lines 11-18; P-0275 Statement, UGA-OTP-0244-3398-R01, at paras 29-35; P-0280: <u>T-83</u>, p. 50, line 3 – p. 51, line 19; P-0286: <u>T-131</u>, p. 10, line 14 – p. 12, line 16; P-0314: T-74-CONF, p. 7, lines 12-17; P-0340: <u>T-102</u>, p. 10, lines 20-23; P-0372: T-148-CONF, p. 8, lines 4-7; P-0379: T-56-CONF, p. 7, lines 6-20; P-0406: <u>T-154</u>, p. 8, lines 15-22; P-0440: <u>T-39</u>, p. 65, lines 12-25; P-0070: T-105-CONF, p. 40, lines 16-25; P-0085: T-158-CONF, p. 6, lines 19-24; P-0307 Statement, UGA-OTP-0266-0425-R01, at paras 14-16; P-0309: T-60-CONF, p. 12, lines 14-24; P-0410: <u>T-151</u>, p. 6, lines 18-25; P-0040 Interview Transcript, UGA-OTP-0209-0406-R01, at lines 562-582; P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 18; P-0130 Statement, UGA-OTP-0191-0272-R01, at paras 9-17; P-0096 Interview Transcript, UGA-OTP-0228-1698-R01, at lines 1130-57; D-0007: <u>T-193</u>, p. 5, line 15 – p. 6, line 6; D-0024: <u>T-192</u>, p. 5, lines 12-19; D-0026: <u>T-191</u>, p. 4, lines 8-22; D-0027: <u>T-202</u>, p. 10, lines 6-23; D-0076: <u>T-219</u>, p. 11, lines 7-18; D-0079: <u>T-189</u>, p. 7, lines 18-21; D-0081: <u>T-220</u>, p. 23, line 19 – p. 24, line 18; D-0092: <u>T-208</u>, p. 7, lines 10-14; D-0134: <u>T-240</u>, p. 10, lines 17-23; D-0100: <u>T-234</u>, p. 9, lines 6-7; D-0034 Statement, UGA-D26-0022-0385, at paras 2-4; P-0028 Interview Transcript, UGA-OTP-0217-0075-R01, at lines 508-35.

¹⁷²⁹ P-0233: <u>T-111</u>, p. 49, lines 12-15; D-0024: <u>T-192</u>, p. 45, line 20.

¹⁷³⁰ See section I.C above.

- 894. There is ample evidence of the LRA abducting persons in Northern Uganda, and of the integration of the abductees into the LRA as fighters. Although there is evidence of female LRA members participating in military training and operations, including combat, 1731 the evidence discussed below indicates that the role of fighter was primarily intended for male abductees. The phenomenon of abduction of women and girls, of great significance in this case, is discussed separately. 1732 Similarly, the Chamber will address at the relevant juncture below the phenomenon of abduction and recruitment into the LRA of children under the age of 15. 1733 While that specific evidence is also relevant in the present context, the Chamber focuses its analysis on the more general matter of abduction of civilians as a method through which the LRA obtained new recruits.
- 895. The evidence establishes that abduction of civilians for the purpose of increasing ranks was a long-standing policy of the LRA, including the Sinia brigade. A very succinct and clear description of this policy is contained in a radio communication intercepted by the ISO on 29 July 2002, wherein Joseph Kony is recorded as stating that the LRA will not stop abductions, because they were replacing those released, escaped or killed in the war. 1734
- 896. P-0205 testified that, shortly after becoming commander of Sinia, Dominic Ongwen instructed the LRA soldiers going to attack an IDP camp that 'if they found anybody who can participate in the army, then that person should be abducted'. 1735
- 897. P-0231 testified very similarly, stating:

You know, at the time when we were in the bush during the period of abduction, as I stated earlier, even when you're on the move, for example if we are leaving one location going across some road, at the time when people were still at home, before the camps were established, whoever you come across, whoever you think is able to fight you abduct that person. You abduct that person. And the abduction means an initiation into the army, starting from 10 or – if you estimate that the person is older, so anybody from between the ages of 10 to 30. But there are certain times when some operations are organised and you're sent to collect food, you're

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 $^{^{1731}}$ P-0045 testified in detail about her experience as a female fighter in the LRA, in addition to being made a so-called 'wife', *see* P-0045: T-103-CONF, p. 90, line 19 – p. 92, line 10. *See also* P-0252: <u>T-87</u>, p. 52, lines 4-5; P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 67; P-0448: <u>T-157</u>, p. 24, line 17 – p. 25, line 5.

¹⁷³² See sections IV.C.10, IV.C.11 below.

¹⁷³³ See section IV.C.12 below.

¹⁷³⁴ ISO Logbook (Gulu), UGA-OTP-0063-0194, at 0331. The Chamber notes its discussion of the reliability of the 2002 ISO logbooks at para. 666 above.

¹⁷³⁵ P-0205: <u>T-47</u>, p. 40, line 16 – p. 41, line 8.

sent to fight, when you fight if you overran the soldiers, if you find anybody who is capable of joining the ranks of the LRA, that person is abducted and put in the LRA 1736

898. P-0379, speaking from his vantage point as a lower-ranking soldier, stated that during an operation, 'it was automatic [...] that whoever was fit to be in the bush, there was no reservation about abducting such a person because that is part of the operation'. Asked to explain further, he stated:

What I know is that, personally, if, for instance, I entered into a house and I found food items, I would not carry that food item alone unless I get somebody to abduct. I would abduct that person to come and carry the food items. That person can — could be later released but for those who were within the age that could be kept would not be released. That was something that kept on happening over and over again. So that was part of the operation. 1738

- 899. Asked still further what was 'within the age that could be kept', P-0379 indicated the range from 10 up to about 20 to 25 years of age. ¹⁷³⁹ He also stated that abductees who were not 'useful' were released. ¹⁷⁴⁰
- 900. Similar is the evidence of P-0307, who, from his experience of an ordinary Sinia soldier, observed:

[A]s a standard practice, each time we came across young people, we would abduct them and take them to the bush. We had to do this as we had to increase our numbers in the bush. So abducting new recruits was part of routine activities during attacks so that there was no need for any commander to order you to abduct because this was part of the job. 1741

- 901. The Chamber also notes the testimony of P-0233, who observed that 'in the bush when you have been selected to go and do something [...], fighting and abduction go hand in hand'. 1742
- 902. One ISO logbook records a report given to Joseph Kony by LRA commander Lapanyikwara on 29 September 2002, where it was stated that in the period of 13 to 25

¹⁷³⁶ P-0231: <u>T-122</u>, p. 73, lines 11-21.

¹⁷³⁷ P-0379: <u>T-56</u>, p. 45, lines 18-23.

¹⁷³⁸ P-0379: T-56, p. 45, line 24 – p. 46, line 6.

¹⁷³⁹ P-0379: <u>T-56</u>, p. 46, lines 7-9.

¹⁷⁴⁰ P-0379: T-57-CONF, p. 36, lines 13-17.

¹⁷⁴¹ P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 36.

¹⁷⁴² P-0233: T-111-CONF, p. 11, lines 17-19.

September 2002 Dominic Ongwen 'charged' 127 recruits. 1743 It is further recorded that Joseph Kony was 'very happy' with Dominic Ongwen's results, and told Lanyikwara to 'reduce on the rate of abduction because it might be a problem to handle them when the [number] has become so big'. 1744 It is noted that Dominic Ongwen is recorded as speaking during the conversation, and in particular as stating that his recruits have high morale and are disciplined. 1745

- 903. Following abduction, abductees deemed suitable for recruitment were integrated into the LRA. P-0205 stated that abductees were 'distributed amongst the people' according to the need, and that they could also be sent to the 'commander in charge of that place' or to 'the person who went to conduct the operation'. ¹⁷⁴⁶ Thereafter, their training commenced. 1747
- 904. P-0231 also explained that following abduction, the abductee would initially stay with the specific unit that abducted him. 1748 According to this witness, it was following the training that a person could be transferred to another battalion or elsewhere. 1749
- 905. Daniel Opiyo similarly stated that while in Uganda, an abducted person would stay with the unit that abducted that person. ¹⁷⁵⁰ He distinguished the situation in Sudan and testified that there all abductees would be gathered together and distributed, some to Joseph Kony's household, and others to the various other households. 1751

b. Initiation of recruits

Following their abduction, recruits generally passed through initiation rituals, most regularly including anointment with shea butter, intended to instil obedience and prevent escape. Beating was also a common feature of such initiation. 1752

906. In relation to the initiation rituals, the evidence before the Chamber establishes that, while displaying variations and while not used in all cases, they were a stable feature of the

¹⁷⁴³ ISO Logbook (Gulu), UGA-OTP-0068-0002, at 0022. The Chamber notes its discussion of the reliability of the 2002 ISO logbooks at para. 666 above.

¹⁷⁴⁴ ISO Logbook (Gulu), ÛGA-OTP-0068-0002, at 0022.

¹⁷⁴⁵ ISO Logbook (Gulu), UGA-OTP-0068-0002, at 0022.

¹⁷⁴⁶ P-0205: <u>T-48</u>, p. 31, line 23 – p. 32, line 5.

 $^{^{1747}}$ P-0205: $\underline{\text{T-48}}$, p. 31, line 23 – p. 32, line 5.

¹⁷⁴⁸ P-0231: T-122, p. 45, lines 5-21. 1749 P-0231: T-122, p. 45, line 22 – p. 46, line 6.

¹⁷⁵⁰ D-0056: <u>T-229</u>, p. 17, lines 1-5.

¹⁷⁵¹ D-0056: <u>T-229</u>, p. 17, lines 5-8.

¹⁷⁵² Para. 129 above.

- LRA. The evidence, in particular the statements of witnesses who underwent initiation rituals and testified of what they were told during such rituals, indicates that the LRA's initiation rituals were intended to instil obedience and prevent escape. ¹⁷⁵³
- 907. P-0252, who was still a child at that time, ¹⁷⁵⁴ testified that the day after his abduction during the attack on Odek, he passed through a ritual in the presence of Dominic Ongwen, during which P-0252's shirt was removed, and a substance ('I don't know what it is, but it's they say it's an oil') was used to smear the sign of the cross on his forehead, chest, knees, and the back of his legs; the witness was thereafter told that 'when you want to escape and flee, you will walk in circles and be confused. You will not be able to escape.' ¹⁷⁵⁵ P-0252 also stated that he was beaten as part of the initiation process, and told that the reason why they did that was 'for you to become a soldier and to leave your civilian life behind'. ¹⁷⁵⁶
- 908. Similarly, P-0307 testified that his initiation consisted of the rubbing of 'some sort of oil' on his forehead, and of caning, with the explanation that this was 'to take away the civilian life from [him]'. 1757
- 909. P-0264 testified about the use of caning as a form of initiation. ¹⁷⁵⁸ He explained that while this did not happen to him, he saw his older colleagues with whom they were abducted be initiated in this way. ¹⁷⁵⁹ The witness also described a separate ceremony, during which a group of about 20-30 persons including him were summoned, undressed to bare chests and told they would be anointed. ¹⁷⁶⁰ Then, they were told to start clapping and sung a praise song. ¹⁷⁶¹ After they stopped, each of them had to put shea oil on their forehead, chest, feet and hands. ¹⁷⁶² On all those spots, including the back, a sign of the cross was put. ¹⁷⁶³ Thereafter, the recruits were told that 'if you want to escape that thing will make you confused and you you keep rotating until you go back to where you left

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<sup>1753</sup> On the latter, see also section IV.C.2.ii.e below.
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¹⁷⁵⁴ See section IV.B.2.ii.b.xiii above.

¹⁷⁵⁵ P-0252: <u>T-87</u>, p. 47, lines 4-21.

¹⁷⁵⁶ P-0252: T-87, p. 50, lines 3-11.

¹⁷⁵⁷ P-0307: <u>T-153</u>, p. 23, lines 3-11.

¹⁷⁵⁸ P-0264: <u>T-64</u>, p. 23, line 21 – p. 24, line 2.

¹⁷⁵⁹ P-0264: <u>T-64</u>, p. 24, lines 3-9.

¹⁷⁶⁰ P-0264: T-64, p. 24, line 10-20, p. 25, line 25 – p. 26, line 2.

¹⁷⁶¹ P-0264: T-64, p. 24, lines 21-22.

¹⁷⁶² P-0264: <u>T-64</u>, p. 24, lines 22-24.

¹⁷⁶³ P-0264: <u>T-64</u>, p. 24, line 25 – p. 25, line 1.

- from, and if you go to the battlefield it will shield bullets and you will not be touched by any bullet'. 1764
- 910. P-0379 stated that following abduction, recruits were beaten with a stick, anointed with shea oil, with the sign of the cross on the forehead and hand, and instructed to declare that they would not escape, and that they should be killed in case they do. 1765
- 911. P-0016 also referred to the practice of anointing recruits with shea oil with the stated purpose of preventing escape. 1766
- 912. P-0231, who was abducted in 1994, 1767 testified that after he was anointed with shea butter oil, soil was smeared on him, and he was also made to drink a mixture of the two. 1768 The witness stated that he was told that the rituals were to cleanse him so that he could join the LRA. 1769 The witness stayed in the LRA for a long time, including in a relatively important position, ¹⁷⁷⁰ which is why the Chamber also considers reliable the witness's testimony that most newly abducted people were initiated using the same ritual. 1771
- 913. P-0227 testified that her brothers, who were abducted at the same time as her in April 2005, ¹⁷⁷² were beaten with canes on the orders of Dominic Ongwen, 'so that they may forget about their homes'. 1773
- 914. P-0097, who was abducted in February 2005, ¹⁷⁷⁴ testified that when he was brought to the group together with other new abductees, they were whipped with freshly cut canes, and told that 'that is how [the LRA] welcome people so that you do not have any thoughts of escaping'. 1775

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<sup>1764</sup> P-0264: T-64, p. 25, lines 2-5.
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¹⁷⁶⁵ P-0379: T-57, p. 48, line 16 – p. 49, line 9.

¹⁷⁶⁶ P-0016: <u>T-34</u>, p. 37, lines 16-19.

¹⁷⁶⁷ P-0231: T-122-CONF, p. 27, lines 2-5.

¹⁷⁶⁸ P-0231: <u>T-123</u>, p. 19, lines 1-8. ¹⁷⁶⁹ P-0231: <u>T-123</u>, p. 19, lines 9-13.

¹⁷⁷⁰ See section IV.B.2.ii.a.ix above.

¹⁷⁷¹ P-0231: T-123, p. 19, lines 19-22.

¹⁷⁷² P-0227: T-10-CONF, p. 6, lines 8-9, p. 8, lines 3-8.

¹⁷⁷³ P-0227: T-10-CONF, p. 21, line 20 – p. 22, line 3.

¹⁷⁷⁴ P-0097: <u>T-108</u>, p. 7, line 3-5, p. 53, lines 9-11.

¹⁷⁷⁵ P-0097: <u>T-108</u>, p. 13, lines 5-21.

915. P-0249, who was abducted during the Pajule attack, ¹⁷⁷⁶ testified that after leaving Pajule, Dominic Ongwen told the abductees that if anyone tried to escape or dropped the load they were assigned to carry, they would be killed. ¹⁷⁷⁷ According to P-0249, Dominic Ongwen also said that the abductees would be trained to become soldiers. ¹⁷⁷⁸

With some regularity, recruits were forced to brutally kill, or were forced to witness brutal killings, shortly after their abduction. 1779

- 916. As explained below, the evidence demonstrates that new recruits were often forced to kill and/or to witness brutal killings. It is notable that even though memory fades with time, witnesses were able to describe their own experience in striking detail. This is in itself, in the Chamber's opinion, an indication of the significance of this traumatic experience. In the Chamber's evaluation, this experience largely contributed, as an instrument of control, to obtain, and maintain, a tight grip on the part of the LRA commanders over newly abducted, often young individuals.
- 917. The Chamber recognises the evidence of P-0314 as particularly informative in this context. P-0314 testified that newly abducted people would be instructed to kill a person, while the 'older people' watched; ¹⁷⁸⁰ a general observation which he was in position to make on the basis of his personal experience in the LRA. P-0314 then went on and stated:

This was a way of showing the person that if you also escape, then your friends will kill you. So that was a way to instill fear into the younger people, the people who had been newly abducted. The older people, if, for example, someone is extremely tired and – because sometimes people would walk for long distances carrying heavy luggage, if your feet are swollen, if you are tired, yeah, the people would disappear. But if somebody disappears, you don't know whether the person has been released and sent back home or killed.¹⁷⁸¹

- 918. Indeed, the Chamber has heard evidence relating to a number of specific events of this kind.
- 919. P-0264 testified that on the second day after he was abducted, and before the witness was given any training, his unit 'found' some civilians. The witness and other abductees,

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<sup>1776</sup> See para. 1344 below.
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¹⁷⁷⁷ P-0249: <u>T-79</u>, p. 39, line 23 – p. 40, line 3.

¹⁷⁷⁸ P-0249: T-79, p. 40, lines 4-6.

¹⁷⁷⁹ Para. 129 above.

¹⁷⁸⁰ P-0314: <u>T-74</u>, p. 29, lines 18-22.

¹⁷⁸¹ P-0314: T-74, p. 29, line 22 – p. 30, line 3.

¹⁷⁸² P-0264: <u>T-64</u>, p. 17, lines 14-18.

who had been tied and were carrying items, were instructed to put down the items, untied and told that if anybody tried to run away, they would be shot.¹⁷⁸³ An old civilian man was bound, and the abductees were instructed to beat him.¹⁷⁸⁴ In the words of the witness:

There were so many of us, we beat the old man to death. [...] We were told to, to get the old man's blood and put it on our foreheads. We were still new, we were civilians, we were scared, there was blood, there was brains. We started taking the old man's blood and put it on our foreheads. 1785

- 920. In addition, P-0264 referred to another occasion in Teso, where 'kadogi', in the witness's own definition the 'children or the young soldiers', executed an order to kill three civilian women by stoning them to death. 1786
- 921. As discussed below, P-0252, who was abducted during the attack on Odek,

 . 1787 P-0252 testified that

 the LRA soldiers asked him: 'Are you still going to escape?', to which the witness responded 'In the name of God I will not escape'. 1788
- 922. P-0236 stated that shortly after her abduction, after she had been handed over to Dominic Ongwen, two people who had allegedly escaped were killed in front of her and three other newly abducted persons. The abductees were told that if they tried to escape, the same thing would be done to them. 1790
- 923. The Chamber also considers in this context the evidence provided by P-0379, discussed in detail below, in relation to an incident where a young boy was killed ostensibly because he had tried to escape, and the abductees were forced to beat and touch the body. 1791
- 924. A specific subset of evidence concerns abductees witnessing, shortly after their abduction, the killings of other abductees who were unable to keep up with the movement of the group that abducted them.

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<sup>1783</sup> P-0264: T-64, p. 17, lines 22-24.

<sup>1784</sup> P-0264: T-64, p. 17, lines 24-25.

<sup>1785</sup> P-0264: T-64, p. 17, line 25 – p. 18, line 4.

<sup>1786</sup> P-0264: T-65, p. 23, line 5 – p. 25, line 12.

<sup>1787</sup> See

<sup>1788</sup> P-0252: T-87-CONF, p. 67, line 25 – p. 68, line 1.

<sup>1789</sup> P-0236: T-16-CONF, p. 10, line 21 – p. 11, line 21.

<sup>1790</sup> P-0236: T-16-CONF, p. 11, lines 1-2. See also p. 11, line 22 – p. 12, line 3.

<sup>1791</sup> See para. 981 below.
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925. P-0340 testified about two children from his neighbourhood who he used to play football with and who were abducted at the same time as the witness. ¹⁷⁹² He testified that during the walk to Sudan following their abduction, on a mountain, 'their feet were swollen, they were weak, there was no food because we did not have enough food on top of that mountain'. ¹⁷⁹³ The witness stated that while walking, he saw two corpses along the road, one next to a tree, and the other a little further down the road. 1794 He explained that he did not himself identify the two bodies as it was not possible to stop and do that, but that he was told that the two bodies belonged to his friends and he also noticed their absence from the group. ¹⁷⁹⁵ In more general terms, P-0340 stated:

> At the time, if somebody became weak and was unable to walk, they would, they would say that the person should be put to rest or sent to rest. And the rest, we came to understand that rest meant that you would be killed. 1796

- 926. P-0406 testified that he saw the killing of one Ojuko in the Gulu area. 1797 According to the witness, Ojuko was killed because he was unable to walk, and it was the newly abducted who were made to kill him. 1798
- 927. P-0307 testified about an occasion in Pader, after the return from Teso, when the new abductees who could no longer keep up with the group's movement were killed by bayonet or clubbing. 1799 The witness stated that at the time, the commanders, including Dominic Ongwen, were there. 1800
- 928. This is brought in context by the testimony of P-0070, who convincingly and logically explained the link between the killings of abductees with the policy of the LRA to attack civilians in Northern Uganda who were perceived as supporting the government. 1801 Speaking specifically in the context of the LRA operation in Lango and Teso, P-0070 stated that Joseph Kony gave the instruction to abduct more soldiers, but that the adults

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<sup>1792</sup> P-0340: T-102-CONF, p. 19, line 12 – p. 20, line 10.
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¹⁷⁹³ P-0340: <u>T-102</u>, p. 18, lines 12-14.

¹⁷⁹⁴ P-0340: <u>T-102</u>, p. 18, lines 16-17.

¹⁷⁹⁵ P-0340: <u>T-102</u>, p. 18, line 21 – p. 19, line 7.

¹⁷⁹⁶ P-0340: T-102, p. 18, lines 4-8. ¹⁷⁹⁷ P-0406: T-154, p. 13, lines 2-7.

¹⁷⁹⁸ P-0406: T-154, p. 13, lines 6-21.

¹⁷⁹⁹ P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 57.

¹⁸⁰⁰ P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 58.

¹⁸⁰¹ See section IV.C.4 below.

who 'are not able to move' should be killed so as not to 'send the secret of the group'. ¹⁸⁰² The Chamber understands this to be a reference to the perception on the part of the LRA leadership that civilians in Northern Uganda were cooperating with the government, which is discussed in detail below. ¹⁸⁰³

929. P-0070 further described the order given by Joseph Kony for what the LRA should be doing in Gulu, Kitgum and Pader:

The order that was there was purely an operation to add on to our number. People were supposed to be abducted. Those who could walk were to continue with us, those who could not were supposed to be killed. 1804

930. P-0070 also testified:

If somebody is unable to walk, if - or if the person is weak, the person would be released from the ropes and killed. The rebels had a rule that you do not release people, because if you release somebody, if you release an abductee, then you are showing where you were, you are showing your direction. 1805

c. Training

Upon abduction into Sinia brigade, recruits were given training in fighting skills, including the use of firearms. Weapons were distributed to recruits. As part of the training, recruits were also taught military discipline. 1806

- 931. P-0070, asked specifically about boys under 15 years of age, testified that after their abduction, they would immediately be subjected to training on how to operate a gun and how to march. P-0231 similarly stated that training commenced immediately upon 'arrival in the bush'. 1808
- 932. P-0205 explained that the training of the recruits was generally within the domain of the commander of the unit to which they were assigned. According to the witness, only at the base in Sudan was it possible for all recruits to be gathered and trained together. 1810

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<sup>1802</sup> P-0070: T-105, p. 86, lines 5-12, p. 86, line 24 – p. 87, line 4.
<sup>1803</sup> See section IV.C.4 below.
<sup>1804</sup> P-0070: T-106, p. 23, lines 13-18.
<sup>1805</sup> P-0070: T-106, p. 56, lines 16-21.
<sup>1806</sup> Para. 130 above.
<sup>1807</sup> P-0070: T-106, p. 3, lines 17-20.
<sup>1808</sup> P-0231: T-122, p. 43, lines 6-12.
<sup>1809</sup> P-0205: T-48, p. 32, lines 22-25.
<sup>1810</sup> P-0205: T-48, p. 32, line 25 – p. 33, line 3.
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- 933. The fact that the training of recruits was not centrally organised is corroborated by several other witnesses. P-0309 stated that he was trained within the household where he was, and did not see any other person being trained. P-0252 testified that training took place 'when people are having down time', and not on the move. P-0340 described the training as 'spontaneous' and stated that 'each group would be in charge of training the people who were composed of it'. P-0406 also explained that recruits were trained within their groups: in his group, four people were being trained, but in other groups other people were being trained as well. P-0249 testified that training took place 'wherever people encamp, at any time'. A point about this was made by P-0101 who stated that some recruits were 'not actually trained', and continued: 'As soon as you are abducted they put a gun in your hand and you are sent out to fight'. 1816
- 934. As to the content of training, P-0054 stated that LRA recruits were given training on how to dismantle a gun, how to shoot, how to parade, and 'how to manage yourself when you are at the fighting or fighting front'. ¹⁸¹⁷ P-0205 stated that recruits were trained in observation post (OP) work, and in fighting, including combat skills and in what to 'pick' from 'houses'. ¹⁸¹⁸ P-0252 testified that the skills taught were how to march, how to handle a gun, and fighting tactics during battle. ¹⁸¹⁹ He stated that he was taught how to shoot a gun. ¹⁸²⁰
- 935. P-0264 testified that he was trained to be an escort by the person who abducted him, and that the training involved carrying the person's chair, walking with him, and how to use a gun. ¹⁸²¹ Later, the witness was further trained as escort by another commander. ¹⁸²² He

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<sup>1811</sup> P-0309: <u>T-61</u>, p. 34, lines 15-18.
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¹⁸¹² P-0252: <u>T-87</u>, p. 50, line 23 – p. 51, line 15.

¹⁸¹³ P-0340: T-102, p. 23, line 22, p. 24, lines 3-8.

¹⁸¹⁴ P-0406: T-154, p. 23, lines 15-20.

¹⁸¹⁵ P-0249: T-79, p. 40, line 24 – p. 41, line 4.

¹⁸¹⁶ P-0101: T-13-CONF, p. 64, line 24 – p. 65, line 1. The Chamber clarifies that it does not understand P-0101's testimony to be even on its face contradictory of the testimonies of witnesses who testified as to their military training in Sinia. In any case, P-0101's evidence is valuable, in the context of the other evidence, as the impression of a person who spent a long time in Sinia.

¹⁸¹⁷ P-0054: <u>T-93</u>, p. 23, lines 4-11. P-0054 testified that he saw this training take place in Sinia brigade after Operation Iron Fist, and that he himself trained recruits in Sinia, *see* P-0054: <u>T-93</u>, p. 23, lines 12-13; <u>T-94</u>, p. 3, lines 17-18.

¹⁸¹⁸ P-0205: <u>T-48</u>, p. 33, line 14 – p. 34, line 4.

¹⁸¹⁹ P-0252: <u>T-87</u>, p. 50, lines 12-19, p. 51, line 23 – p. 52, line 3. *See also* p. 51, lines 5-15.

¹⁸²⁰ P-0252: T-87, p. 50, lines 20-22.

¹⁸²¹ P-0264: T-64-CONF, p. 12, lines 14-21.

¹⁸²² P-0264: <u>T-64</u>, p. 28, lines 9-19.

also told the Court that he received training in the operation of the SMG – short machine gun.¹⁸²³ Further, the witness stated that when he was in Sudan, he was trained in target shooting, ¹⁸²⁴ in how to defend and to attack a position, and how to ambush.¹⁸²⁵

- 936. P-0309 testified that within Dominic Ongwen's household, the veteran LRA soldiers taught P-0309 to operate the AK-47 gun. He testified that he was also taught how PK and RPG weapons were operated. In addition to training in the use of weapons, the witness stated that he was trained 'to be disciplined and have respect'. P-0309 stated that he was trained 'how to be respectful to the commanders like Dominic, the battle-hardened LRA soldiers'. I829
- 937. P-0314 stated that a month or two after he was abducted he was trained in marching, use of guns, and in 'how to show respect'. 1830
- 938. P-0340 testified that while in Sudan he was trained in marching, assembling and disassembling the gun. ¹⁸³¹ P-0340 confirmed that the training also included how to behave during a live attack. ¹⁸³² The witness testified that he received a gun at a later time, after his group returned to Uganda. ¹⁸³³
- 939. P-0379 testified that he received training on how to dismantle and reassemble a gun and the names of the various parts. He stated that the veterans or the commander of the unit would instruct the newly abducted on discipline. 1835

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1823 P-0264: <u>T-64</u>, p. 28, line 20 – p. 29, line 8.

1824 P-0264: <u>T-64</u>, p. 29, lines 13-21.

1825 P-0264: <u>T-64</u>, p. 29, line 24 – p. 30, line 2.

1826 P-0309: <u>T-61</u>, p. 32, lines 1-8.

1827 P-0309: <u>T-61</u>, p. 32, line 9 – p. 33, line 2.

1828 P-0309: <u>T-61</u>, p. 34, line 1-5.

1829 P-0309: <u>T-61</u>, p. 34, lines 1-5.

1830 P-0314: <u>T-74</u>, p. 18, line 8 – p. 19, line 5.

1831 P-0340: <u>T-102</u>, p. 23, line 17 – p. 24, line 2, p. 52, lines 18-22.

1832 P-0340: <u>T-102</u>, p. 52, lines 23-25.

1833 P-0340: <u>T-102</u>, p. 25, lines 12-17.

1834 P-0379: <u>T-56</u>, p. 23, lines 10-20.
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¹⁸³⁵ P-0379: <u>T-57</u>, p. 67, line 22 – p. 68, line 5.

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- 940. P-0307 stated that he was trained in how to salute a superior, and in the use of a gun. 1836 He stated that he once saw Dominic Ongwen come to the training area during his training. 1837
- 941. P-0406 confirmed that he received military training in the LRA, and stated that this training took place in Sudan. He stated that he was trained in a group of 'about four'. He stated that he was trained in marching, how to dismantle and reassemble a gun, how to clean a gun. In addition, this witness testified that after the training the recruits were given three bullets each to try out.
- 942. As to the distribution of weapons to recruits, the evidence indicates that this was related to their performance. P-0054 stated that a recruit who was 'liked by [his] boss' could be given a gun after two or three months, but if a recruit was 'lazy', they could stay long without one. 1842
- 943. P-0054 testified that the brigade commander and 'CO' were the ones to decide on the distribution of weapons, based on the maturity and strength of the person. P-0205 similarly stated that a recruit was given a gun when they 'have already got used to the activities' and had the courage to stay in the bush.
- 944. P-0307 testified that he received a gun after training, around Pader in about May 2003, when the person carrying it previously was killed in an exchange of fire. ¹⁸⁴⁵ He stated that his superior officer ordered that the gun be given to him. ¹⁸⁴⁶ He also testified more generally that a gun was given to a recruit when it was established that he would not escape, and that this could take up to about nine months. ¹⁸⁴⁷

¹⁸⁴⁷ P-0307: <u>T-153</u>, p. 23, lines 13-18.

¹⁸³⁶ P-0307 Statement, UGA-OTP-0266-0425-R01, at paras 68-69, 71.
¹⁸³⁷ P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 69; P-0307: T-153, p. 20, lines 13-18.
¹⁸³⁸ P-0406: T-154, p. 17, lines 14-18.
¹⁸³⁹ P-0406: T-154, p. 21, lines 1-6.
¹⁸⁴⁰ P-0406: T-154, p. 22, lines 22-25.
¹⁸⁴¹ P-0406: T-154, p. 22, line 25 – p. 23, line 5.
¹⁸⁴² P-0054: T-93, p. 22, line 25 – p. 23, line 3.
¹⁸⁴³ P-0054: T-93, p. 23, lines 14-21.
¹⁸⁴⁴ P-0205: T-48, p. 34, lines 16-21.
¹⁸⁴⁵ P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 72; T-153, p. 24, lines 13-23.
¹⁸⁴⁶ P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 72.

945. P-0314 testified that AK-47 guns were distributed to recruits who had spent around six months in the LRA after abduction, including to himself. 1848

Recruits were not taught, as part of their training, to distinguish between civilians and combatants, or between civilian objects and military objectives. ¹⁸⁴⁹

946. Even though, as can be seen from the above analysis, many witnesses were asked about the content of their training upon integration into the LRA, they did not provide any information which would go to indicating that there was any training in the distinction between enemy combatants and civilians, or between legitimate targets and protected objects, let alone any training in international humanitarian law. In fact, the testimonies of the witnesses who spoke about training in the LRA provide a basis to find that any such training was not given.

947. The only witness to assert that the LRA paid any attention to the principles of international humanitarian law was P-0054, who testified that as an instructor in Sinia he taught his soldiers not to mistreat civilians. However, whereas the Chamber generally deems P-0054 to be a credible witness, it remains unconvinced by this particular statement. As observed, no witness other than him – who, as an LRA instructor, had an interest in presenting himself in a positive light – provided any statement to the same effect, nor did P-0054, who merely accepted a general proposition put to him, provide any particulars of how this training would have taken place.

948. In fact, the Chamber cannot but make specific reference to P-0142's response to the question whether shooting a civilian during the course of an attack would constitute an offence, which was that 'nobody would see it as a crime if a civilian is injured or if a civilian is shot at'.¹⁸⁵¹

949. In any case, the Chamber recalls the evidence laid out just above by those who underwent training upon integration into the group, the evidence leading to the finding that the LRA perceived as associated with the Government of Uganda, and thus as the enemy, the civilians living in Northern Uganda, ¹⁸⁵² and the evidence of the orders given to, and the

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¹⁸⁴⁸ P-0314: T-74, p. 20, line 18 – p. 21, line 6.

¹⁸⁴⁹ Para. 130 above.

¹⁸⁵⁰ P-0054: <u>T-94</u>, p. 3, line 19 – p. 4, line 3.

¹⁸⁵¹ P-0142: T-71, p. 25, lines 18-21.

¹⁸⁵² See section IV.C.4 below.

behaviour of LRA soldiers during operations, including during the four attacks relevant to the charges.¹⁸⁵³ In light of this, the Chamber concludes on the basis of the evidence that the LRA did not pay attention to the protection of civilians or civilian objects in the training of its soldiers.

d. Rules of obedience and disciplinary system

There were clear rules requiring obedience of LRA soldiers, and a violent disciplinary system that guaranteed adherence to them. LRA soldiers were punished, for example, for not executing orders, losing a gun, or failing to prevent abducted persons from escaping. Penalties ranged from beating to execution. Dominic Ongwen personally ordered disciplinary measures. 1854

- 950. As laid out below, several insider witnesses testified about the basic rules applicable to soldiers within the LRA. The Chamber finds instructive in this context the evidence of those insider witnesses who were asked whether, during their time in the LRA, they could refuse orders. This evidence, while general or even hypothetical, provides insight into the insiders' understanding of their own status within the LRA, and is as such of assistance to the Chamber.
- 951. A considerable number of witnesses, in particular lower ranking insiders, testified categorically that in the LRA, no one could refuse orders, ¹⁸⁵⁵ most commonly referring to the risk of being killed. ¹⁸⁵⁶ In the view of the Chamber, this evidence of how LRA soldiers perceived their situation while integrated into the organisation, is highly indicative of the nature of the organisation and demonstrative of the ability of its commanders to rely on their subordinates for the execution of the orders they issued.
- 952. P-0252 summarised the rules applicable in the LRA as follows:

The rules that was given to me, one, respect your superior, when he is passing refer to him as 'lapwony'. When they call you, you should respond while calling the name of that person and say – when you mention the name, make sure it accompanies with the word 'lapwony'. When you are sent to do something, ensure that you do it and you do it quickly. ¹⁸⁵⁷

¹⁸⁵⁵ P-0067: <u>T-126</u>, p. 37, lines 14-18; P-0142: <u>T-72</u>, p. 62, lines 7-14; P-0226: T-9-CONF, p. 36, lines 5-8; P-0252: <u>T-87</u>, p. 61, lines 4-5; P-0264: <u>T-65</u>, p. 15, lines 9-21, p. 16, lines 4-11; P-0379: <u>T-57</u>, p. 67, lines 10-18.

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¹⁸⁵³ See sections IV.C.6, IV.C.7, IV.C.8, IV.C.9 below.

¹⁸⁵⁴ Para. 131 above.

¹⁸⁵⁶ P-0142: <u>T-72</u>, p. 62, lines 7-14; P-0226: T-9-CONF, p. 36, lines 9-10; P-0252: <u>T-87</u>, p. 61, lines 6-13; P-0264: <u>T-65</u>, p. 15, lines 22-24, p. 16, lines 4-11.

¹⁸⁵⁷ P-0252: <u>T-89</u>, p. 35, lines 19-25.

- 953. P-0264 also testified that LRA members were obliged to address their commanders by titles indicating their higher seniority, in particular 'lapwony', 'ladit' or 'afande'. ¹⁸⁵⁸ On this point, the evidence indeed demonstrates that Dominic Ongwen was referred to by the title 'lapwony', ¹⁸⁵⁹ as well as by the title 'afande'. ¹⁸⁶⁰
- 954. Asked about whether he could have refused the order to participate in an attack on Acet, P-0252 stated: 'You cannot refuse. There is no way out when you are told to go.' 1861 Asked what would happen if one refused, the witness stated one would be considered as wanting to escape or being a collaborator and killed. 1862
- 955. P-0264 summarised as follows the rules of obedience applicable in battle:

The rules about battles is that when you are instructed not to capture people, then when you're a soldier you should not capture anybody. If you're instructed to go and fight and charge every item that you will find, so if any of the soldier does not charge the items, then there are rules that will also target you. Or there could be rules that says when you fight do not charge any item, but you go against and you go ahead to charge, then you will also be punished. If you get money, a big sum of money and you hide that money, you don't declare, then there are also rules that will be used because they will say you want to escape. If you get a woman during when you go to fight and you rape that woman, yes, then there are also rules that will apply against you. ¹⁸⁶³

956. More generally in relation to the rules enforced in the LRA, P-0264 stated:

The rules that are put in the LRA, which were general rules for all – for everybody, is that when instructions are given not to do a certain thing and you violate it, then you are taken as somebody who has violated. Then the appropriate punishment will be decided. ¹⁸⁶⁴

957. The record of the case contains consistent evidence of disciplinary measures being applied in the LRA in an immediate, crude and brutal manner. It was not based on clear rules and procedures, but on arbitrariness and fear.

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<sup>1858</sup> P-0264: <u>T-65</u>, p. 16, line 20 – p. 17, line 11.
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¹⁸⁵⁹ P-0097: <u>T-108</u>, p. 41, lines 4-6. *See also* P-0097: <u>T-108</u>, p. 14, lines 14-21; P-0226: T-8-CONF, p. 18, lines 16-19; P-0233: T-111-CONF, p. 69, lines 11-16; P-0249: <u>T-79</u>, p. 42, lines 19-21; P-0280: <u>T-84</u>, p. 69, line 4 – p. 70, line 23; P-0340: <u>T-102</u>, p. 17, lines 8-9.

¹⁸⁶⁰ P-0293: T-139, p. 18, lines 11-18.

¹⁸⁶¹ P-0252: T-87, p. 61, lines 4-5.

¹⁸⁶² P-0252: T-87, p. 61, lines 6-13.

¹⁸⁶³ P-0264: <u>T-65</u>, p. 15, lines 10-19.

¹⁸⁶⁴ P-0264: <u>T-65</u>, p. 16, lines 3-7.

958. P-0379 made this point very clearly, testifying about a certain Olet, who was severely punished by beating for forgetting his gun during an attack. 1865 Asked about who carried out the beating, the witness responded:

> You know, when you are in the army, if you, if you breach any rules, if somebody is supposed to be beaten we all usually rush to beat that person, because that person, whoever it is, you also feel that you have to punish that person regardless of whether or not that person is your friend. Because if next time you are the one who is in breach of the rules the same thing will happen to you. So everybody actually [beat] Olet. 1866

- 959. P-0314 testified in some detail about his experience of disciplinary measures in Sinia. He gave the example of a soldier who was beaten with sticks and later had to carry luggage 'like newly abductees' because he had lost his gun. 1867 He also gave the example of himself, testifying that on one occasion he was beaten with sticks because he had forgotten the salt that he was assigned to carry. 1868 More generally, he mentioned letting prisoners or abductees escape as a reason for beating. 1869
- 960. P-0252 further described an incident in the Atoo hills after the Abok attack when he, and another LRA soldier, were ordered to kill a cow. 1870 The witness stated:

We were helpless. We were not strong enough. I refused, that I could not kill the animal. I was hit on my chest with a big stick. I still have the scar up to now. And when I bent down, then I was kicked that I should get up. I got up but I would fall again. 1871

- 961. In the end, P-0252 participated in killing the animal. 1872
- 962. P-0406 stated that he was beaten with a stick for imitating radio communications for fun. 1873 The witness testified that he was also beaten in Teso, because two abductees who

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<sup>1865</sup> P-0379: T-57, p. 50, lines 10-22.
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¹⁸⁶⁶ P-0379: T-57-CONF, p. 51, lines 8-13.

¹⁸⁶⁷ P-0314: <u>T-74</u>, p. 31, line 6 – p. 32, line 10.

¹⁸⁶⁸ P-0314: T-74, p. 32, lines 11-19. ¹⁸⁶⁹ P-0314: T-74, p. 32, lines 19-20.

¹⁸⁷⁰ P-0252: <u>T-87</u>, p. 82, lines 7-23.

¹⁸⁷¹ P-0252: <u>T-87</u>, p. 82, line 23 – p. 83, line 1.

¹⁸⁷² P-0252: <u>T-87</u>, p. 83, lines 1-8.

¹⁸⁷³ P-0406: <u>T-154</u>, p. 40, line 17 – p. 41, line 5.

were put under his guard escaped. ¹⁸⁷⁴ P-0406 also testified that not making the commander's bed properly was another offence punished by beating. ¹⁸⁷⁵

963. P-0205 referred to a specific instance after the attack on Lukodi, when a soldier was given 100 lashes for refusing to 'go on the standby to collect food'. ¹⁸⁷⁶ The witness also mentions himself being punished by beating,

1877

- 964. Even though Dominic Ongwen was battalion and brigade commander, respectively, in Sinia during the period of the charges, and therefore relatively high in the hierarchical organisation of the brigade, witness evidence indicates that he also intervened in specific disciplinary matters, including minor ones. For the Chamber, this is an important indicator that Dominic Ongwen was fully aware of the organisational features of Sinia, and that he contributed to sustaining it.
- 965. An example of Dominic Ongwen enforcing discipline and hierarchy was reported by P-0307. He stated that soon after his abduction, in one instance he failed to salute properly. 1878 According to the witness, Dominic Ongwen then held a knife to the witness's chest and reprimanded the witness, commanded him to lie down and ordered the others to hit him on the back of his head with a club. 1879 The witness specified that he was hit lightly and was in the end left unharmed. 1880 Upon suggestion by Defence counsel that it could have been meant as a joke by Dominic Ongwen, the witness rejected this and stated 'he was threatening me'. 1881 He also stated: 'From that day I knew who Dominic Ongwen was and never forgot him'. 1882

¹⁸⁷⁴ P-0406: <u>T-154</u>, p. 41, lines 12-16.

¹⁸⁷⁵ P-0406: <u>T-154</u>, p. 41, lines 9-11.

 $^{^{1876}}$ P-0205: $\overline{\text{T-48}}$, p. 44, line 11 - p. 45, line 4.

¹⁸⁷⁷ P-0205: T-48-CONF, p. 49, lines 14-25.

¹⁸⁷⁸ P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 20.

¹⁸⁷⁹ P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 20.

¹⁸⁸⁰ P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 20.

¹⁸⁸¹ P-0307: <u>T-153</u>, p. 12, lines 20-25.

¹⁸⁸² P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 20.

- 966. P-0097 testified that he saw that on a specific occasion, Dominic Ongwen ordered the beating of a boy who had been instructed to prepare meals and 'did not cook well'. 1883
- 967. The evidence indicates that corporal punishment was predominant, but there is some evidence of other types of punishment. P-0070 testified that if somebody fled from battle they would be punished by having to carry heavy weapons, or by being sent onto the battlefield without weapons. 1884
- 968. The Chamber notes that while, as stated above, LRA soldiers referred to the threat of being killed if they did not obey orders, the actual occurrence of killings as punishment is mainly attested in relation to escapes and in the context of the LRA treatment of women and girls, and is as such addressed below. The Chamber also returns to this topic in the section on duress as a ground excluding criminal responsibility. 1886
- 969. The Chamber also notes the evidence in relation to 'arrest' and 'prison' in the LRA, which is discussed in detail below in the context of the brief arrest of Dominic Ongwen in April 2003. 1887
- 970. The evidence on the record leaves no doubt to the Chamber that the LRA was effective in the use of a disciplinary system to ensure compliance. But to accurately reflect the evidence, the findings in the present section need to be juxtaposed with findings in relation to a particular issue discussed above in respect of the higher echelons of the LRA hierarchy. Whereas LRA commanders at levels such as brigade or battalion did not have the general power to ignore or refuse orders from Joseph Kony coming directly or indirectly through in particular Vincent Otti –, there is indication in the evidence that they were at least occasionally able to do so. ¹⁸⁸⁸ In addition, as discussed above, the commanders possessed a degree of autonomy on which also the operation of the LRA as such depended. ¹⁸⁸⁹ Thus, it is clear that the constant fear of violence affected the lower levels of the LRA hierarchy more strongly. Indeed, the narrative of the LRA as an organisation where all decisions and orders emanated exclusively from Joseph Kony

¹⁸⁸³ P-0097: <u>T-108</u>, p. 42, lines 3-15.

¹⁸⁸⁴ P-0070: T-106, p. 41, lines 20-24.

¹⁸⁸⁵ See sections IV.C.2.ii.e and IV.C.11.iv below.

¹⁸⁸⁶ See section IV.D.2 below.

¹⁸⁸⁷ See section IV.C.3 below.

¹⁸⁸⁸ See para. 870 above.

¹⁸⁸⁹ See para. 872 above.

while any other person was constrained to simply execute them regardless of their will, is not demonstrated by the evidence in such absolute terms; to the contrary, as outlined above, any such narrative needs to be relativised as concerns persons at relatively high positions in the hierarchy, such as brigade and battalion commanders, who, instead, maintained agency within the organisation.

e. Preventing escape

Sinia members, and LRA members generally, were threatened with death if they attempted escape. On certain occasions, execution of re-captured escapees in fact took place. Dominic Ongwen personally issued threats to LRA members that they would be killed if they attempted to escape, and ordered killings of abductees in front of LRA members to illustrate this threat. 1890

- 971. Considering that, as explained above, ¹⁸⁹¹ the LRA obtained new members through abduction, it is not surprising that in any examination of the LRA as an organisation the topic of escape must feature prominently. In the present case, due to duress having been raised by the Defence as a ground excluding criminal responsibility, the possibility of escaping in particular of the accused from the LRA has become one of the central disputed issues of fact. It is discussed within the context of duress below. ¹⁸⁹² But the possibility of escape, and the measures taken to prevent escape by the leaders of Sinia, and the LRA in general, are also relevant for a determination of the nature of the organisation. In the view of the Chamber, the repression of escape from Sinia and the LRA was one of the crucial factors in how the organisation sought to and succeeded in having at its disposal a sufficient number of individuals to execute the orders and instructions given by the leaders. As shown below, Dominic Ongwen personally participated in sustaining the LRA system of repression of escape.
- 972. The issue before the Chamber is not whether people escaped from the LRA as it is clear that many did. The subject of interest is rather the stance that the LRA took towards members escaping, and the effect it had on the membership.
- 973. Several witnesses testified generally about this topic. The Chamber begins its analysis by reference to those witnesses who, on account of their position within the LRA and/or on

¹⁸⁹¹ See section IV.C.2.ii.a above.

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¹⁸⁹⁰ Para. 132 above.

¹⁸⁹² See section IV.D.2.iv below.

account of their long stay inside the organisation, were well positioned to make general observations.

- 974. P-0054 testified that LRA members were told that if they escaped and were captured again, they would be killed. He further stated that he indeed witnessed someone being killed. P-0070 similarly stated that if an abducted person attempted to escape, they would be beaten to death with a log. 1895
- 975. P-0205 stated that when abductees were brought in, they were told that they were to stay, that they were not to try to escape, and that they would be killed if they escaped and were caught. 1896 When asked whether any other form of punishment was applied to those who tried to escape, the witness responded:

With the LRA if you escape and they follow you, if they apprehend you, if you are lucky, you are beaten. If you are unlucky, you are killed. 1897

- 976. P-0205 also explained that sometimes, recaptured escapees were killed on the spot, but on other occasions they were brought back into the group where they were killed in the presence of others. P-0226 similarly made the point that '[i]f the person who tried to escape was a boy, then they would call the other boys, his peers to come and kill him', and that if it was a girl who tried to escape, '[t]hen it would be the girls who would be called to beat the person to death'. This evidence of execution for attempted escape regularly taking place in front of other members is important, as it indicates that such executions had a deterrent purpose.
- 977. Several other witnesses provided evidence of having been present when threats were made towards members of Sinia during gatherings to prevent escape attempts. Notably, P-0309 and P-0379 provided testimony indicating that at least on two occasions, on Dominic Ongwen's specific order, abductees were killed in front of LRA members for

¹⁸⁹³ P-0054: <u>T-94</u>, p. 45, line 21 – p. 46, line 10.

¹⁸⁹⁴ P-0054: <u>T-94</u>, p. 46, lines 11-14.

¹⁸⁹⁵ P-0070: T-106, p. 63, lines 10-12.

¹⁸⁹⁶ P-0205: T-48, p. 31, lines 11-22.

¹⁸⁹⁷ P-0205: <u>T-49</u>, p. 6, lines 10-13.

¹⁸⁹⁸ P-0205: <u>T-49</u>, p. 7, lines 3-16.

¹⁸⁹⁹ P-0226: T-8-CONF, p. 64, lines 12-16. See also P-0226: T-9-CONF, p. 3, lines 3-4.

no reason other than to impress on those present that they would also be killed if they attempted to escape.

- 978. P-0309 stated that one day, Dominic Ongwen gathered people together, sat in front of them and spoke about the escapes from the LRA. 1900 The witness testified that Dominic Ongwen ordered some more senior LRA soldiers to select four newly abducted people and kill them. 1901 Four persons were indeed selected, tied using the shirts that they were wearing, pushed so that they fell down and then stabbed with knives until they died; in the presence of others, including the witness. 1902 Those present were warned that if they attempted to escape, that was what would happen to them. 1903 The Chamber clarifies that, in light of its general assessment of P-0309's credibility, and on the basis that this particular part of his testimony is detailed and coherent, it fully accepts the testimony of the witness in this regard, including on the personal involvement of Dominic Ongwen.
- 979. A similar description of an incident personally involving Dominic Ongwen is given by P-0379, who stated that at one point near Pajule, Dominic Ongwen addressed the soldiers at a parade and stated that he did not know why people were escaping, and why people did not want to stay in the bush 'when the whole world is crying out against us'. 1904 According to the testimony of P-0379, Dominic Ongwen asked those present: 'If you escape where are you going to stay?' 1905 Dominic Ongwen continued stating that if anybody tried to escape, he was going to teach them a lesson and let them know that it is bad to escape. 1906 Dominic Ongwen then instructed two boys who had been tied up to be brought in, and ordered those present, and persons under 15 years of age, to kill them as a lesson that it is bad to escape. 1907 They, started beating the two boys, and killed them. 1908

¹⁹⁰⁰ P-0309: T-60, p. 40, lines 7-9.
¹⁹⁰¹ P-0309: T-60, p. 39, lines 19-24, p. 40, lines 21-23.
¹⁹⁰² P-0309: T-60, p. 40, lines 10-12, p. 40, line 24 – p. 41, line 3.
¹⁹⁰³ P-0309: T-60, p. 40, lines 10-12.
¹⁹⁰⁴ P-0379: T-57-CONF, p. 75, lines 13-24. *See also* T-57-CONF, p. 78, lines 4-7.
¹⁹⁰⁵ P-0379: T-57-CONF, p. 75, line 24.
¹⁹⁰⁶ P-0379: T-57-CONF, p. 76, lines 4-6.
¹⁹⁰⁷ P-0379: T-57-CONF, p. 76, lines 6-8, p. 77, line 22 – p. 78, line 3.
¹⁹⁰⁸ P-0379: T-57-CONF, p. 75, lines 9-11, p. 76, line 9.

980. P-0379 also described a parade shortly after his abduction, after which the abductees were gathered together and a boy who had been bound was brought. The witness stated that he and the other abductees were told the following:

[I]n the LRA what we really don't want is the issue of escaping, or having to think about home. Whenever you are here forget about home. Whoever tried to escape will be killed. And we are going to show you as an example, we abducted him earlier and he thought he was wise, he refused to stay with us, he escaped thinking we would not find him again. We shall not forgive him. We shall kill him. You will be the ones to kill him. 1910

- 981. Still according to the witness, thereafter some more senior LRA soldiers went away from the scene with the boy and killed him using a bayonet. 1911 Afterwards the abductees, including the witness, were told to go see the body, touch it, and beat it, which they did. 1912
- 982. Also in this regard, it is noted that P-0231 testified that he was told in the LRA that if he tried to escape and was caught, he would be killed. 1913 P-0330 stated the same. 1914
- 983. P-0309 testified that on another occasion, one boy called Cidoro attempted to escape but was apprehended, brought back and flogged. P-0309 testified that this 'really scared' him. Indeed, when asked why he did not try to escape during his time in the LRA, the witness stated that this was 'because of the things that I witnessed, killing people, the extreme punishment of anybody who tried to escape, and the killing of people who tried to escape'. Noting that the witness did in the end escape after about a year and a half, the Chamber finds his testimony compelling, emblematic of the fate of LRA members in general, and indicative of the effectiveness of the organisation.
- 984. P-0406 testified that he was present when Joseph Kony addressed the LRA soldiers in Sudan from the top of a rock, telling them not to escape and warning that if they did

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1909 P-0379: T-56, p. 21, lines 22-24.
1910 P-0379: T-56, p. 21, line 24 – p. 22, line 5.
1911 P-0379: T-56, p. 22, lines 10-11.
1912 P-0379: T-56, p. 22, lines 11-16.
1913 P-0231: T-123, p. 20, lines 18-20.
1914 P-0330: T-52, p. 72, lines 9-14.
1915 P-0309: T-61, p. 41, lines 11-18.
1916 P-0309: T-61, p. 41, line 18.
1917 P-0309: T-61, p. 41, lines 6-10.
1918 P-0309: T-61-CONF, p. 42, lines 1-23.
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escape, they would be caught and killed.¹⁹¹⁹ Asked what impression these words made on him, the witness stated: 'At that time I believed it because he warned us that if you don't believe, he would know.'¹⁹²⁰

- 985. In fact, witnesses have stated that they were afraid during their time in the LRA of engaging in actions, even if innocuous, which could make it appear that they were thinking of escaping and thus put them at risk of violence. ¹⁹²¹ Witnesses were also hesitant about sharing their escape plans with others. ¹⁹²² This fear, entirely explicable in light of the evidence, also finds validation in radio intercept evidence. On 11 November 2003, the ISO logbook records a message sent by Joseph Kony instructing that if any plans of escaping are discovered, the person must immediately be killed. ¹⁹²³
- 986. Further on this topic, P-0264 provided a personal story of how the threats and fear affected his thinking about escape. He testified that after the first battle in his life, the fighters were convened in the evening, and went on to say the following:

[W]e were given instructions to boost our morale, we were told not to be afraid of those kind of things. The people who had sustained injuries were thinking about escaping and that's why they got shot. So each and every individual should stop thinking about going home. If you're still thinking about escaping, thinking about going home, then, yes, you will be shot and, yes, you will be shot and killed. So every individual should stop thinking about going home. And, well, I was – personally I was extremely afraid of that happening. After that, I kept on thinking [...] about the instructions that we'd been given and I decided not to think about home and I decided to follow what my commanders [...] were instructing me. And that's how I remained in the LRA. 1924

987. P-0264 also testified that shortly after his abduction, one of his friends escaped, and was chased by LRA soldiers. These soldiers later returned and said that they had found and killed the escapee. 1926 It was only when the witness returned back home that he

¹⁹¹⁹ P-0406: <u>T-155</u>, p. 17, lines 3-24.

¹⁹²⁰ P-0406: T-155, p. 18, lines 3-7.

¹⁹²¹ See, for example, P-0097: $\underline{\text{T-}108}$, p. 71, lines 12-18; P-0138: $\underline{\text{T-}121}$, p. 47, lines 13-16; P-0374: $\underline{\text{T-}150}$, p. 45, lines 15-21; P-0406: $\underline{\text{T-}156}$, p. 12, lines 7-14; D-0006: T-194-CONF, p. 19, line 23 – p. 20, line 4; D-0074: $\underline{\text{T-}187}$, p. 49, line 20 – p. 50, line 3.

¹⁹²² See, for example, P-0016: <u>T-34</u>, p. 37, lines 20-25; P-0097: <u>T-108</u>, p. 51, line 24 – p. 52, line 2; D-0024: <u>T-192</u>, p. 45, lines 15-23; D-0068: <u>T-222</u>, p. 46, lines 2-8; D-0092: <u>T-208</u>, p. 54, lines 4-16; D-0119: <u>T-196</u>, p. 44, lines 15-21; D-0134: T-241-CONF, p. 21, lines 6-9.

¹⁹²³ ISO Logbook (Gulu), UGA-OTP-0066-0002-R01, at 0038.

¹⁹²⁴ P-0264: <u>T-64</u>, p. 36, lines 6-16. It is noted that in the end, P-0264 succeeded in escaping when the LRA was in the Central African Republic, *see* P-0264: <u>T-65</u>, p. 35, line 23 – p. 40, line 10.

¹⁹²⁵ P-0264: <u>T-64</u>, p. 18, lines 14-17. ¹⁹²⁶ P-0264: <u>T-64</u>, p. 18, line 17 – p. 19, line 7.

learned that in fact the friend who had escaped was still alive. ¹⁹²⁷ In the assessment of the Chamber, this event – indicating that ensuring that individuals in the organisation believed that punishments for persons who disobeyed the rules were carried out was more important than actually inflicting those punishments – also helps to understand that the repression of escapes in the LRA was employed primarily as a tool to maintain control within the organisation over the individuals at large, going beyond the mere function of punishment of persons who disobeyed the rules.

- 988. There is further evidence of specific incidences of persons being killed for attempting to escape from Sinia. P-0314 testified about one Opoka who escaped with 'some young girls who belonged to the commander', and was caught. He stated that Opoka was killed, while the girls were 'just beaten because there was somebody else who was trying to escape with them'. 1929
- 989. P-0351 stated specifically that while she was staying in Dominic Ongwen's group, a man who had attempted escape was re-apprehended and brought back. 1930 A group of men and boys beat the man with a log and killed him. 1931 P-0307 also referred to a specific occasion when an escapee was re-apprehended and beaten to death. 1932
- 990. Finally, P-0264 reported that he attempted to escape at some point but was reapprehended. He provided a detailed account of how he was beaten with a machete and stepped on and told to '[1]ook at the sun for the last time', but then his punishment was interrupted by the arrival of a helicopter which started dropping bombs and shooting at the LRA. He explained that afterwards, the people who had beat him decided to let

¹⁹²⁷ P-0264: T-64, p. 19, lines 8-11.

¹⁹²⁸ P-0314: T-74, p. 34, line 10 – p. 35, line 12. The witness remembered that Opoka and the girls were staying at 'the superior commander's household', but initially could not remember who that person was. After the regular hearing break, he stated that that commander was Buk. When read a prior statement to the effect that Opoka was one of the soldiers who stayed with Dominic Ongwen, he stated that he was not sure which was right. P-0314: T-74, p. 36, lines 4-24. In light of this, without this having any further effect on the reliability of the witness, the Chamber cannot make any finding as to who was the senior commander in question.

¹⁹²⁹ P-0314: <u>T-74</u>, p. 35, lines 2-5.

¹⁹³⁰ P-0351 Statement, UGA-OTP-0263-0002-R01, at para. 66.

¹⁹³¹ P-0351 Statement, UGA-OTP-0263-0002-R01, at para. 66.

¹⁹³² P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 56.

¹⁹³³ P-0264: <u>T-64</u>, p. 20, lines 15-19.

¹⁹³⁴ P-0264: <u>T-64</u>, p. 20, line 18 – p. 21, line 24.

him live. 1935 P-0264 specified that this incident happened when he was in Terwanga battalion, and gave the names of the persons involved in his beating. 1936

Members were also threatened that their home areas would be attacked by the LRA if they escaped. 1937

- 991. There is consistent witness evidence that LRA members were threatened with collective punishment of their home areas if they attempted to escape. P-0205 testified that all abductees in the LRA would be told that their home areas would be attacked if they tried to escape. He also stated that he was himself in fear that if he escaped, his village would be punished. P-0231 provided similar testimony, stating that he was told that if someone escaped and survived they would go to their home area and kill people there, and that they would leave a letter stating that people were killed because the person escaped. P-0406 also confirmed that he heard this threat in the LRA.
- 992. P-0070, speaking in general terms, stated that if somebody escaped and the LRA knew their home area, when they passed through the area there would be repercussions in the form of attacking and killing people. The witness testified that on abduction, the abductee's name as well as the names of their parents and their home area would be written down, and they would be told that in case they escaped this information would be used. 1943
- 993. Turning to whether this form of punishment in fact occurred, the Chamber first notes that there is evidence that this was the case before the relevant period for the charges, in particular in the 1990s.

 two such operations, in Padibe in 1995 and in Mucwini in 1997.

 1944 Daniel Opiyo also testified about an LRA attack directed at the home area of an escaped child, around the end of 1996, in Cetkana.

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1935 P-0264: T-64, p. 22, lines 2-5.

1936 P-0264: T-64, p. 22, line 16 – p. 23, line 16.

1937 Para. 132 above.

1938 P-0205: T-49, p. 10, line 24 – p. 11, line 2.

1939 P-0205: T-49, p. 11, lines 3-8.

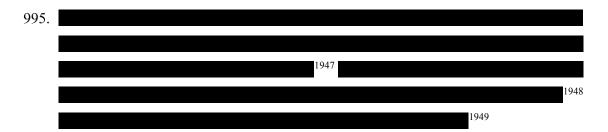
1940 P-0231: T-123, p. 20, lines 21-25.

1941 P-0406: T-156, p. 10, lines 10-13.

1942 P-0070: T-106, p. 62, lines 17-22.

1943 P-0070: T-107, p. 10, lines 10-16.
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994. The Defence has emphasised that at least one such attack occurred also during the period of the charges, in July 2002 at Mucwini. 1946



996. In addition, an entry in the ISO logbook for the date of 27 July 2002 records Joseph Kony explaining to Vincent Otti that the killings of civilians which had recently been undertaken by the LRA in Mucwini came as a result of one person grabbing the gun from an LRA soldier. According to the ISO logbook, Joseph Kony also stated that up to 54 people were killed in the operation. P51

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- 997. In conclusion on this point, the Chamber accepts as established that the attack on civilians in Mucwini took place and that it was executed in response to escape by an abductee, immediately preceding it.
- 998. The Chamber also accepts that this event was significant and became notorious in the LRA, as demonstrated by the fact that it was reported by witnesses who heard about it. ¹⁹⁵⁴ In the view of the Chamber, the collective awareness of the attack in Mucwini added to the believability of the threat issued to LRA members that if they escaped, their home areas would be attacked. This was explicitly acknowledged by P-0233. ¹⁹⁵⁵ This is independent from the fact that, actually, as it appears on the evidence, the circumstances of the attack on Mucwini, i.e. in particular the fact that the person who escaped did so

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1946 Defence Closing Brief, para. 690.

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1950 ISO Logbook (Gulu), UGA-OTP-0063-0194, at 0327.

1951 ISO Logbook (Gulu), UGA-OTP-0063-0194, at 0327.

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1954 See P-0233: T-112, p. 24, lines 13-23; P-0352: T-67, p. 90, lines 4-10.

1955 P-0233: T-112, p. 24, line 24 – p. 25, line 2.
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after stealing a weapon and opening fire on the LRA, are distinguishable. It is notable that the fact that a gun was stolen and that were emphasised in Joseph Kony's radio message.

A further measure taken to discourage escaping was giving soldiers false or negative information about life outside of the LRA, including that they would be killed by government soldiers if they escaped and went home, and preventing them from obtaining information through public radio broadcasts. ¹⁹⁵⁶

- 999. There is witness testimony in relation to LRA members being given false or negative information about life outside of the LRA. Several witnesses testified that LRA members were told that government soldiers would kill them if they escaped from the LRA and went home. P-0070 confirmed that LRA members were told that the UPDF would kill them. P-0231 stated that it was frequently explained to people that if they escaped and returned home, the government soldiers would capture and imprison them, and not allow visits from their family members.
- 1000. In addition, very specifically, P-0264 testified that he was told by an LRA soldier about two weeks after his abduction that his parents had both been killed and that there was 'no reason for you to go home'. ¹⁹⁶⁰ This was, however, not true. ¹⁹⁶¹
- 1001. As concerns the prohibition of listening to public radio broadcasts, the Chamber first notes that the radio broadcasts in question were primarily those of Mega FM, a Gulubased radio station which frequently reported on LRA activities and also broadcast a radio show known as Dwog Cen Paco, which featured persons who had successfully escaped from the LRA and published direct appeals to LRA members to defect, including by publicising the promise of amnesty. ¹⁹⁶²

¹⁹⁵⁶ Para. 132 above.

 $^{^{1957}}$ P-0054: $\underline{\text{T-94}}$, p. 45, line 21 – p. 46, line 7; P-0138: T-121-CONF, p. 20, lines 2-11; P-0172: $\underline{\text{T-113}}$, p. 45, line 21 – p. 46, line 7; P-0314: $\underline{\text{T-74}}$, p. 62, line 25 – p. 63, line 4; P-0372: $\underline{\text{T-149}}$, p. 22, line 19 – p. 23, line 1.

¹⁹⁵⁸ P-0070: <u>T-107</u>, p. 35, line 24 – p. 36, line 5.

¹⁹⁵⁹ P-0231: T-123, p. 24, lines 7-13.

¹⁹⁶⁰ P-0264: T-64, p. 19, line 12 – p. 20, line 2.

¹⁹⁶¹ P-0264: T-64, p. 20, lines 3-5.

¹⁹⁶² See P-0145: <u>T-143</u>, p. 35, line 21 – p. 36, line 25; P-0307: <u>T-152</u>, p. 69, lines 2-13; P-0440: <u>T-40</u>, p. 15, lines 2-14; <u>T-41</u>, p. 5, lines 2-7.

1002.P-0054 stated that it was generally not allowed in the LRA to listen to the radio, and that this prohibition came from Joseph Kony. 1963 P-0440 testified identically on this issue. 1964 The ISO logbook contains a reference to Joseph Kony instructing LRA members not to listen to Radio Uganda and other FM radio stations, with the explanation that they only 'talk lies'. 1965 P-0145 stated that there were times when LRA soldiers were prohibited from listening to Mega FM except for senior commanders, but, at times, junior commanders or officers were allowed to listen too. 1966 This means that in spite of the prohibition, some information broadcasted did reach the members of the LRA. P-0145 confirmed that the main programme of interest to those in the bush was Dwog Paco: 'what most people wanted to find out was whether it was true; if you went home, would you be killed, would you survive?' 1967 P-0307 also testified that he was able to listen to Mega FM while in the LRA. 1968

1003.P-0054 also stated that '[t]he LRA would say if you returned home the government soldiers would take you to the radio, but while holding you [at] a gunpoint, they would require you to speak only what they would want you to communicate out'. ¹⁹⁶⁹ Similarly, P-0406 testified that he was told that the voices of escapees on Mega FM were just recordings, and that the people who spoke were recorded and killed thereafter. ¹⁹⁷⁰ P-0145 stated that in the LRA, people were told that as soon as they came out of the bush they would be killed, and that voices would be recorded and put on Mega FM as a hoax. ¹⁹⁷¹

1004. This evidence indicates convincingly that the LRA sought to manage the information available to its members, in order to prevent them from developing a realistic view on the possibility and consequences of escaping. As such, this is a means squarely fitting within the measures employed in the LRA to sustain a sufficient number of individuals on whom to rely for the execution of orders. This measure affected mostly the lower ranking soldiers, who were largely prohibited from listening to public radio broadcasts.

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    <sup>1963</sup> P-0054: T-94, p. 40, lines 13-21.
    <sup>1964</sup> P-0440: T-41, p. 5, lines 8-10.
    <sup>1965</sup> ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0499. See also UPDF Logbook (Soroti), UGA-OTP-0254-
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¹⁹⁰¹ ISO Logbook (Gulu), UGA-O1P-0232-0234, at 0499. See also UPDF Logbook (Soroti), UGA-O1P-0254-1991, at 2069.

¹⁹⁶⁶ P-0145: <u>T-143</u>, p. 37, lines 18-22.

¹⁹⁶⁷ P-0145: T-143, p. 38, lines 16-20.

¹⁹⁶⁸ P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 79; P-0307: T-152, p. 69, lines 2-18.

¹⁹⁶⁹ P-0054: <u>T-94</u>, p. 46, lines 15-19.

¹⁹⁷⁰ P-0406: T-156, p. 11, lines 12-20. See also P-0231: T-123, p. 24, line 22 – p. 25, line 4.

¹⁹⁷¹ P-0145: <u>T-143</u>, p. 36, lines 14-22.

On the other hand, the evidence demonstrates that commanders generally had the ability to and did listen to public radio.

f. Living conditions

Sinia, and more generally LRA members, especially those of lower rank, suffered from hunger and lack of adequate clothing. They regularly slept outside on the ground. There was no or very limited treatment available in case of sickness or injury. ¹⁹⁷²

1005. In the view of the Chamber, hunger, as well as lack of adequate clothing and medical treatment, which were reported by witnesses as regular problems faced in the LRA, compounded the suffering of the LRA members. Some witnesses described specifically the emotional strain this placed on them during their time in the LRA. It is only natural to conclude that this situation made LRA members more susceptible to the authority of the leaders, and less able to resist their control.

1006. P-0252 testified that LRA soldiers survived on 'greens and leaves and whatever you find edible from the bush', and that there was a difference between what the commanders ate and what the foot soldiers ate. ¹⁹⁷³ He gave the specific example of the practice of drying beef so that the commander could 'keep[] eating that dried meat'. ¹⁹⁷⁴ P-0340 similarly testified that in periods of shortage, food was reserved only for commanders, and the rest of the LRA members had to continue moving until food was looted, when they could eat again. ¹⁹⁷⁵ P-0379 testified that if there was enough food, and if they were not being pursued, there could have been two meals a day in his unit. ¹⁹⁷⁶ However, during a pursuit or when there was a helicopter gunship hovering above, there would be no meal during the day, but only in the evening. ¹⁹⁷⁷ The witness also testified that the quantity of the available food depended entirely on the looting from civilians, and that in times of shortage items like chicken or beans were given to the commanders. ¹⁹⁷⁸ P-0406 stated that when he was abducted in 2002 there was enough food, but 'between 2003 and 2004, life became extremely hard, and we did not have sufficient food. If you wanted food, you

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<sup>1972</sup> Para. 133 above.
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¹⁹⁷³ P-0252: <u>T-88</u>, p. 30, lines 11-16.

¹⁹⁷⁴ P-0252: T-88, p. 30, lines 17-20.

¹⁹⁷⁵ P-0340: <u>T-102</u>, p. 50, lines 19-25.

¹⁹⁷⁶ P-0379: <u>T-58</u>, p. 9, lines 15-21.

¹⁹⁷⁷ P-0379: T-58, p. 9, lines 22-23.

¹⁹⁷⁸ P-0379: <u>T-58</u>, p. 9, line 24 – p. 10, line 8.

had to go to the camps and fight. 1979 P-0314 also testified that there was a food shortage in the LRA. 1980

1007.P-0070 testified that sometimes things were fine for the newly abducted, and sometimes not, depending on the situation. He stated that in particular following an abduction of a large number of persons, they could not have anywhere to sleep, blankets or anything to cover themselves, or enough food. Asked specifically about footwear, the witness explained that new abductees who arrived with shoes kept them, but otherwise were not given any. Similarly, P-0406 stated that in 2003-2004, LRA members were wearing tattered clothing, and did not have bedsheets to cover themselves.

1008. P-0264 testified that there was nowhere else to sleep except in the bush. ¹⁹⁸⁵ After arriving at a position each day, ordinary soldiers had to set up their own beds, using a net or tent if they had one, and otherwise using grass in the rainy season. ¹⁹⁸⁶ P-0314 testified that when he was abducted there was no tent that he could use, so he slept in the open, but that during the rainy season a tent was put up and eight persons slept inside. ¹⁹⁸⁷ The witnesses consistently testified that relatively more comfort was available to commanders. P-0340 stated that tents were only meant for the commanders, and the rest of the people would sleep around a bonfire without the protection of a tent. ¹⁹⁸⁸ P-0286 also testified that only commanders had tents, ¹⁹⁸⁹ whereas P-0379 stated that some foot soldiers also had tents. ¹⁹⁹⁰ P-0379 testified that in many cases commanders had thin, foldable mattresses, but that the ordinary soldiers and the newly abducted people did not have beds. ¹⁹⁹¹ P-0410 also mentioned that his commander's bed was made using a foldable mattress. ¹⁹⁹² Some soldiers had polythene bags that they used for sleeping as a

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1979 P-0406: T-154, p. 84, lines 16-20.
1980 P-0314: T-75, p. 52, lines 5-7.
1981 P-0070: T-106, p. 57, lines 2-6.
1982 P-0070: T-106, p. 57, lines 6-9.
1983 P-0070: T-106, p. 58, lines 9-14.
1984 P-0406: T-154, p. 84, lines 21-25.
1985 P-0264: T-65, p. 58, lines 8-10.
1986 P-0264: T-65, p. 58, lines 13-18.
1987 P-0314: T-75, p. 50, line 23 – p. 51, line 7.
1988 P-0340: T-102, p. 52, lines 2-7.
1989 P-0286: T-131, p. 58, lines 13-14.
1990 P-0379: T-58, p. 9, lines 1-7.
1991 P-0379: T-58, p. 9, lines 8-13.
1992 P-0410: T-151, p. 24, lines 10-20.
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bed.¹⁹⁹³ P-0406 testified that while commanders had beds, 'we just slept anywhere we could rest our heads'.¹⁹⁹⁴ In this context, the Chamber also refers to its findings below, to the effect that commanders, including Dominic Ongwen, forced abducted women and girls to perform domestic work in their households.¹⁹⁹⁵

- 1009.P-0070 stated that in the LRA, people also died of sickness, and mentioned in particular that during the time the LRA was in Sudan, a cholera epidemic killed a lot of people. 1996 P-0205 also stated that in the LRA, obtaining medicines was a problem. 1997 P-0372 stated that no medicine was available in the LRA, and that apart from dressing the wounds, no other treatment could be given to the injured. 1998
- 1010. In addition, the Chamber also heard evidence describing generally the emotional condition of LRA members. P-0070 stated that newly abducted people were very fearful, because they were not used to gunshots or being pursued by gunships or armoured vehicles. P-0309 testified that he had never seen killings before his abduction, and that the first time he saw it he got 'so scared' and was shaking. 2000
- 1011. The Chamber also finds very compelling the testimony of P-0340, who, asked about the relationships with other abductees, responded as follows:

In the bush there was nowhere you could form friendship. Because if you stay close to each other they would think you are either planning to escape and it was therefore difficult for you to create friendship with others.²⁰⁰¹

1012. This, even if just a personal perception on the part of the witness, illustrates the constant state of fear and apprehension created by the conditions in which LRA members lived.

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1993 P-0379: T-58, p. 9, lines 13-14.
1994 P-0406: T-154, p. 85, lines 1-3.
1995 See sections IV.C.10.ii.c, IV.C.11.vi below.
1996 P-0070: T-106, p. 63, lines 13-15. See, on the cholera epidemic before the period of the charges, also P-0231: T-123, p. 5, lines 9-10; P-0226: T-8-CONF, p. 43, lines 16-19.
1997 P-0205: T-49, p. 66, lines 19-22.
1998 P-0372: T-148, p. 65, line 25 - p. 66, line 4.
1999 P-0070: T-106, p. 61, line 22 - p. 62, line 2.
2000 P-0309: T-61, p. 50, line 22 - p. 51, line 2.
2001 P-0340: T-102, p. 52, lines 8-12. See also P-0330: T-53, p. 47, lines 10-15.
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3. Dominic Ongwen's position within the LRA

At the beginning of the period relevant for the charges, on 1 July 2002, Dominic Ongwen was battalion commander, in charge of the Oka battalion of Sinia brigade. Dominic Ongwen was promoted to the rank of major on 1 July 2002. ²⁰⁰²

- 1013. In the present section, the Chamber lays out its analysis of evidence in relation to the position occupied by Dominic Ongwen in the LRA, and in particular within Sinia, during the period relevant for the charges, i.e. between 1 July 2002 and 31 December 2005. It is important to note that the evidence in relation to how Dominic Ongwen exercised his authority, discussed at various other points in this judgment, is also relevant and supports the conclusions reached in this section.
- 1014. Dominic Ongwen was born in or around 1978 and abducted into the LRA in 1987. At the commencement of the period relevant for the charges, he held the position of commander of Oka battalion in Sinia brigade. This is established by the testimonies of P-0205 and P-0231, who testified that Dominic Ongwen was the commander of Oka battalion at the time the LRA returned from Sudan following Operation Iron Fist. 2004
- 1015.ISO logbooks of radio communications provide further corroboration. They record, on 20 September 2002²⁰⁰⁵ and 2 December 2002,²⁰⁰⁶ transmissions of overviews of the structure of the LRA, including references to Dominic Ongwen as battalion commander in Sinia brigade.
- 1016. As to Dominic Ongwen's rank, the ISO logbook indicates that he was promoted from the rank of captain to the rank of major on 1 July 2002. This is confirmed by P-0231, who testified that at the time of his injury, which as discussed in the following section occurred in October or November 2002, Dominic Ongwen held the rank of major. ²⁰⁰⁸

²⁰⁰² Para. 134 above.

²⁰⁰³ See section I.C above.

²⁰⁰⁴ P-0205: <u>T-47</u>, p. 17, line 25 – p. 18, line 7; P-0231: T-122-CONF, p. 27, line 24 – p. 28, line 5. *See also* P-0070: <u>T-105</u>, p. 67, line 24 – p. 68, line 3 (testifying that Dominic Ongwen was battalion commander in Sinia '[f]rom the time we left Sudan during the Iron Fist' up to 2003). As explained above, the LRA move from Sudan following Operation Iron Fist occurred a short time before 1 July 2002. *See* section I.A above.

²⁰⁰⁵ ISO Logbook (Gulu), UGA-OTP-0064-0093, at 0166-67.

²⁰⁰⁶ ISO Logbook (Gulu), UGA-OTP-0065-0002, at 0079. The Chamber notes its discussion of the reliability of the 2002 ISO logbooks at para. 666 above.

²⁰⁰⁷ ISO Logbook (Gulu), UGA-OTP-0063-0194, at 0246, 0248. The Chamber notes its discussion of the reliability of the 2002 ISO logbooks at para. 666 above.

²⁰⁰⁸ P-0231: <u>T-122</u>, p. 53, lines 12-15.

In October or November 2002 Dominic Ongwen was injured and placed in sickbay until around mid-2003. From at least December 2002 onwards, he again exercised his authority as battalion commander. In April 2003, Dominic Ongwen was briefly arrested by Vincent Otti. The arrest did not interrupt the exercise of his authority for any significant period.²⁰⁰⁹

- 1017. There are two particular events in Dominic Ongwen's personal chronology in the LRA of which the particular relevance for the charges has been extensively discussed during the trial and is disputed by the parties: Dominic Ongwen's injury in late 2002, and his arrest by Vincent Otti in 2003.
- 1018. As to the injury, the parties agree, ²⁰¹⁰ and the evidence consistently demonstrates that Dominic Ongwen was injured in late 2002. The disagreement between the parties relates to the effect this injury had on his performance of tasks as an active senior LRA commander. While the Prosecutor submits that even if injured, Dominic Ongwen retained control over Sinia fighters and was operational again by at least 6 December 2002, ²⁰¹¹ the Defence submits that Dominic Ongwen 'spent the next one to one and a half years in sickbay', did not retain command of his unit at the time, and, in the final instance, could not have participated in the LRA attack on Pajule in October 2003. ²⁰¹²
- 1019. As concerns the arrest, the Defence case is that at the time of the Pajule attack, while in sickbay, Dominic Ongwen was under arrest by Vincent Otti. ²⁰¹³ The Prosecution, relying primarily on the radio intercepts, concedes that Dominic Ongwen was indeed arrested 'briefly' in 2003, but argues that this occurred around 20 April 2003 and that Dominic Ongwen was 'back in action two days after his arrest'. ²⁰¹⁴
- 1020. In the following paragraphs, the Chamber considers first the evidence relating to Dominic Ongwen's injury in late 2002 and, second, the evidence in relation to his arrest by Vincent Otti in April 2003. Third, the Chamber addresses the question of the impact of either of these events on Dominic Ongwen's activities in the LRA in mid-2003.

²⁰⁰⁹ Para. 135 above.

Prosecution Closing Brief, para. 33 (stating that Dominic Ongwen was injured 'on or around 9 November 2002'); Defence Closing Brief, para. 313 (stating that the injury took place in 'late 2002').

²⁰¹¹ Prosecution Closing Brief, paras 34-45.

^{2012 &}lt;u>Defence Closing Brief</u>, paras 313-314.

²⁰¹³ Defence Closing Brief, paras 308, 315, 322, 685.

²⁰¹⁴ Prosecution Closing Brief, paras 46-49.

- 1021. The circumstances of Dominic Ongwen's injury are described consistently by witnesses P-0231, P-0205 and P-0379, who have detailed knowledge of the matter, based on their personal observation as LRA fighters in close proximity to Dominic Ongwen.
- 1022.P-0231 stated that Dominic Ongwen was injured during a mission 'towards the border between Acholi and Karamoja', specifically 'between Adilang and Patongo, towards the Lango side'. He testified that the unit did not actually arrive at the place of the mission but turned back before, and engaged in fighting with some UPDF soldiers during their return, at which point Dominic Ongwen was shot in the leg. ²⁰¹⁶
- 1023.P-0205 testified that Dominic Ongwen was injured at Ngora on the way to attack Abim. P-0205 Specifically, P-0205 states that Dominic Ongwen was shot in the leg during an ambush while they were trying to cross the Ngora road. P-0205 stated that he had already crossed the road, when an escort of Dominic Ongwen found him and told him that Dominic Ongwen had been injured. P-0205 turned back with the escort and went to the location where Dominic Ongwen was. P-0205 carried Dominic Ongwen from there.
- 1024.P-0379 and P-0330 corroborated this sequence of events from their viewpoint. P-0379 stated that Dominic Ongwen was shot in the thigh when crossing a road, and initially left behind with his escort. The witness was in a group that had already gone ahead, when Dominic Ongwen's escort came and told them Dominic Ongwen had been shot. They returned to where Dominic Ongwen was and carried him away from there. P-0330 in turn stated that he was in the same group with Dominic Ongwen when the latter was shot in the leg in an ambush while crossing a road.

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<sup>2015</sup> P-0231: <u>T-122</u>, p. 52, lines 13-25.
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²⁰¹⁶ P-0231: <u>T-122</u>, p. 53, lines 1-4.

²⁰¹⁷ P-0205: <u>T-47</u>, p. 22, lines 4-10, p. 25, lines 10-18.

 $^{^{2018}}$ P-0205: $\overline{\text{T-47}}$, p. 22, lines 4-10.

²⁰¹⁹ P-0205: T-47, p. 22, lines 15-21.

²⁰²⁰ P-0205: <u>T-47</u>, p. 22, lines 21-22.

²⁰²¹ P-0205: T-47-CONF, p. 22, line 22.

 $^{^{2022}}$ P-0379: $\underline{\text{T-56}}$, p. 55, lines 4-11. The Chamber notes that P-0379 stated that Dominic Ongwen was injured on the 'road leading to Patongo' ($\underline{\text{T-57}}$, p. 3, lines 9-11), around Kalongo (T-59-CONF, p. 20, line 23 – p. 21, line 11), which is compatible with P-0205's evidence.

²⁰²³ P-0379: <u>T-56</u>, p. 55, lines 12-13.

²⁰²⁴ P-0379: <u>T-56</u>, p. 55, lines 16-20.

²⁰²⁵ P-0330: <u>T-52</u>, p. 67, lines 13-25.

- 1025. Further corroboration of the above testimonies was provided by P-0226 and P-0235. 2026
- 1026. The Chamber observes that the witnesses referred to above have used different place names to indicate the approximate location, but that these indications are compatible, and all indicate that Dominic Ongwen was injured at a location somewhere in the area of Patongo, Kalongo, and Adilang.
- 1027. Regarding the approximate date of the injury, the Chamber notes that the testimonies of P-0231 and P-0205, who testified about the date, are relatively consistent. P-0231 stated that it happened at some point in the period between August and October 2002, after operation Iron Fist and during the rainy season when the grass had overgrown. ²⁰²⁷ P-0205 testified that the injury took place in October or November 2002. 2028
- 1028. The Prosecution puts forward the specific date of 9 November 2002, referring in particular to logbook evidence as 'mak[ing] clear that Mr Ongwen was injured in early November'. 2029 The logbook entry in question, summarising a radio conversation intercepted by the ISO on 12 November 2002, reads as follows:

M/while Kony asked Dominic to send him the loses he incured in the two contacts with UPDF recently. Dominic told Kony that 54 soldiers were wounded in Saturdays clash with UPDF at Olung [?] Primary school. He reported among the wounded were some offrs, and one offr has his right leg broken. 2030

1029. In the view of the Chamber, the content of the logbook entry is not conclusive by itself because it does not explicitly refer to Dominic Ongwen as the injured officer. Also from the other information given, that link cannot be made with confidence. For this reason,

the clash in which the officer had his leg broken.

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²⁰²⁶ P-0226 testified that Dominic Ongwen was shot after an attack on Patongo, when they were walking away and crossing a road. P-0226: T-9-CONF, p. 67, line 22 - p. 68, line 13. P-0235 also testified that Dominic Ongwen was injured near the Kalongo road, which is an indication of location compatible with the above-cited witnesses, and that he was carried away by his escort to a meeting with Vincent Otti. P-0235: T-17-CONF, p. 57, line 10 p. 58, line 3.

2027 P-0231: <u>T-122</u>, p. 52, lines 13-17; <u>T-123</u>, p. 48, lines 16-24.

²⁰²⁸ P-0205: T-47, p. 22, lines 4-10, p. 25, lines 10-18.

²⁰²⁹ Prosecution Closing Brief, para. 33.

²⁰³⁰ ISO Logbook (Gulu), UGA-OTP-0065-0002, at 0025. The Saturday before 12 November 2002 was 9 November 2002. The same clash had been reported the day before, on 11 November 2002, as having taken place 'y/day', i.e. on 10 November 2002, ISO Logbook (Gulu), UGA-OTP-0065-0002, at 0021-22. The ISO logbook also records Dominic Ongwen as sending a report in the morning of 10 November 2002, reporting a clash with the UPDF 'in a place called Ciga Ciga', ISO Logbook (Gulu), UGA-OTP-0065-0002, at 0019-20. It is not possible to determine on the face of the logbook whether this is the same or a separate clash, or indeed to conclusively date

the Chamber does not rely on this logbook entry for the determination of the date of Dominic Ongwen's injury, and instead relies on witness testimonies.

- 1030. The Chamber also acknowledges the statement of P-0236 as it is relatively precise as to when Dominic Ongwen's injury occurred. P-0236 stated that Dominic Ongwen was brought injured during Independence Day celebrations around one month after her abduction, ²⁰³¹ meaning around 9 October 2002. Accounting for the difficulties in estimating the dates of distant events, P-0236's testimony may be considered in line with the rest of the evidence. The reference to Independence Day celebrations, however, is not confirmed by P-0231, P-0205 or P-0379, all witnesses with more immediate direct knowledge, and therefore the Chamber attributes no significance to it.
- 1031. It must in any case be noted that a finding on the specific date of the injury is not required. The Chamber considers the evidence to be overall compatible, and finds it sufficient to conclude that the injury took place in October or November 2002.
- 1032.On this specific point, the Chamber considered, but ultimately did not accept, the evidence of P-0235. P-0235 spontaneously placed the time of injury in 2003, ²⁰³² and testified it took place 'around August'. ²⁰³³ In light of other evidence, discussed above, which is detailed and contextualised, the estimation of the date given by P-0235 is unconvincing. ²⁰³⁴
- 1033. The evidence is clear that following his injury, Dominic Ongwen was placed in an LRA sickbay. ²⁰³⁵ According to the evidence, in the LRA a sickbay was where the sick and injured as well as nursing mothers were kept grouped together. ²⁰³⁶ A sickbay was organised for one or more brigades, depending on the location where an injury occurred and where the nearest sickbay was. ²⁰³⁷ Notably, a sickbay was not fixed at a particular

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²⁰³¹ P-0236: T-16-CONF, p. 38, line 18 – p. 39, line 13.

²⁰³² P-0235: T-17-CONF, p. 57, lines 9-18.

²⁰³³ P-0235: T-17-CONF, p. 59, lines 9-15.

²⁰³⁴ It is also noted that P-0235 estimated the duration of Dominic Ongwen's recovery at one and a half years (*see* para. 1043 below), which would bring the recovery towards the end of 2004 if not further, a proposition which is entirely out of line with the rest of the evidence.

²⁰³⁵ P-0231: <u>T-122</u>, p. 53, lines 16-21; P-0205: <u>T-47</u>, p. 25, lines 3-9; P-0309: <u>T-61</u>, p. 38, lines 4-24; P-0379: <u>T-56</u>, p. 56, lines 11-14. *See also* P-0330: <u>T-52</u>, p. 69, lines 7-9. In light of the other consistent evidence, the Chamber attributes no consequence to P-0330 describing the sickbay as a 'Gilva bay'.

²⁰³⁶ P-0142: <u>T-71</u>, p. 22, lines 2-7; P-0016: <u>T-34</u>, p. 7, line 22-23.

²⁰³⁷ P-0142: <u>T-71</u>, p. 22, lines 13-16.

place, but moved, depending on the danger posed by government soldiers. ²⁰³⁸ As such, the Chamber observes that an LRA sickbay was not so much a fixed place, but rather an organisational unit.

- 1034. The evidence indicates that part of the Oka battalion, the battalion under Dominic Ongwen's command, stayed at the sickbay with him, and that altogether there were about 20-25 people at the sickbay. ²⁰³⁹ P-0231 stated that Odong Cowboy, Oka deputy commander at the time, was in charge of the sickbay until his surrender to the government. 2040 Evidence indicates that Odong Cowboy escaped from the LRA in March 2003 2041
- 1035. Another part of the Oka battalion, however, did not stay at the sickbay with Dominic Ongwen.²⁰⁴² These soldiers were under the responsibility of another person from the Oka battalion, whom P-0205 identified as Cele. 2043
- 1036. Some of the charges brought against Dominic Ongwen span across the period of his injury and subsequent stay in sickbay. The Chamber must therefore determine whether Dominic Ongwen exercised his powers as commander of Oka battalion also during that period.
- 1037. In this regard, the Chamber concludes that any disruption to Dominic Ongwen's exercise of his powers as Oka battalion commander was limited in time. As laid out in the following paragraphs, as early as December 2002, Dominic Ongwen was again exercising his authority as battalion commander.
- 1038. This is demonstrated first by the evidence which shows that Dominic Ongwen retained command while in sickbay. P-0231 testified that the sickbay sustained itself through

²⁰³⁸ P-0142: T-71, p. 22, lines 17-22. See also P-0205: T-49, p. 68, lines 8-10.

²⁰³⁹ P-0205: $\overline{\text{T-47}}$, p. 25, lines 19-23; P-0231: $\overline{\text{T-122}}$, p. 55, lines 21-24; P-0309: $\overline{\text{T-62}}$, p. 27, line 22 – p. 28, line

²⁰⁴⁰ P-0231: <u>T-122</u>, p. 53, line 22 – p. 54, line 3; <u>T-123</u>, p. 50, lines 19-25. P-0205 corroborated that Odong Cowboy was at the sickbay with Dominic Ongwen and that he was Oka deputy commander at the time, P-0205: T-47, p. 25, line 19 – p. 26, line 13.

²⁰⁴¹ See para. 2622 below.

²⁰⁴² P-0231: <u>T-122</u>, p. 57, lines 6-13. ²⁰⁴³ P-0205: <u>T-50</u>, p. 12, lines 14-16. *See also* P-0205: T-49-CONF, p. 64, lines 18-21. P-0231 testified that the part of Oka battalion which did not stay with Dominic Ongwen at the sickbay was under the responsibility of Otto Agweng, the IO in Oka battalion, while there were also 'some other officers (P-0231: T-122, p. 59, lines 6-17). Noting that P-0231 explained that he was not sure about the matter, and noting that the issue is of limited importance, the Chamber considers that there is no need to further address the matter.

'operations', which meant going to 'collect food', and that whenever fighters went on such operations, they informed Dominic Ongwen and obtained his go ahead in advance.²⁰⁴⁴ P-0231 testified generally that during Dominic Ongwen's time in sickbay, the members of Oka battalion who were in sickbay followed Dominic Ongwen's instructions, but that Dominic Ongwen otherwise did not issue any orders to other members of the group during that time.²⁰⁴⁵ Daniel Opiyo also testified that at the time Dominic Ongwen did not have any authority, but was 'respected as a high ranking officer who was a patient at the sickbay'.²⁰⁴⁶

- 1039. The matter-of-fact observation of P-0101 is also valuable in this context. She stated in respect of Dominic Ongwen's authority while in sickbay: '[e]ven if he was still weak physically he could still use his mouth to give instructions or orders because if a superior gives instructions, you have to go and follow what he says'.²⁰⁴⁷
- Specifically, P-0205 stated that in December 2002, Dominic Ongwen 'sent people to collect cows, cattle from Pajule', and that in February 2003 Dominic Ongwen, while still in sickbay, ordered an attack on Opit. P-0205 also testified that a month after the attack on Opit, he attended an RV where it was stated that Dominic Ongwen was promoted. According to the witness, Buk Abudema had come from Sudan and handed over to Dominic Ongwen the items he had brought, and gave him instructions. P-0205 testified that because Dominic Ongwen 'couldn't move far', he was taken back to the sickbay.
- 1041.P-0379 similarly stated that sometime between February and April 2003, Dominic Ongwen sent people to conduct an ambush on the UPDF who were patrolling on the Lalogi road, but did not himself go.²⁰⁵⁴ He stated that at that time, Dominic Ongwen

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<sup>2044</sup> P-0231: T-122-CONF, p. 54, lines 16-23.

<sup>2045</sup> P-0231: T-122, p. 60, lines 8-16.

<sup>2046</sup> D-0056: T-228, p. 61, lines 22 – p. 62, line 1.

<sup>2047</sup> P-0101: T-13-CONF, p. 57, lines 3-5.

<sup>2048</sup> P-0205: T-47, p. 28, lines 23-25.

<sup>2049</sup> P-0205: T-47, p. 29, lines 1-3.

<sup>2050</sup> P-0205: T-47, p. 29, line 16 – p. 30, line 10.

<sup>2051</sup> P-0205: T-47, p. 32, lines 15-23.

<sup>2052</sup> P-0205: T-47, p. 32, line 24 – p. 33, line 11.

<sup>2053</sup> P-0205: T-47, p. 33, lines 7-9.

<sup>2054</sup> P-0379: T-59-CONF, p. 36, line 8 – p. 37, line 2.
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could walk, but was still limping, and 'wasn't yet doing very well'. ²⁰⁵⁵ He also testified that while in sickbay, Dominic Ongwen sent soldiers to Awere. ²⁰⁵⁶ P-0379 also testified that Dominic Ongwen personally led an attack on Opit, and that he was able to walk at the time. ²⁰⁵⁷ Considering that P-0379 placed this attack after the attack on Awere, ²⁰⁵⁸ which took place in April 2003, ²⁰⁵⁹ this was a separate event than the one described by P-0205 as taking place in February 2003.

- 1042. Further, P-0366 testified that at the time when she escaped, Dominic Ongwen was able to 'walk for short distances and rest'. ²⁰⁶⁰ As discussed above, P-0366 escaped around February 2003. ²⁰⁶¹ In turn, P-0231 testified that at the time of Dominic Ongwen's arrest he was able to 'walk but using a stick'. ²⁰⁶²
- 1043. It can be concluded from the above that Dominic Ongwen was able to walk by around February April 2003. Because the evidence of P-0205, P-0379, P-0366 and P-0231 is more specific and contextualised, the Chamber does not base itself on P-0235's statement that Dominic Ongwen's recovery took about one and a half years until he was able to finally walk. While the Chamber does not doubt that P-0235 spent time with Dominic Ongwen during this period, her evidence is but a general estimate, and is affected also by her claim that Dominic Ongwen was injured in August 2003, which, as outlined above, is unconvincing and does not accord with the rest of the evidence. On the evidence.
- 1044. The evidence also indicates that while in sickbay, Dominic Ongwen maintained communication with other high commanders of the LRA. P-0379 testified that during the time in sickbay 'many people' came to visit Dominic Ongwen. ²⁰⁶⁵ He stated that Buk Abudema came once, Charles Tabuley came several times, and Lagoga and Odhiambo also came. ²⁰⁶⁶ P-0205 also testified that he visited Dominic Ongwen while he was in

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2055 P-0379: T-59, p. 37, lines 3-13.
2056 P-0379: T-57, p. 8, lines 10-15. See para. 1160 below.
2057 P-0379: T-57, p. 13, lines 14-15, p. 14, lines 3-5.
2058 P-0379: T-57, p. 8, lines 10-17.
2059 See para. 1160 below.
2060 P-0366: T-147, p. 93, line 25 – p. 94, line 17.
2061 See para. 410 above.
2062 P-0231: T-122-CONF, p. 63, line 23 – p. 64, line 1.
2063 P-0235: T-17-CONF, p. 59, lines 2-8.
2064 P-0235: T-17-CONF, p. 59, lines 9-15.
2065 P-0379: T-57, p. 5, lines 8-9.
2066 P-0379: T-57, p. 5, lines 10-16.
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sickbay. 2067 The Chamber notes that P-0309 stated that he did not see Vincent Otti, Raska Lukwiya, Charles Tabuley or Tolbert Yadin come visit Dominic Ongwen at the sickbay, and that he did not know whether Buk Abudema, David Oyenga or Cesar Acellam visited either. 2068 However, the Chamber considers that this evidence does not bring into question the reliability of the testimonies of P-0379 and P-0205, especially given that P-0309 was a low-ranking LRA member and that visits to Dominic Ongwen could occur without his knowledge.²⁰⁶⁹

1045. As to radio communications, P-0231 testified that at the sickbay Dominic Ongwen did not have any radio communication equipment. 2070 But asked how Dominic Ongwen would communicate from the sickbay with the rest of the Oka battalion, the witness stated that 'they would find us'. ²⁰⁷¹ There was a scheduled RV every month for this purpose. ²⁰⁷² P-0231 testified that while Dominic Ongwen did not normally attend these meetings, he came for meetings with the brigade commander, or if there was anything specific that he wanted to discuss. 2073 Similarly, Daniel Opiyo stated that there was no radio at the sickbay, but that the units which brought food sometimes came with the radio.²⁰⁷⁴ He stated that it was important for Dominic Ongwen to know what was going on.²⁰⁷⁵ In line with these witnesses on the point that Dominic Ongwen used a radio device brought by visitors while in sickbay, P-0016 stated that when he visited Dominic Ongwen in sickbay, his own radio was used to send out a message that Dominic Ongwen was fine, as Dominic Ongwen did not have a radio at the time. 2076 P-0205 further testified that Dominic

²⁰⁶⁷ P-0205: <u>T-47</u>, p. 27, lines 2-5.

²⁰⁶⁸ P-0309: T-62, p. 24, lines 5-12.

²⁰⁶⁹ See also section IV.B.2.ii.b.xvi above.

²⁰⁷⁰ P-0231: <u>T-122</u>, p. 57, lines 16-18. *See also* P-0330: <u>T-52</u>, p. 81, lines 6-13.

²⁰⁷¹ P-0231: T-122, p. 57, lines 16-20. Indeed, the ISO logbook indicates that in late November 2002, arrangements were made to transfer Dominic Ongwen's radio to another unit, ISO Logbook (Gulu), UGA-OTP-0065-0002, at 0063, 0067.

²⁰⁷² P-0231: <u>T-122</u>, p. 57, line 21 – p. 58, line 2. ²⁰⁷³ P-0231: <u>T-122</u>, p. 59, line 20 – p. 60, line 3.

²⁰⁷⁴ D-0056: <u>T-228</u>, p. 61, lines 8-11.

²⁰⁷⁵ D-0056: T-228, p. 61, lines 12-21. D-0056 testified that he spent time with Dominic Ongwen at the sickbay, <u>T-228</u>, p. 60, line 20 – p. 61, line 1.

²⁰⁷⁶ P-0016: T-34-CONF, p. 19, lines 8-17; T-35-CONF, p. 16, lines 8-16.

Ongwen had access to radio communications when he visited him in sickbay.²⁰⁷⁷ P-0235 testified that in sickbay, Dominic Ongwen used a radio to communicate.²⁰⁷⁸

- 1046. Finally, in this context, it is noted that Dominic Ongwen's name is included in the list of call signs transmitted by Joseph Kony's signaller on 2 December 2002, ²⁰⁷⁹ and a number of radio communications were intercepted which indicate that Dominic Ongwen was active on radio shortly after this time.
- 1047. According to the ISO logbook, on 8 December 2002, Dominic Ongwen told Joseph Kony that he ambushed a vehicle on 6 December 2002 on the Kitgum-Gulu road at Ogom near Angagura. The logbook records Joseph Kony responding that 'what Dominic did was very good by ambushing [a] veh[icle]'. A UPDF logbook of intercepted communication records Dominic Ongwen as active on radio on 1, 5, 6 and 9 February 2003. Then, on 10 February 2003, Dominic Ongwen is recorded as informing Raska Lukwiya that Pokot was with him, but that Ojok had gone for another mission. This entry specifically corroborates the evidence of witnesses who stated that even when in sickbay, Dominic Ongwen exercised his role as commander, including by sending his subordinates on missions. Further logbook evidence indicates that on 12 February 2003, Dominic Ongwen reported that he ambushed and burnt a vehicle the previous day. Dominic Ongwen reported that he ambushed and burnt a vehicle the previous day.

²⁰⁷⁷ P-0205: <u>T-47</u>, p. 27, lines 6-8.

 $^{^{2078}}$ P-0235: $\overline{\text{T-17-CONF}}$, p. 67, line 25 – p. 68, line 5. In light of the evidence cited in this paragraph, the Chamber does not find decisive the evidence of P-0330, who responded negatively to the question whether he saw anyone visit Dominic Ongwen at the sickbay with a radio, *see* P-0330: $\overline{\text{T-52}}$, p. 81, lines 14-15.

²⁰⁷⁹ ISO Logbook (Gulu), UGA-OTP-0065-0002, at 0079. The Chamber notes its discussion of the reliability of the 2002 ISO logbooks at para. 666 above.

²⁰⁸⁰ ISO Logbook (Gulu), UGA-OTP-0065-0002, at 0093. The Chamber notes its discussion of the reliability of the 2002 ISO logbooks at para. 666 above.

²⁰⁸¹ ISO Logbook (Gulu), UGA-OTP-0065-0002, at 0093. The Chamber notes its discussion of the reliability of the 2002 ISO logbooks at para. 666 above.

²⁰⁸² UPDF Logbook (Gulu), UGA-OTP-0254-3399, at 3446, 3450, 3452, 3457. The Chamber notes that there are no corresponding logbook entries from other intercepting agencies for these specific dates, but considers, in light of its general discussion of the reliability of intercept evidence, that the UPDF logbook is sufficiently reliable in the context at hand.

²⁰⁸³ UPDF Logbook (Gulu), UGA-OTP-0254-3399, at 3459. The Chamber notes that there are no corresponding logbook entries from other intercepting agencies for this specific date, but considers, in light of its general discussion of the reliability of intercept evidence, that the UPDF logbook is sufficiently reliable in the context at hand.

²⁰⁸⁴ UPDF Logbook (Gulu), UGA-OTP-0254-3399, at 3461. The Chamber notes that there are no corresponding logbook entries from other intercepting agencies for this specific date, but considers, in light of its general discussion of the reliability of intercept evidence, that the UPDF logbook is sufficiently reliable in the context at hand.

1048.On 18 March 2003, Vincent Otti is recorded in the ISO logbook as sending a message to Dominic Ongwen instructing him to 'make sure he plants landmines (APM) in the position he is moving to attack'. ²⁰⁸⁵ On 1 April 2003, Dominic Ongwen is recorded in the ISO logbook as reporting to Joseph Kony that a soldier, 'left to keep women in the camp (hideout)', had sex with Abudema's and Charles Otim's so-called 'wives'. ²⁰⁸⁶ On 2 April 2003, Dominic Ongwen reported that he had already killed the soldier pursuant to Joseph Kony's order. ²⁰⁸⁷ On 9 April 2003, Vincent Otti is recorded as giving an order to, *inter alia*, Dominic Ongwen to mobilise soldiers. ²⁰⁸⁸ On 16 April 2003, Joseph Kony is recorded in the ISO logbook as 'blast[ing]' Dominic Ongwen, calling him a 'weak' commander and saying that 'if Dominic is not careful, then he will be demoted so that another off[ice]r take charge of that BN'. ²⁰⁸⁹

1049. The Chamber therefore concludes, on the evidence, that Dominic Ongwen's access to radio communication during his stay in sickbay may not have been permanent, but that he nevertheless had access to a radio at times and did communicate on radio with some regularity.

1050. Following the chronology of the relevant historical facts, the Chamber considers at this juncture the evidence in relation to Dominic Ongwen's arrest by Vincent Otti. As submitted by the Prosecutor, on 20 April 2003, in a radio communication which was intercepted and logged by both the ISO and the UPDF, Joseph Kony ordered the arrest

²⁰⁸⁵ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0026-27. The Chamber notes that while the entries in the corresponding UPDF logbooks do not mention this specific detail, both in fact stop their entries after recording the conversation between Joseph Kony and the person not affiliated with the LRA, while the ISO logbook clearly recorded more details of the LRA radio communication at this broadcast time (*see* UPDF Logbook (Gulu), UGA-OTP-0254-3399, at 3523; UPDF Logbook (Soroti), UGA-OTP-0242-6212, at 6248).

²⁰⁸⁶ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0071-72. *See also* UPDF Logbook (Gulu), UGA-OTP-0254-3399, at 3548; UPDF Logbook (Soroti), UGA-OTP-0242-6212, at 6257.

²⁰⁸⁷ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0073. The Chamber notes that while the entries in the corresponding UPDF logbooks do not seem to contain this detail, they appear overall less detailed than the ISO logbook entry for this specific communication time (*see* UPDF Logbook (Gulu), UGA-OTP-0254-3399, at 3548-49 or UPDF Logbook (Soroti), UGA-OTP-0242-6212, at 6257-58).

²⁰⁸⁸ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0098. The Chamber notes that while not containing the specific instruction given by Vincent Otti, the UPDF Gulu logbook does mention Tabuley as passing on the order from Kony to Otti which precedes the instruction from Otti (*see* UGA-OTP-0254-0455, at 0463). While the UPDF Soroti logbook does not mention either instruction, it overall contains much less detail than the other logbook entries for this communication time (*see* UGA-OTP-0242-6212, at 6265-66).

²⁰⁸⁹ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0124. *See also* UPDF Logbook (Gulu), UGA-OTP-0254-0455, at 0491.

of Dominic Ongwen over a matter involving contact with General Saleh of the UPDF.²⁰⁹⁰ On 21 April 2003, Vincent Otti reported that Dominic Ongwen had been arrested.²⁰⁹¹

- 1051.P-0231 testified that Dominic Ongwen was arrested by Vincent Otti and accused of communicating with the Government of Uganda by phone. 2092 As a result, the senior soldiers who had been at the sickbay with Dominic Ongwen were told that they were going to be monitored by Vincent Otti's security, while the rest of the people would be staying nearby. 2093 Vincent Otti stated that he would wait for an instruction from Joseph Kony. The order was given that their weapons be taken away. 2095 Dominic Ongwen and the rest of the group remained under arrest by Vincent Otti for 'between three weeks and a month'. Thereafter, the arrestees were summoned by Vincent Otti and told they were now free. 2097 They separated from Vincent Otti and went to an area close to the Aswa River. 2098 At some point afterwards, they went to Teso. 2099
- 1052. There is a great amount of detail both in the record of the intercepted communication and in the testimony of P-0231, which leads the Chamber to conclude that both sources refer to the same event and complement each other. P-0231's evidence provides detail as to how Dominic Ongwen's arrest came about and what it meant for him to be arrested, whereas the intercept evidence assists in dating the occurrence. In this last regard, the Chamber notes that P-0231 understandably did not provide a date when Dominic Ongwen was arrested. Nevertheless, the relative references to the timing of the event in his testimony, i.e. the reference that it happened while Dominic Ongwen was in sickbay and after the first escape of Odong Cowboy in 2003, 2100 are entirely compatible with the logbook evidence.

²⁰⁹⁰ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0136-37; UPDF Logbook (Gulu), UGA-OTP-0254-0455, at 0505-06. *See also* section IV.B.3.ii.b above.

²⁰⁹¹ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0139; UPDF Logbook (Gulu), UGA-OTP-0254-0455, at 0509-10.

²⁰⁹² P-0231: T-122-CONF, p. 62, lines 2-19.

²⁰⁹³ P-0231: T-122-CONF, p. 62, line 24 – p. 63, line 4.

²⁰⁹⁴ P-0231: T-122-CONF, p. 62, lines 15-18.

²⁰⁹⁵ P-0231: T-123-CONF, p. 56, lines 13-17.

²⁰⁹⁶ P-0231: T-122-CONF, p. 64, lines 8-11.

²⁰⁹⁷ P-0231: T-122-CONF, p. 64, lines 11-14.

²⁰⁹⁸ P-0231: T-122-CONF, p. 64, lines 15-18.

²⁰⁹⁹ P-0231: T-122-CONF, p. 78, lines 4-9.

²¹⁰⁰ The evidence generally indicates that Odong Cow escaped in March 2003. See para. 2622 below.

- 1053. The Chamber notes that whereas P-0379 admitted to having only very limited knowledge, he stated that Kidega at one point, after Odong Cow had already escaped, brought a phone to Dominic Ongwen, which P-0379 saw.²¹⁰¹ This, in the specific circumstances, provides additional corroboration to the logbook evidence and the evidence of P-0231.
- 1054. The Chamber clarifies that it does not base its findings on the issue of Dominic Ongwen's arrest on the UPDF intelligence report referred to by the Defence. The report, dated August 2003, and signed by a UPDF intelligence officer, states that 'Comdr Odomi narrowly escaped firing squad when he was [sic] reportedly received some bags and money from Saleh'. However, it is not possible to ascertain the source from which the UPDF obtained the information. For this reason, the Chamber does not rely on the UPDF intelligence report and instead relies on the available reliable evidence of events surrounding Dominic Ongwen's arrest, in particular the logbook evidence and the testimony of P-0231.
- 1055. Together with the above witness and logbook evidence, which relates specifically to the question of arrest, it is pertinent to also take into account other evidence which indicates Dominic Ongwen's activities in the immediate period following this arrest around April 2003. This is because, in the final instance, the question of fact is not whether Dominic Ongwen was arrested, but rather whether he was active as LRA commander throughout this period, without any significant interruption. It may be added that the same evidence also allows for determining any impact that the continuing effects of Dominic Ongwen's injury may have had on his activities.
- 1056. In this regard, the Chamber notes that already on 22 April 2003, Dominic Ongwen is recorded in the logbooks as communicating with other senior LRA commanders in relation to the retrieval of certain hidden weapons. ²¹⁰⁴ He is recorded as on air in the UPDF logbook on 25, 26 and 27 April 2003. ²¹⁰⁵ On 28 April 2003, according to both the ISO and UPDF records of intercepted communications, he reported to Joseph Kony on

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²¹⁰¹ P-0379: <u>T-59</u>, p. 67, lines 13-23.

²¹⁰² Defence Closing Brief, para. 685, n. 1113 referring to UPDF Report, UGA-OTP-0255-0943, at 0945.

²¹⁰³ UPDF Report, UGA-OTP-0255-0943, at 0945.

²¹⁰⁴ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0141; UPDF Logbook (Gulu), UGA-OTP-0254-0455, at 0512

²¹⁰⁵ UPDF Logbook (Gulu), UGA-OTP-0254-0455, at 0522, 0525, 0527. See also ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0149, 0152, 0155.

the situation on the ground in Lagile, and received instructions. ²¹⁰⁶ The intercept logbooks also record Dominic Ongwen as communicating on the radio on 29 April 2003, ²¹⁰⁷ 1 May 2003, ²¹⁰⁸ 3 May 2003, ²¹⁰⁹ 4 May 2003, ²¹¹⁰ and 5 May 2003. ²¹¹¹

1057. At this point, the Chamber makes several observations. First, the Chamber notes the evidence received in relation to 'arrest' and 'prison' within the LRA. This evidence indicates that these concepts referred not to punishment by detention in a confined space, but rather to a specific measure used for commanders, of which the central feature was the (temporal) stripping of usual authority. P-0054 explained, in general terms:

[I]f, for example, someone does something bad, that person will be removed from there and transferred to another brigade. Once you arrive there you would be told to remain there as a prisoner. When you arrive there you may have been transferred with your whole family so you would still be doing some duties. So when you are transferred sometimes you are transferred with the whole family. And when there is work to be done you may be deployed. Or at least one of your soldiers would go for that duty.²¹¹²

1058. The witness also stated that being in prison meant that one's rank has been removed, and that the person has been put under someone else. ²¹¹³

1059.P-0070 testified that this punishment was applied 'regularly', 'on several occasions'.²¹¹⁴
He explained that the arrest or jailing of a commander meant the loss of the right of leadership, whereas a junior soldier would be given luggage to carry under this punishment.²¹¹⁵ P-0070 specified that an imprisoned person would not be taken to

 $^{^{2106}}$ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0161; UPDF Logbook (Gulu), UGA-OTP-0254-0455, at 0531.

²¹⁰⁷ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0164; UPDF Logbook (Gulu), UGA-OTP-0254-0455, at 0534.

 $^{^{2108}}$ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0171; UPDF Logbook (Gulu), UGA-OTP-0254-0455, at 0543.

²¹⁰⁹ UPDF Logbook (Gulu), UGA-OTP-0254-0455, at 0552. See also ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0177.

²¹¹⁰ UPDF Logbook (Gulu), UGA-OTP-0254-0455, at 0559. *See also* ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0183.

²¹¹¹ UPDF Logbook (Gulu), UGA-OTP-0254-0455, at 0562-63. *See also* ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0186-87.

²¹¹² P-0054: <u>T-94</u>, p. 13, lines 16-25.

²¹¹³ P-0054: <u>T-94</u>, p. 14, lines 14-20.

²¹¹⁴ P-0070: <u>T-106</u>, p. 41, line 25 – p. 42, line 1.

²¹¹⁵ P-0070: T-106, p. 42, lines 2-6.

another place, but would stay in the convoy; only a brigade commander would be sent to Control Altar. ²¹¹⁶

1060.P-0070's evidence received corroboration from P-0144, who stated that when a commanding officer of a unit was detained, he would be free to move, but would lose control of his unit until he was reinstated. ²¹¹⁷ Simon Tabo also provided a similar description of 'prison' as a form of punishment in the LRA. ²¹¹⁸

1061. Second, for this reason the Chamber does not see a contradiction between the radio intercept evidence, which shows Dominic Ongwen as active mere days after the order for his arrest was given by Joseph Kony, and the evidence of P-0231, who stated that the arrest continued for up to one month. Even under arrest and under close supervision by Vincent Otti, Dominic Ongwen could in fact continue to act. There is evidence of his actions as LRA commander from the end of April onwards and in light of this evidence, the fact whether he was formally under arrest fades in importance.

1062. Third, Dominic Ongwen was promoted in September 2003 as discussed below.²¹¹⁹ In the assessment of the Chamber, this is another element which shows that the effect of Dominic Ongwen's arrest in April 2003 was limited.

1063. On the basis of the above, the Chamber concludes that Dominic Ongwen's arrest in April 2003 did not for any significant period interrupt the exercise of his authority as commander.

1064. As discussed in the following paragraphs, the evidence indicates that at some point in mid-2003, Dominic Ongwen also left the sickbay where he had stayed following his injury. However, the relevance of this fact for the charges is limited, given the evidence that he was active also during his stay in the sickbay. The time that Dominic Ongwen finally left the sickbay can be distilled from the following evidence, consisting of various broadly compatible estimates.

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²¹¹⁶ P-0070: <u>T-106</u>, p. 42, lines 7-14.

²¹¹⁷ P-0144: T-91, p. 27, 3-10.

²¹¹⁸ D-0034 Statement, UGA-D26-0022-0385, at para. 21.

²¹¹⁹ See para. 1071 below.

1065. The evidence also demonstrates that for some time in mid-2003, Dominic Ongwen was assigned to Control Altar and operated together with Vincent Otti. 2120 It is not clear what the reason was for this arrangement, but, as discussed in detail below, the evidence does not indicate that it meant that Dominic Ongwen was deprived of his authority as LRA commander. 2121

1066.P-0205 testified that Dominic Ongwen stayed in sickbay for about eight months. ²¹²² He stated that 'while we were moving to Teso we were all out of the sickbay. Dominic was now able to walk. ²¹²³ The Chamber notes that the Defence raised with the witness, on the basis of his prior statement, the question whether or not his evidence was that at the time of Charles Tabuley's death Dominic Ongwen was still in sickbay. ²¹²⁴ P-0205 clarified that at the time of Charles Tabuley's death Dominic Ongwen was out of sickbay and in a convoy with Vincent Otti. ²¹²⁵ This evidence is consistent with the evidence of Dominic Ongwen's movements around the time of the attack on Pajule IDP camp, discussed below. ²¹²⁶

1067. In line with P-0205's testimony, P-0231 confirmed that Dominic Ongwen left sickbay to go to Teso. ²¹²⁷ He stated that this was between August and September 2003. ²¹²⁸ It is noted that P-0231 testified that this was the time that he separated from Dominic Ongwen to serve under Charles Tabuley. ²¹²⁹ For this reason, as also explained below, the Chamber does not rely on P-0231 stating that Dominic Ongwen was 'not involved' in the attack on Pajule IDP camp, but in fact, as is explained below, concludes the opposite on the basis of other evidence, in particular that given by witnesses who were present and had

²¹²⁰ P-0205: <u>T-47</u>, p. 35, line 7 – p. 36, line 7; T-49-CONF, p. 64, line 10; P-0209: <u>T-160</u>, p. 15, lines 6-8 (stating that Dominic Ongwen was in Control Altar at the time of the attack on Pajule); P-0231: <u>T-122</u>, p. 32, line 25 – p. 33, line 3, p. 35, line 22 – p. 36, line 20 (notably stating that Dominic Ongwen moved to Control Altar because of a disagreement with Buk Abudema, who was brigade commander in Sinia at the time, and that Dominic Ongwen was still in Control Altar at the time of the attack in Abia, which took place in February 2004, *see* paras 1164-1165 below).

²¹²¹ See paras 1181-1182 below.

²¹²² P-0205: <u>T-49</u>, p. 59, lines 13-20.

²¹²³ P-0205: T-49-CONF, p. 64, lines 8-10.

²¹²⁴ P-0205: <u>T-49</u>, p. 60, lines 7-11; T-50-CONF, p. 10, line 20 – p. 11, line 10. Charles Tabuley died in late 2003, *see* para. 1076 below.

²¹²⁵ P-0205: T-50-CONF, p. 10, line 20 – p. 11, line 10.

²¹²⁶ See section IV.C.6 below.

²¹²⁷ P-0231: T-122-CONF, p. 29, lines 4-8.

²¹²⁸ P-0231: <u>T-123</u>, p. 49, lines 5-10.

²¹²⁹ P-0231: T-122, p. 66, lines 4-14.

an opportunity to observe the planning and execution of the attack on Pajule IDP camp.²¹³⁰

1068. The Chamber also notes that P-0309 estimated that Dominic Ongwen was at the sickbay for four to six months. ²¹³¹ P-0379 estimated the time to be between five and six months, ²¹³² and P-0330 at approximately three months. ²¹³³ P-0214 estimated the time Dominic Ongwen stayed at sickbay at over one year. ²¹³⁴ In the assessment of the Chamber, such variations can be explained by the difficulty of estimating the duration of time while in the bush. Because P-0205 and P-0231 provided context, the Chamber relies on their testimonies, and does not consider that they are brought in doubt by P-0309 and P-0214's estimates.

1069. An ISO logbook records, under the date of 22 August 2003, Vincent Otti giving an instruction that some soldiers should be sent to 'keep those [...] casualties who are in the sickbay together with Dominic', and noting that 'Dominic [was] one of the injured who [were] being kept at the sickbay'. On 16 September 2003, the logbook summarises a communication by Joseph Kony as follows:

Kony told Otti to give Dominic radio call as he does not have one but was talking fm that of Michael. He said since Dominic is now a dis-abled comdr he can operate in Acholi areas mostly Gulu side but should have radio call.²¹³⁶

1070. Importantly, further analysis of the logbook evidence militates against allowing for the possibility that the consequences of the injury continued to affect the exercise of his authority as a senior LRA commander. Joseph Kony's appointment of Dominic Ongwen

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²¹³⁰ See para. 1184 and generally section IV.C.6 below.

²¹³¹ P-0309: <u>T-61</u>, p. 39, lines 4-18.

²¹³² P-0379: T-59, p. 62, lines 4-10.

²¹³³ P-0330: <u>T-52</u>, p. 69, lines 11-13.

²¹³⁴ P-0214: T-15-CONF, p. 38, lines 11-16.

²¹³⁵ ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0297-98. The Chamber notes that the corresponding UPDF logbook entries do not contain this particular detail. However, bearing in mind its discussion on the general reliability of the intercept logbooks above, in particular that different interceptors at different agencies were bound to, at times, focus on summarising varying details of specific radio communications (*see* para. 666 above), the Chamber finds it appropriate to rely on this particular detail from the ISO logbook.

²¹³⁶ ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0411. The Chamber notes that the corresponding UPDF logbooks do not seem to contain this specific detail. However, bearing in mind its discussion on the general reliability of the intercept logbooks above, in particular that different interceptors at different agencies were bound to, at times, focus on summarising varying details of specific radio communications (*see* para. 666 above), the Chamber finds it appropriate to rely on this particular detail from the ISO logbook. The Chamber also notes in this context that part of the relevant tape was played to D-0025 (*see* <u>T-226</u>, p. 55, line 9 – p. 58, line 6), but that this witness's evidence has been set aside by the Chamber (*see* paras 377-378 above).

to the position of second-in-command of Sinia is discussed just below. In addition, a radio communication intercepted by the ISO on 27 September 2003 indicates that Joseph Kony praised Dominic Ongwen for his hard work.²¹³⁷ Then on 30 September 2003, the ISO intercepted Joseph Kony as telling Dominic Ongwen to stay behind with Vincent Otti on account of Dominic Ongwen having good plans which could help Vincent Otti.²¹³⁸

On 17 September 2003, Joseph Kony appointed Dominic Ongwen as second-in-command of the Sinia brigade. On 15 November 2003, Joseph Kony promoted Dominic Ongwen to the rank of lieutenant colonel.²¹³⁹

1071. The records of intercepted radio communications indicate that, in the second half of 2003, Dominic Ongwen progressed within the LRA hierarchy twice, by order of Joseph Kony. In particular, the ISO logbook records that on 17 September 2003, Vincent Otti 'sent the

²¹³⁷ ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0477. The Chamber notes that this particular detail is not included in the corresponding UPDF logbook entries. However, these entries clearly reflect the same radio communication (*compare* ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0477 (Lamola reporting attack as planned the previous day, with details to follow at 11:00) *with* UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 1024-25 (Lamola reporting attack on UPDF detachment with 300 soldiers, capturing one alive, with details to follow at 11:00) *and* UPDF Logbook (Achol Pii), UGA-OTP-0242-6018, at 6147 (Lamola reporting attack on UPDF detachment with 300 soldiers, as planned the day before, capturing one alive, with details to follow at 11:00) *and* UPDF Logbook (Soroti), UGA-OTP-0254-1991, at 2052 (Lamola reporting attack on UPDF, capturing one UPDF soldier alive); *compare* ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0475-76 (report on attack in 'market in Bar Oriyo', with details on items taken) *with* UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 1025 (report on attack in 'market call Bar Oriyo', with same details on items taken) *and* UPDF Logbook (Achol Pii), UGA-OTP-0242-6018, at 6147 (report on attack in 'Bar-Riu', with same details on items taken)). Bearing this in mind, but noting at the same time that these entries are overall less detailed than the entry in the ISO logbook, the Chamber considers it appropriate to refer to this specific detail included in the record of the communication as prepared by ISO.

prepared by ISO. ²¹³⁸ ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0501. The Chamber notes that this particular detail is not included in the corresponding UPDF logbook entries. However, these entries clearly reflect the same radio communication (compare ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0498 (Otti reporting on contact with UPDF the previous day, without sending anything on items taken) with UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 1036 (Otti reporting on contact with UPDF the previous day, stating he could not take anything due to bushy grass) and UPDF Logbook (Soroti), UGA-OTP-0254-1991, at 2067 (Otti reporting on contact with UPDF the previous day, stating he could not take anything due to grass being very tall); compare ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0499 (Kony stating that 'highest tactics/styles of guerrilla war fare are surprise attacks and ambushes') with UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 1036 (Kony advising Otti that 'highest tactic of guerrila' should be 'surprise attack and ambush and planting mines') and UPDF Logbook (Soroti), UGA-OTP-0254-1991, at 2067 (Kony informing Otti that 'highest tactics of gorrilas is to surprise'); compare ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0501 (Kony wanting all LRA to move to Teso) with UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 1038 (Kony ordering Otti to inform all LRA units to immediately advance to Soroti) and UPDF Logbook (Soroti), UGA-OTP-0254-1991, at 2069 (Kony ordering that all LRA groups in Uganda move to Teso)). The UPDF Achol Pii logbook does not include an entry for this communication time (see UGA-OTP-0242-6018, at 6149-50). Bearing this in mind, and noting at the same time that the entries in the UPDF logbooks are overall less detailed than the entry in the ISO logbook and that interceptors at times would have focused on different details in summarising radio communications, the Chamber considers it appropriate to refer to this specific detail included in the record of the communication as prepared by ISO. ²¹³⁹ Para. 136 above.

details of their meeting with senior LRA Com[man]d[e]rs as below'. 2140 Vincent Otti stated that 'they formed a new LRA Division and also reshuffled the Bde Comds'. 2141 The report then lists the various units and their commanders as well as other officers, including referring to Dominic Ongwen as second-in-command of Sinia brigade, with the following note: 'but since he is still sick, Lapanyikwara (Lapaico) should act'. 2142 On 18 September 2003, Joseph Kony is recorded as asking Vincent Otti 'whether Dominic now can walk properly as he was injured some time ago and if he can take up his post of 21/C Bde Co as Vincent Otti appointed him recently'. 2143 Vincent Otti responded that 'Dominic can now walk and can manage that post very well without any problem'. 2144

1072. The Defence points to the absence of Dominic Ongwen's name on a UPDF overview of 68 LRA commanders dated 21 September 2003. However, also noting that the list, which is well-organised as reflecting the LRA command structure, does not include any entry as to the second-in-command of Sinia or any other brigade, the Chamber considers that this list is outweighed by the specific evidence relating to the position of Dominic Ongwen at the time, and does not rely on it.

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 $^{^{2140}}$ ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0413. See also UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 0992.

²¹⁴¹ ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0413. *See also* UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 0992.

²¹⁴² ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0414. The same source indicates that on the same day, Kony decided that Lapanyikwara take Isaya Lowum's post of Division second-in-command, and that Isaya Lowum take his position as Sinia second-in-command. The Chamber considers, also in light of the communication between Joseph Kony and Otti on 18 September 2003, that this appointment was an exchange of roles between Lapanyikwara and Isaya Lowum, and did not have a bearing on Dominic Ongwen's appointment; *see* ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0419. The communication of 17 September 2003 can also be found in the UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 0992-94. The Chamber notes that the corresponding UPDF Logbook does not record Dominic Ongwen as still being sick, but rather indicates him being still far from Soroti as a reason for Lapanyikwara to act as second-in-command (at 0993). However, the Chamber, noting the additional details provided by the communication of 18 September 2003 recorded in the ISO Logbook, which do not appear in the UPDF Logbook record of the 18 September 2003 communication (*see* UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 0996), considers the ISO Logbook record of the communication to be more reliable in this regard.

²¹⁴³ ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0422. The Chamber notes that while the entries in the corresponding UPDF logbooks do not contain this particular exchange, they are overall much less detailed (*see* UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 0996; UPDF Logbook (Achol Pii), UGA-OTP-0242-6018, at 6138-39) or do not contain any entry for the same communication time (*see* UPDF Logbook (Soroti), UGA-OTP-0254-1991, at 2015). This said, and bearing in mind its general discussion on the reliability of intercept logbooks, the Chamber considers it appropriate to rely on this information from the ISO logbook.

²¹⁴⁴ ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0422.

²¹⁴⁵ Defence Closing Statement: <u>T-258</u>, p. 22, line 22 – p. 23, line 2; LRA Commander List, UGA-OTP-0242-1005.

- 1073. On 10 October 2003, the LRA attack on Pajule IDP camp took place. Dominic Ongwen exercised a command role during that attack, as laid out in detail below. ²¹⁴⁶
- 1074. Another entry in the ISO logbook indicates that on 15 November 2003, Joseph Kony promoted Dominic Ongwen to the rank of lieutenant colonel. 2147

On 4 March 2004, Joseph Kony officially appointed Dominic Ongwen as brigade commander of Sinia brigade.²¹⁴⁸

1075. An intercepted radio communication indicates that Joseph Kony appointed Dominic Ongwen to Sinia brigade commander on 4 March 2004. 2149

1076. The Chamber notes that several witnesses testified about Dominic Ongwen's promotion to the top of Sinia brigade, but mostly linked that promotion to the death of Charles Tabuley, which took place in late 2003 during the LRA operation in Teso. P-0205 testified that at an RV at Omot following the death of Charles Tabuley, Dominic Ongwen was the brigade commander of Sinia, and that Buk Abudema became Division commander. P-0070 testified that Dominic Ongwen became Sinia brigade commander 'in the year 2003 at the height of the operation', after the death of Charles Tabuley and Tolbert Yadin. P-0264 testified that the first time he realised that Dominic Ongwen was brigade commander was when he was with him in Teso, and that this was after Charles Tabuley's death. P-0231 also testified that Dominic Ongwen became Sinia brigade commander after the death of Charles Tabuley, and also after the attack on Abia. P-0406 similarly testified that he learnt of Dominic Ongwen's appointment to brigade commander of Sinia on the return from Teso, around November-

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²¹⁴⁶ See section IV.C.6 below.

²¹⁴⁷ ISO Logbook (Gulu), UGA-OTP-0066-0002-R01, at 0051. *See also* UPDF Logbook (Gulu), UGA-OTP-0254-0229, at 0366.

²¹⁴⁸ Para. 137 above.

 $^{^{2149}}$ ISO Logbook (Gulu), UGA-OTP-0061-0002, at 0122. See section IV.B.3.ii.i above. See also UPDF Logbook (Gulu), UGA-OTP-0254-4143, at 4269.

²¹⁵⁰ See P-0070: <u>T-106</u>, p. 23, lines 5-8; P-0205: <u>T-47</u>, p. 35, lines 7-10; P-0231: <u>T-122</u>, p. 67, lines 9-17; P-0372: <u>T-148</u>, p. 25, lines 6-24; D-0100: <u>T-234</u>, p. 43, line 23 – p. 44, line 3; D-0125: <u>T-242</u>, p. 11, line 21 – p. 12, line

²¹⁵¹ P-0205: <u>T-47</u>, p. 36, line 13 – p. 38, line 25.

²¹⁵² P-0070: <u>T-105</u>, p. 68, line 19 – p. 69, line 13.

²¹⁵³ P-0264: <u>T-66</u>, p. 40, line 17 – p. 41, line 6.

²¹⁵⁴ P-0231: <u>T-122</u>, p. 32, line 14 – p. 33, line 3. The attack on Abia took place in February 2004. *See* paras 1164-1165 below.

December 2003, when Buk Abudema informed the soldiers that he was from that time in charge of Division, and that Dominic Ongwen would be Sinia commander.²¹⁵⁵

1077. Thus, it would appear that the witnesses place Dominic Ongwen's promotion to brigade commander at an earlier time than 4 March 2004. However, in light of the specific and clear record of a promotion ordered by Joseph Kony on that date, the Chamber concludes that Dominic Ongwen was officially appointed Sinia brigade commander on 4 March 2004.

Dominic Ongwen remained Sinia commander until 31 December 2005, and further. On 30 May 2004, Joseph Kony promoted him to the rank of colonel, and sometime in late 2004 to the rank of brigadier. ²¹⁵⁶

1078. Three of the attacks central to the charges in the case took place in the months following Dominic Ongwen's appointment to Sinia brigade commander, between April and June 2004. P-0142, P-0231 and P-0264 testified that at the time of the Odek attack in April 2004, Dominic Ongwen was in charge of Sinia. P-0054 testified that Dominic Ongwen was brigade commander at the time of Lukodi, as well as at Abok. Dominic Ongwen's role and exercise of authority at the time of each attack are discussed in the respective sections below. 160

1079. Radio intercept evidence indicates that on 30 May 2004, Joseph Kony promoted Dominic Ongwen to the rank of colonel. ²¹⁶¹

1080. The evidence indicates that Dominic Ongwen maintained his position as Sinia brigade commander in the ensuing period. P-0440 testified that when he left the LRA in August 2004. Dominic Ongwen was Sinia brigade commander. ²¹⁶² P-0406, who served as soldier

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²¹⁵⁵ P-0406: T-154, p. 34, lines 3-15.

²¹⁵⁶ Para. 138 above.

²¹⁵⁷ P-0142: <u>T-71</u>, p. 3, lines 18-23; P-0231: <u>T-122</u>, p. 68, lines 13-24; P-0264: <u>T-64</u>, p. 40, lines 2-8. *See also* section IV.C.7 below.

²¹⁵⁸ P-0054: <u>T-93</u>, p. 31, lines 4-11; P-0142: <u>T-70</u>, p. 43, lines 13-15. *See also* section IV.C.8 below.

²¹⁵⁹ P-0054: <u>T-93</u>, p. 34, lines 18-19. *See also* section IV.C.9 below.

²¹⁶⁰ See sections IV.C.7, IV.C.8, IV.C.9 below.

²¹⁶¹ ISO Logbook (Gulu), UGA-OTP-0062-0002, at 0004; section IV.B.3.ii.o above. *See also* UPDF Logbook (Gulu), UGA-OTP-0254-2982, at 3062. P-0205 testified that Dominic Ongwen was promoted to the rank of colonel 'after he had sent the operation report of Lukodi', which corresponds to the radio intercept evidence; P-0205: <u>T-48</u>, p. 4, lines 9-21.

²¹⁶² P-0440: <u>T-40</u>, p. 15, lines 18-20.

in Sinia, testified that they went to Sudan in November 2004 and that Dominic Ongwen was commander of Sinia at that time. ²¹⁶³

- 1081.A faxed copy of an entry in the ISO logbook indicates that Joseph Kony promoted Dominic Ongwen to the rank of brigadier on 4 December 2004. In the UPDF logbook, the corresponding promotion is dated 23 October 2004. The Chamber notes that both radio messages were logged by the intercepting agencies in mid-2005, which was at least in case of the UPDF attributed to the fact that the LRA code was broken only at that time. In any case, the Chamber considers that the evidence is sufficient to conclude that the promotion did occur, and that it occurred sometime in late 2004.
- 1082. The Chamber notes that the Prosecution claims that on 16 March 2005, Dominic Ongwen was made deputy to Vincent Otti. ²¹⁶⁷ The UPDF logbook cited by the Prosecution as evidentiary basis indeed contains such an entry. ²¹⁶⁸ However, in the corresponding ISO logbook, the same message is logged as praise for Dominic Ongwen and another person as 'still having LRA at heart' and continuing that 'they are the ones now *following* Otti Vincent'. ²¹⁶⁹ Records of intercepts by the police do not assist in resolving the matter. Indeed, while a typed report dated 17 March 2005 states that Dominic Ongwen and another person were 'appointed [...] to second deputy LRA chief' by an 'unknown commander', ²¹⁷⁰ a hand-written report, dated 16 March 2005, instead states that Dominic Ongwen and another person were 'pinpointed [...] as second to deputy LRA chief for their operations/activities'. ²¹⁷¹ In light of this different interpretations of the radio communication by the intercepting agencies, the Chamber does not see a basis to make the finding as proposed by the Prosecution.

²¹⁶³ P-0406: T-154, p. 34, lines 16-22.

²¹⁶⁴ ISO Faxed Copy, UGA-OTP-0163-0007, at 0165.

²¹⁶⁵ UPDF Logbook (Gulu), UGA-OTP-0254-1077, at 1363.

²¹⁶⁶ UPDF Logbook (Gulu), UGA-OTP-0254-1077, at 1360. Note that likewise in the ISO logbook, the message appears out of timeline, in a short section entitled 'Enemies msgs sent in codes', ISO Faxed Copy, UGA-OTP-0163-0007, at 0164.

²¹⁶⁷ Prosecution Closing Brief, para. 66.

²¹⁶⁸ UPDF Logbook (Gulu), UGA-OTP-0254-1077, at 1208.

²¹⁶⁹ ISO Logbook (Gulu), UGA-OTP-0152-0002, at 0181 (emphasis added). It is the date of the message and the information logged before and after that establish conclusively that this is the same radio communication.

²¹⁷⁰ Police Intelligence Report, UGA-OTP-0256-0241, at 0241.

²¹⁷¹ Police Notes, UGA-OTP-0151-0016, at 0017.

1083. In any case, P-0054 testified that he was in Sinia until 2005, ²¹⁷² and that at the time he left, Dominic Ongwen was still the commander of Sinia brigade. ²¹⁷³ Finally, P-0231 testified that Dominic Ongwen stayed in the position of Sinia brigade commander for '[m]aybe one year, eight, nine months or two years', after which he became a 'director of operations' when they went to the DRC. ²¹⁷⁴ The Chamber understands these latter events to already fall outside of the period of the charges.

4. Objectives and policies of the LRA

The LRA pursued an armed rebellion against the Government of Uganda. 2175

- 1084. As to the objective of the LRA, P-0138 testified that, to his understanding, Joseph Kony's aim was to overthrow President Museveni's government 'so that he can become the president of Uganda'.²¹⁷⁶
- 1085.P-0406 testified about a speech given by Joseph Kony to the assembled members of the LRA, in which Joseph Kony stated that he was a prophet, sent to 'save the Acholi community from poverty', and spoke of his intention to overthrow the Government of Uganda and rule 'places like Gulu town, Kitgum'. P-0085 similarly testified that he came to know that the LRA was fighting because of 'bad government', which 'took away the wealth of the Acholi people and the Langi'. P-0097 also reported hearing senior LRA members, including Dominic Ongwen, state that they were fighting to overthrow the government. P-0145 testified that he was told the same during his training in the LRA.
- 1086.P-0231 stated that when he had just been abducted, he was told that they were 'fighting to overthrow the Government of Uganda', that this government included civilians, and that the guns they had were to kill people, including those civilians.²¹⁸¹

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<sup>2172</sup> P-0054: <u>T-93</u>, p. 10, lines 23-24.
<sup>2173</sup> P-0054: <u>T-93</u>, p. 12, lines 17-19.
<sup>2174</sup> P-0231: <u>T-122</u>, p. 35, lines 2-10.
<sup>2175</sup> Para. 139 above.
<sup>2176</sup> P-0138: <u>T-120</u>, p. 24, lines 7-11. See also P-0138: <u>T-121</u>, p. 33, line 18 – p. 34, line 4.
<sup>2177</sup> P-0406: <u>T-155</u>, p. 17, lines 9-22. See also the similar theme of Joseph Kony's speeches to the membership as recalled by P-0045: <u>T-104</u>, p. 44, lines 13-21. See also P-0410: <u>T-151</u>, p. 10, lines 7-16.
<sup>2178</sup> P-0085: <u>T-158</u>, p. 13, lines 18-24.
<sup>2179</sup> P-0097: <u>T-108</u>, p. 35, line 15 – p. 36, line 2.
<sup>2180</sup> P-0145: <u>T-143</u>, p. 42, line 22 – p. 43, line 5.
<sup>2181</sup> P-0231: <u>T-123</u>, p. 20, lines 8-12.
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- 1087.P-0264 stated that while in the bush, they used to be told that Joseph Kony would say that when they eventually overthrow the government, they will have a 'very good life' ahead of them.²¹⁸²
- 1088. Certain documentary evidence is relied on by the Prosecution to demonstrate that the LRA aimed to overthrow the Government of Uganda. ²¹⁸³ In particular, an undated document entitled 'LRA Manifesto', an (undated) LRA 'Constitution', and a 1997 document entitled 'A brief look of the struggle', contain language indicating that the objective of the LRA was to 'liberate' Uganda from the rule of the government in place. ²¹⁸⁴
- 1089. The first document was provided to the Prosecution by Professor Allen, who testified before the Chamber that there was 'consensus' (in the understanding of the Chamber, this refers to a consensus in the academic community to which Professor Allen belongs), that this document 'came from the LRA in some way'. He pointed out that in an interview, Joseph Kony referred to the manifesto. The second and third documents were instead provided to the Prosecution by Timothy Kanyogonya. However, neither his prior recorded testimony introduced pursuant to Rule 68(3) of the Rules in which he explains his transmission to the Court of documents that had been seized from the LRA 2187 nor his in-court testimony Provide any further information on these two particular documents. The documents were also not discussed with any insider witnesses.
- 1090. The Chamber does not have concerns on the authenticity as such of these documents, while noting that some were raised by the Defence in respect of the manifesto at the time of its submission into evidence. The Chamber is mindful, however, of the testimony of Professor Allen, who stated that '[i]t is not clear the degree to which [these documents]

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²¹⁸² P-0264: T-65, p. 59, lines 1-8.

²¹⁸³ Prosecution Pre-Trial Brief, para. 158.

²¹⁸⁴ LRA Manifesto, UGA-OTP-0269-0791, at 0794, 0796; LRA Constitution, UGA-OTP-0012-0326, at 0329-

^{30;} LRA Policy Document, UGA-OTP-0012-0242, at 0246.

²¹⁸⁵ P-0422: <u>T-28</u>, p. 40, line 6 – p. 41, line 4.

²¹⁸⁶ P-0422: <u>T-28</u>, p. 41, lines 7-8. See also Book, UGA-OTP-0272-0002, at 0129.

²¹⁸⁷ P-0038 First Statement, UGA-OTP-0069-0784-R01; P-0038 Second Statement, UGA-OTP-0244-0912-R01.

²¹⁸⁸ P-0038: <u>T-116</u>; <u>T-117</u>.

²¹⁸⁹ Confidential Annex A to <u>Defence Response to "Prosecution's request to submit 1006 items of evidence from the 'bar table'" (ICC-02/04-01/15-654)</u>, 7 February 2017, ICC-02/04-01/15-701 (hereinafter: '<u>Defence Response to "Prosecution's request to submit 1006 items of documentary evidence"</u>), ICC-02/04-01/15-701-Conf-AnxA, pages 126-27, 152. *See also* <u>Defence Response to "Prosecution's request to submit 1006 items of documentary evidence"</u>, para. 66.

genuinely represented the views of the movement as a whole', and that he did not think that 'we can say with any certainty that [...] these documents were adopted consciously by all those figures within the LRA forces'.²¹⁹⁰ In these circumstances, as it is not clear how the documents were prepared and in the absence of an indication in the available evidence to the effect that they were in fact widely used as reference documents for LRA members, the Chamber decides not to use them for its findings in relation to the objectives of the LRA.

1091. In any case, in addition to the evidence provided by witnesses referred to above, there are regular references in the records of intercepted communications to the overall goal of the LRA being the removal of President Museveni from power. Among the clearest and most explicit is the message intercepted by the ISO as transmitted by Joseph Kony on 29 July 2002, wherein Joseph Kony stated that the LRA had a political agenda for fighting President Museveni's government and that they must remove him from power. President Museveni's government and that they must remove him from power.

The LRA perceived as associated with the Government of Uganda, and thus as the enemy, the civilians living in Northern Uganda, in particular those who lived in government-established IDP camps in Northern Uganda. LRA commanders routinely declared that civilians were failing to support the LRA in its effort against the government and should be killed by the LRA. Dominic Ongwen knew that the LRA perceived, and also himself

²¹⁹⁰ P-0422: T-28, p. 41, lines 4-24.

²¹⁹¹ ISO Logbook (Gulu), UGA-OTP-0063-0194, at 0204 (Kony referring to LRA capturing state power), 0234 (Kony instructing that prayers be held saying that 'Museveni must go'), 0245 (Kony and Otti discussing that they want to remove Museveni from state power), 0339 (Kony stating that the LRA will struggle until Museveni is removed from power); ISO Logbook (Gulu), UGA-OTP-0068-0002, at 0056 (Kony stating that he was fighting to remove Museveni from power, and that Museveni must go); ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0073 (Otti stating that it is only the LRA who will remove Museveni from power); ISO Logbook (Gulu), UGA-OTP-0068-0146, at 0254 (Otti referring to the LRA struggle to remove Museveni from power) (see Chamber's discussion on the reliability of the 2002 ISO logbooks, para. 666); ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0484 (Kony stating that the LRA have started an attack to remove Museveni from power), 0485 (Otti calling on all LRA units to start a real war to remove Museveni from power) (see also UPDF Logbook (Achol Pii), UGA-OTP-0242-6018, at 6147-48); ISO Logbook (Gulu), UGA-OTP-0066-0002-R01, at 0045 (Kony stating that the LRA were fighting to remove Museveni from power because he was a dictator), 0055-56 (Kony vowing that the war will not end until Museveni is removed from power) (see also UPDF Logbook (Gulu), UGA-OTP-0254-0229, at 0356-59); ISO Logbook (Gulu), UGA-OTP-0061-0002, at 0098 (Kony stating that the LRA will continue fighting and killing people for as long as Museveni is president), 0176 (Kony stating that by all means Museveni must go); ISO Logbook (Gulu), UGA-OTP-0061-0206, at 0289 (Kony stating that the LRA did not want peace talks and that Museveni would be removed from power 'through gun'), 0327 (Kony swearing that he himself would be the one to remove Museveni from power) (see also UPDF Logbook (Achol Pii), UGA-OTP-0242-7309, at 7491-93; UPDF Logbook (Sudan), UGA-OTP-0242-7194, at 7262-64; Police Logbook, UGA-OTP-0037-0002, at 0139-40).

²¹⁹² ISO Logbook (Gulu), UGA-OTP-0063-0194, at 0331. The Chamber notes its discussion of the reliability of the 2002 ISO logbooks at para. 666 above.

perceived, the civilians living in Northern Uganda as associated with the Government of Uganda – and thus as the enemy.²¹⁹³

1092. There is considerable evidence on the record demonstrating that the LRA perceived civilians in Northern Uganda as the enemy. In this section, the Chamber lays out the most relevant evidence demonstrating that this perception was specifically articulated by the LRA leadership, including by Dominic Ongwen. However, the Chamber emphasises that this is not the only basis on which the conclusion is taken. Indeed, the pattern of attacks against civilians, explored below, ²¹⁹⁴ is an equally powerful indicator of the same.

1093. Asked generally whether at the end of 2003 or in 2004 in Pader the LRA perceived the civilians as supporting or opposing the LRA, P-0070 responded:

They looked at the civilians as not supporting them, because whenever the civilians saw the LRA moving, it will not take long before they come under attack. In a very short while you will see the gunship above trying to attack the LRA. So they knew the civilians were not for the LRA.

1094.D-0032 confirmed that by the time of Operation Iron Fist, Joseph Kony was regularly ordering civilians to be killed and issued an order to attack, *inter alia*, homes and camps, and to commit a lot of atrocities.²¹⁹⁶ He explained that, as civilians in Lango, Teso and Acholi were supporting the government through militia groups that fought the LRA, Joseph Kony ordered attacks on civilians in those areas.²¹⁹⁷

1095.P-0138 testified that in 2003, after the arrival of the LRA in Soroti and from the time that the Arrow groups started organising, 'Kony gave an instruction that we needed to start killing the civilians because they had become stubborn'. ²¹⁹⁸ Asked what 'stubborn' meant in this context, P-0138 stated that, in his view, Joseph Kony meant that the civilians were joining the army or taking information to the UPDF. ²¹⁹⁹ The witness also stated that in Teso, all male civilians were presumed to be part of the Arrow groups, and consequently targeted by the LRA. ²²⁰⁰

²¹⁹³ Paras 140-141 above.

²¹⁹⁴ See sections IV.C.5, IV.C.6, IV.C.7, IV.C.8, IV.C.9 below.

²¹⁹⁵ P-0070: <u>T-106</u>, p. 24, line 21 – p. 25, line 2.

²¹⁹⁶ D-0032: T-201, p. 8, lines 1-13.

²¹⁹⁷ D-0032: <u>T-201</u>, p. 14, lines 3-12.

²¹⁹⁸ P-0138: <u>T-120</u>, p. 20, lines 8-14.

²¹⁹⁹ P-0138: <u>T-120</u>, p. 21, lines 4-11.

²²⁰⁰ P-0138: <u>T-120</u>, p. 22, lines 20-23.

- 1096. P-0145 also testified about having seen Joseph Kony gather people and issue an order to kill civilians because they were 'stubborn'. 2201
- 1097, P-0406 also testified that Joseph Kony told the LRA that Acholi people 'do not want to support his war' because they inform the government forces of encountering the LRA, after which government forces come and attack the LRA. 2202 P-0406 stated that this was the reason Joseph Kony gave for killing civilians. ²²⁰³
- 1098, P-0264 referred to a specific occasion in Apala in Lango, during the time that Dominic Ongwen commanded the Sinia brigade, when the Oka battalion abducted civilians, but because an order had been given that civilians should not be abducted or know of the presence of the LRA, the abductees were killed rather than released, so they would not 'come back with soldiers'.2204
- 1099. The Chamber also takes into account the testimony of P-0101, who stated that the LRA 'did not like civilians', 2205 and elaborated as follows:

The reason why the LRA didn't like civilians was they said that it was civilians who would inform government soldiers, as when the government soldiers are in the area civilians would inform them that there are Holy soldiers in the area and then the government soldiers would go and attack the Holy soldiers. ²²⁰⁶

- 1100.P-0101's testimony is a valuable indication of the perception of the LRA from the viewpoint of a person who spent a long period of time within the organisation.
- 1101. The Chamber also notes the testimony of P-0205 to the effect that there was an order in the LRA that every civilian who raised alarm on the LRA, or who was captured and it was found out that that civilian was the one who reported about the LRA, should be killed.²²⁰⁷

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²²⁰¹ P-0145: <u>T-143</u>, p. 51, line 22 – p. 52, line 6. Although the witness does not use the word 'civilians' but 'people' and 'Acholi', context makes it clear that he is referring to orders in relation to civilians.

²²⁰² P-0406: <u>T-155</u>, p. 20, lines 7-12. ²²⁰³ P-0406: <u>T-155</u>, p. 20, lines 12-13.

²²⁰⁴ P-0264: T-65, p. 26, lines 5-25. *See also* para. 1169 below.

²²⁰⁵ P-0101: T-13-CONF, p. 65, lines 2-6.

²²⁰⁶ P-0101: T-14-CONF, p. 3, lines 18-21.

²²⁰⁷ P-0205: T-48, p. 61, lines 2-9.

- 1102. Hillary Daniel Lagen equally testified that Joseph Kony gave the order that in addition to the UPDF, the LRA should also target civilians 'because they are the ones [...] who also join[] the UPDF and make it difficult for us to, to win'. 2208
- 1103. Furthermore, Hillary Daniel Lagen provided evidence which gives a basis to reconstruct a slightly different, yet fully compatible, purported rationale for the LRA's aggressive attitude to civilians. He testified that he heard Joseph Kony order that 'a lot of civilians' be killed in order to draw the attention of the international community and put pressure on the Government of Uganda to accept talks with the LRA as a result of its inability to protect civilians. 2209 According to the witness, Joseph Kony gave this order on 31 December 2003 while on the bank of the Agago River. ²²¹⁰ Considering that the witness simultaneously stated that the order was given before Charles Tabuley's death and insisted even when the interviewer suggested to him that Charles Tabuley died in 2003²²¹¹ – as indeed clearly emerges from the evidence available to the Chamber²²¹² –, the precise date given by the witness is possibly inaccurate. Instead, it is more likely that the order was given in December 2002, in light of the evidence of Joseph Kony's movements as reported in the records of intercepted radio communications. ²²¹³ Nonetheless, the Chamber considers that the precise date is not of crucial importance, given that the witness otherwise described the event in detail and in a manner which is internally consistent.

1104. The Chamber also notes that the evidence supporting the conclusion that the LRA perceived civilians in Northern Uganda as associated with the government also includes evidence which indicates a specific focus on people residing in government-established IDP camps.

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²²⁰⁸ P-0040 Interview Transcript, UGA-OTP-0220-0704-R01, at 0706-07, lines 40-100.

²²⁰⁹ P-0040 Interview Transcript, UGA-OTP-0220-0678-R01, at 0685-86, lines 246-57.

²²¹⁰ P-0040 Interview Transcript, UGA-OTP-0220-0678-R01, at 0686, lines 258-75.

²²¹¹ P-0040 Interview Transcript, UGA-OTP-0220-0678-R01, at 0686-87, lines 291-316.

²²¹² See para. 1076 above.

²²¹³ See ISO Logbook (Gulu), UGA-OTP-0065-0002, at 0130 (including a comment on 28 December 2002 from the operator that Joseph Kony was going to Odek for prayers, which is relatively close to the Agago River); ISO Logbook (Gulu), UGA-OTP-0065-0002, at 0134 (noting down: 'Kony was very happy with this information he said LRA should continue hitting vehs until all vehs are finished here in the north. That it's until p/talks begin between LRA and the gov't when they will stop shooting or ambushing vehs', displaying a similarity in substance with the testimony of P-0040), at 0135 (including a comment that Joseph Kony was preparing to go back to Sudan). See also ISO Logbook (Gulu), UGA-OTP-0066-0002-R01, at 0162 (placing Joseph Kony in Sudan on 31 December 2003). See also UPDF Logbook (Gulu), UGA-OTP-0254-4143, at 4179.

1105. The information available indicates that IDP camps came into existence in Northern Uganda before the period directly relevant to the charges, and further suggests that some people were moved into IDP camps against their will. 2214 Indeed, P-0081 stated that he believed that he did not have a choice to stay in his village at the time the government said people should move to the Pajule-Lapul IDP camp. 2215 P-0269 testified that she moved with her family to Odek IDP camp in 2003 because government soldiers said that if they stayed back home, 'then it is the civilians who are actually sustaining the rebels'. 2216 D-0083 stated that the Ugandan government gave to the population an 'ultimatum' to move into the camps.²²¹⁷ Several witnesses testified of occurrences of abuse by camp residents at the hands of government soldiers, ²²¹⁸ and that the government soldiers and local defence units mandated to protect IDP camps were understaffed and did not offer sufficient protection. 2219 Further, IDP camp residents gave testimony indicating poor living conditions, due to lack of food, access to water and adequate health facilities. 2220

1106,P-0070 testified about Joseph Kony's resentment towards the people residing in government-established IDP camps, stating that Joseph Kony said that 'the people in the camps were not supporting him because every time they saw his people, they would run and report to the government soldiers that the LRA fighters have passed here or there'. 2221

1107. Beyond witness evidence, there is ample evidence in the records of intercepted radio communications of orders having been given to LRA soldiers to direct violence against civilians, and that the reason given was collaboration with the Government of Uganda, or even mere absence of support for the LRA. The records of intercepts of radio communications also indicate the specific focus on residents of IDP camps. The records relate to a large number of specific occasions when instructions or reports were transmitted, are always placed in a context, and on most occasions provide unique detail.

²²¹⁴ See section I.A above.

²²¹⁵ P-0081 Statement, UGA-OTP-0070-0029-R01, at para. 9.

²²¹⁶ P-0269: <u>T-85</u>, p. 32, lines 15-18.

²²¹⁷ D-0083: <u>T-217</u>, p. 19, line 12 – p. 20, line 11.

²²¹⁸ P-0067: T-125, p. 71, line 14 – p. 72, line 5; P-0269: T-85, p. 33, lines 5-14.

²²¹⁹ P-0218: T-90, p. 18, line 24 – p. 19, line 8; D-0113: T-221, p. 13, lines 10-12; T-221-CONF p. 21, lines 15-

²²²⁰ P-0269: <u>T-85</u>, p. 59, lines 11-21, p. 60, lines 16-20; P-0280: <u>T-84</u>, p. 6, line 2 – p. 7, line 1; D-0123: <u>T-238</u>, p. 10, lines 7-9.

2221 P-0070: <u>T-106</u>, p. 26, lines 8-14.

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Some of the intercepts, as indicated, were discussed in court in detail. The Chamber also notes its discussion of the reliability of the 2002 ISO logbooks above.²²²²

- 1108. According to the ISO logbook, on 27 July 2002, Joseph Kony instructed the LRA commanders to tell the fighters sent on mission 'not to kill civilians who are pro-LRA'. ²²²³
- 1109.On 9 August 2002, Joseph Kony is recorded in the ISO logbook as instructing a subordinate to 'tell UN that LRA doesn't kill innocent civilians' and that 'they only kill civilians who are siding with Museveni govt', ²²²⁴ referring further to the attack in Mucwini discussed above. ²²²⁵
- 1110. The ISO logbook records Joseph Kony as stating on 31 August 2002 that 'this time LRA shouldn't spare any civilian who doesn't want to side with LRA'. ²²²⁶ During the same communication as recorded in the logbook, Joseph Kony also overruled Raska Lukwiya's order that certain soldiers who had 'forced mothers with young children to have sex with them' be punished, stating that 'Lukwiya shouldn't punish any soldiers who do such thing', and that 'the Acholi even if you do something good to them they will not appreciate it'. ²²²⁷
- 1111. Also on 31 August 2002, as recorded in the ISO logbook, Joseph Kony instructed LRA commanders in Uganda to write letters and distribute them in 'all places', telling people that the LRA would follow them into IDP camps if they do not join the LRA in the fight against Museveni. According to the same entry, Charles Tabuley next stated that since people do not want to listen, the LRA should start ambushes, plant mines and kill 'mercilessly' so people are 'brought in line'. Joseph Kony is recorded as responding that Charles Tabuley's ideas were 'very ok[a]y' and that he opened the ways for all LRA commanders to 'resume atrocities on civilians'. P-0009 testified that occasionally the LRA would write letters to IDP camp residents telling them to leave the camps or be

²²²² See para. 666 above.

²²²³ ISO Logbook (Gulu), UGA-OTP-0063-0194, at 0327-28.

²²²⁴ ISO Logbook (Gulu), UGA-OTP-0064-0002, at 0025.

²²²⁵ See paras 994-998 above.

²²²⁶ ISO Logbook (Gulu), UGA-OTP-0064-0002, at 0086.

²²²⁷ ISO Logbook (Gulu), UGA-OTP-0064-0002, at 0085.

²²²⁸ ISO Logbook (Gulu), UGA-OTP-0064-0002, at 0086.

²²²⁹ ISO Logbook (Gulu), UGA-OTP-0064-0002, at 0086.

²²³⁰ ISO Logbook (Gulu), UGA-OTP-0064-0002, at 0086.

killed.²²³¹ It is noted that the statement of P-0084 corroborates the fact that on some occasions LRA sent threatening letters, including before the attack on Pajule in October 2003.²²³²

- Otti to tell his commanders to assess whether the people in a specific area supported the LRA and, if not, 'then [people] in that area should be killed all'. Later on the same day, Vincent Otti is recorded as stating that all LRA should concentrate on this order of Joseph Kony rather than on peace talks, and that if the LRA intensified its killing, people, including the international community, would 'come kneeling' before the LRA, while at that moment people did not appreciate 'the danger of siding with UPDF (govt)'. 2234
- 1113.On 9 October 2002, the ISO logbook records an order by Joseph Kony 'that his com[man]d[e]rs should start killing civilians because they are siding with Museveni'. ²²³⁵
- 1114.On 10 November 2002, Joseph Kony is recorded as instructing all LRA commanders to combine and attack one UPDF defence or 't/centre' and kill all people there, and that if they fail to hit a 'military target', they 'can plan and hit soft target even [local government officials] because these are Museveni's p[eo]ple'. ²²³⁶ Joseph Kony added that his commanders could 'use any type of gun they have on any target', and that even people travelling on bicycles could be shot using support weapons. ²²³⁷ Dominic Ongwen is recorded as on air for this conversation. ²²³⁸
- 1115.On 27 November 2002, Joseph Kony is recorded in the ISO logbook as ordering that 'civilians should only be killed once any LRA gr[ou]p comes across.' He explained this order by stating that he wanted all people to be 'in camps' so that 'fighting UPDF becomes easier because any person that you will meet you will know you have met with

²²³¹ P-0009: T-81, p. 10, line 20 – p. 11, line 9.

²²³² P-0084 Statement, UGA-OTP-0139-0149-R01, at paras 73-79.

²²³³ ISO Logbook (Gulu), UGA-OTP-0064-0093, at 0122.

²²³⁴ ISO Logbook (Gulu), UGA-OTP-0064-0093, at 0124.

²²³⁵ ISO Logbook (Gulu), UGA-OTP-0068-0002, at 0053.

²²³⁶ ISO Logbook (Gulu), UGA-OTP-0065-0002, at 0020.

²²³⁷ ISO Logbook (Gulu), UGA-OTP-0065-0002, at 0020.

²²³⁸ ISO Logbook (Gulu), UGA-OTP-0065-0002, at 0020.

²²³⁹ ISO Logbook (Gulu), UGA-OTP-0065-0002, at 0064.

UPDF and shot straight away on sight'. ²²⁴⁰ Joseph Kony also stated that 'support weapons like BIO and others should be used on any civilians they come across'. ²²⁴¹

- 1116.On 13 December 2002, Joseph Kony is recorded in the ISO logbook as stating that he was 'right' to kill innocent civilians, as he was accused of doing, since 'all Acholis are mobilising alongside with Museveni to kill him'. 2242
- 1117.On 18 March 2003, an interaction is recorded in the ISO logbook between Joseph Kony and a person not affiliated with the LRA, who, *inter alia*, confronted Joseph Kony about the LRA killing innocent civilians travelling.²²⁴³ Joseph Kony's response is noted down as: 'All p[eo]ple moving in veh[icle]s are agents of Museveni who are working ways of destroying LRA so they must all be killed'.²²⁴⁴ It is noted that 'Dominic's squad' is recorded as on air during this communication.²²⁴⁵
- 1118.On 31 March 2003, according to the intercept evidence, Joseph Kony gave instructions in relation to civilians. ²²⁴⁶ The recorded intercept was played to D-0032 in court. The witness identified Joseph Kony as the speaker and stated that Joseph Kony was ordering a commander to shoot the people of Oroko, and to kill more than eight hundred people, on account of them being 'useless' and that, if left alive, they would be working for President Museveni. ²²⁴⁷ This is also the content of the message according to a transcript of the audio-recorded intercept. ²²⁴⁸ The message is noted in the ISO logbook as stating that 'civilians hate LRA and those who do[] not want to join LRA in the bush are enemies and must all be executed'. ²²⁴⁹ The corresponding UPDF logbook corroborates the content of the instruction given by Joseph Kony, and identifies Ocan Bunia as the recipient. ²²⁵⁰

²²⁴⁰ ISO Logbook (Gulu), UGA-OTP-0065-0002, at 0064.

²²⁴¹ ISO Logbook (Gulu), UGA-OTP-0065-0002, at 0064.

²²⁴² ISO Logbook (Gulu), UGA-OTP-0065-0002, at 0105.

²²⁴³ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0026. The Chamber notes that while the entries in corresponding UPDF logbooks do not mention this specific detail, they do record the conversation between Joseph Kony and the person not affiliated with the LRA (*see* UPDF Logbook (Gulu), UGA-OTP-0254-3399, at 3522-23; UPDF Logbook (Soroti), UGA-OTP-0242-6212, at 6247-48).

²²⁴⁴ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0026.

²²⁴⁵ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0024.

²²⁴⁶ See section IV.B.3.ii.a above.

²²⁴⁷ D-0032: <u>T-201</u>, p. 16, lines 5-24.

²²⁴⁸ Transcript, UGA-OTP-0286-0165, at 0196-97.

²²⁴⁹ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0067.

²²⁵⁰ UPDF Logbook (Gulu), UGA-OTP-0254-3399, at 3545.

During this communication, Dominic Ongwen is recorded as on air in the ISO and UPDF logbooks.²²⁵¹

1119. According to the ISO logbook, on 4 April 2003 Dominic Ongwen reported to Vincent Otti that people in Lagile raise alarm when they see the LRA, and 'jointly gang' against LRA moving in the area. ²²⁵² Vincent Otti responded that 'Dominic should move there and sweep off any living being seen in that area'. ²²⁵³ Joseph Kony stated that LRA units should move to Lagile for that operation, killing anybody in that location. ²²⁵⁴ Joseph Kony also said that the people of Lagile 'have been a problem', and that Vincent Otti should organise an operation quickly. ²²⁵⁵ On 5 April 2003, Dominic Ongwen reported that he attacked Lagile IDP camp. ²²⁵⁶ On 28 April 2003, Dominic Ongwen is recorded as reporting to Joseph Kony that 'all p[eo]ple shifted f[ro]m Lagile', while others were in Awere. ²²⁵⁷ Joseph Kony responded this was 'very good' and instructed Dominic Ongwen to continue checking Lagile to find if any civilian still remained there and 'should just destroy'. ²²⁵⁸ The attack on Lagile IDP camp in Awere sub-county is discussed further below. ²²⁵⁹

1120. On 16 April 2003, Joseph Kony is recorded in the ISO logbook as stating that ammunition he had brought should be given out to all units in Uganda 'purposely for killing civilians'

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²²⁵¹ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0066; UPDF Logbook (Gulu), UGA-OTP-0254-3399, at 3544

²²⁵² ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0079. The relevant page of the logbook is poorly legible, but the Kampala copy of the logbook is legible and assists in understanding the content. *See* UGA-OTP-0065-0143, at 0242. *See also* section IV.B.3.i.b.vi. *See also* UPDF Logbook (Gulu), UGA-OTP-0254-3399, at 3552. The Chamber notes that the Soroti UPDF logbook records Lapanyikwara as complaining about the civilians in Lagile (UGA-OTP-0242-6212, at 6259), which the Chamber deems to be an error in light of the ISO and Gulu UPDF logbooks, and in light of P-0379's testimony in relation to the involvement of Dominic Ongwen in the attack.

²²⁵³ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0079.

²²⁵⁴ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0079. *See also* UPDF Logbook (Gulu), UGA-OTP-0254-3399, at 3552; UPDF Logbook (Soroti), UGA-OTP-0242-6212, at 6259.

²²⁵⁵ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0079. See also UPDF Logbook (Gulu), UGA-OTP-0254-3399, at 3552-53.

²²⁵⁶ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0083; UPDF Logbook (Gulu), UGA-OTP-0254-3399, at 3558. *See also* para. 1160 below.

²²⁵⁷ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0161. See also UPDF Logbook (Gulu), UGA-OTP-0254-0455, at 0531.

²²⁵⁸ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0161. The Chamber notes that while the UPDF Gulu logbook does not contain this specific instruction from Joseph Kony to Dominic Ongwen, it does also include the report from Dominic Ongwen to Joseph Kony that the Lagile area is empty (*see* UGA-OTP-0254-0455, at 0531).

²²⁵⁹ See para. 1160 below.

since these were the people who were 'very dangerous to LRA'.²²⁶⁰ Dominic Ongwen is recorded as on air.²²⁶¹

- 1121.On 27 April 2003, Joseph Kony is reported as stating that 'of all tribes in Uganda only the Acholi are very useless tribe', that 'only those [who] are in the bush with LRA who are real and good Acholi', and that 'LRA should concentrate and if possible finish (wipe) out all Acholi'. ²²⁶²
- 1122.On 5 May 2003, Vincent Otti is recorded as reporting to Joseph Kony that 'his major objectives now is to step [sic] serious operations in such [a way] that the entire northern Uganda will all cry', and that he was deploying 'according to Joseph Kony['s] instruction such that all parts of Acholi, Lango, Madi are covered and all camps, schools, t/centres and towns are attacked by LRA'. The logbook then records Joseph Kony being 'very impressed about Otti's plans' and saying that 'Otti should do exactly as he stated'. 2264
- 1123.On 7 May 2003, Joseph Kony is recorded as stating that he wanted the LRA to 'cover the entire northern Uganda purposely for waging serious atrocities for the community to blame the gov't that they are not doing enough to keep them'. 2265 It is noted that 'c/s 89 (Dominic unit)' is recorded as being on air during this communication. 2266
- 1124.On 9 May 2003, the ISO logbook recorded Joseph Kony stating that 'if LRA come across any bicycle cyclists they should capture and cut off their lips'.²²⁶⁷

²²⁶⁰ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0124. See also UPDF Logbook (Gulu), UGA-OTP-0254-0455, at 0490.

²²⁶¹ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0124. See also UPDF Logbook (Gulu), UGA-OTP-0254-0455, at 0490.

²²⁶² ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0157. The Chamber notes that while the UPDF Gulu logbook does not record this specific statement by Joseph Kony, it records him as talking about killing Acholi (*see* UPDF Logbook (Gulu), UGA-OTP-0254-0455, at 0529). In light of the detail contained in the ISO logbook entry, the Chamber considers it appropriate in the current context to rely on this record.

²²⁶³ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0185. The Chamber considers that even though the corresponding UPDF logbook entries do not seem to contain this specific detail, they clearly overall record the same content of the communication, and that in any event the ISO logbook entry is sufficiently reliable. *See* UPDF Logbook (Gulu), UGA-OTP-0254-0455, at 0560-62; UPDF Logbook (Soroti), UGA-OTP-0242-6212, at 6309-10.

²²⁶⁴ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0185.

²²⁶⁵ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0191. *See also* UPDF Logbook (Soroti), UGA-OTP-0242-6212, at 6315. While this entry does not reflect the exact same wording, it also records Kony as directing for 'heavy atrocities on civilians' to be started.

²²⁶⁶ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0190.

²²⁶⁷ ISO Logbook (Gulu), UGA-OTP-0068-0146, at 0151. While the corresponding UPDF logbooks do not contain this exact language, they do mention Kony talking about cutting the lips of civilians/bicycle riders in an

- 1125.On 7 June 2003, Lapanyikwara is recorded in logbook evidence as reporting an attack on Opit conducted by Dominic Ongwen. Dominic Ongwen is indicated as on air during the radio communication. According to the logbook, Joseph Kony then responded that 'Dominic should have burnt all civilians houses because they are wors[e] than UPDF'.
- 1126.On 9 August 2003, Vincent Otti is recorded in the ISO logbook as reporting that civilians in Lango were 'pledging money for LRA killed', and stated that therefore all civilians in Lango areas were enemies and should be dealt with severely. Vincent Otti also said on radio that 'any person found with bows and arrows should be shot with his arrows on all his body to stand like a po[r]cupine'. 2272
- 1127. According to the ISO logbook, on 2 September 2003, in a radio communication including Joseph Kony, Vincent Otti, Raska Lukwiya, Charles Tabuley, and others, Vincent Otti announced that 'very soon he will start killing civilians seriously and the blood will flow into rivers and such river will be call[ed] "R. BLOOD". 2273
- 1128. In an intercepted communication of 10 October 2003, Vincent Otti reported to Joseph Kony that he had sent soldiers to attack Pajule trading centre, and Joseph Kony responded by instructing Vincent Otti to make civilians his main target, because they were the ones making the UPDF fight the LRA.²²⁷⁴ As discussed below, there is also evidence to the

exchange with Ocan Bunia. *See* UPDF Logbook (Gulu), UGA-OTP-0254-0455, at 0574; UPDF Logbook (Soroti), UGA-OTP-0242-6212, at 6321. The Chamber further notes that the handwriting on this page of the ISO logbook was identified to be that of P-0059 (*see* P-0059: <u>T-36</u>, p. 31, line 19 – p. 33, line 17; P-0303 Statement, UGA-OTP-0258-0723-R01, at para. 37(e)).

²²⁶⁸ ISO Logbook (Gulu), UGA-OTP-0068-0146, at 0228. *See also* UPDF Logbook (Gulu), UGA-OTP-0254-0455, at 0664-65; UPDF Logbook (Soroti), UGA-OTP-0242-6212, at 6395.

²²⁶⁹ ISO Logbook (Gulu), UGA-OTP-0068-0146, at 0226, 0229. *See also* UPDF Logbook (Gulu), UGA-OTP-0254-0455, at 0664; UPDF Logbook (Soroti), UGA-OTP-0242-6212, at 6394. In fact, the Chamber notes that the Gulu UPDF logbook attributes the report of the attack to Dominic Ongwen himself, but considers this discrepancy in the logbook evidence to be immaterial.

²²⁷⁰ ISO Logbook (Gulu), UGA-OTP-0068-0146, at 0229. The Chamber notes that while the UPDF Soroti logbook does not include this specific remark by Joseph Kony, it also records the report by Lapanyikwara and Ocan Bunia on the attack at Opit, including the details of what was taken (*see* UGA-OTP-0242-6212, at 6395). ²²⁷¹ ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0257.

²²⁷² ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0257. The Chamber notes that while the corresponding UPDF logbooks do not seem to contain this specific statement by Vincent Otti, they do also record exchanges in which Joseph Kony instructs for 'gun man or arrow men' to be killed and civilian houses to be burned (*see* UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 0823-24) and Tabuley states operating 'to kill Teso people for holding guns and arrows against LRA' (*see* UPDF Logbook (Achol Pii), UGA-OTP-0242-6018, at 6088).

²²⁷³ ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0349; UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 0888. *See also* UPDF Logbook (Achol Pii), UGA-OTP-0242-6018, at 6108.

 $^{^{2274}}$ See section IV.B.3.ii.c above. The content of this exchange is established by reference to the testimonies of P-0138: $\overline{\text{T-120}}$, p. 65, line 25 – p. 66, line 21, and to the ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0547. Despite an issue with his testimony, discussed above para. 707, P-0003's evidence is also corroborative on this

effect that Dominic Ongwen stated, on the ground during the attack on Pajule IDP camp, that 'all the people from Pajule were going to be killed because they were supporting the government'. ²²⁷⁵

- 1129.On 25 October 2003, according to the ISO logbook, when giving instructions for fighting Arrow groups, Joseph Kony stated that the LRA should 'kill all of them including the civ[ilians] they get that they should just shoot whoever tries to escape', and that 'even mothers with kids should not be spared'. 2276 He specified that 'even if LRA kills few Arrow grps but kill many civ its okay'. 2277
- 1130.On 11 November 2003, Joseph Kony is recorded in an ISO logbook as stating that LRA soldiers should kill Lango, Acholi and Teso civilians because they made the UPDF follow the LRA.²²⁷⁸
- 1131.Certain intercepted communications from the period 22-24 November 2003 were discussed during the trial in detail. ²²⁷⁹ Among these is an exchange between Buk Abudema and Joseph Kony wherein the former stressed that the LRA needed to kill civilians because they were supporting President Museveni, to which the latter responds by saying that civilians were many and that no matter how many they killed, they would not finish all the civilians. ²²⁸⁰
- 1132.On 23 December 2003, Joseph Kony is recorded as stating that 'Acholi should truly be killed and if possible wiped off totally b[ecau]se instead of running to the bush to join hands with LRA so that Museveni is toppled, they go to Museveni'. ²²⁸¹

²²⁷⁶ ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0612. *See also* UPDF Logbook (Gulu), UGA-OTP-0254-0229, at 0286; UPDF Logbook (Achol Pii), UGA-OTP-0242-6018, at 6172.

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point (P-0003: <u>T-43</u>, p. 3, line 17 – p. 4, line 16). The Chamber notes that this intercepted communication is also discussed as part of the evidence relevant for the findings in relation to the attack on Pajule IDP camp on 10 October 2003, *see* section IV.C.6.iv below.

²²⁷⁵ See para. 1274 below.

²²⁷⁷ ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0613. *See also* UPDF Logbook (Gulu), UGA-OTP-0254-0229, at 0286; UPDF Logbook (Achol Pii), UGA-OTP-0242-6018, at 6172.

 $^{{}^{2278}\} ISO\ Logbook\ (Gulu),\ UGA-OTP-0066-0002-R01,\ at\ 0038.\ \textit{See\ also}\ UPDF\ Logbook\ (Achol\ Pii),\ UGA-OTP-0242-6018,\ at\ 6194;\ UPDF\ Logbook\ (Soroti),\ UGA-OTP-0254-1991,\ at\ 2264-67.$

²²⁷⁹ See section IV.B.3.ii.e above. ²²⁸⁰ P-0003 Tape UGA-OTP-0037-0314 Transcript, UGA-OTP-0248-0224-R01, at 0251-54; P-0016 Tape UGA-OTP-0037-0314 Transcript, UGA-OTP-0259-0128, at 0129-32; P-0059 Tape UGA-OTP-0037-0314 Transcript, UGA-OTP-0248-0342-R01, at 0369-72; P-0003: <u>T-43</u>, p. 48, line 22 – p. 51, line 20; P-0016: <u>T-33</u>, p. 51, line 13

⁻ p. 52, line 23; P-0059: <u>T-37</u>, p. 29, line 17 – p. 31, line 11. *See also* section IV.B.3.ii.e above. ²²⁸¹ ISO Logbook (Gulu), UGA-OTP-0066-0002-R01, at 0147. *See also* UPDF Logbook (Soroti), UGA-OTP-0254-2284, at 2460-61.

- 1133.On 23 January 2004, an ISO logbook reports Joseph Kony as stating that 'killing of civilians from infant to old age must start seriously. That civilians are the ones who put pressure on the Govt to fight LRA because LRA are the ones who make them suffer'. ²²⁸²
- 1134.On 23 February 2004, during an intercepted communication during which Dominic Ongwen was indicated as on air, ²²⁸³ Joseph Kony is recorded as instructing 'all units in Uganda to attack and kill civilians as Odyambo has done because it's the same civilian which Museveni recruit to fight LRA. Therefore they should all be finished. ²²⁸⁴
- 1135.On 24 February 2004, an ISO logbook records Joseph Kony as stating that 'all [people] should know that those who support Museveni will all be killed by LRA. He added that as long as Museveni is still the president, LRA will continue fighting and killing [people].' Dominic Ongwen is recorded as on air during this intercepted radio communication.²²⁸⁶
- 1136. On 25 February 2004, according to the ISO logbook, based on a report that at 'Lira Palwo' some civilians were trying to assist an LRA soldier pretending to defect, Joseph Kony stated that 'p[eo]ple in Lira Palwo are very bad p[eo]ple and Onen should organise and kill them seriously', and that 'all Acholi should get finished b[ecau]se they are useless tribe'. Dominic Ongwen is noted as on air during this exchange. It is noted that on 19 March 2004, an LRA attack on Lira Palwo IDP camp in fact took place. 2289
- 1137.On 26 February 2004, the ISO logbook records a particular incident of an intruder joining the frequency urging the LRA to 'think about the [people]' and referring to a 'peaceful

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²²⁸² ISO Logbook (Gulu), UGA-OTP-0061-0002, at 0016. See also UPDF Logbook (Gulu), UGA-OTP-0254-4143, at 4208-09.

²²⁸³ ISO Logbook (Gulu), UGA-OTP-0061-0002, at 0094.

²²⁸⁴ ISO Logbook (Gulu), UGA-OTP-0061-0002, at 0096. *See also* UPDF Logbook (Achol Pii), UGA-OTP-0242-7309, at 7383; UPDF Logbook (Soroti), UGA-OTP-0254-2284, at 2501. The Chamber also notes in this context that P-0339 identified his handwriting in the UPDF Soroti logbook for the entry on 23 February 2004 at 09:45 (*see* P-0339: <u>T-135</u>, p. 12, lines 14-17; the logbook indicated here is a re-scan of the logbook shown to the witness, *see* UGA-OTP-0197-1866, at 1982). While P-0339 did not identify his handwriting on this exact page, the handwriting does appear to be the same. It is noted that a few days before, LRA soldiers under command of Odhiambo had attacked Barlonyo IDP camp, *see* paras 1164, 1166 below.

²²⁸⁵ ISO Logbook (Gulu), UGA-OTP-0061-0002, at 0098. *See also* UPDF Logbook (Gulu), UGA-OTP-0254-4143, at 4252; UPDF Logbook (Soroti), UGA-OTP-0254-2284, at 2506.

²²⁸⁶ ISO Logbook (Gulu), UGA-OTP-0061-0002, at 0097. See also UPDF Logbook (Gulu), UGA-OTP-0254-4143, at 4252.

²²⁸⁷ ISO Logbook (Gulu), UGA-OTP-0061-0002, at 0101. *See also* UPDF Logbook (Soroti), UGA-OTP-0254-2284, at 2513.

²²⁸⁸ ISO Logbook (Gulu), UGA-OTP-0061-0002, at 0101.

²²⁸⁹ See para. 1168 below.

solution to end the conflict'.²²⁹⁰ According to the logbook, '[a]ll LRA [commanders] on the net blamed that voice seriously' and 'Otti said under all means LRA will remove M7 [Museveni] and LRA will kill all useless civilians who are siding with the govt'.²²⁹¹ On the same day, Vincent Otti also ordered that all LRA, 'wherever they are' must kill people 'in camps'.²²⁹² Dominic Ongwen is recorded as being on air for this communication.²²⁹³

- 1138.On 31 May 2004, according to the ISO logbook, Vincent Otti, referring to the attack on Lukodi some days previously, stated that Tulu 'should carry forward b[ecau]se civ[ilians] are their first enemy'. ²²⁹⁴ Buk Abudema 'also encouraged Tulu to increase on killing civilians'. ²²⁹⁵ Tulu replied stating that 'that is what their god has promised them to do'. ²²⁹⁶ It is noted that Dominic Ongwen was on air for this communication, during which he reported that the same day he had 'hit a veh[icle] carrying UPDF soldiers and civilians'. ²²⁹⁷
- 1139.On 17 June 2004, Buk Abudema is recorded as stating, during a conversation with Dominic Ongwen, that 'civilians are the worst enemy to them and should all be killed b[ecau]se they are easily changed to become soldiers'.²²⁹⁸
- 1140. A UPDF logbook records Joseph Kony, on 7 July 2004, as stating that 'all people who are living in the camps will die due to the difficulties they are facing but that is not enough, he is encouraging his comdrs to help these people die more [because] they are the very people who are supporting govt to fight against LRA'.²²⁹⁹

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²²⁹⁰ ISO Logbook (Gulu), UGA-OTP-0061-0002, at 0104. *See also* UPDF Logbook (Achol Pii), UGA-OTP-0242-7309, at 7389.

²²⁹¹ ISO Logbook (Gulu), UGA-OTP-0061-0002, at 0104. *See also* UPDF Logbook (Achol Pii), UGA-OTP-0242-7309, at 7389; UPDF Logbook (Soroti), UGA-OTP-0254-2284, at 2517-18.

²²⁹² ISO Logbook (Gulu), UGA-OTP-0061-0002, at 0103.

²²⁹³ ISO Logbook (Gulu), UGA-OTP-0061-0002, at 0102. See also UPDF Logbook (Achol Pii), UGA-OTP-0242-7309, at 7388.

²²⁹⁴ ISO Logbook (Gulu), UGA-OTP-0062-0002, at 0007. *See also* Police Logbook, UGA-OTP-0037-0002, at 0104-05. This communication is also discussed below as relevant for the Chamber's findings in relation to the attack on Lukodi IDP camp on or about 19 May 2004.

²²⁹⁵ ISO Logbook (Gulu), UGA-OTP-0062-0002, at 0007. See also Police Logbook, UGA-OTP-0037-0002, at 0104-05.

²²⁹⁶ ISO Logbook (Gulu), UGA-OTP-0062-0002, at 0007.

²²⁹⁷ ISO Logbook (Gulu), UGA-OTP-0062-0002, at 0007. See also UPDF Logbook (Gulu), UGA-OTP-0254-2982, at 3063-64.

²²⁹⁸ ISO Logbook (Gulu), UGA-OTP-0062-0002, at 0040. *See also* UPDF Logbook (Gulu), UGA-OTP-0254-2982, at 3091.

²²⁹⁹ UPDF Logbook (Lira), UGA-OTP-0255-0228, at 0355-56. The Chamber notes that this particular detail is not included in the corresponding ISO and UPDF logbooks. However, these entries clearly concern the same radio

- 1141. The Prosecution also refers in this context to a radio message sent out by Dominic Ongwen on 1 August 2004. However, because there are material discrepancies as to the interpretation of the message in the testimonies of the two witnesses with whom it was discussed, the Chamber cannot draw any conclusions relevant for its findings. ²³⁰¹
- 1142. According to the ISO logbook, on 1 August 2004, in a radio communication during which, *inter alia*, Joseph Kony, Vincent Otti, Buk Abudema and Dominic Ongwen were on air, Labongo stated that the 'rate of ambushes and attack will be at its highest peak to show civilians that since they support Museveni [...] they should be destroyed all'. ²³⁰²
- 1143. The same ISO logbook also records, under the date of 29 September 2004, a message by Dominic Ongwen in which he complained about the UPDF and civilians calling on the LRA to come out of the bush if they did not want to 'get finished', and stated that he did not want to hear such 'foolish talks' and that he would 'organise more atrocities'. ²³⁰³

communication (*compare*, in particular, UPDF Logbook (Lira), UGA-OTP-0255-0228, at 0355 (Abudema and Kony talking about escape of Acaye Ecomog) *with* ISO Logbook (Gulu), UGA-OTP-0062-0002, at 0089-90 (Abudema and Kony talking about escape of Acaye Ecomog) *and* UPDF Logbook (Gulu), UGA-OTP-0254-2982, at 3129 (Abudema and Kony talking about escape of Acaye Ecomog)). Of particular note is that none of these logbooks appear to contain the specific report of Abudema which, according to the UPDF Lira logbook, led to this statement by Kony. Bearing this in mind, and noting at the same time that interceptors at times would have focused on different details in summarising radio communications, the Chamber considers it appropriate to refer to this specific detail included in the record of the communication as prepared by UPDF (Lira).

²³⁰¹ P-0016: T-33, p. 26, line 15 – p. 27, line 5 (testifying that Dominic Ongwen was stating that he has dispersed people to 'find their own way', and that there was no hidden meaning to this expression); P-0059: T-37, p. 32, line 24 – p. 35, line 7 (testifying that in line with his understanding, Dominic Ongwen was assembling his people and distributing them 'to do operation as these people wanted'). It may further be noted that none of the two witnesses provided an interpretation of the message relevant for the present discussion. *See also* section IV.B.3.ii.q above.

²³⁰² ISO Logbook (Gulu), UGA-OTP-0062-0145, at 0156. *See also* UPDF Logbook (Gulu), UGA-OTP-0254-2982, at 3168; Police Logbook, UGA-OTP-0037-0002, at 0044.

²³⁰³ ISO Logbook (Gulu), UGA-OTP-0062-0145, at 0299. The Chamber notes that this particular detail is not included in the corresponding UPDF logbook entries. However, these entries clearly concern the same radio communication (*compare* ISO Logbook (Gulu), UGA-OTP-0062-0145, at 0298 (Otti coming briefly on air but closing down because on move) *with* UPDF Logbook (Gulu), UGA-OTP-0254-3833, at 4001 (Otti coming briefly on air but closing down because on move) *and* UPDF Logbook, UGA-OTP-0255-0451, at 0630 (Otti coming briefly on air but closing down because on move)); *compare* ISO Logbook (Gulu), UGA-OTP-0062-0145, at 0298 (Dominic Ongwen reporting ambushes on 13 and 21 September 2004) *with* UPDF Logbook (Gulu), UGA-OTP-0254-3833, at 4002-03 (Dominic Ongwen reporting ambushes on 13 and 21 September 2004) *and* UPDF Logbook, UGA-OTP-0255-0451, at 0630-31 (Dominic Ongwen reporting ambush on 17 September 2004, but at same location and with same items taken)). While the UPDF Logbook, UGA-OTP-0255-0451, at 0631 also records Dominic Ongwen as talking about what he heard on radio Mega FM, it does not contain the same expressions as recorded in the ISO logbook. However, given the context involved, the Chamber is satisfied that it can rely on the wording as reported by ISO.

1144. On the basis of the above analysis, the Chamber understands that the LRA narrative and perception of the civilian population as the enemy was based on the perception of the civilians' cooperation with the Government of Uganda, rather than including an ethnic dimension as such. Most of the evidence refers to civilians as such, or frames the discussion in geographic terms (i.e. Northern Uganda). When ethnic designators are used, references to Acholi people are most frequent, but this derives primarily from the fact that the LRA had been operating in Acholi areas for the longest time; in a similar logic, when Iteso or Langi are referred to in the evidence, this is done in the specific context of operations in geographical areas of Northern Uganda predominately inhabited by either of these groups.

1145.In this regard, the Chamber observes that, according to the ISO logbook, on 26 February 2004 the issue of ethnicity was discussed on LRA radio. Reference was made to the tribal conflict between the Acholi and the Langi which had sparked off. ²³⁰⁴ Joseph Kony reacted by blaming the Acholi, Langi and 'Itesots' as being 'foolish' and stated that 'in the bush', i.e. in the LRA, Langi and 'Itesots' commanders are 'united without trible [i.e. tribal] conflicts among themselves', and adding that 'LRA have moved in all the Acholi, Lango and Teso regions committing atrocities everywhere they reach but still they are one in the bush'. ²³⁰⁵ Joseph Kony and Vincent Otti are further recorded as instructing that 'true Acholi, Langi and Itesots are only those ones who are in the bush with LRA' and that 'all those at home must all be killed'. ²³⁰⁶ This clearly indicates that, indeed, the decisive factor was the perceived lack of support for the LRA on the part of the civilian population, rather than their ethnicity. It is noted that Dominic Ongwen is recorded as having been on air during this communication. ²³⁰⁷

1146. The finding of the Chamber that Dominic Ongwen both knew of the LRA's attitude towards civilians in Northern Uganda and himself shared that attitude is based on the

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²³⁰⁴ ISO Logbook (Gulu), UGA-OTP-0061-0002, at 0103. The Chamber notes that the word 'tribal' is consistently misspelled as 'trible' in this entry of the logbook, but is clear from context. *See also* UPDF Logbook (Gulu), UGA-OTP-0254-4143, at 4255; UPDF Logbook (Achol Pii), UGA-OTP-0242-7309, at 7389; UPDF Logbook (Soroti), UGA-OTP-0254-2284, at 2515.

²³⁰⁵ ISO Logbook (Gulu), UGA-OTP-0061-0002, at 0103. *See also* UPDF Logbook (Achol Pii), UGA-OTP-0242-7309, at 7389.

²³⁰⁶ ISO Logbook (Gulu), UGA-OTP-0061-0002, at 0103. *See also* UPDF Logbook (Achol Pii), UGA-OTP-0242-7309, at 7389.

²³⁰⁷ ISO Logbook (Gulu), UGA-OTP-0061-0002, at 0102. *See also* UPDF Logbook (Gulu), UGA-OTP-0254-4143, at 4255; UPDF Logbook (Achol Pii), UGA-OTP-0242-7309, at 7388.

evidence cited above, which indicates that Dominic Ongwen regularly, and at times actively, participated in interactions, in particular of the LRA's radio communication network, during which intentions to harm civilians on account of their perceived association with the Government of Uganda were discussed. In addition, the Chamber finds support for this conclusion in its findings in relation to Dominic Ongwen's involvement in the four attacks relevant to the charges.²³⁰⁸

1147. The Chamber also notes in this context that the records of intercepted radio communications, as outlined in detail above, establish a clear awareness on the part of the correspondents of the distinction between civilians and combatants. The records regularly refer to 'civilians', 'innocent civilians', to people living in camps, or specifically distinguish between civilians and UDPF soldiers. Indeed, the references in the intercepted radio communications for example to women, children, elderly, or population in the IDP camps clearly indicate an intention to attack civilians.

²³⁰⁸ See sections IV.C.6, IV.C.7, IV.C.8, IV.C.9 below.

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5. Armed conflict and the LRA's attack on civilians

Throughout the period of the charges, i.e. between 1 July 2002 and 31 December 2005, the LRA regularly fought the armed forces of the Government of Uganda and associated local armed units in Northern Uganda. Dominic Ongwen knew of this fact.²³⁰⁹

- 1148. The Chamber has already provided in the introduction to this judgment a brief historical background to the conflict, and the major events leading up to the period relevant to the charges.²³¹⁰
- 1149.As set out above, shortly before 1 July 2002, as a result of Operation Iron Fist, a considerable number of LRA units, including Sinia brigade and specifically the Oka battalion, crossed the border from Sudan and entered back into Uganda. P-0205 testified that in June 2002, Gilva, Sinia and Stockree brigades, as well as Control Altar, entered Uganda under the overall leadership of Vincent Otti. As found above, at the time Dominic Ongwen was battalion commander in the Oka battalion of Sinia brigade. Sinia
- 1150. The Ugandan People's Defence Forces (UPDF), which is the national military of Uganda, ²³¹⁴ was the principal military opponent of the LRA.
- 1151. In addition, the LRA also fought local armed groups which were under the command of the UPDF and funded by the Government of Uganda.²³¹⁵ Joseph Balikudembe, a UPDF officer, explained that local defence units (LDUs) were under UPDF 'leadership guidance to make sure that they locally helped the UPDF fill the gap, especially in the protection of the IDP camps and maybe securing of routes'.²³¹⁶ They were recruited from a particular sub-county or village, and their purpose was to protect their own people.²³¹⁷ They were armed and given military training.²³¹⁸ John Lubwama, who was commander

²³⁰⁹ Para. 142 above.

²³¹⁰ See section I.A above.

²³¹¹ See section I.A above.

²³¹² P-0205: <u>T-47</u>, p. 17, line 25 – p. 18, line 5.

²³¹³ See paras 1013-1015 above.

²³¹⁴ Agreed Facts, A9.

²³¹⁵ In light of the evidence, the Chamber considers it appropriate to refer to the combined force of the UPDF and the associated LDUs as government forces. *See also* Agreed Facts, A11.

²³¹⁶ P-0359: <u>T-110</u>, p. 16, lines 7-18.

²³¹⁷ P-0359: <u>T-110</u>, p. 17, lines 11-21.

²³¹⁸ P-0359: <u>T-110</u>, p. 16, lines 21-25, p. 17, lines 21-23.

of the UPDF battalion based at Pajule during the relevant period, ²³¹⁹ testified that he had LDU soldiers under his command. ²³²⁰ The LDU were considered militia, but were integrated into the UPDF structure. ²³²¹ P-0059 stated that LDUs were 'part of the army'; they were locally recruited and armed by the UPDF. ²³²² D-0065 stated that the LDUs belonged to the government and were generally paid monthly salaries and given flour each week. ²³²³ P-0047 indicated that like the UPDF, the LDUs were paid by the army and were subject to the same code of conduct as the UPDF. ²³²⁴ P-0218 agreed that the LDUs were made up of local men and youth who were trained and paid by the government. ²³²⁵

1152. The evidence also demonstrates that 'Amuka' and 'Arrow Boys' were LDUs under UPDF command. P-0070 testified that the LRA's adversaries included Amuka and the Arrow Boys, which were locally recruited armed militia and also known as LDUs. ²³²⁶ P-0070 stated that the Amuka operated in Langi and the Arrow Boys operated in Teso. ²³²⁷ P-0138 explained that 'Arrow groups' were composed of Teso and Lira youths and were established and trained by the government so that they would fight the LRA and 'flush out the LRA from Teso'. ²³²⁸ He specified that despite their name, Arrow groups were armed with guns. ²³²⁹ Several witnesses have testified to having been part of LDU²³³⁰ or Arrow Boys, ²³³¹ at the relevant time, or otherwise testified about these groups in line with the Chamber's findings. ²³³²

1153. It is not disputed that the LRA engaged in regular fighting with the government forces during the period relevant to the charges. Evidence which establishes this fact is

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<sup>2319</sup> P-0047 Statement, UGA-OTP-0027-0177-R01, at paras 12-13.
<sup>2320</sup> P-0047 Statement, UGA-OTP-0027-0177-R01, at paras 50-51.
<sup>2321</sup> P-0047: <u>T-115</u>, p. 6, line 3 – p. 7, line 15.
^{2322} P-0059: \overline{\text{T-38}}, p. 46, line 2 – p. 47, line 1.
<sup>2323</sup> D-0065: <u>T-211</u>, p. 8, lines 13-15, p. 9, lines 11-16, p. 11, lines 6-14.
^{2324} P-0047: \overline{\text{T-115}}, p. 9, line 10 – p. 10, line 10.
<sup>2325</sup> P-0218: <u>T-90</u>, p. 51, lines 9-13.
<sup>2326</sup> P-0070: T-106, p. 21, line 16 – p. 22, line 4.
<sup>2327</sup> P-0070: <u>T-106</u>, p. 21, lines 16-17.
<sup>2328</sup> P-0138: T-120, p. 20, line 22 – p. 21, line 2.
<sup>2329</sup> P-0138: <u>T-120</u>, p. 21, lines 2-3.
^{2330} D-0065: \underline{\text{T-211}}, p. 7, line 2 – p. 14, line 5; D-0066: \underline{\text{T-214}}, p. 5, line 9 – p. 9, line 15; D-0072: \underline{\text{T-212}}, p. 6, line
13 - p. 12, line 3.
<sup>2331</sup> D-0125: <u>T-242</u>, p. 6, line 8 – p. 10, line 22; D-0138: <u>T-246</u>, p. 8, line 4 – p. 14, line 5; D-0140: <u>T-206</u>, p. 17,
line 16 – p. 20, line 15.
<sup>2332</sup> D-0122: \underline{\text{T-237}}, p. 8, line 19 – p. 9, line 24; D-0123: \underline{\text{T-238}}, p. 10, line 10 – p. 12, line 2; D-0124: \underline{\text{T-238}}, p.
16, line 17 – p. 23, line 1. Some witnesses also testified about the Amuka, see D-0083: T-217, p. 45, line 13 – p.
47, line 8; D-0113: T-221-CONF, p. 13, line 18 – p. 23, line 18.
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discussed across this judgment.²³³³ An uninterrupted timeline of hostilities between the LRA and the government forces is also discernible from the records of intercepted communication in general. Witnesses have equally referred to numerous instances of fighting with the government forces, outside of the specific contexts already discussed in this judgment.²³³⁴

1154. The Chamber notes that the Defence advanced the argument that the armed conflict between the LRA and the Ugandan government and associated forces should be considered international.²³³⁵ This question, which is a legal one, is disposed of below.²³³⁶ At this juncture the Chamber notes that there is evidence that the LRA obtained supplies and training in Sudan. 2337 There is, however, no indication, at least as concerns the relevant period, that the Government of Sudan in any way intervened in the conduct of LRA operations in Northern Uganda.

1155. As to its conclusion that Dominic Ongwen knew of the above facts, the Chamber considers that it is the only reasonable conclusion based on the position of Dominic Ongwen within the LRA, as discussed above. 2338

Throughout the period of the charges, in Northern Uganda, the LRA killed, injured and enslaved a large number of civilians in numerous attacks on individual civilians, IDP camps and other civilian locations. It also abducted and enslaved, and used as sexual slaves and so-called 'wives', and as domestic servants a large number of civilians. Dominic Ongwen knew of these facts.²³³⁹

1156. Under the requirements of the law, in particular of Article 7(2)(a) of the Statute, the Chamber is mandated to verify that a course of conduct involving the multiple commission of acts referred to in Article 7(1) of the Statute can be established. Given the volume and degree of specificity of the evidence in the case, this task can be undertaken by establishing to a great degree of detail the timeline of individual acts of violence undertaken by LRA soldiers against civilians. It is to be emphasised at the same time that

²³³³ See paras 1156-1171, sections IV.C.6, IV.C.7, IV.C.8, IV.C.9 below.

²³³⁴ See, for example, P-0070: <u>T-106</u>, p. 17, lines 2-6; P-0309: <u>T-61</u>, p. 14, line 7 – p. 16, line 1, p. 22, line 23 – p. 24, line 1, p. 28, line 15 – p. 30, line 21; <u>T-62</u>, p. 38, lines 17-18, p. 53, line 19 – p. 56, line 11, p. 58, line 24 – p. 59, line 15, p. 66, lines 22-25; P-0406: <u>T-154</u>, p. 15, lines 10-16; D-0068: <u>T-222</u>, p. 38, lines 5-12.

²³³⁵ Defence Closing Brief, para. 305.

²³³⁶ See section V.A.2.ii below.

²³³⁷ See para. 876 above.

²³³⁸ See section IV.C.3 above.

²³³⁹ Para. 143 above.

the individual acts of violence are not as such material to the charges, but are taken into account as evidence to demonstrate a course of conduct.

- 1157. This is, of course, with the exception of the four attacks which underlie a great number of charges in the case. These attacks at Pajule in October 2003, at Odek in April 2004, at Lukodi in May 2004, and at Abok in June 2004, ²³⁴⁰ – and the acts of killing, injury and enslavement of civilians carried out by the LRA in their contexts – are also relevant to the present determination. Similarly, the Chamber takes into account the findings below in relation to the acts of sexual and gender based violence committed by members of the LRA, including Dominic Ongwen against civilians in Northern Uganda, who were abducted, enslaved and used as sexual slaves and so-called 'wives', and as domestic servants. 2341
- 1158. The Chamber notes that according to the evidence assessed above, LRA soldiers attacked Mucwini and killed civilians there in late July 2002. 2342
- 1159. Several witnesses testified about an attack on Patongo in which Dominic Ongwen participated as commander of Oka Battalion. 2343 Witnesses testified that military barracks were attacked, that some goods were looted and some civilians abducted.²³⁴⁴ An ISO logbook entry dated 1 July 2002 records Charles Tabuley reporting the attack on Patongo in the morning of that day.²³⁴⁵ It is noted in particular that the report contains information on the capturing of two UPDF soldiers and one policeman, who was released some time after.²³⁴⁶ P-0205 stated that two policemen and a UPDF soldier were captured during the attack on Patongo, and that the policemen were later released. 2347 The Chamber considers that the small discrepancy in relation to whether two soldiers and one policeman, or one soldier and two policemen, were captured, is immaterial; to the

²³⁴⁰ See sections IV.C.6, IV.C.7, IV.C.8, IV.C.9 below.

²³⁴¹ See sections IV.C.10, IV.C.11 below.

²³⁴² See paras 994-998 above.

²³⁴³ P-0016: T-34-CONF, p. 20, lines 18-21; P-0205: <u>T-47</u>, p. 19, lines 21 – p. 20, line 12; P-0231: <u>T-122</u>, p. 39, lines 2-6, p. 40, lines 9-23. *See also* P-0309: <u>T-61</u>, p. 24, line 20 – p. 25, line 17. ²³⁴⁴ P-0205: <u>T-47</u>, p. 20, lines 1-3; P-0231: <u>T-122</u>, p. 39, lines 2-6, p. 40, lines 9-23.

²³⁴⁵ ISO Logbook (Gulu), UGA-OTP-0063-0194, at 0246. See also ISO Logbook (Gulu), UGA-OTP-0063-0194, at 0249-51; Report, UGA-OTP-0010-0006, at 0057; P-0340: T-102, p. 11, line 25 - p. 12, line 1. The Chamber notes its discussion of the reliability of the 2002 ISO logbooks at para. 666 above.

²³⁴⁶ ISO Logbook (Gulu), UGA-OTP-0063-0194, at 0246-48. See also ISO Logbook (Gulu), UGA-OTP-0063-0194, at 0249-50, 0254, 0266-67.

²³⁴⁷ P-0205: <u>T-47</u>, p. 20, lines 2-10.

contrary, given the similarity in the evidence, the Chamber is of the view that the same event is being described, and that the attack in Patongo occurred on 1 July 2002.

According to both the ISO and UPDF logbooks, Dominic Ongwen reported to Joseph Kony after the attack, stating that he had burnt some houses in the camp, killed a number of civilians, and abducted others. P-0379, an insider, testified about this attack. He stated that Dominic Ongwen called a standby and announced that they were 'going to work on the civilians of Awere so that they know that even us, we have guns that can shoot'. P-0379 stated that Dominic Ongwen's instruction was: 'When you reach there, do not leave anything. Anything that is living, don't leave alive because the people there do not want us. They want us to die here in the bush. P-0379 went on to describe the attack, stating that there was a group that went to the barracks, and another that went to the centre, and that the former was repelled from the barracks and joined the latter at the centre. He stated that some shops were broken into at the centre, there was a lot of gunfire and Kalalang was injured, after which the attackers retreated. According to the witness, about 8-12 civilians were captured and were later killed during the retreat from the camp, on the specific order of Dominic Ongwen.

²³⁵⁵ P-0379: T-57-CONF, p. 10, lines 5-18.

²³⁴⁸ The date of the attack is established by the UPDF logbook, where Dominic Ongwen is recorded reporting the attack on 5 April 2003, stating that it took place 'y/day' (UPDF Logbook (Gulu), UGA-OTP-0254-3399, at 3558). See also ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0083. The Chamber notes that while this entry is hardly legible, the corresponding entry in the Kampala logbook assists in understanding the content (see UGA-OTP-0065-0143, at 0246). Further, the Chamber takes note that the UPDF Soroti logbook records the report on the attack as having been made by Lapanyikwara (see UGA-OTP-0242-6212, at 6260), but considers this to be less reliable in light of the way in which the message is recorded in both ISO and UPDF (Gulu) logbooks. The evidence concerning the discussion among Dominic Ongwen, Joseph Kony and Vincent Otti in advance of this attack is discussed above, see para. 1119.

²³⁴⁹ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0083 (giving the number of civilians killed as 'over twenty'); UPDF Logbook (Gulu), UGA-OTP-0254-3399, at 3558. The Chamber notes that the Soroti UPDF logbook records this report as coming from Lapanyikwara (UGA-OTP-0242-6212, at 6260), which the Chamber deems to be an error in light of the ISO and Gulu UPDF logbooks, and in light of P-0379's testimony in relation to the involvement of Dominic Ongwen.

²³⁵⁰ It is noted that P-0379 referred to the attack as the attack in 'Awere', which may be either a reference to the sub-county or the town/place. In either case, the context indicates that P-0379 referred to the same attack as the one reported on the LRA radio and recorded in the logbooks.

²³⁵¹ P-0379: <u>T-57</u>, p. 9, lines 3-20.

²³⁵² P-0379: T-57, p. 9, lines 6-8.

²³⁵³ P-0379: T-57-CONF, p. 9, line 22 – p. 10, line 3.

²³⁵⁴ P-0379: T-57-CONF, p. 10, lines 4-8. The Chamber notes that Kalalang's injury is also mentioned in Dominic Ongwen's report on the attack (ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0083).

- 1161. According to witness evidence, on 23 June 2003, the LRA abducted by force a large number of girls from the Lwala Girls School. 2356 The ISO logbook provides corroboration for this testimony, as it records discussion of the distribution of the abducted girls on 22 July 2003, ²³⁵⁷ and again on 27 July 2003. ²³⁵⁸
- 1162.P-0406 described an attack on Abalanga in Soroti, where the LRA clashed with government soldiers, but also abducted people, and looted food. ²³⁵⁹ He stated that during the attack, civilian houses were shot at and set on fire, and that some people were locked in the houses before they were set on fire. 2360
- 1163.P-0309 described in detail an occasion in Labworomor, when LRA soldiers disguised themselves as members of the UPDF and entered the locality before being recognised and engaged by the UPDF. 2361 P-0309 testified that the LRA failed to overrun the barracks, but managed to loot food items in the civilian camp. 2362 According to this testimony, some civilians were abducted to carry away the looted items. ²³⁶³ P-0309 stated that it was Dominic Ongwen's idea to disguise as UPDF soldiers, and gave details of the instructions given by Dominic Ongwen. ²³⁶⁴ P-0372 provided very similar testimony. ²³⁶⁵ Full and detailed corroboration of this testimony is found in the ISO logbook, which also makes it possible to date the attack at 23 November 2003. 2366

. Other witnesses have also referred

²³⁵⁶ P-0015, who testified to have been abducted from the school, estimated that initially, more than 100 girls were abducted, but stated that many were released and that afterwards, about 30 girls remained, P-0015 First Statement, UGA-OTP-0043-0131-R01, at paras 10-29; P-0015 Second Statement, UGA-OTP-0191-0254-R01, at paras 8-9. also stated that she was abducted from the school,

to the abduction at the school, see P-0070: T-106-CONF, p. 6, line 6 – p. 12, line 17; P-0144: T-91-CONF, p. 68, line 16 – p. 69, line 9; D-0125: <u>T-242</u>, p. 8, lines 12-21.

²³⁵⁷ ISO Logbook (Gulu), UGA-OTP-0068-0146, at 0324. The corresponding UPDF logbooks do not contain entries for the communication at 14:00 (see UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 0786-88; UPDF Logbook (Achol Pii), UGA-OTP-0242-6018, at 6074).

²³⁵⁸ ISO Logbook (Gulu), UGA-OTP-0068-0146, at 0330. The corresponding UPDF logbooks do not contain entries for the communication at 13:00 (see UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 0796-98) or overall less detailed entries for that day (see UPDF Logbook (Achol Pii), UGA-OTP-0242-6018, at 6080).

²³⁵⁹ P-0406: <u>T-154</u>, p. 28, line 18 – p. 29, line 3.

 $^{^{2360}}$ P-0406: $\overline{\text{T-154}}$, p. 28, line 25 – p. 29, line 7.

²³⁶¹ P-0309: T-61, p. 17, line 18 – p. 18, line 22.

²³⁶² P-0309: <u>T-61</u>, p. 19, lines 1-25.

²³⁶³ P-0309: <u>T-61</u>, p. 19, lines 24-25.

²³⁶⁴ P-0309: <u>T-61</u>, p. 17, line 23 – p. 18, line 1, p. 19, lines 12-13.

 $^{^{2365}}$ P-0372: $\frac{\text{T-}148}{\text{T-}148}$, p. 34, line 24 – p. 37, line 22. P-0372 also stated that about 40 younger abductees were not released but remained with the LRA, P-0372: T-148, p. 38, lines 4-9.

²³⁶⁶ ISO Logbook (Gulu), UGA-OTP-0066-0002-R01, at 0087. The date of the attack is based on its being reported on 25 November 2003 as having taken place 'last Sunday'. See section IV.B.3.ii.f above. See also UPDF Logbook

- 1164. According to the evidence, in February 2004, LRA soldiers under the command of Odhiambo attacked IDP camps at Abia and Barlonyo, killing civilians and burning houses. P-0070 recalled that LRA soldiers under the command of Odhiambo attacked Abia, Barlonyo and Lira Palwo when coming back from Teso, following Charles Tabuley's death and Joseph Kony's order that 'nothing should be left alive'. Witness Aldo Odoch stated that he participated in both attacks as an LRA soldier, and noted the high number of killings. ²³⁶⁸
- 1165. Some details of the attack at Abia are found in the ISO logbook, which records Odhiambo reporting a fight with the UPDF in 'Apiya', and stating that he 'set the whole barracks and camp ablaze[] and killed all the p[eo]ple on sight'. A police report establishes 31 killings, injuries, abductions, the looting of food and other property and the burning of houses. The ISO logbook records Joseph Kony as being overjoyed at Odhiambo's report of the attack on Abia, while in a communication of 12 February 2004, Joseph Kony is recorded as stating that 'the attack Odyambo launched in Abia camp recently where very many p[eo]ple were killed should be the tactics all LRA units should adopt'. P-0233 testified that the attack on Abia was ordered by Odhiambo, who gave the instruction that nothing should be left alive.

1166. The attack at Barlonyo is demonstrated by the evidence provided by D-0032²³⁷⁴ and Hillary Daniel Lagen, ²³⁷⁵ as well as by an ISO logbook entry, ²³⁷⁶ and a preliminary police

⁽Gulu), UGA-OTP-0254-0229, at 0403-04; UPDF Logbook (Soroti), UGA-OTP-0254-2284, at 2360-61; UPDF Logbook (Achol Pii), UGA-OTP-0242-7309, at 7315.

²³⁶⁷ P-0070: <u>T-106</u>, p. 22, line 9 – p. 23, line 4. *See also* p. 21, lines 11-15.

²³⁶⁸ P-0096 Interview Transcript, UGA-OTP-0228-1938-R01, at 1970-71, lines 1082-120.

²³⁶⁹ ISO Logbook (Gulu), UGA-OTP-0061-0002, at 0047. *See also* UPDF Logbook (Gulu), UGA-OTP-0254-4143, at 4227; UPDF Logbook (Achol Pii), UGA-OTP-0242-7309, at 7364.

²³⁷⁰ Report, UGA-OTP-0025-0069, at 0072-74. At the time of submission of the document by the Prosecution, the Defence pointed out that there was 'limited reliability because some parts are too faint to be read'; *see* Confidential Annex A to Defence Response to "Prosecution's request to submit 1006 items of documentary evidence", ICC-02/04-01/15-701-Conf-AnxA, p. 131. The item registered under the same ERN in fact contains several handwritten portions, which may not be entirely legible. Be that as it may, the item also contains a typed self-contained three page report, which is entirely readable and is the part relied upon by the Chamber.

²³⁷¹ ISO Logbook (Gulu), UGA-OTP-0061-0002, at 0047. *See* section IV.B.3.ii.g above. *See also* UPDF Logbook (Gulu), UGA-OTP-0254-4143, at 4227; UPDF Logbook (Achol Pii), UGA-OTP-0242-7309, at 7364.

²³⁷² ISO Logbook (Gulu), UGA-OTP-0061-0002, at 0069. *See* section IV.B.3.ii.h above. *See also* UPDF Logbook (Achol Pii), UGA-OTP-0242-7309, at 7369.

²³⁷³ P-0233: <u>T-111</u>, p. 34, line 17 – p. 35, line 1.

²³⁷⁴ D-0032: T-201, p. 15, lines 6-18.

²³⁷⁵ P-0040 Interview Transcript, UGA-OTP-0220-0729-R01, at 0734-35, lines 151-225.

²³⁷⁶ ISO Logbook (Gulu), UGA-OTP-0061-0002, at 0093. *See also* UPDF Logbook (Gulu), UGA-OTP-0254-4143, at 4249-50; UPDF Logbook (Achol Pii), UGA-OTP-0242-7309, at 7380-81; UPDF Logbook (Soroti), UGA-OTP-0254-2284, at 2497.

report, which reported that 121 bodies were exhumed, while noting that some bodies had been buried elsewhere or left unburied, and that 332 huts were burnt in the IDP camp.²³⁷⁷ In addition, P-0054 testified that he heard about the attack from the other soldiers as well as on radio, including about the fact that houses were burnt and people killed.²³⁷⁸

- 1167.On 6 March 2004, Dominic Ongwen was intercepted on radio reporting that he had 'recently' attacked Alero camp.²³⁷⁹
- 1168.On 19 March 2004, LRA soldiers under the command of Odhiambo and Dominic Ongwen attacked Lira Palwo. According to the ISO logbook, Odhiambo reported that he set the UPDF barracks and 'the camp' ablaze, and that only a few houses survived.²³⁸⁰
- 1169.P-0264 testified that soldiers belonging to the Sinia brigade's Oka battalion abducted and killed six or seven civilians in Apala so that they would not report on the LRA presence to the government forces.²³⁸¹ P-0264 testified that this happened at the time that Dominic Ongwen was Sinia commander, but before the Odek attack in April 2004.²³⁸²
- 1170. As recorded in the ISO logbook, Dominic Ongwen reported that, on or shortly before 11 August 2004, he ambushed people on the 'Awach road' killing a motorcycle (bodaboda) driver and removing all items from the vehicle.²³⁸³
- 1171. Finally, in relation to its conclusion that Dominic Ongwen knew that the LRA engaged in numerous attacks on civilians during which it killed or injured them, the Chamber deems it to be the only reasonable conclusion based on Dominic Ongwen's own

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²³⁷⁷ Police Report, UGA-OTP-0015-0158, at 0160. At the time of submission of the document by the Prosecution, the Defence argued that it 'is not relevant and has no probative value'; *see* Confidential Annex A to Defence Response to "Prosecution's request to submit 1006 items of documentary evidence", ICC-02/04-01/15-701-Conf-AnxA, p. 128. The relevance of the item is clear from the analysis in the present section. As to its probative value, the Chamber notes that the document is an official report prepared by the Ugandan Police following an investigative visit to the location of the attack five days after it had taken place. As such, the Chamber attributes to the report probative value, in the context of the other evidence relied upon by the Chamber as concerns the attack on Barlonyo, with which the report is compatible.

²³⁷⁸ P-0054: T-93, p. 14, line 13 – p. 15, line 2.

²³⁷⁹ ISO Logbook (Gulu), UGA-OTP-0061-0002, at 0128. *See* section IV.B.3.ii.i above. *See also* UPDF Logbook (Gulu), UGA-OTP-0254-4143, at 4272; UPDF Logbook (Achol Pii), UGA-OTP-0242-7309, at 7405.

²³⁸⁰ ISO Logbook (Gulu), UGA-OTP-0061-0002, at 0165. See section IV.B.3.ii.j above. See also UPDF Logbook (Achol Pii), UGA-OTP-0242-7309, at 7417.

²³⁸¹ P-0264: <u>T-65</u>, p. 26, lines 5-25.

²³⁸² P-0264: <u>T-65</u>, p. 27, lines 1-7.

²³⁸³ ISO Logbook (Gulu), UGA-OTP-0062-0145, at 0181. *See also* UPDF Logbook (Gulu), UGA-OTP-0254-3833, at 3863; UPDF Logbook, UGA-OTP-0255-0451, at 0467; Police Logbook, UGA-OTP-0037-0002, at 0040.

involvement in the acts, as discussed above in this section, and based on his position within the organisation, as laid out above.²³⁸⁴

6. Attack on Pajule IDP camp

i. Pajule IDP camp

Pajule and Lapul IDP camps were situated in Aruu County, Pader district. The two camps were across from each other, Pajule on the east side of the Lira-Kitgum road and Lapul on the west. They were commonly referred to as 'Pajule IDP camp'. At the time of the attack, an estimated 15,000 to 30,000 people lived in the camp.²³⁸⁵

- 1172.In October 2003, Pajule village was located in Pajule sub-county, Aruu County, Pader District, Uganda. 2386 Nearby, Pajule and Lapul IDP camps had existed since 1996. 2387 Many residents moved to the camps on the orders of the government, which were given in response to the LRA's activities in the region. 2388 Pajule IDP camp was located on the east side of the Lira-Kitgum road and Lapul IDP camp on the west side of the road. 2389 Another road, towards Pader, branched off from the Lira-Kitgum road within the camp. 2390
- 1173.Although the two camps were registered as separate camps by the Ugandan government, ²³⁹¹ the World Food Programme, which appears to be the main aid agency providing food for the camp, ²³⁹² treated Pajule and Lapul camps as one IDP camp, ²³⁹³

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²³⁸⁴ See section IV.C.3 above.

²³⁸⁵ Para. 144 above.

²³⁸⁶ Agreed Facts, A1.

²³⁸⁷ P-0081 Statement, UGA-OTP-0070-0029-R01, at para. 8. *See* P-0007 Statement, UGA-OTP-0147-0214-R01, at para. 7 (stating that many people started moving to the location of the current Lapul camp in 1997, and further explaining that most people moved back home in 2000, although he remained, and that the current Lapul IDP camp came into existence in 2002).

 $^{^{2388}}$ P-0081 Statement, UGA-OTP-0070-0029-R01, at para. 9 (testifying that he moved to the Lapul side of the camp because the LRA were looting and abducting people and the government directed people to move to the camp); P-0009: T-81, p. 7, line 16 - p. 8, line 6 (stating that the government came up with the instruction that since the LRA was abducting people from the villages, people were to leave their homes and come to the camps where they would be protected by the government); D-0076: T-219, p. 5, line 19 - p. 6, line 1 (stating that he moved to the Pajule camp because the government instructed people to leave the villages and move to the camps; according to the witness, if people stayed in the village then the government would assume you were a rebel supporter).

²³⁸⁹ D-0081: <u>T-220</u>, p. 12, lines 14-17, p. 13, lines 5-8, p. 14, lines 5-15; D-0076: <u>T-219</u>, p. 6, line 19 – p. 7, line 3. *See* P-0084's sketch of Pajule IDP camp, UGA-OTP-0139-0178; P-0047's sketch of Pajule IDP camp, UGA-OTP-0027-0198; P-0081's sketch of Pajule IDP camp, UGA-OTP-0070-0029-R01, at 0050.

²³⁹⁰ See P-0047's sketch of Pajule IDP camp, UGA-OTP-0027-0198.

²³⁹¹ P-0008 Statement, UGA-OTP-0137-0002-R01, at para. 7.

²³⁹² See P-0084 Statement, UGA-OTP-0139-0149-R01, at paras 33-34. See also P-0249: <u>T-79</u>, p. 19, lines 15-16. ²³⁹³ P-0008 Statement, UGA-OTP-0137-0002-R01, at para. 7 (for food distribution purposes, the World Food Programme treated both camps as one camp, Pajule-Lapul IDP camp); P-0081 Statement, UGA-OTP-0070-0029-

and the evidence shows that the LRA also attacked the camp as one entity.²³⁹⁴ The witnesses have also commonly referred to both camps jointly as Pajule IDP camp.²³⁹⁵ Thus, the Chamber jointly refers to the two camps as Pajule IDP camp unless otherwise specified.

- 1174. The evidence indicates that an estimated 15,000 to 30,000 people lived within the entirety of Pajule IDP camp in October 2003.²³⁹⁶
- 1175. There was a military barracks on the Lapul side of the camp.²³⁹⁷ The evidence shows that the barracks was a small distance away and separated from the civilian dwellings.²³⁹⁸ Each soldier also had his own hut within the barracks grounds and some soldiers' families slept inside the barracks.²³⁹⁹ The evidence also demonstrates that there was a police

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R01, at para. 8 (administratively Pajule and Lapul are separate IDP camps; however the World Food Programme treats them as a single camp).

²³⁹⁴ See section IV.C.6.iii, the Chamber's below discussion of the attack on Pajule IDP camp. The evidence indicates that prior to the attack on 10 October 2003, the LRA had attacked Pajule IDP camp several times, the most recent time being in January 2003. See P-0047 Statement, UGA-OTP-0027-0177-R01, at paras 16-24; P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 12; P-0001 Statement, UGA-OTP-0138-0002-R01, at para. 11, P-0007 Statement, UGA-OTP-0147-0214-R01, at para. 8.

²³⁹⁵ See P-0081: <u>T-118</u>, p. 27, lines 6-7; P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 33.

²³⁹⁶ P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 66 (testifying that he believed there were over 15,000 people at Pajule in October 2003); P-0007 Statement, UGA-OTP-0147-0214-R01, at para. 55 together with Handwritten report, UGA-OTP-0147-0239, at 0239 (indicating that in November 2003 the Lapul side of the camp had a population of 14,155); P-0009: T-81, p. 78, line 22 – p. 79, line 6 (stating that there were three to four thousand people living in the camp); P-0008 lists of Pajule/Lapul residents, UGA-OTP-0137-0058, at 0058-9 (listing 17,432 residents on the Pajule side of the camp and 13,710 residents on the Lapul side as of November 2003). The Chamber notes that the document is stamped and signed by Okema John Brown (P-0008), as camp commandant of the Pajule IDP camp. In his testimony, Okema John Brown stated that he compiled the list from information provided to him by the block leaders of the Pajule side and from the camp commandant of the Lapul side (UGA-OTP-0137-0002-R01, para. 60). The Chamber considers this list to be an authentic record created by P-0008 on 30 November 2003.

²³⁹⁷ P-0006: <u>T-140</u>, p. 43, lines 17-21 (stating that the military barracks was initially on the Pajule side of the camp but then was moved to the Lapul side); P-0081: <u>T-118</u>, p. 28, lines 3-12 (the military barracks was located on the western side of the Lira-Kitgum road, the Lapul side of the IDP camp). *See* P-0084's sketch of Pajule IDP camp, UGA-OTP-0139-0178; P-0047's sketch of Pajule IDP camp, UGA-OTP-0027-0198.

²³⁹⁸ P-0006: <u>T-140</u>, p. 44, lines 21-24 (stating that the military barracks was perhaps 500 metres from the civilian dwellings); D-0076: <u>T-219</u>, p. 7, lines 16-19, p. 23, line 22 – p. 24, line 3 (stating that the barracks and the camp were 'very close' and the distance from the camp to the barracks was approximately 100 metres or about the length of the Pajule primary football field); P-0081: <u>T-118</u>, p. 29, lines 4-9 (estimating that the distance between the military barracks and civilian dwellings 'could be about a hundred metres'). *See* P-0047 Statement, UGA-OTP-0027-0177-R01, at para. 42; P-0047's sketch of Pajule IDP camp, UGA-OTP-0027-0198 (the sketch of Pajule IDP drawn by John Lubwama shows that the barracks is on the west side of the camp in the Lapul area and that the Pajule Mission is near to the barracks).

²³⁹⁹ P-0047 Statement, UGA-OTP-0027-0177-R01, at para. 54 (John Lubwama could not remember the number of huts in the barracks in October 2003).

station at the camp.²⁴⁰⁰ There was also a trading centre²⁴⁰¹ and a Catholic mission at Pajule IDP camp.²⁴⁰²

- ii. LRA commanders, including Dominic Ongwen, planned and prepared for the attack
 - a. Meeting of LRA units and their commanders

Several days before the attack on Pajule IDP camp, Vincent Otti summoned a number of LRA units to join him. Around that time, Dominic Ongwen and his group of fighters joined Vincent Otti. 2403

- 1176. A useful introduction is provided by P-0372, who testified that in 2003 after a time in Teso, his group, commanded by Vincent Otti, returned to Acholi land and met with Joseph Kony at a place called Tim Pa Lukok. ²⁴⁰⁴ The commanders who met with Joseph Kony were Vincent Otti and Raska Lukwiya. ²⁴⁰⁵ After about two days at Tim Pa Lukok, the group turned south and after some time met with Dominic Ongwen and his group, somewhere in Pader. ²⁴⁰⁶ Shortly thereafter, LRA fighters were selected to attack Pajule IDP camp. ²⁴⁰⁷
- 1177. According to the evidence, Vincent Otti had ordered other units to join him to go and attack Pajule. P-0144 testified that Vincent Otti, who was in LRA headquarters, had summoned the Trinkle brigade to come and support the group 'to go and collect food from Pajule'. ²⁴⁰⁸ The Chamber notes that in the witness evidence, 'LRA headquarters' is synonymous with Control Altar, which indeed was the unit commanded by Vincent

 $^{^{2400}}$ P-0006: $\underline{\text{T-}140}$, p. 49, line 11 - p. 50, line 3 (testifying that there was a police station on the Pajule side of the road that separated the two camps); D-0076: $\underline{\text{T-}219}$, p. 9, lines 7-9 (stating that there was a police force based in the camp).

²⁴⁰¹ P-0008 Statement, UGA-OTP-0137-0002-R01, at para. 7 (stating that Pajule trading centre was part of both IDP camps); P-0047 Statement, UGA-OTP-0027-0177-R01, at para. 43 (testifying that Pajule trading centre lay between Pajule and Lapul camps, on both the east and west of the road). *See* P-0047's sketch of Pajule IDP camp, UGA-OTP-0027-0198.

²⁴⁰² P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 34 (stating that Pajule Catholic mission was located 'near the displaced Lapul people within Pajule IDP camp'); P-0047 Statement, UGA-OTP-0027-0177-R01, at paras 24, 42, together with P-0047's sketch of Pajule IDP camp, UGA-OTP-0027-0198 (indicating that Pajule Catholic Mission was on the Lapul side of the camp). *See* P-0084's sketch of Pajule IDP camp, UGA-OTP-0139-0178.

²⁴⁰³ Para. 145 above.

²⁴⁰⁴ P-0372: <u>T-148</u>, p. 13, line 13 – p. 14, line 25.

²⁴⁰⁵ P-0372: <u>T-148</u>, p. 15, lines 3-4.

²⁴⁰⁶ P-0372: T-148, p. 15, line 24 – p. 16, line 14.

²⁴⁰⁷ P-0372: <u>T-148</u>, p. 16, lines 15-19.

²⁴⁰⁸ P-0144: <u>T-91</u>, p. 19, lines 10-23.

Otti.²⁴⁰⁹ P-0209, who was a captain in Trinkle brigade at the time, provided very similar testimony, stating that Trinkle brigade was operating around the Latanya hills when the brigade commander, which at the time was Charles Kapere, assembled the soldiers and told them that they were being summoned by Vincent Otti.²⁴¹⁰

- 1178.D-0032 testified that he overheard some messages in relation to the attack on Pajule IDP camp on the LRA radio communication system.²⁴¹¹ He stated that around 7 October 2003, Vincent Otti 'gave orders to other people to go and join him in a place known as Wangduku'.²⁴¹² He testified that those who were summoned by Vincent Otti included Raska Lukwiya, Charles Tabuley, Tolbert Nyeko Yadin, Opio Makas and Opiro Livingstone, who was together with Opiro Anaka.²⁴¹³
- 1179.D-0032 was asked by the Presiding Judge if the name of Dominic Ongwen was mentioned among the people being summoned by Vincent Otti, and testified that '[1]ater on', when Vincent Otti was mentioning the names, Joseph Kony asked about Dominic Ongwen, and Vincent Otti responded that Dominic Ongwen was also with him.²⁴¹⁴ D-0032's evidence indicates that Vincent Otti reported Dominic Ongwen's presence 'when he was referring to the commanders who had joined him', and therefore that this was before the attack itself,²⁴¹⁵ further corroboration of the evidence that Dominic Ongwen was with Vincent Otti at the time of the Pajule IDP camp attack.
- 1180. Indeed, there is evidence indicating that Dominic Ongwen had joined Vincent Otti and was moving with him from sometime after 20 September 2003, when an ISO logbook recorded Vincent Otti as summoning a number of LRA commanders to join him, including Bogi and Dominic Ongwen. ²⁴¹⁶ That Dominic Ongwen was moving with or in

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²⁴⁰⁹ See section IV.C.1 above.

²⁴¹⁰ P-0209: <u>T-160</u>, p. 11, line 20 – p. 12, line 15.

²⁴¹¹ See D-0032: <u>T-200</u>, p. 18, line 22 – p. 19, line 4.

²⁴¹² D-0032: <u>T-200</u>, p. 20, lines 16-21.

²⁴¹³ D-0032: <u>T-200</u>, p. 21, lines 4-19.

 $^{^{2414}}$ D-0032: $\overline{\text{T-200}}$, $\hat{\text{p}}$. 21, line 20 – $\hat{\text{p}}$. 22, line 3.

²⁴¹⁵ D-0032: <u>T-200</u>, p. 23, lines 5-9.

²⁴¹⁶ ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0431. The Chamber notes that this particular detail is not included in the corresponding UPDF logbook entries, with the UPDF Achol Pii logbook not recording any particular information for this communication time (*see* UGA-OTP-0242-6018, at 6139-40). However, these entries clearly concern the same radio communication (*compare* ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0431 (Abudema coordinating with Isaya Loum to meet, with the latter wanting to meet later) *with* UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 0998 (Abudema coordinating with Isaya Loum to meet, with the latter wanting to meet later) *and* UPDF Logbook (Soroti), UGA-OTP-0254-1991, at 2022-23 (Abudema coordinating with Ayoli to meet, with the latter wanting to meet later; to be noted here is that the ISO logbook, while recording this

close proximity of Vincent Otti is also corroborated by a 30 September 2003 entry in the same logbook, indicating that Joseph Kony issued an order for the LRA to move to Teso, with the exception of the groups of Vincent Otti and Opiro Livingstone, and specifically adding that 'Dominic should remain behind with Otti b[ecau]se he has good plans which can help Otti'. ²⁴¹⁷

1181. Several witnesses provided testimony, fully compatible with the above logbook evidence, to the effect that Dominic Ongwen was with Vincent Otti at the time. In particular, P-0070 testified that at the time of the Pajule attack Sinia brigade was 'moving together' with Control Altar and that this explained his prior testimony to the effect that Dominic Ongwen was in Control Altar at the time. P-0209 also testified that Dominic Ongwen was with Vincent Otti in Control Altar at the time. Further, P-0144 and P-0045 testified that at the time of the attack on Pajule IDP camp Dominic Ongwen was 'in Control Altar at the headquarters'.

1182. The Chamber notes that P-0209 did not know why Dominic Ongwen was in Control Altar and stated that it is possible to go there as a prisoner or to be transferred. ²⁴²¹ Asked by

exchange to involve Isaya Lowum, indicates for the individuals on air 'Isaya Lowum/Ayoli')). Bearing this in mind, and noting at the same time that the entries in the UPDF logbooks are overall less detailed than the entry in the ISO logbook and that interceptors at times would have focused on different details in summarising radio communications, the Chamber considers it appropriate to refer to this specific detail included in the record of the communication as prepared by ISO.

²⁴¹⁷ ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0501. The Chamber notes that this particular detail is not included in the corresponding UPDF logbook entries. However, these entries clearly reflect the same radio communication (compare ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0498 (Otti reporting on contact with UPDF the previous day, without sending anything on items taken) with UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 1036 (Otti reporting on contact with UPDF the previous day, stating he could not take anything due to bushy grass) and UPDF Logbook (Soroti), UGA-OTP-0254-1991, at 2067 (Otti reporting on contact with UPDF the previous day, stating he could not take anything due to grass being very tall); compare ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0499 (Kony stating that 'highest tactics/styles of guerrilla war fare are surprise attacks and ambushes') with UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 1036 (Kony advising Otti that 'highest tactic of guerrila' should be 'surprise attack and ambush and planting mines') and UPDF Logbook (Soroti), UGA-OTP-0254-1991, at 2067 (Kony informing Otti that 'highest tactics of gorrilas is to surprise'); compare ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0501 (Kony wanting all LRA to move to Teso) with UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 1038 (Kony ordering Otti to inform all LRA units to immediately advance to Soroti) and UPDF Logbook (Soroti), UGA-OTP-0254-1991, at 2069 (Kony ordering that all LRA groups in Uganda move to Teso)). The UPDF Achol Pii logbook does not include an entry for this communication time (see UGA-OTP-0242-6018, at 6149-50). Bearing this in mind, and noting at the same time that the entries in the UPDF logbooks are overall less detailed than the entry in the ISO logbook and that interceptors at times would have focused on different details in summarising radio communications, the Chamber considers it appropriate to refer to this specific detail included in the record of the communication as prepared by ISO. It is noted that Joseph Kony ordered Opiro Livingstone and his group to head for Sudan.

²⁴¹⁸ P-0070: <u>T-106</u>, p. 34, lines 4-19.

²⁴¹⁹ P-0209: <u>T-160</u>, p. 15, lines 6-8.

²⁴²⁰ P-0144: <u>T-91</u>, p. 26, lines 12-14; P-0045: <u>T-104</u>, p. 67, lines 2-4.

²⁴²¹ P-0209: <u>T-160</u>, p. 15, lines 9-16.

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the Presiding Judge about whether it was possible to determine which possibility was the correct one, P-0209 observed that he saw that Dominic Ongwen was not 'being mistreated or taken badly'. 2422 Very similarly, P-0144 mentioned tentatively that 'it was a kind of detention or an imprisonment', but immediately added that he did not understand the reasons for this arrangement. ²⁴²³ Later in the discussion, however, P-0144 reasoned that he thought that at the time of the Pajule attack Dominic Ongwen was no longer in detention. 2424 Contrary to the Defence submission, 2425 P-0045's evidence on the reason why Dominic Ongwen was in Control Altar was entirely hypothetical, and she did not testify that Dominic Ongwen was in LRA prison at the time. 2426 In any case, the Chamber refers to its conclusions above that the brief arrest of Dominic Ongwen by Vincent Otti took place in April 2003 and that it did not affect Dominic Ongwen's position and authority in the organisation for any significant period of time. 2427

- 1183. The Chamber also refers to its analysis above in relation to the argument of the Defence that at the time of the attack on Pajule IDP camp Dominic Ongwen was injured and in sickbay. 2428 In particular, the Chamber recalls its finding that at least from December 2002, i.e. nine months before the attack on Pajule IDP camp, Dominic Ongwen exercised his authority as commander. This is entirely compatible with the evidence that in 2003, including at the time of the Pajule attack, Dominic Ongwen still suffered from some physical limitations as a result of the injury. 2429
- 1184. The Chamber also notes the testimony of P-0231, who stated that Dominic Ongwen was 'not involved' in the Pajule attack.²⁴³⁰ However, as P-0231 testified that he was around Soroti with Lapaicho when the attack on Pajule IDP camp took place, ²⁴³¹ he might not have been in a position to know Dominic Ongwen's actions at the time, and in the context of the attack. Also, his testimony on this discrete issue stands in contrast to evidence provided by witnesses who, instead, personally observed the relevant facts and testified

²⁴²² P-0209: <u>T-160</u>, p. 18, line 24 – p. 19, line 4.

²⁴²³ P-0144: <u>T-91</u>, p. 26, lines 14-16.

²⁴²⁴ See P-0144: T-91, p. 27, lines 11-14. According to the witness, this was the case 'because if you were in detention they would not give you the task to go and carry out an operation'.

²⁴²⁵ Defence Closing Brief, para. 322, footnote 521.

²⁴²⁶ P-0045: T-104, p. 67, lines 5-2; T-105, p. 25, line 24 – p. 26, line 20.

²⁴²⁷ See section IV.C.3 above. See also Defence Closing Brief, paras 308, 322, 336.

²⁴²⁸ See section IV.C.3 above. See also Defence Closing Brief, paras 313-314, 316-321, 330-331, 336.

²⁴²⁹ See D-0092: T-208, p. 63, lines 16-24.

²⁴³⁰ P-0231: T-123, p. 65, lines 6-9.

²⁴³¹ P-0231: T-123, p. 65, line 19 – p. 66, line 2.

convincingly and in detail as noted throughout this section. In this context, the Chamber does not accept the testimony of P-0231 that Dominic Ongwen was not involved in the attack on Pajule IDP camp.

Ongwen was not moving with Vincent Otti's unit as an individual, but had a Sinia unit under him. This is the explicit testimony of P-0070, referred to above. In addition, as discussed below, Sinia members P-0309 and P-0330 as well as Dominic Ongwen's so-called 'wife' P-0101 testified to being present with Dominic Ongwen and his group at the time of the attack on Pajule IDP camp. ²⁴³² P-0309 in particular named several individuals who went for the attack on Pajule IDP camp, who are otherwise well attested in the evidence as Dominic Ongwen's subordinates in Sinia. ²⁴³³ P-0330 also named individual Sinia members who participated in the attack. ²⁴³⁴

1186.In this context, the Chamber notes the testimony of P-0379 who had previously been abducted by the LRA, ²⁴³⁵ was in captivity for eight months in Sinia's Oka battalion, ²⁴³⁶ and had escaped and returned to Pajule IDP camp around August 2003. ²⁴³⁷ During the attack on 10 October 2003, while trying to hide from the LRA, he saw an LRA fighter whom he recognised as Okello Tango, a member of Oka Battalion whom P-0379 had known while still in the bush. ²⁴³⁸ The Chamber recalls its finding that at the time of the Pajule IDP camp attack, Dominic Ongwen was commander of Oka battalion. ²⁴³⁹ The presence of an Oka battalion fighter in the camp corroborates the evidence that Dominic Ongwen's subordinates were present in the course of the Pajule IDP camp attack.

1187.Logbook evidence indicates that the commanders summoned by Vincent Otti gathered on or around 5 October 2003. A Soroti UPDF logbook records an intercepted communication on 5 October 2003 between 13:00 and 14:00, wherein Vincent Otti informed Joseph Kony that he has joined with 'Abudema's grps', while Okot Odhiambo, Ayoli, Dominic Ongwen and Michael reported to Charles Tabuley that they had reached

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<sup>2432</sup> See paras 1214, 1356, 1367 below.
<sup>2433</sup> P-0309: <u>T-60</u>, p. 53, lines 16-21.
<sup>2434</sup> See para. 1211 below.
<sup>2435</sup> P-0379: T-56-CONF, p. 7, lines 8-9; <u>T-58</u>, p. 23, line 21 – p. 24, line 7, p. 53, lines 7-9.
<sup>2436</sup> P-0379: <u>T-58</u>, p. 53, lines 7-12.
<sup>2437</sup> P-0379: <u>T-57</u>, p. 20, line 4 – p. 21, line 12.
<sup>2438</sup> P-0379: <u>T-57</u>, p. 22, line 14 – p. 24, line 7.
<sup>2439</sup> See section IV.C.3 above.
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the RV with Vincent Otti.²⁴⁴⁰ At 16:00, the same logbook noted: 'While Otii V., Michael, Odyambo/Angola, Abudema and Dominic they are in the same RV together but they have camped separately with some distance among them'.²⁴⁴¹

1188.On 7 October 2003 between 8:00 and 9:00, according to the Soroti UPDF logbook, Vincent Otti informed Joseph Kony that he had divided the commanders, and that Angola was moving with 'Bogi Coach', Dominic Ongwen was moving with him (i.e. Vincent Otti), and that Buk Abudema had separated from him and left for Teso following Charles Tabuley, who was 'combined with' Ocan Bunia. 2442 On the same day at 11:00, the logbook records Joseph Kony asking Vincent Otti whether 'Mama Dominic' was accompanying him, and Vincent Otti responding that 'Mama Dominic' was with him and that he (Vincent Otti) was moving together with Dominic Ongwen, Raska Lukwiya and Caesar Acellam. The Chamber notes specifically that this latter information as logged by the UPDF is similar to the radio conversation described by D-0032 and discussed above, wherein Joseph Kony asked Vincent Otti about the whereabouts of Dominic Ongwen, and Vincent Otti confirmed that Dominic Ongwen was with him. 2444

A meeting took place the day before the attack at a location east of Pajule IDP camp, including Vincent Otti, Raska Lukwiya, Okot Odhiambo, Dominic Ongwen and other LRA commanders. Several hundred LRA members were present nearby.²⁴⁴⁵

1189. A number of witnesses have testified about a meeting, or 'RV', taking place the day before the attack at a location east of Pajule IDP camp. The witnesses belonged to various groups of the LRA and offered testimony on the basis of personal observation from

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²⁴⁴⁰ UPDF Logbook (Soroti), UGA-OTP-0254-1991, at 2097. The Chamber notes that the corresponding ISO and UPDF logbooks are either significantly less detailed (*see* ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0529-31; UPDF Logbook (Achol Pii), UGA-OTP-0242-6018, at 6156) or do not include any entry for this communication time at all (*see* UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 1051-55). Bearing this in mind, including its discussion on the general reliability of logbooks, as well as noting in particular that the UPDF Achol Pii logbook does also make reference to Abudema joining Otti, the Chamber considers it appropriate to refer to this specific detail included in the record of the communication as prepared by UPDF (Soroti).

²⁴⁴¹ UPDF Logbook (Soroti), UGA-OTP-0254-1991, at 2097. The Chamber notes that the corresponding ISO and UPDF logbooks are either significantly less detailed (*see* ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0529-31) or do not include any entry for this communication time at all (*see* UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 1051-55; UPDF Logbook (Achol Pii), UGA-OTP-0242-6018, at 6156). Bearing this in mind, including its discussion on the general reliability of logbooks, the Chamber considers it appropriate to refer to this specific detail included in the record of the communication as prepared by UPDF (Soroti).

²⁴⁴² UPDF Logbook (Soroti), UGA-OTP-0254-1991, at 2102. *See also* UPDF Logbook (Achol Pii), UGA-OTP-0242-6018, at 6157; UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 1057.

²⁴⁴³ UPDF Logbook (Soroti), UGA-OTP-0254-1991, at 2104.

²⁴⁴⁴ D-0032: <u>T-200</u>, p. 21, line 20 – p. 22, line 3. It is noted that D-0032 testified that Joseph Kony and Vincent Otti referred to Dominic Ongwen as 'Wanyama' during the conversation.

²⁴⁴⁵ Para. 146 above.

diverse vantage points. For this reason, the Chamber finds it natural that there is no perfect overlap in the evidence. Instead, it is to be expected that witnesses put emphasis on the facts which took place in their proximity or facts which they were better able to understand and contextualise. Still, in relation to the crucial findings of the Chamber, the witnesses are in agreement. The main area of divergence is the names of LRA commanders, who, according to each witness, attended the meeting with Vincent Otti. This divergence is at least in part explained by the witnesses' emphasis on persons who they knew better. Given that none of the witnesses who testified before the Chamber about the planning of the attack on the Pajule IDP camp were present at the meeting, the Chamber also does not attribute importance to the fact that certain persons, including Dominic Ongwen, are not mentioned by any particular witness as attending the meeting. Indeed, it is entirely plausible that the witness simply did not see the person in question, even if they knew that person. Having said that, the Chamber does address in the following paragraphs those discrepancies in the witness evidence which are of a nature that, if unexplored and unresolved, could affect the Chamber's findings.

- 1190. According to P-0144, following the summons by Vincent Otti, an RV took place about 10 kilometres east of Pajule. ²⁴⁴⁶ P-0144 stated that this was a large gathering and estimated the number of people in attendance at over 500. ²⁴⁴⁷ P-0144 testified that Vincent Otti was 'the overall commander who was leading the operation'. ²⁴⁴⁸ In addition, according to the witness, the other 'most senior commanders' present were Raska Lukwiya and Okot Odhiambo. ²⁴⁴⁹ Still further, P-0144 mentioned that there were several 'junior' commanders present, including Bogi and Dominic Ongwen. ²⁴⁵⁰
- 1191.P-0209 testified that Trinkle brigade went to meet with Vincent Otti after being summoned by him, but was unable to describe the location of the meeting.²⁴⁵¹ He too estimated the distance from Pajule at about 10 kilometres.²⁴⁵² P-0209 testified that the Trinkle brigade arrived at the location of the meeting at around 16:00.²⁴⁵³ There, P-0209

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<sup>2446</sup> P-0144: T-91, p. 20, lines 1-5.

<sup>2447</sup> P-0144: T-91, p. 20, lines 9-11.

<sup>2448</sup> P-0144: T-91, p. 20, lines 12-14.

<sup>2449</sup> P-0144: T-91, p. 20, lines 14-16.

<sup>2450</sup> P-0144: T-91, p. 20, lines 15-24.

<sup>2451</sup> P-0209: T-160, p. 12, line 22 – p. 13, line 4.

<sup>2452</sup> P-0209: T-160, p. 13, lines 5-9.

<sup>2453</sup> P-0209: T-160, p. 13, lines 10-12.
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saw members of Trinkle and Sinia brigades, as well as of Control Altar.²⁴⁵⁴ Among the commanders, P-0209 saw Vincent Otti, Charles Kapere, Dominic Ongwen, Opoka and Raska Lukwiya.²⁴⁵⁵ He also confirmed that Bogi, Caesar Acellam, Acel Calo Apar and Buk were present.²⁴⁵⁶

1192. The Defence put to P-0209 the contents of an intercepted radio communication suggesting that Charles Kapere was in Teso on 9 October 2003, and of another intercept indicating that on 10 October 2003 Joseph Kony gave Charles Kapere instructions in relation to an upcoming meeting between the two, to which P-0209 responded by stating that he was personally involved with Charles Kapere in the preparations for the attack in Pajule. The Chamber accepts the detailed testimony of P-0209, and in fact notes that the records of intercepted radio communications do not contradict it. The 9 October 2003 intercept does not record an LRA report on the location of Charles Kapere, but rather Vincent Otti reporting that 'gov[ernmen]t keeps singing on the radio that Kapere and Onen are moving with Tabuley to kill p[eo]ple in Teso'. ²⁴⁵⁸ In turn, the 10 October 2003 entry records Joseph Kony stating that Charles Kapere, who was preparing to move and

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²⁴⁵⁴ P-0209: <u>T-160</u>, p. 13, lines 16-19. *See also* P-0209: <u>T-161</u>, p. 37, lines 7-9.

²⁴⁵⁵ P-0209: <u>T-160</u>, p. 13, lines 20-25.

²⁴⁵⁶ P-0209: T-160, p. 14, lines 6-20.

²⁴⁵⁷ P-0209: T-161, p. 48, line 11 – p. 49, line 8. *See also* ISO Faxed Copy, UGA-OTP-0242-0780, at 0781; ISO Faxed Copy, UGA-OTP-0242-0775, at 0777. As explained above (*see* section IV.B.3.i.b.vi above), both faxed copies originate from the ISO Gulu logbook, which has also been submitted as evidence, *see* UGA-OTP-0232-0234, at 0543, 0549.

²⁴⁵⁸ ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0543. *See also* UPDF Logbook (Soroti), UGA-OTP-0254-1991, at 2112. While this logbook entry attributes the statement not to Otti, but rather to Kony, in an exchange with Otti and Tabuley, the Chamber considers this not to affect the information regarding them reportedly hearing on radio that Kapere and Onen Kamdule were moving with Tabuley in Teso.

meet him should move 'with the battery', ²⁴⁵⁹ without providing any indication of Charles Kapere's location. ²⁴⁶⁰

1193.P-0209 stated that once Trinkle brigade arrived at the location of the meeting with Vincent Otti, the latter summoned the high-ranking officers. From Trinkle brigade, brigade commander Charles Kapere went. P-0209 testified that according to what Charles Kapere told his subordinates, the meeting with Vincent Otti included Buk, Raska Lukwiya, Dominic Ongwen, and Opoka. P-0209 also stated that according to what

²⁴⁵⁹ ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0549. The Chamber notes that this particular detail is not included in the corresponding UPDF logbook entries. However, these entries clearly concern the same radio communication (*compare*, in particular, ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0549 (c/s 9A (part of Odhiambo's squad) reporting ambush of dyna between Puranga and Rackoko, taking 9 radios, 5 walk men, 2 accumulators) *with* UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 1072 (c/s 9A under command of Okullu reporting ambush of pick-up on Lira-Kitgum road between Puranga Rac-Koko, taking same items plus 36,000 UG shs) *and* UPDF Logbook (Soroti), UGA-OTP-0254-1991, at 2120 (Okurut reporting ambush of pick-up on Lira-Kitgum road between Puranga and Rackoko, taking same items plus 36,000 UG shs) *and* UPDF Logbook (Achol Pii), UGA-OTP-0242-6018, at 6160 (Okure reporting ambush of dyna pick-up on Lira-Kitgum road between Puranga and Rach-Koko, taking same items plus 36,000 UG shs). Of particular note is that the UPDF Achol Pii logbook also indicates Kony as instructing that the two batteries be kept for him. Bearing the above in mind, and noting at the same time that interceptors at times would have focused on different details in summarising radio communications, the Chamber considers it appropriate to refer to this specific detail included in the record of the communication as prepared by ISO.

²⁴⁶⁰ It may be noted that a 7 October 2003 entry in the same ISO logbook, which records Vincent Otti as reporting that he was 'still waiting for Onen Kamdulu [...], then imm[ediately] he will organise Kapere to start his journey to Sudan', provides a specific indication that at the time, Charles Kapere was with Vincent Otti; see UGA-OTP-0232-0234, at 0535. The Chamber notes that this particular detail is not included in the corresponding UPDF logbook entries. However, these entries clearly concern the same radio communication (compare ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0534 (Tabuley reporting location as Acuna and coordinating with Lagulu and Lamola to meet) with UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 1058 (Tabuley sending location as Acuna railway station, telling Lagulu to meet him there) and UPDF Logbook (Soroti), UGA-OTP-0254-1991, at 2101-03 (Tabuley coordinating with Lagulu to meet at Acuna); compare ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0535 (Kony and Otti talking about reported complaints against Museveni for taking presidential jet to bring daughter to Germany for delivery) with UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 1057 (Kony and Otti talking about reported complaints against Museveni for taking daughter, with a lot of money, abroad for treatment) and UPDF Logbook (Soroti), UGA-OTP-0254-1991, at 2103 (Kony and Otti talking about reported complaints against Museveni for taking presidential jet to take daughter outside country to deliver); compare ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0536 (Otti telling Kony about failed attack against UPDF) with UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 1058 (Otti telling Kony about failed attack against UPDF) and UPDF Logbook (Soroti), UGA-OTP-0254-1991, at 2103 (Otti telling Kony about failed attack against UPDF)). The UPDF Achol Pii logbook contains an overall much more succinct entry for this communication time (see UGA-OTP-0242-6018, at 6157). Bearing this in mind, and noting at the same time that the entries in the UPDF logbooks are overall slightly less detailed than the entry in the ISO logbook and that interceptors at times would have focused on different details in summarising radio communications, the Chamber considers it appropriate to refer to this specific detail included in the record of the communication as prepared by ISO. ²⁴⁶¹ P-0209: <u>T-160</u>, p. 21, lines 7-8.

²⁴⁶² P-0209: T-160, p. 21, line 9. P-0209 explained that he did not himself go to the meeting because he was 'not yet a high-ranking officer' and 'did not qualify to go there'; T-160, p. 21, lines 18-20.

²⁴⁶³ P-0209: T-160, p. 21, lines 10-17.

Charles Kapere told them, at the meeting Vincent Otti assigned roles to each commander present.²⁴⁶⁴

- 1194. In relation to the presence of Buk Abudema, the Chamber notes that when the Defence read to the witness a government report dated 10 October 2003 which recorded Buk Abudema as reporting an attack at Adilang, P-0209 maintained his testimony. 2465 Moreover, the Chamber notes that P-0138 testified that while Buk Abudema did not attend the commanders' meeting, he was present afterwards when the soldiers selected for the Pajule attack were addressed. However, neither of these two nor any other witness who testified about the attack on Pajule IDP camp attributed to Buk Abudema any action or role. In addition, as noted above, on 7 October 2003, Vincent Otti reported to Joseph Kony Buk Abudema's departure for Teso. Accordingly, the Chamber makes no finding in relation to Buk Abudema's involvement at this point.
- before the LRA attack on Pajule IDP camp, the Chamber notes the testimony of P-0309, who was Dominic Ongwen's escort and part of his household. He described the leadup to the attack on Pajule IDP camp. He stated that LRA soldiers from different groups met in a place called Wanduku under the leadership of Vincent Otti. He P-0309 testified that Pajule was attacked by 'many groups that came together', specifically mentioning that 'Dominic's group' was also involved. He P-0309 understandably testified that he could not name all of the commanders involved because they were new to him, but was able to mention, in addition to Vincent Otti and Dominic Ongwen, also Raska Lukwiya.
- 1196.P-0309 testified that the commanders, including Dominic Ongwen and Vincent Otti, gathered together, and when they came back they gave the orders.²⁴⁷¹ Notably, P-0309 testified that he took Dominic Ongwen's stool for sitting to Vincent Otti's 'home', where

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<sup>2464</sup> P-0209: <u>T-160</u>, p. 21, lines 21-24.

<sup>2465</sup> P-0209: <u>T-160</u>, p. 38, line 24 – p. 39, line 25. See also ISO Faxed Copy, UGA-OTP-0242-0775, at 0777.

<sup>2466</sup> P-0138: <u>T-120</u>, p. 37, lines 12-20, p. 38, lines 9-14.
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²⁴⁶⁷ See section IV.B.2.ii.b.xvi above.

²⁴⁶⁸ P-0309: <u>T-60</u>, p. 41, lines 16-23.

 $^{^{2469}}$ P-0309: $\overline{\text{T-60}}$, p. 42, line 23 – p. 43, line 1.

²⁴⁷⁰ P-0309: <u>T-60</u>, p. 43, lines 2-5.

²⁴⁷¹ P-0309: <u>T-60</u>, p. 45, lines 2-12.

the meeting took place.²⁴⁷² He confirmed that he saw those present at the meeting from a distance of approximately 20-30 metres.²⁴⁷³ He testified that the meeting took place when it was getting dark, at around 17:00, and that it lasted for over 30 minutes.²⁴⁷⁴

- 1197.P-0138 stated that at the time of the Pajule attack, Vincent Otti was with Raska Lukwiya, Nyeko Tolbert Yadin, Sam Kolo, Okot Odhiambo, Charles Tabuley and other commanders. ²⁴⁷⁵ The Chamber notes that P-0138 was the only witness who placed Charles Tabuley among the commanders present near Pajule IDP camp in advance of the LRA attack. ²⁴⁷⁶ No witness attributed to him any action or role for the attack. There is also evidence that at the time, Charles Tabuley was in Teso. ²⁴⁷⁷
- 1198. Asked specifically about the presence of Dominic Ongwen, P-0138 stated that at the time Dominic Ongwen was not a brigade commander and that due to his lower rank he could not sit together with the other commanders, but would only receive instructions from his brigade commander. This testimony is emphasised by the Defence as contradicting the Prosecution's allegation that Dominic Ongwen participated in the planning of the attack on Pajule IDP camp. However, the Chamber considers that the evidence of P-0138 on this specific point consists of supposition rather than his personal observation or another identifiable source of knowledge and for this reason sets it aside, relying instead on the evidence of witnesses who testified more reliably about Dominic Ongwen's presence, including P-0309 referred to just above.
- 1199. According to P-0138, the meeting of the commanders started at around 13:00 at a place where they had sat to rest because they had been moving. At 17:00, they started selecting the people for the attack, which happened at the same place where the meeting

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²⁴⁷² P-0309: T-60, p. 47, lines 5-11.

²⁴⁷³ P-0309: <u>T-60</u>, p. 47, lines 12-21.

²⁴⁷⁴ P-0309: <u>T-60</u>, p. 50, lines 3-7.

²⁴⁷⁵ P-0138: T-120, p. 35, lines 13-20.

²⁴⁷⁶ It is noted that D-0032 stated that Vincent Otti summoned also Charles Tabuley before the attack, *see* para. 1178 above.

²⁴⁷⁷ UPDF Logbook (Soroti), UGA-OTP-0254-1991, at 2102. *See also* UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 1056. The Chamber notes that while the ISO and UPDF Achol Pii logbooks do not contain this specific detail, they place Charles Tabuley in Teso at least the following day (8 October 2003). *See* ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0537; UPDF Logbook (Achol Pii), UGA-OTP-0242-6018, at 6158.

²⁴⁷⁸ P-0138: <u>T-120</u>, p. 36, lines 15-23. See also Defence Closing Statement: <u>T-258</u>, p. 90, lines 19-23.

²⁴⁷⁹ Defence Closing Brief, paras 333, 335; see also para. 337.

²⁴⁸⁰ P-0138: <u>T-120</u>, p. 37, lines 1-8.

took place.²⁴⁸¹ This was also the location where those who did not go for the attack, including P-0138, waited for the attackers to return.²⁴⁸²

- 1200. Charles Lokwiya testified that at the gathering before the attack on Pajule IDP camp, the commanders present were Vincent Otti, Nyeko Yadin, Lakati, Mzee Banya, Ocaya, Opiru, Raska Lukwiya and others. Charles Lokwiya did not list Dominic Ongwen as present, but in light of what is said at the outset of this section, the Chamber does not consider this decisive, in light of the other detailed and contextualised witness evidence placing Dominic Ongwen at the meeting. Charles Lokwiya confirmed that the gathering took place in Wanduku. Charles Lokwiya also accepted the Prosecution's suggestion that different LRA units met up and that about 600 LRA fighters were present at the RV.
- 1201. The Chamber also notes the testimony of another low-ranking Sinia member who was present, P-0330, who stated that there was a 'standby between the leaders', where there were two groups: Vincent Otti's group and Dominic Ongwen's group. 2486 P-0330 gave the location of the standby as the foothill of Latanya. The leaders met, but P-0330 observed that the escorts were too far off to know what was discussed. However, P-0330 stated that he could see the leaders. According to P-0330, the leaders present were Dominic Ongwen, Okello Kalalang and Okello from Dominic Ongwen's group, and from the other group Vincent Otti and other people P-0330 could not recognise. P-0330 testified that he prepared the chair for Dominic Ongwen for the meeting of the leaders.
- 1202. The Chamber notes that there are several discrepancies in P-0330's description of the meeting as compared to the witnesses cited above. Most importantly, however, as opposed to the meeting described by the above witnesses, which took place the day

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<sup>2481</sup> P-0138: <u>T-120</u>, p. 37, lines 8-10.

<sup>2482</sup> P-0138: <u>T-120</u>, p. 37, lines 9-11.

<sup>2483</sup> D-0134: <u>T-240</u>, p. 57, lines 1-9.

<sup>2484</sup> D-0134: <u>T-241</u>, p. 10, lines 22-24.

<sup>2485</sup> D-0134: <u>T-241</u>, p. 10, line 25 – p. 11, line 6.

<sup>2486</sup> P-0330: <u>T-51</u>, p. 74, lines 1-3.

<sup>2487</sup> P-0330: <u>T-55</u>, p. 29, lines 12-13.

<sup>2488</sup> P-0330: <u>T-51</u>, p. 74, lines 3-5. See also <u>T-55</u>, p. 22, lines 6-17.

<sup>2489</sup> P-0330: <u>T-51</u>, p. 74, lines 8-24.

<sup>2490</sup> P-0330: <u>T-51</u>, p. 74, line 25 – p. 75, line 3.

<sup>2491</sup> P-0330: <u>T-51</u>, p. 78, lines 5-6.
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before the attack, P-0330 testified that the leaders talked 'for a day' and on the second day they selected the standby. ²⁴⁹² Therefore, P-0330 is, on the face of his evidence, describing another previous meeting, involving Dominic Ongwen's and Vincent Otti's groups. Considering that P-0330 is the only witness who discussed this additional meeting, the Chamber will not delve into it further, but it is noted that for this meeting to have taken place is not incompatible with the evidence on record, in particular with the evidence in relation to when Dominic Ongwen joined Vincent Otti.

1203. Finally, P-0084 participated in the UPDF military fact-finding mission following the attack on Pajule IDP camp. ²⁴⁹³ He stated that prior to the attack, the UPDF was aware of 'the concentration east of Pajule of the LRA', ²⁴⁹⁴ thereby corroborating the insider evidence.

b. Selection and briefing of the attackers

After the meeting, on the eve of the attack, the LRA soldiers were selected from the Control Altar, as well as Trinkle and Sinia brigades. Raska Lukwiya was designated as the overall commander for the attack. The attackers were briefed about the attack and instructed to attack the UPDF at the barracks, as well as civilian areas of the camp in order to loot radio equipment, food and other items. The attackers were also told to abduct civilians.²⁴⁹⁵

1204. A number of witnesses provided evidence on the preparation for the attack on Pajule IDP camp once the commanders' meeting ended and the decision to attack was made known to the LRA members present at large. The Chamber notes that the evidence of the various witnesses is generally compatible, but that on occasion, as above, the evidence of witnesses is limited to what they could observe from their individual position. This particularly affects the evidence as to from which LRA units the attackers were selected.

1205.P-0144 testified that the decision to organise the force for the attack on Pajule IDP camp came from Vincent Otti. Vincent Otti gave the instruction to Raska Lukwiya, who then selected the soldiers to go and carry out the operation. P-0144 testified Raska

²⁴⁹² P-0330: <u>T-51</u>, p. 75, lines 4-7.

²⁴⁹³ P-0084 Statement, UGA-OTP-0139-0149-R01, at paras 14-15.

²⁴⁹⁴ P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 70.

²⁴⁹⁵ Para. 146 above.

²⁴⁹⁶ P-0144: <u>T-91</u>, p. 21, lines 21-23. *See also* p. 79, line 15 – p. 80, line 3.

²⁴⁹⁷ P-0144: <u>T-91</u>, p. 21, lines 23-25.

Lukwiya also had 'subordinate commanders as well who were instead commanding the smaller groups that went and carried out the operation'. ²⁴⁹⁸

- 1206.P-0209 described in detail how the result of the commanders' meeting reached his unit. He testified that Charles Kapere was at the meeting for about two hours and that immediately after he returned, he called the commanders under him, including Bogi, Sam Opio, the IO and the witness. Charles Kapere informed those present that Vincent Otti had told him that there was going to be an operation and that he wanted them to go and attack the UPDF in Pajule. From Trinkle brigade, 75 fighters were organised for the attack. Other fighters were prepared from Control Altar as well as from Sinia.
- 1207. According to P-0209 the instruction coming from Vincent Otti was to go to the barracks where the UPDF were, to the mission 'to take the radio equipment' and to the centre 'to collect food, soap, salt and other items'. ²⁵⁰³ The plan was also to abduct civilians to carry items and to increase the numbers of LRA soldiers. ²⁵⁰⁴ Similarly, P-0330 testified that the LRA fighters had been instructed to abduct civilians, loot items and give it to the civilians to carry. ²⁵⁰⁵
- 1208.P-0045 testified that before the attack on Pajule IDP camp, she was called to a standby where Vincent Otti announced that there would be an attack on Pajule. ²⁵⁰⁶ She stated that many people were present at the time. ²⁵⁰⁷
- 1209.P-0309 stated that after the meeting of the commanders, he heard Dominic Ongwen give orders to Opio Korea to choose people from his group to go for an operation. ²⁵⁰⁸ Subsequently, Opio Korea selected about 10 people from Dominic Ongwen's home to go for the attack. ²⁵⁰⁹ According to P-0309, people who were chosen were then addressed

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<sup>2498</sup> P-0144: <u>T-91</u>, p. 79, line 25 – p. 80, line 3.
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²⁴⁹⁹ P-0209: <u>T-160</u>, p. 22, lines 5-19. It is noted that during examination by the Defence, P-0209 also mentioned Okwang Olero, but it is unclear whether this is a further participant in the meeting, or whether Okwang Olero was the IO; P-0209: <u>T-161</u>, p. 42, lines 17-18.

²⁵⁰⁰ P-0209: <u>T-160</u>, p. 22, line 20 – p. 23, line 3.

²⁵⁰¹ P-0209: T-160-CONF, p. 25, lines 6-25.

²⁵⁰² P-0209: T-160-CONF, p. 27, lines 11-14.

²⁵⁰³ P-0209: <u>T-160</u>, p. 23, lines 7-14.

²⁵⁰⁴ P-0209: <u>T-160</u>, p. 24, lines 3-13.

²⁵⁰⁵ P-0330: T-52-CONF, p. 5, lines 19-20.

²⁵⁰⁶ P-0045: T-103-CONF, p. 90, lines 12-25.

²⁵⁰⁷ P-0045: T-103-CONF, p. 91, lines 4-5.

²⁵⁰⁸ P-0309: <u>T-60</u>, p. 45, lines 9-10, p. 50, line 19 – p. 51, line 3.

²⁵⁰⁹ P-0309: <u>T-60</u>, p. 51, lines 8-12.

and told that they were going to Pajule.²⁵¹⁰ The group of soldiers addressed was well over 100 people.²⁵¹¹

- 1210.P-0309 testified that no specific orders were given to him, and stated: 'I knew by default that since we were going to the barracks then we had to fight and win the battle'. 2512 When P-0309's previous statement to the effect that the instruction was to go to Pajule centre, abduct people and loot food to eat was put to him, he responded: 'What I can say is that whenever we are prepared to go fight, all those activities are part of the fighting, the part of the attack. I didn't think it was necessary to outline them one by one.'2513
- 1211.P-0330 stated that Dominic Ongwen's deputy for the standby was Okello, and that among the other people selected for the attack were Odoki and Bomek.²⁵¹⁴ On refreshing from previous testimony, P-0330 also confirmed the presence of Oyo and Oyet.²⁵¹⁵ P-0330 stated that they were Sinia soldiers.²⁵¹⁶ As to Bomek, P-0330 stated that he was in Sinia support.²⁵¹⁷ P-0330 testified that instructions were given to abduct civilians to carry 'luggage'.²⁵¹⁸
- 1212.P-0372 testified that when the meeting of the commanders took place, the foot soldiers did not know what would happen, but realised in the evening that some soldiers had been selected to go and attack Pajule.²⁵¹⁹ He testified that at the time that the soldiers were selected, the commanders present were Dominic Ongwen, Vincent Otti and Raska Lukwiya. ²⁵²⁰ P-0372 specifically confirmed having seen Dominic Ongwen. ²⁵²¹ According to P-0372, there was no 'major speech' by a commander before the attack on Pajule. ²⁵²² However, he stated that when people were selected and put in a group to start

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<sup>2510</sup> P-0309: T-60, p. 45, lines 10-12.
<sup>2511</sup> P-0309: T-60, p. 48, lines 13-15.
<sup>2512</sup> P-0309: T-60, p. 53, lines 3-6.
<sup>2513</sup> P-0309: T-60, p. 56, line 15 – p. 57, line 22.
<sup>2514</sup> P-0330: T-51, p. 75, lines 8-23.
<sup>2515</sup> P-0330: T-51, p. 76, lines 5-12.
<sup>2516</sup> P-0330: T-51, p. 76, lines 13-14.
<sup>2517</sup> P-0330: T-51, p. 76, lines 18-21.
<sup>2518</sup> P-0330: T-51, p. 79, lines 11-14. See also P-0330: T-52-CONF, p. 5, lines 19-20.
<sup>2519</sup> P-0372: T-148, p. 16, lines 20-23. See also P-0372: T-149, p. 55, lines 9-18.
<sup>2521</sup> P-0372: T-149, p. 67, lines 14-15.
<sup>2522</sup> P-0372: T-148, p. 16, line 24 – p. 17, line 1.
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moving, Dominic Ongwen gave information that they were going to Pajule with his group.²⁵²³

- 1213. Charles Lokwiya also testified about the briefing Vincent Otti gave before departure. ²⁵²⁴ The instructions that Charles Lokwiya could recall were to attack the barracks, collect food and abduct people. ²⁵²⁵
- 1214.P-0101 testified that she was present during the selection of soldiers for the Pajule attack. ²⁵²⁶ According to her testimony, Vincent Otti was doing the selection, and Dominic Ongwen was a commander chosen to go to Pajule. ²⁵²⁷
- 1215.P-0138 stated that he did not personally go for the Pajule attack.²⁵²⁸

 2529 and Vincent Otti selected people for the attack at about 19:00 at a place approximately a mile away from Pajule camp.²⁵³⁰
- 1216. According to P-0138, after the plan for the attack was finalised, Nyeko Tolbert Yadin, Raska Lukwiya and Ocitti Jimmy addressed the fighters. ²⁵³¹ Asked about other LRA commanders who were present at this occasion, P-0138 mentioned 'Colonel Bogi', 'several captains, among whom included Bosco', 'Lukwiya', Buk Abudema, 'Odhiambo, who was coming from Trinkle' and 'Tabuley coming from Stockree'. ²⁵³² Furthermore, the witness's testimony that Vincent Otti gave instructions to the soldiers necessarily implies that Vincent Otti was present at the gathering too. ²⁵³³ In any case, the Chamber considers P-0138's testimony was not very clear as to the commanders present when the attackers were first assembled and addressed. The witness also did not provide a clear answer to the question whether Dominic Ongwen was present at this gathering. ²⁵³⁴

²⁵²³ P-0372: T-148, p. 17, lines 2-5.

²⁵²⁴ D-0134: <u>T-240</u>, p. 57, lines 15-17.

²⁵²⁵ D-0134: <u>T-240</u>, p. 57, lines 18-21.

²⁵²⁶ P-0101: <u>T-13</u>, p. 24, lines 21-24.

²⁵²⁷ P-0101: <u>T-13</u>, p. 24, line 25 – p. 25, line 1, p. 25, lines 10-14. *See also* p. 58, lines 18-22 (stating that Dominic Ongwen commanded and selected the soldiers that went to Pajule).

²⁵²⁸ P-0138: <u>T-120</u>, p. 32, lines 3-6.

²⁵²⁹ P-0138: T-120-CONF, p. 32, lines 6-7.

²⁵³⁰ P-0138: <u>T-120</u>, p. 32, lines 7-9. The Chamber notes that P-0138's estimate of the distance between the location of the selection and Pajule IDP camp is notably lower than that of other witnesses. However, considering that P-0138 did not go to Pajule, the Chamber does not attribute value to this estimate.

²⁵³¹ P-0138: <u>T-120</u>, p. 35, line 21 – p. 36, line 1.

²⁵³² P-0138: T-120, p. 37, lines 12-20. In relation to P-0138's identification of Charles Tabuley as present, *see* para. 1197 above.

²⁵³³ P-0138: <u>T-120</u>, p. 38, lines 18-24.

²⁵³⁴ P-0138: <u>T-120</u>, p. 37, line 21 – p. 38, line 8.

Consequently, even though the Chamber does not have doubts that P-0138 described facts that he personally observed, his evidence is not of assistance on details, including as concerns the presence of commanders.

- 1217.P-0138 testified that Control Altar, as well as Stockree, Trinkle and Sinia brigades, provided soldiers for the attack on Pajule IDP camp.²⁵³⁵
- 1218.P-0015, who was a *ting ting* in Vincent Otti's household at the time, ²⁵³⁶ stated that on 9 October 2003 at 19:00 Vincent Otti sent people from Control Altar to attack Pajule IDP camp. ²⁵³⁷ She said that 'many people' were sent, all from Control Altar. ²⁵³⁸
- 1219. She stated that she heard the orders that Vincent Otti gave, which were 'that if they found huts they should burn them, to loot, and to abduct children of the age of 8 years and above, and to attack the barracks'. ²⁵³⁹ In addition, P-0015 stated that Vincent Otti told the group that 'even if they found insects on the way that they should kill them, that all houses in Pajule should be burnt, that civilian people and UPDF should be killed'. ²⁵⁴⁰ P-0015's evidence on the orders given to the attackers stands out from the evidence of other witnesses who testified about the same fact. The fact that other witnesses who were asked about the orders did not mention the explicit order to kill civilians prevents the Chamber from following P-0015 with respect to this specific issue. This, however, pertains exclusively to the issue of whether an explicit order of the kind described by P-0015 was issued in advance of the attack on Pajule IDP camp, and has, in the light of all the other evidence, no bearing on the Chamber's finding that the LRA perceived as enemy the civilians living in Northern Uganda. ²⁵⁴¹
- 1220.P-0015 also stated that she heard Vincent Otti make a telephone call to a commander at the UPDF barracks telling him that 'he had sent his people who should reach there at about 8pm'. 2542 However, given that there is no other evidence attesting to this contact,

²⁵³⁵ P-0138: T-120, p. 39, lines 14-18.

²⁵³⁶ P-0015 First Statement, UGA-OTP-0043-0131-R01, at para. 61.

²⁵³⁷ P-0015 First Statement, UGA-OTP-0043-0131-R01, at para. 87.

²⁵³⁸ P-0015 First Statement, UGA-OTP-0043-0131-R01, at para. 88.

²⁵³⁹ P-0015 First Statement, UGA-OTP-0043-0131-R01, at para. 87.

²⁵⁴⁰ P-0015 First Statement, UGA-OTP-0043-0131-R01, at para. 87.

²⁵⁴¹ See section IV.C.4 above.

²⁵⁴² P-0015 First Statement, UGA-OTP-0043-0131-R01, at para. 89.

and in light of the fact that the issue is immaterial to the disposal of the charges in the present case, the Chamber does not make any findings in this regard.

- 1221. As is evident from the above, each witness who testified before the Chamber about the preparations for the attack on Pajule IDP camp described what they could personally observe in the specific surroundings where they found themselves at the time. Only a few witnesses had reliable overall knowledge of the organisation of the LRA soldiers for the attack. But the testimonies referred to, taken together, establish that after the meeting, soldiers were selected from the attack from the various groups present at the location.
- 1222.P-0144, an LRA fighter who participated in the attack, ²⁵⁴³ testified that two LRA groups went to lay ambushes along the way so that reinforcements from the Ugandan military would not interfere in the Pajule operation; another was meant to carry out the assault and attack the barracks; and the biggest group was sent to go and collect food items and abduct civilians. ²⁵⁴⁴ P-0144 stated that the purpose of the attack on the barracks was to weaken the government soldiers and to prevent them from attacking the LRA attackers who were looting food in the camp. ²⁵⁴⁵ P-0144 testified that the 'major reason' the LRA was in Pajule was to collect food. ²⁵⁴⁶ The witnesses agreed that Raska Lukwiya was designated as the overall commander on the ground. ²⁵⁴⁷
- 1223. Finally, the Chamber notes the evidence of P-0070, who testified that he was injured and in sickbay at the time of the attack on Pajule IDP camp, but that he heard of the attack on 'domestic radio' and from those who were injured during the attack and were brought to the sickbay, including one and LRA soldier in Control Altar. P-0070 testified that he was told that the attack on Pajule was undertaken by the combined forces of the Control Altar and the Sinia brigade. Further, P-0070 stated that he was told that the plan for the attack on Pajule had been to overrun the barracks and thereafter to abduct civilians and 'burn down the entire place'. Even though P-0070 did not personally

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<sup>2543</sup> P-0144: <u>T-91</u>, p. 29, lines 1-11.
<sup>2544</sup> P-0144: <u>T-91</u>, p. 21, lines 6-20, p. 32, lines 6-7.
<sup>2545</sup> P-0144: <u>T-91</u>, p. 34, line 18 – p. 35, line 3.
<sup>2546</sup> P-0144: <u>T-91</u>, p. 34, line 18 – p. 35, line 1.
<sup>2547</sup> P-0144: <u>T-91</u>, p. 22, lines 14-18; P-0209: <u>T-160</u>, p. 24, lines 17-18; D-0134: <u>T-240</u>, p. 58, lines 4-6; P-0101: <u>T-13</u>, p. 25, lines 15-21; P-0015 First Statement, UGA-OTP-0043-0131-R01, at para. 87.
<sup>2548</sup> P-0070: <u>T-106-CONF</u>, p. 27, line 12 – p. 28, line 20.
<sup>2549</sup> P-0070: <u>T-106</u>, p. 29, lines 7-10.
<sup>2550</sup> P-0070: <u>T-106</u>, p. 29, lines 19-25.
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observe the facts, the Chamber sees value in his evidence as an element of corroboration. ²⁵⁵¹

c. The departure of the attackers

The same evening after the briefing, the attackers, including Dominic Ongwen, departed for Pajule IDP camp. Vincent Otti remained behind.²⁵⁵²

- 1224. Witnesses who were present provide consistent testimony, diverging only in inessential detail such as the precise time of departure and arrival, that after the setup of the attacking force, the attackers left for Pajule IDP camp on the evening of the day preceding the attack, moved during the night, and arrived near Pajule in the early morning of the day of the attack.
- 1225.P-0144 testified that after the 'standby' was selected, they went straight to Pajule.²⁵⁵³ P-0144, who himself went for the attack, testified that it was not very far, only 10 kilometres, and that the attackers left at about 18:00, but did not go in a straight line and kept resting on the way.²⁵⁵⁴
- 1226.P-0045 testified that the orders for the Pajule attack were given at around 20:00, after which the attackers moved until dawn. ²⁵⁵⁵
- 1227.P-0309 testified that when the attackers left Wanduku they moved to Pajule, which took about two to three hours.²⁵⁵⁶ During examination by the Defence, P-0309 added that they did not go directly to Pajule, but stopped along the way and stayed in the bushes for a while.²⁵⁵⁷ P-0309 testified that they arrived at Pajule 'at the centre' at about 4:00.²⁵⁵⁸
- 1228.P-0372 testified that the attackers left for Pajule at around 21:00, and arrived there at around 3:00 the next morning, after which they waited for dawn to commence the

²⁵⁵¹ This is not undermined by the fact that P-0070 – in clear contradiction with the other witnesses who were present for the organisation of the attack – stated that according to what he was told, overall commander of the operation was Bosco Bogi of Control Altar. *See* P-0070: <u>T-106</u>, p. 29, lines 11-16.

²⁵⁵² Para. 146 above.

²⁵⁵³ P-0144: <u>T-91</u>, p. 28, line 23 – p. 29, line 1.

²⁵⁵⁴ P-0144: T-91, p. 29, lines 15-17.

²⁵⁵⁵ P-0045: T-103-CONF, p. 94, lines 20-21.

²⁵⁵⁶ P-0309: <u>T-60</u>, p. 54, lines 13-16.

²⁵⁵⁷ P-0309: <u>T-63</u>, p. 5, lines 11-16.

²⁵⁵⁸ P-0309: <u>T-60</u>, p. 57, lines 23-24.

- attack.²⁵⁵⁹ He estimated the distance at 12 miles.²⁵⁶⁰ P-0372 also stated that it rained on the way, but in the morning it was clear.²⁵⁶¹
- 1229. Charles Lokwiya stated that the attackers set off for Pajule at about 19:00 to 20:00. 2562
- 1230.P-0209, who himself did not go to the attack, testified that the attacking force departed the meeting location at about 22:00, but added that he could not recall the time very well.²⁵⁶³
- 1231. Several witnesses who provided credible and detailed accounts of the attack on Pajule IDP camp also stated that they personally saw Dominic Ongwen in the attacking force. In particular, P-0144 specifically confirmed that he saw Dominic Ongwen in the group that went to Pajule. P-0309 also testified that in the vicinity of Pajule, P-0309 saw people separate into different groups and he himself joined Dominic Ongwen's group. P-0372 also testified that he saw Dominic Ongwen on the way. P-0372 also testified that he saw Dominic Ongwen on the way.
- 1232. Turning to Vincent Otti, the Chamber notes that several witnesses testified that he remained behind. 2567 In the presence of this consistent evidence from multiple sources, including P-0138 who stated that he remained behind with Vincent Otti, the Chamber does not on this issue follow P-0045, who at some point indicated that Vincent Otti went to the mission, 2568 or the statement of P-0006, a civilian resident of Pajule IDP camp, who stated that shortly after she was abducted during the attack, she saw an old man for whom she 'thought that he looked like Otti Vincent' and that she recognised him from photographs at the camp. 2569

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<sup>2559</sup> P-0372: T-148, p. 19, lines 16-23.
<sup>2560</sup> P-0372: T-149, p. 65, lines 7-10.
<sup>2561</sup> P-0372: T-149, p. 65, lines 11-17.
<sup>2562</sup> D-0134: T-240, p. 58, lines 10-12.
<sup>2563</sup> P-0209: T-160, p. 29, lines 5-8.
<sup>2564</sup> P-0144: T-91, p. 30, lines 7-8.
<sup>2565</sup> P-0309: T-60, p. 54, lines 16-18.
<sup>2566</sup> P-0372: T-148, p. 19, lines 19-21.
<sup>2567</sup> P-0045: T-103-CONF, p. 95, lines 14-17; P-0138: T-120-CONF, p. 32, lines 13-19; P-0209: T-160, p. 30, lines 6-9; P-0309: T-60, p. 53, lines 13-15.
<sup>2568</sup> P-0045: T-103-CONF, p. 91, lines 23-25.
<sup>2569</sup> P-0006 Statement, UGA-OTP-0144-0072-R01, at para, 33
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iii. The LRA's attack against the civilian population of Pajule IDP camp

On 10 October 2003, LRA fighters, including Dominic Ongwen, attacked Pajule IDP camp.²⁵⁷⁰

In the early morning of 10 October 2003, several hundreds of LRA fighters, including fighters under the age of 15, entered through the Pajule side of the camp on the east, armed with an assortment of weapons, including SPG-9, AK-47s, a 12.7 mm anti-aircraft gun, RPGs, a PKM machine gun as well as pangas/machetes and knives.²⁵⁷¹

The LRA fighters were organised in several units, spreading throughout the camp. One group attacked the military barracks in the Lapul side of the camp, engaging with the Ugandan government forces. Another much smaller group went to the Catholic mission, from where it was repelled by government soldiers. Two small groups set up ambushes on the Pader and Kitgum roads, to prevent government military reinforcements from aiding the camp. A final large group of fighters went to attack the civilian camp. Amongst this group of fighters was Raska Lukwiya, the operations commander of the attack.²⁵⁷²

Government soldiers within the camp fled in the face of the attacking force, while government soldiers in the barracks managed to hold the barracks under heavy fire from the LRA.

- 1233. The parties agree that on or about 10 October 2003, there was an attack on Pajule IDP camp. ²⁵⁷⁴ The evidence shows that this attack occurred in the early morning of 10 October 2003, ²⁵⁷⁵ the day after Uhuru day, the Ugandan Independence day.
- 1234. Regarding the number of fighters that attacked the camp, LRA fighter P-0144 testified that between 400 and 500 of them went to Pajule IDP camp. ²⁵⁷⁶ This estimate is compatible with the testimony of Charles Lokwiya, another LRA fighter, who stated that 80 to 100 fighters went to the barracks but that the majority of the attackers went to the centre. ²⁵⁷⁷ LRA fighter P-0372 estimated that there were at least 40 fighters from each

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²⁵⁷⁰ Para. 144 above.

²⁵⁷¹ Para. 147 above.

²⁵⁷² Para. 147 above.

²⁵⁷³ Para. 148 above.

²⁵⁷⁴ Agreed Facts, C1.

²⁵⁷⁵ P-0009: <u>T-81</u>, p. 11, line 25 – p. 12, line 2; P-0379: <u>T-57</u>, p. 21, lines 17-24; P-0008 Statement, UGA-OTP-0137-0002-R01, at para. 14; P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 12. *See* enhanced audio recording, UGA-OTP-0247-1102 at section IV.B.3.ii.c above; P-0003 Tape 693 Transcript, UGA-OTP-0132-0105-R01, at 0133-36; P-0003: <u>T-43</u>, p. 3, line 17 – p. 12, line 21; P-0138: <u>T-120</u>, p. 65, line 25 – p. 68, line 14; UPDF Logbook (Soroti), UGA-OTP-0254-1991, at 2116-2117; ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0547; UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 1070.

²⁵⁷⁶ P-0144: <u>T-92</u>, p. 43, lines 10-13.

²⁵⁷⁷ D-0134: T-240-CONF, p. 62, line 18 – p. 63, line 2, thus indicating that the 80 to 100 fighters mentioned were a minority of the fighters participating in the attack.

group selected to go to attack Pajule and about three groups participating in the attack.²⁵⁷⁸ The Chamber considers this latter relatively lower estimate to still be compatible with the other evidence and the conclusion that several hundred LRA fighters participated in the attack on Pajule IDP camp on 10 October 2003.

- 1235. This conclusion is further supported by the testimonies of government soldiers. UPDF officer John Lubwama testified that about 400 LRA fighters attacked the camp. ²⁵⁷⁹ P-0084, an officer with the UPDF who observed the attack and participated in an investigation the next day, testified that he believed that about 200 to 250 rebels came to attack Pajule in October 2003. ²⁵⁸⁰
- 1236. The evidence also demonstrates that among the LRA fighters who participated in the attack on Pajule IDP camp, there were also children younger than 15 years old. P-0144, who participated in the fight at the government barracks, testified that the youngest LRA fighters at the barracks could be about 13 or 14.²⁵⁸¹ Asked how he could tell their ages, P-0144 also testified that he could 'see' their age, and that they were 'still tender' and could have been 13, 14 or 15 years old.²⁵⁸² He also stated that he compared the soldiers to himself, who was 17 years old and was older, by 'many years', than even the people who abducted him.²⁵⁸³
- 1237. This evidence is corroborated by the testimony of camp resident P-0249, who stated that the rebels who abducted him and his wife were young, from 12 up to about 18 years old. P-0249 also testified that Rwot Oywak was abducted by an armed *kadogo*. P-0249 testified that he believed that the *kadogo* was approximately 13 or 14 years old. P-0249 testified that he could tell the soldiers' ages by their sizes and estimating their age accordingly. Second P-0249 testified that he could tell the soldiers' ages by their sizes and estimating their

²⁵⁷⁸ P-0372: <u>T-148</u>, p. 18, lines 9-11; <u>T-149</u>, p. 67, lines 18-21. It is noted that the witness stressed that he was providing an estimate; P-0372: <u>T-149</u>, p. 67, lines 18-21.

²⁵⁷⁹ P-0047 Statement, UGA-OTP-0027-0177-R01, at para. 96.

²⁵⁸⁰ P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 137.

²⁵⁸¹ P-0144: <u>T-91</u>, p. 32, line 25 – p. 33, line 7.

²⁵⁸² P-0144: <u>T-91</u>, p. 33, lines 13-14.

²⁵⁸³ P-0144: T-91, p. 33, lines 15-19.

²⁵⁸⁴ P-0249: T-79, p. 11, line 23 – p. 12, line 11.

²⁵⁸⁵ P-0249: T-79, p. 21, lines 14-18, p. 23, lines 3-9.

²⁵⁸⁶ P-0249: T-79, p. 23, lines 3-6.

²⁵⁸⁷ P-0249: T-79, p. 12, lines 5-11. See also T-80, p. 3, line 10 – p. 5, line 9.

- 1238. Further, camp resident P-0006 testified that she was abducted and beaten by young LRA fighters, she identified as *kadogos* around 14 years old. ²⁵⁸⁸ UPDF commander John Lubwama testified that he could see that the average age of the rebels in the attack were between 12 and 20 years old. ²⁵⁸⁹ He also stated specifically that he saw the bodies of the LRA fighters killed during the attack and that their ages were between 12 and 20 years old. ²⁵⁹⁰
- 1239. In this context, the Chamber recalls the testimony of LRA fighter P-0379 who stated that he saw a very young boy, who appeared to be a rebel, who was shot around the shoulders and on his head and was dead and it appeared he had been holding bubble gum in his hand but it fell next to him. While P-0379 does not explicitly estimate the age of the 'very young boy' that he saw, the description that he gave makes it plain that he spoke of a child below the age of 15. The Chamber thus notes P-0379's evidence as corroborative of the other evidence in relation to the participation of children under 15 years old in the LRA attack on Pajule IDP camp on 10 October 2003.
- 1240. Credible and consistent evidence shows that the LRA forces attacking Pajule IDP camp were heavily armed with weapons including an SPG-9, AK-47s, a 12.7 mm anti-aircraft gun, RPGs, a PKM machine gun as well as pangas/machetes and knives.²⁵⁹² The evidence

²⁵⁸⁸ P-0006 Statement, UGA-OTP-0144-0072-R01, at paras 11, 14; P-0006: <u>T-140</u>, p. 9, lines 1-11, p. 37, lines 15-20

²⁵⁸⁹ P-0047 Statement, UGA-OTP-0027-0177-R01, at para. 108.

²⁵⁹⁰ P-0047 Statement, UGA-OTP-0027-0177-R01, at paras 109-110.

²⁵⁹¹ P-0379: T-57, p. 26, lines 6-8, p. 27, lines 8-18.

²⁵⁹² D-0134: T-240-CONF, p. 60, lines 19-25, p. 61, lines 10-16 (testifying that he was carrying a weapon called an SPG-9, an anti-tank weapon used mainly to shoot armoured vehicles); P-0144: T-91, p. 30, lines 15-18 (stating that the LRA had an SMG, a PKM machine gun, an RPG, a recoilless, an SPG-9, a 12.7 and a 60 millimetre mortar); P-0209: T-160, p. 29, lines 2-4 (stating that the LRA soldiers who participated in the Pajule attack from his group went with AK-47s, PKM, RPG and 12.7 gun); P-0309: T-60, p. 53, line 22 - p. 54, line 12 (testifying that LRA fighters, including himself, had weapons such as AK-47s; fighters also had mortars and RPGs as well as 'big guns' whose names the witness could not recall); P-0045: T-103-CONF, p. 95, line 24 - p. 96, line 2 (stating that the LRA fighters went to the barracks with an LMG, a B-10, an SPG-9 and small arms); P-0047 Statement, UGA-OTP-0027-0177-R01, at paras 30, 97, 98 (testifying that the attackers were armed with hoes, pangas and 1.5 metres spears. The LRA attackers also used AK-47s, an SPG-9 and the 12.7 mm anti-aircraft gun. After the attack the government soldiers recovered from LRA an SPG-9 (a self-propelled, anti-armour gun) and cartridges from the 12.7 anti-aircraft gun near the military barracks as well as 11 Ak-47s); P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 20 (testifying that after the attack, the government forces recovered 13 submachine guns (SMGs) and an SPG-9 from the LRA fighters); P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 14 (Benson Ojok was abducted by an LRA fighter carrying an AK-47 and a bayonet knife); P-0067: T-125, p. 10, lines 3-6 (Dick Okot saw an RPG gun, and other smaller arms, foldable guns).

shows that Dominic Ongwen was also armed at Pajule IDP camp during the attack.²⁵⁹³ P-0309 testified that Dominic Ongwen had a gun similar to an AK-47 gun.²⁵⁹⁴

- 1241.UPDF soldier P-0084 testified that prior to the attack, the military had noticed LRA commanders concentrating east of Pajule and were thus anticipating an attack somewhere in the region.²⁵⁹⁵ The military was monitoring about seven LRA groups and these groups were moving towards Lanyatono village, which was about eight miles east of Pajule.²⁵⁹⁶ P-0084 testified that just before the attack there had already been threats by the LRA of attacks on IDP camps, including on Pajule IDP camp.²⁵⁹⁷ According to P-0084, the letter warned the people to leave the camp or they would be attacked.²⁵⁹⁸
- 1242. As planned, ²⁵⁹⁹ and as laid out in detail below, the LRA fighters split and went to different areas in the camp: the barracks; the Catholic mission; the civilian camp, including the trading centre; and also to set up ambushes of the incoming government reinforcements on the Pader and Kitgum roads. The Chamber notes that some witnesses did not mention certain groups referred to by other witnesses, in particular the groups that went to the Catholic mission and to lay ambushes to prevent government reinforcements from arriving. This is naturally explained by the different personal knowledge of the witnesses, and does not raise doubt as to the reliability of the evidence as a whole.
- 1243.LRA fighter P-0144 testified that each of the different groups that went to the attack had a different commander, Lieutenant Lalero for one of the ambushes, ²⁶⁰⁰ Bogi for the barracks, and Dominic Ongwen for the centre. ²⁶⁰¹ According to P-0144, Raska Lukwiya, the operations commander for the entire attack, went to the centre with Dominic Ongwen and the group Dominic Ongwen commanded. ²⁶⁰² Raska Lukwiya's role was to 'go and

²⁵⁹³ P-0309: <u>T-60</u>, p. 54, lines 4-8.

²⁵⁹⁴ P-0309: <u>T-60</u>, p. 54, lines 6-8.

²⁵⁹⁵ P-0084 Statement, UGA-OTP-0139-0149-R01, at paras 69-70.

²⁵⁹⁶ P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 70.

²⁵⁹⁷ P-0084 Statement, UGA-OTP-0139-0149-R01, at paras 73, 76. In this regard, the witness testified about having seen a letter from the LRA, warning people to leave the camp or they would be attacked, that had been dropped at Pajule IDP camp about a week before the attack.

²⁵⁹⁸ P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 76.

²⁵⁹⁹ See section IV.C.6.ii above.

²⁶⁰⁰ P-0144: <u>T-91</u>, p. 32, lines 6-7 (testifying that he could not remember the name of the commander of the second ambush).

²⁶⁰¹ P-0144: <u>T-92</u>, p. 45, lines 14-23.

²⁶⁰² P-0144: <u>T-92</u>, p. 45, lines 14-23.

conduct abductions, supported by the commanders that he selected at the centre, and to coordinate the other groups that are not together with him at that time'. ²⁶⁰³ P-0144 confirmed that Raska Lukwiya was personally present and oversaw the operations in the centre. ²⁶⁰⁴

- 1244. According to P-0144, the attacking force initially moved in one group and then, when the LRA fighters were close to Pajule IDP camp, they split into their respective groups. The ambush group went first, then the group assaulting the barracks and lastly the third group went to the trading centre to collect food and abduct people. ²⁶⁰⁵
- 1245. Similarly, LRA fighter Charles Lokwiya testified that as the LRA approached Pajule IDP camp they split into three groups. ²⁶⁰⁶ He stated that Bogi led the groups going to the barracks, ²⁶⁰⁷ and the main group, led by Raska Lukwiya, went to the centre. ²⁶⁰⁸ According to Charles Lokwiya, another group, led by Vincent Otti's escort Onyee, proceeded to the mission. ²⁶⁰⁹
- 1246. John Lubwama, the UPDF commander of the government forces stationed at the camp at the time of the attack, testified that the LRA split into two groups near the health centre and one group crossed the main road and advanced towards the barracks while another group moved towards Pajule IDP camp. ²⁶¹⁰
- 1247. John Lubwama testified that at the time of the attack there were a total of 150 government soldiers under his command. The soldiers were mostly LDUs and the commanders, UPDF. John Lubwama testified that 70 soldiers were deployed in the barracks and about 80 were deployed around the camp. At the time of the attack on the camp, the soldiers in the barracks slept in the trenches in order to be ready for fighting. The

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<sup>2603</sup> P-0144: T-92, p. 45, line 24 – p. 46, line 6.
<sup>2604</sup> P-0144: T-92, p. 46, lines 4-6.
<sup>2605</sup> P-0144: T-91, p. 29, lines 15-25.
<sup>2606</sup> D-0134: T-240, p. 58, line 25 – p. 59, line 4.
<sup>2607</sup> D-0134: T-240, p. 59, lines 7-10.
<sup>2608</sup> D-0134: T-240, p. 59, lines 7-11.
<sup>2609</sup> D-0134: T-240, p. 59, line 14 – p. 60, line 12.
<sup>2610</sup> P-0047 Statement, UGA-OTP-0027-0177-R01, at para. 95.
<sup>2611</sup> P-0047 Statement, UGA-OTP-0027-0177-R01, at para. 92; P-0047: T-115, p. 11, lines 13-15.
<sup>2612</sup> P-0047 Statement, UGA-OTP-0027-0177-R01, at para. 92.
<sup>2613</sup> P-0047 Statement, UGA-OTP-0027-0177-R01, at para. 92.
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Chamber notes that while there was a police station in the camp there is no evidence that the police engaged in any discernible way during the LRA attack on 10 October 2003.

- 1248. The witnesses LRA insiders, camp residents and government military officers stated that the shooting began in the early morning of 10 October 2003, generally testifying to a range of times between 5:00 and 6:00. According to witness evidence, people had been celebrating Uhuru Day Ugandan Independence Day the night before, and for this reason some were still drunk and caught unaware by the attack. According to witness evidence, people had been celebrating Uhuru Day Ugandan Independence Day the night before, and for this reason some were still drunk and caught unaware by the attack.
- 1249. Camp resident Terrence Otika became aware of the attack when he heard the sounds of whistles being blown and ululations. He testified that he knew it was the 'rebels' attacking because they were blowing whistles and had girls with them making ululations. He was a solution of the attack when he heard the sounds of whistles being blown and ululations whistles and had girls with them making ululations.
- 1250.UPDF commander John Lubwama testified that he initially heard a gunshot from the north by the road, close to the primary school near the military barracks.²⁶¹⁸ He testified that two of his officers, who were about 100 meters from the main road, saw the rebels coming from the north of their position, advancing in fighting formation.²⁶¹⁹ The two soldiers fired at the rebels and the rebels started firing back.²⁶²⁰ The soldiers then ran to

²⁶¹⁴ P-0144: <u>T-91</u>, p. 31, lines 6-8 (testifying that the attack began at about 5:00); P-0008 Statement, UGA-OTP-0137-0002-R01, at para. 14 (stating that he first heard shooting between 5:00 and 6:00); P-0007 Statement, UGA-OTP-0147-0214-R01, at paras 10-11 (stating that he lived within the trading centre in the Lapul side of the IDP camp and first heard guns being fired at around 5:00 in the morning); P-0379: <u>T-57</u>, p. 21, lines 17-24 (testifying that he started hearing gunshots at around 5:00 and knew that this meant that the camp was being attacked); P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 82 (testifying that he heard gunfire at about 5:30); D-0134: T-240-CONF, p. 62, lines 2-7 (stating that the attack began at 6:00); P-0047 Statement, UGA-OTP-0027-0177-R01, at paras 25, 91 (testifying that the attack took place around 6:00 when he was awake inside his house listening to the BBC); P-0081 Statement, UGA-OTP-0070-0029-R01, at paras 17-18 (stating that he woke up at around 6:00 the morning of 10 October 2003 to the sound of gunshots, he went outside and was sent back inside by an armed man who he assumed to be a government soldier, at that time he checked his watch and it said 6:00). *See also* D-0085: <u>T-239</u>, p. 18, line 23 – p. 19, line 1 (testifying that the LRA forces arrived in Pajule 'around dawn, around 7:00, 7:30').

²⁶¹⁵ D-0076: <u>T-219</u>, p. 11, lines 2-6. *See also* P-0006 Statement, UGA-OTP-0144-0072-R01, at paras 8-9; P-0249: <u>T-79</u>, p. 9, lines 18-22.

²⁶¹⁶ P-0007 Statement, UGA-OTP-0147-0214-R01, at para. 11.

²⁶¹⁷ P-0007 Statement, UGA-OTP-0147-0214-R01, at para. 13. The witness stated that this was, in his observation, the customary behaviour of the rebels during attacks.

²⁶¹⁸ P-0047 Statement, UGA-OTP-0027-0177-R01, at para. 92. *See* P-0047 Statement, UGA-OTP-0027-0177-R01, at para. 49; P-0047's sketch of Pajule IDP camp, UGA-OTP-0027-0198. In his sketch of Pajule IDP camp, the witness indicates the locations of the primary schools in Pajule with a 'P.S'. The primary school he speaks of here is shown as being towards the north east of the military barracks.

²⁶¹⁹ P-0047 Statement, UGA-OTP-0027-0177-R01, at para. 92.

²⁶²⁰ P-0047 Statement, UGA-OTP-0027-0177-R01, at para. 92.

the barracks and into the fighting trenches. ²⁶²¹ John Lubwama testified that the government forces took position in the trenches, armed with AK-47s, mortars and 82 mm recoilless guns. ²⁶²² John Lubwama testified that he called for reinforcement from the regular UPDF force, the 65th battalion, which was about seven kilometres south of the camp. ²⁶²³

- 1251. According to John Lubwama, the attackers came from the east. ²⁶²⁴ Similarly, camp resident Santo Oweka confirmed that the LRA came from the east or northeast. ²⁶²⁵ Camp resident P-0006 also heard the noises signalling the beginning of the attack coming from the eastern direction. ²⁶²⁶
- 1252. Other witnesses corroborate the above accounts. Okema Brown, a camp resident and leader, testified that he first heard shooting from the direction of the barracks and then he could hear shooting coming from all different directions, stating 'the attack came from all directions in the camp'. 2627 UPDF officer P-0084 testified that he was at the UPDF divisional headquarters at Achol-Pii about 30 kilometres from Pajule and could hear gunshots coming from the camp. 2628 P-0084 stated that after hearing the gunshots the divisional headquarters received radio communication of the attack from Captain Lubwama, commander of the military detachment at Pajule, 2629 thereby confirming the latter's testimony. P-0084 also testified that Captain Lubwama said that there was fighting in the barracks and some LRA were in the trading centre. 2630
- 1253.P-0144 testified that about 100 LRA fighters went to the barracks.²⁶³¹ Charles Lokwiya corroborates this account.²⁶³² This number is also compatible with the testimony of John Lubwama, who stated that he saw about 150 rebels coming towards the barracks.²⁶³³ The

²⁶²¹ P-0047 Statement, UGA-OTP-0027-0177-R01, at para. 92.

²⁶²² P-0047 Statement, UGA-OTP-0027-0177-R01, at para. 97.

²⁶²³ P-0047 Statement, UGA-OTP-0027-0177-R01, at para. 53.

²⁶²⁴ P-0047 Statement, UGA-OTP-0027-0177-R01, at para. 95.

²⁶²⁵ D-0081: <u>T-221</u>, p. 45, lines 20-22.

²⁶²⁶ P-0006 Statement, UGA-OTP-0144-0072-R01, at para. 9.

²⁶²⁷ P-0008 Statement, UGA-OTP-0137-0002-R01, at paras 13-14.

²⁶²⁸ P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 82.

²⁶²⁹ P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 82. This testimony corroborates John Lubwama's accounts of his reaction to the LRA's attack. *See* para. 1250 above.

²⁶³⁰ P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 83.

²⁶³¹ P-0144: <u>T-91</u>, p. 32, lines 20-22.

²⁶³² D-0134: T-240-CONF, p. 62, line 18 – p. 63, line 2 (testifying that 80 to 100 fighters went to the barracks).

²⁶³³ P-0047 Statement, UGA-OTP-0027-0177-R01, at para. 92.

Chamber notes that LRA fighter P-0045's estimate as to the number of fighters that went to attack the barracks was much lower ('about 15 perhaps'), but does not consider this estimate decisive in light of the consistent estimates of the other witnesses and in light of P-0045's clarification that, in any case, she was not including in her count all the LRA fighters that actually went to the barracks.²⁶³⁴

1254. The evidence shows that heavy fighting occurred at the barracks. ²⁶³⁵ According to P-0144, a group of LRA fighters, led by Bogi, went straight to the barracks and engaged the government soldiers there. ²⁶³⁶ P-0144 testified that when the LRA started its attack on the barracks at the upper side of the barracks some of the government soldiers there fled in the southern direction. ²⁶³⁷ P-0144 stated that the LRA fighters initially overran part of the barracks but were ultimately not able to overpower the soldiers stationed at the barracks and so retreated in the direction of the trading centre. ²⁶³⁸ Several other witnesses, specifically LRA fighters, offered testimony consistent with and corroborative of this account. P-0045 stated that the government soldiers at the barracks initially retreated before coming back and defeating the LRA. ²⁶³⁹ Charles Lokwiya testified that the LRA fighters fought in the barracks and just as they were about to capture the barracks, the government soldiers returned in numbers. ²⁶⁴⁰ Camp resident P-0081 testified that he heard bombs being fired towards the barracks and coming out of the barracks. ²⁶⁴¹

1255. Witnesses reported that there was also shooting in the civilian areas of the camp. LRA fighter P-0330 provides testimony to the effect that LRA fighters engaged with government soldiers, stating that there were soldiers guarding the centre and he fired his gun at them. ²⁶⁴² From his position in the military battalion headquarters, John Lubwama could see and hear the group in the camp attacking there at the same time as the group

²⁶³⁴ P-0045: T-103-CONF, p. 95, lines 7-13.

²⁶³⁵ P-0067: <u>T-126</u>, p. 5, lines 6-7 (testifying that the barracks was 'where the heavy fighting was'); P-0372: <u>T-148</u>, p. 20, line 25 – p. 21, line 2 (testifying that he was told by the people who went to the barracks the fighting at the barracks was 'very fierce').

²⁶³⁶ P-0144: <u>T-91</u>, p. 22, lines 1-4, p. 30, lines 21-23, p. 33, line 23 – p. 34, line 1.

²⁶³⁷ P-0144: <u>T-91</u>, p. 34, lines 7-17.

²⁶³⁸ P-0144: <u>T-91</u>, p. 33, line 23 – p. 34, line 6, p. 35, lines 21-24. *See also* P-0372: <u>T-148</u>, p. 21, lines 7-12 (like P-0144, P-0372 also testified that the persons who went to the barracks later came back and joined the ones who were in the camp who were taking foodstuff).

²⁶³⁹ P-0045: T-103-CONF, p. 91, lines 14-16; T-104-CONF, p. 6, lines 5-11, line 25 – p. 7, line 3.

²⁶⁴⁰ D-0134: T-240-CONF, p. 62, lines 8-11, p. 63, lines 3-8.

²⁶⁴¹ P-0081 Statement, UGA-OTP-0070-0029-R01, at para. 18.

²⁶⁴² P-0330: T-52-CONF, p. 7, lines 4-10.

attacking the barracks.²⁶⁴³ UPDF commander John Lubwama testified that some of the government soldiers were present nearby to protect the camp, but they were overpowered and withdrew, after which the attackers entered the camp.²⁶⁴⁴

1256. Similarly, camp resident Dick Okot testified that there were government soldiers in the outskirts of the camp and a few soldiers were within the camp. ²⁶⁴⁵ The witness testified that there was a gun battle in the Pajule side of the camp and the UPDF soldiers retreated to the barracks on the Lapul side of the camp and were no longer there on the Pajule side. ²⁶⁴⁶ According to the witness, the LRA came and chased away the soldiers that were in the camp and the soldiers that were in the outskirts of the camp and the soldiers ran to the barracks. ²⁶⁴⁷ Dick Okot testified that the LRA fighters then entered the camp and started breaking into people's houses and into the shops. ²⁶⁴⁸ Likewise, P-0006 testified that she knew the government soldiers in the camp had run away because she did not see any government soldiers near the house when she and the other inhabitant were taken out by LRA fighters and abducted. ²⁶⁴⁹

In this context, the Chamber notes the testimony of Okema Brown, who lived on the Pajule side of the camp, testified that after the shooting began he and his children lay on the floor of their hut.²⁶⁵¹ Okema Brown heard the rebels outside giving instructions 'you just abduct, maka maka'.²⁶⁵² Okema Brown testified that he also heard rebels giving instructions to other rebels that 'they should just shoot the drunkards'.²⁶⁵³ Similarly, Richard Otim testified that it was dawn and he was asleep, when he heard gunshots on

²⁶⁴³ P-0047 Statement, UGA-OTP-0027-0177-R01, at para. 96.

²⁶⁴⁴ P-0047 Statement, UGA-OTP-0027-0177-R01, at para. 102.

²⁶⁴⁵ P-0067: <u>T-126</u>, p. 4, line 24 – p. 5, line 4.

²⁶⁴⁶ P-0067: <u>T-125</u>, p. 8, lines 7-10.

²⁶⁴⁷ P-0067: <u>T-126</u>, p. 5, lines 4-7.

²⁶⁴⁸ P-0067: <u>T-125</u>, p. 8, lines 7-12.

²⁶⁴⁹ P-0006 Statement, UGA-OTP-0144-0072-R01, at para. 18.

²⁶⁵⁰ D-0077 Statement, UGA-D26-0022-0316, at paras 1, 12-13 (David Okwera testified that the LRA fighters ran away, leading to the abduction of the people. He also stated that towards the end of the attack, a government soldier kicked open the door and ran into his home. The soldier did not attack or hurt him and made no threatening statement or gestures and it seemed that he was just looking for safety). David Okwera's testimony indicates that there were government soldiers within the camp trying to escape from the LRA; P-0007 Statement, UGA-OTP-0147-0214-R01, at para. 12 (testifying that he heard gunshots go off on and off, and when the guns fell silent, he could hear the sounds of loud hitting on the doors of houses).

²⁶⁵¹ P-0008 Statement, UGA-OTP-0137-0002-R01, at para. 14.

²⁶⁵² P-0008 Statement, UGA-OTP-0137-0002-R01, at para. 14.

²⁶⁵³ P-0008 Statement, UGA-OTP-0137-0002-R01, at para. 14.

the road from Pajule centre.²⁶⁵⁴ Richard Otim realised that it could be LRA fighters attacking so he woke up; afraid of being abducted, he tried to run.²⁶⁵⁵ However he was held back by his wife who was afraid that he would be shot by the bullets being fired outside.²⁶⁵⁶

- 1258. The very fact that LRA fighters were able to abduct so many civilians within Pajule IDP camp is further proof that they were not being impeded by a military force that remained in the centre. ²⁶⁵⁷
- 1259. The evidence indicates that several LRA fighters and UPDF soldiers were injured, and some killed, in the exchange of fire that occurred at the barracks and within the camp. ²⁶⁵⁸
- 1260. Whereas the charges in relation to the Pajule IDP camp attack do not include the destruction of property, the Chamber notes nonetheless that the evidence suggests that the LRA did burn down a limited number of civilian huts within the camp. ²⁶⁵⁹
- 1261.UPDF commander John Lubwama testified that some of the rebels in the group tried to go to the Catholic mission and into the Lapul civilian area but they were repelled by his men.²⁶⁶⁰ UPDF officer P-0084 testified that a nun at the Catholic mission told him that the LRA fighters did not enter the mission but stopped at the fence and called out to the

²⁶⁵⁴ D-0076: <u>T-219</u>, p. 11, lines 2-9.

²⁶⁵⁵ D-0076: T-219, p. 11, lines 11-15.

²⁶⁵⁶ D-0076: <u>T-219</u>, p. 11, lines 11-15.

²⁶⁵⁷ See paras 1326-1355 below.

²⁶⁵⁸ D-0134: T-240-CONF, p. 62, lines 9-11 (testifying that he was shot); P-0144: <u>T-91</u>, p. 35, lines 4-12 (testifying that Charles Lokwiya was injured in the attack at the barracks when his SPG-9 gun was shot and the fragment of the gun hit him on the stomach and on the mouth); P-0045: T-103-CONF, p. 91, lines 14-18; P-0130 Statement, UGA-OTP-0191-0272-R01, at para. 62 (many LRA fighters were injured during the fight at the barracks); P-0372: <u>T-148</u>, p. 20, line 25 – p. 21, line 3, p. 24, lines 14-18 (testifying that LRA fighter Oringa was killed at the barracks); P-0047 Statement, UGA-OTP-0027-0177-R01, at paras 100, 111-112 (testifying that some of the rebels were killed among the huts near the military barracks. Ten rebels were killed by the military barracks and two government soldiers killed close to the barracks. One rebel was killed in the Pajule camp area as well as one government soldier who had been deployed around the camp to protect it.).

²⁶⁵⁹ P-0008 Statement, UGA-OTP-0137-0002-R01, at paras 36, 46-49 (stating that when he went to his home to check the damage he saw that grass had been pulled from the thatched roof of his hut and was then told by his relative that after the arrival of the helicopter, the rebels said that they should burn the huts so that the smoke prevents the helicopter from seeing them; and testifying that on 11 October 2003, he counted, along with block leaders, 23 huts burnt on the Pajule sub-county side of the camp); P-0047 Statement, UGA-OTP-0027-0177-R01, at para. 102 (stating that 11 huts were burned in 'Pajule IDP camp north east' and that he saw the huts on fire); P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 115 (testifying that his staff members counted 315 huts burnt in the camp); P-0006 Statement, UGA-OTP-0144-0072-R01, at para. 18; P-0006: T-140, p. 11, lines 8-15 (testifying that she saw the smoke from burnt grass-thatched houses in the trading centre of both sides of Pajule IDP camp; she guessed that it was the rebels attacking the camp who set the houses on fire because government soldiers had never set houses on fire before).

²⁶⁶⁰ P-0047 Statement, UGA-OTP-0027-0177-R01, at paras 100-101.

people within the mission to open the gate.²⁶⁶¹ According to P-0084, the mission housed captured or rescued former female or child LRA abductees.²⁶⁶² P-0084 testified that the mission was 'less than 100-200 metres' from the barracks.²⁶⁶³

- 1262.LRA insider evidence is consistent with these accounts. P-0144 testified that a group of about 10-11 fighters was supposed to go to the mission but did not manage to reach the mission because the government soldiers were able to thwart their attack. This group of fighters was commanded by Onyee, an LRA captain. P-0045 testified that the group that went to attack the mission included a fighter called Onyee, one of Vincent Otti's escorts. In addition, P-0045 stated that she heard that '[n]othing happened at the mission', because the LRA fighters sent there encountered soldiers and ran back.
- 1263. Regarding the groups of fighters who went to set up ambushes, P-0144 testified that Lieutenant Lalero commanded the ambush group and they went to the Pader road to lay an ambush for the government soldiers, ²⁶⁶⁸ a second ambush team who went to Kitgum road. ²⁶⁶⁹ P-0309 testified that some fighters went to stage an ambush along the way to take care of the government soldiers that would be coming from different directions. ²⁶⁷⁰ Corroborating these accounts, camp leader Okema Brown testified that he was later told by the commanding officer of the military barracks that the LRA had laid ambushes on the roads outside the camp to prevent the army from coming inside. ²⁶⁷¹ UPDF officer P-0084 testified that the LRA fighters ambushed a UPDF vehicle coming to reinforce the camp with a landmine and anti-tank gun, about 3-5 kilometres from the trading centre on the Pajule-Pader road. ²⁶⁷² Although the LRA was successful in damaging a vehicle, they

²⁶⁶¹ P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 103.
²⁶⁶² P-0084 Statement, UGA-OTP-0139-0149-R01, at paras 103-104.
²⁶⁶³ P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 105.
²⁶⁶⁴ P-0144: T-91, p. 25, lines 19-25.
²⁶⁶⁵ P-0144: T-91, p. 25, line 19 – p. 26, line 1.
²⁶⁶⁶ P-0045: T-104-CONF, p. 4, lines 6-10, p. 62, lines 21-23.
²⁶⁶⁷ P-0045: T-104-CONF, p. 9, line 23 – p. 10, line 1.
²⁶⁶⁸ P-0144: T-91, p. 22, lines 10-13.
²⁶⁶⁹ P-0144: T-91, p. 32, lines 6-7 (P-0144 did not recall the name of the commander of this group).
²⁶⁷⁰ P-0309: T-60, p. 54, lines 13-20.
²⁶⁷¹ P-0008 Statement, UGA-OTP-0137-0002-R01, at para. 16.
²⁶⁷² P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 86.

eventually retreated in the face of UPDF fire. ²⁶⁷³ P-0144 testified that he heard that Lieutenant Lalero, who had commanded the ambush, died in the operation. ²⁶⁷⁴

Dominic Ongwen led a group of attackers to fight at the barracks, before directing them to attack the trading centre within the camp.²⁶⁷⁵

1264. As discussed above, Dominic Ongwen participated in the planning of the attack on Pajule IDP camp. He also personally went to the attack. Witnesses testified that they saw Dominic Ongwen leave for the attack on Pajule along with the brigades that were going on the attack. P-0144 also testified that after the attack, during the LRA retreat from Pajule, he saw Dominic Ongwen among a group of fighters moving ahead to the RV point. Pajule along with the planning of the attack on Pajule attack. Witnesses testified that they saw Dominic Ongwen among a group of fighters moving ahead to the RV point. Pajule along with the planning of the attack on Pajule attack.

1265. The Chamber recalls that almost a hundred fighters alone attacked the barracks and even more than a hundred attacked the trading centre, and that altogether several hundred persons participated in the LRA attack on Pajule IDP camp. ²⁶⁷⁸ Given the large number of LRA fighters participating in the attack, it is not unusual that several witnesses testified that they did not see Dominic Ongwen during the course of the attack. ²⁶⁷⁹ Additionally, at the time of the gathering of various LRA units, the preparations for the attack and during the attack itself, several officers more senior to Dominic Ongwen were present and active. It is therefore understandable that even fighters within the same group might not have noted and remembered each other's presence.

1266. As to where Dominic Ongwen went within the camp, some evidence suggests that Dominic Ongwen was present at the barracks, for at least some portion of the attack.

²⁶⁷⁶ See section IV.C.6.ii.c, the Chamber's discussion of the departure of the attackers.

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²⁶⁷³ P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 87.

²⁶⁷⁴ P-0144: <u>T-91</u>, p. 47, lines 21-25.

²⁶⁷⁵ Para. 149 above.

²⁶⁷⁷ P-0144: <u>T-91</u>, p. 44, lines 11-19. *See* P-0144: <u>T-91</u>, p. 45, line 3 – p. 47, line 2; P-0144's sketch of Pajule, UGA-OTP-0243-0504 (showed the path of withdrawal and indicating that during the withdrawal, Dominic Ongwen was positioned in the main group of fighters who went to the camp).

²⁶⁷⁸ See paras 1234, 1253 above.

²⁶⁷⁹ P-0330: <u>T-51</u>, p. 80, lines 3-7; <u>T-52</u>, p. 11, lines 11-13 (testifying that he did not see Dominic Ongwen in Pajule during the attack and went to the attack with a different commander); D-0085: <u>T-239</u>, p. 18, lines 4-6 (testifying, having been a low level attacker in Pajule to collect food, that she never met Dominic Ongwen); D-0134: <u>T-240</u>, p. 65, lines 17-21, p. 66, lines 1-3 (testifying that he did not see Dominic Ongwen among the group that went to Pajule and did not see Dominic Ongwen at the RV after the attack). Regarding Charles Lokwiya's testimony, the Chamber recalls that he was injured during the attack, arrived at the RV location later than the other fighters and was moved to sickbay.

1267.LRA fighter P-0372 testified that Dominic Ongwen divided the fighting troops into groups and led the group that went to attack the barracks at Pajule. P-0372 testified that he saw Dominic Ongwen as he was going to the barracks. P-0372 testified that he saw Dominic Ongwen walk with a limp as if he was shot in the leg but noted that 'his disability was not very serious, he would walk by himself.' The witness stated that although he did not see Raska Lukwiya in Pajule, he was not certain that Lukwiya was not there as 'people were many and besides that people went at night and so you wouldn't know everyone'. Possible was not certain that Lukwiya was not there as 'people were many and besides that people went at night and so you wouldn't know everyone'.

1268.LRA fighter P-0309 provided similar testimony, including more detail. He also stated that Dominic Ongwen's group went to the barracks, while another group went to the trading centre of Pajule IDP camp. ²⁶⁸⁴ Importantly, P-0309 testified that Dominic Ongwen went with a group of fighters straight to the barracks to engage with the soldiers there and that he was himself part of these fighters. ²⁶⁸⁵ The witness further stated that a group of LRA soldiers surrounded the government troops in the barracks and, led by Dominic Ongwen, had an armed exchange with government soldiers. ²⁶⁸⁶ As also discussed above, P-0309 testified that LRA fighters overpowered part but not the whole of the government barracks. ²⁶⁸⁷ P-0309 explained that the government soldiers retreated and then came back and defeated the LRA forces. ²⁶⁸⁸ He testified that his group, on Dominic Ongwen's orders, then left the barracks and went to the centre to join the LRA group there. ²⁶⁸⁹ P-0309 testified in great detail about how he heard Dominic Ongwen blow a whistle and indicate with his hand that LRA fighters should retreat from the

²⁶⁸⁰ P-0372: <u>T-148</u>, p. 18, lines 15-23, p. 20, lines 5-6. The Chamber notes that P-0372 testified that Dominic Ongwen was '[t]he most senior person in charge of that attack'. However, in light of the detailed evidence otherwise available in relation to the commanders involved in the planning and execution of the attack, and in light of P-0372's status as a low level fighter which gave him an inherently limited possibility to observe the relevant facts and make this assessment, the Chamber does not attribute any consequence to this particular assertion of P-0372. The Chamber also notes that given P-0372's status at the time of the attack, it is likely that Dominic Ongwen was indeed the most senior person he observed.

²⁶⁸¹ P-0372: <u>T-148</u>, p. 21, lines 13-14.

²⁶⁸² P-0372: T-148, p. 22, lines 3-8. The Chamber has no reason to believe that P-0372 mistook any other commander for Dominic Ongwen in light of the precise physical description of Dominic Ongwen that he was able to provide.

 $^{^{268\}hat{3}}$ P-0372: $\underline{\text{T-148}}$, p. 18, line 25 – p. 19, line 7.

²⁶⁸⁴ P-0309: <u>T-63</u>, p. 7, lines 19-25.

²⁶⁸⁵ P-0309: <u>T-60</u>, p. 52, lines 1-2, p. 54, lines 13-22; <u>T-63</u>, p. 9, lines 2-5.

²⁶⁸⁶ P-0309: T-60, p. 59, lines 19-25.

²⁶⁸⁷ P-0309: T-60, p. 59, line 23 – p. 60, line 2.

²⁶⁸⁸ P-0309: <u>T-60</u>, p. 60, lines 2-4.

²⁶⁸⁹ P-0309: <u>T-60</u>, p. 60, lines 3-16.

barracks and move to the trading centre of Pajule IDP camp.²⁶⁹⁰ P-0309 testified that the LRA forces spent between 40 to 50 minutes at the barracks.²⁶⁹¹

- 1269. The Chamber is persuaded by the details and context provided by P-0309 in his description of his participation under Dominic Ongwen in the attack on the UPDF barracks and the retreat therefrom towards the trading centre. Whereas there is contrary evidence, in particular from witnesses familiar with the planning of the attack, that Bogi was in charge of the group that attacked the barracks, ²⁶⁹² the Chamber does not consider that this evidence contradicts P-0309, as he merely asserted that he participated in the attack in a group under the immediate orders of Dominic Ongwen. P-0309's evidence, taking into consideration the expected limits of his knowledge, should not be interpreted as implying that no other LRA groups were participating in the attack under other commanders. In fact, considering that a hundred LRA fighters attacked the barracks, the participation of multiple senior officers on the ground was logical. The Chamber notes the testimony of Charles Lokwiya and P-0045 – two fighters who knew Dominic Ongwen and who went to attack the barracks in the course of the attack. Given the number of fighters, including senior officers, on the ground in the course of the Pajule attack, the Chamber also does not consider these witnesses' accounts dipositive as such as to whether Dominic Ongwen, at the initial stages of the attack, in fact went to the barracks, as stated by P-0309 and P-0372.
- 1270. In light of the evidence, the Chamber finds that Dominic Ongwen participated in the attack on the barracks for a portion of the attack, before withdrawing with the fighters under his command towards the trading centre.
- 1271. The evidence is clear that Dominic Ongwen moved and led fighters to the trading centre to loot and abduct. P-0309 testified that the group commanded by Dominic Ongwen and acting on his orders, also went to the trading centre, after leaving the barracks, and joined another LRA group there in looting food, breaking into shops and abducting people.²⁶⁹³

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 $^{^{2690}}$ P-0309: $\underline{\text{T-60}}$, p. 60, line 14 – p. 61, line 1. See P-0309's sketch of the Pajule IDP camp attack, UGA-OTP-0258-0833-R01.

²⁶⁹¹ P-0309: <u>T-60</u>, p. 60, lines 5-7; <u>T-63</u>, p. 8, line 23 – p. 9, line 1.

²⁶⁹² See paras 1243, 1245, 1254 above.

 $^{^{2693}}$ P-0309: $\underline{\text{T-60}}$, p. 61, line 11 – p. 62, line 2.

P-0309 testified that his group of LRA fighters, including Dominic Ongwen, spent about 30 minutes at the trading centre. ²⁶⁹⁴

- 1272. Camp residents who experienced the attack also place Dominic Ongwen at the scene of the attack. Rwot Oywak testified that he encountered Dominic Ongwen in the trading centre. He stated that Dominic Ongwen had a gun in one hand, a stick in another, a radio communication device and a number of soldiers with him and was walking with a limp. He According to Rwot Oywak, Dominic Ongwen was firing his gun. He testified that the escorts around Dominic Ongwen were addressing him as Lapwony Dominic and reporting to him when they 'brought' persons. He identification of Dominic Ongwen by Rwot Oywak, the Chamber recalls that, as discussed above, Rwot Oywak had previously met Dominic Ongwen. He identification of Dominic Ongwen by Rwot Oywak, the Chamber recalls that, as
- 1273.Rwot Oywak testified that he thought Raska Lukwiya also participated in the Pajule attack; but he testified that he was taken to Dominic Ongwen during the attack.²⁷⁰⁰ The Defence noted that in his 2005 statement to the Prosecution, the witness mentioned that Raska Lukwiya was firing his gun and that Dominic Ongwen was giving orders.²⁷⁰¹ The witness confirmed this account and explained that from his observation of Dominic Ongwen's behaviour, he believed that Dominic Ongwen was in charge.²⁷⁰² Rwot Oywak testified that Raska Lukwiya was present among the fighters around Dominic Ongwen at the Pajule trading centre.²⁷⁰³ The Chamber finds this explanation convincing.
- 1274. Rwot Oywak testified that Dominic Ongwen was giving directions to his fighters, 'ordering them to go and abduct people, to go and take stuff, to burn things and to fire

²⁶⁹⁴ P-0309: <u>T-60</u>, p. 65, lines 4-6; <u>T-63</u>, p. 9, lines 22-24.

²⁶⁹⁵ P-0009: T-81, p. 12, lines 17-19, p. 26, lines 20-24, p. 28, line 6 – p. 29, line 9, line 23 – p. 31, line 20. *See also* P-0009's sketch of Pajule IDP camp, UGA-OTP-0241-0555; Annotated aerial map of Pajule IDP camp, UGA-OTP-0241-0556.

²⁶⁹⁶ P-0009: <u>T-81</u>, p. 13, lines 2-7, p. 18, line 16 – p. 19, line 3, p. 31, line 22 – 32, line 8. Rwot Oywak described the stick held by Dominic Ongwen as 'long, but one could hold it in their hand', and added that it was 'already kind of shattered because they had been using it to beat a lot of people'; P-0009: T-81, p. 20, lines 2-9.

²⁶⁹⁷ P-0009: <u>T-81</u>, p. 12, lines 17-19.

²⁶⁹⁸ P-0009: T-81, p. 19, lines 4-14.

²⁶⁹⁹ See para. 447 above.

²⁷⁰⁰ P-0009: <u>T-82</u>, p. 76, lines 5-16.

²⁷⁰¹ P-0009: T-82, p. 76, lines 17-22.

²⁷⁰² P-0009: <u>T-82</u>, p. 76, line 17 – p. 78, line 1.

 $^{^{2703}}$ P-0009: $\overline{\text{T-83}}$, p. 9, line 22 – p. 11, line 5.

guns'.²⁷⁰⁴ According to Rwot Oywak, Dominic Ongwen said that 'all the people from Pajule were going to be killed because they were supporting the government'.²⁷⁰⁵

- 1275.Richard Otim corroborates Rwot Oywak's account, testifying that he did not hear the name Dominic Ongwen in the bush, ²⁷⁰⁶ but when he returned from captivity, ²⁷⁰⁷ he was at a social gathering with Rwot Oywak when Rwot Oywak began to discuss the October 2003 attack on Pajule IDP camp and said that the group that attacked Pajule IDP camp included Dominic Ongwen, Vincent Otti and Raska Lukwiya. ²⁷⁰⁸ According to the witness, at that gathering, Rwot Oywak was talking about the attack on Pajule and mentioning some of the commanders that Rwot Oywak knew were involved in the attack. ²⁷⁰⁹
- 1276. Also consistent and corroborative of Rwot Oywak's account is P-0249's testimony that Dominic Ongwen was in charge of the rebels who abducted him and his wife in the course of the attack.²⁷¹⁰ P-0249 stated that LRA fighters who abducted them took him towards the main road going to Lira.²⁷¹¹ He testified that he saw Dominic Ongwen with a number of soldiers standing along the way and the whole group continued to walk together on the Lira road.²⁷¹² P-0249 explained that he knew that these soldiers were under Dominic Ongwen's orders because he was the one who came with the rebels to the centre and was in command of those rebels.²⁷¹³ P-0249 testified that the LRA fighters in Pajule centre addressed Dominic Ongwen as Lapwony Odomi.²⁷¹⁴ According to P-0249, Dominic Ongwen was wearing army fatigues, had a large stick in his hand, a cap on his head, some sort of radio, and was also giving instructions to the fighters to loot and abduct.²⁷¹⁵

²⁷⁰⁴ P-0009: <u>T-81</u>, p. 22, lines 3-11.

²⁷⁰⁵ P-0009: T-81, p. 22, lines 12-15.

²⁷⁰⁶ See D-0076: <u>T-219</u>, p. 34, line 24 – p. 35, line 14 (testifying that he had never seen Dominic Ongwen and that he would not have recognised him as Dominic Ongwen had he seen him in the course of his time with the LRA). ²⁷⁰⁷ Richard Otim spent up to a week in captivity. D-0076: <u>T-219</u>, p. 21, lines 1-4.

²⁷⁰⁸ D-0076: <u>T-219</u>, p. 21, lines 5-15, p. 35, line 15 – p. 36, line 12. *See also* p. 21, lines 1-4, p. 35, lines 3-10.

²⁷⁰⁹ D-0076: <u>T-219</u>, p. 22, lines 5-10.

²⁷¹⁰ P-0249: T-79, p. 12, lines 12-13, p. 14, lines 11-18, p. 14, line 25 – p. 15, line 3.

²⁷¹¹ P-0249: T-79, p. 13, lines 15-20.

²⁷¹² P-0249: <u>T-79</u>, p. 13, lines 15-21, p. 14, lines 2-7; <u>T-80</u>, p. 17, lines 4-25, p. 18, lines 15-20. *See also* P-0249's sketch of the attack, UGA-OTP-0238-0795-R01 (marking where he first saw Dominic Ongwen as 'A3').

²⁷¹³ P-0249: <u>T-79</u>, p. 14, line 25 – p. 15, line 3.

²⁷¹⁴ P-0249: T-79, p. 42, lines 19-21.

²⁷¹⁵ P-0249: <u>T-79</u>, p. 15, lines 4-13, lines 18-25, p. 16, lines 1-6, p. 18, line 24 – p. 19, line 3; <u>T-80</u>, p. 21, line 21 – p. 22, line 1. *See also* <u>T-79</u>, p. 26, lines 1-8.

- 1277. The Chamber notes that this description of Dominic Ongwen, his behaviour and his soldiers' response to him is similar to Rwot Oywak's.
- 1278. It is noted that P-0249 did not indicate that Dominic Ongwen walked with a limp, testifying that 'you cannot be observing a soldier for a long time'. ²⁷¹⁶ The Chamber finds it plausible that a person in P-0249's situation, as a new abductee, did not concentrate on this detail and chose not to observe his abductors closely. Indeed, in light of the fact that any continuing impediment as a result of the injury did not prevent Dominic Ongwen from participating in the Pajule IDP camp attack, it may have easily been one that a civilian in the process of being abducted would not notice.
- 1279. The Defence submits that Raska Lukwiya was the person ordering LRA fighters in the camp around using a stick, rather than Dominic Ongwen as stated by P-0249. ²⁷¹⁷ In this context, they cite the testimony of P-0006 who testified that she saw Raska Lukwiya by the health centre, holding a walking stick, ordering LRA fighters around, and carrying a communication device. ²⁷¹⁸ The Chamber first recalls its finding that P-0249 was clearheaded enough at the time of his abduction to have been fully aware of his experiences in Pajule IDP camp during the attack and to recall them accurately in his testimony before the Court. ²⁷¹⁹ Second, the evidence shows that there were at least a hundred, possibly many more, LRA fighters within the camp, ²⁷²⁰ and it is entirely plausible that both P-0006 and P-0249 testified reliably, there is nothing inherently contradictory about their accounts. The Chamber notes that P-0249 separately identified Raska Lukwiya, as a different person from the one he identified as Dominic Ongwen. ²⁷²¹ For these reasons, the Chamber does not follow the Defence's argumentation and relies on the testimony of P-0249.

1280. In addition to P-0309, discussed above, other insider witnesses stated that Dominic Ongwen participated in the LRA attack on the trading centre. According to P-0144,

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²⁷¹⁶ P-0249: <u>T-80</u>, p. 23, line 14 – p. 24, line 24.

²⁷¹⁷ See Defence Closing Brief, para. 328 (arguing that P-0249 was intoxicated the morning of the attack and that P-0006's account that she saw Raska Lukwiya with a stick ordering people around should be given more weight). ²⁷¹⁸ P-0006 Statement, UGA-OTP-0144-0072-R01, at paras 31-32. See also P-0006: T-140, p. 57, line 19 – p. 58, line 1.

²⁷¹⁹ See paras 472-474 above.

²⁷²⁰ See paras 1234, 1235, 1253 above.

²⁷²¹ See P-0249: <u>T-80</u>, p. 21, lines 14-17, p. 37, lines 3-8 (testifying that he saw Raska Lukwiya when the LRA stopped in the course of the retreat. He stated that he could not speak to whether Raska Lukwiya abducted people in Pajule IDP camp because he did not see him abduct people there).

Dominic Ongwen was the commander of the attack at the trading centre, and went there along with the overall operation commander Raska Lukwiya to loot and abduct.²⁷²² P-0144 did not recall seeing Dominic Ongwen in the trading centre.²⁷²³

- 1281. Consistent with P-0144's account, P-0045 provides corroboration that Dominic Ongwen went to the trading centre. P-0045 appeared to indicate that she did not see Dominic Ongwen personally going to the centre. P-0045 She confirmed however, that Dominic Ongwen was initially in charge of the group going to the centre and that Raska Lukwiya came afterward, saying that he was the big person. P-0045 explained that '[y]ou cannot mention the smaller commander to lead the group'. P-0045
- 1282. Further, as discussed below, P-0379 testified that he was told by several abductees that they were abducted by Dominic Ongwen and his fighters within the camp and at trading centre during the attack.²⁷²⁸
- 1283. Camp resident Dick Okot testified that after leaving the camp, he was told by the LRA fighter leading his group that Dominic Ongwen was the LRA commander in charge of the fighters he saw in the camp.²⁷²⁹ Dick Okot also testified that Dominic Ongwen's group was identified by the LRA fighter as the group coming from the road from Lapul.²⁷³⁰ Dick Okot testified that he saw Dominic Ongwen.²⁷³¹
- 1284. In light of the consistent and mutually corroborative evidence of the witnesses, the Chamber is satisfied that the evidence shows that Dominic Ongwen was present at the trading centre in a leadership role along with his soldiers whom, as discussed further below, he ordered to loot and abduct.
- 1285. The Chamber notes the testimony of witnesses who indicated that Dominic Ongwen did not participate in the attack on Pajule IDP camp. P-0214, one of Dominic Ongwen's so-

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2722 P-0144: T-91, p. 22, lines 10-23, p. 25, lines 3-17, p. 46, lines 3-14.
2723 P-0144: T-91, p. 38, line 8 - p. 40, line 4.
2724 P-0045: T-103-CONF, p. 92, lines 16-25, p. 94, lines 8-15.
2725 P-0045: T-103-CONF, p. 92, lines 20-25, p. 93, lines 20-22.
2726 P-0045: T-103-CONF, p. 94, lines 8-15; T-104-CONF, p. 4, lines 14-16.
2727 P-0045: T-103-CONF, p. 94, lines 8-15.
2728 P-0379: T-57, p. 25, lines 12-21; P-0379: T-57-CONF, p. 31, line 7 - p. 32, line 3, p. 33, lines 10-24.
2729 P-0067: T-125, p. 10, line 7 - p. 11, line 1.
2730 P-0067: T-125, p. 10, line 21 - p. 11, line 1.
2731 P-0067: T-125, p. 10, line 21 - p. 11, line 1.
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called 'wives' testified that Dominic Ongwen did not participate in an attack on Pajule IDP camp because he was injured and in the sickbay at the time and was unable to walk far. 2732 It is noted that there is nothing in the testimony of P-0214 establishing a link between her evidence and the attack relevant to the charges, and that the witness only stated that she heard about an attack in Pajule, without giving any details.²⁷³³ In this regard, the Chamber notes that P-0214 was asked about 'an attack on Pajule' without any further specifications. ²⁷³⁴ In the absence of any further details, and considering that the evidence available to the Chamber indicates that there were several attacks on Pajule IDP camp, ²⁷³⁵ it remains unclear whether in her testimony the witness was referring to the attack on Pajule IDP camp under consideration or any other attack conducted on other occasions on the same camp. In the presence of more specific evidence given by witnesses with personal knowledge, the Chamber does not rely on P-0214 on this issue.²⁷³⁶

1286. Similarly, LRA insider P-0085 testified that some LRA fighters who had participated in the attack on Pajule IDP camp told him that Odomi was 'present in that group', but did not go to the battlefront himself because he had just returned from sickbay, so he did not go physically to fight in the front line. ²⁷³⁷ P-0085 testified that he did not know whether Dominic Ongwen had been given back his role or was still 'being taken as a sick person' after he was released from sickbay. 2738 Considering that the witness only received this information second-hand and that he conceded not knowing the details of Dominic Ongwen's status at the time, and in the face of the overwhelming evidence that Dominic Ongwen was no longer in sickbay at the time of the October 2003 attack, had not been in

²⁷³² P-0214: <u>T-15</u>, p. 39, lines 1-8.

²⁷³³ See P-0214: T-15, p. 39, lines 1-4.

²⁷³⁴ P-0214: <u>T-15</u>, p. 39, line 1.

²⁷³⁵ See the assessment of P-0307's testimony and the Chamber's finding that the witness provided evidence with regard to another attack on Pajule. Section IV.B.2.ii.b.xv; para. 1173, n. 2394 above; P-0307 Statement, UGA-OTP-0266-0425-R01, at paras 32-44; P-0307: <u>T-152</u>, p. 70, lines 8-17; <u>T-153</u>, p. 33, lines 20-23.

2736 In this context, the Chamber notes its discussion of Dominic Ongwen's position within the LRA and his

presence in the sickbay. See paras 1017-1073 above.

 $^{^{2737}}$ P-0085: $\frac{\text{T-}158}{\text{T-}158}$, p. 40, line 24 – p. 41, line 5. The Chamber understands P-0085's testimony to indicate that he was told that Dominic Ongwen was at the RV but did not participate in the attack.

²⁷³⁸ P-0085: <u>T-159</u>, p. 28, line 16 – p. 29, line 2.

sickbay for some time and was actively participating in attacks,²⁷³⁹ the Chamber puts no weight on P-0085's testimony in this regard.²⁷⁴⁰

1287. While the Chamber finds LRA insiders D-0056 and D-0068 to have been generally credible witnesses, the Chamber does not consider that the Pajule attack they described is the attack at issue in these proceedings, as also argued by the Prosecution. ²⁷⁴¹ While some details of the attack that D-0056 discussed are similar to other witnesses, ²⁷⁴² the witness is alone among the credible witnesses to not recall that the day of the attack was related to a significant occasion, ²⁷⁴³ as opposed to most other witnesses who remembered that it was just after Uhuru Day. His testimony about important details of the attack greatly diverges from other credible evidence. ²⁷⁴⁴ Thus, the Chamber cannot rely on the evidence D-0056 provides about the Pajule IDP camp attack. Regarding D-0068, who testified that Dominic Ongwen did not participate in the Pajule IDP camp attack, the Chamber notes that he testified that the attack he referenced occurred in early 2003. 2745 The Chamber notes that the evidence indicates that there was an LRA attack on Pajule IDP camp in January 2003. 2746 In addition, the Chamber notes that D-0068 testified that in the attack he mentioned Charles Tabuley and Buk Abudema exercised a commanding role on the ground, a fact which does not correspond to the rest of the reliable evidence.²⁷⁴⁷

1288.At this juncture, the Chamber provides a more specific assessment of the available evidence concerning the numerous acts of violence and looting perpetrated by LRA fighters against civilians in the course of the attack on Pajule IDP camp, as well as in its immediate aftermath. As also emerges from the evidence analysed below, the victims targeted by the LRA attackers were civilian residents of the camp.

²⁷³⁹ See section IV.C.3, the Chamber discussion of the Dominic Ongwen's role in the LRA.

²⁷⁴⁰ In this context, the Chamber notes its discussion of Dominic Ongwen's position within the LRA and his presence in the sickbay. *See* paras 1017-1073 above.

²⁷⁴¹ See Prosecution Closing Brief, paras 236-237.

²⁷⁴² See D-0056: <u>T-228</u>, p. 70, lines 11-16; T-229-CONF, p. 28, line 21 – p. 29, line 1; <u>T-229</u>, p. 29, lines 8-19 (stating that the LRA was not able to overrun the barracks, that there was a helicopter gunship, and that Rwot Oywak had come with the captured people).

²⁷⁴³ D-0056: <u>T-228</u>, p. 64, lines 14-22.

 $^{^{2744}}$ D-0056: $\overline{\text{T-228}}$, p. 68, line 20 – p. 69, line 2, p. 71, line 8 – p. 72, line 24; T-228-CONF, p. 69, line 16 – p. 70, line 6; T-229-CONF, p. 27, line 5 – p. 28, line 20. The witness also does not mention the large scale abduction of civilians during the attack.

²⁷⁴⁵ D-0068: <u>T-223</u>, p. 32, lines 8-12. See <u>Prosecution Closing Brief</u>, paras 236-237.

²⁷⁴⁶ See para. 1173, n. 2394 above.

²⁷⁴⁷ D-0068: <u>T-223</u>, p. 30, lines 14-25.

During the attack, LRA attackers, some of them led by Dominic Ongwen, broke into homes and shops and looted food and other property from them in Pajule IDP camp. There was widespread looting throughout the camp. The attackers raided the trading centre in the camp, broke into shops and took food items and supplies. Among the items looted by the LRA attackers were foodstuffs like beans, flour, salt, sugar, cooking oil, maize, sweets, biscuits, groundnuts, soda as well as household goods such as bedding, clothing, a radio set, saucepans and items such as medicine, livestock and money.²⁷⁴⁸

Dominic Ongwen personally ordered LRA attackers to loot within the trading centre, ordering them to loot items from shops and homes within the camp. The LRA attackers complied with this order.²⁷⁴⁹

1289.Both LRA fighters and camp residents offered credible and consistent evidence to this Chamber that LRA fighters looted Pajule IDP camp during the course of the 10 October 2003 attack.

1290.LRA attackers provided credible and mutually corroborative evidence that household goods, particularly food, were looted from the camp. P-0045 testified that the group that went to the centre went to collect food items from the civilians. The witness testified to seeing the LRA coming back from the trading centre with food. The witness testified that she saw LRA forces take foodstuff from Pajule IDP camp. She stated that LRA attackers broke into shops in order to do so. The Polones testified that the LRA also took food from the homes of civilians in the camp. The Polones and were looting items from the homes of civilians, including such food items as beans, flour, maize, sugar and biscuits, among others.

1291.P-0330 testified that he was in a group of attackers that 'worked' in the trading centre, breaking into shops and looting items such as flour, beans, and money from Pajule IDP camp.²⁷⁵⁶ P-0372 testified that the group of LRA fighters he was with collected items

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<sup>2748</sup> Para. 150 above.
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²⁷⁴⁹ Para. 150 above.

²⁷⁵⁰ P-0045: T-104-CONF, p. 4, line 24 – p. 5, line 3.

²⁷⁵¹ P-0045: <u>T-104</u>, p. 10, lines 2-5.

²⁷⁵² D-0085: T-239, p. 18, lines 15-18.

²⁷⁵³ D-0085: <u>T-239</u>, p. 33, lines 15-20.

²⁷⁵⁴ D-0085: T-239, p. 33, lines 21-25.

²⁷⁵⁵ P-0309: <u>T-60</u>, p. 62, lines 12-25, p. 65, lines 17-21.

²⁷⁵⁶ P-0330: T-52-CONF, p. 4, lines 8-22.

from Pajule centre, mostly foodstuffs.²⁷⁵⁷ P-0372 testified that he personally saw people taking food from Pajule IDP camp.²⁷⁵⁸

- 1292. The evidence provided by camp residents and the UPDF officers who observed the attack is consistent with the LRA attackers' accounts of the LRA looting in shops and homes within the camp.
- 1293. Camp resident P-0006 testified that the LRA rebels broke into the house she was in during the attack and looted food items like maize, beans, salt, sugar and cooking oil from the house.²⁷⁵⁹ She testified that she saw the LRA take maize from the house she was hiding in as well as cooking oil from a shop. 2760 P-0006 also stated that the items abductees carried from Pajule included foodstuffs such as maize, beans, salt and sugar. 2761 Rwot Oywak testified that abductees were carrying items like flour, chicken, goats, which the LRA had found in the homes of the civilians. ²⁷⁶² Santo Oweka testified that the LRA looted a radio set, beddings and clothing from his house. ²⁷⁶³ Dick Okot testified that a lot of things were looted from his home including foodstuff, money and clothes. 2764 Items like soda, biscuits, clothes, and beans were taken from shops and civilian homes.²⁷⁶⁵ Dick Okot testified that LRA fighters picked up a sack of groundnuts for him to carry. ²⁷⁶⁶ P-0081 testified that he learned after he came back from the bush that LRA rebels had entered his home and taken things. ²⁷⁶⁷ P-0081 indicated that he had a small store in the house and the LRA rebels took onions and small groceries. ²⁷⁶⁸ Benson Ojok was given a crate of soda to carry on his head.²⁷⁶⁹ He saw the LRA break into shops and take other things like the soda he was made to carry. 2770 Santo Oweka testified that he could hear that shops were being broken into during the attack.²⁷⁷¹

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P-0372: T-148, p. 20, lines 14-19.
P-0372: T-148, p. 20, lines 20-21.
P-0006 Statement, UGA-OTP-0144-0072-R01, at para. 12.
P-0006: T-140, p. 11, lines 16-22; P-0006 Statement, UGA-OTP-0144-0072-R01, at para. 13.
P-0006: T-140, p. 12, lines 3-5, p. 40, lines 9-11.
P-0009: T-81, p. 17, lines 11-19.
D-0081: T-220, p. 25, lines 5-7.
P-0067: T-125, p. 52, lines 2-4.
P-0067: T-125, p. 23, lines 8-13.
P-0067: T-125, p. 22, line 20 - p. 23, line 4; T-126, p. 9, lines 9-12.
P-0081: Statement, UGA-OTP-0070-0029-R01, at para. 22.
P-0081: T-118, p. 32, lines 5-11.
P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 18.
P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 18.
P-0081: T-220, p. 24, lines 4-6.
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- 1294. According to P-0249, Dominic Ongwen was in the centre of the camp and was using a stick to point out and indicate where one of his fighters should go or what the fighter should do.²⁷⁷² P-0249 testified that Dominic Ongwen would tell fighters to go and loot a shop and use a stick to point to that shop and issue his instruction.²⁷⁷³ P-0249 testified that when Dominic Ongwen directed his fighters to go and loot, they followed his instruction, taking 'lots of things' and giving them to people to carry.²⁷⁷⁴ P-0249 testified that at Pajule trading centre, Dominic Ongwen indicated and issued instructions for fighters to take things quickly so they could leave the camp.²⁷⁷⁵ P-0249 testified that he was close to Dominic Ongwen and could hear him issuing instructions, telling people '[h]urry up, go and take the things quickly, hurry up'.²⁷⁷⁶
- 1295.P-0249 testified that Dominic Ongwen ordered the looting in Pajule to the soldiers he was leading on the ground, explaining '[t]here was no other person other than Ongwen. He is the one who came with the soldiers and he is the one who was issuing instructions and giving orders to soldiers to go and take things and to take the food that was needed.' ²⁷⁷⁷ While the evidence shows that Dominic Ongwen was one of several commanders at Pajule Raska Lukwiya was also present at the centre P-0249's testimony here demonstrates that Dominic Ongwen was in control of the fighters he was leading and he ordered fighters subordinate to him to loot. ²⁷⁷⁸ Indeed, P-0330 testified that Dominic Ongwen stated that he should bring him a goat or a chicken from Pajule. ²⁷⁷⁹
- 1296.P-0249 testified that in response to Dominic Ongwen's instructions, LRA attackers took salt, sugar, maize, beans, soap and anything that might be useful to them.²⁷⁸⁰ P-0249 testified that he saw the LRA attackers take clothes that were in shops, and even saucepans from people's houses.²⁷⁸¹ P-0249 testified that he personally witnessed LRA fighters directly under Dominic Ongwen's command, looting the shops along the Lira road.²⁷⁸² P-0249 also testified that the LRA looted items from the shops in the Pajule

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<sup>2772</sup> P-0249: T-79, p. 15, lines 18-25; T-80, p. 21, line 21 – p. 22, line 1.

<sup>2773</sup> P-0249: T-79, p. 15, lines 24-25.

<sup>2774</sup> P-0249: T-79, p. 18, line 24 – p. 19, line 3.

<sup>2775</sup> P-0249: T-79, p. 17, line 22 – p. 18, line 4.

<sup>2776</sup> P-0249: T-79, p. 18, lines 5-8.

<sup>2777</sup> P-0249: T-79, p. 20, lines 9-13.

<sup>2778</sup> See also paras 1264-1287, the Chamber's discussion of Dominic Ongwen's role in the attack.

<sup>2779</sup> P-0330: T-51, p. 78, lines 7-13.

<sup>2780</sup> P-0249: T-79, p. 18, lines 9-13, p. 19, lines 14-15.

<sup>2781</sup> P-0249: T-79, p. 18, lines 12-13.

<sup>2782</sup> P-0249: T-79, p. 19, line 21 – p. 20, line 2; T-80, p. 10, line 14 – p. 11, line 9.
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trading centre and along the highway and from people's homes.²⁷⁸³ P-0249 testified that the LRA looted merchandise from shops as well as personal things from people's houses.²⁷⁸⁴ P-0249 testified that his own shop was looted as well as a number of other shops in the market.²⁷⁸⁵ P-0249 stated that although he did not see his shop looted by the LRA, he knew that his shop was looted because after the attack it was left empty.²⁷⁸⁶

- 1297. Similarly, P-0081 testified that when he was passing through the camp, there was looting under way and he could hear rebels cutting the doors in the market place.²⁷⁸⁷ P-0081 testified that the group of rebels he was with would open the doors of homes they passed by and take any loot they found interesting.²⁷⁸⁸ P-0379 observed that the gate going to the market was broken and several shops within the market had been broken into.²⁷⁸⁹ Richard Otim testified that the LRA looted flour, beans and other items from shops.²⁷⁹⁰ Dick Okot testified that as he was leaving his home area with the LRA after being abducted, he could see LRA soldiers taking some items from shops which were near the market.²⁷⁹¹
- 1298.UPDF officer P-0084 testified that he was told by drug shop owners that the rebels took medicine from their shops, both tablets and injections.²⁷⁹² Other traders in the trading centre also told him that the rebels had taken some foodstuffs, clothing and other household items.²⁷⁹³
- 1299.UPDF commander John Lubwama testified that the LRA pillaged articles they found in shops like foodstuffs, including sugar, clothing and also pillaged civilian homes.²⁷⁹⁴
- 1300. Camp leader Omona Lokilamoi testified that the LC-1 chairpersons of each village within the Palenga parish, which is one of the six parishes of Pajule Sub-County, compiled records of households of their respective villages pillaged by the LRA during the attack

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<sup>2783</sup> P-0249: T-79, p. 17, line 22 – p. 21, line 4.

<sup>2784</sup> P-0249: T-79, p. 19, lines 4-10.

<sup>2785</sup> P-0249: T-79, p. 19, lines 21-25.

<sup>2786</sup> P-0249: T-79, p. 20, lines 14-23.

<sup>2787</sup> P-0081 Statement, UGA-OTP-0070-0029-R01, at para. 25.

<sup>2788</sup> P-0379: T-57, p. 26, lines 15-16.

<sup>2790</sup> D-0076: T-219, p. 16, lines 10-14.

<sup>2791</sup> P-0067: T-125, p. 15, lines 9-13.

<sup>2792</sup> P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 113.

<sup>2793</sup> P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 114.

<sup>2794</sup> P-0047: T-114, p. 37, lines 15-25.
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on 10 October 2003.²⁷⁹⁵ Such records provided by Omona Lokilamoi list over 650 households.²⁷⁹⁶ Omona Lokilamoi testified that to the day of his statement he had not been able to locate the lists of pillaged households in parishes of Pajule Sub-County other than the Palenga parish, partly because they no longer had a permanent administration office for fear of an attack on the IDP camp.²⁷⁹⁷ The Chamber finds that Omona Lokilamoi's list corroborates the witnesses' accounts in demonstrating that there was widespread looting in Pajule IDP camp.

With the arrival of a government helicopter and reinforcement ground forces, LRA attackers left the camp with abductees and looted goods.²⁷⁹⁸

- 1301. The evidence shows that while fighting was still ongoing at the barracks, a military helicopter arrived to reinforce the government soldiers. The helicopter's arrival precipitated the LRA's departure from the camp. The helicopter pursued the retreating fighters and their abductees through the bush. Additionally, reinforcements of government soldiers arrived in the camp and started engaging with the LRA fighters.
- 1302.P-0084 testified that the military helicopter was airborne within 25 minutes after the report that Pajule was under attack.²⁷⁹⁹ The helicopter went to the barracks and started shooting at the rebels.²⁸⁰⁰ P-0084 testified that an extended line of rebels faced the military barracks.²⁸⁰¹ According to P-0084, the rebels became disorganised and started running in disarray when the helicopter started firing.²⁸⁰² P-0084 testified that the LRA rebels had to withdraw from the barracks because of the counter attack from the barracks and the aerial attack from the helicopter.²⁸⁰³
- 1303.UPDF commander P-0084 testified that subsequently the military helicopter flew over the Pajule trading centre. According to the witness, the helicopter did not fire at anything in the trading centre. P-0084 testified that there were rebels running with

²⁷⁹⁵ P-0001 Statement, UGA-OTP-0138-0002-R01, at paras 26-27.

²⁷⁹⁶ See P-0001's lists of pillaged households compiled by the LC-1 chairpersons of the villages within the Palenga parish on 10 October 2003, UGA-OTP-0138-0168-R01.

²⁷⁹⁷ P-0001 Statement, UGA-OTP-0138-0002-R01, at para. 28.

²⁷⁹⁸ Para. 151 above.

²⁷⁹⁹ P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 83.

²⁸⁰⁰ P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 83.

²⁸⁰¹ P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 83.

²⁸⁰² P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 83.

²⁸⁰³ P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 83.

²⁸⁰⁴ P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 84.

²⁸⁰⁵ P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 84.

the UPDF soldiers previously posted at the outskirts of the camp advancing towards the Pajule trading centre coming from the east. ²⁸⁰⁷ P-0084 testified that he was communicating on the radio with the commander of these UPDF soldiers. ²⁸⁰⁸ P-0084 also stated that the UPDF soldiers started engaging the enemy as they were running away from the Pajule trading centre, and that the UPDF soldiers killed three rebels there. ²⁸⁰⁹

- 1304.P-0084 testified that outside the trading centre, the helicopter fired at the retreating rebels. When the rebels saw the helicopter firing they started running, some of the rebels were firing at the helicopter. P-0084 testified that the four rebels firing at the helicopter were killed. 2812
- 1305.P-0084 further stated that the helicopter then went towards the east, in the direction in which the rebels were withdrawing.²⁸¹³ P-0084 said that he saw more than 300 civilians who had been taken captive by the LRA from Pajule carrying looted items stolen from Pajule towards Lanyatono.²⁸¹⁴ He testified that the helicopter did not fire at that point because of the presence of the civilians; however the noise and sight of the helicopter caused a number of abductees and rebels to run.²⁸¹⁵
- 1306.P-0084's account of the movements and actions of the military helicopter is entirely credible. Multiple witnesses corroborate this account from their different vantage points during the attack.²⁸¹⁶

²⁸⁰⁶ P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 84.

²⁸⁰⁷ P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 84.

²⁸⁰⁸ P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 84.

²⁸⁰⁹ P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 84.

²⁸¹⁰ P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 85.

²⁸¹¹ P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 85.

²⁸¹² P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 85.

²⁸¹³ P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 88. See also P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 70.

²⁸¹⁴ P-0084 Statement, UGA-OTP-0139-0149-R01, at paras 88, 121.

²⁸¹⁵ P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 89.

²⁸¹⁶ P-0047 Statement, UGA-OTP-0027-0177-R01, at paras 12, 53, 104; P-0047: <u>T-115</u>, p. 30, lines 13-17; P-0330: T-51-CONF, p. 81, lines 23-24; T-52-CONF, p. 5, lines 3-4, p. 8, lines 9-13; <u>T-52</u>, p. 9, lines 1-3; P-0372: <u>T-148</u>, p. 23, lines 3-7; P-0006 Statement, UGA-OTP-0144-0072-R01, at paras 22-24; P-0006: <u>T-140</u>, p. 53, lines 6-13, line 18 – p. 54, line 3; P-0045: <u>T-104</u>, p. 6, lines 22-24; T-104-CONF, p. 68, lines 15-17; P-0061 Statement, UGA-OTP-0144-0043-R01, at paras 25-26; D-0076: <u>T-219</u>, p. 12, lines 3-14, p. 17, lines 8-10, p. 18, lines 6-12; P-0309: <u>T-60</u>, p. 64, lines 18-23, p. 65, line 22 – p. 66, line 1; P-0009: <u>T-81</u>, p. 13, lines 13-16, p. 22, lines 20-25; P-0379: <u>T-57</u>, p. 24, lines 24-25, p. 25, lines 6-11, p. 29, lines 5-9; P-0008 Statement, UGA-OTP-0137-0002-R01,

1307. In the face of the arrival of these reinforcements, LRA fighters left the camp. The Chamber is satisfied that the evidence shows that the LRA spent one to two hours in the camp. The evidence indicates that LRA fighters did not leave in one group but rather left in stages as they retreated back to the RV with the abductees and the looted goods. Santo Oweka testified that he noticed that abductees arrived at the meeting place in different groups: when he arrived there were already people there and people continued to arrive at the RV location for another two hours. ²⁸¹⁸

In the course of the attack, LRA fighters killed at least four civilians, most of whom were abductees killed because they tried to escape or refused to carry looted goods. The civilians killed by the LRA in the course of the attack on Pajule IDP camp included: an unnamed woman killed by machete, Kinyera Benson Lacung, Pangarasio Onek and unnamed abductee killed by the RV location.²⁸¹⁹

- 1308. The Chamber finds that the evidence shows that during the 10 October 2003 attack on Pajule, LRA fighters specifically targeted civilians and killed them.
- 1309. The evidence, presented above, shows that there were exchanges of gunfire between the LRA and the government soldiers at the military barracks and within the camp. However, it is clear that LRA fighters purposely targeted civilians in the course of the attack in Pajule.
- 1310. Camp resident Benson Ojok testified that he saw people in the camp being shot at by the rebels. ²⁸²⁰ Benson Ojok saw four people, both males and females, lying injured outside their houses; they had been shot at their doors and he did not see whether they were dead or not. ²⁸²¹ Benson Ojok testified that he saw about seven rebels shooting at unarmed civilians at random. ²⁸²² Some of the civilians had fallen down and were crying. ²⁸²³

at paras 16, 18-19; P-0067: <u>T-125</u>, p. 13, line 24 – p. 14, line 1, lines 13-20, p. 15, lines 3-5; <u>T-126</u>, p. 3, lines 1-25; P-0081 Statement, UGA-OTP-0070-0029-R01, at paras 29-31; P-0081: <u>T-118</u>, p. 11, lines 1-6; P-0130 Statement, UGA-OTP-0191-0272-R01, at para. 37; P-0249: <u>T-79</u>, p. 28, lines 1-5; D-0081: <u>T-220</u>, p. 24, lines 16-18, p. 27, lines 15-20, line 25 – p. 28, line 5; D-0077 Statement, UGA-D26-0022-0316, at paras 17-18. *See* P-0379's sketch of Pajule, UGA-OTP-0266-0071 (denoting the direction from which the UPDF mobile unit entered the camp).

²⁸¹⁷ P-0045: T-104-CONF, p. 7, lines 4-9; P-0209: <u>T-160</u>, p. 30, lines 19-24; P-0007 Statement, UGA-OTP-0147-0214-R01, at paras 14-17; P-0008 Statement, UGA-OTP-0137-0002-R01, at para. 16; P-0047 Statement, UGA-OTP-0027-0177-R01, at para. 26.

²⁸¹⁸ D-0081: <u>T-221</u>, p. 50, line 21 – p. 51, line 5.

²⁸¹⁹ Para. 152 above.

²⁸²⁰ P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 20.

²⁸²¹ P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 20.

²⁸²² P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 21.

²⁸²³ P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 21.

Benson Ojok testified that he saw a man about thirty years old being shot down when he attempted to escape. Benson Ojok testified that as the unarmed civilians were being shot down, a commander, whom the witness identified as Gala Gala, appeared and told the seven rebels that they were not sent to kill people but rather to get food. Benson Ojok testified that when the civilians were being shot at, a group of government soldiers that were some distance away in the direction of the mission started running towards the LRA and their abductees. Benson Ojok testified that the LRA fighters threatened the abductees that they would be killed if they threw away the items they were carrying. Benson Ojok testified that another group of government soldiers had previously been chased away by the rebels towards the barracks.

- 1311.UPDF officer P-0084 testified that he saw about five dead civilians in the camp, all of whom had been shot; their gunshot wounds were in various places; one was on the side of the head, one in the chest, one in the arm, in the legs and one in the stomach. P-0084 testified that there were both male and female civilians killed, mostly persons between 10 and 18 years old; one of the victims was 26 years old. 2831
- 1312. Camp resident P-0006 stated that, outside the camp, she saw an older man beaten to death by the LRA because he was not walking fast. ²⁸³² In testimony consistent with P-0006's, Richard Otim testified that after he returned to the camp, he was told that after his release the LRA began to kill abductees who were unable to walk. ²⁸³³ Okema Brown also testified that he was told by returned abductees about persons killed for not being able to walk anymore or for trying to escape. ²⁸³⁴

²⁸²⁴ P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 21.

²⁸²⁵ P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 23.

²⁸²⁶ P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 24.

²⁸²⁷ P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 24.

²⁸²⁸ P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 24.

²⁸²⁹ P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 24.

²⁸³⁰ P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 108.

²⁸³¹ P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 108.

²⁸³² P-0006: T-140-CONF, p. 16, lines 2-8.

²⁸³³ D-0076: <u>T-219</u>, p. 31, line 11 – p. 32, line 1.

²⁸³⁴ P-0008 Statement, UGA-OTP-0137-0002-R01, at para. 32.

- 1313. Below, the Chamber discusses the evidence presented in the proceedings of specific individuals killed by the LRA throughout the course of the Pajule IDP camp attack.
- 1314. Unnamed woman killed by machete: Camp resident Dick Okot testified that he saw the body of a woman who appeared to have been killed by a machete, her neck was cut and had a deep cut wound. 2835 Dick Okot testified that he saw the dead body of the woman; the woman had three kids and her children were around her crying '[o]ur mother has been killed'. 2836 The Chamber finds Dick Okot's testimony here clear and credible. The Chamber notes that LRA fighters attacked Pajule IDP camp with blade weapons in addition to firearms, ²⁸³⁷ and, on the other hand, that there is no evidence, or even suggestion, that government soldiers attacked any civilian with a machete in the course of the attack. The necessary inference is that this woman was killed by the LRA.
- 1315. Kinyera Benson Lacung: Omona Lokilamoi, a local government official testified that while collecting information on the persons killed in the attack, he was told that Kinyera Benson Lacung, who was his copy-typist, was beaten to death by the LRA because he failed to carry the 'load' given to him. 2838 Residents of the camp described the killing of Kinyera Benson Lacung in detail as follows: Terrance Otika testified that Lacung was one of the people killed after being abducted. 2839 Terrance Otika saw the Lacung's family gathering to go to collect his body. ²⁸⁴⁰ Lacung's family retrieved his body and he was buried the day after the attack. ²⁸⁴¹ Terrance Otika saw the body wrapped up and was told by Lacung's brother, Ben Okema, that Lacung had been shot in the back. 2842 Ben Okema told Terrance Otika that he had collected the body from the road. 2843 Okema Brown testified that he saw the dead body of the sub-county typist, Kinyera Lacung. 2844 Okema Brown testified that he saw the body in Kinyera Lacung's home after it had been carried from the bush to his home.²⁸⁴⁵ Okema Brown testified that the body was wrapped tight

 $^{^{2835}}$ P-0067: T-125, p. 18, lines 9-18; T-126, p. 7, line 25 - p. 8, line 5. 2836 P-0067: T-125, p. 18, lines 9-18.

²⁸³⁷ See para. 1240 above.

²⁸³⁸ P-0001 Statement, UGA-OTP-0138-0002-R01, at para. 16.

²⁸³⁹ P-0007 Statement, UGA-OTP-0147-0214-R01, at para. 22.

²⁸⁴⁰ P-0007 Statement, UGA-OTP-0147-0214-R01, at para. 22.

²⁸⁴¹ P-0007 Statement, UGA-OTP-0147-0214-R01, at para. 22.

²⁸⁴² P-0007 Statement, UGA-OTP-0147-0214-R01, at para. 22.

²⁸⁴³ P-0007 Statement, UGA-OTP-0147-0214-R01, at para. 22.

²⁸⁴⁴ P-0008 Statement, UGA-OTP-0137-0002-R01, at para. 28.

²⁸⁴⁵ P-0008 Statement, UGA-OTP-0137-0002-R01, at para. 28.

so he could not see the wounds.²⁸⁴⁶ Okema Brown testified that he was told by Kinyera Lacung's relatives that his head had been beaten and that his body was found about one kilometre away from the Pajule side of the camp where he had been killed.²⁸⁴⁷ Okema Brown testified that he was later told by returning abductees that Kinyera Lacung had been killed by rebels because he could not carry the load that was given to him.²⁸⁴⁸ Okema Brown testified that the rebels used a club that they had brought with them to kill Kinyera Lacung.²⁸⁴⁹ Other witnesses also mention the killing of Lacung.²⁸⁵⁰

1316. The Chamber notes the testimony of Dick Okot, who stated that he saw Lacung killed by the LRA. ²⁸⁵¹ According to Dick Okot, Lacung worked at the sub-country chief's office. ²⁸⁵² Dick Okot testified that in the course of the retreat from the Pajule IDP camp, his group found people stopped and gathered around the place where Lacung was killed. 2853 According to Dick Okot, Lacung was told, 'you are a government worker, we are not going to leave you, we will kill you'. 2854 Dick Okot testified that LRA fighter Odongo called his bodyguards and the bodyguards instructed Lacung to lie down and the body guard picked a knife and fixed it on the barrel of a gun and then stabbed Lacung in the mouth and he fell down and died. ²⁸⁵⁵ Dick Okot also testified that Lacung was stabbed on his side of the abdomen. ²⁸⁵⁶ Dick Okot testified that he was not far away when Lacung was stabbed and personally witnessed it. ²⁸⁵⁷ Dick Okot testified that after Lacung was stabbed the LRA searched his pocket and took away his identity card and other personal effects. 2858 According to Dick Okot, Lacung was killed in Wangduku. 2859 Dick Okot testified that he saw Vincent Otti and Dominic Ongwen talk and after they completed their conversation, Lacung was killed. 2860 Dick Okot testified that Dominic Ongwen and Vincent Otti stopped and observed Lacung's body for a bit, but they did not say

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<sup>2846</sup> P-0008 Statement, UGA-OTP-0137-0002-R01, at para. 28.
<sup>2847</sup> P-0008 Statement, UGA-OTP-0137-0002-R01, at para. 29.
<sup>2848</sup> P-0008 Statement, UGA-OTP-0137-0002-R01, at para. 29.
<sup>2850</sup> P-0081: T-118, p. 11, line 19 – p. 12, line 12; P-0006 Statement, UGA-OTP-0144-0072-R01, at para. 44.
<sup>2851</sup> P-0067: T-125, p. 30, lines 1-11.
<sup>2852</sup> P-0067: T-125, p. 30, lines 12-20.
<sup>2853</sup> P-0067: T-125, p. 30, lines 21-24.
<sup>2854</sup> P-0067: T-125, p. 30, lines 21-25.
<sup>2855</sup> P-0067: T-125, p. 32, lines 19-22.
<sup>2856</sup> P-0067: T-125, p. 31, lines 18-19.
<sup>2858</sup> P-0067: T-125, p. 31, lines 20-22.
<sup>2859</sup> P-0067: T-125, p. 32, lines 13-18.
<sup>2860</sup> P-0067: T-125, p. 31, lines 7-17.
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anything.²⁸⁶¹ The Presiding Judge noted that in Dick Okot's statement to the Prosecution, he did not mention any discussion between Vincent Otti, Dominic Ongwen and Odongo.²⁸⁶² Dick Okot testified that he did not hear the conversation nor does he recall who gave the order for the killing of Lacung.²⁸⁶³ The Chamber notes that Dick Okot describes details of the attack and places himself on the location in a manner which convinces the Chamber that he witnessed the killing of the victim. However, in light of the other evidence indicating that Lacung was killed before the LRA reached the RV location, the Chamber is unconvinced by his evidence that Vincent Otti and Dominic Ongwen witnessed the killing and conversed about it. Given the details Dick Okot provides and its view of the general credibility of his account, the Chamber is convinced that Dick Okot witnessed the killing of Lacung. The Chamber considers that Dick Okot's testimony corroborates the evidence that Lacung was killed by the LRA.

- 1317. While noting that the witnesses differ in their testimony as to the exact manner of his death, the Chamber is satisfied that the evidence proves that Kinyera Lacung was killed by LRA fighters in the course of the retreat from Pajule IDP camp.
- 1318. **Pangarasio Onek:** Camp leader Okema Brown testified that on the day after the attack on the upper side about half a kilometre to the north of Pajule IDP camp he saw the dead body of businessman Pangarasio Onek. ²⁸⁶⁴ Okema Brown testified that the body and head were already swollen and the body was so bloody he could not tell where the blood came from. ²⁸⁶⁵ Okema Brown testified that Pangarasio Onek's brother told them that before Okema Brown saw the body, the brother had turned it around and discovered that Pangarasio Onek was shot in the back. ²⁸⁶⁶
- 1319. Dick Okot testified that in the course of the retreat, he saw Pangarasio Onek, a trader between 30 and 40 years of age, among the abducted. ²⁸⁶⁷ Dick Okot testified that Pangarasio Onek was carrying about three or four basins full of beans. ²⁸⁶⁸ He asked LRA fighter Odongo for help because the load was heavy, making the request about three

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<sup>2861</sup> P-0067: T-126, p. 16, line 23 – p. 17, line 6.
<sup>2862</sup> P-0067: T-125, p. 33, lines 1-4.
<sup>2863</sup> P-0067: T-125, p. 33, lines 4-13.
<sup>2864</sup> P-0008 Statement, UGA-OTP-0137-0002-R01, at para. 27.
<sup>2865</sup> P-0008 Statement, UGA-OTP-0137-0002-R01, at para. 27.
<sup>2866</sup> P-0008 Statement, UGA-OTP-0137-0002-R01, at para. 27.
<sup>2867</sup> P-0067: T-125, p. 23, line 23 – p. 24, line 1, lines 14-23.
<sup>2868</sup> P-0067: T-125, p. 27, lines 2-5.
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different times. ²⁸⁶⁹ Odongo did not accept the request. ²⁸⁷⁰ Dick Okot testified that Pangarasio Onek would walk from a distance and then put the load down to rest. ²⁸⁷¹ Dick Okot described what happened next:

At that time a gunship was hovering about and firing small guns at the people. He was hurrying Pangarasio to move. Then at some point he stopped the people and said if Pangarasio didn't want to carry the load, he was going to be killed. At that time he summoned his escort, a young boy of about 9 or 10, and he said, "If this person does not want to go ahead, shoot him." And then he asked Pangarasio, "Are you sure you cannot manage?" And Onek said, "No, I cannot." Then they unbound him, the rope that was used to tie him was cut using a knife, and they told him to sit down. And he was shot in the head, three bullets in the head. That was what I saw. And then we were told to continue moving and they said if anybody refuses to move, they wouldn't waste time, they would be killed in a similar manner. And everyone else got on their feet to continue moving. That was what I witnessed.²⁸⁷²

1320. Dick Okot testified that after Pangarasio Onek was killed, Odongo told his bodyguard to search his body and the boy found money in Pangarasio Onek's pocket and gave it to Odongo. ²⁸⁷³ Dick Okot testified that before Pangarasio Onek was killed, the group was stopped and Vincent Otti arrived and spoke to Lapwony Odongo for about five minutes and then Lapwony Odongo returned to the group. ²⁸⁷⁴ Dick Okot testified that at the time Pangarasio Onek was killed, Dominic Ongwen was a little behind the group they were in. ²⁸⁷⁵ Dick Okot indicated that Dominic Ongwen would still have been able to see everything that was happening. ²⁸⁷⁶ Given the location in which Pangarasio Onek was killed, the Chamber is unconvinced that Vincent Otti, who was at the RV location, witnessed the killing. However, given the details he provided and the Chamber's view of the general credibility of Dick Okot's account, the Chamber is convinced that Dick Okot witnessed the killing of Pangarasio Onek.

1321.Richard Otim stated that he saw two civilians killed in Pajule IDP camp. ²⁸⁷⁷ Richard Otim testified that one of the persons was his neighbour and the other was a shop

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<sup>2869</sup> P-0067: T-125, p. 27, lines 2-7.

<sup>2870</sup> P-0067: T-125, p. 27, lines 6.

<sup>2871</sup> P-0067: T-125, p. 27, lines 7-8.

<sup>2872</sup> P-0067: T-125, p. 27, lines 9-20.

<sup>2873</sup> P-0067: T-125, p. 28, lines 2-6.

<sup>2874</sup> P-0067: T-125, p. 29, lines 1-11.

<sup>2875</sup> P-0067: T-125, p. 27, lines 21-24.

<sup>2876</sup> P-0067: T-125, p. 27, line 24 – p. 28, line 1.
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 $^{^{2877}}$ D-0076: $\underline{\text{T-219}}$, p. 16, line 15 – p. 17, line 2, p. 25, lines 2-5 (testifying that he saw two dead civilians in Pajule camp, but at the time, the government soldiers were exchanging fire with the rebels, so he could not tell who killed the two persons. Richard Otim testified that he did not observe the rebels randomly and deliberately shoot anyone,

attendant in one of the shops in the centre named *Pangaracio* Onek.²⁸⁷⁸ The Chamber also notes that unlike the other two witnesses who suggest that Pangarasio Onek was killed outside the camp, Richard Otim indicates that Pangarasio Onek was killed within the camp. Still, the Chamber considers Richard Otim's testimony to corroborate the accounts that Pangarasio Onek was killed in the course of the attack.

- 1322. The Chamber notes that witnesses also testified about the killing of 'Apang Onek'. Given the similarities in the names of the witnesses and the description of the circumstances of the killing, the Chamber is of the view that these witnesses likely refer to Pangarasio Onek. P-0249 testified that LRA soldiers killed a civilian, Apang, as abductees were leaving the centre. ²⁸⁷⁹ Apang was killed in an area called Wang Kweyo, as the abductees were going to the bush. 2880 According to P-0249, Apang was killed for refusing to carry baggage from the centre. 2881 P-0249 testified that when he returned from the bush Apang's wife told him of Apang's death. ²⁸⁸² P-0249 testified that he was told that Apang was drunk at the time and became aggressive with the LRA. ²⁸⁸³ Corroborating this testimony, Terrance Otika testified that he heard that a man, Apang, was killed from the Pajule side of the camp. ²⁸⁸⁴ In addition, Santo Oweka testified that Onek Apang was killed during the course of the Pajule IDP camp attack. 2885 Importantly, Santo Oweka appears to suggest that he refers to Pangarasio Onek when he referenced Apang. 2886
- 1323. In light of the evidence, the Chamber finds that Pangarasio Onek was killed by the LRA in the course of their attack on Pajule IDP camp.
- 1324. Unnamed abductee killed by the RV location: Camp resident P-0006 testified that after leaving the RV location, when she was in Ocitti's group heading towards Kitgum, she

the only people he saw dead were the two civilians 'caught in the crossfire'). The Chamber notes that it understands Richard Otim's testimony to indicate that he did not see who killed the civilians.

²⁸⁷⁸ D-0076: T-219, p. 30, lines 2-5, p. 31, lines 2-7. Despite the different spelling of the first name, the Chamber considers it self-evident that the witnesses refer to the same person.

²⁸⁷⁹ P-0249: <u>T-79</u>, p. 35, lines 20-25, p. 36, lines 8-11.

²⁸⁸⁰ P-0249: T-79, p. 36, lines 12-15.

²⁸⁸¹ P-0249: <u>T-79</u>, p. 35, lines 20-25, p. 36, lines 16-20.

²⁸⁸² P-0249: T-79, p. 36, lines 3-7. ²⁸⁸³ P-0249: T-79, p. 36, lines 12-20.

²⁸⁸⁴ P-0007 Statement, UGA-OTP-0147-0214-R01, at para. 24. Terrance Otika heard of Apang's death from the people who brought the news of Lacung's death.

²⁸⁸⁵ D-0081: <u>T-221</u>, p. 47, lines 12-19.

²⁸⁸⁶ D-0081: <u>T-221</u>, p. 48, lines 1-3.

saw a dead person lying by the side of the road. 2887 P-0006 testified that the rebels she was with told her that the man was an abductee who had tried to escape and that if they tried to escape they would be killed like him.²⁸⁸⁸ P-0006 testified that the man was an older man and was lying face down and looked as though he had been hit on the back of the head. ²⁸⁸⁹ Benson Ojok also testified that as they were leaving the meeting place, about one mile away, he saw a dead body beside the road. 2890 Benson Ojok testified that the man was wearing civilian clothes and it looked like he had been beaten, the back of his head looked like it had been hit. ²⁸⁹¹ Benson Ojok testified that there was a stick near the man's body and the dead man's hands was tied with a rope behind his body. 2892 Benson Ojok testified that he did not know who the man was, but believed that he was one of the persons abducted from Pajule as he was unarmed, tied and was wearing civilian clothing and it looked like he had been killed recently. 2893 While neither witness knew the man, the testimony is overwhelmingly similar in their description of the body. The Chamber is convinced by Benson Ojok's testimony that the deceased was one of the Pajule abductees and the manner of his death as well as the fact that he was found just outside of the LRAs' RV location demonstrates that he was killed by the LRA.

1325. The Chamber is satisfied that the evidence shows that at least four civilians were killed by the LRA in the course of the 10 October 2003 attack on Pajule IDP camp.

LRA fighters abducted hundreds of civilians from the camp and forced them to carry looted items, including heavy loads, for long distances while retreating from the camp.²⁸⁹⁴

Dominic Ongwen ordered a subordinate to abduct civilians. The order was immediately executed. Dominic Ongwen also led a group of abductees and ordered abductees to carry looted goods and instructed them not to drop items.²⁸⁹⁵

Some abductees were forced to carry injured LRA fighters. The abductees were under armed guard to prevent their escape and were under constant threat of beatings or death. Some were tied to each other. Many of the abductees were forced to walk bare foot or not

²⁸⁸⁷ P-0006 Statement, UGA-OTP-0144-0072-R01, at para. 50. See also para. 49.

²⁸⁸⁸ P-0006 Statement, UGA-OTP-0144-0072-R01, at para. 50.

²⁸⁸⁹ P-0006 Statement, UGA-OTP-0144-0072-R01, at para. 50.

²⁸⁹⁰ P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 45.

²⁸⁹¹ P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 45.

²⁸⁹² P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 45.

²⁸⁹³ P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 46.

²⁸⁹⁴ Para. 153 above.

²⁸⁹⁵ Para. 153 above.

fully clothed through the bush for a long distance. LRA fighters beat abductees to make them walk faster. 2896

Among the persons abducted from Pajule IDP camp were Witness P-0006, her neighbour, her three relatives; Witness P-0081; Richard Otim; Witness P-0249; Witness P-0249's wife; Benson Ojok; Dick Okot and his family members; Rwot Joseph Oywak; Charles Bongomin, Oryema Kadogo, Sunday Abalo, Charles Ayela, Concy Ayet; Ogen; Opira; Okumu; Santo Oweka; David Okwera; Francis Kitara; George; Ronald Labeja; Christopher; Celestino; Vincent Okot; Ocana; Charles Abonga; David Otti Moyo; Christine; Paska; Oluge; Opira Bosco and Oryema.²⁸⁹⁷

- 1326. The Chamber finds that the evidence shows that armed LRA fighters abducted civilians from Pajule IDP camp and prevented their escape and forced them to work. The Chamber recalls its above discussion of the killing of three abductees, Kinyera Benson Lacung, Pangarasio Onek and an unnamed abductee. The evidence also shows that the LRA fighters mistreated the civilians they abducted from the camp.
- 1327. The witnesses' accounts of the LRA's abduction and treatment of civilians are credible, mutually corroborative and show that the LRA, including fighters under Dominic Ongwen's direct command, forcibly abducted civilians in Pajule IDP camp and severely mistreated them in accordance with the orders they had received. 2899
- 1328.P-0330 testified that the LRA abducted civilians from Pajule, tied them around the waist, put them together in a line and gave them looted items to carry.²⁹⁰⁰ P-0330 testified to abducting two civilians himself, a boy and a girl, and estimated that the boy he abducted was around 18 and the girl between 15 and 16 years old.²⁹⁰¹ P-0330 testified that the abducted civilians from Pajule were made to carry looted items to the meeting point where the fighters met up with other members of the LRA.²⁹⁰² P-0330 also testified that

.²⁹⁰³ The Chamber finds P-0330's testimony here specific and credible, noting that in describing the abduction of the two persons.

²⁸⁹⁶ Para. 153 above.

²⁸⁹⁷ Para. 154 above.

²⁸⁹⁸ See paras 1315-1324 above.

²⁸⁹⁹ See section IV.C.6.ii, the Chamber's discussion of the planning of the attack.

²⁹⁰⁰ P-0330: T-52-CONF, p. 4, line 23 – p. 5, line 3.

²⁹⁰¹ P-0330: T-52-CONF, p. 5, line 25 – p. 6, line 11.

²⁹⁰² P-0330: <u>T-52</u>, p. 9, lines 2-14.

²⁹⁰³ P-0330: T-52-CONF, p. 12, line 17 – p. 13, line 4.

1329. In line with P-0330's testimony, other witnesses reported seeing abductees who were tied up so that they could not escape.²⁹⁰⁴ LRA fighter P-0372 explained that abductees were guarded and some of the abductees were bound on their waists while others were walking without being tied but under guard.²⁹⁰⁵ Camp resident P-0081 credibly testified that the LRA abducted all manner of people in the course of the attack, testifying:

Whatever they find you wearing, they would abduct you in it; even if you were wearing an underwear, even if you were bare chested, they would take you and mix up people. They abducted all categories of people.²⁹⁰⁶

1330. Similarly, P-0309 testified that LRA fighters abducted civilians from the trading centre. P-0309 credibly testified that Dominic Ongwen ordered him to abduct two people from Pajule IDP camp and ask them to carry flour and beans. P-0309 testified that he entered the civilian's home and called them and they came out and carried the items. P-0309 explained:

They were helpless, they did not refuse. When I called them they left and they were shaking with fear. They were trembling and they came and carried the luggage that I'd asked them to carry. [...] Because they feared that I could – I could kill them or I could do worse things if they did not carry the things I asked them to carry. ²⁹¹⁰

1331.In line with P-0309's testimony, P-0249, a camp resident and abductee, testified that Dominic Ongwen directed his fighters to go and loot, they followed his instruction, taking 'a lot of things' and giving them to people to carry. P-0249 testified that while still at Pajule trading centre, Dominic Ongwen told the abductees not to drop their luggage. P-0249 testified that as they were walking, Dominic Ongwen would move and walk anywhere within the group. Consistent with the other accounts, P-0372 also testified that some of the abductees were given loot to carry.

²⁹⁰⁴ P-0249: <u>T-79</u>, p. 13, lines 10-14; P-0067: <u>T-125</u>, p. 37, lines 5-12; D-0077 Statement, UGA-D26-0022-0316, at para. 29.

²⁹⁰⁵ P-0372: T-148, p. 24, lines 7-11.

²⁹⁰⁶ P-0081: <u>T-118</u>, p. 27, lines 15-18. *See also* D-0076: <u>T-219</u>, p. 29, lines 9-21 (Richard Otim testified that the persons were abducted in the condition they were found in, so some people were half dressed).

²⁹⁰⁷ P-0309: T-60, p. 63, lines 1-2.

²⁹⁰⁸ P-0309: <u>T-60</u>, p. 62, lines 5-10, p. 63, lines 3-5.

²⁹⁰⁹ P-0309: <u>T-60</u>, p. 63, lines 3-6.

²⁹¹⁰ P-0309: <u>T-60</u>, p. 63, lines 8-13.

 $[\]frac{2911}{P}$ P-0249: $\frac{T-79}{T-9}$, p. 15, lines 4-13, lines 18-25, p. 18, line 24 – p. 19, line 3; $\frac{T-80}{T-80}$, p. 21, line 21 – p. 22, line 1. See para. 1344 below, the Chamber's further discussion of P-0249's testimony.

²⁹¹² P-0249: <u>T-79</u>, p. 42, lines 13-18.

²⁹¹³ P-0249: <u>T-79</u>, p. 31, line 24 – p. 32, line 5.

²⁹¹⁴ P-0372: <u>T-148</u>, p. 24, lines 12-13.

testified that the LRA forced civilians to carry the food the LRA had taken from the camp.²⁹¹⁵ Additionally, P-0138 stated that he saw the civilians that had been abducted, including children.²⁹¹⁶ P-0138 testified that the abductees were brought back to the RV location in different battalions, staying with the group that abducted them and carrying the goods looted by that group.²⁹¹⁷ P-0015 also stated that when the attackers returned from Pajule, they 'brought back little boys and girls and big men who were carrying the luggage of things they had taken from Pajule'.²⁹¹⁸

- 1332. Camp resident Dick Okot testified that abductees were also carrying items including merchandise like soda and biscuits, taken from shops.²⁹¹⁹ Dick Okot also saw an abductee carrying clothes and one person was carrying about four gallons of beans.²⁹²⁰
- 1333. In addition to carrying looted items, P-0138 explained that injured LRA fighters coming back from the attack were carried back to the RV location by civilian abductees. P-0015 testified that she saw male abductees from Pajule IDP camp carrying an injured LRA fighter on a stretcher made of logs and polythene paper. P-0144 testified that the group that went to collect food items in the camp abducted civilians to carry looted items or injured LRA fighters and some to be recruited into the ranks. P-0144 testified that Raska Lukwiya was carried by civilians.
- 1334. Further elaborating on the use of threats by LRA to abduct civilians and force them to work, witnesses also testified about the environment of fear in which the LRA abducted civilians. D-0085 testified that the LRA was carrying guns as they forced civilians to carry the looted food. P-0330 testified that the two civilians he abducted to carry looted items could not refuse to do as he instructed because he had a gun. P-0144 testified that no civilian could reject being abducted by a person wielding a gun.

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<sup>2915</sup> D-0085: T-239, p. 34, lines 1-6.
<sup>2916</sup> P-0138: T-120, p. 41, lines 14-16.
<sup>2917</sup> P-0138: T-120, p. 43, line 20 – p. 44, line 2.
<sup>2918</sup> P-0015 First Statement, UGA-OTP-0043-0131-R01, at para. 92.
<sup>2919</sup> P-0067: T-125, p. 23, lines 5-10.
<sup>2920</sup> P-0067: T-125, p. 23, lines 8-11.
<sup>2921</sup> P-0138: T-120, p. 44, lines 11-15.
<sup>2922</sup> P-0015 First Statement, UGA-OTP-0043-0131-R01, at para. 92.
<sup>2923</sup> P-0144: T-91, p. 40, line 12 – p. 41, line 25.
<sup>2924</sup> P-0144: T-91, p. 41, lines 21-23.
<sup>2925</sup> D-0085: T-239, p. 34, lines 4-6.
<sup>2926</sup> P-0330: T-52-CONF, p. 7, lines 1-3. See para. 1328 above.
<sup>2927</sup> P-0144: T-91, p. 41, lines 1-7.
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Chamber recalls its finding that several hundred LRA fighters attacked Pajule IDP camp armed with an assortment of weapons, including guns.²⁹²⁸

- 1335. UPDF commander Joseph Balikudembe testified that his troops were amongst the ones who pursued the LRA some days after the attack on Pajule IDP camp and managed to rescue some abductees. ²⁹²⁹ He testified that these abductees were 'exhausted'. ²⁹³⁰ Some had swollen legs from moving barefoot and some of their feet were swollen because they were not used to walking for such distances. ²⁹³¹ Joseph Balikudembe assessed their condition as 'totally emaciated and exhausted because they were not used to that kind of treatment'. ²⁹³²
- 1336.P-0249 testified that the LRA fighters instructed the abductees that anyone who threw their load would be killed.²⁹³³ P-0249 attested to a difficult journey walking to the RV location; he stated that the abductees, including himself, were 'manhandled', kicked and beaten.²⁹³⁴ P-0249 stated that when the group entered the bush, the fighters 'were beating people seriously'. ²⁹³⁵ According to P-0249, the LRA fighters used their hands, sticks, guns to beat people, sometimes they just kicked people.²⁹³⁶ P-0249 testified that the LRA fighters beat people for various reasons:

They would beat people every time, every single time we were moving they would keep beating people. Sometimes they would beat you because they say you are not walking fast, at another moment why you let part of your luggage fall down. For flimsy reasons they would still beat you up. Sometimes when the commanders were passing, and the escorts were also moving them, as they are passing by they would kick you. You wouldn't even know they are passing by because you'd be burdened by your load. But these people would really do anything on you. ²⁹³⁷

1337.P-0249 testified that Dominic Ongwen was present during the times all the beating was occurring; however the witness did not see him beating anyone.²⁹³⁸

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<sup>2928</sup> See para. 1240 above.

<sup>2929</sup> P-0359: T-109, p. 56, lines 2-14, lines 22-24, p. 58, lines 16-18.

<sup>2930</sup> P-0359: T-109, p. 58, lines 19-23.

<sup>2931</sup> P-0359: T-109, p. 56, lines 17-19, p. 58, lines 21-22.

<sup>2932</sup> P-0359: T-109, p. 58, lines 22-23.

<sup>2933</sup> P-0249: T-79, p. 35, lines 20-22.

<sup>2934</sup> P-0249: T-79, p. 13, line 22 – p. 14, line 1, p. 28, lines 18-22.

<sup>2935</sup> P-0249: T-79, p. 33, lines 7-9.

<sup>2936</sup> P-0249: T-79, p. 33, line 25 – p. 34, line 8.

<sup>2937</sup> P-0249: T-79, p. 34, lines 9-17.

<sup>2938</sup> P-0249: T-79, p. 35, lines 10-15, p. 37, lines 13-18. See para. 1344 below, the Chamber's further discussion of P-0249's testimony.
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- 1338.LRA insider Santo Oweka testified that the LRA fighters were moving the abductees 'in a haste' and beating them to get them to hurry. ²⁹³⁹ Consistent and corroborative of this and other evidence of beatings discussed above, P-0379 testified that civilian Okony was also abducted from Pajule IDP camp and escaped after about a week. ²⁹⁴⁰ P-0379 testified that Okony said he was beaten. ²⁹⁴¹ P-0379 testified that Okony stayed in Kitgum hospital for a long time because he was vomiting blood. ²⁹⁴²
- 1339. The Chamber heard a number of accounts of the abduction of specific civilians in the course of the LRA attack on Pajule IDP camp on 10 October 2003, which are discussed below. As with the above discussion, the below is evidence of the abduction of camp residents and their mistreatment by LRA fighters.
- 1340. P-0006, her neighbour, her three relatives and other abductees: P-0006, sixteen years old at the time of the attack, had been asleep in her neighbour's house in the Lapul side of the camp when she heard ululations and gunshots coming from the eastern direction. She stated that she knew she had nowhere to run and nowhere to hide, so she stayed in bed in the house. She testified that the LRA kicked in the door of the house, whereupon seven armed young LRA fighters, whom she referred to as 'kadogos', entered the house. She P-0006 testified that the fighters commanded her and another woman who lived in the house as well to carry items the fighters took from the house. P-0006 testified that she was made to carry maize and cooking oil. At the time, she was wearing a dress and no shoes. P-0006 testified that the LRA fighters told her to run towards the barrack and a 'boy' LRA fighter of approximately 14 years old and carrying a gun, beat her twice on her back with a stick. P-0006 testified that other abductees

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<sup>2939</sup> D-0081: T-220, p. 27, lines 1-5.
<sup>2940</sup> P-0379: T-57-CONF, p. 34, lines 18-22.
<sup>2941</sup> P-0379: T-57-CONF, p. 34, lines 18-21.
<sup>2942</sup> P-0379: T-57-CONF, p. 34, lines 18-23.
<sup>2943</sup> P-0006 Statement, UGA-OTP-0144-0072-R01, at paras 7-9.
<sup>2944</sup> P-0006 Statement, UGA-OTP-0144-0072-R01, at para. 10.
<sup>2945</sup> P-0006 Statement, UGA-OTP-0144-0072-R01, at para. 11; P-0006: T-140-CONF, p. 37, lines 15-20.
<sup>2946</sup> P-0006 Statement, UGA-OTP-0144-0072-R01, at paras 12-13. See also P-0006 Statement, UGA-OTP-0144-0072-R01, at para. 13.
<sup>2947</sup> P-0006 Statement, UGA-OTP-0144-0072-R01, at para. 13.
<sup>2948</sup> P-0006 Statement, UGA-OTP-0144-0072-R01, at para. 13.
<sup>2949</sup> P-0006 Statement, UGA-OTP-0144-0072-R01, at para. 14; P-0006: T-140, p. 9, lines 1-11.
<sup>2950</sup> P-0006 Statement, UGA-OTP-0144-0072-R01, at para. 15.
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were beaten as well, on their backs, necks and buttocks.²⁹⁵¹ P-0006 testified that the stick used to beat people were big pieces of wood the size of walking sticks used by the elderly and had been taken from civilian houses.²⁹⁵² P-0006 testified that she was forced to carry 'extremely heavy' items away from the camp, 'maybe two and a half basins full of goods'.²⁹⁵³ Other abductees were also forced to carry heavy loads, things that had been taken from shops.²⁹⁵⁴ P-0006 testified that she saw the other abductees struggling to carry the load, stating '[i]t appears the luggage was also heavy for them the way I would see'.²⁹⁵⁵ P-0006 testified that despite the fact that the LRA rebels were beating abductees to make them walk faster, the abductees could only walk slowly because of the heavy items they were carrying.²⁹⁵⁶ P-0006 testified that the other woman abducted with her was also made to carry luggage but was released the day after the attack.²⁹⁵⁷ In addition,

that

.2960 P-0006 testified that she was integrated into the LRA until her escape on 28 April 2004.2961 She was taken by an LRA commander to his place and forced to become the so-called 'wife' of the commander.2962 P-0006 testified that she was raped and became pregnant in the bush.2963 The Chamber finds P-0006's account of her and other civilians' experience during their abduction credible, specific, full of details and internally consistent. It is also consistent with the evidence of other witnesses' abductions and the abductions of other civilians in Pajule IDP camp.

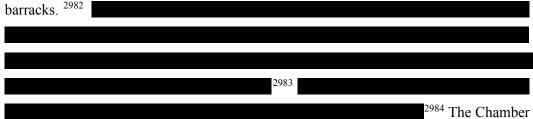
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P-0006: T-140, p. 9, lines 12-23, p. 10, lines 15-18.
P-0006: T-140, p. 10, lines 5-11.
P-0006: T-140, p. 11, lines 16-24.
P-0006: T-140, p. 12, lines 3-5.
P-0006: T-140, p. 12, line 23 - p. 13, line 3.
P-0006: T-140, p. 13, lines 4-12.
P-0006 Statement, UGA-OTP-0144-0072-R01, at para. 15.
P-0006 Statement, UGA-OTP-0144-0072-R01, at para. 15; P-0006: T-140-CONF, p. 16, line 23 - p. 17, line 9.
P-0006 Statement, UGA-OTP-0144-0072-R01, at para. 60.
P-0006 Statement, UGA-OTP-0144-0072-R01, at paras 37, 56-57.
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1341.**P-0081**: Camp resident P-0081 lived on the Lapul side of the camp. ²⁹⁶⁴ After being woken up by the sound of gunshots, P-0081 went outside his home where he saw an armed man who told him to return inside. 2965 After a short time, the same armed man knocked on P-0081's door and ordered him back outside. 2966 P-0081 testified that when he came out this man told him to remove his shirt, which he did. ²⁹⁶⁷ P-0081 testified that he was taken to an injured person who had been brought some distance behind his house.²⁹⁶⁸ According to P-0081, two other civilians were already carrying the injured person. ²⁹⁶⁹ P-0081 testified that the three abductees were tied to each other with a rope and made to carry the injured person whom P-0081 identified as 'Lapwony Lukwiya'. ²⁹⁷⁰ According to P-0081, Lukwiya was shot in the head and the side and was one of the people who had been carrying and operating the SPG-9 used during the attack.²⁹⁷¹ P-0081 testified that he moved out of the camp with the group, consisting of about eight to ten rebels and led by Okot, Lukwiya's escort. 2972 P-0081 testified that he saw several rebels behind the house, coming to see the injured Lukwiya. 2973 P-0081 testified that Okot lead the group to the Wanduku primary school which was about six to seven miles from the camp and there they met more people, including Lukwiya's wife.²⁹⁷⁴ P-0081 testified that a group of rebels including Lukwiya's wife arrived after him at Wangduku School with a large group of other abductees. ²⁹⁷⁵ P-0081 testified that he, other abductees and LRA fighters then moved to the RV location. 2976 P-0081 testified that he carried Lukwiya for a week before Lukwiya was taken to a sickbay. 2977 P-0081 testified that he was integrated into LRA until he escaped in April 2004. 2978 Given the name, Lapwony Lukwiya, and the witness's mention of an SPG-9, the Chamber is certain that P-0081 testified that he was forced to carry LRA fighter Charles Lokwiya. Charles Lokwiya testified before the Chamber about his injury, stating that the SPG-9 he was carrying was

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2964 P-0081 Statement, UGA-OTP-0070-0029-R01, at para. 8; P-0081: T-118, p. 33, lines 2-4.
2965 P-0081 Statement, UGA-OTP-0070-0029-R01, at paras 17-18.
2966 P-0081 Statement, UGA-OTP-0070-0029-R01, at paras 18.
2967 P-0081 Statement, UGA-OTP-0070-0029-R01, at paras 18-19.
2968 P-0081 Statement, UGA-OTP-0070-0029-R01, at para. 18.
2969 P-0081 Statement, UGA-OTP-0070-0029-R01, at para. 18.
2970 P-0081 Statement, UGA-OTP-0070-0029-R01, at paras 19-20.
2971 P-0081 Statement, UGA-OTP-0070-0029-R01, at para. 20.
2972 P-0081 Statement, UGA-OTP-0070-0029-R01, at para. 21.
2973 P-0081 Statement, UGA-OTP-0070-0029-R01, at para. 23.
2974 P-0081 Statement, UGA-OTP-0070-0029-R01, at paras 27-28.
2975 P-0081 Statement, UGA-OTP-0070-0029-R01, at paras 34-35; P-0081: T-118, p. 35, line 17 - p. 36, line 1.
2976 P-0081 Statement, UGA-OTP-0070-0029-R01, at para. 36.
2977 P-0081 Statement, UGA-OTP-0070-0029-R01, at para. 20.
2978 P-0081 Statement, UGA-OTP-0070-0029-R01, at para. 20.
2978 P-0081 Statement, UGA-OTP-0070-0029-R01, at para. 20.
2978 P-0081 Statement, UGA-OTP-0070-0029-R01, at para. 64, 104.
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shot and the barrel exploded and the shattered pieces of the barrel came and injured him.²⁹⁷⁹ P-0144 corroborates this account that Lokwiya was injured in the attack at the barracks when his SPG-9 gun was shot and the fragment of the gun hit him on the stomach and on the mouth.²⁹⁸⁰

1342. Charles Lokwiya testified that after he was injured, he was left behind and his 'wife' came and carried him from the camp up until the time when she found people to help carry him. ²⁹⁸¹ Charles Lokwiya testified that only his 'wife' dragged him from the



finds these witnesses' testimonies credible and mutually corroborative. In light of the details P-0081 knew of Charles Lokwiya, the Chamber is convinced that P-0081 was abducted by the LRA and forced to carry Charles Lokwiya – likely from the RV onwards; however, the Chamber is of the view that his testimony about carrying Lokwiya from the camp is not reliable.

1343. **Richard Otim**: Richard Otim testified that LRA rebels forced the door of his home open and he was forcibly captured and brought out and tied with a rope at the waist. ²⁹⁸⁵ Richard Otim testified that it was around 4:00; he had just woken up and was barefoot and only wearing shorts. ²⁹⁸⁶ Richard Otim testified that the LRA fighters had guns. ²⁹⁸⁷ Richard Otim testified that from the RV location he helped to carry Charles Lokwiya and Acel Calo Apar along with other abductees. ²⁹⁸⁸ Richard Otim testified that the soldiers were on stretchers and the abductees carried the stretchers on their shoulders. ²⁹⁸⁹ In the course of the LRA's trek in the bush, Richard Otim's feet and shoulders were injured, as he

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2979 D-0134: T-240-CONF, p. 63, lines 5-8; T-241-CONF, p. 13, line 21 – p. 14, line 2.
2980 P-0144: T-91, p. 35, lines 4-15.
2981 D-0134: T-240-CONF, p. 63, lines 9-21; T-241-CONF, p. 13, line 21 – p. 14, line 7.
2982 D-0134: T-241-CONF, p. 14, lines 3-7.
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2985 D-0076: T-219, p. 11, lines 16-18, p. 26, line 17 – p. 27, line 1, p. 37, lines 8-19.
2986 D-0076: T-219, p. 27, lines 2-13.
2987 D-0076: T-219, p. 13, lines 11-12, p. 19, line 10 – p. 20, line 3.
2988 D-0076: T-219, p. 13, lines 11-12, p. 19, line 10 – p. 20, line 3.
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feared that he was no longer able to perform the tasks the LRA had assigned to him and would be killed, he managed to escape from the LRA.²⁹⁹⁰ Richard Otim testified that he stayed with the LRA from about four days to a week before managing to escape.²⁹⁹¹ The Chamber considers Richard Otim's testimony credible, detailed and specific.

1344. P-0249 and his wife: At the time of the attack, P-0249 was a trader within the Pajule trading centre. 2992 According to P-0249, the gunshots carried on until they reached his doorstep and LRA fighters began to kick his door and fired a bullet into his house.²⁹⁹³ P-0249 testified that his wife opened the door of the house and they came out of the house. ²⁹⁹⁴ P-0249 stated that he and his wife were bound around the waist with ropes that were normally used for tying cattle. 2995 P-0249 testified that the LRA fighters tied his waist together with another person; however other people were bound differently. ²⁹⁹⁶ The Chamber recalls the above determination that P-0249's identification of Dominic Ongwen in the trading centre was credible and reliable. 2997 P-0249 credibly testified that Dominic Ongwen was in charge of the rebels who abducted him and his wife.²⁹⁹⁸ P-0249 testified that he was given about two or more basins of beans to carry, which the LRA poured into a sack and put on his head and he carried as they walked.²⁹⁹⁹ P-0249 testified that until the LRA arrived at the RV location, he was carrying food. 3000 After they left the RV, the witness carried a stretcher with an injured LRA soldier. 3001 P-0249 testified that he still has scars on his shoulders from carrying the injured LRA soldier. 3002 According to P-0249, the injured soldier was very heavy and he carried him with another person. 3003 P-0249 testified that abductees could not escape because they were being 'protected' by the rebels. 3004 P-0249 explained that the abductees did not have the

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D-0076: T-219, p. 13, line 18 – p. 15, line 19, p. 22, line 11 – p. 23, line 1.
D-0076: T-219, p. 13, line 18 – p. 15, line 18, p. 21, lines 1-4, p. 22, line 11 – p. 23, line 1.
P-0249: T-79-CONF, p. 9, lines 1-5.
P-0249: T-79, p. 10, lines 16-19.
P-0249: T-79, p. 10, lines 20-21.
P-0249: T-79, p. 10, lines 20-22.
P-0249: T-79, p. 31, lines 14-18.
See paras 1276-1279 above, the Chamber's discussion of Dominic Ongwen's role in the attack.
P-0249: T-79, p. 12, lines 12-13, p. 14, lines 11-18.
P-0249: T-79, p. 22, line 14 – p. 23, line 2.
P-0249: T-79, p. 47, lines 19-22.
P-0249: T-79, p. 47, lines 19-22.
P-0249: T-79, p. 47, line 19 – p. 48, line 1.
P-0249: T-79, p. 47, line 19 – p. 48, line 1, p. 53, line 11 – p. 54, line 5. See photographs of P-0249's injuries: UGA-OTP-0238-0804; UGA-OTP-0238-0805.
P-0249: T-79, p. 48, line 16 – p. 50, line 1.
P-0249: T-79, p. 28, lines 10-17.
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freedom to move anywhere, '[y]ou were like a prisoner, and every now and then you will be guarded'. 3005 Abductees were unbound so that they could carry items and then bound behind their backs at night. 3006 P-0249 testified that after the rebels separated in groups and moved in different directions; he moved with Dominic Ongwen's group. 3007 After about two weeks of being with the LRA, P-0249 was no longer able to walk further, LRA fighters beat him until he was unconscious and left him. 3008 The witness managed to drag himself for nine days trying to get home. 3009 The Chamber considers P-0249's testimony to be credible. It was detailed, contextualised, specific and corroborated by other witnesses' similar accounts of their experiences during their abduction.

1345. **Benson Ojok and other abductees:** Benson Ojok, then a 15 year old boy, was staying at a house in the Pajule side of the camp, with two other boys, around 16 and 17 years old, when he woke up to the sound of the kicking of doors, plastic whistles and gunshots. The two other boys in the house escaped and Benson Ojok was alone when a rebel knocked on the door and said that anyone inside the house should come out or they would be shot. He LRA fighter pushed open the door and Benson Ojok fell because he had been standing behind it. According to Benson Ojok, the LRA fighter was about 19 years old, and carried an AK-47 and a bayonet knife. Benson Ojok testified that the LRA fighter grabbed him, took his shirt off and used the shirt to tie Benson Ojok's hands together. Then the LRA fighter took Benson Ojok to another house in the trading centre and abducted a man and three boys; two of the boys were about ten and nine years old and one was even younger. The abductees were tied together around their waist and their hands were freed. Their shirts were removed and if they had been wearing good footwear, it was removed from them. Benson Ojok saw other people who had just been abducted in the camp, including boys about 15 years

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<sup>3005</sup> P-0249: T-79, p. 28, lines 13-17.
<sup>3006</sup> P-0249: T-79, p. 52, lines 18-25.
<sup>3007</sup> P-0249: T-79, p. 41, lines 17-23, p. 43, line 18 – p. 44, line 7.
<sup>3008</sup> P-0249: T-79, p. 67, lines 6-23, p. 72, line 17 – p. 73, line 19.
<sup>3009</sup> P-0249: T-79, p. 72, line 17 – p. 74, line 12, p. 77, lines 3-6; T-79-CONF, p. 74, lines 12-16.
<sup>3010</sup> P-0061 Statement, UGA-OTP-0144-0043-R01, at paras 8-13.
<sup>3011</sup> P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 13.
<sup>3012</sup> P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 13.
<sup>3013</sup> P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 14.
<sup>3014</sup> P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 18.
<sup>3015</sup> P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 18.
<sup>3016</sup> P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 18.
<sup>3017</sup> P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 19.
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old and below and girls about ten and 11 years and older. ³⁰¹⁸ The youngest abducted boy Benson Ojok saw was about nine years old. ³⁰¹⁹ In his estimation, the oldest male abductee was around 35 years old and the oldest female abductee about 30 years old. ³⁰²⁰ Benson Ojok testified that he saw his friend Ocaya as well as a teacher from his school among the abductees. ³⁰²¹ Benson Ojok was given a crate of soda to carry on his head. ³⁰²² Benson Ojok testified that the LRA fighters threatened the abductees that they would be killed if they threw away the items they were carrying. ³⁰²³ Benson Ojok was integrated into the LRA before he eventually escaped. ³⁰²⁴ The Chamber finds Benson Ojok's testimony about his abduction credible and reliable. It was detailed, specific and rich with the details that showed that he spoke of his personal experiences.

1346. **Dick Okot and his family members:** Dick Okot, who lived in the Pajule trading centre, testified that the LRA fighters broke down his door, entered into his house and brought him out along with his wife, his brother, his sister and her children. Dick Okot testified that one of his neighbours was also brought out. Dick Okot testified that the door to his house was a steel door so it was not easy to break; the LRA attackers used an axe to break down the wall and bring down the door. Two armed LRA fighters entered the house and ordered the inhabitants out and told them to sit down. According to Dick Okot, when he came outside, he saw around 14 LRA fighters, a mixture of men and women, out in front of the shops and spread around the house and buildings there. Dick Okot testified that when he was taken out of the house, LRA fighters tied his arms behind his back with a rope and his brother's arms were also tied and they were ordered to start moving. Dick Okot testified that he was bare foot and only wearing underwear and had a light vest over his chest. Dick Okot testified that among his abductors was

³⁰¹⁸ P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 19.
3019 P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 19.
3020 P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 19.
3021 P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 19.
3022 P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 18.
3023 P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 24.
3024 See P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 61.
3025 P-0067: T-125, p. 8, lines 13-21.
3026 P-0067: T-125, p. 12, lines 6-9.
3027 P-0067: T-125, p. 9, lines 19-25.
3028 P-0067: T-125, p. 9, lines 4-12.
3029 P-0067: T-125, p. 9, line 20 – p. 10, line 1.
3030 P-0067: T-125, p. 11, line 21 – p. 12, line 2.
3031 P-0067: T-125, p. 12, lines 3-4.

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a commander named Lapwony Odongo from the Trinkle brigade. 3032 Dick Okot testified that there were children among the group of civilians and that the LRA commander Odongo told the abductees that '[w]e will show you that it is not good to stay in the camp. We will take you guys, we will take the older people. But the children, we will burn them inside the house'. 3033 Dick Okot testified that Odongo sent his bodyguards to go and collect fire but then the helicopter gunship arrived and he ordered the adults in the group to get up and move. 3034 Dick Okot testified that after the helicopter arrived, the LRA fighters and their adult abductees started moving away from the camp. 3035 Dick Okot testified that his hands were tied when he left the camp with his abductors but he was unbound when the LRA came across a woman who was carrying a sack of groundnuts and LRA fighters picked up the sack for him to carry. 3036 Dick Okot testified that his brother Okello David, his wife Grace Aringo and Janet Acan were abducted and in his group as he left Pajule IDP camp. 3037 Dick Okot testified that he stayed with the LRA for about three weeks to a month before he escaped. 3038 Dick Okot testified that he was to be tied when sleeping at night. 3039 The Chamber recalls its assessment of Dick Okot's credibility and notes that in this account of his abduction, the witness was clear, internally consistent, detailed and specific. His testimony was also corroborated in part by Okema Brown, the camp commandant of the Pajule side of the camp, who testified that Dick Okot was one of the returned abductees that he spoke with about the persons who commanded the attack. 3040

1347. Rwot Joseph Oywak: Rwot Oywak testified that he heard gunshots the morning of the attack, as well as people screaming and crying.3041 According to Rwot Oywak, armed LRA fighters kicked down the door of his house and ordered him to leave the house. 3042 Rwot Oywak testified that he was kicked and hit with the butt of a gun and pushed out

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<sup>3032</sup> P-0067: T-125, p. 11, lines 9-16. It is noted that P-0067 testified that Odongo himself mentioned that he was
under the Trinkle battalion.
<sup>3033</sup> P-0067: <u>T-125</u>, p. 12, lines 6-11.
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³⁰³⁴ P-0067: <u>T-125</u>, p. 12, lines 12-17.

³⁰³⁵ P-0067: <u>T-125</u>, p. 13, line 24 – p. 14, line 1.

³⁰³⁶ P-0067: <u>T-125</u>, p. 14, lines 2-4, p. 22, line 20 – p. 23, line 2; <u>T-126</u>, p. 9, lines 9-12.

³⁰³⁷ P-0067: T-125, p. 23, lines 20-25. 3038 P-0067: T-125, p. 43, line 21 – p. 44, line 17. 3039 P-0067: T-125, p. 37, lines 5-12.

³⁰⁴⁰ P-0008 Statement, UGA-OTP-0137-0002-R01, at para. 20.

³⁰⁴¹ P-0009: <u>T-81</u>, p. 11, line 25 – p. 12, line 3.

³⁰⁴² P-0009: <u>T-81</u>, p. 12, lines 3-8, p. 16, line 17 – p. 17, line 2.

of the house. 3043 Rwot Oywak stated that he started walking and joined the people, including his neighbour, who had been abducted. 3044 Rwot Oywak testified that there were men, women and children among the abductees, from the ages of 12 to 50, some of whom were carrying items LRA looted from civilians. 3045 Rwot Oywak testified that once the group was on the main road, LRA fighters gave him a heavy sack of rice to carry and he was hit and told to move with the people.³⁰⁴⁶ Rwot Oywak insisted that any statement that he did not in fact carry luggage is not correct, explaining further '[i]t could be he's saying the correct thing because you carry luggage and then you pass on to another person as you're moving. Along the way, you carry for some time and then you pass it on to another person. So he could have seen because I did not carry right from Pajule up to Latanya'. 3047 The Chamber recalls its conclusion above that Rwot Oywak credibly identified Dominic Ongwen as being at the trading centre in Pajule. 3048 Rwot Oywak also testified that Dominic Ongwen hit and kicked him and ordered him to sit down. 3049 When the group started walking, 3050 Dominic Ongwen was kicking him and other people. 3051 Rwot Oywak stated that as they moved along, whoever was unable to walk was left behind and the LRA continued to add people as they moved along. 3052 Rwot Oywak testified to walking six to eight miles from Pajule before eventually reaching the RV location.³⁰⁵³ As is discussed further below, Rwot Oywak was released along with other abductees the day after the attack. 3054

1348. Multiple witnesses testified about Rwot Oywak's abduction and his treatment by the LRA. P-0249 largely corroborates his account. Camp resident P-0249 indicated that Rwot Oywak was abducted by Dominic Ongwen's group. P-0249 testified that 'Rwot Oywak' was abducted by an armed 'kadogo'. According to P-0249, the fighter had taken Rwot Oywak's shirt off and brought him to meet with the others while the group

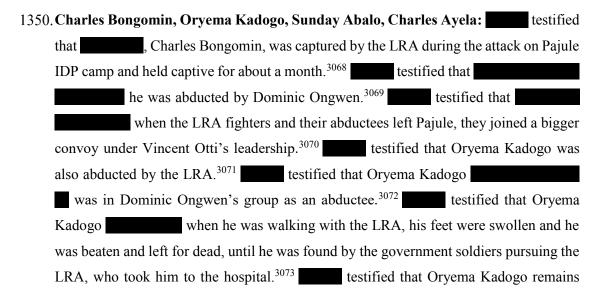
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3043 P-0009: <u>T-81</u>, p. 12, lines 7-8.
3044 P-0009: <u>T-81</u>, p. 12, lines 10-13.
3045 P-0009: <u>T-81</u>, p. 17, lines 10-19.
3046 P-0009: <u>T-81</u>, p. 12, lines 13-15, p. 17, lines 3-9; <u>T-82</u>, p. 78, lines 2-16.
3047 P-0009: <u>T-82</u>, p. 78, line 17 – p. 79, line 3.
3048 See paras 1272-1273 above.
3049 P-0009: <u>T-81</u>, p. 12, lines 18-20, p. 19, lines 15-20; <u>T-82</u>, p. 80, line 18 – p. 81, line 9.
3050 P-0009: <u>T-81</u>, p. 13, lines 1-2.
3051 P-0009: <u>T-81</u>, p. 13, lines 1-3, p. 19, lines 15-21.
3052 P-0009: <u>T-81</u>, p. 13, lines 8-10.
3053 P-0009: <u>T-81</u>, p. 13, lines 21-23, p. 22, lines 20-25.
3054 See para. 1366 below.
3055 P-0249: <u>T-79</u>, p. 44, lines 14-19.
3056 P-0249: <u>T-79</u>, p. 21, lines 14-18, p. 23, lines 3-9.
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was branching off to go into the bushes from the camp. 3057 P-0249 testified that he believed the kadogo had found Rwot Oywak in his house and brought him to his commander. 3058 P-0249 testified that a soldier asked the kadogo if he knew who he had abducted and told the boy to give Rwot Oywak his shirt back and not to touch or beat him or give him luggage to carry. 3059 P-0249 testified that the group continued on and Rwot Oywak was the only person among the abductees who was not carrying anything, while everyone else had heavy loads on their heads. ³⁰⁶⁰ P-0249 testified that no harm was done to Rwot Oywak, that he 'appeared to be free while, while he was there' and it was 'possible for him to do anything'. 3061 P-0249 testified that he did not see Rwot Oywak speaking with Dominic Ongwen along the route of retreat from Pajule camp but P-0249 saw Dominic Ongwen sit together with Rwot Oywak at the gathering with all the other commanders. 3062 Camp resident P-0006 testified that Rwot Oywak was among the persons abducted from Pajule by the LRA fighters and that she saw him outside the camp at a stream when the group stopped briefly. ³⁰⁶³ P-0006 testified that when she saw him, he had all his clothes on and he had been given rice to carry. 3064 P-0006 and P-0249, who both testified to seeing Rwot Oywak at an early point in his abduction, corroborate his account that he was abducted and initially treated like the other abductees. The Chamber does not consider it dispositive that P-0249 did not also testify to seeing Rwot Oywak carry a bag of rice. Other witnesses also testify as to the LRA's treatment of Rwot Oywak in the course of the attack. 3065

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<sup>3057</sup> P-0249: T-79, p. 21, lines 15-20.
<sup>3058</sup> P-0249: T-79, p. 22, lines 5-10.
<sup>3059</sup> P-0249: T-79, p. 21, lines 15-22, p. 22, lines 11-15; T-80, p. 33, lines 1-20.
<sup>3060</sup> P-0249: T-79, p. 21, lines 23-25.
<sup>3061</sup> P-0249: T-79, p. 21, line 25 – p. 22, line 1; T-80, p. 34, lines 7-13, p. 36, lines 15-21.
<sup>3062</sup> P-0249: T-80, p. 33, lines 21-25.
<sup>3063</sup> P-0006 Statement, UGA-OTP-0144-0072-R01, at para. 35.
<sup>3064</sup> P-0006 Statement, UGA-OTP-0144-0072-R01, at para. 35.
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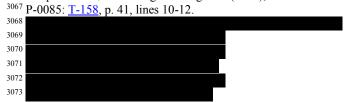
³⁰⁶⁵ D-0076: <u>T-219</u>, p. 18, line 22 – p. 19, line 6, p. 39, line 4 – p. 40, line 2 (testifying that Joseph Oywak was the only person not given anything to carry. From Richard Otim's observation, Rwot Oywak was relaxed and did not look like he was in fear or under threat. He did not see Rwot Oywak being beaten during the gathering where the abductees were addressed by Vincent Otti); P-0081 Statement, UGA-OTP-0070-0029-R01, at para. 43; P-0081: <u>T-118</u>, p. 40, line 16 – p. 41, line 16 (testifying that he knew Rwot Oywak from home and saw him at the RV point; Rwot Oywak was wearing his shirt and a watch and was wearing shoes unlike the other abductees. Before Vincent Otti addressed the abductees, P-0081 saw Rwot Oywak shaking hands with Vincent Otti and they both looked happy and were laughing and even hugged); D-0081: <u>T-220</u>, p. 30, lines 10-21 (testifying that during the walk to the meeting place, Rwot Oywak was conversing and laughing with LRA commander Raska Lukwiya. Rwot Oywak looked different than the rest of the abductees because they did not remove his clothes and he was not carrying any luggage); P-0084 Statement, UGA-OTP-0139-0149-R01, at paras 127, 130 (testifying that Joseph Oywak told him that when the rebels discovered that he was one of the abductees, he was separated from the others and treated differently from the other abductees. P-0084 was also told by other abductees that Rwot Oywak

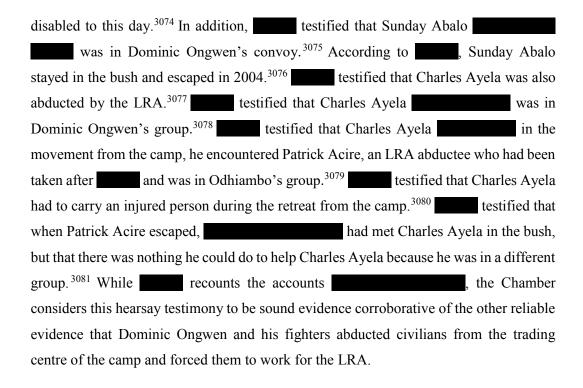
1349. The Chamber is satisfied that this evidence demonstrates that Rwot Oywak was abducted by force and initially treated harshly by the LRA. In this context, the Chamber notes the 10 October 2003 communication in which Vincent Otti reports on the attack on Pajule to Joseph Kony, and states that 'he instructed soldiers to target all the big pple in Pajule including Oywak and the priests'. 3066 P-0085 also testified that Vincent Otti reported Rwot Oywak's abduction to Joseph Kony after the operation and the people had returned. 3067 However, neither P-0006 nor P-0249 corroborates his account that he was beaten by Dominic Ongwen. In the Chamber's view, given Rwot Oywak's position and fame in the community, had he been beaten by Dominic Ongwen in a public setting, other witnesses who testified in these proceedings would have mentioned it. P-0249, who testified to also being abducted by Dominic Ongwen's group and noted Rwot Oywak's abduction by that same group, should certainly have observed or heard about the beating had it occurred in that manner. Rather, the evidence indicates that P-0249's above account is credible and reliable in that after being recognised as the Rwot, LRA fighters no longer mistreated Rwot Oywak.



was not treated like other captive but was able to move freely with the rebel commanders and had meetings with them that the abductees could not hear).

³⁰⁶⁶ See para. 1375, discussing ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0547-48.





Concy, was abducted from Pajule as was a boy name Ogen and two men, Opira and Okumu. Opira and testified that after seeing his sister among the abductees Dominic Ongwen agreed to help him ensure that she was released; when could not find his sister Dominic Ongwen told him that he supposed she had already been released. The Chamber considers his account here credible and further proof that the LRA abducted civilians from Pajule IDP camp.

1352. **Santo Oweka:** Santo Oweka testified that about an hour after he first heard gunshots in the camp, LRA fighters kicked open his door and broke into his house. ³⁰⁸⁴ The LRA fighters pulled him out of his hut. ³⁰⁸⁵ Santo Oweka testified that when he came out, he

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See also P-0249: T-79-CONF, p. 23, line 22 – p. 24, line 5; D-0081: T-221, p. 46, line 14 – p. 47, line 11.

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D-0081: T-220, p. 24, lines 1-4, p. 25, lines 1-4; T-221, p. 44, lines 8-18.

3085 D-0081: T-220, p. 24, lines 6-7.
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saw so many abductees outside and some gun-wielding people who were giving items to the abductees to carry. ³⁰⁸⁶ Santo Oweka testified that he was initially given a box of soap to carry, and then later he was made to carry an injured person, Odong Murefu. ³⁰⁸⁷ Santo Oweka stayed in the LRA for about five months. ³⁰⁸⁸ The Chamber considers Santo Oweka's account of his abduction credible and reliable. P-0249 also corroborates this account. ³⁰⁸⁹

- 1353. **David Okwera:** David Okwera lived just east of the Pajule market on the Pajule side of the camp. ³⁰⁹⁰ David Okwera testified that a rebel came into his house and took him to the market where there were many LRA fighters and many abductees. ³⁰⁹¹ David Okwera stated that the LRA gave him three basins of rice to carry. ³⁰⁹² David Okwera testified that the abductees walked through the bush with the LRA in front and behind. ³⁰⁹³ He stated that this formation was so the LRA could protect people from ambushes and pursuing forces. ³⁰⁹⁴ David Okwera stated that he escaped from the LRA after about six weeks. ³⁰⁹⁵
- 1354. Other abductees: Camp residents provided relevant, credible and mutually corroborative accounts of other residents abducted by the LRA. Omona Lokilamoi, a Pajule local government official, testified that Francis Kitara and George, both officials of Pajule camp were abducted during the attack. 3096 Both Francis Kitara and George were with the rebels for about two months before escaping. 3097 According to P-0084, the LRA abducted Ronald Labeja, a student of Kitgum High School named Christopher, Celestino, Vincent Okot and Ocana, among others. 3098 All but Ocana returned to the camp a few days after the attack; Ocana was with the rebels for about one month. 3099 P-0249 testified that his wife was abducted as was Charles Abonga, David Otti Moyo, Christine and a

³⁰⁸⁶ D-0081: T-220, p. 24, lines 7-9.
3087 D-0081: T-220, p. 24, lines 10-12; T-221, p. 46, lines 1-10.
3088 D-0081: T-220, p. 34, lines 11-13.
3089 P-0249: T-79-CONF, p. 40, lines 12-18.
3090 D-0077 Statement, UGA-D26-0022-0316, at para. 2.
3091 D-0077 Statement, UGA-D26-0022-0316, at para. 13.
3092 D-0077 Statement, UGA-D26-0022-0316, at para. 13.
3093 D-0077 Statement, UGA-D26-0022-0316, at para. 16.
3094 D-0077 Statement, UGA-D26-0022-0316, at para. 16.
3095 D-0077 Statement, UGA-D26-0022-0316, at para. 16.
3095 D-0077 Statement, UGA-D26-0022-0316, at para. 16.
3095 P-0001 Statement, UGA-OTP-0138-0002-R01, at para. 19.
3097 P-0001 Statement, UGA-OTP-0138-0002-R01, at para. 19.
3098 P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 122.

number of other people.³¹⁰⁰ Santo Oweka testified that after he returned from the bush, he learnt that the LRA abducted a woman called Paska, a teacher named Oluge, Opira Bosco as well as David Otti, Oryema and Ayela, who were all abducted from Pajule IDP camp and stayed with the LRA for some time before returning back home.³¹⁰¹

on 10 October 2003 range from 100 to 1,210. 3102 Pajule camp commandant Brown explained that it was difficult to ascertain the exact numbers of how many persons were abducted from the camp because it was assumed that some of the people thought to be missing had simply run away to relatives outside the camp and people returning reported to various places. 3103 Noting in particular the testimonies of Rwot Oywak 3104 and UPDF soldier P-00843105 – who both observed the abductees taken from Pajule IDP camp – the Chamber is satisfied that the evidence shows that the LRA abducted hundreds of civilians from Pajule IDP camp.

Abductees carried the looted items to a meeting place where the LRA met after the attackers left Pajule IDP camp. At this meeting point, the LRA collected the looted goods.

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 $^{^{3100}}$ P-0249: T-79-CONF, p. 23, line 22 – p. 24, line 5. See also D-0081: <u>T-221</u>, p. 46, line 14 – p. 47, line 11 (regarding the abduction of David Otti).

³¹⁰¹ D-0081: <u>T-221</u>, p. 46, line 14 – p. 47, line 11.

³¹⁰² P-0309: T-60, p. 63, lines 15-17; T-63, p. 11, lines 4-7 (testifying that he saw that there were well over 100 people abducted from Pajule IDP camp); D-0081: T-221, p. 46, lines 14-16 (testifying that the LRA abducted hundreds of people from Pajule IDP camp); P-0138: T-120, p. 33, lines 14-15 (testifying that about 300 persons were abducted from Pajule IDP camp); P-0372: T-148, p. 22, line 23 - p. 23, line 2 (testifying that he estimated that over 300 civilians were abducted from Pajule, children, men and women); P-0047 Statement, UGA-OTP-0027-0177-R01, at para. 119 (testifying that nearly 300 people were abducted); P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 88; P-0144: <u>T-91</u>, p. 48, lines 3-8 (estimating that 400 civilians were captured from Pajule); P-0009: <u>T-81</u>, p. 14, line 11, p. 23, lines 6-9, p. 79, lines 14-21; P-0007 Statement, UGA-OTP-0147-0214-R01, at para, 40 (testifying that based on the information he received from people returning from the bush and from information from Rwot Oywak, he estimated that around 700 persons were abducted from Pajule IDP camp in total); D-0076: T-219, p. 29, lines 9-12 (estimating that up to 700 persons were abducted from Pajule IDP camp); P-0001 Statement, UGA-OTP-0138-0002-R01, at para. 23 (testifying that according to lists collected by the 86 LC-1 chairpersons of Pajule IDP camp between 10 October 2003 and 25 October 2003, there were 'a combined figure of 1,210 thought to be abducted. Then the next day 500 returned leaving 710 thought to be abducted. Some came back and some remain[ed] missing'). See also 18 September 2004 Letter requesting funds for joint prayers for peace in memory of LRA attack on Pajule/Lapul IDP camp on the 10th October 2003, UGA-OTP-0138-0284-R01; 27 October 2004 Pajule/Lapule Sub-Counties 10 October 2003, Commemoration Speech, UGA-OTP-0138-0291-R01; Enhanced audio recording, UGA-OTP-0247-1110; P-0016: T-33, p. 54, lines 18-22 (in the 13-14 October 2003 intercepted radio recording, Otti states that '200 to 300 people' were gathered from Pajule).

³¹⁰³ P-0008 Statement, UGA-OTP-0137-0002-R01, at para. 50.

³¹⁰⁴ P-0009: <u>T-81</u>, p. 14, line 11, p. 23, lines 6-9 (testifying that he estimated that there were about 400 to 500 abductees at the meeting place where the various LRA groups that had conducted the attack re-converged).

³¹⁰⁵ P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 88 (testifying that he saw at least more than 300 abducted civilians in the group leaving the camp after the attack).

Looted items were distributed within the LRA, including within Dominic Ongwen's group.³¹⁰⁶

1356. The evidence shows that abducted civilians took the items they were carrying to the LRA's chosen destination. ³¹⁰⁷ P-0138 testified that after the attack at the meeting point he saw the food that was pillaged from Pajule IDP camp. ³¹⁰⁸ Charles Lokwiya testified that when he arrived back at the meeting point, he saw food that had been taken from the centre of Pajule IDP camp. ³¹⁰⁹ Similarly, P-0015 testified that she was present when LRA soldiers returned from the attack on Pajule and they came back with items such as sweets, biscuits, cooking oil and clothes. ³¹¹⁰ P-0081 testified that at the RV location, he saw people carrying items, these items would have been taken out of their homes or from the shops. ³¹¹¹ P-0101, one of Dominic Ongwen's so-called 'wives', corroborated these accounts, confirming that she saw Dominic Ongwen return from the attack in Pajule with luggage. ³¹¹²

1357.Rwot Oywak also testified that at the gathering place, the LRA collected all the food items from the abductees. P-0330 testified that once the LRA was back at the meeting point, the looted items were distributed amongst the LRA, including to Dominic Ongwen's group. P-0330 testified that Opige, a commander in Dominic Ongwen's group, was among the people distributing the looted items.

At the meeting point, Vincent Otti and other commanders addressed the abductees. Vincent Otti told abductees that the LRA reproached the civilians for settling in IDP camps set up by the government, and that there was a punitive nature to the LRA's attack on the camp. Dominic Ongwen also spoke to a group of abductees, telling them that

³¹⁰⁶ Para. 155 above.

³¹⁰⁷ P-0330: <u>T-52</u>, p. 9, line 20 – p. 10, line 1 (testifying that the civilians were told to put down their items and most were released); P-0047 Statement, UGA-OTP-0027-0177-R01, at para. 124 (stating that returned abductees told him that they were released after they brought loot to the places where the LRA wanted them to bring the loot, in Lira district and Soroti); P-0138: <u>T-120</u>, p. 45, lines 10-22 (stating that abductees arrived at the RV location carrying food that had been pillaged from the camp); P-0209: <u>T-160</u>, p. 31, line 22 – p. 32, line 14 (testifying that the LRA fighters who went to Pajule IDP camp came back with civilians who were carrying items on their heads). ³¹⁰⁸ P-0138: <u>T-120</u>, p. 41, lines 10-16.

³¹⁰⁹ D-0134: T-240-CONF, p. 63, line 17 – p. 64, line 1.

³¹¹⁰ P-0015 First Statement, UGA-OTP-0043-0131-R01, at para. 92.

³¹¹¹ P-0081: <u>T-118</u>, p. 34, line 16 – p. 35, line 3.

³¹¹² P-0101: <u>T-13</u>, p. 26, lines 6-13.

³¹¹³ P-0009: <u>T-81</u>, p. 24, lines 12-14.

³¹¹⁴ P-0330: <u>T-52</u>, p. 10, lines 5-8.

³¹¹⁵ P-0330: <u>T-52</u>, p. 10, lines 9-11.

anyone who escaped or dropped looted goods would be killed and that abductees would be trained as soldiers.³¹¹⁶

1358. The evidence shows that at the RV location, Vincent Otti and other commanders spoke to abductees before ordering the release of some. ³¹¹⁷ In this context, the Chamber notes a police report dated 13 October 2003 concerning the LRA's attack on Pajule IDP camp. ³¹¹⁸ The report states:

On [...] 11.10.2003 during day time at Pajule T/C in Aruu city, Pader District (.) 504 former abductees reportedly released by rebels, returned (.) They included Rwot Joseph Oywak the Acholi Traditional Chief of Koyo Lalogi [...] who was abducted on 10.10.2003 with several others (.) The former captives were reported to have said that they were addressed by Maj. Gen Otti Vincent, Brig. Tolbert Nyeko Yadi, Brig. Kolo and Lt. Col. Opiru before their released [...] that the rebel commanders said their aim to attack Pajule T/C was to discipline the people in the area and that the release was not because of pressure from UPDF but because they were innocent civilians (.) the October 10th attack was reported to have been commanded by Lokwiya Raska who is said to be the LRA's deputy army commander [...].³¹¹⁹

1359.Rwot Oywak testified that Vincent Otti introduced his soldiers, including Dominic Ongwen, Acel Calo Apar, and Raska Lukwiya. Rwot Oywak testified that Dominic Ongwen was the person who took abductees from Pajule. Rwot Oywak also testified that after arriving at the RV location, Dominic Ongwen went and spoke with Vincent Otti. John Lubwama stated that Rwot Oywak did not mention that he saw Dominic Ongwen at the RV location when he was debriefed on the attack; John Lubwama noted that he could not remember the other names mentioned by Rwot Oywak. Given the discussions of Rwot Oywak's testimony in relation to Dominic Ongwen, as well as the testimonies of P-0144, P-0249 and Dick Okot that they saw Dominic Ongwen at the

³¹¹⁶ Para. 156 above.

 $^{^{3117}}$ P-0009: $\underline{\text{T-81}}$, p. 14, line 4 – p. 16, line 5; D-0076: $\underline{\text{T-219}}$, p. 19, lines 7-9; P-0144: $\underline{\text{T-91}}$, p. 48, line 25 – p. 49, line 4; P-0249: $\underline{\text{T-79}}$, p. 37, line 25 – p. 40, line 6. See UPDF Logbook (Achol Pii), UGA-OTP-0242-6018, at 6160-61 (On 10 October 2003 at 13:00, Otti is recorded as stating to Kony that 'he is going to release the civilians who were abducted to carry food stuffs charged if he finishes talking to them as Kony agreed [...]').

³¹¹⁸ Police Intelligence Report, UGA-OTP-0256-0335. P-0126 authenticated the report. *See* P-0126 Second Statement, UGA-OTP-0264-0002-R01, at para. 68. P-0126 stated that he signed the report and the information contained within it was derived from human intelligence sources. *See* P-0126 Second Statement, UGA-OTP-0264-0002-R01, at para. 68. The Chamber is satisfied that the report reflects information known by the Ugandan police in relation to the 10 October 2003 Pajule IDP camp attack.

³¹¹⁹ Police Intelligence Report, UGA-OTP-0256-0335.

³¹²⁰ P-0009: <u>T-81</u>, p. 15, lines 9-11.

³¹²¹ P-0009: <u>T-81</u>, p. 16, lines 1-3.

³¹²² P-0009: <u>T-81</u>, p. 14, lines 2-3.

³¹²³ P-0047: T-115, p. 39, lines 10-12; P-0084 Statement, UGA-OTP-0139-0149-R01, at paras 128, 131.

³¹²⁴ See paras 1272-1274 above.

RV after the attack, ³¹²⁵ John Lubwama's testimony does not undermine the Chamber's view that Rwot Oywak is credible in this aspect of his testimony. The Chamber is satisfied that the evidence shows that Dominic Ongwen was present at the RV location after the attack.

1360. According to Rwot Oywak, Vincent Otti addressed the gathered abductees and said:

You, the people of Pajule, you thought that we would not come to you, haven't we come? Haven't we come? We are going to kill all of you [...]. We are fighting to overthrow the government, but at the moment you are supporting the UPDF. 3126

1361. The Chamber notes also that P-0084 corroborates Rwot Oywak's account, stating that after the attack, Rwot Oywak told him that Vincent Otti said that Pajule was attacked because:

First, the people of Pajule were not supporting the rebels but were accusing and reporting them to the government troops so that the rebels wanted to show them their power that the people are not protected and the rebels can do anything. Secondly, because the people were allowing their children to be recruited as LDUs. Thirdly, that the rebels did not want the peace talks, as earlier on they had been meeting in peace talks, because the government troops were fighting them. Fourthly, they thought that the people should leave the camps and go back to their homes. 3127

and that the UPDF was not able to properly protect the camps. Santo Oweka also testified about the address, and specifically that Vincent Otti stated that 'he was happy to meet the people and he was telling the people that people should leave the camps, people should not stay in the camps. The reason why they went to Pajule was not to go and fight and attack the civilians or cause any harm to the civilians, they went there to fight with their enemies, who were the UPDF soldiers'. Santo Oweka stated that Vincent Otti continued that the civilians would be caught in the crossfire if they stayed in the camps, that the LRA had been telling civilians to leave the camps but that the civilians did not heed the LRA's words and getting hurt 'is the result of the defiance'. Santo Oweka stated that Vincent Otti

³¹²⁵ P-0144: <u>T-91</u>, p. 48, lines 1-2; P-0249: <u>T-79</u>, p. 37, line 25 – p. 38, line 6; P-0067: <u>T-125</u>, p. 33, lines 21-25.

³¹²⁶ P-0009: <u>T-81</u>, p. 14, lines 4-6, lines 19-20; see also p. 24, lines 12-25.

³¹²⁷ P-0084 Statement, UGA-OTP-0139-0149-R01, at para. 127.

³¹²⁸ P-0138: T-120, p. 45, line 25 – p. 46, line 8.

³¹²⁹ D-0081: <u>T-220</u>, p. 28, lines 17-22.

³¹³⁰ D-0081: <u>T-220</u>, p. 28, line 23 – p. 29, line 6.

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- 1363. Dick Okot stated that Vincent Otti said that the LRA did not want people to stay in the camp and that they should stay in their homes. 3131 He testified also that an old man asked Vincent Otti why the rebels did not return home. 3132 According to Dick Okot, Vincent Otti responded that the LRA was in the process of overthrowing the Ugandan government and could therefore not come back home. 3133 Dick Okot testified that Vincent Otti also said that if the civilians continued to stay in the camps, the LRA would kill them. 3134
- 1364. The Chamber considers that the witnesses' testimony regarding Vincent Otti's speech is substantively similar. Each witness testifies that Vincent Otti indicated that the LRA reproached the civilians for settling in IDP camps set up by the government, and that there was a punitive nature to the LRA's attack on the camp. 3135
- 1365, P-0249 testified that Dominic Ongwen also addressed abductees saying anyone who tried to escape or dropped looted goods would be killed and that the abductees would be trained to be soldiers. 3136 The Chamber finds P-0249's account credible and notes that P-0249 testified that he moved with Dominic Ongwen's group for several weeks. Thus while it is unclear whether Dominic Ongwen spoke to the entire group of abductees taken from Pajule, 3137 the Chamber is satisfied that Dominic Ongwen at least spoke to the group of abductees in his custody.

After the fighters returned from the camp, some abductees remained in the LRA and were distributed to various units, including among Dominic Ongwen's group. 3138

1366. In the course of the retreat, some abductees managed to escape or were rescued, either during the course of the retreat with the arrival of the helicopter or in the weeks and months after their abduction.³¹³⁹ Older abductees were released the day after the attack,

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³¹³¹ P-0067: <u>T-125</u>, p. 34, lines 14-25.

³¹³² P-0067: <u>T-125</u>, p. 38, lines 2-7. 3133 P-0067: <u>T-125</u>, p. 38, lines 8-12.

³¹³⁴ P-0067: T-125, p. 38, lines 11-21.
3135 See sections IV.C.4 and IV.C.6.ii.a above, the Chamber's discussion of the LRA's objectives and policies and of the preparation for the attack on Pajule IDP camp.

³¹³⁶ P-0249: <u>T-79</u>, p. 39, line 23 – p. 40, line 6.

³¹³⁷ See P-0144: T-91, p. 48, line 25 – p. 49, line 4 (indicating that Vincent Otti alone spoke in the large gathering of abductees).

³¹³⁸ Para. 157 above.

³¹³⁹ P-0101: T-13, p. 26, lines 19-22 (testifying that when after the Pajule attack, a government helicopter came and shot at people, some civilians managed to escape); P-0008 Statement, UGA-OTP-0137-0002-R01, at para. 21 (stating that abductees, who managed to escape when the helicopter came, came back to camp on their own); P-0007 Statement, UGA-OTP-0147-0214-R01, at para. 20 (testifying that his neighbour Masimino Oyat had been

along with Rwot Oywak, and younger abductees were retained and continued with the LRA. 3140

1367. Witnesses report that the civilians that continued with the LRA were distributed into the households of LRA commanders. ³¹⁴¹ Abductees were also distributed to Dominic Ongwen. P-0309 testified that some civilians were distributed to Dominic Ongwen's household. ³¹⁴² P-0144's testimony is consistent with P-0309; he stated that the abductees were distributed to the various units, including those of Dominic Ongwen, Bogi and Raska Lukwiya. ³¹⁴³ P-0144 testified that there were girls and women among the abductees distributed within the LRA, including the abductees distributed to Dominic Ongwen. ³¹⁴⁴ P-0101, one of Dominic Ongwen's so-called 'wives', confirmed that she saw Dominic Ongwen return from the attack in Pajule with seven civilians he had abducted from Pajule, this included four young girls and three men. ³¹⁴⁵

1368.P-0006 testified that younger girls were taken to the commanders' 'wives' to act as babysitters, called *ting-tings* and older girls were given as 'wives' to the commanders.³¹⁴⁶

abducted by the LRA to carry sodas and returned the day of the attack saying that he had escaped when the government helicopter hovered over the escaping LRA and abductees).

3140 P-0372: T-148, p. 23, lines 12-14 (testifying that at the rendezvous point, mature abductees were released

while the younger abductees were retained), p. 54, lines 1-6 (testifying that the young boys abducted from Pajule remained with the LRA and moved along with them while the older ones were released); P-0006 Statement, UGA-OTP-0144-0072-R01, at paras 36, 45-47 (stating that at the LRA's meeting place she heard Rwot Oywak say to Vincent Otti that small children, women with children and old men and women could not work as soldiers for the LRA and he would take them back with him. Vincent Otti responded that the mothers with children and old persons would be released but the children would stay and be later released as they were needed to carry items looted from the camp. She heard Vincent Otti instruct his soldiers to count the people who would be released and the figure they came up with was about 160. The people released included mothers with children, small children, older people and Joseph Oywak. The rest of the people continued with the LRA); P-0101: T-13, p. 27, lines 3-18 (testifying the LRA released the elderly, weak, mothers, and those who could not walk, persons who were healthy and young were kept and moved on with the LRA); P-0144: T-91, p. 49, line 12 - p. 50, line 2 (testifying that Vincent Otti ordered that the older people should be released and the younger persons remain); P-0309: T-60, p. 68, lines 11-25, p. 69, lines 14-17 (testifying that some adults were released and returned to the camp with Joseph Oywak); P-0061 Statement, UGA-OTP-0144-0043-R01, at para. 40 (testifying that Rwot Oywak and some other people were released, including older people, very young children and some women); D-0076: T-219, p. 12, line 17 - p. 13, line 7 (testifying that the LRA and their abductees reached a gathering place where they were addressed by a man who introduced himself as Vincent Otti. Vincent Otti told Joseph Oywak that he would like him to take the elderly, weak and very young children back home. The LRA sorted the abductees; older, disabled or very young people were released with Rwot Oywak. Richard Otim and other abductees continued with the LRA).

³¹⁴¹ P-0309: <u>T-60</u>, p. 70, lines 12-14 (stating that the civilians who were not released remained behind and were distributed to the various homes of the commanders); P-0372: <u>T-148</u>, p. 54, lines 16-17 (testifying that some abducted boys were distributed to other brigades); P-0209: <u>T-160</u>, p. 34, lines 2-16 (testifying that six civilians abducted from Pajule were sent to Trinkle to be soldiers).

³¹⁴² P-0309: <u>T-60</u>, p. 70, lines 20-22.

³¹⁴³ P-0144: T-91, p. 51, line 3 – p. 53, line 16, p. 55, line 16 – p. 56, line 3.

³¹⁴⁴ P-0144: T-91, p. 66, line 15 – p. 67, line 7.

³¹⁴⁵ P-0101: T-13, p. 26, lines 9-13.

³¹⁴⁶ P-0006 Statement, UGA-OTP-0144-0072-R01, at paras 38-39.

Similarly, P-0372 testified that some girls abducted from Pajule were distributed to become 'wives'. 3147

1369. Witnesses reported that there were children younger than 15 years old among the abductees that remained with the LRA. P-0144 testified that younger abductees, from 11 to about 15 to 17 years old, were taken in as newly recruited members of the LRA. ³¹⁴⁸ P-0006 testified that the youngest abductees she saw were about twelve years old. ³¹⁴⁹ Richard Otim testified that the youngest civilian abductee from Pajule that he saw kept by the LRA was between 12 and 13. ³¹⁵⁰ P-0138 testified that he saw young people between the ages of 10 and 17 years old among the boys and girls abducted from Pajule who stayed behind. ³¹⁵¹ P-0138 stated that he could identify the ages of the abducted because he was able to identify when somebody was a child and some of them stayed with his group and he spoke to them and asked questions to determine their ages. ³¹⁵² P-0330 offered testimony consistent with these accounts, testifying that a 12 or 13 year old girl was one of the abductees not released by the LRA. ³¹⁵³

iv. Report of the attack to Joseph Kony

Vincent Otti, the overall commander for the attack, communicated the results of the attack to Joseph Kony on the LRA radio communication system.³¹⁵⁴

1370. The Defence argues that the '[Government of Uganda] failed to give the Prosecution ISO tape 694/G, which allegedly contains the intercept audio file from 10 October 2003'. According to the Defence, this audio file would confirm that Dominic Ongwen did not command the group which attacked Pajule trading centre and serves as corroborating evidence of his injury and state of punishment at the time of the attack. Indeed, the Chamber notes that while Tape 693 and Tape 695 are in the record, there is no Tape 694. However, the contents of Tape 694 can be gleaned from the relevant entries in

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3147 P-0372: <u>T-148</u>, p. 57, lines 13-16.
3148 P-0144: <u>T-91</u>, p. 49, line 12 – p. 50, line 2.
3149 P-0006 Statement, UGA-OTP-0144-0072-R01, at para. 47.
3150 D-0076: <u>T-219</u>, p. 34, lines 6-11.
3151 P-0138: <u>T-120</u>, p. 34, lines 8-12.
3152 P-0138: <u>T-120</u>, p. 47, lines 5-15.
3153 P-0330: <u>T-52</u>, p. 11, lines 1-3.
3154 Para. 158 above.
3155 See Defence Closing Brief, para. 315.
3156 Defence Closing Brief, para. 315.
3157 See sections IV.B.3.ii.c and IV.B.3.ii.d below.
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the ISO logbook, 3158 discussed as relevant also below. 3159 These logbooks suggests that the intercept evidence from 10 October 2003, discussed below, in which LRA commanders report on and discuss the Pajule attack, appear to have been recorded, in parts, on Tapes 693 and 694. 3160 The logbooks do not confirm the Defence's allegations and are consistent with the Chamber's findings, discussed in detail above, about Dominic Ongwen's role in the LRA's attack on Pajule IDP camp. Indeed the existence of this Tape 693, and its contents, as well as of the relevant ISO logbook entries concerning also Tape 694, makes the lack of Tape 694 somewhat irrelevant.

1371. A record of an intercepted radio communication – from Tape 693 – involving Vincent Otti and Joseph Kony and others speaking in the morning of 10 October 2003 of the Pajule IDP camp attack was discussed during these proceedings. In the communication, Joseph Kony castigates civilians, saying that 'civilians are very bad people' because they are the ones requesting for the army and they are the ones joining the 'home guard'. ³¹⁶¹ Vincent Otti describes an attack, saying:

[t]here are people who went to homes, there are also people who went to the homes and civilians and there are also people who went everywhere else, were there over [...] the way I have organised it if it is done like that, then it is good. Because I have planned that, going for the army will be hard so the bullets should start and go and loot, go to the centre, burn houses more than that of [Atiak] even all civilian homes should be burnt, anything that is found should be done over.³¹⁶²

1372. Vincent Otti and Joseph Kony then discuss the arrival and activity of an army aircraft at the scene of the attack. 3163 The Chamber notes that the transcripts of the radio communication do not expressly mention Pajule IDP camp, however given the day of the communication and the descriptions Vincent Otti provides, it is clear to the Chamber that

³¹⁶⁰ See ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0547-48 which refers to the communication at 8:00-8:35 to have been recorded on side B of Tape 693 and side A of Tape 694.

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³¹⁵⁸ ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0547-51.

³¹⁵⁹ See paras 1374-1381 below.

 $^{^{3161}}$ See the Chamber's discussion of enhanced audio recording, UGA-OTP-0247-1102 at section IV.B.3.ii.c above; P-0003 Tape 693 Transcript, UGA-OTP-0132-0105-R01, at 0134; P-0003: $\underline{\text{T-43}}$, p. 3, line 17 – p. 4, line 21; P-0138: $\underline{\text{T-120}}$, p. 65, line 25 – p. 68, line 14.

³¹⁶² See P-0003 Tape 693 Transcript, UGA-OTP-0132-0105-R01, at 0134; P-0003: <u>T-43</u>, p. 3, line 17 – p. 12, line 21. P-0003 explained that he understood the 'people' referenced by Otti to refer to the LRA. P-0003: <u>T-43</u>, p. 8, lines 9-17.

³¹⁶³ See P-0003 Tape 693 Transcript, UGA-OTP-0132-0105-R01, at 0135-36; P-0003: <u>T-43</u>, p. 3, line 17 – p. 12, line 21.

the commanders spoke of the Pajule IDP camp attack. This is further proven by the records of the communications in the logbooks prepared by ISO and UPDF officers.

- 1373. The Chamber notes the Defence argument that there exist 'extreme inconsistencies' in the various logbooks. ³¹⁶⁴ The Defence mischaracterises the contents of these logbooks. While they do not contain all of the same details or language, such differences can be expected of material made by interceptors working in different locations and taking contemporaneous records of radio communications. The Chamber is satisfied that the logbooks, discussed in detail below, contain contemporaneous written records of the radio communications, offer descriptions in line with the radio recording as described above, and connect the attack discussed therein to the attack on Pajule IDP camp.
- 1374. The following entry appears in a Soroti UPDF logbook entry for 10 October 2003, at 8:00:

Otii V. has info. Kony that he has deployed his [...] GRPs to go and attack a certain UPDF position and the centre and the mission and Otti V. also said he has instructed Raska who is the o/rall opn. Comdr to deploy as follows:

- → Dominic's/Bwona they shd attack the mission to loot drugs and other properties.
- →Koch-C Bogi shd attac the brrks of UPDF and then Kuma-Kech shd attack the centre and burn all the civilians houses. 3165
- 1375. The ISO logbook for 10 October 2003 at 8:00 to 8:35 noted the same conversation. It reports Vincent Otti telling Joseph Kony that he sent a 'big force to attack Pajule trading centre under the com[man]d of Lukwiya Raska', adding that they have not yet come back. The logbook records Joseph Kony as intervening by stating that 'Otti's main target would have been civilians b[ecau]se they are the ones making UPDF to continue following the LRA'. Vincent Otti is then recorded as explaining the setup of the attack to Joseph Kony:

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Defence Closing Brief, para. 315. The Defence also asserts that none of the logbooks list Dominic Ongwen as going to Pajule trading center, that more than half of the logbooks do not mention Dominic Ongwen, and that D-0134 corroborates that other commanders went to the Catholic mission, the barracks and the trading centre. In this context, the Chamber notes its above detailed discussion about its findings as to Dominic Ongwen's participation in the attack.

³¹⁶⁵ UPDF Logbook (Soroti), UGA-OTP-0254-1991, at 2117.

³¹⁶⁶ ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0547.

³¹⁶⁷ ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0547.

Otti told Kony that he deployed in all strategic points. That he send Onyee to go and attack the mission, Bogi to attack the barracks and Ociti Jimmy and Bwona [?] to attack the camps and he instructed them to burn all the houses there. Otti also said he deployed all types of support weapons for that attack and move so to target at mambas and gunships in case they are seen. [...] Otti said he instructed soldiers to target all the big pple in Pajule including Oywak and the priests' 3168

1376. The same logbook entry records that Joseph Kony's 'felt so happy with Otti's plan'. 3169

- 1377. Further, the same message was also logged by the UPDF in Achol Pii. The logbook for 10 October 2003 at 8:00 notes that Vincent Otti has informed Joseph Kony that he has made a 'very strong deployment' in 'various positions' under the commands of 'Raska Lukwiya, [...], Dominic and Kapere' to move and attack the mission, IDP camp, trading centre and the UPDF barracks, and deploy ambushes 'in all routes'. 3170 The logbook also records Vincent Otti as stating that he 'briefed the comdrs to burn all civs' houses in the places'.3171
- 1378, P-0138 identifies this radio communication as recording the exchange between Vincent Otti and Joseph Kony following the Pajule attack and discussing the attack. 3172 P-0138 and the LRA did not discuss the testified that attack on the radio before the day of the attack. 3173 P-0138 testified that the morning after the attack was planned, he heard Vincent Otti tell Joseph Kony that he had prepared soldiers to go for the attack. 3174
- 1379. Another intercepted radio recording contains an audio recording of Joseph Kony, Vincent Otti and others speaking on 13 October 2003, 3175 several days after the attack on Pajule IDP camp. In the intercepted communication, Vincent Otti and Joseph Kony discuss the

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³¹⁶⁸ ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0547-48. See also UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 1070 (the UPDF Logbook (Gulu) records the following: 'Otti informed Kony to have deployed many LRA rebels under the command of Brig. Lukwiya Raska to attack all positions in Pajule. He claimed that he heard some bombardment at 0600 hrs where by he is expecting a good result fm Lukwiya Raska.').

³¹⁶⁹ ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0548.

³¹⁷⁰ UPDF Logbook (Achol Pii), UGA-OTP-0242-6018, at 6159. In this context, the Chamber notes that the logbook also records Raska Lukwiya reporting to Otti later in the same day that he had looted a B10 weapon and had many abductees whom he had 'abducted this morning' and that 'he is moving separately with Dominic, Kapere and Ongyer'. UPDF Logbook (Achol Pii), UGA-OTP-0242-6018, at 6159-60.

³¹⁷¹ UPDF Logbook (Achol Pii), UGA-OTP-0242-6018, at 6159.

³¹⁷² P-0138: <u>T-120</u>, p. 54, line 8 – p. 65, line 24. ³¹⁷³ P-0138: <u>T-120-CONF</u>, p. 48, lines 10-14, p. 49, lines 6-12.

³¹⁷⁴ P-0138: T-120-CONF, p. 48, lines 13-16.

³¹⁷⁵ See Enhanced audio recording, UGA-OTP-0247-1110. See the Chamber's discussion on enhanced audio recording UGA-OTP-0247-1110, at section IV.B.3.ii.d above.

Pajule attack, the reaction of the government military forces and continue to discuss the presence and activity of an army aircraft during the attack.³¹⁷⁶ Joseph Kony laughs in response to Vincent Otti's reference of killings.³¹⁷⁷ Logbooks, prepared by UPDF and ISO officers, contain contemporaneous written record of the radio communication.

1380. The following entry of this conversation appears in a ISO logbook entry for 13 October 2003, at 11:00:

Kony asked Otti whether Oywak was among those pple they abducted. Otti said Oywak was among them. He said he talked to about 200-300 people who were abducted from Pajule and even show them the weapons they captured from UPDF. That when the gunships came they shot at it using PKM and other support weapons and this forced the gunships to fly very high in the sky. That civilians truly witness the strength of the LRA and also the weakness of the gunships. That they told him that for them they use to believe that the gunships was so terrible of LRA but now they have seen with their eyes that gunships are first nothing to LRA.³¹⁷⁸

1381.UPDF logbook entries in Gulu contain a similar description of the same conversation. 3179

1382.P-0138 testified that after the attack, he heard Vincent Otti give a report on the attack to Joseph Kony in which he discussed the Pajule attack, stating that approximately 200 to 300 people had been abducted and a B-10 gun captured. 3180

1383.D-0032 testified that the day after the attack he heard Vincent Otti giving a report on the military radio in which he stated that many civilians had been abducted in Pajule and some killed and houses burned and that Joseph Kony laughed in response. While the communication discussed above takes place several days after the attack, the Chamber is of the view that the witness described and corroborated the account heard in the intercepted radio communication.

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³¹⁷⁶ See Enhanced audio recording, UGA-OTP-0247-1110; P-0003 Tape 695 Transcript, UGA-OTP-0132-0243-R01, at 0265, 0267; P-0003: <u>T-43</u>, p. 12, line 22 – p. 17, line 25; P-0138: <u>T-120</u>, p. 54, line 8 – p. 65, line 24; P-0016: T-33, p. 53, line 13 – p. 55, line 10.

³¹⁷⁷ See Enhanced audio recording, UGA-OTP-0247-1110; P-0003 Tape 695 Transcript, UGA-OTP-0132-0243-R01, at 0265.

³¹⁷⁸ ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0562.

³¹⁷⁹ UPDF Logbook (Gulu), UGA-OTP-0254-0229, at 0237.

³¹⁸⁰ P-0138: <u>T-120</u>, p. 49, lines 19-24.

³¹⁸¹ D-0032: <u>T-201</u>, p. 29, line 19 – p. 30, line 5.

7. Attack on Odek IDP camp

i. Odek IDP camp

Odek IDP camp was situated in Odek sub-county, Omoro County, Gulu district and at the time of the attack between 2000 and 3000 people lived in the camp. ³¹⁸²

- 1384.Odek is located in Odek sub-county, Omoro County, in the Gulu district of Uganda. ³¹⁸³ In April 2004, the Odek IDP camp was located around Odek village, having been created in mid-2003 to protect civilians from frequent LRA attacks in the area. ³¹⁸⁴ The evidence indicates that there were between 2,000 and 3,000 residents in the camp at the time of the April 2004 attack. ³¹⁸⁵
- 1385. The Odek IDP camp received food from another IDP camp nearby at Awere, some humanitarian assistance from NGOs, in addition to subsistence farming undertaken by the residents. The Chamber refers to its earlier discussion of the LRA's policy to specifically target IDP camps to, amongst other things, obtain provisions. In this context, the Chamber notes LDU soldier Julius Nyeko's testimony that prior to the attack, food distribution had just taken place and he thought that '[the LRA] were also aware

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³¹⁸² Para. 159 above.

³¹⁸³ Agreed Facts, A3.

³¹⁸⁴ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 11; P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 13-15, 21.

³¹⁸⁵ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 16 (Mario Ottober, the Camp Leader at the time of the attack, did not remember the exact number of the camp residents at the time but estimated that there were about 2000 people residing in the Odek IDP camp); P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 15 (Zakeo Odora, one of the camp's leaders, testified that there were about 3000 people living in the camp at the time of the attack). *See also* P-0301 Incident Report, UGA-OTP-0249-0438-R01, at 0438 (P-0301's Incident Report listed the population of the camp at 2,600 persons); Mario Ottober provided two Odek Camp notebooks which listed the populations within the camp as over 6000 persons. UGA-OTP-0267-0180-R01 at 0181; UGA-OTP-0267-0182-R01, at 0183. Both of these notebooks are marked with the date '13/5/2005'. Mario Ottober indicated that these notations on the population of the camp were actually taken from January and February 2004. P-0274 Second Statement, UGA-OTP-0267-0174-R01, at paras 17, 22. Given the inconsistency between the date written on the notebooks and Mario Ottober's statement, as well as the fact that the witnesses, including Mario Ottober in an earlier statement, testified that the population of the camp was much less at the time of the attack, the Chamber places more weight on the testimony of the witnesses heard in these proceedings. This discrepancy does not undermine the Chamber's general view on Mario Ottober'scredibility.

³¹⁸⁶ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 13 (the residents of Odek IDP camp were receiving food from nearby Awere IDP camp); P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 19, 22 (the camp residents received food aid distributed once or twice a month depending on the amount of food rations and they also collected food from Awere camp); P-0325 Statement, UGA-OTP-0264-0242-R01, at paras 17-18 (local people dug for food and NGOs distributed food to the camp).

³¹⁸⁷ See section IV.C.4 above, the Chamber's discussion of the LRA's policy.

that food was being distributed monthly, and they also wanted to come and collect these food items from the civilians. So that's what [the LRA] did'. 3188

1386. There was a government military barracks comprised of grass thatched huts and located in the north-western area of the camp along the Gulu-Moroto road, just a few hundred meters from the civilian IDP camp. The Chamber noted the location of the barracks at the time of the Odek IDP camp attack during their site visit to the location of the former Odek IDP camp. 1990

ii. Joseph Kony's order to attack Odek IDP camp

Shortly before the attack on Odek IDP camp, Joseph Kony ordered that the people of Odek be attacked, to punish their perceived failure to support the LRA. Dominic Ongwen knew of Joseph Kony's order before the attack. ³¹⁹¹

1387. The Chamber heard consistent evidence from former LRA members to the effect that shortly before the attack on Odek IDP camp Joseph Kony ordered that Odek IDP camp be attacked. P-0410 testified that he was present at a gathering in Sudan sometime after the death of Tabuley, where Joseph Kony addressed LRA members, telling them that '[p]eople should come back to Uganda knowing that we were coming for work', and that 'the first place that should be attacked and used as an example, so that the people of Uganda can be aware that they [i.e., the LRA] have started working, was attacking Odek'. Joseph Kony stated that Odek was 'their home' and for that reason should be used as an example, because the people there did not like the rebels. Still according

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³¹⁸⁸ D-0066: <u>T-214</u>, p. 22, lines 1-8. *See* P-0252: <u>T-87</u>, p. 34, lines 9-15 (food had been distributed to the camp three days before the attack, so when the LRA arrived the food was still there).

³¹⁸⁹ See P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 15 (government soldiers were stationed at a barracks situated in the north-west part of the camp. The barracks was composed of grass thatched huts); P-0218 Sketch of Odek IDP camp, UGA-OTP-0238-0731-R01; P-0274 Sketch of Odek IDP camp, UGA-OTP-0244-3388-R01; P-0325 Sketch of Odek IDP camp, UGA-OTP-0264-0252-R01; P-0264: T-64, p. 56, lines 15-18 (the army quarters were to the west of the camp); P-0406: T-155, p. 47, line 25 – p. 48, line 3 (the barracks was close to the civilian camp); D-0066: T-214, p. 15, lines 12-18 (the IDP camp was about 200 metres away from the government barracks).

³¹⁹⁰ See Annex to the Registration into the Record of the Case of the Site Visit Report pursuant to Trial Chamber Decision ICC-02/04-01/15-1211 of 27 March 2018, 27 June 2018, ICC-02/04-01/15-1292-Anx, paras 16-17. ³¹⁹¹ Para. 160 above.

³¹⁹² P-0410: <u>T-151</u>, p. 27, line 18 – p. 28, line 21.

³¹⁹³ P-0410: <u>T-151</u>, p. 28, lines 22-23, p. 29, lines 15-18. P-0410 stated that 'their home' was a reference to the fact that Odek is Joseph Kony's birthplace.

to P-0410, Joseph Kony 'gave the commanders an order to start operation', and as soon as they came back to Uganda, they went and attacked Odek. 3194

- 1388. Similarly, D-0032 testified that he heard Joseph Kony talking on radio, telling commanders: 'My people are also stubborn', referring to the people of Odek, and saying that they needed to be punished someday. According to D-0032, this message was transmitted on radio a short time before the attack on Odek. As D-0032's testimony is based on his personal recollection of a specific radio communication, the Chamber accepts his evidence as truthful, even though the communication does not appear to have been recorded by the agencies that were intercepting radio communications at the time.
- 1389.P-0410 did not state that Dominic Ongwen was present for the gathering with Joseph Kony in Sudan. Similarly, D-0032's testimony does not provide a basis to conclude that the message was received by Dominic Ongwen at the time.
- 1390. However, P-0142's testimony indicates that by the time that concrete plans for the attack were being made, Joseph Kony's order had indeed already reached the ground. In particular, according to P-0142's testimony, Okwer told him, before the attack, that Joseph Kony had issued an order that Odek should be attacked. As discussed below, Okwer is one of the commanders consistently referred to by witnesses as having been involved in the Odek attack, including in its planning together with Dominic Ongwen. In light of Dominic Ongwen's role in the preparation of the attack on Odek IDP camp, as discussed below, the Chamber finds that the necessary inference is that Dominic Ongwen also knew of Joseph Kony's order.
- 1391.In this context, the Chamber notes the argument by the Defence that Dominic Ongwen did not receive an order to send soldiers to attack Odek, but that such an order from Joseph Kony was addressed directly to Ben Acellam.³¹⁹⁸ However, this submission is based on evidence merely stating that, on 30 April 2004, Ben Acellam was communicating on radio before Dominic Ongwen. From this, the Defence concludes that

³¹⁹⁴ P-0410: <u>T-151</u>, p. 28, line 24 – p. 29, line 1.

³¹⁹⁵ D-0032: <u>T-200</u>, p. 23, lines 13-23. It is noted that D-0032 specified that he heard Joseph Kony talk to the commanders in general and that he did not hear Joseph Kony issue instructions to a specific person.

³¹⁹⁶ D-0032: <u>T-200</u>, p. 25, lines 2-6.

 $^{^{3197}}$ P-0142: $\frac{\text{T-71}}{\text{T-71}}$, p. 4, line 3 – p. 5, line 11.

³¹⁹⁸ <u>Defence Closing Brief</u>, para. 376.

Ben Acellam 'was given the order to attack Odek, not Ongwen'. ³¹⁹⁹ The Chamber finds that this argument is purely speculative, not confirmed by any other evidence, and therefore unfounded.

1392. In any case, the Chamber also emphasises that the significance of any order by Joseph Kony specifically for the attack on the Odek IDP camp is limited. As discussed above, there is evidence that in early 2004, in the period before the Odek attack, Joseph Kony, on several occasions, called upon the LRA commanders to engage in attacks against civilians in Northern Uganda, including specifically against IDP camps. 3200 At times, Joseph Kony ordered that a specific location be targeted. 3201 But the majority of his orders to commanders during this period were more general. 3202 By the terms of those orders, it fell upon the commanders to determine the specific times and locations of attacks. 3203 For this reason, and considering the relevant charges as brought by the Prosecution in this regard, it is not decisive for the determination of Dominic Ongwen's criminal responsibility to establish conclusively that the attack on Odek took place pursuant to a specific order by Joseph Kony.

iii. Dominic Ongwen's order to attack Odek IDP camp

Dominic Ongwen decided that LRA soldiers under his command would attack Odek IDP camp. He coordinated with subordinate commanders and appointed them to lead the attack on the ground. Dominic Ongwen ordered the fighters to attack the camp in two groups, one focused on the military barracks in the camp and the other focused on the civilian areas. Dominic Ongwen and his subordinate commanders ordered LRA soldiers to target everyone they find at Odek IDP camp, including civilians, and also instructed them to loot food and abduct civilians. Dominic Ongwen ordered the selection of soldiers for the attack, and participated in a ritual and prayer before they set out. He encouraged the soldiers and repeated the orders to target everyone, including civilians, to loot and to abduct civilians. 3204

1393. Several insider witnesses were present when the plan to attack Odek was announced, at a gathering, to LRA members. Their testimonies elucidate the orders given to the attackers by Dominic Ongwen and the other commanders. The testimonies also indicate

³¹⁹⁹ See P-0125: <u>T-136</u>, p. 26, line 19 – p. 30, line 10.

³²⁰⁰ See section IV.C.4 above.

³²⁰¹ See paras 1118, 1119, 1136 above.

³²⁰² See section IV.C.4 above.

³²⁰³ See also para. 872 above.

³²⁰⁴ Para. 161 above.

that Dominic Ongwen was the person who decided that the attack would take place and set in motion the preparations.

- 1394.In particular, P-0410 stated that there was a gathering ('RV') where the groups from different places met and 'the commanders' gave the order to go to Odek. ³²⁰⁵ The Chamber refers to its analysis of P-0410's credibility, and in particular to its conclusion that P-0410's testimony that Vincent Otti and Buk Abudema were present for the Odek attack is not reliable, but that the issue does not have a general impact on the reliability of the evidence of P-0410. ³²⁰⁶
- 1395. In any case, in relation to Dominic Ongwen, the evidence of P-0410 is detailed and specific. P-0410 stated that he got to know Dominic Ongwen at the assembly, when he introduced himself. P-0410 testified that he heard Dominic Ongwen say that there would be an operation in Odek, and that the intention was 'to exterminate everything, everything in Odek'. P-0410 stated that other commanders also spoke, saying that 'nothing should be left alive', that '[e]verything should be exterminated, even ants, even flies', and that '[a]nything alive, anything you see in front of you that is alive should be shot and killed'. P-0410 also testified that Dominic Ongwen explained where people were going to go, how the attack was going to be done, and ordered to bring food from the camp. P-0410
- 1396. This corresponds to the testimony of P-0205, who stated that after crossing the Aswa River, Dominic Ongwen planned the attack on Odek. ³²¹¹ P-0205 stated that he was present when Dominic Ongwen addressed the soldiers who were to go to Odek, and that

³²⁰⁵ P-0410: <u>T-151</u>, p. 30, lines 10-22; <u>T-152</u>, p. 32, line 25 – p. 33, line 16, p. 35, lines 2-10. P-0410 also stated specifically that the LRA soldiers did not cross the Aswa River on the day of the attack; P-0410: <u>T-152</u>, p. 35, lines 11-15. P-0410 also testified that he had difficulty pinpointing the direction of Odek from the gathering place: 'It's difficult to point because at that time we were in the bush and it would be very difficult to even point the direction of your home. You will keep meandering while walking and you will not know which direction it was. It was difficult for me to point out which direction Odek was when we were at the riverbanks because the rebels do not move in a straight kind of movement. They can walk for about one or two hours, and you will not know the direction of your home. They don't move in a straight movement. So at that time it was difficult for me to point out the direction of Odek. Whenever you're moving, you keep on meandering. Sometimes you move ahead and then move backwards, and all that will confuse. You will not know how you have arrived in a certain place. So it's difficult for me to point out.' P-0410: <u>T-152</u>, p. 33, lines 4-16.

³²⁰⁶ See section IV.B.2.ii.b.xxiii above.

³²⁰⁷ P-0410: <u>T-151</u>, p. 33, line 22 – p. 34, line 8.

³²⁰⁸ P-0410: T-151, p. 34, lines 12-17.

³²⁰⁹ P-0410: <u>T-151</u>, p. 34, lines 17-21.

 $^{^{3210}}$ P-0410: $\overline{\text{T-151}}$, p. 35, line 21 – p. 36, line 18.

³²¹¹ P-0205: <u>T-47</u>, p. 41, line 25 – p. 42, line 4.

he heard Dominic Ongwen issue the order to 'go and destroy Odek completely' and to 'only leave bare ground'. ³²¹² P-0205 also testified that Dominic Ongwen asked to abduct 'good girls' and boys, and said that those who were not fit to be in the army should be killed instead. ³²¹³ P-0205 stated that he remained behind and did not go to Odek for the attack, ³²¹⁴

.³²¹⁵ The Chamber does not deem it necessary for the present purposes to resolve this discrepancy in the evidence. Due to P-0205's in Court testimony, the manner of recounting the events, as well as the corroboration by other witnesses, the Chamber finds that it is without bearing on the reliability of P-0205's evidence as to the preparations for the attack.

1397. Further corroboration of the fact that Dominic Ongwen ordered the attack on Odek IDP camp is provided by P-0054, who stated that 'when people were at a place called Orapwoyo, Ongwen instructed people to go and collect food from Odek'. ³²¹⁶ P-0054 specified that '[a]t that time there was a big problem of hunger so he invited Kalalang and other commanding officers and instructed them that since we do not have food people should go to Odek'. ³²¹⁷ While P-0054 initially stated that he did not remember any further order by Dominic Ongwen, he did confirm as truthful his prior testimony to the effect that Dominic Ongwen also ordered to 'attack the civilians'. ³²¹⁸ P-0054 stated that he was present when Dominic Ongwen gave this instruction. ³²¹⁹

1398. Still further, P-0264 testified that there was an 'RV' before the Odek attack, attended by soldiers from all three battalions of Sinia, as well as from Sinia headquarters. P-0264 stated that the RV took place the day before the attack. He stated that the LRA came

³²¹² P-0205: <u>T-47</u>, p. 43, lines 12-21. P-0205 stated that the gathering took place after crossing the river in the Lalage area, P-0205: T-50-CONF, p. 41, line 15 – p. 42, line 3. *See also* UGA-OTP-0233-1386, a sketch produced by P-0205 during his interview with the Prosecution.

³²¹³ P-0205: <u>T-47</u>, p. 44, lines 3-9. *See also* <u>T-50</u>, p. 45, lines 3 – p. 46, line 1. The Chamber accepts P-0205's statement that his prior statement to the Prosecution was incomplete in relation to Dominic Ongwen's order in respect of civilians because he had forgotten at the time.

³²¹⁴ P-0205: <u>T-47</u>, p. 44, lines 10-13.

³²¹⁶ P-0054: <u>T-93</u>, p. 15, lines 8-11; <u>T-94</u>, p. 19, lines 17-23.
3217 P-0054: <u>T-93</u>, p. 16, lines 6-12.
3218 P-0054: <u>T-93</u>, p. 18, line 23 – p. 19, line 8.
3219 P-0054: <u>T-93</u>, p. 16, lines 19-23.
3220 P-0264: <u>T-64</u>, p. 38, lines 11-21.
3221 P-0264: <u>T-64</u>, p. 41, lines 6-16.

from the east, but was not able to name the location where the RV took place.³²²² P-0264 saw Dominic Ongwen at the RV ³²²³ and stated that Ben Acellam, his immediate commander, returned from the planning meeting of the commanders and informed his escorts and security, including P-0264, of the plan.³²²⁴ P-0264 stated that they were told that there was going to be an operation involving looting food, warned that there would be government soldiers present, and told that if they found a weapon they should recover it.³²²⁵ Asked whether anything was said about civilians, P-0264 stated that all the commanders, 'even Dominic Ongwen', said that people who can be recruited into the LRA should be abducted, and also that civilians should be abducted to carry the looted food.³²²⁶

1399. Two other witnesses offer testimony based on personal observation that confirms P-0264's testimony that there was a planning meeting of the commanders before the wider announcement of the plan to attack Odek. First, P-0142 stated that he heard a gathering of Dominic Ongwen and the commanders who were designated for the attack, during which Dominic Ongwen gave the order to 'attack the soldiers' and 'loot food'. 3227 The Chamber notes that P-0142 explained that whereas he himself did not participate in the discussion, he was at a distance where he could hear what was being discussed. P-0142 stated that the briefing took place 'around Omel Kuru, in an area called Kanu'. Second, P-0330 testified that he saw the commanders 'huddled together' before the attack on Odek. Besides Dominic Ongwen, there were Okello, Kalalang and Bomek. P-0330 stated that he heard them say that they should 'select a standby', and that Kalalang would be in charge of this. P-0330 stated that at this point they were on the Gulu side of the Aswa River.

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3222 P-0264: T-66, p. 58, lines 2-17. See also p. 58, line 24 – p. 59, line 8.
3223 P-0264: T-64, p. 39, line 23 – p. 40, line 1.
3224 P-0264: T-64, p. 41, line 17 – p. 42, line 2.
3225 P-0264: T-64, p. 41, lines 12-15.
3226 P-0264: T-64, p. 44, lines 4-15.
3227 P-0142: T-70, p. 26, line 18 – p. 28, line 7, p. 30, line 21 – p. 31, line 2, p. 36, lines 7-9.
3228 P-0142: T-70, p. 28, lines 3-7.
3229 P-0142: T-70, p. 28, line 24 – p. 29, line 3.
3230 P-0330: T-52, p. 13, lines 19-24.
3231 P-0330: T-52, p. 13, line 25 – p. 14, line 3.
3232 P-0330: T-52, p. 14, lines 7-12.
3233 P-0330: T-52, p. 16, lines 14-18.
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- 1400. According to the evidence, after the initial announcement of the attack, there was a selection of fighters who were to participate. This selection is described by P-0410, who stated that after the RV finished, people returned to their respective groups, and each individual commander selected soldiers to go for the attack. P-0410 referred to two groups of people being selected, one to go to the barracks and the other to go to the camp. 2235
- 1401. Similarly, P-0314 stated that soldiers were selected from various households and told that they were going to 'collect food items'. 3236 According to the witness the selected soldiers, at that point in time, had not been told yet where exactly they would be going. 3237 As discussed above, however, other witnesses testified to the effect that there had already been an announcement that Odek would be the target prior to the selection of the soldiers. The Chamber is of the view that this discrepancy in the evidence could be explained by the fact that, for any reason, P-0314 may not have been present at the previous announcement. In any case, the Chamber is satisfied that the evidence shows that fighters were selected and told that they were to 'collect food'.
- 1402. P-0340 similarly testified that he heard that there was a 'standby', even though he did not know at the time what that meant. Late in the evening, his commander Mukwaya, among others, started selecting people. The witness asked Mukwaya where they were going, and Mukwaya replied that they were going to collect food. P-0340's testimony, given from his personal viewpoint, fits into the narrative established by the relevant witness testimonies altogether.
- and participated in the Odek attack, stated that before the attack, they were staying in 'an isolated area in Gulu'. 3241 She stated that there was a gathering of soldiers at Dominic Ongwen's. 3242 Then she heard the soldiers whistling and

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3234 P-0410: <u>T-151</u>, p. 36, lines 19-23.

3235 P-0410: <u>T-151</u>, p. 36, line 24 – p. 37, line 1.

3236 P-0314: <u>T-75</u>, p. 3, lines 1-16.

3237 P-0314: <u>T-75</u>, p. 3, lines 7-10.

3238 P-0340: <u>T-102</u>, p. 26, line 23 – p. 27, line 1.

3239 P-0340: <u>T-102</u>, p. 27, lines 2-3.

3240 P-0340: <u>T-102</u>, p. 27, lines 6-8.

3241 P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 109.
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³²⁴² P-0352 Statement. UGA-OTP-0260-0315-R01, at para. 109.

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came and told her to leave the things she usually carried because she was going on a trip. 3243 Soldiers and people from the houses of different leaders gathered. 3244

1404. There is also consistent evidence of a ritual and prayer, which followed the selection of soldiers. P-0410 testified that all soldiers who were going to the barracks were smeared with oil, and told to go bare-chested. 3245 There was also a prayer. 3246 P-0309 stated that as the soldiers were gathered, Dominic Ongwen led a short prayer and sprinkled water mixed with oil on the soldiers as a 'blessing for [them] to go to fight'. 3247 P-0309 gave the location of this gathering as 'in the bushes in Loyo Ajonga'. 3248 Asked about when it took place in relation to the attack itself, P-0309 stated that they moved for about three or four hours before reaching Odek. 3249 P-0314 also testified that there was a prayer and that the soldiers were 'sprinkled [...] with some kind of water'. 3250 P-0264 also mentioned that soldiers were anointed before setting off for Odek. 3251

1405. At this time, Dominic Ongwen addressed the soldiers and gave further instructions. P-0410 stated that Dominic Ongwen and 'all of them' were there, encouraging people and telling them to be bold, and that if they followed instructions, nothing would happen to them. 3252 Asked specifically about what Dominic Ongwen said or did on this occasion, P-0410 stated that Dominic Ongwen gave instructions to go to the battlefield and not backtrack, to not fire the gun before instructions are given, as well as to 'be merciless', to 'exterminate everything', and to come back with foodstuffs. 3253 Similarly, P-0309 testified that Dominic Ongwen told the soldiers that they were going to 'work', which P-0309 understood as fighting. 3254 P-0372 testified that before the Odek attack, Dominic Ongwen spoke to the soldiers selected for the attack and said that he was going to attack

³²⁴³ P-0352 Statement, UGA-OTP-0260-0315-R01, at para, 109. ³²⁴⁴ P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 109.

³²⁴⁵ P-0410: <u>T-151</u>, p. 37, lines 2-16.

³²⁴⁶ P-0410: <u>T-151</u>, p. 37, lines 8-9.

³²⁴⁷ P-0309: <u>T-60</u>, p. 74, lines 17-23.

³²⁴⁸ P-0309: <u>T-60</u>, p. 75, lines 7-21.

³²⁴⁹ P-0309: <u>T-60</u>, p. 75, lines 22-24.

³²⁵⁰ P-0314: <u>T-76</u>, p. 57, lines 3-8.

 $^{^{3251}}$ P-0264: $\overline{\text{T-64}}$, $\hat{\text{p}}$. 44, line 24 – p. 45, line 8.

³²⁵² P-0410: <u>T-151</u>, p. 37, lines 18-23.

³²⁵³ P-0410: <u>T-151</u>, p. 38, line 8 – p. 39, line 3.

³²⁵⁴ P-0309: <u>T-60</u>, p. 74, line 24 – p. 75, line 3.

and to loot Odek. ³²⁵⁵ According to P-0372, Dominic Ongwen also spoke about the division of soldiers into two groups, one to go to the camp, another to the barracks. ³²⁵⁶ P-0406 testified that he heard Dominic Ongwen address the soldiers before the Odek attack, and that the order Dominic Ongwen gave was that 'people from 18 under should be abducted, food should be taken, and the camp should be burned and the barracks should be attacked'. ³²⁵⁷ P-0406 specified that Dominic Ongwen addressed the soldiers at approximately 10:00 or 11:00 hours on the day of the attack. ³²⁵⁸ As to the location, P-0406 stated that this happened after crossing the Aswa River leaving Pader and entering into Gulu, adding that he did not know the more specific location. ³²⁵⁹ P-0314 similarly said that Dominic Ongwen addressed the selected people on the day of the attack before they set off, telling them to 'abduct some children' and 'bring food items'. ³²⁶⁰

1406. As can be seen above, every witness described in their own words the location of the above events. Some witnesses understandably stated that they were not able to tell the precise location, because they were unfamiliar with the area or due to the LRA's practice of meandering movement, while others gave more or less precise geographical references. Considering that the gathering took place in the bush, which is confirmed by all witnesses, the Chamber finds their inability to provide a precise description of the location natural and expected. Bearing this in mind, the Chamber deems the witness evidence on this point compatible, and finds, taking into account the various geographical references given by the witnesses, that the gathering took place at a location in the bush, west of the Aswa River and northwest of Odek, at a distance of several walking hours. Accordingly, the argument of the Defence to the effect that the evidence of witnesses called by the Prosecution is inconsistent as to the location of the RV prior to the Odek attack is rejected. In this regard, the Chamber recalls that it rejects as unreliable all direction-finding evidence. 3262

 $^{^{3255}}$ P-0372: $\underline{\text{T-}148}$, p. 40, line 11 – p. 42, line 6. Asked where the standby and selection for the Odek attack took place, P-0372 responded: '[w]e were moving here and about. I – it's a place which is called Alim, if I have not forgotten. It was in the bush'; P-0372: $\underline{\text{T-}149}$, p. 73, lines 8-11.

³²⁵⁶ P-0372: <u>T-148</u>, p. 42, lines 7-11.

³²⁵⁷ P-0406: <u>T-154</u>, p. 42, line 23 – p. 43, line 19.

³²⁵⁸ P-0406: T-154, p. 44, lines 5-9.

³²⁵⁹ P-0406: T-155, p. 42, lines 5-11.

 $^{^{3260}}$ P-0314: $\frac{\text{T-75}}{\text{T-75}}$, p. 3, line 25 – p. 4, line 12.

^{3261 &}lt;u>Defence Closing Brief</u>, paras 353-364.

³²⁶² See section IV.B.3.iii above.

1407. The Chamber has paid particular attention to the content of the instruction given by Dominic Ongwen to the soldiers. Again, in the view of the Chamber, the fact that the witnesses expressed in their own terms their recollection is natural and expected. They described or emphasised different specific orders. Nonetheless, and contrary to the Defence's argument, 3263 the Chamber does not find that witnesses contradict each other on the point or that their evidence is otherwise inconsistent. The Chamber considers that the evidence before it justifies and necessitates the finding that Dominic Ongwen, as well as other commanders, ordered LRA fighters to target everyone they find at Odek, including civilians. This is plainly the content of the testimony of P-0205 and P-0410, who stated, respectively, that the order was to 'destroy Odek' and to 'exterminate everything', and who are corroborated by P-0054. Furthermore, there is consistent evidence from multiple witnesses that the orders included looting food and abducting civilians. At the same time, the Chamber makes reference to P-0340's elaboration on what it meant, in that particular context, to 'collect food':

They don't tell you there is going to be a fight. But when you ask, they will tell you we are going to collect food. Now, going to collect food, you do not know if you will go and they will give you or you go line up in a queue and you collect the food. When you go there, you have to fight, you have to shoot at them, and they shoot at you because they are the people who protect that food. So when we reached there, other people went to the barracks and other people went to the camp. That is what collecting food means. ³²⁶⁴

1408. The conclusion that the purpose of the Odek attack, as designed by Dominic Ongwen, was indeed to target civilians is further supported by the fact that, as stated by several witnesses, the attackers were split into two groups – one which headed to the UPDF barracks, and another which went directly into the civilian camp.

iv. Departure of attackers for Odek

Afterwards, the LRA fighters left for Odek. The LRA fighters who went to attack Odek IDP camp were subordinate to Dominic Ongwen. They included fighters belonging to Sinia brigade, as well as two fighters from the Gilva brigade. The subordinate

³²⁶⁴ P-0340: <u>T-103</u>, p. 49, lines 8-19.

³²⁶³ Defence Closing Brief, paras 365-370.

commanders Dominic Ongwen sent to attack Odek IDP camp included Ben Acellam, Okwer and Kalalang. 3265

- 1409. After the events described above, the soldiers left for Odek. 3266 The consistent testimony of P-0264, P-0340 and P-0410 is that the soldiers walked during the day before stopping briefly in the afternoon at a location nearby Odek. 3267 P-0314 testified that they did not cross the Aswa River on the way from the RV to Odek. P-0410 testified that two civilians on their way to their gardens were abducted and questioned about the number of soldiers at the barracks of the camp. P-0314 testified that as the attackers were approaching the barracks of Odek camp, Abongomek addressed the soldiers, dividing people into two groups, one to attack the barracks, and the other to attack the camp. 3270
- 1410. The evidence shows that the LRA soldiers who attacked Odek IDP camp on 29 April 2004 were subordinate to Dominic Ongwen. Former LRA members P-0142, P-0352, P-0205, P-0372, P-0264 and P-0314, all part of Dominic Ongwen's Sinia brigade at the time of the attack on Odek IDP camp, ³²⁷¹ testified that the fighters sent to the camp were part of the Sinia brigade and under Dominic Ongwen's command. ³²⁷²

³²⁶⁵ Para. 161 above.

³²⁶⁶ P-0410: <u>T-151</u>, p. 37, line 17; P-0309: <u>T-60</u>, p. 74, lines 17-23.

³²⁶⁷ P-0264: <u>T-66</u>, p. 63, lines 1-22 (stating that the attackers waited for the sun to settle down before they went for the attack); P-0340: <u>T-102</u>, p. 27, lines 9-12; P-0410: <u>T-151</u>, p. 31, lines 1-3. P-0352 also stated that the attackers arrived at Odek in the evening; P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 114; <u>T-68</u>, p. 10, line 25 – p. 11, line 5. P-0054 testified that the attackers left the point where instructions were given at about 14:00-15:00 hours and arrived at Odek at around 19:00; P-0054: <u>T-93</u>, p. 15, lines 8-12; <u>T-94</u>, p. 21, lines 6-8. In the assessment of the Chamber, this is not a significant discrepancy in estimating the time, in particular because the chain of events is otherwise well established.

³²⁶⁸ P-0314: <u>T-76</u>, p. 61, line 25 – p. 62, line 1.

³²⁶⁹ P-0410: <u>T-151</u>, p. 31, lines 4-7. P-0410's testimony is corroborated by the testimony of P-0340; P-0340: <u>T-102</u>, p. 26, line 23 – p. 27, line 13. *See also* P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 18; <u>T-90</u>, p. 61, lines 11-21.

 $^{^{3270}}$ P-0314: $\overline{\text{T-75}}$, p. 6, lines 5-9. P-0314 explained that the same person is also referred to as Labongo, P-0314: $\overline{\text{T-75}}$, p. 16, line 22 – p. 17, line 1.

³²⁷¹ P-0142: <u>T-70</u>, p. 16, lines 6-8, p. 17, lines 3-10 (P-0142 had the rank of a second lieutenant in the Sinia brigade); P-0352 Statement, UGA-OTP-0260-0315-R01, at paras 25, 55, 108 (P-0352 participated in the attack on Odek IDP camp and was part of Sinia brigade); P-0205: T-47-CONF, p. 9, lines 18-22, p. 36, line 13 – p. 37, line 6; P-0372: <u>T-148</u>, p. 14, lines 18-21, p. 30, lines 14-19; <u>T-149</u>, p. 2, line 23 – p. 5, line 10 (while not technically assigned to Sinia, P-0372 was staying with Sinia and was under Dominic Ongwen's command at the time of the attack on Odek IDP camp); P-0264: <u>T-64</u>, p. 33, lines 1-2, p. 37, lines 1-19 (P-0264 was in the Sinia brigade under Dominic Ongwen); P-0314: <u>T-74</u>, p. 23, lines 15-19 (P-0314 was a fighter in Sinia under Dominic Ongwen).

Sinia brigade, a mixture of fighters from the three Oka, Terwanga and Siba battalions); P-0142: T-71, p. 3, lines 18-23 (Dominic Ongwen was the brigade commander of Sinia at the time of the attack); P-0352 Statement, UGA-OTP-0260-0315-R01, at paras 63, 109 (while the LRA was staying in an isolated area in the Gulu area, P-0352 observed LRA fighters gathered at Dominic Ongwen's residence. She then saw fighters whistling and was told by one of Dominic Ongwen's direct subordinates, that she was going on a trip. Fighters and people from the households of different LRA leaders in Sinia gathered together and started moving to attack Odek); P-0205:

- 1411.P-0142 testified that two fighters from the Gilva brigade participated in the attack.³²⁷³ Apart from these Gilva fighters, the Chamber is of the view that no credible evidence indicates that other LRA groups participated in the attack on Odek IDP camp. 3274
- 1412. The charges contain the allegation that Okwonga Alero commanded, together with Dominic Ongwen and others, the Odek attack. 3275 However, in light of the Chamber's findings in relation to the credibility of P-0245, this allegation is not established by the evidence.3276

T-47, p. 41, line 25 - p. 43, line 19; T-50-CONF, p. 28, lines 8-11 (some of the fighters that participated in the Odek IDP camp were from the Terwanga battalion of Sinia brigade. About six fighters and four officers were sent to join the attacking force from that battalion); P-0372: T-148, p. 42, lines 14-19 (part of the Sinia brigade went to attack Odek IDP camp); P-0264: T-64, p. 65, lines 2-4 (Dominic Ongwen was the overall commander in charge of the LRA fighters P-0264 saw come back from the Odek attack); P-0314: T-74, p. 61, lines 16-20; T-75, p. 2, line 24 - p. 3, line 24 (Dominic Ongwen sent the LRA fighters that went to attack Odek IDP camp. Otto Signaller selected P-0314 among six fighters from his household. Some other fighters were selected from Kalalang's household. Kalalang was Dominic Ongwen's 2IC). See also P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 35 (a former abductee told P'Oyoo Lakoch that Dominic Ongwen's group was operating in the Odek area at the time of the attack); P-0231: T-122, p. 68, line 13 - p. 69, line 4 (along with others in a gathering P-0231 was told by Joseph Kony that in 2004 the Sinia brigade attacked Odek, shot at fighters, killed many people and actually overran the barracks. At the time P-0231 was told about this Odek attack, Dominic Ongwen was the brigade commander of Sinia brigade); P-0085: <u>T-158</u>, p. 42, line 8 – p. 43, line 9; <u>T-159</u>, p. 29, line 21 – p. 30, line 2 (soon after the attack, P-0085 heard over Mega radio that Odek had been attacked by Odomi's group. The radio reported that Odomi's group had attack the barracks, seized weapons and burned down the barracks and houses. A week and a few days later, he and his group met Dominic Ongwen in an area known as Te Atoo Opin and he asked Dominic Ongwen about the attack on Odek. P-0085 testified that he did not recall seeing any other commander with Dominic Ongwen. P-0085 testified that Dominic Ongwen stated that he had sent his people and they attacked the barracks, burned houses and took guns); P-0205's annotated Acholi Sub-Region Planning Map, UGA-OTP-0233-1386.

³²⁷³ P-0142: T-72, p. 67, lines 5-7 (Ojara Abin and Abonga Won Dano, two fighters from the Gilva brigade

participated in the attack on Odek IDP camp).

3274 In this regard, the Chamber notes P-0410's testimony that 'most groups' participated and all the senior commanders went. See P-0410: T-151, p. 30, lines 15-21, p. 41, lines 5-11, p. 42, lines 1-11. The Chamber notes its assessment of P-0410's testimony above. The Chamber also notes that P-0410 is the only witness to testify to the presence of these other commanders and groups. In the Chamber's view, the witness's testimony is not reliable in this regard. See also P-0252: T-89, p. 20, lines 13-19 (According to P-0252, who was abducted from Odek, during the retreat, there were no senior commanders from any other group apart from Dominic Ongwen's. He testified that apart from fighters from Dominic Ongwen's group, he saw no other group coming from Odek). The Chamber considers it reasonable that P-0252 would not have noticed the two solitary fighters from Gilva. However, the Chamber expects that the witness would have noticed had there been other large contingents of fighters.

³²⁷⁵ Para. 27 of the charges (Confirmation Decision, p. 77).

³²⁷⁶ See section IV.B.2.ii.a.xi above. It is noted that in the Prosecution's Pre-Trial Brief, P-0245 was the only witness cited for the proposition that Okwonga Alero participated and that the attack on Odek was a joint attack between Sinia and Trinkle brigades. See Prosecution Pre-Trial Brief, paras 334, 336, 339. Similarly in the Prosecution's Closing Brief, P-0245 is given as the sole basis for allegations of Okwonga Alero's involvement in the Odek attack. See Prosecution Closing Brief, paras 197, 287. It is also noted that P-0142 and P-0406, whose evidence indicates that they knew Okwonga Alero, were asked about his involvement in the Odek attack, and did not confirm it. See P-0142: <u>T-72</u>, p. 66, line 13 – p. 67, line 4; P-0406: <u>T-155</u>, p. 52, lines 11-19. See also P-0309: <u>T-63</u>, p. 16, lines 24-25.

- 1413. As to the command of the attack on the ground, consistent and mutually corroborating testimony shows that the subordinate commanders Dominic Ongwen sent to attack Odek IDP camp included Ben Acellam, ³²⁷⁷ Okwer³²⁷⁸ and Kalalang. ³²⁷⁹
 - v. Dominic Ongwen's on-ground participation in the attack

Dominic Ongwen moved with the attackers in the direction of Odek IDP camp. He did not enter Odek IDP camp with the fighters sent to attack. 3280

- 1414. It is alleged in the charges that Dominic Ongwen 'commanded and coordinated the attack on the ground' in Odek IDP camp. The Prosecution alleges that Dominic Ongwen personally led the attack on the ground, accompanied by senior Sinia brigade officers. The Defence contends that the Prosecution has failed to present evidence which demonstrates that Dominic Ongwen, *inter alia*, led LRA personnel in the attack on Odek IDP camp. The Defence further suggests that Dominic Ongwen could not have walked the distance to Odek because he had been injured. The Defence further suggests that Dominic Ongwen could not have
- 1415. The Chamber notes that the evidence in relation to Dominic Ongwen's injury does not indicate that he would have been physically incapable of walking to Odek IDP camp attack. The Chamber heard varying testimony about Dominic Ongwen's participation in the attack, particularly from witnesses P-0054, P-0142, P-0205, P-0264, P-0309, P-0314, P-0330, P-0340, P-0352, P-0406 and P-0410. Below, the Chamber discusses each witness's account. These witnesses were fighters in Sinia brigade who participated in the attack on Odek IDP camp. The Chamber notes its above individualised assessments of these witnesses' testimonies. At issue for the Chamber is the reliability of this aspect of the witnesses' testimony. As a general matter, the Chamber recalls that not relying on a

 $[\]frac{3277}{1}$ P-0142: $\frac{7-70}{1}$ p. 25, lines 19-22; P-0205: $\frac{7-47}{1}$ p. 43, line 22 – p. 44, line 2; P-0264: $\frac{7-64}{1}$ p. 47, lines 10-18. $\frac{3278}{1}$ P-0142: $\frac{7-70}{1}$ p. 25, lines 19-22; P-0205: $\frac{7-47}{1}$ p. 43, line 22 – p. 44, line 2; P-0264: $\frac{7-64}{1}$ p. 72, lines 2-8;

[;] P-0410: <u>T-151</u>, p. 41, lines 5-14. The witnesses refer to 'Okwer', 'Okwer', or 'Okwee'. Given the overwhelming similarity in the names, and that the transcripts of the proceedings show a phonetic spelling of the name, the Chamber is of the view that they spoke of the same person, Okwer.

 $^{^{3279}}$ P-0205: $\underline{\text{T-47}}$, p. 43, line 22 – p. 44, line 2; P-0372: $\underline{\text{T-148}}$, p. 44, line 22 – p. 45, line 20; P-0264: $\underline{\text{T-64}}$, p. 38, line 25 – p. 39, line 5.

³²⁸⁰ Para. 162 above.

³²⁸¹ Para. 29 of the charges (<u>Confirmation Decision</u>, p. 78.

³²⁸² Prosecution Closing Brief, paras 256, 258-265.

Defence Closing Brief, paras 338, 359-363.

³²⁸⁴ Defence Closing Statement: <u>T-258</u>, p. 91, lines 8-11.

³²⁸⁵ See paras 1020-1079 above, the Chamber's discussion of Dominic Ongwen's position within the LRA.

witness's testimony in this regard does not necessarily undermine other aspects of the witness's testimony.

1416.P-0054 testified that Dominic Ongwen commanded a group of LRA fighters into the centre of Odek IDP camp during the attack. 3286 P-0054 testified that a recruit, accompanied him to Odek and went to the centre to loot food with Dominic Ongwen's group of attackers while P-0054 himself went to attack the barracks with other fighters and did not go to the centre. 3287 The source of P-0054's information about Dominic Ongwen's movements is not clear. It is significant to the Chamber that the witness does not testify to seeing Dominic Ongwen within the camp himself. P-0054 also testified to seeing Dominic Ongwen in the group as they were retreating from Odek IDP camp. 3288

1418.P-0309 testified that he saw Dominic Ongwen in the group moving to go to Odek IDP camp and that '[w]hen we were entering Odek camp, I did not look to see where he was, I – I ran, when we reached closer, we ran and split into smaller groups. I was among those who ran to the centre. At that point I did not know where he was'. ³²⁹² P-0309 stated that he did not see Dominic Ongwen in the centre of the camp and did not look to see where Dominic Ongwen was as the fighters were entering Odek; he next saw Dominic Ongwen after he and the other attacking forces had left Odek IDP camp and were

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<sup>3286</sup> P-0054: <u>T-93</u>, p. 15, lines 21-22, p. 19, line 24 – p. 20, line 2, p. 53, lines 13-21.

<sup>3287</sup> P-0054: T-93-CONF, p. 24, line 10-15, p. 26, lines 9-11; <u>T-93</u>, p. 19, lines 13-14, p. 53, line 24 – p. 54, line 6; <u>T-94</u>, p. 23, lines 1-3.

<sup>3288</sup> P-0054: <u>T-93</u>, p. 16, lines 1-3; <u>T-94</u>, p. 24, lines 6-23.
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 3292 P-0309: $\underline{\text{T-60}}$, p. 77, line 1 – p. 78, line 5.

 $^{^{3290}}$ P-0340: $\underline{\text{T-}102}$, p. 34, line 21 – p. 35, line 12; $\underline{\text{T-}103}$, p. 49, line 20 – p. 50, line 10. 3291 P-0340: $\underline{\text{T-}102}$, p. 28, line 21 – p. 29, line 3; $\underline{\text{T-}103}$, p. 47, line 24 – p. 48, line 1.

retreating back into the bush.³²⁹³ P-0309 also did not see Dominic Ongwen within Odek IDP camp during the attack.³²⁹⁴

- 1419.P-0410 testified that all the top commanders went to attack Odek IDP camp, including Dominic Ongwen; he believed Dominic Ongwen was at the attack because all the top commanders went there. However, P-0410 indicated that he did not see Vincent Otti or Dominic Ongwen in the camp during the attack. P-0410 testified that he actually saw Dominic Ongwen in the pre-attack ceremony and did not see him again until after the returning fighters gathered after the attack. Signary Given that P-0410 did not see Dominic Ongwen in the camp, and merely speculates that he would have been there, the Chamber does not put any weight on this aspect of his testimony.
- 1420.P-0264 testified that while Dominic Ongwen moved with the group that went to attack the camp but did not enter the camp, Dominic Ongwen stayed about a football pitch and a half span outside of the camp while the other attackers went into the camp.³²⁹⁸
- 1421.P-0142, a Sinia fighter who himself did not participate in the Odek attack but stayed in the RV location, testified that Dominic Ongwen remained with the rest of the group while the fighters left to attack Odek.³²⁹⁹ According to P-0142, the LRA left behind at the preattack gathering point, including Dominic Ongwen, stayed in their position for a whole day until the next day when the fighters returned from the attack on Odek IDP camp.³³⁰⁰ P-0142 testified that he was not personally with Dominic Ongwen during this period as Dominic Ongwen was a 'big commander' and P-0142, a low level fighter could not be close to him. ³³⁰¹ P-0142 testified that he saw Dominic Ongwen at the pre-attack meeting; ³³⁰² he did not report actually seeing Dominic Ongwen again until after the

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<sup>3293</sup> P-0309: <u>T-60</u>, p. 77, line 24 – p. 78, line 2; <u>T-63</u>, p. 23, line 11 – p. 24, line 16.
<sup>3294</sup> P-0309: <u>T-60</u>, p. 80, lines 15-19.
<sup>3295</sup> P-0410: <u>T-151</u>, p. 41, lines 5-11, p. 42, lines 15-20; <u>T-152</u>, p. 37, line 14 – p. 38, line 3.
<sup>3296</sup> See P-0410: <u>T-151</u>, p. 41, lines 5-11; <u>T-152</u>, p. 37, line 14 – p. 38, line 3.
<sup>3297</sup> P-0410: <u>T-151</u>, p. 41, lines 5-11, p. 42, lines 1-20, p. 51, lines 18-25; <u>T-152</u>, p. 37, line 14 – p. 38, line 3, p. 40, lines 12-15.
<sup>3298</sup> P-0264: <u>T-64</u>, p. 46, line 8 – p. 47, line 8.
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³²⁹⁹ P-0142: <u>T-70</u>, p. 28, lines 16-20, p. 29, lines 2-3, lines 16-19, p. 40, lines 13-24.

³³⁰⁰ P-0142: <u>T-70</u>, p. 29, lines 16-22. ³³⁰¹ P-0142: <u>T-70</u>, p. 29, line 23 – p. 30, line 1.

³³⁰² See section IV.C.7.iii above, the Chamber's discussion of the order to attack Odek IDP camp.

- attack. ³³⁰³ P-0142 testified that after the attack, the commanders returned and gave Dominic Ongwen a report at the RV location. ³³⁰⁴
- 1422. According to P-0205, Dominic Ongwen did not participate in the Odek IDP camp attack. 3305 P-0205 testified that he heard Dominic Ongwen give orders to the attackers and then returned to his position; he did not report interacting or observing Dominic Ongwen until the fighters returned from the attack. 3306
- 1423.P-0314 stated that Dominic Ongwen stayed behind at the meeting place and did not go to Odek IDP camp personally. 3307 P-0314 testified that Dominic Ongwen remained behind at the RV location when the attacking group moved on to Odek. 3308 P-0314, who participated in the attack, next saw Dominic Ongwen after the attack when the returning attackers met him to brief him. 3309 When asked about other commanders participating in the attack, P-0314 stated that he could not see people who were in the group ahead of him. 3310
- 1424. The Chamber also notes the testimony of P-0406 who participated in the attack and stated that after Dominic Ongwen ordered his fighters to attack Odek IDP camp, P-0406 went to attack the camp. While he testified that he and Dominic Ongwen were in one formation when Dominic Ongwen gave orders to attack the camp, P-0406 explained that he did not see Dominic Ongwen again until the next day when the attackers returned from the operation in Odek. 3312
- 1425. Similarly, P-0330 testified that Dominic Ongwen did not go to the Odek attack. 3313 Additionally, P-0352 testified that she did not remember seeing Dominic Ongwen during

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<sup>3303</sup> See P-0142: T-70, p. 29, line 23 – p. 30, line 1.
<sup>3304</sup> P-0142: T-70, p. 30, lines 2-6, p. 39, line 4 – p. 40, line 4.
<sup>3305</sup> P-0205: T-50, p. 28, lines 6-7.
<sup>3306</sup> P-0205: T-47, p. 43, line 7 – p. 45, line 14.
<sup>3307</sup> P-0314: T-75, p. 9, lines 1-7; T-76, p. 56, lines 19-22.
<sup>3308</sup> P-0314: T-75, p. 9, lines 4-7.
<sup>3309</sup> P-0314: T-75, p. 13, lines 13-17, p. 17, lines 2-18.
<sup>3310</sup> P-0314: T-76, p. 56, lines 10-14.
<sup>3311</sup> P-0406: T-154, p. 43, lines 1-8.
<sup>3312</sup> P-0406: T-154, p. 44, line 24 – p. 45, line 5.
<sup>3313</sup> P-0330: T-55, p. 38, lines 6-8.
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the attack on Odek IDP camp, stating that there were many people and she could not see the 'soldiers who were leading the way'. 3314

- 1426. In this context, the Chamber also notes the testimony of P-0085, who credibly testified about an encounter with Dominic Ongwen during which Dominic Ongwen informed P-0085 that he had sent his people to attack Odek, indicating that Dominic Ongwen had not gone himself.³³¹⁵ The Chamber considers that P-0085's testimony corroborates the accounts of witnesses who testified that Dominic Ongwen did not follow the attacking force into Odek himself.
- 1427. Upon considering the evidence of the above witnesses, the Chamber is not satisfied that the evidence proves that Dominic Ongwen entered Odek IDP camp as part of the attacking force, commanding and/or coordinating the LRA fighters. The Chamber considers it significant that although certain witnesses testified to seeing Dominic Ongwen outside of the camp before the attack, none of them testified that they actually saw him within the camp during the attack. While the chaos of an attack on an IDP camp can justify fighters, especially low ranking fighters, not knowing the exact location of the other fighters or commanders, the Chamber is of the view that, had Dominic Ongwen actually participated in the attack, at least one of the witnesses would have seen him within the camp.
- 1428. Noting that the witnesses who testified that Dominic Ongwen stayed behind at the RV location were not in fact staying with him and largely testified to observing him at the pre-attack meeting and after the attackers returned from the camp, the Chamber is convinced that the evidence shows that Dominic Ongwen moved with the attacking group towards the camp but did not actually enter the camp to attack.

³³¹⁵ P-0085: <u>T-158</u>, p. 42, line 17 – p. 43, line 9. See paras 1484, 1639-1640 below.

³³¹⁴ P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 110; P-0352: <u>T-68</u>, p. 11, line 20 – p. 12, line 3.

vi. The LRA's attack against the civilian population of Odek IDP camp

On 29 April 2004, LRA fighters subordinate to Dominic Ongwen, and acting on his orders, attacked Odek IDP camp.³³¹⁶

In the late afternoon the day of the attack, Odek IDP camp residents gathered and a government soldier warned them to be vigilant as the LRA were believed to be operating in the area.³³¹⁷

As the residents and several government soldiers were dispersing from that gathering in the early evening, at least 30 LRA attackers, including children under the age of 15, executed Dominic Ongwen's orders and, with an assortment of arms including AK guns, a mortar and an RPG, a PK and a 'B-10' gun, attacked Odek IDP camp from the northern side of the camp.³³¹⁸

- 1429. Witnesses residing at Odek camp at the time of the attack provided credible, consistent and overlapping testimony that in the mid to late afternoon of 29 April 2004, government soldiers gathered camp residents for a meeting in the camp centre and warned them that LRA forces were present in the area.³³¹⁹
- 1430.In light of the testimonies of Mario Ottober, the elected Camp Leader, Joseph Balikudembe, a UPDF officer and Julius Nyeko, an LDU officer who served at Odek IDP camp, the Chamber is of the view that there were at least 20 to at most 60 government soldiers stationed at the camp at the time of the attack.³³²⁰

³³¹⁶ Para. 159 above.

³³¹⁷ Para. 163 above.

³³¹⁸ Para. 163 above.

³³¹⁹ P-0218 Statement, UGA-OTP-0238-0720-R01, at paras 17-18; T-90, p. 61, line 11 – p. 66, line 10 (stating that around 16:30-17:30, UPDF soldiers gathered camp residents at the centre of the camp under a mango tree. A government soldier, Second Lieutenant Odong, warned camp residents of the presence of LRA fighters in the area, as they had been sighted by civilians earlier in the day); P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 22 (stating that around 14:00, the UPDF called a meeting by the mango tree in the market. The UPDF commander told the civilians that rebels were present in the area and cautioned against civilians going to visit their gardens); P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 25 (testifying that around 15:00, LDU soldiers gathered the people of the camp for a meeting in the market place of the camp). See P-0218: T-90, p. 62, line 20-24; P-0269: T-86, p. 24, line 18 – p. 25, line 1 (stating that the reason a meeting was held was so that people in the camp could be aware that a visitor could be part of rebel activity. They were requested to report any visitor and advised that this person may need to be arrested so that the camp is not attacked).

³³²⁰ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 20 (stating that according to Mario Ottober, there were about 20-30 government soldiers stationed at the camp before the attack); P-0359: T-110, p. 43, lines 8-10 (testifying there were about 40 UPDF soldiers and around 20 LDUs stationed in Odek IDP camp at the time of the attack); D-0066: T-214, p. 13, lines 5-25, p. 14, lines 7-18, p. 20, line 22 – p. 21, line 7 (stating there were 38 LDUs stationed in Odek, commanded by an UPDF soldier. A mobile force of UPDF soldiers passed through the camp a day before the attack). *See* P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 15 (according to P'Oyoo Lakoch, a local resident, there were about 40 soldiers protecting Odek IDP camp, about 15 UPDF soldiers and 25 from the LDU. A mobile force of UPDF soldiers arrived at Odek IDP camp a short time before the attack on 29 April 2004). The Chamber notes that all these witnesses estimated the number of soldiers they believed was stationed at the camp at the time of the attack.

- 1431. The Chamber also recalls the credible testimony of local teacher P'Oyoo Lakoch that during the afternoon meeting, government soldiers informed residents that a number of government soldiers had left the camp to attempt to ambush the LRA and only about 11 soldiers remained at the camp, with three of them stationed at the barracks.³³²¹
- 1432. Regarding the number of LRA fighters that attacked Odek IDP camp, the Chamber is convinced by the testimony offered by several LRA fighters who actively participated in the attack and were in a position to know roughly how large a force was sent to attack Odek IDP camp. P-0309 testified that there were 30-40 LRA fighters gathered when Dominic Ongwen told them they were going to 'work' in Odek. P-0330 did not recall the number of soldiers selected to attack Odek but estimated that the largest number was probably between 30 and 35 fighters. P-0406 testified that there were 40-50 LRA fighters selected to go attack Odek IDP camp. P-0314 testified that about 60-80 fighters were selected to carry out the operations in Odek IDP camp. In light of these witnesses' testimony, the Chamber considers that at least 30 LRA fighters went to attack Odek IDP camp.
- 1433. Witnesses provided credible, consistent and overlapping testimony that there were children younger than 15 years old among the LRA forces that attacked Odek IDP camp on 29 April 2004. P-0314 testified that the youngest fighters participating in the attack would have been between 13 and 14 years old. Similarly, P-0410 testified that among the persons that went to attack Odek IDP camp were persons as young as 13 years old.

³³²¹ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 19; P-0218: <u>T-90</u>, p. 64, lines 7-15.

³³²² The Chamber notes that local teacher P-0218 testified that as many as 400 troops attacked Odek IDP camp. See P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 31. As noted above, the Chamber considers that LRA fighters who participated in the attack themselves were better positioned to know approximately how many fighters were sent than residents who were attempting to survive in the midst of an attack and also did not have an overview of what was happening in other areas of the camp. The Chamber notes also that, given the time that elapsed and the context of the attack, the LRA fighters are only able to provide an approximation of the number of forces sent to attack the camp.

³³²³ P-0309: <u>T-60</u>, p. 75, lines 4-6.

³³²⁴ P-0330: <u>T-52</u>, p. 16, lines 4-11.

³³²⁵ P-0406: <u>T-155</u>, p. 42, lines 12-15.

³³²⁶ P-0314: T-75, p. 5, lines 1-3.

³³²⁷ The Chamber also notes the corroborating evidence of the Incident Report of the Odek IDP camp attack created by P-0301 in 15 May 2004. According to this report, the LRA attacked Odek IDP camp with a force of 60 armed fighters. P-0301 Incident Report, UGA-OTP-0249-0438-R01, at 0438; P-0301 Statement, UGA-OTP-0249-0423-R01, at paras 60 and 62.

³³²⁸ P-0314: <u>T-75</u>, p. 5, lines 9-14, p. 10, lines 8-14. *See also* P-0205: <u>T-48</u>, p. 36, line 25 – p. 37, line 11 (indicating that one LRA fighter who participated in the Odek IDP camp attack and came back carrying luggage obtained from Odek would have been 13 at the time of the Odek IDP camp attack).

³³²⁹ P-0410: <u>T-151</u>, p. 39, line 15 – p. 40, line 8.

P-0275 testified that during the attack, he saw children beating jerry cans, some of the children looked younger than him.³³³⁰ The Chamber recalls the finding that P-0275 was under 15 years old at the time of the Odek IDP camp attack.³³³¹ In line with P-0275's testimony, P-0054 testified that children, ranging from 10-13 years old as well as older children participated in the Odek IDP camp attack.³³³² P-0269 testified that the youngest persons she saw amongst the female contingent of the LRA forces in the camp could have been around 13 years old.³³³³ In light of the evidence, the Chamber is satisfied that children under the age of 15 participated in the attack on Odek IDP camp.

- 1434. Credible and consistent evidence demonstrates that the LRA forces attacked Odek IDP camp with various weapons, including guns and heavy weaponry such AK guns, a mortar and an RPG, a PK and a 'B-10' gun. 3334
- 1435. Witnesses in these proceedings, both LRA insiders and residents of the camp, agree that the LRA's attack on Odek IDP camp began in the early evening of 29 April 2004, generally testifying to a range of time between 17:00 and 18:00.³³³⁵ The evidence shows

³³³⁰ P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 25.

³³³¹ See the Chamber's discussion of P-0275's testimony in section IV.B.2.iv.c.v.

³³³² P-0054: <u>T-93</u>, p. 29, lines 7-8.

³³³³ P-0269: <u>T-85</u>, p. 40, lines 5-7. The Chamber notes that P-0269 was abducted by LRA fighters and had the opportunity to observe the LRA forces in close proximity.

 $^{^{3334}}$ P-0264: 1 P-0264: 1 P-0264. Decomposition of the P-0264 in the P-0264 in 1 P-0264. Decomposition of the P-0264 in 1 P-0264 in $^$ Odek IDP camp, including a PK, an RPG, a mortar and a B-10. P-0264 explained to the Chamber, 'a PK is a gun that uses a chain. The bullets are in a chain, a long chain, some of them up to 200, others 250, others 100', a 'B10 is a heavy weapon and it can also be put on a stand, but you can also use it without a stand', an RPG 'also uses a stand, but it's not too big. Depends on the bullets, others have longer bullets, others have short bullets'); P-0340: T-102, p. 37, lines 8-15 (the LRA used several weapons at Odek IDP camp including a small gun called a Logos, a chain gun called a PK, and a big gun called a 'B-10'); P-0314: T-75, p. 5, lines 4-8, lines 15-16 (the fighters going to Odek IDP camp were armed with heavy weapons like a 'B-10' and another gun with very big bullets and bullets in a chain as well as AK-47 guns and a PK. P-0314 stated that he was also armed with a gun during the attack); P-0309: T-60, p. 77, lines 8-12, lines 15-16 (stating that the LRA went to Odek IDP camp with weapons, including 'AK' guns and some bombs. P-0309 was carrying an 'AK'); P-0330: T-52, p. 15, line 22 - p. 16, line 3 (stating that he LRA took twelve smaller handguns and PKs to the Odek attack. P-0330 was also armed with a gun for the attack); P-0352 Statement, UGA-OTP-0260-0315-R01, at paras 120-121 (testifying that the LRA attacks the camp with 'normal small guns' as well as 'a big gun that needed two people to carry it. It was like a bomb'. There were also two medium sized guns, one taken to the barracks and the other to the camp. P-0352 believed that these guns were called a 'PK').

³³³⁵ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 16 (stating that the LRA attacked Odek IDP camp on 29 April 2004); P-0268 Statement, UGA-OTP-0248-0013-R01, at paras 22-23 (testifying that the rebels attacked Odek IDP camp on 29 April 2004, beginning the attack around 17:00); P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 24 (on 29 April 2004, after the children had come back from school, the LRA attacked Odek IDP camp); P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 20 (stating that the attack began around 17:00 on 29 April 2004); P-0269: T-85, p. 34, line 19 – p. 35, line 3 (stating that the attack began around 17:00 on 29 April 2004); P-0264: T-66, p. 64, lines 7-11 (testifying that the attack on Odek took place approximately 17:00 – 18:00); P-0309: T-60, p. 78, lines 23-25 (stating that the attack on Odek IDP camp started around 17:00 or 18:00). See also P-0314: T-75, p. 10, lines 21-22 (the LRA fighters arrived at Odek around 17:30 and 18:00).

that the attack began as civilians were returning to their various homes after the meeting with the government soldiers. 3336 Other camp residents were at their homes. As camp resident Helen Opoka Acan described, she was sitting in her home with her husband and children, '[w]e were just sitting there talking and laughing, enjoying ourselves [...]'. 3337

1436. The evidence shows that the LRA forces entered Odek IDP camp from the northern side of the camp.³³³⁸

The LRA fighters operated in two groups, pursuant to Dominic Ongwen's orders. One group of fighters attacked the military barracks, situated about a few hundred meters from the camp, killed some soldiers there and burned the barracks down, overwhelming the soldiers at the barracks. The other group of fighters spread into the civilian area, including the trading centre, where they dispelled several government soldiers and proceeded to attack the civilian residents, shooting, beating, abducting and forcing them to carry looted goods.³³³⁹

- 1437. The Chamber is convinced by the testimony of Odek IDP camp residents and LRA fighters who participated in the attack that as the LRA fighters entered the camp they divided into two groups: one group heading to the camp to collect food and the other group going to attack the soldiers in the barracks. 3340
- 1438. Witnesses testified that, as they moved on the camp, LRA fighters, particularly children, would beat jerry cans together to scare government soldiers away and instil fear in the soldiers and civilians.³³⁴¹ In line with these testimonies, P-0252 also testified that he later learned after being abducted during the attack that the purpose of beating the cans was to

³³³⁶ D-0066: <u>T-214</u>, p. 22, lines 1-4; P-0218 Statement, UGA-OTP-0238-0720-R01, at paras 19-21; P-0218: <u>T-90</u>, p. 71, lines 11-20 (the crowd dispersed after meeting between the government soldiers and the civilians but before the soldiers could return to the barracks, the LRA attacked the camp. At the time of the attack, a few government soldiers were in the barracks and others were just leaving the gathering with camp residents).

³³³⁷ P-0270 Statement, UGA-OTP-0241-0168-R01, at para. 18.

³³³⁸ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 31; P-0218: <u>T-90</u>, p. 9, line 24 – p. 10, line 2.

³³³⁹ Para. 164 above.

 $^{^{3340}}$ P-0340: $\underline{\text{T-}102}$, p. 26, line 23 – p. 27, line 23; P-0218: $\underline{\text{T-}90}$, p. 9, line 24 – p. 10, line 4; P-0054: $\underline{\text{T-}93}$, p. 15, lines 14-19; P-0406: $\underline{\text{T-}154}$, p. 42, lines 7-10; $\underline{\text{T-}155}$, p. 43, lines 19-25; P-0352 Statement, UGA-OTP-0260-0315-R01, at paras 114-115; P-0309: $\underline{\text{T-}60}$, p. 77, line 24 – p. 78, line 2; P-0410: $\underline{\text{T-}151}$, p. 31, lines 11-12. *See* P-0330: $\underline{\text{T-}52}$ -CONF, p. 18, line 13 – p. 19, line 16. Although P-0330 testified that the LRA fighters attacked Odek in one group, the Chamber understands his testimony that there was a group of fighters going to the barracks and a small contingent of LRA fighters stationed to loot in the centre of the camp to conform with the testimony of other witnesses, as described.

³³⁴¹ P-0275: <u>T-124</u>, p. 44, lines 3-23; P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 25; P-0252: <u>T-87</u>, p. 32, line 20 – p. 33, line 7; P-0410: <u>T-151</u>, p. 43, lines 5-13.

confuse the government soldiers and civilians in the camp to give the impression that the LRA group attacking the camp was very large.³³⁴²

- 1439. The evidence shows that, along with banging jerry cans, the LRA forces were making noise and ululating, creating alarm in the camp. 3343 As they moved on the camp, a whistle was blown and the LRA fighters started shooting. 3344 P-0340, one of the LRA attackers, testified credibly that 'nobody was supposed to retreat, nobody should return. Whoever had tried to retreat would be beaten seriously. And we ran and attacked the place. 3345
- 1440. The Chamber observes that the LRA fighters who participated in the attack on Odek IDP camp and credibly testified in these proceeding were generally consistent in their description of what occurred at the camp. Their accounts were also consistent with other credible testimony, particularly that of witnesses who were residents of the camp at the time. The witnesses recalled that the gunfire began at the barracks and then quickly spread to the civilian camp.
- 1441.P-0054, a fighter in Sinia brigade, was part of the group of LRA fighters who went to the fight at the barracks. ³³⁴⁶ P-0054 testified that the fighting began from the barracks, which was just by the roadside. ³³⁴⁷ Other witnesses corroborate P-0054's account, testifying that they first heard gunfire or saw the LRA fighters coming from the direction of the barracks. ³³⁴⁸

³³⁴² P-0252: <u>T-87</u>, p. 32, line 20 – p. 33, line 7.

³³⁴³ P-0340: T-102, p. 30, lines 8-14 (LRA fighters removed their shirts and tied them around their waist, and were blowing whistles and making noise); P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 119 (the first group of LRA soldiers started moving towards the barracks while making an alarm and ululating. They were shouting 'catch them' and 'kill them').

³³⁴⁴ P-0314: <u>T-75</u>, p. 10, line 23 – p. 11, line 3 (that as they approached the location, the LRA troops lined up and prepared and when they reached very close to the camp a whistle was blown and the LRA fighters started shooting); P-0340: <u>T-102</u>, p. 26, line 23 – p. 27, line 24 (the LRA fighters were blowing the whistle, making noise and started firing).

³³⁴⁵ P-0340: <u>T-102</u>, p. 27, lines 24-25.

³³⁴⁶ P-0054: T-93, p. 19, lines 13-14, p. 53, line 24 – p. 54, line 6.

³³⁴⁷ P-0054: T-93, p. 15, lines 15-18.

³³⁴⁸ P-0218 Statement, UGA-OTP-0238-0720-R01, at paras 20, 31 (the group within the camp heard gunfire coming from the direction of the barracks); P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 20 (from his position sitting outside his home, he saw the rebels enter from the side of the barracks). *See* P-0252: <u>T-87</u>, p. 10, lines 18-21; <u>T-89</u>, p. 7, lines 12-14 (the gunshots started from the direction of Lakim and Odek primary school). P-0252's testimony is corroborated by P-0218's account that the LRA fighters came in from the direction of Lakim and entered the camp from the northern side, shooting their guns. *See* P-0218: <u>T-90</u>, p. 9, line 24 – p. 10, line 1; P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 20; P-0218 Sketch of Odek IDP camp, UGA-OTP-0238-0731-R01.

1442. The evidence shows that LRA fighters conducted an assault on the government barracks. ³³⁴⁹ P-0054 testified that an LRA fighter, Abongomek, fired a heavy weapon and the shot landed on one of the huts in the barracks and burned that hut. ³³⁵⁰ The Chamber notes the Defence's contention that Michael Oryem, who was called Abongomek while in the LRA, did not participate in the Odek IDP camp attack and was instead with Dominic Ongwen in an area near Lapak at the time. ³³⁵¹ The Chamber recalls its discussion of this witness's credibility above as well as its discussion of Dominic Ongwen's role in ordering the attack. ³³⁵² Additionally other LRA fighters offered testimony which generally corroborates P-0054's account. ³³⁵³ Several of these witnesses independently place Abongomek at the scene of the attack and describe his active participation in it. ³³⁵⁴ Considering the above, the Chamber concludes that Abongomek did indeed participate in the Odek IDP camp attack and fired a heavy weapon during the fight at the barracks.

1443. Witnesses reported that the government soldiers at the barracks were quickly defeated and fled.³³⁵⁵ In this context, the Chamber notes LDU soldier Julius Nyeko's testimony

³³⁴⁹ P-0406: T-154-CONF, p. 45, lines 14-19 (at the barracks, a battle ensured); D-0066: <u>T-214</u>, p. 22, lines 9-13 (the LRA attacked the barracks); P-0410: <u>T-151</u>, p. 30, lines 15-25 (LRA forces attacked the barracks of Odek IDP camp. There was a heavy fight between the LRA forces and the government soldiers in the camp); P-0309: <u>T-60</u>, p. 78, lines 6- 20 (LRA fighters went to the barracks and started exchanging fire with government troops); P-0264: <u>T-64</u>, p. 44, lines 16-22 (when the LRA forces arrived in Odek IDP camp, there were government soldiers within the camp and in the barracks. The government soldiers started firing guns. There were gunshots in the barracks and in the camp centre as well).

³³⁵⁰ P-0054: <u>T-93</u>, p. 15, lines 19-21; <u>T-94</u>, p. 22, lines 9-16 (P-0054 testified that the weapon was an RPG).

Defence Closing Brief, paras 348-352. See Prosecution Closing Brief, paras 266-273.

³³⁵² See Chamber's assessment of D-0075's testimony at section IV.B.2.ii.b.xxxi above.

³³⁵³ P-0352 Statement, UGA-OTP-0260-0315-R01, at paras 120-121 (there was a big gun, a medium size gun, as well as normal small guns used at the barracks. The big gun was like a bomb, and required two people to carry it. The gun was fired before the first group reached the camp. The bomb hit the barracks and the LRA fighters dispersed and ran to the barracks); P-0314: T-75, p. 23, lines 13-19 (during the attack on the barracks Abongomek fired some bullets, from the big gun with chains, however the gun failed later on); P-0406: T-154-CONF, p. 45, line 21 – p. 46, line 1 (a 'support weapon' called a '12' was used in the course of the attack, fired by a commander known as Abongomek). While they do not each name the same gun, the Chamber is satisfied that P-0054, P-0314 and P-0406 describe Abongomek firing a heavy weapon and considers that this corroborates and is consistent with P-0054's account. *See also* P-0352 Statement, UGA-OTP-0260-0315-R01, at paras 120-121 (two medium size guns were taken to the attack, one to the barracks and the other to the camp. This medium size gun had chains that hung around the body of the person carrying it. P-0352 believed that the gun was called a PK).

³³⁵⁴ See also P-0330: <u>T-52</u>, p. 14, lines 19-22 (P-0330 testified that he went to Odek under the command of Bomek, Dominic Ongwen's 2IC); P-0264: <u>T-64</u>, p. 72, lines 15-20 (Abongomek participated in the Odek IDP camp attack).

³³⁵⁵ P-0314: <u>T-75</u>, p. 11, lines 8-12 (when the LRA fighters started shooting, the government soldiers in the barracks were caught off guard and they started running and retreating); P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 20 (after the rebels entered from the side of the barracks, they attacked and dislodged the government soldiers); P-0330: T-52-CONF, p. 18, lines 13-14 (the LRA fighters went to the barracks, shot at the government soldiers and the government soldiers fled); P-0252: <u>T-87</u>, p. 11, lines 13-20 (after intense fighting in

that because there were so few government soldiers at the barracks, 'they could not sustain.' Julius Nyeko testified that one of the soldiers in the barracks tried to fight back because he had a child with him in the barracks and the LRA came and eventually killed the soldier together with his child. LRA fighter P-0340 also testified that he saw the dead body of a soldier at the edge of the barracks; the soldier's clothes had been removed. An LRA fighter was also shot at the barracks in the exchange of fire between the LRA and the government soldiers present at the barracks.

1444.LRA fighters reported that they surged forward and overran the barracks, taking ammunition and other supplies. Witnesses reported seeing government soldiers flee from the area of the barracks. Witnesses also reported that the LRA fighters burned down the barracks. In addition to the barracks, the evidence shows that the LRA also burned some civilian huts within the camp. 3363

the camp, the LRA chased the army out of the barracks. P-0252 heard someone say that the LRA had chased the soldiers out of the camp).

³³⁵⁶ D-0066: <u>T-214</u>, p. 22, lines 9-11.

³³⁵⁷ D-0066: T-214, p. 22, lines 9-13. The Chamber discusses the death of the soldier's child further in its discussion of the killing and attempted killings committed by LRA fighters in Odek IDP camp.

 $^{^{3358}}$ P-0340: $\underline{\text{T-}102}$, p. 30, line 15 – p. 31, line 1. P-0340 testified that he knew the soldier was not an LRA fighter because his clothes would not have been removed if he was a fighter and typically the LRA removed uniforms from the bodies of soldiers. P-0340: $\underline{\text{T-}102}$, p. 31, lines 6-12.

³³⁵⁹ P-0314: <u>T-75</u>, p. 11, lines 13-16 (LRA fighter Owiny was shot in the neck and the LRA fighters left him in order to advance).

³³⁶⁰ P-0314: T-75, p. 11, lines 8-20 (P-0314 entered the barracks and took ammunition); P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 120 (after hitting the barracks, the first group of LRA fighters dispersed and ran to the barracks); P-0406: T-154-CONF, p. 45, lines 18-20 (the LRA fighters found weapons that fleeing government soldiers had left behind and took them); P-0410: T-151, p. 32, lines 3-6 (after defeating the government soldiers, the LRA forces took things from the barracks, including the soldiers' items, guns, ammunitions, uniforms and shoes).

³³⁶¹ P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 122 (P-0352 saw government soldiers firing their guns while running in the other direction from the barracks); P-0054: <u>T-94</u>, p. 23, lines 18-25 (the government soldiers fled from the barracks).

³³⁶² P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 31; P-0218: <u>T-90</u>, p. 81, lines 15-19 (the barracks were burned down); P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 20 (the rebels burned the huts surrounding the barracks and he saw the barracks go up in flames as well as his own civilian hut that was close by the barracks); P-0340: <u>T-102</u>, p. 26, line 23 – p. 28, line 3, p. 30, lines 15-22 (LRA fighters set fire to the houses at the barracks). *See* P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 29 (during the attack, he noticed that the barracks were on fire). *See also* P-0301 Incident Report, UGA-OTP-0249-0438-R01, at 0438 (P-0301's Incident Report states that the LRA overran and burnt down the 'LDU "Charlie" BN detach in Odek').

³³⁶³ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 20 (testifying that the rebels burned the huts surrounding the barracks and Zakeo Odora saw the barracks go up in flames as well as his own civilian hut that was close by the barracks); P-0406: <u>T-154</u>, p. 46, line 23 – p. 47, line 4; T-154-CONF, p. 47, lines 5-10 (stating that he saw houses burning in the camp. According to P-0406, LRA fighters would light a match and then set fire to houses. P-0406 set a house of fire, upon orders of his LRA commander). *See also* P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 123 (stating that one house burned down with civilians inside); P-0330: <u>T-52</u>, p. 20, line 9 – p. 21, line 1 (P-0330 saw dead people in Odek, including people burned in their huts by LRA fighters).

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- 1445. Contrary to the Defence's suggestion during the examination of some witnesses, the Chamber is satisfied that these huts were not set on fire by crossfire stemming from the government forces. For instance, during the questioning of P-0340, Defence counsel suggested that certain types of guns can ignite a fire and that this could have caused the burning. However, in his responses P-0340 did not confirm that he knew whether the government soldiers had such weapons and, after further questioning, explained that he only saw the buildings on fire, not how they had been ignited. In any case, the Chamber notes that destruction of property was not among the charges confirmed in relation to the Odek IDP camp attack.
- 1446. Witnesses, both LRA fighters and camp residents, reported that as the LRA fighters attacked and overran the barracks, other LRA attackers spread into the camp, some firing their weapons. P-0352, a member of Dominic Ongwen's Sinia brigade, testified that she was among the forces sent to the civilian area. She stated that by the time the group going to the camp centre entered, the LRA fighters with guns had started shooting towards the barracks. She and the other LRA forces going to the camp centre ran into the camp, making an alarm'. She and the other LRA fighters that attacked the camp centre, testified that when LRA fighters in the centre heard gunshots start coming from the barracks, they also started shooting at the government soldiers they found mixed with civilians in the centre. P-0309 testified that he too shot his gun.
- P-0252, who was abducted during this attack, stated that LRA soldiers filled the camp. ³³⁷² P-0252 testified that after the rebels overran the barracks there was gunfire everywhere; even while trying to apprehend the witness, P-0252's LRA abductor kept firing his gun. ³³⁷³ Similarly, P'Oyoo Lakoch, a local teacher at the time of the attack, saw the LRA rebels from about 100 metres, moving through the camp, carrying guns, and shooting as

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<sup>3364</sup> P-0340: T-103, p. 52, lines 4-5 and 12-16.
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³³⁶⁵ P-0340: <u>T-103</u>, p. 52, lines 17-20.

 $[\]frac{3366}{P-0340}$: $\frac{T-103}{p}$, p. 52, line 21 – p. 53, line 11.

³³⁶⁷ P-0352 Statement, UGA-OTP-0260-0315-R01, at paras 119-120.

³³⁶⁸ P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 120. *See* P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 22 (stating that when the LRA attacked the camp centre, Zakeo Odora ran away towards the Odek River along with his wives and children).

³³⁶⁹ P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 120.

³³⁷⁰ P-0309: <u>T-60</u>, p. 81, lines 3-21.

³³⁷¹ P-0309: <u>T-60</u>, p. 81, lines 22-23.

³³⁷² P-0252: T-87, p. 12, line 5.

 $^{^{3373}}$ P-0252: $\underline{\text{T-89}}$, p. 8, line 12 – p. 9, line 3.

they went along;³³⁷⁴ they advanced through the camp, shouting and firing at civilians, running around while firing their guns.³³⁷⁵

1448.P-0264 offers testimony consistent with the other witnesses, stating that LRA forces were shooting towards the barracks and also shooting towards the camp.³³⁷⁶ P-0264 described the scene at the camp from the point of view of one of the LRA fighters entering the camp centre:

Q. What happened [...]?

A. [...] there were soldiers among those people, so in order to enter and start abducting civilians in the presence of soldiers would be impossible. So we--we started shooting. I also personally shot my gun at the soldiers that were with the civilians.

Q. Did you shoot your gun at any civilians?

A. Not--no, not at Odek. At Odek I only shot at soldiers. I also shot in the houses, but that's--well, that's equal to shooting civilians because you're firing in a civilian camp.³³⁷⁷

1449. The Chamber finds the above witnesses' accounts credible, consistent and mutually corroborative.

1450.LRA fighter P-0352 and camp resident P'Oyoo Lakoch testified that some civilians started running away as LRA fighters moved into the camp. P'Oyoo Lakoch reported that many residents ran to their huts having previously been advised by the government soldiers to do so in the case of an attack. P'Oyoo Lakoch reported that because of the continued gunfire, the civilians began to panic; some ran away, some ran into houses and the rebels advanced through the camp, shouting and firing at civilians.

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³³⁷⁴ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 21.

³³⁷⁵ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 20; P-0218: T-90, p. 72, lines 2-8.

³³⁷⁶ See P-0264: <u>T-64</u>, p. 57, lines 8-13.

 $^{^{3377}}$ P-0264: $\underline{\text{T-64}}$, p. 54, lines 12-21. The Chamber notes that the witness later recalled that he personally shot and killed a civilian at the camp centre while shooting at the soldiers. P-0264: $\underline{\text{T-64}}$, p. 55, line 10 – p. 56, line 8. 3378 P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 122; P-0218 Statement, UGA-OTP-0238-0720-R01,

at para. 20.

3379 P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 21; P-0218: <u>T-90</u>, p. 63, line 25 – p. 64, line 6, p. 70,

lines 14-20.

³³⁸⁰ P-0218 Statement, UGA-OTP-0238-0720-R01, at paras 20-21; P-0218: <u>T-90</u>, p. 70, lines 11-20.

- 1451. Camp resident Hellen Adong corroborates these accounts, reporting that after hearing gunshots, people started running and she and her children ran into their house. Hellen Adong stated that at some point a bullet entered the house but missed her and her children because they were all lying down on the ground. 3382
- 1452. The evidence indicates that there was some exchange of gunfire between the LRA fighters and government soldiers at the barracks and at the camp centre. The Chamber notes the above discussion of the fighting at the barracks. Within the camp centre, the government soldiers also shot back at the LRA fighters shooting at them. As P-0340 stated '[w]hen they say fighting, that means the government soldiers shot back. They are the ones who are being attacked and they should be the ones to defend. There was a battle'. 3385
- 1453. However, witnesses credibly testified that the government soldiers within the camp, like the government soldiers in the barracks, were quickly overwhelmed and fled. 3386
- 1454. The LRA forces within the camp proceeded to also loot goods and abduct civilians. 3387 In this context, the Chamber recalls P-0352's testimony that before entering the camp, she and the LRA forces being sent to the camp were told that they were to go into the camp, enter houses and take food and clothes, if they came across a young person and

³³⁸¹ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 23.

³³⁸² P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 23.

³³⁸³ See the Chamber's discussion of the fighting and deaths at the barracks in para. 1443 above.

³³⁸⁴ P-0309: <u>T-60</u>, p. 79, lines 9-12, p. 81, lines 12-16; <u>T-61</u>, p. 4, lines 20-24 (P-0309's group of LRA fighters found soldiers amongst the civilians and so they started shooting at the soldiers. The soldiers also shot back and then fled). *See* P-0264: <u>T-64</u>, p. 58, lines 19-25 (P-0264 indicated that an LRA soldier was killed in the camp). ³³⁸⁵ P-0340: T-103, p. 51, lines 13-17.

³³⁸⁶ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 29; <u>T-90</u>, p. 71, line 16 – p. 72, line 1 (testifying that there was not much fighting between the government soldiers and the LRA during the attack because the small numbers of government soldiers were overwhelmed and ran away. The government soldiers who were not in the barracks ran through the camp and headed towards Lango which was southwards of the camp. Some government soldiers ran together with some of the civilians fleeing the camp); P-0269: <u>T-86</u>, p. 25, lines 10-14 (there was an exchange of fire between LRA soldiers and the government soldiers. The government soldiers were defeated and went across the stream); P-0264: <u>T-64</u>, p. 54, lines 4-6, p. 57, line 4-18 (LRA forces continued going forward and fighting and defeated the government soldiers. P-0264 personally saw soldiers fleeing and entering into civilian houses). *See also* P-0142: <u>T-70</u>, p. 29, lines 10-15 (when the LRA fighters returned from Odek, they told the LRA who had stayed behind, including P-0142, that '[w]e attacked Odek, the government soldiers were chased away'). The Chamber finds P-0142's testimony convincing because he heard it immediately after the LRA fighters returned to the rendezvous point from attacking Odek IDP camp. *See* D-0066: <u>T-214</u>, p. 22, lines 14-17 (testifying that there was a soldier running from the centre, he had his uniform on but had left his gun at the barracks, he started going towards the barracks and as he was approaching the barracks, he was shot and killed).

³³⁸⁷ The Chamber discusses the crimes committed by LRA fighters within Odek IDP camp in the sections below.

could scare the person without a gun, then they should abduct that person³³⁸⁸ and if someone refused to come out of the house then they were to burn the house with the person inside.³³⁸⁹ P-0352, one of Dominic Ongwen's Sinia attackers, testified that she saw LRA fighters beating civilians with the butts of their guns in the camp.³³⁹⁰

- 1455. The Chamber notes that P-0054 testified about the actions of government soldiers in the centre of Odek IDP camp during the attack.³³⁹¹ However, given that he did not go to the centre himself and that he was participating in the attack on the barracks and was not in a position to have accurate information about what was occurring in the centre, the Chamber puts little weight on his testimony about the fighting in the centre of the camp.
- 1456. Additionally, the Chamber notes that Julius Nyeko testified about the shooting between the additional government forces and the LRA. 3392 The Chamber recalls its assessment above of the credibility of the evidence provided by Julius Nyeko. The Chamber also recalls Julius Nyeko's testimony that he fled from the attack and hid half a mile away. From the witness's testimony, the Chamber is unable to ascertain exactly when during the attack he fled and hid away. However, on the face of the evidence given, the Chamber is of the view that he was not in the position to witness much of the actual attack during the events. The Chamber does not place credence on his account of the movement and actions of the mobile forces.
- 1457.At this juncture, the Chamber provides a more specific assessment of the available evidence concerning the numerous acts of violence and looting perpetrated by LRA fighters against civilians in the course of the attack on Odek IDP camp, as well as its immediate aftermath. As also emerges from the evidence analysed below, the victims targeted by the LRA attackers were civilian residents of the camp.

While in the camp, LRA attackers broke into homes and shops and looted food and other items from the camp, both from shops in the trading centre and from civilian homes,

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³³⁸⁸ P-0352 was among the unarmed LRA attackers who participated in the attack on the camp. *See* P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 122.

³³⁸⁹ P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 116.

³³⁹⁰ P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 123.

³³⁹¹ P-0054: <u>T-93</u>, p. 15, lines 21-23 (discussing the presence of government soldiers and mambas on the other side of the school).

 $^{^{3392}}$ D-0066: $^{7-214}$, p. 22, line 21 – p. 23, line 19, p. 24, lines 11-19 (testifying that the mobile forces on the other side of the river started firing on the camp and the mobile forces returning to the camp to come and save the camp were firing towards the camp as well).

³³⁹³ See the Chamber's discussion of D-0066's testimony in section IV.B.2.v.b.ii.

including items such as beans, cooking oil, maize, flour, soda and other beverages, biscuits, sugar, salt, posho, soap, clothes, saucepans, bedding, shoes. The food aid which had been recently distributed to the camp was looted by the attackers. The LRA distributed the items looted from Odek IDP camp to the households of different commanders, including Dominic Ongwen. 3394

1458.Both LRA fighters and camp residents offered credible and consistent evidence to this Chamber that LRA fighters looted Odek IDP camp during the course of the attack.

1459.LRA fighters testified that they looted the camp, taking items such as soap, clothes, shoes, money as well as food stuff including salt, beans, cooking oil, maize, flour, soda and biscuits. 3395 In this context, the Chamber recalls P-0264's testimony that after they defeated the government soldiers, LRA attackers started taking things from the camp. P-0264 testified that he was among the LRA forces that looted goods from Odek and took them back into the bush. Similarly, P-0340 testified that there were many LRA fighters who carried food from Odek, and that abductees were also made to carry foodstuffs. According to P-0340, after the LRA re-converged while retreating from Odek, he saw that some other LRA fighters came back with items.

1460. In line with the testimony of the LRA fighters, camp residents provided credible, detailed, specific and mutually corroborative evidence that the LRA looted goods, including food, from the camp. Hellen Adong testified that after being abducted, as she was moving with the LRA out of the camp, rebels entered the house of Thomas Anyayo Opio (Opiyo),

³³⁹⁴ Para. 165 above.

³³⁹⁵ P-0372: <u>T-148</u>, p. 42, lines 7-11, line 20 – p. 43, line 3 (P-0372 was among the group that was going to collect food items in the camp centre. He saw LRA fighters enter the camp to loot goods); P-0340: <u>T-102</u>, p. 34, line 21 – p. 35, line 7 (P-0340 testified that he personally went into a civilian's house in the middle of Odek IDP camp and found beans and flour, took it and started running with others to return from the camp); P-0410: <u>T-151</u>, p. 32, lines 7-8, p. 46, lines 15-18 (P-0410 observed that LRA fighters looted civilian property, including food stuff from the camp); P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 122 (after entering the camp, LRA fighters without guns entered the houses and looted); P-0309: <u>T-60</u>, p. 82, line 14 – p. 83, line 1 (P-0309 saw LRA fighters loot items from civilians homes, including clothes, shoes, cooking oil and salt); P-0264: <u>T-64</u>, p. 61, lines 12-21 (the LRA looted items from the camp, including soap, salt, clothes and food); P-0142: <u>T-70</u>, p. 33, lines 17-19; <u>T-71</u>, p. 5, lines 16-19 (one of P-0142's subordinates who had participated in the attack stated that the LRA took food from Odek. The majority of items looted from the camp were household items such as beans, groundnuts and biscuits); P-0330: T-52-CONF, p. 22, lines 1-4, p. 23, line 3 – p. 24, line 10 (the LRA took items from shops, including flour and money. P-0330 handed over the money he took from Odek to his immediate superior, in line with instructions received from Okello that money taken from an attack should be handed in to superiors). The Chamber notes that it found P-0330's account here credible.

³³⁹⁶ P-0264: <u>T-64</u>, p. 54, lines 5-6.

³³⁹⁷ P-0264: <u>T-64</u>, p. 61, lines 19-25.

³³⁹⁸ P-0340: <u>T-102</u>, p. 35, lines 8-12.

 $[\]frac{3399}{P-0340}$: $\frac{T-102}{D-0340}$, p. 36, lines 9-10, line 25 – p. 37, line 3 (foodstuff such as beans, cooking oil and flour).

³⁴⁰⁰ P-0340: <u>T-102</u>, p. 29, lines 13-18 (items like soda and biscuits).

who had been killed in the course of the attack, and LRA fighters took things from his house. ³⁴⁰¹ Similarly, P-0269 testified that during the retreat she saw armed LRA fighters holding items, followed by residents of the camp also carrying items. 3402 She testified that an LRA fighter took flour from her house and made her carry it and follow him as the LRA retreated from the camp. 3403 Camp residents reported that the LRA fighters took items such as flour, maize, posho, 3404 beans, cooking oil, salt and soap from the camp. 3405 Camp leader Mario Ottober testified that returned abductees said that they all carried something during the LRA's retreat. 3406

- 1461. The evidence shows that much of the goods taken from Odek IDP camp were taken from civilian homes. Hellen Adong testified that she saw armed LRA fighters looting maize, beans and flour from the houses near the barracks. 3407 The bag of maize she was forced to carry was taken from the home of a civilian. 3408
- 1462. In testimony consistent with Hellen Adong's description of the LRA's behaviour, Helen Opoka Acan testified that LRA fighters came into her house and started looting things, taking everything they could find and leaving her with nothing. 3409 From her home, the LRA attackers took flour, maize, beans, oil, clothes, saucepans, even the bedding. 3410 an LRA fighter went into Similarly, a hut and returned with a 20 kilogram bag of maize flour, with 'World Food Program' written on the bag; the soldier gave the bag to Hilary Kilama to carry. 3411 P-0275 testified that an LRA fighter took him and other abductees to a hut belonging to Aciga Ocaya, a

³⁴⁰¹ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 33.

³⁴⁰² P-0269: <u>T-85</u>, p. 35, line 20 – p. 36, line 2. ³⁴⁰³ P-0269: <u>T-85</u>, p. 36, line 7 – p. 37, line 5.

³⁴⁰⁴ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 44. P-0325 testifies that posho is one of the staple foods of the local people and is made from maize flour.

³⁴⁰⁵ P-0269: T-85, p. 39, lines 7-10 (civilian abductees carried flour, maize, beans, cooking oil and some other items that were looted from shops, like salt and soap); P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 34 (P'Oyoo Lakoch was told by returned abductees food items, such as posho, beans, sugar, soya flour and cooking oil, were carried out of the camp); P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 44 (the rebels stole lots of food from the camp, such as cooking oil, maize, beans, and posho. Almost all of the food that had been recently distributed in the camp at the time of the attack was taken by the rebels).

³⁴⁰⁶ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 82 (the returned abductees said that they carried food items like beans, salt, and maize among other things).

³⁴⁰⁷ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 28.

³⁴⁰⁸ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 30.

³⁴⁰⁹ P-0270 Statement, UGA-OTP-0241-0168-R01, at para. 23.

³⁴¹⁰ P-0270 Statement, UGA-OTP-0241-0168-R01, at para. 23.

local carpenter. 3412 P-0275 testified that the LRA fighter entered the hut and took a sack of beans tied at the top, he then took a can of cooking oil with 'USA' written on it, as well as a bag containing clothes and gave it to the abductees to carry. 3413

- 1463, LRA fighters corroborate the camp residents' account. P-0309 testified that he saw LRA fighters looting items from the homes of civilians during the attack.³⁴¹⁴ P-0309 testified that he saw items like cooking oil, clothes and shoes carried out from the homes of civilians.³⁴¹⁵ Similarly, P-0340 testified that he looted beans and flour from a civilian's house in the middle of the camp. 3416
- 1464. The Chamber notes P-0314's testimony that he was told by the LRA fighters who went to the camp that there were a lot of government soldiers there so they did not loot a lot of food. 3417 The Chamber notes however that P-0314 did not go into the camp centre and testified that he did not know what happened in the camp or what the LRA forces did there, apart from looting food. 3418 Thus, given the ample evidence that indicated that LRA fighters looted widely in Odek IDP camp, the Chamber does not rely on this aspect of P-0314's testimony. Indeed, the Chamber notes that P-0314 testified that during the retreat from Odek, he saw food that had been looted from Odek IDP camp, including salt, beans, flour, cooking oil, and other items such as soap. 3419
- 1465. The Chamber is satisfied that the evidence shows that the LRA looted widely from the homes of civilians.
- 1466. The evidence also shows that LRA fighters looted from shops in Odek IDP camp. Zakeo Odora testified that the day after the attack, he went to the trading centre and all the doors of the shops had been broken and the rebels had stolen items like salt and sugar. ³⁴²⁰ Zakeo Odora testified that he could see for himself that the shops were empty inside. ³⁴²¹ P-0264

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<sup>3412</sup> P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 28.
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³⁴¹³ P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 28.

³⁴¹⁴ P-0309: <u>T-60</u>, p. 82, lines 14-19.

³⁴¹⁵ P-0309: <u>T-60</u>, p. 82, line 18 – p. 83, line 1.

³⁴¹⁶ P-0340: <u>T-102</u>, p. 34, line 21 – p. 35, line 7. ³⁴¹⁷ See P-0314: <u>T-75</u>, p. 12, lines 1-6.

³⁴¹⁸ P-0314: <u>T-75</u>, p. 12, lines 10-12; <u>T-76</u>, p. 25, lines 1-8.

³⁴¹⁹ P-0314: <u>T-75</u>, p. 12, lines 13-15, p. 13, lines 9-12.

³⁴²⁰ P-0325 Statement, UGA-OTP-0264-0242-R01, at paras 44-45.

³⁴²¹ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 44.

testified LRA fighters took soap from shops in Odek.³⁴²² P-0252 testified that LRA fighter Onen Kamdulu took some soda, biscuits, and other edibles from a grocery stop by the roadside.³⁴²³ P-0252 testified that LRA fighters forced the door of the shop open with a bayonet and looted soda, biscuits, beverages, cooking oil and soap.³⁴²⁴

- 1467. As camp leader Mario Ottober was in a position of authority in the camp and inspected the camp the day after the attack, the Chamber relies on his testimony that although the LRA wanted to break into the food store in the middle of the camp, they were unsuccessful because the government soldiers arrived and the LRA fled. ³⁴²⁵ Mario Ottober testified that he knows that the LRA did not break into the food store because he inspected it the next day with the store keeper after the attack. ³⁴²⁶
- 1468. Although evidence is scant as to how and from whom the item was taken, the evidence indicates that, in line with Dominic Ongwen's reporting to Joseph Kony, a diamond was taken in the course of the LRA's attack on Odek IDP camp. P-0264 testified that a diamond was recovered from the camp by an LRA fighter Okeny Ginadyak.³⁴²⁷

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³⁴²² P-0264: <u>T-66</u>, p. 64, lines 15-19. The Chamber notes that the witness testified that there was 'no marketplace in Odek'. The witness testified that there were shops, not a market. The Chamber is of the view that the witness observed a number of shops in the camp and was expressing his personal view of the volume of what would constitute a market. This is not inconsistent with the testimony of others that there was a trading centre in Odek and shops which were looted by the LRA.

³⁴²³ P-0252: <u>T-87</u>, p. 15, lines 13-15, p. 19, lines 9-14; <u>T-89</u>, p. 9, lines 6-8.

³⁴²⁴ P-0252: T-87, p. 19, lines 17-23.

³⁴²⁵ P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 82-83.

³⁴²⁶ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 83. For this reason the Chamber does not on this specific point accept the testimony of P'Oyoo Lakoch, who stated that he saw the food store being raided by LRA fighters. P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 34; P-0218: <u>T-90</u>, p. 10, lines 25 – p. 11, line 3, p. 12, lines 6-14, p. 70, line 21 – p. 71, line 10. Noting that P'Oyoo Lakoch's larger testimony was consistent and corroborated by the other evidence in this case, the Chamber's non-reliance on P'Oyoo Lakoch's testimony in this instance does not undermine the Chamber's view of the general credibility of his accounts of what happened in Odek IDP camp.

³⁴²⁷ P-0264: <u>T-64</u>, p. 62, lines 1-5. The Chamber notes that the name of the LRA fighter P-0264 mentions is different from that mentioned by Dominic Ongwen in the radio communication in which he reported the looting of the item. The Chamber is of the view that this discrepancy does not undermine the consistency of P-0264's testimony with Dominic Ongwen's report.

1469. The evidence shows that LRA fighters returned to the other LRA fighters with the goods looted from Odek IDP camp³⁴²⁸ and the items were distributed to various households, including Dominic Ongwen's. 3429

1470. In the light of the foregoing, the Chamber finds that the LRA looted houses and shops in the trading centre during the 29 April 2004 Odek IDP camp attack.

During the attack in the camp, a female LRA attacker raped , a civilian resident of the camp, with a comb and a stick used for cooking, while the victim's husband was forced to watch. The rape was committed with such force that started to bleed. 3430

testified that an armed female LRA fighter, wearing an army outfit with camouflage came into her house and found her sitting down in the hut. 3431 She described that the woman pulled her up and took a comb that was typically used for hair care and tried to stick in inside her vagina. The witness testified that the comb was very painful and would not go in so the soldier removed it. 3433 She explained that the soldier then took a 'mingling stick', used for cooking, and put that in testified that the soldier put the stick all the way in until blood started to come out of her body. The witness testified that the soldier used a lot of force. 3436 The witness testified that the soldier did not say anything during the attack nor did she. 3437 She described that after the soldier was finished, she threw on the floor and stepped on

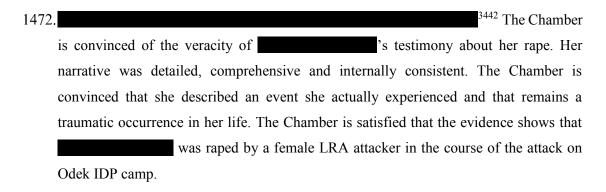
3430 Para. 166 above.

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³⁴²⁸ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 35 (P'Oyoo Lakoch was told by two returned abductees, Helen Ottober and Nighty Olanya, that the pillaged food was brought back to a bigger group of LRA fighters at a place called Lakim, which was about four kilometres away from Odek IDP camp); P-0142: T-71, p. 5, lines 20-22 (P-0142 saw the fighters who returned with looted items); P-0340: T-102, p. 35, lines 8-12 (there were many people carrying food taken from Odek); P-0205: T-47, p. 45, lines 10-18 (P-0205 testified that he saw the LRA fighters who went to attack Odek return to the rest of the Terwanga soldiers carrying food items taken from Odek).

³⁴²⁹ P-0314: <u>T-75</u>, p. 17, line 22 – p. 18, line 3 (when the fighters returned to the gathering place, some of the food items that were brought back were divided, while others were hidden. Dominic Ongwen's 2IC, Kalalang, was the one who divided the food); P-0330: <u>T-52</u>, p. 25, lines 2-7 (all the items looted from Odek were distributed to various households, including to Dominic Ongwen and his deputy).

her chest as the fighter left the hut and ran off.³⁴³⁸ The witness then had to remove the stick herself.³⁴³⁹ The witness testified that her husband saw what happened to her.³⁴⁴⁰ She described that 'the stick brought sickness as I have a wound inside of me...We could never live as husband and wife again but he did not leave me'.³⁴⁴¹



Under orders to shoot civilians in the chest and head to ensure that they died, LRA fighters fired their weapons at civilians during the attack. At least 52 civilians died as a result of the injuries sustained in the camp or in the course of the retreat, while at least ten were the victims of attempted killings. Many civilians were shot as they ran away from the LRA. Among the victims were elderly civilians, children, a pregnant woman as well as women carrying babies tied to their back. The bodies of the dead were scattered everywhere across the camp. Witness P-0264, an LRA fighter, was ordered to spray bullets inside civilian houses. He also shot a civilian man dead. LRA also fighters set at least one hut on fire with civilians inside.³⁴⁴³

The LRA killed the following persons within the camp during their attack: Adoni Okullu, Agudu's wife and her grandson, Betty Adong and her daughter Ajok, Catherine Amono, Okeny, Aldo Okello, Ayita Labanya, Charles Obur, Doris Apiyo, Jenaro Ongwen, Jimmy Ojok, Catherine Laker, Kevin Apiyo, Kerobina Acayo, Kejikiya Okec, Veronica Auma, Mary Acayo, Monica Aciro, Wilson Okoya, Okoya, Obangomoko, Pedwang Opio, Thomas Opiyo, Thomas Ojok, Valentino Okot, Walter Ojok, Atikcon, an unnamed camp resident, Mary Agudu, Doreen Ojok, DP, Acayo, Aboni, Witness P-0269's mother-in-law and her grandson, a girl found by the river. 3444

LRA fighters also attempted to kill at least ten civilians. An LRA fighter fired shots through the door of a locked hut, killing the male inhabitant and wounding the female inhabitant in the neck. The LRA attempted to kill the following persons in the course of the attack: Santa Akello, Betty Atenyo, Christopher Moro, David Bua, Witness P-0252,



Kengali and his wife, a man by a borassus palm tree, Okot LC's mother and a woman shot in the mouth. 3445

1473. The Chamber finds that the evidence shows that during the 29 April 2004 attack on Odek

IDP camp, LRA fighters specifically targeted civilians and killed them, as ordered by

Dominic Ongwen. On some occasions, killings were not fully carried out because of

independent circumstances.

1474. The Chamber first discusses the evidence that LRA fighters purposefully killed or

attempted to kill people within Odek IDP camp during the course of their 29 April 2004

attack on the camp, specifically targeting civilians. The Chamber then discusses the

evidence of specific named individuals killed by the LRA or whom the LRA attempted

to kill both within the camp in the course of the attack and outside of the camp during

the LRA's retreat from Odek IDP camp.

1475. In the course of the proceedings, the Defence suggested that civilians killed during the

course of the attack on Odek IDP camp were shot during 'crossfire'. 3446 The Prosecution

argues that LRA fighters were seen killing civilians within and outside of the camp and

contends that '[w]hile it is entirely possible, even likely, that some civilians may have

died in circumstances such as these, the overwhelming evidence establishes that the LRA

intentionally killed at least 27 civilians'. 3447

1476. Having considered the totality of the evidence, the Chamber is convinced that the

evidence proves that LRA fighters killed the victims during the attack. The evidence does

not suggest that the civilians killed in Odek IDP camp were killed during crossfire

between government forces and the LRA fighters. The Chamber understands the killing

of civilians in crossfire to mean the death of civilians in an exchange of gunfire between

government soldiers and LRA fighters in which it is not possible to ascertain which party

actually shot the victim.

³⁴⁴⁵ Para. 169 above.

³⁴⁴⁶ See P-0269: <u>T-86</u>, p. 25, lines 15-16.

³⁴⁴⁷ See Prosecution Closing Brief, paras 290-4.

- 1477.LRA fighters P-0372, P-0264, P-0085, P-0233, LDU officer Julius Nyeko, and camp residents P-0269 and P'Oyoo Lakoch raised the possibility of the deaths of civilians by crossfire. The Chamber addresses each witness's testimony in turn.
- 1478.P-0372 testified that there were civilians 'caught in the crossfire'. 3448 When questioned about whether this meant that civilians were 'shot by accident or just that they were shot during the gun-fight', P-0372 testified that 'that was by accident, they were not targeted. You know, when there is exchange of gunfire and you are trying to flee, you can be a victim of a stray'. 3449 It is clear that the witness was speaking of what could happen in a general manner and in so far as he speaks specifically, the witness indicates that he was stating that civilians were not specifically targeted and not speaking of death by crossfire as the Chamber understands it. The Chamber notes that P-0372 does not testify to actually seeing a civilian struck by crossfire. The witness also does not indicate that such a 'stray' bullet would have come from being fired by government soldiers.
- 1479.P-0264 also testified of 'crossfire', saying '[s]o civilians were also caught in the crossfire'. 3450 The Chamber notes that in the context of P-0264's mention of crossfire, he was describing a scenario in which LRA forces were shooting at houses with closed doors on the suspicion that they housed government soldiers, and in the process shot civilians as well as government soldiers. The Chamber recalls that P-0264 also stated:

Well, there's – once you direct a barrel of a gun in a certain direction, the barrel of the gun is in that direction. I – my intention was to shoot at soldiers, but sometimes civilians get caught up in the cross-fire when you're shooting at soldiers. ³⁴⁵¹

1480. P-0264 offered an example which illustrated what he was describing: when the LRA arrived at the camp, a group of soldiers were drinking in the camp and there was a person in civilian clothing among them, '[a]nd these people go up. When they got up to flee [the LRA attackers] immediately started shooting at them and the civilian was caught in the crossfire – the civilian was shot at the time'. This is not 'crossfire' as the Chamber understands it; this is LRA fighters shooting at civilians.

³⁴⁴⁸ P-0372: <u>T-148</u>, p. 46, lines 5-14.

³⁴⁴⁹ P-0372: <u>T-148</u>, p. 46, line 22 – p. 47, line 1.

³⁴⁵⁰ P-0264: T-64, p. 52, line 25.

³⁴⁵¹ P-0264: T-64, p. 56, lines 3-8.

³⁴⁵² P-0264: T-66, p. 66, lines 4-11.

- 1481. When P-0264 spoke about 'crossfire', he was generally describing scenarios in which LRA fighters shooting at government soldiers also shot civilians in the midst of the soldiers. The initial gunfire within Odek IDP camp centre, as described by P-0264, was not a scenario where government soldiers and LRA forces were on two sides of the camp and civilians were 'accidentally' caught in the middle. Rather, it was one in which civilians and government soldiers were on one side and the LRA fighters on the other. And LRA fighters started to attack and shot indiscriminately, without care as to the lives of the civilians present.
- 1482. In this context, the Chamber recalls the testimony of Sinia fighter P-0309, who testified that his group found soldiers amongst the civilians and so they started shooting at the soldiers. P-0309 testified that the soldiers also shot back and fled. In P-0309's view he did not see anyone shooting directly at civilians, at the LRA fighters shot their 'guns, aiming at the soldiers who were mixed up with the civilians'. P-0309 testified that he saw five of these civilians who were mixed up with the soldiers who were being shot by the LRA, '[fall] down, they had blood over them'. P-0309 testified that he did not know whether the civilians were alive or dead, but he 'can confirm that they were wounded'. This is further evidence that the LRA fighters failed to distinguish between civilians and soldiers.
- 1483.Both LRA fighters and camp residents reported that the LRA fighters shot indiscriminately at civilians. 3459 LRA fighters also shot at government soldiers and

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³⁴⁵³ P-0309: <u>T-60</u>, p. 79, lines 9-11.

³⁴⁵⁴ P-0309: <u>T-60</u>, p. 81, lines 12-16; <u>T-61</u>, p. 4, lines 20-24.

³⁴⁵⁵ P-0309: <u>T-60</u>, p. 79, lines 12-14.

³⁴⁵⁶ P-0309: <u>T-60</u>, p. 79, lines 15-17.

³⁴⁵⁷ P-0309: <u>T-60</u>, p. 79, lines 18-20; <u>T-61</u>, p. 5, lines 3-6.

³⁴⁵⁸ P-0309: <u>T-60</u>, p. 79, lines 21-23.

³⁴⁵⁹ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 31 (stating that the fighters not at the barracks spread throughout the camp, killing civilians and looting); P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 20 (Zakeo Odora saw LRA fighters shooting at civilians. The rebels shot at anyone they saw, even opening the doors of huts and shooting the people inside); P-0218: <u>T-90</u>, p. 72, line 9 – p. 73, line 16; P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 21 (P'Oyoo Lakoch heard heavy gunshots coming towards his location in the camp and believing that the government soldiers had been defeated, he fled the camp. As he fled with other civilians, the LRA was running towards them, so the civilians scattered and ran off. P'Oyoo Lakoch stated that when the rebels started shooting at the civilians, the civilians ran in different directions). The Chamber discusses the LRA fighters shooting civilians in greater depth below in its discussion of the killing and attempted killing of civilians by LRA fighters.

civilians.³⁴⁶⁰ P-0352 testified that she could see civilians and government soldiers crying and falling down when they were shot.³⁴⁶¹

- 1484. The Chamber recalls P-0085's testimony that he was told by Dominic Ongwen that the civilians shot in Odek IDP camp were killed in the crossfire and also that Dominic Ongwen said he did not know what the civilians were actually doing. ³⁴⁶² In addition to the ample evidence to the contrary, as discussed below, neither Dominic Ongwen nor P-0085 were present at the Odek IDP camp attack. So while their conversation is evidence that Dominic Ongwen sent his fighters to attack Odek IDP camp, it is not reliable evidence of what actually occurred in the camp. In this context, the Chamber recalls its findings that Dominic Ongwen ordered armed LRA fighters to attack Odek IDP camp and to target everyone they find at Odek IDP camp, including civilians.
- 1485. Similarly, P-0233 did not participate in the attack on Odek IDP camp; however, he was told about the attack by a fighter who did. 3463 P-0233 only testified that he was told that the LRA went to attack Odek, however he then speculated that '[y]ou know, when there is a battle [...] if animals are fighting, the grass also suffers [...] when soldiers are fighting, soldiers cannot the bullets cannot bypass the civilians, so the civilians suffer like the grass that suffers under animals fighting'. 3464 In this context, P-0233 ventured that in Odek, civilians 'will be killed. They will be caught in the crossfire during the battle'. 3465 Noting that P-0233 was not at the attack, his comments were general in nature and not tied to anything he stated he was told about the Odek attack, the Chamber does not put weight on his testimony.
- 1486. Regarding LDU officer Julius Nyeko's indication of death of civilians by 'crossfire', the Chamber recalls its assessment of his credibility, particularly its view that his testimony was not clear as to what he witnessed or heard about during the attack, thus making it difficult for the Chamber to trust this aspect of his testimony. This is particularly true of Julius Nyeko's testimony about the actions of the mobile forces during the attack. Julius

³⁴⁶⁰ P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 122 (P-0352 could see LRA fighters shooting government soldiers and civilians in the camp).

³⁴⁶¹ P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 122.

³⁴⁶² P-0085: <u>T-158</u>, p. 43, lines 10-15. *See also* <u>T-159</u>, p. 37, lines 14-24.

³⁴⁶³ P-0233: T-111, p. 45, lines 11-15.

³⁴⁶⁴ P-0233: T-111, p. 45, lines 18-24.

³⁴⁶⁵ P-0233: <u>T-111</u>, p. 45, line 25 – p. 46, line 3.

Nyeko testified as though he was present in the camp and observed the mobile forces' behaviour in the attack. 3466 Yet he also indicated that he fled the camp during the attack and hid at a position about half a mile away from the camp and could not see what was happening in the camp. 3467 The Chamber notes that while other witnesses testified about the return of the mobile forces or reinforcement of government soldiers at the end of the attack, none testified as Julius Nyeko appears to have done that the mobile forces were engaged in the early period of the attack and were firing at the camp. 3468

1487. Although Julius Nyeko testifies that 'many people were saying a lot of people were shot from the shots that were coming from the school, '3469 the witness does not offer any particular detail to support this assertion. The Chamber also notes that no other witness testified along these lines. Indeed given the many witnesses that testified about the attack on Odek IDP camp, the Chamber is of the view that had there been widespread casualties from shots coming from government soldiers at the school, there would be further indication of this in the other available evidence. The Chamber also notes that Julius Nyeko did not testify that he personally witnessed any civilians being shot by the government soldiers. Nor does he testify that anyone told him of specific persons shot by government soldiers. Indeed of the 13 specific killings that the witness mentioned being told of after the attack, 3470 he described each of the victims as killed by the LRA.

1488.Regarding P-0269, the witness testified that there was an exchange of gunfire between the LRA and the government soldiers in which some government soldiers were killed.³⁴⁷¹ When asked whether it would be 'right to say that some civilians died in the crossfire', the witness replied '[y]es that is correct because there was exchange of fire, the rebels did not come quietly in the camp'.³⁴⁷² The Chamber notes here that the witness's testimony suggests that she believed that the LRA were at fault for the civilians killed.

³⁴⁶⁶ D-0066: T-214, p. 26, line 14-17, p. 28, lines 1-10.

³⁴⁶⁷ D-0066: <u>T-214</u>, p. 23, lines 4-6, p. 24, lines 11-19.

³⁴⁶⁸ See the Chamber's discussion of the course of the attack in para. 1552 below. See P-0264's sketch of Odek IDP camp, UGA-OTP-0256-0178 (indicating that the school is in the vicinity of the barracks, away from the camp centre).

³⁴⁶⁹ D-0066: T-214, p. 28, lines 13-14. See P-0264's sketch of Odek IDP camp, UGA-OTP-0256-0178.

³⁴⁷⁰ The soldier shot at the barracks along with his son, the soldier shot returning to the barracks, the woman shot in the camp and the nine men killed by the LRA in the bush. See D-0066: $\underline{\text{T-214}}$, p. 22, lines 10-20, p. 23, line 25 – p. 24, line 4 and p. 25, line 15 – p. 26, line 13.

³⁴⁷¹ P-0269: <u>T-86</u>, p. 25, lines 10-14.

 $^{^{3472}}$ P-0269: $\overline{\text{T-86}}$, p. 25, lines 15-18. From the context of her testimony, the witness here was discussing the initial phase of the attack when the government soldiers were defeated and fled.

Further, P-0269 hid inside during the course of the attack and generally could not see what was happening in the camp. 3473 The persons whom she did witness being killed, her neighbours, were shot by LRA fighters. 3474 Based on the witness's location in the course of the attack, she did not witness what happened in the camp, and the source of her testimony about 'crossfire' is not clear. The Chamber does not place any weight on this aspect of her testimony.

1489. As to her testimony about an exchange of gunfire between government soldiers and the LRA fighters during her abduction, 3475 the Chamber recalls that P-0269 testified that the group that abducted her was the last group of LRA fighters in the camp. 3476 In the Chamber's understanding, by the time the government soldiers arrived at the camp and engaged with the LRA fighters, most civilians had already fled and much of the LRA and their abductees were out of the camp. The Chamber also notes that P-0269 did not testify to seeing any civilian shot by government soldiers in the course of this exchange of gunfire.

1490.Lastly, P'Oyoo Lakoch testified that, as he was running away from the camp, he heard the government soldiers in the vicinity of Odek primary school. 3477 P'Oyoo Lakoch speculated that after hearing gunshots in the camp, these government soldiers returned from the earlier attempt to ambush the LRA. 3478 He testified that the soldiers started shooting at his group of camp residents because they thought they were rebels but stopped shooting when they realised that the group was not firing back. 3479 P'Oyoo Lakoch testified that the government soldiers then started shooting towards the camp. 3480 The Chamber is of the view that P'Oyoo Lakoch's testimony does not point to civilians being shot by government soldiers in the crossfire. Rather, he indicated that government

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³⁴⁷³ P-0269: <u>T-86</u>, p. 25, lines 19-22 (because P-0269 had hid inside, she could not tell who had killed the people whose corpses she saw lying on the group throughout the camp).

³⁴⁷⁴ See P-0269: <u>T-85</u>, p. 37, lines 6-18. See also the Chamber's discussion of the killing of Christopher Moro and David Bua in para. 1535 below.

³⁴⁷⁵ P-0269: <u>T-85</u>, p. 36, lines 7-25 (government soldiers shot and hit the soldier that abducted her and there was an exchange of gunfire between them).

³⁴⁷⁶ P-0269: <u>T-85</u>, p. 35, lines 12-19, p. 36, lines 7-20, p. 48, lines 14-16; <u>T-86</u>, p. 77, lines 14-19 (P-0269 was abducted by the last group of LRA fighters that was fighting in the camp about an hour after the LRA arrived at the camp).

³⁴⁷⁷ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 23.

³⁴⁷⁸ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 23.

³⁴⁷⁹ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 23.

³⁴⁸⁰ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 23.

soldiers attempting to engage LRA fighters were responsive to information in their surroundings alerting them to the presence of civilians.

- 1491. When questioned whether it was possible that the government soldiers could have shot some of the people in the camp, P'Oyoo Lakoch testified that given the kind of death and killing at the camp, it 'must have been the LRA because they were opening the doors, when they open the door and they find you inside they will shoot you and kill you'. ³⁴⁸¹ P'Oyoo Lakoch stated that it was possible that people were killed during the exchange of gunfire and bullets, but 'there is no confirmation'. ³⁴⁸² P'Oyoo Lakoch stated that there was no way he could investigate whether LRA bullets or government bullets killed people, however 'actions such as shooting or firing inside the house, opening, breaking people's doors and finding people and killing them, that makes me to conclude that these were LRA doing it'. ³⁴⁸³ P'Oyoo Lakoch testified however that indeed, not all the persons killed were shot inside their houses, 'some were shot from outside when they were trying to run and escape'. ³⁴⁸⁴ However, P'Oyoo Lakoch does not indicate that he knew of anyone killed by a government soldier.
- 1492. In all the evidence heard by the Chamber, not one witness testified of a specific incident where a civilian was shot by government soldiers or of a civilian actually killed in alleged crossfire. However, there is ample evidence that LRA fighters shot and killed civilians. As discussed below, many witnesses personally saw LRA fighters shoot civilians. As fighters shot indiscriminately into civilian homes. Further, in his reporting of the attack to Joseph Kony, Dominic Ongwen himself stated that 'many' or 'all' civilians were killed. Given the evidence considered by the Chamber, the Chamber considers it a necessary inference that LRA forces were the ones that killed the civilians in Odek

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³⁴⁸¹ P-0218: <u>T-90</u>, p. 79, lines 15-23.

³⁴⁸² P-0218: <u>T-90</u>, p. 79, lines 15-25.

³⁴⁸³ P-0218: <u>T-90</u>, p. 80, lines 11-19.

³⁴⁸⁴ P-0218: <u>T-90</u>, p. 80, lines 20-24.

³⁴⁸⁵ See also P-0325 Statement, UGA-OTP-0264-0242-R01, at paras 21, 22 (stating that the rebels were shooting directly at civilians, something the government soldiers did not do. Zakeo Odora saw LRA rebels shoot two civilians).

³⁴⁸⁶ P-0264: <u>T-64</u>, p. 52, lines 23-25 (stating that the LRA forces acted on an LRA commander's order to shoot on any house with a closed door and began to shoot, some civilians were shot and some soldiers were shot); P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 20 (Zakeo Odora saw LRA fighters opening the doors of huts and shooting people inside).

³⁴⁸⁷ See the Chamber's discussion on reporting of the attack in section IV.C.7.vii below.

- IDP camp. The suggestion that civilians were killed in crossfire is speculation unsupported by the evidence heard in these proceedings.
- 1493. Rather, the Chamber heard credible evidence that civilians were killed by the LRA during the attack. The evidence shows that LRA fighters deliberately targeted civilians.
- 1494.LRA fighters testified that the LRA killed civilians in Odek IDP camp. As P-0330, a fighter in Dominic Ongwen's Sinia brigade who participated in the Odek IDP camp attack, stated, describing the scene during the attack: '[w]henever the Holys are carrying out an operation you can be sure of deaths, especially in civilian homes'. Although P-0054 did not witness any killings himself, the Chamber considers his testimony that he heard that people had been killed in the Odek attack from some of the fighters that went there as well as from Radio Mega after the attacks as corroborative evidence of other eye-witnesses' accounts that the LRA killed people in the Odek attack.
- 1495.P-0264, one of Dominic Ongwen's Sinia fighter who participated in the Odek IDP camp attack, testified that he was armed with a gun and he fired it within the camp. 3490 According to P-0264, the LRA forces that went into the civilian centre of the camp were given the order to be 'extremely cautious', which to them meant to start shooting at houses. 3491 P-0264 testified that the LRA fighters thus started shooting at every house, 'any house that we came across there was a barrage of bullets into that house and I personally also did that'. 3492 P-0264 testified that after he shot one house, he could hear people crying in the house, he testified that the voice he heard was an adult. 3493 When asked whether he shot his gun at a civilian, P-0264 stated that he shot 'in the houses, but that's well, that's equal to shooting civilians because you're firing in a civilian camp'. 3494
- 1496. As discussed above, P-0264 testified that he shot and killed a civilian because the man was among government soldiers. ³⁴⁹⁵ P-0264 also testified that he saw about 10 dead

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3488 P-0330: T-52, p. 19, lines 20-23.
3489 P-0054: T-93, p. 20, lines 15-23.
3490 P-0264: T-64, p. 53, lines 2-5.
3491 P-0264: T-64, p. 53, line 13 – p. 54, line 1. According to P-0264, government soldiers entered civilian houses and thus the LRA fired into houses.
3492 P-0264: T-64, p. 54, lines 1-3.
3493 P-0264: T-64, p. 54, lines 3-4, p. 58, lines 4-14.
3494 P-0264: T-64, p. 54, lines 18-21.
3495 P-0264: T-64, p. 55, line 10 – p. 56, line 8.
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civilians in the Odek IDP camp, a mixture of men, women and children.³⁴⁹⁶ P-0264 indicated that these civilians were killed by LRA fighters, stating that some had bullets in their chests and heads.³⁴⁹⁷ P-0264 testified that:

[T]he way LRA soldiers are trained, we are told that in order to shoot somebody sufficiently you have to shoot the person in [the head or the chest] so that the person does not survive. So I know that anybody who shoots at somebody either shoots on the head or on the chest for maximum effect. And that's why those people were shot in the head and the chest.³⁴⁹⁸

- 1497. The Chamber finds P-0264's account of the behaviour of LRA soldiers within the civilian area of the camp detailed, comprehensive and consistent. The Chamber finds the witness credible and finds that the civilian shot by P-0264 was at least a victim of an attempted killing. The Chamber also considers that P-0264 offers credible evidence of the manner in which LRA fighters generally shot at civilians. The Chamber also notes the testimony of Sinia attacker P-0352 who testified that she saw civilians being shot by the LRA in the course of the attack. 3499 This, in addition to the ample evidence discussed in detail below of the many persons killed by LRA fighters in the camp, corroborates P-0264's account of the LRA's shooting of civilians in the camp.
- 1498.P-0406, a fighter in Dominic Ongwen's Sinia brigade, testified that during the attack, he saw the dead bodies of three civilians who had been clubbed in the head. ³⁵⁰⁰ P-0406 testified that the club was left by the dead bodies. ³⁵⁰¹ Similarly, P-0330 testified that he saw civilians killed by 'seasoned' LRA fighters in Odek IDP camp. ³⁵⁰² P-0330 testified that the civilians were killed by being beaten on the back of their heads while having their arms tied behind their back. ³⁵⁰³ Additionally, P-0330 testified that he saw a civilian stabbed by an LRA fighter he called Lapwony Oyo. ³⁵⁰⁴ The Chamber considers that these witnesses' accounts are consistent and mutually corroborative of the fact that LRA fighters targeted civilians and beat or stabbed them to death in Odek IDP camp.

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<sup>3496</sup> P-0264: T-64, p. 60, lines 3-6.

<sup>3497</sup> P-0264: T-64, p. 60, lines 7-14.

<sup>3498</sup> P-0264: T-64, p. 60, lines 17-21.

<sup>3499</sup> P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 123.

<sup>3500</sup> P-0406: T-154, p. 47, lines 18-23.

<sup>3501</sup> P-0406: T-154, p. 47, lines 24-25.

<sup>3502</sup> P-0330: T-52, p. 25, lines 14-25.

<sup>3503</sup> P-0330: T-52, p. 26, lines 19-21.

<sup>3504</sup> P-0330: T-52, p. 20, lines 5-8.
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- 1499. The Chamber also notes that there is no evidence, or even suggestion, that government soldiers, who were the only other armed forces active in Odek IDP camp during the attack, beat any civilian during the attack. Indeed, although the government soldiers fired shots for a short period of time during the attack as described above, they were described as fleeing from the LRA.
- 1500. Further, the evidence shows that at least some civilians were burnt in their homes. The Chamber notes that the evidence does not indicate that there was a widespread burning of the civilian area of Odek IDP camp comparable to the burning that occurred in the Lukodi and Abok IDP camp attacks. However, the evidence indicates that at least some civilian homes were burned down. In that context, the Chamber recalls P-0352's testimony that she saw one house being burned with civilians inside. The P-0330 corroborates this account, testifying that he saw dead people in Odek, including people burned in their huts by LRA fighters. P-0406's testimony corroborates the other witnesses' accounts that civilian's homes were set on fire.
- 1501. The Chamber is satisfied that the evidence shows that the LRA set at least one house on fire with civilians inside and the person(s) inside that home was (were) at least the victim(s) of an attempted killing by the LRA.
- 1502. The Chamber notes camp resident P'Oyoo Lakoch's and LDU Officer Julius Nyeko's testimony that the people whose dead bodies they observed in the camp after the attack mostly died of gunshot wounds. The Chamber notes that these witnesses testified to what they observed and the evidence indicates that these witnesses could not have observed all the persons killed in the camp. Since the credible evidence that the LRA did indeed kill people by other means than gunshot, the amount of people killed in the

³⁵⁰⁵ The Chamber notes that the huts that comprised the barracks were completely burned down by the LRA.

³⁵⁰⁶ See P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 20 (the rebels burned the huts surrounding the barracks).

³⁵⁰⁷ P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 123.

³⁵⁰⁸ P-0330: T-52, p. 20, line 9 – p. 21, line 1.

³⁵⁰⁹ P-0406: T-154, p. 46, lines 23-25; T-154-CONF, p. 47, lines 1-10 (P-0406 saw houses burning in the camp. LRA fighters would light a match and then set fire to houses. P-0406 personally set a house on fire, under orders of an LRA commander).

³⁵¹⁰ P-0218: T-90, p. 12, lines 18-21; D-0066: T-214, p. 25, lines 9-17, p. 26, lines 9-13.

Residents started removing the dead early in the morning. See P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 36, 74, 76 (according to Camp Leader Mario Ottober, camp residents started removing the bodies of their relatives for burial before the sunrise, as they were afraid the bodies would start decaying. Most people were buried at a place near the camp but some people took the bodies of their relatives to other places).

attack, the quick burial of the deceased, often in disparate places, the Chamber concludes that these witnesses' testimony is not inconsistent with the Chamber's finding that some persons were killed or the LRA attempted to kill people by other means than gunshots.

- 1503. Camp residents and LDU officer Julius Nyeko corroborate the LRA fighters' accounts that the LRA targeted civilian residents.
- 1504.P'Oyoo Lakoch testified that the LRA fighters were the ones shooting at the camp inhabitants. P'Oyoo Lakoch testified that there were children among the civilians killed in the attack, all of whom had been shot. Witnesses testified that the day after the attack, they saw corpses all over the camp, and people were collecting the bodies. LDU soldier Julius Nyeko stated that the day after the attack, government soldiers went around with the civilian leaders to see 'what happened to whom'. Julius Nyeko explained:

[W]e returned and came and found so many dead bodies and then some injured people. Well, you know some people never fled, they closed themselves – locked themselves in their houses [...] some of them were killed in their houses and you just find them, the dead bodies in there. 3516

- 1505. The evidence shows that the LRA targeted civilians for killing or attempted killing. Below, the Chamber discusses the evidence of specific individuals killed or attempted to be killed by the LRA in the camp during the attack.
- 1506. **Adoni Okullu**: P'Oyoo Lakoch stated that just after dawn the day after the attack, he saw the body of his 80 years old uncle, 'Adonia Okullu', laying outside Adoni Okullu's home. P'Oyoo Lakoch described the wounds he observed, '[h]e was shot in the chest from the back, and the bullet came out from the front, from his chest, and he fell on his

³⁵¹² P-0218: T-90, p. 13, lines 12-14.

³⁵¹³ P-0218: T-90, p. 12, line 23 – p. 13, line 11.

³⁵¹⁴ P-0270 Statement, UGA-OTP-0241-0168-R01, at para. 37 (the morning after the attack, Helen Opoka Acan saw many corpses, of children and adults, stating, 'you could see corpses everywhere and people were collecting them'); P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 25 (P'Oyoo Lakoch heard people wailing and crying and as he entered the camp the morning after the attack, there were dead bodies everywhere. Men, women and children of all ages were all killed); P-0269: T-85, p. 50, lines 12-18 (when P-0269 returned to the camp after her release from the LRA, she found so many dead bodies littering the camp, as well as the other bodies along the way); P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 29 (when P-0275 was being abducted by LRA fighters, he saw many dead people around).

³⁵¹⁵ D-0066: <u>T-214</u>, p. 23, lines 20-21.

³⁵¹⁶ D-0066: <u>T-214</u>, p. 24, lines 22-25, p. 25, lines 7-8.

³⁵¹⁷ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 26.

belly'. 3518 P'Oyoo Lakoch testified that he personally buried his uncle next to the river. 3519 The Chamber finds P'Oyoo Lakoch's testimony credible. It is also consistent with other credible evidence. Former Odek IDP Camp Leader, Mario Ottober, confirms this evidence. He testified that 'Okullu *Adonibejege*', 3520 an old person, was shot at his house in the trading centre. Mario Ottober stated that he heard about the killing from Adoni Okullu's relatives. Further, Helen Opoka Acan, a former Odek IDP camp resident, testified that the morning after the attack, she saw several corpses of people who had died during the attack, 3523 including the body of 'Okullu Adoni'. Similarly, ISO Officer P-0301's, post-attack incident report lists 'Adonyi Bajedo Okullu' as one of the persons killed during the 29 April 2004 LRA attack on Odek IDP camp. In light of

³⁵¹⁸ P-0218: <u>T-90</u>, p. 78, line 25 – p. 79, line 3; P-0218 Statement, UGA-OTP-0238-0720-R01, at paras 26, 42. ³⁵¹⁹ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 42; P-0218: <u>T-90</u>, p. 16, lines 12-18, p. 78, lines 21-24

³⁵²⁰ The Chamber notes that the witnesses refer to Adoni Okullu by different first names – Adonyi Bajedo, Adonibejege, Adoni, and Adonia. Given the overwhelming similarities in this first name and the fact that they all refer to the last name of Okullu, the Chamber is of the view that the evidence all refer to the same person, Okullu Adoni. The Chamber is of the view that the evidence provided by these witnesses in relation to Adoni Okullu's death is consistent and credible and mutually corroborative.

³⁵²¹ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 61.

³⁵²² P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 61. P-0274's 2004 Diary pages, UGA-OTP-0244-3391, UGA-OTP-0244-3393, at number 8. See also P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 46, 54, 61 (Mario Ottober provided a 2004 Diary in which 'Okullu Adonibejege' is listed among the persons killed in the 29 April 2004 Odek attack and testified that he wrote the names of camp residents who were injured and killed during the attack in this personal diary in the aftermath of the attack). The Chamber recalls that the Defence contends that Mario Ottober's 2004 Diary pages are not official but rather personal records not created in the normal course of business. <u>Defence Response to Prosecution Application under Rule 68(2)(b)</u>, para. 179. See also First Decision on Prosecution Applications under Rule 68(2)(b), at paras 76-77. The Chamber does not consider it necessary to determine whether Mario Ottober's diary pages are or are not business records. The diary pages have evidentiary value as contemporaneous records the witness made of what he witnessed and was told about the persons injured and deceased as a result of the 29 April 2004 Odek IDP camp attack. The witness provided the pages to the Prosecution, authenticated his writings and explained the contents, adding details that contextualised the entries. See P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 46-75. The Chamber is convinced by his evidence and is of the view that the 2004 Diary entries are an authentic, contemporaneous account of the deceased persons Mario Ottober saw or and was informed about in the aftermath of the 29 April 2004 IDP camp attack. Thus, Mario Ottober's diary pages provide a contemporaneous record of the death of Adoni Okullu in the attack on Odek IDP camp.

³⁵²³ P-0270 Statement, UGA-OTP-0241-0168-R01, at para. 38.

³⁵²⁴ P-0270 Statement, UGA-OTP-0241-0168-R01, at para. 38.

³⁵²⁵ P-0301 Incident Report, UGA-OTP-0249-0438-R01, at 0439. Adoni Okullu is number 7 on the list of the deceased. The Chamber notes that P-0301 lists Adonyi Bajedo Okullu on his list of deceased. The Chamber notes that this report was introduced into evidence in its <u>First Decision on Prosecution Applications under Rule 68(2)(b)</u>, at p. 110. P-0301 discussed this incident report in his statement, testifying that the report was based on his interviews with the survivors of the attack, including the camp leaders and the relatives of the deceased or abducted (UGA-OTP-0249-0423-R01, para. 60). The Chamber considers the document to be the authentic incident report created by P-0301 in May 2004 reporting on the 29 April 2004 attack on Odek IDP camp.

the totality of the evidence,³⁵²⁶ the Chamber considers that Adoni Okullu was shot and killed by the LRA during the Odek IDP camp attack.

1507. **Agudu's wife and her grandson**: Former Odek IDP camp block leader Zakeo Odora testified that after the attack, he and other camp residents, as well as people from another nearby IDP camp, dug graves to bury some of the persons killed during the LRA's attack on the camp. These persons were buried on land Zakeo Odora and his family donated to serve as a grave site. These persons buried on that land are a woman Zakeo Odora referred to as the 'wife of Agudu' and her grandson, buried in separate graves beside each other. Zakeo Odora testified that they were both killed in the course of the LRA's attack on the camp. Zakeo Odora testified that Agudu's wife was found with her grandson wrapped around her back. She had been shot in the breast and the bullet had passed through her and also killed her grandson. Zakeo Odora testified the boy was around four years old. The Chamber finds Zakeo Odora's testimony as to these deaths detailed, compelling and credible. In light of the totality of the evidence, the Chamber finds that LRA fighters shot and killed Agudu's wife and her grandson during the Odek IDP camp attack.

1508. **Betty Adong and her daughter Ajok**: Mario Ottober testified that after the attack, he was informed by the relatives of Betty Adong and her daughter Ajok that they were killed during the 29 April 2004 Odek attack. 3535 Helen Opoka Acan also testified that Betty Adong died the day after the attack. Helen Opoka Acan testified that she saw Betty Adong that day when she was still alive. 3537 Helen Opoka Acan stated that when she saw

³⁵²⁶ The Chamber recalls its above finding that the killings in Odek IDP camp are attributable to the LRA.

³⁵²⁷ P-0325 Statement, UGA-OTP-0264-0242-R01, at paras 30-31.

³⁵²⁸ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 30.

³⁵²⁹ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 32.

³⁵³⁰ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 32.

³⁵³¹ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 32.

³⁵³² P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 32. ³⁵³³ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 32.

³⁵³⁴ The Chamber recalls its above finding that the killings in Odek IDP camp are attributable to the LRA.

³⁵³⁵ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 63. Mario Ottober provided his 2004 Diary in which Betty Adong and Ajok are listed among the persons killed in the 29 April 2004 Odek attack thus providing a contemporaneous record of their death. Betty Adong is listed at number 12 and Ajok at number 13. P-0274's 2004 Diary pages, UGA-OTP-0244-3391, UGA-OTP-0244-3393. *See also* P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 46, 54, 63. In his diary recording her death, P-0274 writes 'Ajok D/O Adong Betty'. *See* P-0274's 2004 Diary pages, UGA-OTP-0244-3391, UGA-OTP-0244-3393. Given his testimony, the Chamber understands the notation 'D/O' to mean 'daughter of'.

³⁵³⁶ P-0270 Statement, UGA-OTP-0241-0168-R01, at para. 47.

³⁵³⁷ P-0270 Statement, UGA-OTP-0241-0168-R01, at para. 47.

Betty Adong 'she was alive but she could not go anywhere and was in her house [...] she was lying in the house alone'. 3538 She was later told that Betty Adong had died that day. 3539 Further corroborating the witnesses' accounts, P-0301's Incident Report lists 'Ajok D/O Adong Betty' as one of the persons killed during the 29 April 2004 LRA attack on Odek IDP camp. 3540 In light of the totality of the evidence, 3541 the Chamber finds that Betty Adong and her daughter Ajok were killed by the LRA as a result of the Odek IDP camp attack.

1509. Catherine Amono: Hellen Adong testified that while she was abducted and walking with LRA fighters in the course of the attack, she saw the dead body of a woman with a baby on her back. 3542 She testified that the baby was still alive. 3543 Hellen Adong did not recall the name of the woman. 3544 Hellen Adong's testimony is completed and further explained by the statements of Mario Ottober, Hellen Adong's husband, to whom Hellen Adong provided further details immediately after the attack. Mario Ottober stated that he was told by his wife that as she was passing by with the rebels who had abducted her, she saw the body of Catherine Amono and was told by the rebels that she would end up like Catherine Amono if she is stubborn. 3545 Mario Ottober stated that Catherine Amono was shot whilst she had a child tied to her back and 'fell with the child at the back', however the child survived. 3546 In light of the overlapping accounts of Hellen Adong and Mario Ottober, it is clear that the two witnesses spoke of the same victim, Catherine Amono. Also corroborating the witnesses' accounts, P-0301's Incident Report lists 'Ariono Catherine' as one of the persons killed during the 29 April 2004 LRA attack on Odek

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³⁵³⁸ P-0270 Statement, UGA-OTP-0241-0168-R01, at para. 47.

³⁵³⁹ P-0270 Statement, UGA-OTP-0241-0168-R01, at para. 47.

³⁵⁴⁰ P-0301 Incident Report, UGA-OTP-0249-0438-R01, at 0439. Betty Adong is number 4 on the list of the deceased. The Chamber is of the view that in line with Mario Ottober notations, P-0301's notation of 'D/O' refers to 'daughter of' and is an indication that Ajok was the daughter of Adong Betty.

³⁵⁴¹ The Chamber recalls its above finding that the killings in Odek IDP camp are attributable to the LRA.

³⁵⁴² P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 34.

³⁵⁴³ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 34.

³⁵⁴⁴ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 34.

³⁵⁴⁵ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 58.

³⁵⁴⁶ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 58. Further, in his 2004 diary entry listing the persons killed in the 29 April 2004 Odek attack, Mario Ottober included 'Amono Catherine', thus providing a contemporaneous record of the death. P-0274's 2004 Diary pages, UGA-OTP-0244-3391, UGA-OTP-0244-3393. Catherine Amono is listed as number 6. *See also* P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 46, 54, 58.

IDP camp.³⁵⁴⁷ In light of the totality of the evidence,³⁵⁴⁸ the Chamber is convinced that Catherine Amono was killed by LRA fighters in the course of the attack.

1510. Okeny, the government soldier's son: Several witnesses testified about the death of the government soldier's son in the course of the LRA's attack on Abok IDP camp. Zakeo Odora testified that a government soldier, Paleo Lapii, and his son Okeny died during the attack. 3549 Zakeo Odora testified that their bodies were taken away from Odek IDP camp to be buried at Acet IDP camp. 3550 Mario Ottober corroborates Zakeo Odora's account, testifying that an LDU soldier called Lapii was killed at the barracks along with his child who was with him. 3551 Similarly, P'Oyoo Lakoch stated that after the attack the body of an LDU soldier was found lying by the barracks alongside that of his four year old son, who had also been shot dead. 3552 LDU soldier Julius Nyeko provided further detail. According to Julius Nyeko, during the LRA's attack on the government barracks, one of the soldiers in the barracks tried to fight back because he had a child in the barracks and the LRA came and eventually killed the soldier together with his child. 3553 In light of the credible and consistent testimony of the witnesses, the Chamber considers that Okeny, the government soldier's son, was shot and killed by the LRA during the Odek IDP camp attack.

1511. Aldo Okello and shooting of Santa Akello: P'Oyoo Lakoch testified that in the aftermath of the attack, he spoke to Santa Akello and her husband, Aldo Okello. 3554 P'Oyoo Lakoch testified that he was told by Santa Akello that during the attack when the gunfire intensified, she and her husband, Aldo Okello, locked themselves in their hut. 3555 LRA fighters attempted to open the door and, when they failed, they fired their guns

³⁵⁴⁷ P-0301 Incident Report, UGA-OTP-0249-0438-R01, at 0439. Catherine Amono is number 18 on the list of the deceased. The Chamber notes the slight difference in the last name and considers it a minor misspelling.

³⁵⁴⁸ The Chamber recalls its above finding that the killings in Odek IDP camp are attributable to the LRA.

³⁵⁴⁹ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 38. Given the similarity in the details of the killing, the Chamber is of the view that this is the same deaths referenced by D-0066 in para. 1443.

³⁵⁵⁰ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 38.

³⁵⁵¹ P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 39, 74.

³⁵⁵² P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 28. The Chamber notes that P'Oyoo Lakoch calls the soldier 'Albriko Lapir' while Mario Ottober references 'Lapii' and Zakeo Odora, 'Paleo Lapii'. Given the overwhelming similarities in their descriptions of the manner and scenario in which the soldier was killed, the Chamber is of the view that they are speaking of the same soldier whose young child was killed in the attack.

³⁵⁵³ D-0066: <u>T-214</u>, p. 22, lines 9-19.

³⁵⁵⁴ P-0218: <u>T-90</u>, p. 11, line 14 – p. 12, line 5

³⁵⁵⁵ P-0218: <u>T-90</u>, p. 11, lines 14-19.

inside the house and both Santa Akello and Aldo Okello were shot. One of the LRA's bullets hit Aldo Okello in the mouth and another hit Santa Akello in the neck. P'Oyoo Lakoch testified that Aldo Okello died in Lacor hospital on 3 May 2004, a few days after the attack, as a result of the injury from the bullet. P'Oyoo Lakoch appears to have spoken to Aldo Okello before he died and testified that when he spoke to him Aldo Okello was seriously injured; he had injuries in his mouth from being hit by a bullet. P'Oyoo Lakoch testified that he personally buried Aldo Okello. Santa Akello was treated for her injury and survived. P'Oyoo Lakoch testified that she still has scars from the injury.

1512.Other evidence corroborates P'Oyoo Lakoch's account, Mario Ottober testified that Santa Akello told him that Aldo Okello was shot in the mouth and his tongue was cut off by the bullet. 3563 Mario Ottober also testified that Santa Akello was shot on the back of her neck and the injury healed. 3564 Similarly, Hellen Adong, a former camp resident who is married to Camp Leader Mario Ottober, corroborates the accounts that Santa Akello was shot. However, unlike Mario Ottober and P'Oyoo Lakoch, who testified that Santa Akello was shot in the neck, Hellen Adong testified that Santa Akello was hit by a bullet in her abdomen during the attack. 3565 She testified that the bullet remained in her stomach until it was removed at the hospital and Santa Akello survived. 3566 The Chamber does not find the discrepancy between Mario Ottober and Hellen Adong's account dispositive. Both witnesses testified independently, along with P'Oyoo Lakoch, that Santa Akello was shot during the attack and recovered. The Chamber puts more weight on the testimony of P'Oyoo Lakoch and Mario Ottober who both testified of speaking to Santa Akello. Further corroborating the witnesses' accounts, P-0301's Incident Report lists 'Okello Aldo' as one of the persons killed during the 29 April 2004 LRA attack on Odek

³⁵⁵⁶ P-0218: <u>T-90</u>, p. 11, line 14 – p. 12, line 5.

³⁵⁵⁷ P-0218: T-90, p. 12, lines 3-4; P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 30.

³⁵⁵⁸ P-0218: T-90, p. 12, lines 3-5; P-0218 Statement, UGA-OTP-0238-0720-R01, at paras 30, 42.

³⁵⁵⁹ P-0218: <u>T-90</u>, p. 12, lines 1-5; P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 30.

³⁵⁶⁰ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 42.

³⁵⁶¹ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 30.

³⁵⁶² P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 30.

³⁵⁶³ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 64.

³⁵⁶⁴ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 50. Mario Ottober's 2004 diary also lists Aldo Okello among the persons killed in the 29 April 2004 Odek attack providing a contemporaneous record of Aldo Okello's death. P-0274's 2004 Diary pages, UGA-OTP-0244-3391, UGA-OTP-0244-3393. *See also* P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 46, 54, 64. Aldo Okello is number 14 on Mario Ottober's list.

³⁵⁶⁵ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 60.

³⁵⁶⁶ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 60.

IDP camp.³⁵⁶⁷ The Incident Report lists 'Akello Santa' as one of the persons injured during the 29 April 2004 LRA attack on Odek IDP camp and still hospitalised in Lacor hospital as of May 2004.³⁵⁶⁸ In light of the consistent and credible evidence, the Chamber finds that LRA fighters killed Aldo Okello and attempted to kill Santa Akello in the course of the Odek IDP camp attack by shooting her.

- 1513. **Ayita Labanya**: P'Oyoo Lakoch testified that after the attack he saw the dead body of Ayita Labanya. ³⁵⁶⁹ P'Oyoo Lakoch testified that Ayita Labanya was shot in the back. ³⁵⁷⁰ According to P'Oyoo Lakoch, Ayita Labanya was an elderly man in his 70s. ³⁵⁷¹ The Chamber finds P'Oyoo Lakoch's account credible. In light of the totality of the evidence, ³⁵⁷² the Chamber finds that Ayita Labanya was shot and killed by LRA fighters in the course of the attack on Odek IDP camp.
- 1514. **Charles Obur**: Mario Ottober testified that the day after the attack, he saw the body of Charles Obur, a trainee Catechist, who was killed in the course of the attack on Odek IDP camp. Charles Obur was shot in the chest, one of his arms was broken and he had fallen on one side. Additionally, P-0301's Incident Report lists 'Obur Charles' as one of the persons killed during the 29 April 2004 LRA attack on Odek IDP camp. This evidence provides corroboration of Mario Ottober's accounts of Charles Obur's death. In light of the totality of the evidence, the Chamber finds that Charles Obur was killed by LRA fighters during the attack on Odek IDP camp.
- 1515. **Doris Apiyo**: Zakeo Odora testified that as he was returning to the camp the morning after the attack, he was told by his neighbour that Doris Apiyo, his nephew Ojok's wife,

³⁵⁶⁷ P-0301 Incident Report, UGA-OTP-0249-0438-R01, at 0439. Aldo Okello is number 21 on the list of the deceased.

³⁵⁶⁸ P-0301 Incident Report, UGA-OTP-0249-0438-R01, at 0440.

³⁵⁶⁹ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 27.

³⁵⁷⁰ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 27.

³⁵⁷¹ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 27.

³⁵⁷² The Chamber recalls its above finding that the killings in Odek IDP camp are attributable to the LRA.

³⁵⁷³ P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 26, 37, 68.

³⁵⁷⁴ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 37. Mario Ottober's 2004 diary lists 'Obur' among the persons killed in the 29 April 2004 Odek attack thus providing a contemporaneous record of Charles Obur's death. P-0274's 2004 Diary pages, UGA-OTP-0244-3391, UGA-OTP-0244-3393. Charles Obur is number 18 on the list. *See also* P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 46, 54, 71.

³⁵⁷⁵ P-0301 Incident Report, UGA-OTP-0249-0438-R01, at 0439. Charles Obur is number 9 on the list of the deceased.

³⁵⁷⁶ The Chamber recalls its above finding that the killings in Odek IDP camp are attributable to the LRA.

had been shot dead. 3577 Zakeo Odora testified that he went and saw Doris Apiyo's body, she was lying face down on the ground, shot in the back of the head. 3578 Zakeo Odora testified that as she lay there dead, her baby boy, Opira, was still tied to her back, 'crying so loud'. 3579 Zakeo Odora testified that he removed Opira from his mother's back and wrapped him in a blanket. 3580 Zakeo Odora testified that Doris Apiyo was 22 years old at the time of her death, 3581 the mother of two young children. 3582 Doris Apiyo was buried on Zakeo Odora's land. 3583 In his annotated sketch of the Odek IDP camp, Zakeo Odora indicated the spot where he discovered Doris Apiyo's body. 3584 Zakeo Odora found Doris Apiyo's body in Block C of the camp, in the thick of the civilian camp, far away from the government barracks and in some distance from the trading centre. Mario Ottober corroborates Zakeo Odora's account, testifying that Doris Apiyo's relatives informed him that she was shot and killed in the attack. 3585 Further corroborating the witnesses' accounts, P-0301's Incident Report lists 'Dorris Apiyo' as one of the persons killed during the 29 April 2004 LRA attack on Odek IDP camp. 3586 In light of the totality of the evidence, 3587 the Chamber concludes that Doris Apiyo was shot and killed by LRA fighters during the 29 April 2004 Odek attack.

1516.**Jenaro Ongwen**: Several witnesses testified that Jenaro Ongwen was shot and killed in the course of the attack on Odek IDP camp. Helen Opoka Acan testified that Jenaro Ongwen's corpse was found behind her house.³⁵⁸⁸ Helen Opoka Acan testified of the behaviour of rebel fighters near her house, stating that they 'filled up the place' with

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³⁵⁷⁷ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 28.

³⁵⁷⁸ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 28.

³⁵⁷⁹ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 28 (Opira was no more than one year old at the time of the attack).

³⁵⁸⁰ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 28.

³⁵⁸¹ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 28.

³⁵⁸² P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 28.

³⁵⁸³ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 32.

³⁵⁸⁴ P-0325 Sketch of Odek IDP camp, UGA-OTP-0264-0252-R01; P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 28.

³⁸⁸⁵ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 65. Mario Ottober's diary also list 'Apio Dorish' among the persons killed in the 29 April 2004 Odek attack thus providing a contemporaneous record of Doris Apiyo's death. P-0274's 2004 Diary pages, UGA-OTP-0244-3391, UGA-OTP-0244-3393. Doris Apiyo is number 15 on this list. *See also* P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 46, 54, 65. Given the overwhelming similarities in the name and Mario Ottober's testimony, the Chamber is of the view that Mario Ottober's list refers to Doris Apiyo.

³⁵⁸⁶ P-0301 Incident Report, UGA-OTP-0249-0438-R01, at 0439. Doris Apiyo is number 16 on the list of the deceased.

³⁵⁸⁷ The Chamber recalls its above finding that the killings in Odek IDP camp are attributable to the LRA.

³⁵⁸⁸ P-0270 Statement, UGA-OTP-0241-0168-R01, at paras 37-38.

'gunshots everywhere'. 3589 She testified of LRA fighters entering her home, carrying guns, shouting "Cut! Shoot"! 3590 She testified that '[t]hey came to kill'. 3591 The Chamber notes that Helen Opoka Acan did not testify that any group other than LRA fighters fired guns near her home. 3592 Hellen Adong testified that she saw the body of a man called Ongwen just outside of his house. 3593 In testimony consistent with others, P-0269 stated that on her way returning from captivity the day after the attack, she saw the body of an elderly man, Mzee (Mr) Ongwen, as it was being taken to be buried. 3594 Similarly, Mario Ottober testified that although he did not personally see the body, he was told by Jenaro Ongwen's relatives that he was shot. 3595 The Chamber considers that the witnesses' accounts are consistent and mutually corroborative. Further corroborating the witnesses' accounts, P-0301's Incident Report lists 'Ongwen Jenaro' as one of the persons killed during the 29 April 2004 LRA attack on Odek IDP camp. 3596 In light of the totality of the evidence, 3597 the Chamber finds that Jenaro Ongwen was shot and killed by LRA fighters.

1517. **Jimmy Ojok**: Mario Ottober testified that the relatives of Jimmy Ojok informed him that Jimmy Ojok was killed during the 29 April 2004 Odek attack. Corroborating Mario Ottober's evidence, P-0301's Incident Report lists 'Ojok Jimmy' as one of the persons killed during the 29 April 2004 LRA attack on Odek IDP camp. In light of the totality

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³⁵⁸⁹ P-0270 Statement, UGA-OTP-0241-0168-R01, at para. 20.

³⁵⁹⁰ P-0270 Statement, UGA-OTP-0241-0168-R01, at para. 22.

³⁵⁹¹ P-0270 Statement, UGA-OTP-0241-0168-R01, at para. 22.

³⁵⁹² See P-0270 Statement, UGA-OTP-0241-0168-R01. While Helen Opoka Acan testified that government soldiers ran after the rebels after the attack, she testified that she could identify them as government soldiers because she could hear their gumboots and they spoke a different language. P-0270 Statement, UGA-OTP-0241-0168-R01, at para. 34.

³⁵⁹³ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 34.

³⁵⁹⁴ P-0269: <u>T-85</u>, p. 50, line 23 – p. 51, line 8.

³⁵⁹⁵ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 57. Mario Ottober provided a 2004 Diary which lists Jenaro Ongwen among the persons killed in the 29 April 2004 Odek attack thus providing a contemporaneous record of Jenaro Ongwen's death. P-0274's 2004 Diary pages, UGA-OTP-0244-3391, UGA-OTP-0244-3393. Jenaro Ongwen is number 4 on the list. *See also* P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 46, 54, 57.

³⁵⁹⁶ P-0301 Incident Report, UGA-OTP-0249-0438-R01, at 0439. Jenaro Ongwen is number 4 on the list of the deceased.

³⁵⁹⁷ The Chamber recalls its above finding that the killings in Odek IDP camp are attributable to the LRA.

³⁵⁹⁸ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 63. In his 2004 diary entry listing of the persons killed in the 29 April 2004 Odek IDP camp attack, Mario Ottober lists Jimmy Ojok as one of the victims thus providing a contemporaneous record of Jimmy Ojok's death. P-0274's 2004 Diary pages, UGA-OTP-0244-3391, UGA-OTP-0244-3393. Jimmy Ojok is number 11 on the list. *See also* P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 46, 54, 63.

³⁵⁹⁹ P-0301 Incident Report, UGA-OTP-0249-0438-R01, at 0439. Jimmy Ojok is number 15 on the list of the deceased.

of the evidence,³⁶⁰⁰ the Chamber finds that Jimmy Ojok was killed by LRA fighters in the course of the attack on Odek IDP camp.

- 1518. Catherine Laker: Helen Opoka Acan testified that she saw the corpse of 'Katherine Laker' the morning after the attack. Helen Opoka Acan testified that Catherine Laker was shot with a child tied behind her back but the child survived. In light of the totality of the evidence, the Chamber is convinced that Catherine Laker was killed by LRA fighters.
- 1519. **Kevin Apiyo**: Mario Ottober testified that he was informed by Apiyo Kevin's relatives that the victim was shot during the Odek IDP camp attack. The Chamber notes that P-0301's Incident Report lists 'Apiyo' as one of the persons killed during the 29 April 2004 LRA attack on Odek IDP camp. The Chamber considers that this evidence is consistent with Mario Ottober's account of Kevin Apiyo's death. The Chamber considers that the evidence of Kevin Apiyo's death in the course of the attack is credible. In light of the totality of the evidence, the Chamber is convinced that LRA fighters shot and killed Apiyo Kevin during the attack on Odek IDP camp.
- 1520. **Kerobina Acayo**: Mario Ottober testified that Kerobina Acayo's husband told him that she was killed inside her house. Mario Ottober testified that Kerobina Acayo stayed near the food store for school children in the camp. Zakeo Odora corroborates Mario Ottober's account of Kerobina Acayo's death, stating that Kerobina Acayo was one of the persons buried on his land. Corroborating the witnesses' accounts, P-0301's Incident Report lists 'Acayo Kerobina' as one of the persons killed during the 29 April

³⁶⁰⁰ The Chamber recalls its above finding that the killings in Odek IDP camp are attributable to the LRA.

³⁶⁰¹ P-0270 Statement, UGA-OTP-0241-0168-R01, at para. 38.

³⁶⁰² P-0270 Statement, UGA-OTP-0241-0168-R01, at para. 39.

³⁶⁰³ The Chamber recalls its above finding that the killings in Odek IDP camp are attributable to the LRA.

³⁶⁰⁴ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 66.

³⁶⁰⁵ P-0301 Incident Report, UGA-OTP-0249-0438-R01, at 0439. Apiyo is number 20 on the list of the deceased. The Chamber notes that there is no further name listed.

³⁶⁰⁶ The Chamber recalls its above finding that the killings in Odek IDP camp are attributable to the LRA.

³⁶⁰⁷ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 62.

³⁶⁰⁸ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 62. Mario Ottober's diary from 2004 corroborates his account; he listed 'Acayo Kerobina' among the persons killed in the 29 April 2004 Odek attack, thus providing a contemporaneous record of Kerobina Acayo's death. P-0274's 2004 Diary pages, UGA-OTP-0244-3391, UGA-OTP-0244-3393. Kerobina Acayo is listed as number 9 on the list. *See also* P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 46, 54, 62.

³⁶⁰⁹ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 32.

2004 LRA attack on Odek IDP camp. ³⁶¹⁰ In light of the totality of the evidence, ³⁶¹¹ the Chamber finds that LRA fighters shot and killed Kerobina Acayo in her home during the 29 April 2004 attack on Odek IDP camp.

1521. Kejikiya Okec and Veronica Auma: 3612 Mario Ottober testified that 'Okec Kejikiya' was a retired prison officer who was killed in his house along with his wife Veronica Auma. 3613 Mario Ottober testified that while he did not see their bodies, he was told by the couple's son, Victor Okumu that 'they were killed in a terrible way' and that Kejikiya Okec's male organs had been cut off. 3614 Other witnesses provided testimonies that were consistent with and corroborative of Mario Ottober's account. Zakeo Odora testified that the day after the attack, he went to Odek trading centre and saw the bodies of a retired prison warden, 'Okech Kicikia', and his wife. 3615 Zakeo Odora testified that both of the deceased were in their 70's. 3616 Zakeo Odora testified that both had gunshot wounds on their bodies.³⁶¹⁷ He further testified that he saw their two bodies lying in front of their small shop. 3618 In his annotated sketch of the Odek IDP camp, Zakeo Odora indicated the spot, in Block C of the camp, where he saw the two bodies. ³⁶¹⁹ Similarly, camp resident Hellen Adong testified that the day after the attack, she saw the bodies of an old man, 'Kijikiya Okec' and his wife Veronica inside their house near the door. 3620 Hellen Adong testified that she did not know how they died but they looked dead and there was blood beside them. 3621 Helen Opoka Acan also testified that 'Kirikiya Oketch' and Veronica

³⁶¹⁰ P-0301 Incident Report, UGA-OTP-0249-0438-R01, at 0439. Kerobina Acayo is number 8 on the list of the deceased.

³⁶¹¹ The Chamber recalls its above finding that the killings in Odek IDP camp are attributable to the LRA.

³⁶¹² The Chamber notes the variance in the names by which the witnesses refer to the deceased. Given the overwhelming similarities in the names, as well as their descriptions of their deaths, the Chamber is convinced that the witnesses' testimony relate to the same two persons, whom the Chamber refers to as Kejikiya Okec and Veronica Auma.

³⁶¹³ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 56.

³⁶¹⁴ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 56. Mario Ottober's 2004 diary entry lists 'Okec Kejekia' and 'Auma Vironika' among the persons killed in the 29 April 2004 Odek attack thus providing a contemporaneous record of the deaths. P-0274's 2004 Diary pages, UGA-OTP-0244-3391, UGA-OTP-0244-3393. They are listed as number 2 and 3 on this list. *See also* P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 46, 54, 56.

³⁶¹⁵ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 37. Zakeo Odora did not recall the name of the woman.

³⁶¹⁶ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 37.

³⁶¹⁷ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 37.

³⁶¹⁸ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 37.

³⁶¹⁹ P-0325 Sketch of Odek IDP camp, UGA-OTP-0264-0252-R01; P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 37.

³⁶²⁰ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 32.

³⁶²¹ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 32.

were husband and wife and that she saw their bodies the day after the attack.³⁶²² P'Oyoo Lakoch testified that an elderly couple was shot dead inside their home,³⁶²³ 'Kichikiya Okech' was shot in the chest, along with his wife, Saluma.³⁶²⁴

1522. The Chamber considers that the witnesses' accounts are generally consistent with and corroborative of one another. While there are some discrepancies, such as whether the bodies lay inside or outside the deceased's house the morning after the attack, the Chamber considers these discrepancies to be minor, particularly given the passage of time since the attack and the fact that the witnesses observed the bodies the morning after the attack at a period when the local population were preparing the dead bodies found for burial. The Chamber considers the witnesses' account of the death of the two deceased credible. Further corroborating the witnesses' accounts, P-0301's Incident Report lists 'Okech Kelekia' and 'Auma Veronica' as two of the persons killed during the 29 April 2004 LRA attack on Odek IDP camp. 3625 The Chamber is convinced by Mario Ottober's testimony as to the victims' manner of death. The Chamber notes that there is no evidence, or suggestion that government soldiers, the only other armed group inside the camp, killed civilians in their homes. There is further no evidence that government soldiers tortured civilians or desecrated their bodies. The evidence is clear that it was LRA fighters who targeted these civilians. In light of the evidence, the Chamber is convinced that LRA fighters shot and killed Kejikiya Okec and Veronica Auma in the 29 April 2004 attack on Odek IDP camp.

1523. Mary Acayo: ISO officer P-0301's post attack Incident Report lists 'Mary Acayo Obonyo' as one of the persons killed during the 29 April 2004 LRA attack on Odek IDP camp. 3626 P'Oyoo Lakoch testified that after the attack, the body of 68 years old Mary Obonyo was found dead beside her hut in the camp. 3627 P'Oyoo Lakoch testified that Mary Obonyo was shot in the back. 3628 Similarly, Mario Ottober testified that he saw the dead body of Mary Acayo, a traditional birth attendant, who was shot in the chest and

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³⁶²² P-0270 Statement, UGA-OTP-0241-0168-R01, at para. 38.

³⁶²³ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 26.

³⁶²⁴ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 26.

³⁶²⁵ P-0301 Incident Report, UGA-OTP-0249-0438-R01, at 0438. Kejikiya Okech is number 1 on the list of the deceased and Veronica Auma is number 2 on the list of the deceased.

³⁶²⁶ P-0301 Incident Report, UGA-OTP-0249-0438-R01, at 0439. Mary Acayo Obonyo is number 10 on the list of the deceased.

³⁶²⁷ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 27.

³⁶²⁸ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 27.

killed in the course of the attack on Odek IDP camp. ³⁶²⁹ The Chamber notes that P'Oyoo Lakoch refers to Mary Obonyo while Mario Ottober refers to Mary Acayo. Given the reference to 'Mary Acayo Obonyo' in P-0301's Incident Report, the Chamber is of the view that both witnesses refer to the same person, whom the Chamber refers to as Mary Acayo. The Chamber also notes the divergence in the witnesses' description on the location of her wound. Both witnesses appear to have seen the dead body of Mary Acayo. In light of this divergence in their testimony, the Chamber is only satisfied that Mary Acayo was shot and killed in the course of the attack on Odek IDP camp and makes no finding as to whether she was shot in the back or the chest. In this context, the Chamber also notes that Helen Opoka Acan testified that she saw the corpse of Mary Acayo the morning after the attack. ³⁶³⁰ Helen Opoka Acan testified that Mary Acayo was shot with a child tied behind her back but the child survived. ³⁶³¹ The Chamber considers that this testimony is consistent with the other witnesses' account that Mary Acayo died in the attack. In light of the totality of the evidence, ³⁶³² the Chamber finds that Mary Acayo was killed by LRA fighters in the course of the Odek IDP camp attack.

1524. **Monica Aciro**: While running away towards the Odek River, trying to hide from the LRA, Zakeo Odora saw LRA fighters shoot a heavily pregnant civilian named Monica Aciro. Monica Aciro was around 26 years old. Zakeo Odora testified that he saw the LRA shoot Monica Aciro in the legs as she fled the camp. Zakeo Odora heard Monica Aciro shouting for help, but he and his family could 'only keep running for our lives'. Zakeo Odora testified that the next day, Monica Aciro's husband took her to the Odek Health Centre, but she died from her injuries. Zakeo Odora testified that the baby she was pregnant with died as well. In his annotated sketch of the Odek IDP camp, Zakeo Odora indicated the spot, in Block C of the camp, where the LRA shot

³⁶²⁹ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 37. Mario Ottober's 2004 diary corroborates his testimony; he listed 'Acayo Mary' as one of the persons killed in the 29 April 2004 attack, thus providing a contemporaneous record of her death. P-0274's 2004 Diary pages, UGA-OTP-0244-3391, UGA-OTP-0244-3393. Mary Acayo is number 17 on the list. *See also* P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 46, 54, 67.

³⁶³⁰ P-0270 Statement, UGA-OTP-0241-0168-R01, at para. 38.

³⁶³¹ P-0270 Statement, UGA-OTP-0241-0168-R01, at para. 39.

³⁶³² The Chamber recalls its above finding that the killings in Odek IDP camp are attributable to the LRA.

³⁶³³ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 24.

³⁶³⁴ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 24.

³⁶³⁵ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 24.

³⁶³⁶ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 24.

³⁶³⁷ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 24.

³⁶³⁸ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 24.

Monica Aciro.³⁶³⁹ The Chamber notes that this spot was in the middle of the civilian homes in the camp. The Chamber finds Zakeo Odora's description of Monica Aciro's death detailed and credible. It is consistent with other accounts of the LRA's behaviour in the camp. In light of the evidence, the Chamber is convinced that LRA fighters killed a heavily pregnant woman named Monica Aciro by shooting her during their attack on Odek IDP camp.

1525. **Wilson Okoya**: Mario Ottober testified that the day after the attack, he saw the body of Wilson Okoya, a young man about 19 years old. Mario Ottober testified that Wilson Okoya was shot inside his house and fell on the grinding stone. He attack of P-0252's testimony corroborates Mario Ottober's. P-0252 testified that after being abducted by the LRA, he was walking around the market of the camp with the LRA and other abductees when they came across someone he knew bleeding 'humped over a grinding stone'. He identified that the person had been shot in the stomach and all his intestines had come out. He identified this person as named Okoya. He identified this person as named Okoya. He identified this person as named Okoya. He identified this person is named Okoya. He identified that when they saw Okoya's body, LRA fighter Onen Kamdulu told him that if P-0252 tried to flee what was done to Okoya would be done to him. He Chamber finds the witnesses' evidence consistent and credible. P-0252's testimony suggests that the LRA indicated that Okoya was killed by the LRA. In light of the totality of the evidence, he chamber is convinced that LRA fighters shot and killed Wilson Okoya in his home during their attack on Odek IDP camp.

1526. **Okoya**: While running away towards the Odek River, trying to hide from the LRA, Zakeo Odora saw LRA fighters shoot a civilian named Okoya. ³⁶⁴⁷ Okoya was 20 years old and

³⁶³⁹ P-0325 Sketch of Odek IDP camp, UGA-OTP-0264-0252-R01; P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 24.

³⁶⁴⁰ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 69.

³⁶⁴¹ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 69. Mario Ottober's 2004 diary corroborates his account; 'Okoya Wilson' is listed among the persons killed in the 29 April 2004 Odek attack, thus providing a contemporaneous record of the death. P-0274's 2004 Diary pages, UGA-OTP-0244-3391, UGA-OTP-0244-3393. Wilson Okoya is listed as number 19. *See also* P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 46, 54, 69.

³⁶⁴² P-0252: <u>T-87</u>, p. 15, lines 11-12.

³⁶⁴³ P-0252: T-87, p. 15, lines 9-11.

³⁶⁴⁴ P-0252: T-87-CONF, p. 17, lines 8-15.

³⁶⁴⁵ P-0252: <u>T-87</u>, p. 17, lines 18-21.

³⁶⁴⁶ The Chamber recalls its above finding that the killings in Odek IDP camp are attributable to the LRA.

³⁶⁴⁷ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 23.

newly married. 3648 Zakeo Odora testified that he saw the LRA shoot Okoya in the back while Okoya was running away. 3649 Zakeo Odora testified that he saw Okoya fall down ahead of him and blood was flowing out of him. 3650 In his annotated sketch of the Odek IDP camp, Zakeo Odora indicated the spot, between Blocks A and B of the camp, where the LRA shot Okoya. 3651 Zakeo Odora testified that Okoya is buried on his property in Odek. 3652 The Chamber notes that Zakeo Odora only named 'Okoya' and did not specify a further name. The Chamber also recalls its above discussion of the death of Wilson Okoya. Given the details and the specificity of the witnesses' accounts, the Chamber is of the view that Zakeo Odora did not witness the killing of Wilson Okoya but rather saw the killing of a different man. The Chamber notes that it finds Zakeo Odora, Mario Ottober and P-0252's accounts of their observations of killings of Wilson Okoya and Okoya credible. The Chamber also notes that the Memorial Plaque erected in the camp to commemorate the persons killed in the Odek IDP camp attack on 29 April 2004 lists both Wilson Okoya and another Okoya, who has the further name Richard, amongst the deceased.³⁶⁵³ For the Chamber, this supports its view that Zakeo Odora spoke of the death of a person other than Wilson Okoya. In light of the evidence, the Chamber is convinced that the LRA shot and killed Okoya in the course of their attack on Odek IDP camp.

1527. **Obangomoko**: Zakeo Odora testified about the death of his brother-in-law, Obangomoko, a 37 year old catechist, who was survived by his wife and three young children. ³⁶⁵⁴ Zakeo Odora saw Obangomoko's body the day after the attack; he had died from gunshot wounds. ³⁶⁵⁵ Zakeo Odora testified that he found Obangomoko's body covered in blood, close to the latrine in Block B of the camp. ³⁶⁵⁶ In his annotated sketch of the Odek IDP camp, Zakeo Odora indicated the spot, at the intersection of Blocks A, B and C of the

³⁶⁴⁸ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 23.

³⁶⁴⁹ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 23.

³⁶⁵⁰ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 23.

³⁶⁵¹ P-0325 Sketch of Odek IDP camp, UGA-OTP-0264-0252-R01; P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 23.

³⁶⁵² P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 32.

³⁶⁵³ See Memorial plaque, UGA-OTP-0250-0265, at numbers 36 and 37. See The Odek Memorial Plaque, shown in a photograph UGA-OTP-0250-0265, is discussed by several witnesses in this proceeding, specifically Mario Ottober, Zakeo Odora and P'Oyoo Lakoch, who explain its purveyance and the process in which the names were chosen for inscription. See para. 1549 below. The Memorial Plaque provides illuminating information on several points, including in relation to the names of the deceased and the number of the persons killed.

³⁶⁵⁴ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 29.

³⁶⁵⁵ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 29.

³⁶⁵⁶ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 29.

camp, where he found Obangomoko's body. 3657 Zakeo Odora testified that Obangomoko is buried on his land. 3658 The Chamber notes the location in which Zakeo Odora discovered Obangomoko's body, close to the intersection of the three blocks in the camp. The Chamber notes that this is not far from the location where Zakeo Odora saw the LRA shoot and kill another civilian, Okoya. The proximity of the two locations and the LRA's control of the camp after the few government soldiers fled, further convince the Chamber that the LRA shot and killed Obangomoko in the course of the attack.

1529. **Thomas Opiyo**: Mario Ottober testified that 'Opiyo Thomas', a 19 year old man was killed during the course of the attack on Odek IDP camp. Mario Ottober testified that his brother, Maurencio Ocana, owned the home in front of which Thomas Opiyo was shot. Although Mario Ottober did not see Thomas Opiyo's body, as it had already

³⁶⁵⁷ P-0325 Sketch of Odek IDP camp, UGA-OTP-0264-0252-R01; P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 29.

³⁶⁵⁸ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 32.

³⁶⁵⁹ See P-0325 Sketch of Odek IDP camp, UGA-OTP-0264-0252-R01; P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 23.

³⁶⁶⁰ P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 29.

³⁶⁶¹ P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 29.

³⁶⁶² P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 29.

³⁶⁶³ P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 29.

³⁶⁶⁴ P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 29.

³⁶⁶⁵ P-0275 Statement, UGA-OTP-0244-3398-R01, at paras 19-30. *See* P-0275: <u>T-124</u>, p. 41, line 16 – p. 44, line 4.

³⁶⁶⁶ The Chamber recalls its above finding that the killings in Odek IDP camp are attributable to the LRA.

³⁶⁶⁷ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 55.

³⁶⁶⁸ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 55.

been taken away by the time camp leaders came around checking the camp the morning after the attack, Mario Ottober saw the blood in front of Maurencio Ocana's house. 3669 Camp residents told Mario Ottober that during the attack, Thomas Opiyo was drunk and was shouting at the LRA attackers, calling them 'chicken thieves'. 3670 The LRA fighters shot him and he died. 970 P'Oyoo Lakoch's account is consistent with and corroborative of Mario Ottober's testimony. P'Oyoo Lakoch testified that after the attack, he saw 'Opio's' body lying nearby P'Oyoo Lakoch's hut in the camp. 970 P'Oyoo Lakoch testified that Opio had been shot in the head. 970 P'Oyoo Lakoch testified that he was told by a man named Ocana 3674 that Opio had been drunk and was shouting 'catch the thieves' before being shot. 3675

1530. Other witnesses provided evidence consistent with the above witnesses' accounts. Hellen Adong testified that while she was walking through the camp with the LRA fighters who abducted her, she saw Opio's body lying by his house. She testified that he had fallen on his stomach, his head was turned on its side, his leg was by the door of his house and his body and head were covered in blood. Hellen Adong indicated that his house was by the road and LRA rebels in the group she was with entered the house to take things out. Similarly, P-0252 testified that while he was close to the road, walking through the camp with LRA fighters who abducted him, he saw the body of a camp resident Opio lying sideways and with blood flowing underneath. P-0252 testified that although he could not see the wound that he sustained, he recognised Opio and saw him lying down with blood flowing from beneath him.

³⁶⁶⁹ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 55.

³⁶⁷⁰ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 55.

³⁶⁷¹ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 55. Mario Ottober's 2004 diary corroborates his testimony as 'Opio Thomas' is listed among the persons killed in the 29 April 2004 Odek attack; the diary provides a contemporaneous record of Thomas Opiyo's death. P-0274's 2004 Diary pages, UGA-OTP-0244-3391, UGA-OTP-0244-3393. Thomas Opiyo is number 1 on the Mario Ottober's list. *See also* P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 46, 54-55. The Chamber notes that the Diary and other witnesses refer to 'Opio'. The Chamber considers this a minor and irrelevant difference in spelling of the name.

³⁶⁷² P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 27.

³⁶⁷³ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 27.

³⁶⁷⁴ Likely Mario Ottober's brother Maurencio Ocana.

³⁶⁷⁵ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 27.

³⁶⁷⁶ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 33.

³⁶⁷⁷ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 33.

³⁶⁷⁸ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 33.

³⁶⁷⁹ P-0252: <u>T-87</u>, p. 20, lines 6-13.

³⁶⁸⁰ P-0252: <u>T-89</u>, p. 13, lines 9-15. In questioning P-0252, the Defence raised the witness's statement, in which he said he had seen Opio lying on his side and partially on his back, and that he later heard that the witness died.

used to go and buy sugarcane from Opio's plantation.³⁶⁸¹ While Hellen Adong and P-0252 did not mention the first name of the man whose body they saw, and do not mention the details described by Misters Ottober and Lakoch, the Chamber considers that their descriptions of Opio's body is consistent with the other witnesses' testimony. The Chamber believes that all four witnesses likely describe the same person, Thomas Opiyo. Further corroborating the witnesses' accounts, P-0301's Incident Report lists 'Opiyo Thomas' as one of the persons killed during the 29 April 2004 LRA attack on Odek IDP camp. ³⁶⁸² The Chamber is convinced by the consistent and mutually corroborative testimonies of Mario Ottober and P'Oyoo Lakoch, and other witnesses, that Thomas Opiyo was shot and killed by LRA fighters in the course of the attack on Odek IDP camp.

- 1531.**Thomas Ojok**: Mario Ottober testified that he was told by the relatives of Thomas Ojok that he was killed in the course of the attack on 29 April 2004.³⁶⁸³ In light of the totality of the evidence, ³⁶⁸⁴ the Chamber is convinced that Thomas Ojok was killed by LRA fighters in the course of the attack.
- 1532. Valentino Okot: Zakeo Odora testified that when he walked around the camp the day after the attack, he heard the cries of Kerobina Alaka, his uncle Valentino Okot's wife. 3685 Zakeo Odora testified that Kerobina Alaka was crying: 'Okot, you have left me now!' 3686 Zakeo Odora testified that he then saw that Valentino Okot had been shot in the chest. 3687 Valentino Okot was lying face down, and was covered in blood. 3688 Zakeo Odora began looking for a blanket to cover Valentino Okot's body. 3689 In his annotated sketch of the Odek IDP camp, Zakeo Odora indicated the spot where he discovered Valentino Okot's

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The Chamber considers that the witness's statement to the Prosecution noted by the Defence is consistent with his statement to the Chamber.

³⁶⁸¹ P-0252: <u>T-87</u>, p. 20, lines 11-13.

³⁶⁸² P-0301 Incident Report, UGA-OTP-0249-0438-R01, at 0439. Thomas Opiyo is number 3 on the list of the deceased.

³⁶⁸³ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 70. Mario Ottober provided a 2004 Diary in which 'Ojok Thomas' is listed among the persons killed in the 29 April 2004 Odek attack, thus providing a contemporaneous record of the death. P-0274's 2004 Diary pages, UGA-OTP-0244-3391, UGA-OTP-0244-3393. Thomas Ojok is listed number 20. *See also* P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 46, 54, 70.

³⁶⁸⁴ The Chamber recalls its above finding that the killings in Odek IDP camp are attributable to the LRA.

³⁶⁸⁵ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 27.

³⁶⁸⁶ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 27.

³⁶⁸⁷ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 27.

³⁶⁸⁸ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 27.

³⁶⁸⁹ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 27.

body, in Block B of the camp.³⁶⁹⁰ Zakeo Odora testified that Valentino Okot was buried on his land.³⁶⁹¹ He further testified that the death certificate for Valentino Okot is with his wife, Kerobina Alaka.³⁶⁹² Mario Ottober testified that Valentino Okot was an elderly man.³⁶⁹³ Valentino Okot's relatives told Mario Ottober that Valentino Okot was shot when he was trying to get into a big store with a steel door at the camp, where some of the residents were hiding.³⁶⁹⁴ Other witnesses corroborate the witness's account that Valentino Okot died in the course of the attack. P'Oyoo Lakoch testified that the day after the attack, the body of Valentino Okot, a man in his 80's, was found by P'Oyoo Lakoch's hut in the camp.³⁶⁹⁵ Helen Opoka Acan testified that she saw the body of Valentino Okot after the attack.³⁶⁹⁶ Further corroborating the witnesses' accounts, P-0301's Incident Report lists 'Okot Valentino' as one of the persons killed during the 29 April 2004 LRA attack on Odek IDP camp.³⁶⁹⁷ In light of the totality of the evidence,³⁶⁹⁸ the Chamber is convinced that Valentino Okot was killed by LRA fighters in the course of the attack on Odek IDP camp.

1533. Walter Ojok: Mario Ottober testified that the relatives of Walter Ojok informed him that he was killed during the 29 April 2004 Odek attack. 3699 P-0301's Incident Report lists 'Ojok Walter' as one of the persons killed during the 29 April 2004 LRA attack on Odek IDP camp. 3700 In light of the totality of the evidence, 3701 the Chamber is convinced that Walter Ojok was killed by LRA fighters in the course of the attack.

³⁶⁹⁰ P-0325 Sketch of Odek IDP camp, UGA-OTP-0264-0252-R01; P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 27.

³⁶⁹¹ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 32.

³⁶⁹² P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 35.

³⁶⁹³ P-0274 First Statement, UGA-OTP-0244-3375-R01, para. 60.

³⁶⁹⁴ P-0274 First Statement, UGA-OTP-0244-3375-R01, para. 60. Mario Ottober's 2004 diary corroborates his account of Valentino Okot's death in the attack, listing Okot Valentino among the persons killed in the 29 April 2004 Odek attack, thus providing a contemporaneous record of the death. P-0274's 2004 Diary pages, UGA-OTP-0244-3391, UGA-OTP-0244-3393. Valentino Okot is listed number 7. *See also* P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 46, 54, 60.

³⁶⁹⁵ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 27.

³⁶⁹⁶ P-0270 Statement, UGA-OTP-0241-0168-R01, at para. 38.

³⁶⁹⁷ P-0301 Incident Report, UGA-OTP-0249-0438-R01, at 0439. Valentino Okot is number 6 on the list of the deceased.

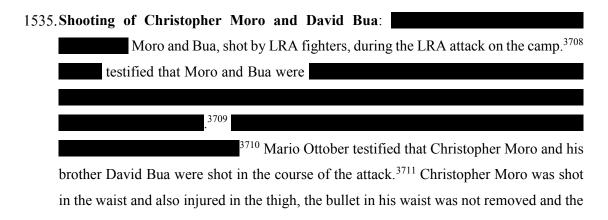
³⁶⁹⁸ The Chamber recalls its above finding that the killings in Odek IDP camp are attributable to the LRA.

³⁶⁹⁹ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 63. Mario Ottober's 2004 Diary listed Ojok Walter among the persons killed in the 29 April 2004 Odek attack, thus providing a contemporaneous record of the death. P-0274's 2004 Diary pages, UGA-OTP-0244-3391, UGA-OTP-0244-3393. Walter Ojok is listed number 10. *See also* P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 46, 54, 63.

³⁷⁰⁰ P-0301 Incident Report, UGA-OTP-0249-0438-R01, at 0439. Walter Ojok is number 13 on the list of the deceased.

³⁷⁰¹ The Chamber recalls its above finding that the killings in Odek IDP camp are attributable to the LRA.

1534. Shooting of Betty Atenyo: Hellen Adong testified that while hiding from the initial gunshots in their home, her son told her that he could see that a camp resident, Atenyo, had been shot and had fallen down. The Hellen Adong testified that Betty Atenyo was a mother of one child and was walking behind the bamboo tree when she was shot. The Hellen Adong testified that Betty Atenyo was shot in the shoulder and needed to have the bullet removed. Mario Ottober testified that Betty Atenyo was shot in the back during the course of the 29 April 2004 Odek attack. Mario Ottober testified that she recovered from her injury. The Chamber notes that Hellen Adong and Mario Ottober testify to a different injury suffered by Betty Atenyo. However, the Chamber is of the view that the difference in the described injury is minimal (shoulder and back) and finds their accounts are consistent that Betty Atenyo was shot in the course of the attack. In light of the totality of the evidence, the Chamber is convinced that LRA fighters attempted to kill Betty Atenyo by shooting her in the course of their attack on Odek IDP camp.



³⁷⁰² P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 24.

³⁷⁰³ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 24.

³⁷⁰⁴ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 60.

³⁷⁰⁵ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 49.

³⁷⁰⁶ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 49. Mario Ottober provided a 2004 Diary in which Betty Atenyo is listed among the persons injured in the 29 April 2004 Odek attack. He testified that he wrote the names of camp residents who were injured and killed in this personal diary in the aftermath of the attack, thus providing a contemporaneous record of the attack on Betty Atenyo. P-0274's 2004 Diary pages, UGA-OTP-0244-3391, UGA-OTP-0244-3392. Betty Atenyo is listed number 3. *See also* P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 46-47, 49.

³⁷⁰⁷ The Chamber recalls its above finding that the killings in Odek IDP camp are attributable to the LRA. The Chamber is of the view that this finding similarly applies to the attempted killings in Odek IDP camp.

³⁷⁰⁸ 3709 3710

³⁷¹¹ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 48.

leg was later amputated.³⁷¹² According to Mario Ottober, David Bua was shot in the course of the attack and two of his toes were cut off by a bullet.³⁷¹³ Mario Ottober testified that David Bua and Christopher Moro were treated for their injuries at Gulu Referral Hospital.³⁷¹⁴ The Chamber considers that the evidence offered by and Mario Ottober were credible, consistent and mutually corroborative. In light of the foregoing, the Chamber finds that LRA fighters shot at Christopher Moro and David Bua, attempting to kill them, in the course of their attack on Odek IDP camp.

1536. Shooting at P-0252: P-0252 testified that while he was running through the centre of the camp, trying to escape from the LRA, an LRA fighter, Onen Kamdulu, ³⁷¹⁵ saw him and told him to stop. ³⁷¹⁶ P-0252 testified that he kept running and Onen Kamdulu shot at him. ³⁷¹⁷ P-0252 testified that Onen Kamdulu kept shooting at him but did not manage to hit him because P-0252 was able to dodge the bullets by hiding between the houses. ³⁷¹⁸ P-0252 testified that Onen Kamdulu fired his gun at a house and some of the dirt from the house hit P-0252's eyes and P-0252 fell down. ³⁷¹⁹ P-0252 testified that the LRA fighter then captured P-0252 and tied him with a rope. ³⁷²⁰ The Defence implies that it is implausible that the bullets would hit the houses that were there and yet miss P-0252 given how dense the houses were in the relevant area. ³⁷²¹ The Chamber is satisfied with P-0252's explanation that he was running around and trying to dodge the bullets. ³⁷²² As P-0252 stated, '[s]ometimes you can become lucky and survive just like that.' ³⁷²³ The Chamber notes that other witnesses, discussed further below in the Chamber's discussion of the abduction of civilians by LRA fighters, corroborate P-0252's testimony that he was abducted by the LRA. However, the Chamber notes that only P-0252 witnessed the

³⁷¹² P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 48.

³⁷¹³ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 48.

³⁷¹⁴ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 52. Mario Ottober provided a 2004 Diary in which Christopher Moro and David Bua are listed among the persons injured in the 29 April 2004 Odek attack, this provides a contemporaneous record of the attack on them. P-0274's 2004 Diary pages, UGA-OTP-0244-3391, UGA-OTP-0244-3392. David Bua is listed number 1 and Christopher Moro is listed number 2. *See also* P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 46-48.

³⁷¹⁵ P-0252: <u>T-87</u>, p. 12, lines 24-25.

³⁷¹⁶ P-0252: <u>T-87</u>, p. 12, lines 8-14.

³⁷¹⁷ P-0252: <u>T-87</u>, p. 12, lines 16-18.

³⁷¹⁸ P-0252: <u>T-87</u>, p. 12, lines 19-21.

³⁷¹⁹ P-0252: T-87, p. 12, lines 21-23.

³⁷²⁰ P-0252: <u>T-87</u>, p. 12, lines 21-23.

³⁷²¹ P-0252: <u>T-89</u>, p. 11, lines 10-17.

³⁷²² See P-0252: <u>T-89</u>, p. 11, lines 18-20.

³⁷²³ P-0252: <u>T-89</u>, p. 11, lines 21-22.

exact circumstances of his abduction. The Chamber is convinced that P-0252 credibly described the circumstances of his abduction. The behaviour of the LRA fighters is consistent with the testimony of how LRA fighters behaved to civilians in Odek IDP camp and across the other locations relevant to the charges. Further, P-0252 provided details and a comprehensive narrative that convinced the Chamber that he spoke of an event he personally experienced. The Chamber is convinced that an LRA fighter shot at P-0252 in an attempt to kill him in the course of abducting him during the 29 April 2004 attack on Odek IDP camp.

- 1537. **Shooting of Kigali and his wife**: P-0252 testified that in a house close to where he was abducted from, LRA fighters attacked a couple, shooting a woman and her husband. P-0252 identified the man as 'Kigali', and said he was also called 'Gali'. P-0252 noted that the couple's son was known as Pen. The witness's testimony does not identify whether Kengali and his wife died. In any case, the Chamber is satisfied that the evidence shows that the LRA at least attempted to kill Kengali and his wife.
- 1538. **Atikcon**: P-0252 testified of seeing the corpses of several persons that he knew while walking through the camp after being captured by the LRA, these persons were killed either in or close to their homes. P-0252 testified that he saw the corpse of one of his friends, a man called Atikcon, who had been killed. P-0252 testified that his friend's body was lying in front of his house and he was bleeding. P-0252 testified that he knew Atikcon was dead from when he saw him shot and lying on the ground. In light of the totality of the evidence, the Chamber is convinced that the LRA killed Atikcon.
- 1539. **Injury to man by borassus palm tree**: While walking in the camp with the LRA, P-0252 saw a fallen person near a borassus palm tree. ³⁷³¹ P-0252 testified that this location was close to the person's house. ³⁷³² P-0252 testified that he saw that the person was bleeding but he could not tell the exact location of the injury on the body. ³⁷³³ The witness's

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3724 P-0252: T-87, p. 21, lines 5-13.
3725 P-0252: T-87, p. 21, lines 5-13.
3726 P-0252: T-87, p. 31, lines 13-16.
3727 P-0252: T-87, p. 14, lines 24-25, p. 17, lines 2-7.
3728 P-0252: T-87, p. 14, line 25 – p. 15, line 2.
3729 P-0252: T-87, p. 31, lines 1-8.
3730 The Chamber recalls its above finding that the killings in Odek IDP camp are attributable to the LRA.
3731 P-0252: T-87, p. 15, lines 17-19.
3732 P-0252: T-87, p. 15, lines 18-19.
3733 P-0252: T-87, p. 15, lines 20-21.
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testimony does not identify whether the man was dead. In any case, in light of the totality of the evidence,³⁷³⁴ the Chamber is satisfied that the evidence shows that, at least, the LRA attempted to kill the man by the borassus palm tree.

1540. **Shooting of Okot LC's mother**: P-0252 testified that, in order to threaten and intimidate the people he had abducted, an LRA fighter, Onen Kamdulu, opened the door of civilian homes and shot at the people inside.³⁷³⁵ P-0252 testified that the LRA fighter opened the door of the house of Okot LC2's mother,³⁷³⁶ who was over 50 years old,³⁷³⁷ and shot her.³⁷³⁸ The Defence contends that it would have been difficult for P-0252 to see the woman being shot given P-0252's previous statement that the person shot was about 25 metres away from him and this occurred in a dense area with houses built less than a metre apart.³⁷³⁹ The Chamber is convinced by P-0252's explanation that there were pathways between the houses and he had an unobstructed view of the shooting.³⁷⁴⁰ The witness's testimony does not identify whether Okot LC's mother died. In any case, the Chamber is satisfied that the evidence shows that, at least, the LRA attempted to kill Okot LC's mother by shooting her.

1541. **Unnamed camp resident**: Julius Nyeko testified that the day after the attack residents told him of a woman killed by the LRA because they found her 'stubborn'. Julius Nyeko testified residents said that a camp resident who was being abducted by the LRA tried to run back to pick up her child, and the LRA called her 'stubborn'. The LRA then instructed her to pick up a bag of maize, when some of the maize spilled, she was told to bend down to pick it up and as she was bending down to do so, the LRA shot her on the head. Julius Nyeko testified that he was told that the child was not killed, but 'the child sat next to the mother the whole night until the next day when people came and

³⁷³⁴ The Chamber recalls its above finding that the killings in Odek IDP camp are attributable to the LRA.

³⁷³⁵ P-0252: <u>T-87</u>, p. 13, line 12 – p. 14, line 23.

³⁷³⁶ P-0252: <u>T-87</u>, p. 13, line 19 – p. 14, line 4, p. 16, lines 19-20. *See also* P-0252's sketch of Odek, UGA-OTP-0243-0455.

³⁷³⁷ P-0252: <u>T-87</u>, p. 16, lines 12-13.

³⁷³⁸ P-0252: T-87, p. 14, lines 2-4.

³⁷³⁹ P-0252: T-89, p. 12, lines 1-7.

³⁷⁴⁰ P-0252: <u>T-89</u>, p. 12, lines 8-16.

³⁷⁴¹ D-0066: <u>T-214</u>, p. 25, line 17 – p. 26, line 1.

³⁷⁴² D-0066: <u>T-214</u>, p. 25, line 19 – p. 26, line 1.

³⁷⁴³ D-0066: <u>T-214</u>, p. 25, line 17 – p. 26, line 5.

picked the child away'. 3744 In light of the foregoing, the Chamber is satisfied that the evidence shows that the unnamed camp resident was killed by the LRA.

- 1542. Mary Agudu, Doreen Ojok, DP, Acayo, Aboni: In this context, the Chamber also recalls Hellen Adong's testimony that Mary Agudu and Doreen Ojok died in the course of the attack.³⁷⁴⁵ Similarly, Helen Opoka Acan also testified that she saw the dead bodies of 'DP', Acayo, and Aboni the morning after the attack. 3746 In light of the totality of the evidence, ³⁷⁴⁷ the Chamber is satisfied that the evidence shows that Mary Agudu, Doreen Ojok, DP, Acayo and Aboni were killed by the LRA in the course of the attack.
- 1543.P-0269's mother-in-law and her grandson: P-0269 testified that she found that her mother-in-law had been shot and killed in the house, together with her mother-in-law's grandson. 3748 P-0269 testified that the bodies were in the compound and people were preparing to go and bury them. 3749 P-0269 testified that she was told that her mother-inlaw was shot in the house and fell down supine. ³⁷⁵⁰ P-0269 testified that her mother-inlaw's grandson was around 10 years old, and 'jumped out of the house, trying to flee, but got caught in the crossfire'. 3751 P-0269 used the word 'crossfire' but made no mention of a confrontation between LRA fighters and government soldiers, merely indicating she learned about this incident from her husband. 3752 The Chamber understands her account to indicate that the boy was shot in the surrounding gunshots. In this context, the Chamber recalls LRA fighter P-0264's above discussed testimony that LRA fighters fired indiscriminately at civilian homes.
- 1544. In light of the totality of the evidence, 3753 the Chamber is convinced that LRA fighters killed P-0269's mother-in-law and the mother-in-law's grandson.
- 1545. Shooting of a woman in the mouth: Mario Ottober testified that right after the attack, while going around checking the state of the camp, he came across a woman, whose name

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<sup>3744</sup> D-0066: <u>T-214</u>, p. 26, lines 6-8.
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³⁷⁴⁵ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 54.

³⁷⁴⁶ P-0270 Statement, UGA-OTP-0241-0168-R01, at para. 38.

³⁷⁴⁷ The Chamber recalls its above finding that the killings in Odek IDP camp are attributable to the LRA.

³⁷⁴⁸ P-0269: <u>T-85</u>, p. 50, lines 12-16. ³⁷⁴⁹ P-0269: <u>T-85</u>, p. 50, lines 12-17.

³⁷⁵⁰ P-0269: T-85, p. 51, lines 6-13.

³⁷⁵¹ P-0269: <u>T-85</u>, p. 51, lines 21-24.

³⁷⁵² P-0269: <u>T-85</u>, p. 51, line 25 – p. 52, line 1.

³⁷⁵³ The Chamber recalls its above finding that the killings in Odek IDP camp are attributable to the LRA.

he did not recall, who was shot in the lower part of the cheek and the bullet came out on the back of her neck. 3754 Mario Ottober testified that she had a baby on her back, but the baby was not injured.³⁷⁵⁵ He described that there was blood on her body and she had vomited. ³⁷⁵⁶ Mario Ottober testified that he and his neighbour cleaned her and her wound, helped her to feed her baby and covered her with blankets. ³⁷⁵⁷ The woman survived. ³⁷⁵⁸ Similarly, Hellen Adong testified of a woman, whose name she also did not recall, who survived having been shot in the ear and jaw. ³⁷⁵⁹ Hellen Adong testified that she had seen the woman when she was abducted and thought she had died. However, after Hellen Adong returned from the bush, she discovered that the woman was in the hospital and eventually recovered. 3760 Zakeo Odora also testified that a woman, whose name he did not recall, was shot in the mouth and survived. ³⁷⁶¹ Given the overwhelming similarities in their description of the woman's injury, the Chamber is convinced that the witnesses are describing the same woman. 3762 The Chamber finds each witness credible in their accounts of the woman shot. In light of the totality of the evidence, ³⁷⁶³ the Chamber is convinced that LRA fighters attempted to kill the above described woman by shooting her in the course of their attack on Odek IDP camp.

1546. **Girl found by the river**: P'Oyoo Lakoch testified that he personally buried a 13 year-old girl who was a babysitter for his brother. P'Oyoo Lakoch did not recall the girl's name. P'Oyoo Lakoch testified that he and her relatives went looking for her after the attack and found her body in the Odek River some days after the attack. P'Oyoo Lakoch testified that she was face down in the water and was shot in the back. P'Oyoo Lakoch testified that because it took so long to find her, she was the last person to be

³⁷⁵⁴ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 34.

³⁷⁵⁵ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 34.

³⁷⁵⁶ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 34.

³⁷⁵⁷ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 34.

³⁷⁵⁸ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 34.

³⁷⁵⁹ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 60. ³⁷⁶⁰ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 60.

³⁷⁶¹ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 39.

³⁷⁶² The Chamber notes that P-0274 testifies that the woman now lives in Omyeligali village while P-0325 states that the woman now lives in Odek. P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 34; P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 39.

³⁷⁶³ The Chamber recalls its above finding that the killings in Odek IDP camp are attributable to the LRA.

³⁷⁶⁴ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 42.

³⁷⁶⁵ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 42.

³⁷⁶⁶ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 42.

³⁷⁶⁷ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 42.

buried in Odek.³⁷⁶⁸ Mario Ottober offers testimony consistent with and corroborative of P'Oyoo Lakoch, stating that in the immediate aftermath of the attack, he and his neighbour heard cries and shouting coming from the Odek River.³⁷⁶⁹ Mario Ottober testified that they went closer but when they got to the river, it was quiet.³⁷⁷⁰ Mario Ottober testified that he later learnt that there was a girl who was shot in the leg and fell in the water.³⁷⁷¹ Mario Ottober testified that he believes this was the girl they heard and that when he and his neighbour approached the river, she must have thought the LRA came back and decided to stay quiet.³⁷⁷² Mario Ottober testified that her body was recovered a few days later from the river.³⁷⁷³ Mario Ottober testified that it had started to decompose.³⁷⁷⁴ Similarly to the other witnesses, Zakeo Odora testified that a twelve year old girl died in the Odek River.³⁷⁷⁵

1547. The Chamber considers that given the overwhelming similarities in the witnesses' accounts, for example the location of the victim, the witnesses all spoke of the same girl found dead in the Odek River. The Chamber notes that there are some discrepancies in the witnesses' description of the victim and her manner of death. 3776 The Chamber considers these discrepancies minor. Mario Ottober did not testify that he saw the victim himself and only stated that he heard that she was shot in the leg. The Chamber puts more weight on the testimony of P'Oyoo Lakoch, who saw the victim and personally buried her. However, the Chamber considers that both witnesses credibly testified that the young girl was shot and died in the Odek River. Further, that one witness said the victim was thirteen and another twelve is an even more minor inconsistency, they both testify that she was a young girl. The Chamber notes the location of her discovery as well as Mario Ottober's testimony about what he heard in the aftermath of the attack. It is clear from the context of Mario Ottober's testimony that he believed she was shot by the LRA. In

³⁷⁶⁸ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 42.

³⁷⁶⁹ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 35.

³⁷⁷⁰ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 35.

³⁷⁷¹ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 35.

³⁷⁷² P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 35.

³⁷⁷³ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 35.

³⁷⁷⁴ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 35.

³⁷⁷⁵ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 36.

³⁷⁷⁶ Zakeo Odora testified that she was twelve years old while P'Oyoo Lakoch testified that she was thirteen. Mario Ottober testified that she was shot in the leg while Zakeo Odora testified that she was shot in the back.

light of the evidence, the Chamber is convinced that LRA fighters shot and killed the young girl found in the Odek River in the course of the attack on Odek IDP camp.

- 1548. As regards the number of persons killed by the LRA in the course of the attack, it is alleged in the charges that at least 61 civilians, men, women and children, were killed by gunshot as a result of the attack on Odek IDP camp. In this context, the Chamber notes the testimony of P'Oyoo Lakoch who stated that in total 64 persons were shot dead inside the camp and counted 61 dead bodies himself. P'Oyoo Lakoch testified that including the nine men killed in the bush, a total 73 people died as a result of the attack. P'Oyoo Lakoch stated that there were lists made of the dead, including a list he made but subsequently lost and another list made by another camp resident. P'Oyoo Lakoch testified that the list making process was a haphazard event, they were writing down the name of the dead person and the next of kin. P'Oyoo Lakoch stated that there was not any clear record of the names of the dead.
- 1549. The Chamber notes the several lists of the deceased in evidence in these proceedings: P-0301's Incident Report, Mario Ottober's 2004 Diary and the Memorial Plaque that commemorates the list of the dead. The evidence indicates that none of the lists are exhaustive lists of the persons killed in the course of the attack. P-0301's Incident Report, which listed 25 persons killed in Odek IDP camp, named two people not listed in the memorial plaque: Jacob Okoya³⁷⁸³ and Lucy Aber.³⁷⁸⁴ Mario Ottober's diary listed a total of 32 persons killed in the attack,³⁷⁸⁵ and did not include Okeny, the child killed in the barracks alongside his father, a government soldier.³⁷⁸⁶ Mario Ottober notes that his list is not comprehensive and other people, including P'Oyoo Lakoch, might have more information about the injured and the killed.³⁷⁸⁷ Mario Ottober testified that he provided

³⁷⁷⁷ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 32.

³⁷⁷⁸ See paras 1601-1608 below, referring to the nine men killed in the bush while held in captivity by Dominic Ongwen's LRA fighters.

³⁷⁷⁹ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 43.

³⁷⁸⁰ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 32; P-0218: <u>T-90</u>, p. 68, line 15 – p. 70, line 9.

³⁷⁸¹ P-0218: <u>T-90</u>, p. 70, lines 7-9.

³⁷⁸² P-0218: T-90, p. 87, lines 3-6.

³⁷⁸³ P-0301 Incident Report, UGA-OTP-0249-0438-R01, at 0439. Jacob Okoya is number 12 on the list of the deceased.

³⁷⁸⁴ P-0301 Incident Report, UGA-OTP-0249-0438-R01, at 0440. Lucy Aber is number 25 on the list of the deceased.

³⁷⁸⁵ See P-0274's 2004 Diary pages, UGA-OTP-0244-3391, UGA-OTP-0244-3393.

³⁷⁸⁶ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 54.

³⁷⁸⁷ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 75.

his list to the Justice and Reconciliation Project (JRP) who built the Memorial Plaque. 3788 The Chamber notes that the Memorial Plaque lists 44 persons killed. Zakeo Odora, one of the camp's block leaders, noted that only 44 names are listed on the Odek memorial plaque, 3789 however, according to his observations, around 48 civilians were shot dead within the camp. ³⁷⁹⁰ These 48 civilians did not include the persons killed in the bush. ³⁷⁹¹ P'Oyoo Lakoch testified that 'there is no living person's name on that stone' and described the process through which the JRP collected the names of the persons commemorated on the memorial plaque. ³⁷⁹² P'Oyoo Lakoch noted the JRP was trying to find the names of the people who had died since there was not any clear record.³⁷⁹³

1550. As it is clear that the relevant lists in evidence are not exhaustive, the Chamber notes that it discusses the evidence of 52 persons killed in the course of the Odek IDP camp attack in depth.³⁷⁹⁴ The Chamber is satisfied that the evidence shows that at least 52 persons were killed in the course of the attack on Odek IDP camp by the LRA, both in the camp and during the retreat. The Chamber is also satisfied that the evidence shows that the LRA attempted to kill at least ten people.

About an hour after the LRA began their attack on Odek IDP camp, the LRA attackers retreated from the camp in the face of the arrival of government reinforcements.³⁷⁹⁵

1551. The Chamber is satisfied that the evidence shows that around the time the government soldiers arrived, the LRA fighters in the camp retreated from the camp. ³⁷⁹⁶

³⁷⁸⁸ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 81. Indeed the Chamber notes that the memorial plaque correlates closely with Mario Ottober's lists, containing many of the same unique spellings of the names of the deceased.

³⁷⁸⁹ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 36.

³⁷⁹⁰ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 36.

³⁷⁹¹ See P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 36.

³⁷⁹² P-0218: <u>T-90</u>, p. 86, line 15 – p. 88, line 8.

³⁷⁹³ P-0218: T-90, p. 87, lines 3-6.

³⁷⁹⁴ The Chamber here refers to its discussion above of the persons killed in the camp and its discussions below of the persons killed in the course of the LRA's retreat.

³⁷⁹⁵ Para. 170 above.

³⁷⁹⁶ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 33 (before the end of the attack, Mario Ottober heard an exchange of gunfire when the mobile government soldiers were fighting the rebels. Soon after that exchange of gunfire, the government soldiers came around and the residents were assured that the rebels had left); P-0264: T-64, p. 62, lines 8-12 (P-0264 testified that the LRA forces left the camp because after defeating the government soldiers, and while LRA forces were looting items in the centre, some government soldiers came from the direction of the school and started shooting at the LRA fighters and so the LRA forces left the camp); P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 29 (P'Oyoo Lakoch could see the soldiers returning from laying the ambush begin firing towards the camp); P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 25 (while hiding from the rebels in the Odek River, Zakeo Odora could hear government soldiers approaching from the direction of Awere. Zakeo Odora heard the government soldiers exchange fire with the rebels); P-0406: T-

1552. Camp resident Helen Opoka Acan testified that the government soldiers were running after the rebels. 3797 Sinia fighter P-0264 corroborates her account, testifying that the LRA forces left the camp after some government soldiers came from the direction of the school and started shooting at the LRA fighters. 3798 In testimony consistent with the other witnesses' accounts, P-0314 testified that he ran back to the bush after government soldiers returned to the barracks. 3799 Many witnesses reported that in the course of the attack, it began to rain heavily. 3800

1553. The Chamber is also satisfied that the evidence shows that the LRA's attack on Odek IDP camp lasted approximately one hour.³⁸⁰¹

When they left the camp, the LRA took with them abducted civilians and looted goods. In the course of the attack, LRA fighters abducted at least 40 civilian residents from the camp: men, women and children. Amongst many others Atir, Hilary Kilama, Lalam, Rose Aweko, David Ojok, James Titus Latigo, James Ayella, Kidega, Lagii, Patrick Opap Odong, P'Mala Okot, Ojok, Fabio Otto, Onek, Witness P-0275, Witness P-0269, Witness P-0252, Brian Odokonyero, Hellen Adong, Alice Kidega, Acan, Adaa/Ada, Agnes Adoch, Ajok, Akanyo, Apio, Atenyo, Carolina Lagulu, Dennis Otema, Doreen Aluku, Kadoge,

^{155,} p. 46, line 25 – p. 47, line 10, p. 48, lines 6-11, p. 48, line 21 – p. 49, line 1, p. 53, line 1-18 (P-0406 stated that UPDF soldiers at the school shot at LRA fighters who were at the barracks, dislodging the soldiers at the barracks who then went into the camp centre. The UPDF soldiers were firing at the LRA fighters). See also P-0406's annotated sketch of Odek IDP camp and the course of the attack, UGA-OTP-0263-2806; P-0359: T-110, p. 46, lines 7-19 (According to P-0359, a UPDF officer, the UPDF generally do not direct heavy weapons inside a camp, out of fear of causing injury or death).

³⁷⁹⁷ P-0270 Statement, UGA-OTP-0241-0168-R01, at para. 34. Helen Opoka Acan testified that she knew that the soldiers were government soldiers because the government soldiers spoke Swahili while the rebels spoke Acholi. ³⁷⁹⁸ P-0264: T-64, p. 62, lines 8-12.

³⁷⁹⁹ P-0314: <u>T-75</u>, p. 11, lines 19-20.

³⁸⁰⁰ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 25 (it began to rain heavily as the attack ended); P-0252: <u>T-87</u>, p. 33, lines 8-12; <u>T-89</u>, p. 3, line 21 – p. 4, line 7, p. 14, line 22 – p. 15, line 4 (it was raining when the LRA attacked Odek, the rain started around the time the LRA attacked the barracks and continued during the attack. It was still raining when the LRA were leaving the camp); P-0054: <u>T-93</u>, p. 15, line 21, p. 21, line 7-9 (it started to rain during the LRA's attack on the barracks and there was heavy rain as they were leaving the camp); P-0309: <u>T-63</u>, p. 22, lines 22-25 (it was raining when they were leaving for Odek and it rained on the way back). The Chamber notes that many witnesses did not mention the rain and P-0340 testified that there was no rain that day. *See* P-0340: <u>T-103</u>, p. 48, line 18 – p. 49, line 3. The Chamber considers that P-0340's testimony about the rain does not undermine its overall view of his credibility.

³⁸⁰¹ P-0410: <u>T-151</u>, p. 31, lines 2-10, p. 32, lines 7-11 (According to P-0410, the attack began around 17:00 and the LRA forces started leaving the camp around 18:00); P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 24 (the attack lasted about one hour in total); P-0309: <u>T-61</u>, p. 9, lines 19-20 (the LRA was at the trading centre of Odek IDP camp for approximately 50 minutes to one hour). *See* P-0270 Statement, UGA-OTP-0241-0168-R01, at paras 19 and 34 (the attack began between 17:00 and 18:00 and the government soldiers came between 19:00 and 19:30); P-0269: <u>T-85</u>, p. 35, lines 12-19, p. 36, lines 7-20, p. 48, lines 14-16; <u>T-86</u>, p. 77, lines 14-19 (P-0269 was abducted by the last group of LRA fighters that was fighting in the camp about an hour after the LRA arrived at the camp). The Chamber notes that P-0274 estimated the attack lasted about 30-45 minutes. P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 28. The Chamber is of the view that this testimony is not inconsistent with its finding, particularly given that the witness estimated the time the LRA spent in the camp and the Chamber's own finding is an approximation.

Kala Adong, Joyce Aneno, Ocaka, Paul Ojara, Richard Okello, Santa Oling, Ventorina Akello, and the wife of Olet Okello were abducted and forced to work for the LRA.³⁸⁰²

Abductees, including children as young as 11 or 12 years old, were forced to carry looted items away from the camp. Abductees were under armed guard to prevent their escape and were under constant threat of beatings or death. Civilians were forced to carry heavy loads for long distances under constant threat of harm; some abductees walked these long distances barefoot. Abductees were forced to carry an injured fighter.³⁸⁰³

Civilians suffered instances of grave physical abuse at the hands of the LRA fighters, such as beatings with sticks and guns. Abductees were beaten for walking too slowly. One abductee was forced to kill another abductee with a club and forced to inspect corpses. Another abductee was forced to watch someone being killed. Some mothers were forced to abandon their children on the side of the road; one child was left on a rubbish pit. 3804

- 1554. The Chamber finds that the evidence demonstrates that LRA fighters abducted civilians from Odek IDP camp and under armed guard forced them to work for the LRA, under threat of beatings and/or death. In this context, the Chamber notes the evidence, discussed further below, of the civilians the LRA abducted and killed.
- 1555. Both LRA fighters and civilian residents of Odek IDP camp testified that LRA abducted civilians and severely mistreated civilians in the course of the abductions and the retreat from the camp.
- 1556.LRA fighters themselves testified that the LRA abducted people from Odek IDP camp, providing testimony which corroborates and is consistent with the testimony of the camp residents. P-0340 testified that civilians were abducted in Odek IDP camp and made to 'carry the loads'. Similarly, P-0309 testified that the LRA fighters abducted civilians from the centre and from homes in the camp to help carry looted items. Resolve P-0309 testified that the abducted civilians were given items to carry, including beans and other foodstuff. According to P-0309, during the retreat, abducted civilians were walking in the midst of the LRA fighters. P-0410 testified that he personally abducted two people from Odek IDP camp.

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<sup>3802</sup> Para. 171 above.
<sup>3803</sup> Para. 172 above.
<sup>3804</sup> Para. 173 above.
<sup>3805</sup> P-0340: <u>T-102</u>, p. 36, lines 3-10.
<sup>3806</sup> P-0309: <u>T-60</u>, p. 83, lines 4-7, lines 16-20; <u>T-61</u>, p. 8, lines 20-24.
<sup>3807</sup> P-0309: <u>T-61</u>, p. 9, lines 14-18.
<sup>3808</sup> P-0309: <u>T-61</u>, p. 10, lines 12-21.
<sup>3809</sup> P-0410: <u>T-151</u>, p. 39, lines 1-3.
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- P-0406 testified that after the operation, the LRA fighters gathered at the RV point and along with the attackers of Odek IDP camp, there were abducted civilians present, including children. ³⁸¹¹ P-0406 estimated that the youngest abducted children were between 11 and 12 years old, girls as well as boys. ³⁸¹²
- 1558. The Chamber also notes that in the intercepted radio communication discussed below, P-0016 identified Dominic Ongwen as stating 'I have abducted a number of people'. 3813

 The consistent and mutually corroborative evidence shows that the LRA fighters abducted civilians from Odek IDP camp.
- 1559. The civilians were abducted to carry goods that the LRA looted from the camp. P-0410 testified that he went and took food from the camp and abducted two civilians to help him carry the items. ³⁸¹⁴ He recounted that in the camp he cocked his gun while entering a house and finding people inside, he brought them outside at gunpoint. ³⁸¹⁵ P-0410 testified that one of the civilians was an adult and the other was a child. ³⁸¹⁶
- 1560. Other LRA fighters corroborate P-0410's account that civilians were abducted from the camp to carry looted goods. Similarly, P-0406 testified that during the attack, he and other LRA fighters went into a house to collect food. P-0406 stated that LRA fighters told the civilians in the house to get up and carry the food. When the civilians refused this order, P-0406 testified that his commander ordered him to beat the civilians with a gun. P-0406 stated that he hit the civilians on the head and the chest with the butt of

³⁸¹⁰ P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 126.

³⁸¹¹ P-0406: <u>T-154</u>, p. 50, lines 2-8.

³⁸¹² P-0406: <u>T-154</u>, p. 50, lines 5-13.

³⁸¹³ P-0016 Tape 808 Transcript, UGA-OTP-0259-0065, at 0075; P-0016: T-32, p. 63, lines 18-22.

³⁸¹⁴ P-0410: <u>T-151</u>, p. 45, line 22 – p. 46, line 2.

³⁸¹⁵ P-0410: <u>T-151</u>, p. 46, lines 3-7.

³⁸¹⁶ P-0410: T-151, p. 46, lines 10-13.

³⁸¹⁷ P-0330: T-52-CONF, p. 22, lines 5-6, p. 24, lines 21-24 (civilians were abducted from Odek IDP camp to help with carrying looted items. The abducted civilians carried items looted by LRA fighters to the meeting point.); P-0309: T-60, p. 83, lines 4-7 (LRA fighters abducted civilians to help carry looted goods). *See also* P-0252: T-87, p. 34, line 9 – p. 35, line 3 (food had been distributed to the camp three days before the attack, so when the LRA arrived the food was still there. The LRA gave the abductees the things that had been distributed to the camp to carry away, including flour, beans and cooking oil).

³⁸¹⁸ P-0406: T-154-CONF, p. 46, lines 4-6.

³⁸¹⁹ P-0406: T-154-CONF, p. 46, lines 4-7.

³⁸²⁰ P-0406: T-154-CONF, p. 46, lines 4-13.

the gun. 3821 He also hit another civilian with the nozzle of the gun. 3822 After this the civilians got up and carried the food. 3823 P-0406 testified that some of the civilians stayed in the house and the LRA fighters shut the door. 3824 P-0264 testified that abductees carried food items on their heads and backs. 3825

- 1561. The evidence shows that abductees were forced to carry an injured LRA commander during the retreat. 3826 The Chamber also found P-0252's account of civilians being forced to carry weapons from Odek credible. P-0252 stated that abductees were forced to carry a gun called a 'B-10', testifying that the older people from the camp carried the gun in turns.
- 1562. The Chamber also notes the evidence discussed throughout this section shows that the LRA used force throughout the abduction, for example, P-0275, P-0242, P-0269, and Hellen Adong testified that they were abducted by armed LRA soldiers. In this context, the Chamber recalls P-0264's testimony that the people abducted from Odek IDP camp were tied at the waist with a rope so they do not escape and had to carry items on their head. 3827 In line with this testimony, P-0142 testified that it would not have been possible for civilians abducted to escape as they would have been under tight security by armed LRA fighters. 3828
- 1563. Helen Opoka Acan described her experience when armed LRA fighters entered her home and dragged her children out:

I couldn't stop them. If you try to stop the rebels or talk to them they will kill you or take out your eye with the butt of the gun. You cannot stop them. If you try to stop them they will cut off your mouth or your ears. After they dragged the children out I was just sitting there in the hut. I had no strength or authority to do anything. I remained in the hut with my husband. They took all my children out of the house and only left [one of my son's] children with us as they were very young. After they left my house I did not look outside my door. I could not have seen anything,

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<sup>3821</sup> P-0406: T-154-CONF, p. 46, lines 4-8.
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³⁸²² P-0406: T-154-CONF, p. 46, lines 4-9.

³⁸²³ P-0406: T-154-CONF, p. 46, lines 4-9. ³⁸²⁴ P-0406: T-154-CONF, p. 46, lines 4-10.

³⁸²⁵ P-0264: <u>T-64</u>, p. 64, lines 8-11.

³⁸²⁶ See paras 1601-1608 below. The experience and killing of these abductees is discussed further below.

³⁸²⁷ P-0264: <u>T-64</u>, p. 64, lines 12-18.

³⁸²⁸ P-0142: <u>T-70</u>, p. 36, line 21 – p. 37, line 18.

it was dark and confusing. I just bent my head down and waited for what was coming next. 3829

- 1564. The Chamber also recalls the testimony of Hellen Adong that LRA fighters beat people who were moving slowly even when they were carrying very heavy items. 3830 Hellen Adong testified that people avoided looking at the LRA because they were threatened when they did. 3831 Hellen Adong testified that the LRA frequently threatened the abductees with death. 3832
- 1565. The Chamber also heard consistent, detailed and credible evidence that the LRA forced women to abandon their children during the retreat from the camp, under threats of beatings or death.
- 1566. Hellen Adong testified that the rebels repeatedly told her to throw her baby away, but she refused. 3833 She testified that during the course of the retreat, as the abductees and their LRA captors crossed a road, they found a baby boy, about two years old, who had been left on the path. 3834 Hellen Adong testified that the child's mother was called Alice, 3835 she later saw Alice among the abductees. 3836 After both of them were released, Alice told her that she had been forced to leave her baby behind by the LRA. 3837 Hellen Adong testified that people in the camp heard the child crying and brought him back to his father. 3838 Hellen Adong's husband, Mario Ottober, corroborated her account, testifying that she told him that the LRA forced a woman named Alice to leave her child during the retreat. 3839 Mario Ottober stated that according to Hellen Adong, the LRA said the child was making noise and disturbing people. 3840 Mario Ottober also confirmed that the child was later rescued by the residents of the camp. 3841

³⁸²⁹ P-0270 Statement, UGA-OTP-0241-0168-R01, at paras 24-25.

³⁸³⁰ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 41.

³⁸³¹ See P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 41.

³⁸³² See P-0268 Statement, UGA-OTP-0248-0013-R01, at paras 41, 43-45, 47; P-0269: <u>T-85</u>, p. 44, lines 15-24 (LRA fighters threatened civilians that they would be killed if an injured commander died. P-0269 stated 'soldiers really wanted to kill us that evening, as if we were not human beings').

³⁸³³ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 38.

³⁸³⁴ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 38.

³⁸³⁵ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 38.

³⁸³⁶ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 38.

³⁸³⁷ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 55.

³⁸³⁸ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 55.

³⁸³⁹ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 43.

³⁸⁴⁰ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 43.

³⁸⁴¹ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 43.

- 1567. Similarly, P-0269 testified that while she was being abducted, her two children came following her, crying. Represented that one soldier told her that she had to leave her children otherwise she would be killed. She also saw the LRA ask one of the abducted civilians to untie her baby and leave the child. P-0269 also testified that LRA fighters were telling women to remove the babies that they had on their back so that they could carry luggage and not hold on to their children.
- 1568. In the retreat from the camp with her LRA abductors, P-0269 saw a very young baby, about two months old, who was thrown on a rubbish pit. 3846 P-0269 testified that she saw children abandoned in the bush around the ages of 6-8 months. Similarly, abductee P-0275 testified to seeing a naked baby abandoned at the side of the path; the baby was on its back and crying. 3848
- 1569. The Chamber also heard evidence of the LRA's abduction and mistreatment of specific civilians taken from Odek IDP camp in the course of the attack.
- 1570. **P-0275:** The Chamber recalls the finding that P-0275 was nine years old at the time of the attack on Odek IDP camp. 3849 P-0275 testified that the day of the attack, he was at home with when he heard gunshots and voices outside the hut saying 'let us finish the people here and burn the house'. 3850 P-0275 testified that as the intensity of the firing increased, he and the others lay down on the floor of the hut. 3851 P-0275 testified that the bullets were hitting the thatched roof of the house. 3852 An LRA fighter came in while they were hiding, took them out of the house and ordered them to remove their shirts. 3853 P-0275 testified that they were told to lie on the ground as bullets were still flying around. 3854 P-0275 testified that

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<sup>3842</sup> P-0269: <u>T-85</u>, p. 38, lines 17-24.
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³⁸⁴³ P-0269: <u>T-85</u>, p. 38, lines 17-24.

³⁸⁴⁴ P-0269: T-85, p. 39, lines 11-14.

³⁸⁴⁵ P-0269: <u>T-85</u>, p. 38, lines 5-8. *See* P-0270 Statement, UGA-OTP-0241-0168-R01, at para. 48 (some of the abducted women were made to abandon their children on the side of the road).

³⁸⁴⁶ P-0269: <u>T-85</u>, p. 38, lines 2-14.

³⁸⁴⁷ P-0269: T-85, p. 38, lines 11-16.

³⁸⁴⁸ P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 31.

³⁸⁴⁹ See Chamber's discussion oof P-0275's credibility in section IV.B.2.iv.c.v above.

³⁸⁵⁰ P-0275 Statement, UGA-OTP-0244-3398-R01, at paras 16, 20.

³⁸⁵¹ P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 20.

³⁸⁵² P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 22.

³⁸⁵³ P-0275 Statement, UGA-OTP-0244-3398-R01, at paras 23-24.

³⁸⁵⁴ P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 24.

the fighter lined the boys up by height order with

it around their waist to join them together. ³⁸⁵⁶ P-0275 testified that the soldier led the abductees away while holding one end of the rope. ³⁸⁵⁷ The LRA fighter entered several civilian homes and removed items, giving them to the boys to carry. ³⁸⁵⁸ P-0275 testified that the soldier ordered them to walk towards the direction of the stream, and that they walked about four kilometres towards the direction of Lakim. ³⁸⁵⁹ Along the way, it began to rain. ³⁸⁶⁰ In the course of the retreat with the LRA, P-0275 walked through the bush barefoot and suffered injury. The witness testified that although he had slippers on at the time of his abduction, they were soon damaged and he had to walk barefoot. ³⁸⁶¹ P-0275 testified that from this he had lots of wounds on his feet and legs. ³⁸⁶² After being caught trying to escape while the LRA fighters and abductees were marching from Odek IDP camp, P-0275 was beaten with sticks and also with an RPG; he testified that it was 'like they were hitting [him] with a big log'. ³⁸⁶³

1571.P-0275 testified that while traveling in the bush with the LRA, an LRA fighter beat a woman, who had been previously abducted before the attack on Odek IDP camp, ³⁸⁶⁴ with the back of a hoe because her feet were swollen, pus was coming out of her wounds and she could no longer walk. ³⁸⁶⁵ P-0275 described that the soldier approached her while she was on her knees and told her to say her prayers because she was about to die. ³⁸⁶⁶ P-0275 stated that as she said her prayers, the soldier struck her on the head, 'on the third occasion her head split with the rear of the skull falling forward. I saw some white material mixed with blood coming from the wound. She died in front of me'. ³⁸⁶⁷ P-0275 further testified

³⁸⁵⁵ P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 26.

³⁸⁵⁶ P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 26.

³⁸⁵⁷ P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 27.

³⁸⁵⁸ P-0275 Statement, UGA-OTP-0244-3398-R01, at paras 27-28.

³⁸⁵⁹ P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 30.

³⁸⁶⁰ P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 30.

³⁸⁶¹ P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 32.

³⁸⁶² P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 56.

³⁸⁶³ P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 43.

³⁸⁶⁴ P-0275: <u>T-124</u>, p. 65, lines 15-25.

³⁸⁶⁵ P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 57.

³⁸⁶⁶ P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 57.

³⁸⁶⁷ P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 57.

that he was forced to move and throw away the body of a person killed by the LRA.³⁸⁶⁸ P-0275 described what he felt witnessing the LRA's actions:

[T]he fact that I could see somebody who had just been killed, somebody who was covered in blood was extremely painful for me. ... this person was already an adult and she was very heavy, so it was difficult for me to pull her as well.³⁸⁶⁹

1572. The Chamber is convinced of the credibility of P-0275's account, noting his comprehensive testimony which offered the kind of details that spoke of a keenly observed personal experience. Further, other evidence corroborates P-0275's testimony.



Chamber is satisfied that the evidence shows that armed LRA fighters abducted P-0275 and forced him to work for the LRA in the course of their attack on Odek IDP camp.

1573. **Ajok:** P-0275 testified that one of the persons abducted at Odek was a young woman by the name of Ajok, who was older than him and had already left school. ³⁸⁷⁵ P-0275 testified that within a few days of the group setting off, Ajok was taken by an LRA fighter to be his 'wife'. ³⁸⁷⁶ Noting that for him, time was difficult to assess while in the bush, P-0275 testified that Ajok was taken as a 'wife' a very short time after the attack – he believed six days after she was abducted. ³⁸⁷⁷ P-0275 testified that the soldier that took Ajok was among the group that he was walking with in the aftermath of the Odek IDP

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³⁸⁶⁸ P-0275: <u>T-124</u>, p. 16, lines 10-15.
3879 P-0275: <u>T-124</u>, p. 16, lines 12-15.
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³⁸⁷⁵ P-0275 Statement, UGA-OTP-0244-3398-R01, at paras 34, 36, 38. P-0275 testified that he could not estimate her age.

³⁸⁷⁶ P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 38.

³⁸⁷⁷ P-0275: <u>T-124</u>, p. 50, lines 14-22.

camp attack.³⁸⁷⁸ P-0275 testified that he knew that Ajok had been made a so-called 'wife' because one night he realised that she was sleeping with an LRA 'lapwony'.³⁸⁷⁹ She continued sleeping with this lapwony which made P-0275 believe that she had become the lapwony's 'wife'.³⁸⁸⁰ P-0275's account of the experience of Ajok in captivity was detailed, filled with context that explained the source of P-0275's information and showed that he was narrating events he observed. The Chamber considers this account credible and finds that Ajok, a young woman was abducted by the LRA and made into a so-called 'wife' of an LRA fighter.

- 1574. **Onek:** P-0252 testified that a young man, about 21 or 22 years old, named Onek, was abducted by an LRA fighter named Tito, one of Dominic Ongwen's escorts. P-0252 testified that Onek stayed with Tito and became his escort, doing tasks such as preparing Tito's bed. P-0252 testified that when Onek escaped in the middle of the night, Tito threatened to kill the abductees from Odek. In the end, P-0252 was severely beaten because of Onek's escape. The Chamber considers P-0252's account of Onek's experience in and escape from the LRA credible.
- 1575. Several other witnesses also offered testimony consistent and corroborative of P-0252's account that Onek was abducted during the course of the 29 April 2004 attack and later returned from the bush. 3885 P'Oyoo Lakoch testifies that a 'Charles' Onek was abducted by the LRA during the 29 April 2004 attack on Odek IDP camp and returned after some days. 3886 P'Oyoo Lakoch testified that Onek was still in the bush at the time male abductees were killed. 3887 In contrast, P-0275 testified that 'Denish' Onekalit was

³⁸⁷⁸ P-0275: <u>T-124</u>, p. 51, lines 1-11.

³⁸⁷⁹ P-0275: T-124, p. 80, line 18 – p. 81, line 1.

³⁸⁸⁰ P-0275: <u>T-124</u>, p. 80, line 18 – p. 81, line 1.

³⁸⁸¹ P-0252: <u>T-87</u>, p. 26, lines 5-7, p. 38, lines 19-25. *See* P-0252: T-87-CONF, p. 66, lines 21-23 (Onek was also called Onekalit).

³⁸⁸² P-0252: <u>T-87</u>, p. 38, line 19 – p. 39, line 2.

 $^{^{3883}}$ P-0252: $\overline{\text{T-87}}$, p. 38, line 19 – p. 39, line 13.

³⁸⁸⁴ P-0252: <u>T-87</u>, p. 39, lines 14-22.

³⁸⁸⁵ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 59 (a boy named Onek was abducted from Odek IDP camp during the 29 April 2004 attack and later returned from the bush); P-0269: <u>T-85</u>, p. 46, line 22 – p. 47, line 23 (P-0269 saw Onek among the persons abducted from Odek; he later returned to the camp); P-0270 Statement, UGA-OTP-0241-0168-R01, at para. 44 (According to Helen Opoka Acan, Onek, her neighbour's son, was abducted during the attack). The Chamber notes that several of the witnesses offer different ages when describing Onek, the Chamber does not consider that fact dispositive. The witnesses are consistent in describing that Onek was abducted and returned from the bush. The Chamber is of the view that the witnesses are discussing the same person.

³⁸⁸⁶ P-0218: <u>T-90</u>, p. 13, line 21 – p. 14, line 8; P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 38. ³⁸⁸⁷ P-0218: <u>T-90</u>, p. 13, line 21 – p. 14, line 1.

abducted from Odek IDP camp. 3888 P-0301's Incident Report lists 'Onekalit Denis' as one of the persons abducted during the 29 April 2004 LRA attack on Odek IDP camp and still in captivity as May 2004. 3889 The Chamber recalls P-0252's testimony that Onek was also called Onekalit. Given the similarities in the names of the victim, and the circumstances of abduction, the Chamber is of the view that this evidence points to the abduction of the same person, Onek. The above is also evidence that Onek was abducted by LRA fighters in the course of the attack on Odek IDP camp. In light of the foregoing, the Chamber finds that Onek was abducted by the LRA and forced him to work for the LRA.

1576. **Hellen Adong:** Hellen Adong testified that in response to hearing gunshots in the camp, she and her children hid in her home and locked the door. ³⁸⁹⁰ A rebel soldier carrying a gun kicked down the door.³⁸⁹¹ According to Hellen Adong she picked up one of her young children, Ocen, and ran outside and said to the LRA fighter, 'don't shoot me I am a civilian'. 3892 The fighter told her in Acholi to get moving. 3893 At the time Hellen Adong was not wearing any shoes. 3894 Hellen Adong looked for an item of clothing with which to carry her child but the LRA fighter hit her with the butt of his gun in the lower thigh on her right leg. 3895 Hellen Adong stated that eventually she took off the blouse she was wearing and used it to tie her baby on her back and walked bare-chested herself. 3896 She stated that she was in severe pain where the LRA fighter had hit her. 3897 She, her child and the soldier started walking from her home at one edge of the camp in the direction of the barracks. 3898

1577. Hellen Adong testified that after the LRA looted homes near the barracks, armed fighters told her and other abductees to carry the looted items. 3899 The LRA rebel who abducted her found a sack of maize in a civilian, Ocii's, house, in the middle of the camp and began

³⁸⁸⁸ P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 34.

³⁸⁸⁹ P-0301 Incident Report, UGA-OTP-0249-0438-R01, at 0441.

³⁸⁹⁰ P-0268 Statement, UGA-OTP-0248-0013-R01, at paras 23-25.

³⁸⁹¹ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 26.

³⁸⁹² P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 27. ³⁸⁹³ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 27.

³⁸⁹⁴ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 27. ³⁸⁹⁵ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 27.

³⁸⁹⁶ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 30.

³⁸⁹⁷ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 27.

³⁸⁹⁸ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 27. The Chamber notes that the barracks was at the other edge of the camp. See P-0274 Sketch of Odek IDP camp, UGA-OTP-0244-3388-R01.

³⁸⁹⁹ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 28.

to beat her, telling her to abandon her baby and carry the sack.³⁹⁰⁰ According to Hellen Adong, although the soldier continued to beat her, she refused to abandon her baby.³⁹⁰¹ She carried the sack of maize on her head and her baby on her back,³⁹⁰² and continued to carry it through the bush.³⁹⁰³ Hellen Adong testified that eventually another LRA fighter halted the beating;³⁹⁰⁴ she was able to keep carrying her child.³⁹⁰⁵

- 1578. During the day she spent in captivity by the LRA, while she was forced to walk a long distance with a sack of maize on her head while carrying her baby on her back, Hellen Adong walked barefoot. After she returned from the bush, she could not walk well for a couple of weeks because her feet had blisters and thorns on them from walking barefoot in the bush. 3907
- 1579. Hellen Adong testified that during the retreat from Odek IDP camp, her child was crying because he was naked and it was cold. She stated that the LRA beat her on her back with the butt of their guns when she could not get him to stop crying. According to the witness the LRA fighters also beat other women whose babies were crying. Hellen Adong testified that it was wet and cold and the baby kept crying until she was finally able to soothe him by breastfeeding.
- 1580. Hellen Adong stated that after walking about six miles the day after the attack, LRA fighters told the women who had to carry looted materials from Odek to bring the items all to one place. ³⁹¹² The women were then released but were threatened that if a commander who had been injured during the attack died, they would be found and killed. ³⁹¹³ Hellen Adong testified that the women were released around 15:00 the day

³⁹⁰⁰ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 30.

³⁹⁰¹ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 30. P-0268 testified that another LRA soldier eventually stopped the LRA fighter from hitting her.

³⁹⁰² P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 30.

³⁹⁰³ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 47.

³⁹⁰⁴ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 31.

³⁹⁰⁵ P-0268 Statement, UGA-OTP-0248-0013-R01, at paras 38, 52.

³⁹⁰⁶ P-0268 Statement, UGA-OTP-0248-0013-R01, at paras 27, 57.

³⁹⁰⁷ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 57.

³⁹⁰⁸ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 46.

³⁹⁰⁹ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 46.

³⁹¹⁰ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 46.

³⁹¹¹ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 46.

³⁹¹² P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 51.

³⁹¹³ P-0268 Statement, UGA-OTP-0248-0013-R01, at paras 52-53.

after the attack and ran most of the way home. ³⁹¹⁴ Although Hellen Adong took one of her children with her while she was abducted, she was forced to leave her other children behind, including a breastfeeding baby, Sharon Apio. ³⁹¹⁵ Hellen Adong testified that after she returned home from her captivity a day later, she discovered that her child was crying and weak and no longer wanted to be breastfed. ³⁹¹⁶ Hellen Adong testified that the doctor could not diagnose any problems; however the child died a week later. ³⁹¹⁷

1581. The Chamber notes that Mario Ottober, Hellen Adong's husband, corroborates her account of her abduction. The small inconsistencies in their accounts ³⁹¹⁸ do not undermine their credibility and reliability. Rather, they are the normal variances expected from independent recollections and go to show that their testimonies were not rehearsed or coordinated. The Chamber finds that Mario Ottober's account of what he witnessed of her abduction and what she told him of her experience in captivity corroborate Hellen Adong's testimony and bolsters the Chamber's view of her credibility and reliability. ³⁹¹⁹ P'Oyoo Lakoch and Helen Opoka Acan corroborate the other witnesses, testifying that Hellen Adong was abducted by LRA fighters and forced to carry looted food away from the camp. ³⁹²⁰ P'Oyoo Lakoch testified that Helen Ottober told him that she and others brought the pillaged food to a bigger group of LRA fighters in Lakim. ³⁹²¹ In light of the evidence, the Chamber is convinced that LRA fighters abducted Hellen Adong and forced her to work for the LRA.

³⁹¹⁴ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 54.

³⁹¹⁵ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 56.

³⁹¹⁶ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 56.

³⁹¹⁷ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 56.

³⁹¹⁸ For example, Mario Ottober indicates that she carried the maize from outside their house at the edge of camp while Hellen Adong explicitly describes picking up the maize in the middle of the camp.

³⁹¹⁹ See P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 29-30, 40 (Hellen Adong was in a house with their children with the door locked when the LRA kicked the door of the house open and his wife came out of the house. Hellen Adong told the LRA not to shoot as she is a civilian. The LRA told her to carry a large sack of maize from outside and follow them. She followed the LRA while carrying their son, Ocen, who was less than one year old on her back. She was released the day after the attack).

³⁹²⁰ P-0218 Statement, UGA-OTP-0238-0720-R01, at paras 34-35 (Helen Ottober told him that the rebels made her and others carry food away from the camp. She told him that after they had walked some distance, they were released and were told by rebels to take a different route back to the camp in case the government soldiers followed them). The witness specifically refers to Helen Ottober. The Chamber notes that Hellen Adong is married to Mario Ottober and understands that references to Helen or Hellen Ottober are to Hellen Adong. *See* P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 13; P-0270 Statement, UGA-OTP-0241-0168-R01, at para. 48 (Hellen Adong lived near Helen Opoka Acan and was one of the women who returned from the bush. Hellen Adong was abducted to carry loads for the LRA).

³⁹²¹ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 35.

1582, P-0269: P-0269 testified at the time of the 29 April 2004 Odek IDP camp attack, she was six-months pregnant. 3922 She stated that when the gunshots began, she hid in a trench inside her home. 3923 She came out of her hiding spot to see if the LRA fighters had left the camp. ³⁹²⁴ P-0269 stated that she returned to her hiding spot, only to be followed by an armed LRA fighter who told her to come out of her hiding spot. ³⁹²⁵ The LRA fighter pulled a heavy bag of food, gave it to her to try to carry and told her to run after him. 3926 P-0269 testified that she was not able to carry the heavy bag, so the fighter gave her a bag of flour to carry. 3927 P-0269 testified that they encountered some gunfire from government soldiers and at some point, the LRA fighter was hit in the chest but they continued moving. ³⁹²⁸ P-0269 continued with the fighter out of Odek IDP camp, carrying the bag she was given to carry. 3929 The LRA fighter told her that she would only get hit if she did something wrong. ³⁹³⁰ She carried food, bags of salt and flour, during the course of the retreat. ³⁹³¹ P-0269 testified that she was threatened that if the salt was spoilt by the rain she would be killed. 3932 According to P-0269, she was told never to try to escape, and that she would be killed if she tried. 3933 P-0269 testified that the luggage the LRA forced her to carry was 'so heavy' and she carried it on her side for a long time. 3934 She also had to carry a saucepan with the salt bag. ³⁹³⁵ P-0269 testified that as a result of what she carried through the bush, her ribs were injured. 3936

1583.P-0269 testified that the group that abducted her was the last group that was fighting at the camp.³⁹³⁷ P-0269 stated that eventually she was instructed to put down what she was carrying and then join a line of women.³⁹³⁸ The witness told that the LRA threatened the

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3922 P-0269: T-85, p. 41, lines 4-9.
3923 P-0269: T-85, p. 34, line 19 – p. 35, line 3.
3924 P-0269: T-85, p. 35, lines 12-19.
3925 P-0269: T-85, p. 36, lines 7-12.
3926 P-0269: T-85, p. 36, lines 7-17.
3927 P-0269: T-85, p. 36, lines 7-25.
3928 P-0269: T-85, p. 36, lines 7-25.
3929 P-0269: T-85, p. 36, lines 7-25.
3930 P-0269: T-85, p. 37, line 25 – p. 38, line 5.
3931 P-0269: T-85, p. 38, lines 1-4.
3931 P-0269: T-85, p. 39, lines 15-22.
3932 P-0269: T-85, p. 39, lines 15-22.
3933 P-0269: T-85, p. 44, lines 8-14.
3934 P-0269: T-85, p. 53, lines 17-22.
3935 P-0269: T-85, p. 53, lines 17-23.
3936 P-0269: T-85, p. 53, lines 17-24.
3937 P-0269: T-85, p. 48, lines 14-16.
3938 P-0269: T-85, p. 48, lines 14-19.
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people in the line, telling them that they were going to be killed.³⁹³⁹ Then, according to P-0269, the LRA discussed amongst themselves and told the women that '[y]ou won't be killed this time around because if we kill you, there won't be any other woman left in Odek camp. For that reason, we are going to release you to go back home'.³⁹⁴⁰ P-0269 testified that the women were made to walk in a zigzag fashion and then released.³⁹⁴¹ The Chamber considers that P-0269's account of her abduction was internally consistent and credible. The witness offered comprehensive details that convinced the Chamber that she spoke from her personal experience. The Chamber is satisfied that the evidence demonstrates that P-0269 was abducted by LRA fighters and forced to work for the LRA.

1584. Brian Odokonyero (Odoki)

The Chamber notes that P'Oyoo Lakoch testified that 'Odoki' was abducted together with Hilary Kilama. P'Oyoo Lakoch testified that Odoki later told him that he and Kilama were tied together and led away from the camp. Set Given the overwhelming similarities between the witnesses' accounts of the manner of the victims' abduction, it is clear to the Chamber that Brian Odokonyero is the same person called 'Odoki'. Corroborating the other accounts, P-0252 testified that Odoki was a child abducted from Odek. Additionally, P-0301's Incident Report lists 'Odoki s/o Onen Mugabe,' as one of the persons abducted during the 29 April 2004 LRA attack on Odek IDP camp and still in captivity as of May 2004. In light of the evidence, the Chamber is convinced that armed LRA fighters abducted Odoki and forced him to work for the LRA in the course of the 29 April 2004 attack on Odek IDP camp.

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<sup>3939</sup> P-0269: <u>T-85</u>, p. 48, lines 14-20.
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³⁹⁴⁰ P-0269: <u>T-85</u>, p. 48, lines 14-24, p. 50, lines 5-11.

 $^{^{3941}}$ P-0269: $\overline{\text{T-85}}$, p. 48, line 24 – p. 49, line 22.

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³⁹⁴³ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 37.

³⁹⁴⁴ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 37.

³⁹⁴⁵ P-0252: <u>T-87</u>, p. 24, lines 14-24.

³⁹⁴⁶ P-0301 Incident Report, UGA-OTP-0249-0438-R01, at 0440.

1585.**P-0252:** The Chamber recalls its discussion of the attempted killing of P-0252 ³⁹⁴⁷ by an LRA soldier. ³⁹⁴⁸ P-0252 was abducted by one of Dominic Ongwen's soldiers, Onen Kamdulu.³⁹⁴⁹ Kamdulu also abducted several other people and used a rope to tie them to each other, including P-0252.3950 P-0252 testified that Onen Kamdulu told the abducted persons that he would shoot them if they tried to flee and to demonstrate his seriousness, shot at people within their homes.³⁹⁵¹ P-0252 testified that he was forced to carry cooking oil that had been recently distributed to civilians in the camp. 3952 The Chamber finds P-0252's account of his captivity credible. Several witnesses testified that P-0252 was abducted by the LRA and returned from the bush, largely corroborating P-0252's account. 3953 Further corroborating the witnesses' accounts, .³⁹⁵⁴ The Chamber is convinced by the consistent and credible evidence that LRA fighters abducted P-0252 and severely mistreated him. 1586.P-0252 testified that several days after the attack on Odek IDP camp, before the LRA

fighters finally reached their destination with their abductees,

.³⁹⁵⁵ P-0252 explained that

.³⁹⁵⁶ He testified that LRA fighters Korea, Ayella and Tito, brought Atir Atir's hands tied behind his back.³⁹⁵⁷ According to P-0252, the LRA had already decided that all the adults abducted from Odek would be killed. 3958 P-0252 testified that the other

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<sup>3955</sup> P-0252: T-87-CONF, p. 66, lines 1-2. See P-0252: T-87-CONF, p. 68, lines 5-14, p. 69, lines 21-24.
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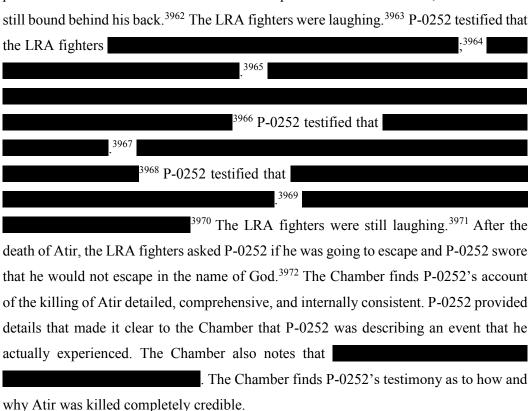
³⁹⁴⁷ P-0252: T-87-CONF, p. 6, lines 7-21. ³⁹⁴⁸ See the Chamber discussion of the attempted killing of P-0252 in para. 1536 above. ³⁹⁴⁹ P-0252: <u>T-87</u>, p. 9, line 25 – p. 10, line 3, p. 12, lines 24-25. ³⁹⁵⁰ P-0252: <u>T-87</u>, p. 13, lines 9-11. ³⁹⁵¹ P-0252: <u>T-87</u>, p. 13, lines 12-18. ³⁹⁵² P-0252: <u>T-87</u>, p. 34, lines 9-14.

³⁹⁵⁶ P-0252: T-87-CONF, p. 66, lines 3-7, p. 66, line 23 – p. 67, line 2.

³⁹⁵⁷ P-0252: T-87-CONF, p. 66, lines 3-10.

³⁹⁵⁸ P-0252: T-87-CONF, p. 67, lines 3-6.

abductee Onek and Atir knew each other from home.³⁹⁵⁹ P-0252 also knew Atir and his family from Odek.³⁹⁶⁰ P-0252 testified that when Atir saw Onek, Atir said to him 'I am going to be killed today'.³⁹⁶¹ P-0252 testified that the LRA fighters had already set up a place where Atir was to be killed and Atir was pushed and fell facedown, his hands were still bound behind his back.³⁹⁶² The LRA fighters were laughing.³⁹⁶³ P-0252 testified that



1587.Other witnesses provided testimony which corroborates P-0252's account of Atir's death, further convincing the Chamber of the credibility of P-0252's testimony in this regard. Zakeo Odora testified that someone named 'Atii' was abducted from Odek and killed in

³⁹⁵⁹ P-0252: T-87-CONF, p. 67, lines 9-10.

³⁹⁶⁰ P-0252: T-88-CONF, p. 37, lines 6-10.

³⁹⁶¹ P-0252: T-87-CONF, p. 67, lines 10-11.

³⁹⁶² P-0252: T-87-CONF, p. 67, lines 15-17.

³⁹⁶³ P-0252: T-87-CONF, p. 67, lines 15-17.

³⁹⁶⁴ P-0252: T-87-CONF, p. 67, lines 17-18.

³⁹⁶⁵ P-0252: T-87-CONF, p. 67, lines 17-18.

³⁹⁶⁶ P-0252: T-87-CONF, p. 67, lines 19-21.

³⁹⁶⁷ P-0252: T-87-CONF, p. 67, lines 19-21.

³⁹⁶⁸ P-0252: T-87-CONF, p. 67, line 22.

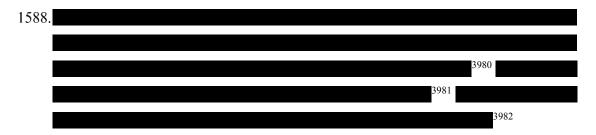
³⁹⁶⁹ P-0252: T-87-CONF, p. 67, lines 22-23.

³⁹⁷⁰ P-0252: T-87-CONF, p. 67, lines 23-24.

³⁹⁷¹ P-0252: T-87-CONF, p. 67, line 24.

³⁹⁷² P-0252: T-87-CONF, p. 67, line 25 – p. 68, line 1.

³⁹⁷⁴ P-0269, who was abducted by the LRA during the attack and later released, testified that the LRA began to torture Atir immediately from the point where the retreating group had stopped to prepare meals. ³⁹⁷⁵ P-0269 testified that the LRA removed Atir's shirt and bound him. ³⁹⁷⁶ She testified that she saw him being undressed and beaten. ³⁹⁷⁷ P-0269 continued that Atir never returned from the bush. ³⁹⁷⁸ P-0269 testified that one of the children who had been abducted and escaped reported that all the men who were abducted were eventually killed. ³⁹⁷⁹ In light of the evidence, the Chamber finds that the LRA fighters to kill Atir by beating him to death.



1589. The evidence shows that armed LRA fighters abducted many other persons apart from the individuals discussed in detail above. Abductees were forced to work for the LRA. P-0269 testified that she moved together with other persons abducted by the LRA, men, women and children. P-0269 testified that the youngest person she saw among the abductees was a child of about eight years old. P-0269 testified that the child was later released together with the women who were released. In line with P-0269, Hellen Adong testified of other abductees, stating that the abductees were made to carry items

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3976 P-0269: <u>T-85</u>, p. 43, lines 11-22.
3977 P-0269: <u>T-85</u>, p. 43, lines 11-22.
3978 P-0269: <u>T-85</u>, p. 43, lines 18-19.
3979 P-0269: <u>T-85</u>, p. 43, lines 19-22.

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3983 P-0269: <u>T-85</u>, p. 46, line 22 – p. 47, line 7.
3984 P-0269: <u>T-85</u>, p. 47, lines 5-10.
3985 P-0269: <u>T-85</u>, p. 47, lines 8-10.
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from the camp and after a rest period, the abductees were told to carry what they had carried from the camp and were threatened that anyone who did not would be killed.³⁹⁸⁶

1590. Witnesses reported that in addition to the civilians discussed in detail above, other civilians who were abducted by the LRA in Odek IDP camp included: Alice Kidega, ³⁹⁸⁷ Santa Oling, ³⁹⁸⁸ Acan, ³⁹⁸⁹ Adaa/Ada, ³⁹⁹⁰ Agnes Adoch, ³⁹⁹¹ Akanyo, ³⁹⁹² Apio, ³⁹⁹³ Atenyo, ³⁹⁹⁴ Carolina Lagulu, ³⁹⁹⁵ Dennis Otema, ³⁹⁹⁶ Doreen Aluku, ³⁹⁹⁷ Kadoge, ³⁹⁹⁸ Kala

³⁹⁸⁶ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 47.

³⁹⁸⁷ P-0270 Statement, UGA-OTP-0241-0168-R01, at para. 48 (Alice Kidega was abducted and later came back from the bush; she had been abducted to carry loads for the LRA). The Chamber here recalls its discussion of 'Alice' who was forced to abandon her 2 year old child in the bush (*see* para. 1566 above). In the context of Helen Opoka Acan's testimony as well as Hellen Adong's, the Chamber is of the view that the witnesses are speaking of the same person.

³⁹⁸⁸ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 35 (Santa, the wife of Aleo, was one of the women Hellen Adong walked with in a line leaving the camp. Santa had new born twin girls, whom she left at home. According to Hellen Adong, both of the twin girls later died because when Santa came back, the girls were weak and could not breastfeed. She and Santa were neighbours and Santa told her that the twins were refusing to breastfeed. One twin died three days after Hellen Adong and Santa Oling returned from the bush and the other died three days later); P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 40-42 (Santa Oling was abducted from the camp. She had just given birth to twins. When she returned the next day, she found that her twins had died). The Chamber notes the discrepancy between the two witnesses' accounts of when the twin girls died. The Chamber considers that the witnesses' testimonies are consistent that Santa was abducted by the LRA. ³⁹⁸⁹ P-0406: T-156, p. 4, line 22 – p. 5, line 1, p. 6, lines 3-10 (a girl named Acan was abducted from Odek. P-0406 would see her sleeping in the same tent as an LRA commander).

³⁹⁹⁰ P-0252: <u>T-87</u>, p. 24, lines 15-23 (Ada was a child abducted from Odek); P-0269: <u>T-85</u>, p. 46, line 22 – p. 48, line 7 (Adaa was among the abducted P-0269 saw during the retreat from Odek. Adaa later returned to Odek. P-0269 estimated that Adaa was between 13 and 14 years old at the time of the abduction).

³⁹⁹¹ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 41 (Agnes Adoch was abducted during the 29 April 2004 Odek IDP camp attack. She remained in the bush for between eight months and a year); P-0268 Statement, UGA-OTP-0248-0013-R01, at paras 36, 59 (Hellen Adong saw Agnes Adoch among the abductees. She was about 14-16 years old. She later returned from the bush); P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 34 (one of the persons abducted at Odek was a girl by the name of Adoch).

³⁹⁹² P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 34 (one of the persons abducted at Odek was a girl by the name of Akanyo, who was female and in primary six at his school).

³⁹⁹³ P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 34 (one of the persons abducted at Odek was a young woman by the name of Apio).

 $^{^{3994}}$ P-0252: $\underline{\text{T-87}}$, p. 17, line 25 – p. 19, line 3, p. 26, lines 12-16 (Atenyo was a wounded woman tied to P-0252 and dragged into the bush).

 $^{^{3995}}$ P-0252: <u>T-87</u>, p. 17, line 25 – p. 19, line 3, p. 26, lines 12-16 (Carolina Lagule was tied to P-0252 and dragged into the bush).

³⁹⁹⁶ P-0252: <u>T-87</u>, p. 24, lines 14-24, p. 41, lines 1-12 (Dennis Otema was abducted at the same time as P-0252 and by the Sinia brigade led by Odomi). The Chamber notes that it found this aspect of P-0252's testimony credible.

³⁹⁹⁷ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 40 (Doreen Aluku was abducted from Odek during the attack. She was around 38 years old. She was released after about two days. She told Zakeo Odora that she was made to carry bags of beans and posho weighing 50 kilograms each. She told him that she was led away by the rebels in the direction of Lakim).

³⁹⁹⁸ P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 34 (one of the persons abducted from Odek was Kadoge).

Adong, ³⁹⁹⁹ Joyce Aneno, ⁴⁰⁰⁰ Ocaka, ⁴⁰⁰¹ Paul Ojara, ⁴⁰⁰² Richard Okello ⁴⁰⁰³ Ventorina Akello, ⁴⁰⁰⁴ and the wife of Olet Okello, ⁴⁰⁰⁵

- 1591. As to the number of persons abducted by the LRA from Odek IDP camp, the Chamber notes that the evidence indicates that at least 40 were abducted from Odek IDP camp. The Chamber notes its discussion below of the persons abducted by the LRA and killed in the bush as well as its discussion above of the persons abducted in the camp. 4006 In these various discussions, the Chamber discussed the evidence of a total of 40 named persons.
- 1592. Further, witnesses testify that many people were abducted from Odek IDP camp. 4007 P-0330 placed the number as less than 50 persons. 4008 Other witnesses testified to a smaller number, between 20-30 persons. 4009 Noting that each witness testified from their vantage points, the Chamber does not consider these testimonies incompatible with its finding.

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³⁹⁹⁹ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 41 (Kala Adong was abducted from Odek during the attack); P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 35 (testified Karla Adong was one of the women Hellen Adong walked with in a line leaving the camp).

 $^{^{4000}}$ P-0269: $\underline{\text{T-85}}$, p. 46, line 25 – p. 47, line 21 (Joyce Aneno was one of the children P-0269 saw among the abducted. Joyce Aneno came back with the women who were released).

⁴⁰⁰¹ P-0252: <u>T-87</u>, p. 17, line 25 – p. 19, line 3, p. 26, lines 12-17 (Ocaka was one of several children P-0252 saw abducted and was a young child, around eight or nine).

⁴⁰⁰² P-0269: T-85-CONF, p. 23, lines 10-19 (Ojara was one of the abductees. P-0269 saw him on the first day of his abduction); P-0275 Statement, UGA-OTP-0244-3398-R01, para. 34 (one of the persons abducted from Odek was Paul Ojara, who was in primary four at his school); P-0301 Incident Report, UGA-OTP-0249-0438-R01, at 0440 (P-0301's Incident Report lists 'Ojara Paul' as one of the persons abducted during the 29 April 2004 LRA attack on Odek IDP camp and still in captivity as of May 2004. The Incident Report also listed Ojara as being in primary four).

⁴⁰⁰³ P-0270 Statement, UGA-OTP-0241-0168-R01, at paras 44-45 (Helen Opoka Acan testified that her son Richard Okello was abducted by the LRA during the attack. Richard Okello later returned from the bush).

⁴⁰⁰⁴ P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 40 (Ventorina Akello was abducted from Odek during the attack. She was around 58 years old. She told Zakeo Odora that she was made to carry bags of beans and posho weighing 50 kilograms each. She told him that she was led away by the rebels in the direction of Lakim. She was released after two days).

⁴⁰⁰⁵ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 43 (Hellen Adong saw the wife of Olet Okello among the abductees).

⁴⁰⁰⁶ See paras 1594-1608 below, discussing the abduction and killings of Aroja, Hilary Kilama, Lalam, Rose Aweko, David Ojok, James Ayella, James Titus Latigo, Kidega, Lagii, Patrick Opap Odong, P'Mala Okot, Ojok and Fabio Otto in detail.

⁴⁰⁰⁷ P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 126 (many people were abducted from Odek IDP camp). P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 33 (many civilians, men, women and children, were abducted from Odek IDP camp).

⁴⁰⁰⁸ P-0330: T-52-CONF, p. 22, lines 8-13.

⁴⁰⁰⁹ P-0314: <u>T-75</u>, p. 12, line 13 – p. 13, line 1 (during the retreat from Odek, P-0314 saw an estimated 20-30 people who had been abducted from the camp, including girls); P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 33 (P-0275 saw perhaps 30 abductees); P-0268 Statement, UGA-OTP-0248-0013-R01, at paras 36, 40 (more than twenty people were abducted by the rebels, most were women and some of these women were carrying

1593. In the light of the foregoing, the Chamber finds that the LRA abducted civilians from Odek IDP camp and placed them under military guard to prevent their escape; civilians were forced to work for the LRA and compelled to carry looted goods, an injured fighter or a weapon away from the camp under threat of death or beatings.

Some civilians from the camp were killed when they struggled or tried to escape. One deceased was beaten so badly that his brain was exposed. LRA fighters killed a young abductee because his feet were too swollen and he was unable to walk any further. Nine adult men were abducted from the camp and forced to carry an LRA fighter who had been wounded during the attack; LRA fighters later killed all nine men when the LRA commander died from his injury.⁴⁰¹⁰

The LRA killed the following persons in the course of the retreat from Odek IDP camp: Aroja, Atir, Hilary Kilama, Lalam, Rose Aweko, David Ojok, James Ayella, James Titus Latigo, Kidega, Lagii, Patrick Opap Odong, P'Mala Okot, Ojok and Fabio Otto. 4011

1594. The evidence shows that the LRA continued to kill civilians after taking them into the bush. P-0330 testified that abducted civilians were killed because there was a suspicion that they would inform government soldiers about the location of the LRA and that would jeopardise the LRA's position in Gulu. P-0330 testified that while he did not know who ordered the killing of the civilians, he suspected that no other person could issues orders other than the 'overall commander'. 4013

1595. The following evidence addresses the victims who were killed in the bush in the course
of the LRA's retreat from the camp. The evidence shows that LRA fighters
Atir in the course of the retreat;
4014

1596. **Aroja**: P-0252 also testified that he heard that a girl named Aroja, who he identified as Banya Wilson's daughter, 4015 was abducted by the LRA in the course of the attack in the

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their babies. During the retreat, the abductees and the LRA fighters she was with moved in a line, every second person was a rebel and then an abductee).

⁴⁰¹⁰ Para. 174 above.

⁴⁰¹¹ Para. 175 above.

⁴⁰¹² P-0330: <u>T-52</u>, p. 25, lines 9-12.

⁴⁰¹³ P-0330: T-52, p. 25, lines 13-17.

⁴⁰¹⁴ See paras 1586-1587 above.

⁴⁰¹⁵ P-0252: <u>T-87</u>, p. 37, lines 14-15 (testifying that Banya Wilson was an LC).

same group that he was in and was killed because she was unable to continue to move during the retreat from Odek. 4016

1597. **Hilary Kilama**: P'Oyoo Lakoch testified that Hilary Kilama, the child of Romano Opoka and Helen Acan, ⁴⁰¹⁷ and also his cousin, ⁴⁰¹⁸ was abducted and killed in the bush. ⁴⁰¹⁹ P'Oyoo Lakoch testified that he was told by another child, Odoki, ⁴⁰²⁰ who had been abducted along with Hilary Kilama, that the two were tied together and led away from the camp. ⁴⁰²¹ According to Odoki, Hilary Kilama's feet had swollen to the point where he could no longer walk because of the pain, so the LRA rebels killed him the day after the attack. ⁴⁰²² P-0275 testified that

sorting abductees at the rest stop, LRA fighters asked questions

.⁴⁰²⁵ P-0275 testified that after he came back home from the bush, Brian Odokonyero told him that Hilary Kilama had been killed by the LRA.⁴⁰²⁶ P-0275 testified that he was informed that Hilary Kilama's feet had been swollen and so the LRA killed him. ⁴⁰²⁷ P'Oyoo Lakoch and P-0275's narrative are consistent and mutually corroborative.

1598.Other witnesses also testify of Hilary Kilama's killing. Mario Ottober's testimony is corroborative of the above witnesses; he stated that Kilama was one of two children from

⁴⁰¹⁶ P-0252: T-87, p. 37, lines 13-18.

⁴⁰¹⁷ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 37. Helen Acan is Helen Opoka Acan, a witness in these proceedings.

⁴⁰¹⁸ In P'Oyoo Lakoch's victim application, Hillary Kilama is listed as his uncle. *See* Application for participation as victim, UGA-D26-0012-0184. In his testimony, P'Oyoo Lakoch described his family relationship with Hillary Kilama, explaining that Hillary Kilama is the child of his father's brother. *See* P-0218: <u>T-90</u>, p. 29, lines 18-19, p. 78, lines 3-20. The Chamber is satisfied with P'Oyoo Lakoch's explanation of his family relationship with Hillary Kilama, and concludes that Hillary Kilama was his cousin, the child of his father's brother.

⁴⁰¹⁹ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 37.

⁴⁰²⁰ See para. 1584 above (finding the Brian Odokonyero is also called Odoki).

⁴⁰²¹ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 37.

⁴⁰²² P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 37.

⁴⁰²³ P-0275: T-124-CONF, p. 27, lines 2-9.

⁴⁰²⁴ P-0275 Statement, UGA-OTP-0244-3398-R01, at paras 17-35.

⁴⁰²⁵ P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 35.

⁴⁰²⁶ P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 104.

⁴⁰²⁷ P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 104.

Odek who were believed to have been killed in the bush after they were abducted. 4028 Helen Opoka Acan, Hilary Kilama's mother, testified that Hilary Kilama was abducted and was shot and killed in the bush because he was walking too slowly. 4029 Helen Opoka Acan testified that another son, who had also been abducted by the LRA, returned from the bush and told her about Hilary Kilama's death. 4030 P-0269 testified that among the abductees she saw during the retreat was a boy called Kilama. 4031 She stated, 'he went for good, never returned'. 4032 P-0269 testified that she estimated that Kilama was between 13 and 14 years old at the time of the abduction. 4033 The Chamber considers that the above witnesses provide consistent and mutually corroborative evidence which shows that Hilary Kilama was killed by the LRA. Further corroborating the witnesses' accounts, P-0301's Incident Report lists 'Kilama Hillary' as one of the persons abducted during the 29 April 2004 LRA attack on Odek IDP camp and still not returned to the camp as of May 2004. 4034 The Chamber is convinced that the evidence shows that LRA fighters killed Hilary Kilama during the retreat from Odek IDP camp.

1599. Lalam: P-0406 testified that a girl, Lalam, was abducted from Odek⁴⁰³⁵ and killed in the bush,⁴⁰³⁶ approximately a week after being abducted.⁴⁰³⁷ According to P-0406 Lalam had already been distributed when she was killed and the LRA was still in the Gulu area.⁴⁰³⁸ She had been placed on LRA commander 'Okwee's' house. A boy was swept away by a river and this commander decided that Lalam was the cause of this incident because Lalam dreamt a lot at night and thus must be a witch.⁴⁰³⁹ P-0406 testified that the commander determined that Lalam should be killed by an LRA fighter, Odoki, and she

⁴⁰²⁸ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 73. Mario Ottober's listing of 'Kilama' among the persons killed in the 29 April 2004 Odek attack also provides a contemporaneous record of the death. P-0274's 2004 Diary pages, UGA-OTP-0244-3391, UGA-OTP-0244-3393. *See also* P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 46, 54, 73. The Chamber notes that the first name of Kilama is somewhat illegible and appears to be 'Mario'. The Chamber is also of the view that Mario Ottober's reference to 'Mario' in his 2004 Diary is also why 'Kilama Mario' is listed on the Memorial Plaque erected in Odek IDP camp to commemorate the persons killed by the LRA on 29 April 2004. *See* Memorial plaque, UGA-OTP-0250-0265, at number 14.

⁴⁰²⁹ P-0270 Statement, UGA-OTP-0241-0168-R01, at paras 44-45.
⁴⁰³⁰ P-0270 Statement, UGA-OTP-0241-0168-R01, at paras 44-45.

⁴⁰³¹ P-0269: <u>T-85</u>, p. 46, line 25 – p. 47, line 22.

⁴⁰³² P-0269: <u>T-85</u>, p. 47, line 22.

⁴⁰³³ P-0269: <u>T-85</u>, p. 47, line 25 – p. 48, line 7.

⁴⁰³⁴ P-0301 Incident Report, UGA-OTP-0249-0438-R01, at 0440.

 $^{^{4035}}$ P-0406: $\frac{\text{T-154}}{\text{T-154}}$, p. 50, line 25 – p. 51, line 3.

⁴⁰³⁶ P-0406: T-154, p. 50, lines 14-24.

⁴⁰³⁷ P-0406: <u>T-156</u>, p. 5, lines 5-7.

⁴⁰³⁸ P-0406: <u>T-156</u>, p. 5, lines 5-16.

⁴⁰³⁹ P-0406: <u>T-154</u>, p. 35, lines 3-22, p. 36, lines 3-7, p. 50, lines 19-24; <u>T-156</u>, p. 5, lines 5-13.

was.⁴⁰⁴⁰ The Chamber finds P-0406's testimony detailed, comprehensive and credible. Similarly, P-0252 testified that a Luo girl was killed in the bush after she was accused of being a witch.⁴⁰⁴¹ P-0252 testified that someone was crossing the Agogo River and all of a sudden he was killed and the LRA alleged that there was a witch and that the girl was a witch and so she was killed.⁴⁰⁴² Given the great similarities in the details they provide, the Chamber is of the view that P-0406 and P-0252 are likely speaking of the same person, Lalam. The Chamber is convinced that the evidence shows that Lalam was killed by the LRA after being abducted during the attack on the camp.

- 1600. **Rose Aweko**: Mario Ottober testified that Rose Aweko was one of two children from Odek who were killed in the bush after they were abducted. The Chamber notes that the evidence shows that Rose Aweko was an LRA abductee and thus in the sole control of the LRA. The Chamber is convinced that the evidence shows that Rose Aweko, an abductee in the control of the LRA, was killed by the LRA after being abducted from Odek IDP camp.
- 1601. Nine men killed in the bush: Many witnesses credibly testified that nine men, abducted by LRA fighters during the Odek IDP camp attack, were killed by the LRA in the bush in the course of the retreat from the camp: David Ojok, 4044 James Ayella, 4045 James Titus

P-0274 First

Statement, UGA-OTP-0244-3375-R01, at para. 72; P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 46, 53, 54, 72; P-0274's 2004 Diary pages, UGA-OTP-0244-3391, UGA-OTP-0244-3393; P-0301 Incident Report, UGA-OTP-0249-0438-R01, at 0441 (stating that David Ojok was 35 years old).

⁴⁰⁴⁰ P-0406: <u>T-156</u>, p. 5, lines 5-16.

⁴⁰⁴¹ P-0252: <u>T-87</u>, p. 37, line 23 – p. 38, line 8.

⁴⁰⁴² P-0252: <u>T-87</u>, p. 37, line 23 – p. 38, line 8.

⁴⁰⁴³ P-0274 First Statement, UGA-OTP-0244-3375-R01, at para. 73. Mario Ottober provided a 2004 Diary in which Rose Aweko is listed among the persons killed in the 29 April 2004 Odek attack, thus providing a contemporaneous record of the death. P-0274's 2004 Diary pages, UGA-OTP-0244-3391, UGA-OTP-0244-3393. *See also* P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 46, 54, 73.

⁴⁰⁴⁴ P-0218: T-90, p. 13, lines 15-20; P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 39.

⁴⁰⁴⁵ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 39; P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 46, 54, 72; P-0274's 2004 Diary pages, UGA-OTP-0244-3391, UGA-OTP-0244-3393; P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 41; P-0301 Incident Report, UGA-OTP-0249-0438-R01, at 0441 (according to P-0301, he was 33 years old). The Chamber is of the view that the witnesses' reference to Ayella is merely a different spelling of the last name of James Ayela.

Latigo, 4046 Kidega, 4047 Lagii, 4048 Patrick Opap Odong, 4049 P'Mala Okot, 4050 Ojok 4051 and Fabio Otto. 4052

1602. The evidence shows that LRA fighters forced at least some of these nine men to carry an LRA fighter who had been injured during the attack on Odek IDP camp. 4053 When the injured fighter died, all nine men were killed. 4054 LRA fighters hacked the men to death

⁴⁰⁴⁶ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 39; P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 46, 54, 72; P-0274's 2004 Diary pages, UGA-OTP-0244-3391, UGA-OTP-0244-3393; P-0268 Statement, UGA-OTP-0248-0013-R01, at paras 49, 58; P-0301 Incident Report, UGA-OTP-0249-0438-R01, at 0441 (according to P-0301, he was 35 years old).

⁴⁰⁴⁷ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 39; P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 46, 54, 72; P-0274's 2004 Diary pages, UGA-OTP-0244-3391, UGA-OTP-0244-3393; P-0301 Incident Report, UGA-OTP-0249-0438-R01, at 0441 (according to P-0301, he was 33 years old).

⁴⁰⁴⁸ P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 46, 54, 72; P-0274's 2004 Diary pages, UGA-OTP-0244-3391, UGA-OTP-0244-3393; P-0252: <u>T-87</u>, p. 24, lines 14-21.

⁴⁰⁴⁹ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 39; P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 46, 54, 72; P-0274's 2004 Diary pages, UGA-OTP-0244-3391, UGA-OTP-0244-3393; P-0268 Statement, UGA-OTP-0248-0013-R01, at paras 49, 58; P-0301 Incident Report, UGA-OTP-0249-0438-R01, at 0441 (according to P-0301, he was 35 years old). The Chamber notes that the evidence refers to different names, 'Odong', 'Odong Patrick,' 'Odap' and 'Opab'. Given the inscription in the memorial plaque for 'Odong Patrick Opap' and the similarities in the manner of the death, it is clear to the Chamber that the witnesses are referring to the same person. *See* Memorial plaque, UGA-OTP-0250-0265, at number 24.

⁴⁰⁵⁰ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 39; P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 46, 54, 72; P-0274's 2004 Diary pages, UGA-OTP-0244-3391, UGA-OTP-0244-3393; P-0252: <u>T-87</u>, p. 24, lines 14-21.

⁴⁰⁵¹ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 39; P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 46, 54, 72; P-0274's 2004 Diary pages, UGA-OTP-0244-3391, UGA-OTP-0244-3393. *See* P-0301 Incident Report, UGA-OTP-0249-0438-R01, at 0441 (according to P-0301, he was 30 years old); P-0268 Statement, UGA-OTP-0248-0013-R01, at paras 49, 58.

⁴⁰⁵² P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 39; P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 46, 54, 72; P-0274's 2004 Diary pages, UGA-OTP-0244-3391, UGA-OTP-0244-3393; P-0275 Statement, UGA-OTP-0244-3398-R01, at paras 34, 79; P-0252: <u>T-87</u>, p. 24, lines 14-21; P-0301 Incident Report, UGA-OTP-0249-0438-R01, at 0441 (according to P-0301, he was 47 years old); P-0268 Statement, UGA-OTP-0248-0013-R01, at paras 49, 58. The Chamber notes that Hellen Adong states 'Otoo' rather than Otto, and considers it a mere difference in spelling of the same name. *See* P-0275: <u>T-124</u>, p. 11, lines 6-10.

⁴⁰⁵³ P-0269: <u>T-85</u>, p. 40, lines 12-24 (during the retreat, the LRA forced abductees to carry an injured LRA fighter); D-0066: <u>T-214</u>, p. 23, line 20 – p. 24, line 3 (some of the people who escaped and came back said that the LRA abducted nine men from the camp and made them carry an injured LRA commander). *See* P-0252: <u>T-87</u>, p. 35, lines 3-20 (the LRA also made abductees carry injured LRA fighters. Abductees carried an LRA fighter who had been shot in the eye as well as a fighter who had been shot in the head or the chest and was wrapped up in white cloth and carried on a stretcher); P-0264: <u>T-64</u>, p. 59, lines 1-20 (a commander called Okello was shot in the head and was carried and taken from Odek in a stretcher); P-0340: <u>T-102</u>, p. 31, line 13 – p. 32, line 12 (the morning after the attack, P-0340 saw an injured fighter being carried by some people on a stretcher. P-0340 testified that the fighter was carried in a kind of sack called a kita in which holes had been created with two logs pushed through the hole); P-0410: <u>T-151</u>, p. 45, lines 9-12 (P-0410 saw an injured LRA fighter being carried while the LRA forces were retreating).

⁴⁰⁵⁴ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 39; P-0218: T-90, p. 13, line 21 – p. 14, line 3 (nine men were abducted on the day of the attack and later killed by the LRA. P'Oyoo Lakoch learned that they had been made to carry an LRA commander who was injured during the attack on Odek IDP camp. The commander later died and the men were killed. P'Oyoo Lakoch was told about the deaths by a returned abductee); P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 41 (Zakeo Odora was told by the abductees that the abducted men were killed out of revenge, because an LRA commander who was injured during the 29 April 2004 attack on Odek IDP camp, later died in the bush); P-0269: T-85, p. 44, lines 15-21 (after returning from the bush, P-0269 was told by one of the children who escaped later from the LRA that the injured commander died and because of that the

with machetes. 4055 The evidence demonstrates that the men were killed several days after the attack on Odek IDP camp. 4056

1603. The Chamber notes the testimony of Hellen Adong in describing what happened to the nine abducted men. Hellen Adong testified that one of the rebels' leaders was injured in the attack and the Odek abductees carried him. 4057 She testified that four of the men would carry him and then another four would take a turn. 4058 Hellen Adong testified that the men carrying the rebel leader were right in front of her. 4059 Hellen Adong testified that LRA fighters released her and other women who were abducted to carry looted goods and told them that if the injured commander dies, the LRA was going to come and catch them because the 'Lapwony' was worth ten of them. 4060 According to Hellen Adong the women were frightened because the 'Lapwony' did not look well and they were concerned that if he died, the LRA would kill them. 4061 Hellen Adong spoke with an abductee after he returned from the bush who informed her that after the rebel commander died, the other men who had been abducted were killed in revenge. 4062 P'Oyoo Lakoch testified that the bodies of the men were never found so the residents of Odek have been unable to bury them. 4063

1604. Regarding the witness's testimony that an injured commander carried by nine men who were later killed was injured in a Pabbo attack, rather than the Odek attack as other

rest of the men were killed. The escaped abductee told her that if the commander had died immediately while the women were still in the bush, they would have been killed); P-0252: T-87, p. 65, lines 19-23 (an LRA officer was injured in Odek and when he was being carried, he stated that if he dies the people of Odek should be killed. The elders abducted from Odek were all killed after the officer died); P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 44, 72 (the LRA abducted nine men to carry an LRA commander injured during the course of the 29 April 2004 Odek IDP camp attack. After the commander they carried died, the men were all killed); D-0066: T-214, p. 24, lines 1-4 (the people who were later released informed them that these nine people were eventually all killed. D-0066's brother was among the persons killed).

 $^{^{4055}}$ P-0218: $\overline{\text{T-90}}$, p. 84, line 15 – p. 85, line 17; P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 39 (an escaped abductee, Charles Onek, returned from the bush approximately two weeks after the attack and told P'Oyoo Lakoch that he witnessed the killing of the nine men. According to Charles Onek, the men were hacked with a machete).

⁴⁰⁵⁶ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 39 (the men's throats were cut on 1 May 2004). *See* P-0274's 2004 Diary pages, UGA-OTP-0244-3391, UGA-OTP-0244-3393; P-0274 First Statement, UGA-OTP-0244-3375-R01, at paras 46, 54, 72 (Mario Ottober 2004 diary entry listed the nine men among the persons killed in the bush).

⁴⁰⁵⁷ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 49.

⁴⁰⁵⁸ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 49.

⁴⁰⁵⁹ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 49.

⁴⁰⁶⁰ P-0268 Statement, UGA-OTP-0248-0013-R01, at paras 52-53.

⁴⁰⁶¹ P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 53.

⁴⁰⁶² P-0268 Statement, UGA-OTP-0248-0013-R01, at para. 58.

⁴⁰⁶³ P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 39.

available evidence shows, 4064 the Chamber notes that although P-0275 misidentifies this event as occurring after an attack on Pabbo, he describes events overwhelmingly similar to other witnesses who place the deaths of the nine men as occurring in the aftermath of the Odek IDP camp attack and names some of the same victims. It is clear that the witness spoke of the deaths of these nine men abducted in Odek and killed in the aftermath of the attack. 4065

1605. **David Ojok**: The Chamber notes the discrepancy between Helen Opoka Acan's testimony that she saw the bodies of David Ojok and James Titus Latigo and the testimony of other witnesses that the nine men were killed in the bush and their bodies never found. 4066 The Chamber is of the view that the evidence shows that David Ojok and James Titus Latigo were killed in the bush and that Helen Opoka Acan indeed did not see their bodies. 4067

1606. **James Titus Latigo**: testified that she saw Latigo, also known as Otiko, being forced to carry an injured fighter over his shoulder. 4068

She testified that when Latigo could no longer carry the person alone because the fighter was so heavy, Latigo and the injured person fell down. Some LRA fighters told the others not to beat Latigo and instead took two logs, covered it with a polythene bag and used it as a stretcher to carry the injured person. Other persons were then brought to help carry the injured LRA fighter. P-0269 stated that after returning from the bush she was told by one of the abductees who escaped later that the injured commander died and that because of that the Odek men were killed.

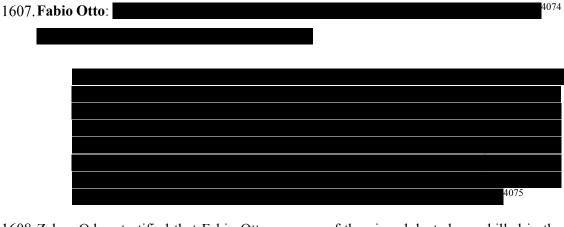
⁴⁰⁶⁷ See the Chamber's discussion of Helen Opoka Acan's testimony in section IV.B.2.iv.c.iv.



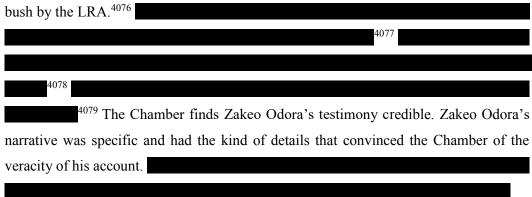
 $^{^{4064}}$ P-0275 Statement, UGA-OTP-0244-3398-R01, at paras 74, 78-80; P-0275: T-124-CONF, p. 70, line 2 – p. 71. line 14.

⁴⁰⁶⁵ Given his young age at the time of his abduction, the traumatic nature of his abduction, the general consistency of his narrative with the other witnesses' accounts, the Chamber is of the view that this inconsistency does not undermine the credibility of his evidence as a whole.

⁴⁰⁶⁶ P-0270 Statement, UGA-OTP-0241-0168-R01, at paras 40-41.



1608. Zakeo Odora testified that Fabio Otto was one of the nine abducted men killed in the



Apart from the abductees killed during the retreat, some abductees were released after a few days in the bush, others were integrated into the LRA, including into Dominic Ongwen's household.4080

1609. The Chamber previously established that many abductees were killed. 4081 The evidence shows that some abductees, particularly women who were already mothers, were released. Above, the Chamber discussed the evidence that older female abductees such as Hellen Adong and P-0269 were released by the LRA a day or two after the attack on Odek IDP camp. 4082 In this context, the Chamber also notes P-0359's testimony that the Ugandan

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<sup>4076</sup> P-0325 Statement, UGA-OTP-0264-0242-R01, at para. 41.
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 $[\]overline{\text{Para.}}$ 176 above.

⁴⁰⁸¹ See paras 1594-1608 above.

⁴⁰⁸² See the Chamber's discussion of the persons abducted in Odek IDP camp, in paras 1580, 1583 above.

military rescued some abductees, including some young children between the ages of ten and 15. 4083

1610. However, other abductees were kept and became part of the LRA. P-0314 testified that abductees that were retained were made part of the LRA and given duties. 4084 P-0264 testified of what happened to the persons who were abducted:

Among those abducted there were those – those who could be recruited as – to proceed with the work were put aside, and those who were considered old were put in a different side. And then the energetic people who could help in carrying luggage were put in another group. Later on, when the group split, those who were considered able to be – to work and to be recruited into the ranks of the LRA, others were put in Oka battalion with Ben, others went to the headquarters and one – others went to other battalions. 4085

- 1611. Similarly, P-0252 testified that after leaving Odek and encamping several miles ahead of Lakim, the people abducted from Odek were lined up with the older people in one line, younger boys in a different line and the even younger children in another line. 4086 P-0252 testified that women were placed in a separate line as well. 4087 He explained that the mothers, older females and very young children were sent home but some girls, approximately 14 and upwards, were kept behind. 4088 P-0252 testified that children from 10-14 years were taken to the bush and recruited as fighters in the LRA. 4089
- 1612.P-0372 testified that children 'who had some energy' were retained by the LRA. 4090 P-0372 testified that the boys abducted from Odek were distributed within the Sinia brigade 4091 and the girls were distributed among the LRA, and some joined Dominic Ongwen's household. 4092 P-0252 testified that he was abducted by Dominic Ongwen's

⁴⁰⁸³ P-0359: <u>T-109</u>, p. 60, line 17 – p. 61, line 4, p. 62, lines 18-19.

⁴⁰⁸⁴ P-0314: <u>T-75</u>, p. 18, lines 10-20.

⁴⁰⁸⁵ P-0264: <u>T-64</u>, p. 63, lines 13-20. *See* P-0314: <u>T-75</u>, p. 14, lines 11-20 (P-0340 was told by other LRA fighters that the abductees had been released however he did not 'know whether they were let go home or something else had happened to them'. Something else meant 'they could have been killed').

⁴⁰⁸⁶ P-0252: <u>T-87</u>, p. 31, lines 17-24.

⁴⁰⁸⁷ P-0252: <u>T-87</u>, p. 33, lines 22-24.

⁴⁰⁸⁸ P-0252: <u>T-87</u>, p. 36, line 17 – p. 37, line 2.

⁴⁰⁸⁹ P-0252: <u>T-87</u>, p. 38, lines 9-16.

⁴⁰⁹⁰ P-0372: <u>T-148</u>, p. 43, lines 22-25.

⁴⁰⁹¹ P-0372: <u>T-148</u>, p. 54, lines 1-19.

⁴⁰⁹² P-0372: T-148, p. 58, lines 16-24. *See* P-0352 Statement, UGA-OTP-0260-0315-R01, at paras 127 and 128 (P-0352 testified that there were some young abductees who remained after the others left and the LRA moved with them. The new abductees were taken to the headquarters); P-0218 Statement, UGA-OTP-0238-0720-R01, at para. 33 (most of the women returned in the following days. As far as P'Oyoo Lakoch knew all of the abducted

group and stayed in that group during his time in the bush. 4093 P-0252 testified that during his time in the bush, he would be given 'loads' to carry and had to obey the LRA's orders. 4094 P-0252 testified that he would carry his commander's guns, his bag and also a jerry-can of oil to oil the gun. 4095

1613.P-0330 testified that the abducted children joined the different households of the LRA leaders, including Dominic Ongwen's and his subordinate's, Okello Kalalang. 4096 P-0309 testified that he saw two abducted civilians from Odek IDP camp in the home of one of Dominic Ongwen's subordinate commanders. 4097 P-0309 testified that these two persons were shot and killed after being accused of trying to escape. 4098

1614.P-0352 testified that later on the day they returned from attacking Odek, LRA fighters and Odoki brought two girls to said that the girls were supposed to fetch water and wash the saucepans. P-0352 testified that the two girls stayed in the camp for around two weeks before they were killed by an aircraft. P-0264 testified that three girls and boys younger than himself were distributed to Ben Acellam.

vii. Dominic Ongwen's reporting of the attack

After the attack, the attackers joined the rest of the group commanded by Dominic Ongwen. The subordinate commanders briefed Dominic Ongwen. Dominic Ongwen thanked the fighters. 4103

Dominic Ongwen communicated the results of the attack on military radio to other LRA commanders and to Joseph Kony, reporting that his fighters successfully carried out an

men were killed and that most of the abducted children remained in the bush, he did not know if they were dead or alive).

⁴⁰⁹³ P-0252: <u>T-87</u>, p. 41, lines 12-21.

 $^{^{4094}}$ P-0252: <u>T-87</u>, p. 44, line 20 – p. 45, line 1. *See* P-0142: <u>T-70</u>, p. 33, line 20 – p. 34, line 20 (P-0142 saw the fighters who went to Odek return from the attack with a few civilians, fewer than five. It was clear from the clothing and demeanour that they were civilians. These abductees were younger than 17. P-0142 thought the reason they were brought was to increase the number of fighters).

⁴⁰⁹⁵ P-0252: <u>T-87</u>, p. 45, lines 2-5.

⁴⁰⁹⁶ P-0330: T-52, p. 26, lines 4-11.

⁴⁰⁹⁷ P-0309: <u>T-61</u>, p. 11, lines 18-23.

⁴⁰⁹⁸ P-0309: <u>T-61</u>, p. 11, line 18 – p. 12, line 3.

⁴⁰⁹⁹ P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 129.

⁴¹⁰⁰ P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 129.

⁴¹⁰¹ P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 129.

⁴¹⁰² P-0264: <u>T-64</u>, p. 65, lines 13-22. P-0264 did not know what happened to the rest of the abductees. P-0264: <u>T-64</u>, p. 65, lines 23-24.

⁴¹⁰³ Para. 177 above.

attack on Odek IDP camp, shooting people, abducting civilians and looting in the camp.4104

1615.P-0314 testified that the soldiers returning from the attack joined the rest of the group commanded by Dominic Ongwen near a stream. 4105 They got to the location the same evening at about 22:00 or 23:00 hours. 4106 According to P-0314, Dominic Ongwen 'saw what his junior commanders had done and he was happy'. 4107 Dominic Ongwen thanked the soldiers, and received a briefing by the junior commanders who conducted the attack. 4108 The Chamber considers P-0314's account credible, particularly given the radio communication in which Dominic Ongwen reported on the attack to Joseph Kony and other commanders. The Chamber also notes that P-0142 corroborates the account that Dominic Ongwen's junior commanders returned and reported to him about the attack on Odek IDP camp. 4109

1616. A record of an intercepted radio communication involved Dominic Ongwen, Ocen, Joseph Kony, Labongo, Vincent Otti, Labalpiny and others speaking over the radio on 30 April 2004, 4110 just one day after the 29 April 2004 attack on Odek IDP camp. In the recording, Dominic Ongwen stated that he had 'just come back from beating someplace'; he goes on to state that he 'beat' Odek, the centre, the barracks and 'whatever else'. 4111 Joseph Kony asked Dominic Ongwen '[y]ou also cleaned the backside of my mother right'?4112 P-0003 explained that this is an LRA euphemism meaning '[d]id you kill all

⁴¹⁰⁴ Para. 177 above.

⁴¹⁰⁵ P-0314: <u>T-75</u>, p. 13, lines 13-20.

⁴¹⁰⁶ P-0314: <u>T-75</u>, p. 14, lines 6-7.

⁴¹⁰⁷ P-0314: T-75, p. 17, lines 2-6. ⁴¹⁰⁸ P-0314: T-75, p. 17, lines 7-13.

⁴¹⁰⁹ P-0142: <u>T-70</u>, p. 30, lines 2-6, lines 13-18, p. 38, line 24 – p. 39, line 7.

⁴¹¹⁰ See Enhanced audio recording, UGA-OTP-0235-0038. See the Chamber's discussion of enhanced audio recording, UGA-OTP-0235-0038 in section IV.B.3.ii.k above.

⁴¹¹¹ See Enhanced audio recording, UGA-OTP-0235-0038; P-0003 Tape 808 Transcript, UGA-OTP-0248-0263-R01, at 0300-02; P-0003: <u>T-43</u>, p. 18, line 1 - p. 23, line 11 (P-0003 explains that this language is an LRA euphemism for attacking, hence Dominic Ongwen is stating that he attacked Odek); P-0016 Tape 808 Transcript, UGA-OTP-0259-0065, at 0066-68; P-0016: <u>T-32</u>, p. 42, line 4 – p. 49, line 11 (P-0016 explains that Dominic Ongwen states that he carried out attacks); P-0059 Tape 808 Transcript, UGA-OTP-0248-0462-R01, at 0499-501; P-0059: T-37, p. 2, line 23 – p. 3, line 18; P-0440 Tape 808 Transcript, UGA-OTP-0262-0298-R01, at 0336-38; P-0440: <u>T-40</u>, p. 21, line 17 – p. 24, line 16.

⁴¹¹² See Enhanced audio recording, UGA-OTP-0235-0038; P-0003 Tape 808 Transcript, UGA-OTP-0248-0263-R01, at 0301; P-0003: <u>T-43</u>, p. 20, line 19 – p. 21, line 3; P-0016 Tape 808 Transcript, UGA-OTP-0259-0065, at 0067; P-0016: T-32, p. 49, line 23 – p. 50, line 9; P-0059 Tape 808 Transcript, UGA-OTP-0248-0462-R01, at 0500; P-0059: <u>T-37</u>, p. 2, line 23 – p. 5, line 19; P-0440 Tape 808 Transcript, UGA-OTP-0262-0298-R01, at 0337; P-0440: T-40, p. 23, line 11 – p. 24, line 1.

the people'. 4113 Dominic Ongwen responded, '[c]ompletely, Over'. 4114 Dominic Ongwen stated that '[e]verything including the barracks was burnt down'. 4115 After Dominic Ongwen left the radio call, Joseph Kony stated, '[e]xcellent. This guy has pleased me very much. I wish he threw them to the ground for me'. 4116

1617.Later in the same recording, Vincent Otti, Dominic Ongwen and others discussed Dominic Ongwen's operation. At one point in the communication, Dominic Ongwen stated, 'Just been shooting our colleagues [...] I have just come over from shooting people'. P-0003, P-0016, P-0059 and P-0440 each heard Dominic Ongwen refer to 'many' or 'all' civilian killed. In the communication, Dominic Ongwen explained, '[w]e went to attack Odek and found they had deployed there a lot of soldiers. [...] Those soldiers were deployed to intercept me. [...] They brought there a lot of soldiers'. P-0003, P-0016 and P-0059 each heard Dominic Ongwen report the abduction of male and

UGA-OTP-0248-0263-R01, at 0323.

⁴¹¹³ P-0003: <u>T-43</u>, p. 20, line 19 – p. 21, line 3. *See* P-0059: <u>T-37</u>, p. 5, lines 9-15; P-0440: <u>T-40</u>, p. 23, line 18 – p. 24, line 1. *See also* P-0016: <u>T-32</u>, p. 49, line 23 – p. 50, line 9.

⁴¹¹⁴ See Enhanced audio recording, UGA-OTP-0235-0038; P-0003 Tape 808 Transcript, UGA-OTP-0248-0263-R01, at 0301; P-0016 Tape 808 Transcript, UGA-OTP-0259-0065, at 0067; P-0016: <u>T-32</u>, p. 50, lines 10-13; P-0059 Tape 808 Transcript, UGA-OTP-0248-0462-R01, at 0500; P-0059: <u>T-37</u>, p. 5, lines 16-19; P-0440 Tape 808 Transcript, UGA-OTP-0262-0298-R01, at 0337.

⁴¹¹⁵ See Enhanced audio recording, UGA-OTP-0235-0038; P-0003 Tape 808 Transcript, UGA-OTP-0248-0263-R01, at 0301; P-0003: <u>T-43</u>, p. 18, lines 7-22; P-0016 Tape 808 Transcript, UGA-OTP-0259-0065, at 0067; P-0016: <u>T-32</u>, p. 52, lines 3-12; P-0059 Tape 808 Transcript, UGA-OTP-0248-0462-R01, at 0500; P-0059: <u>T-37</u>, p. 5, line 25 – p. 6, line 1; P-0440 Tape 808 Transcript, UGA-OTP-0262-0298-R01, at 0337.

⁴¹¹⁶ See Enhanced audio recording, UGA-OTP-0235-0038; P-0003 Tape 808 Transcript, UGA-OTP-0248-0263-R01, at 0302; P-0016 Tape 808 Transcript, UGA-OTP-0259-0065, at 0068; P-0016: <u>T-32</u>, p. 54, lines 18 – p. 55, line 2; <u>T-34</u>, p. 62, line 16 – p. 63, line 7 (P-0016 stated that Joseph Kony, speaking to Labongo, said 'Good. Very Good, This has really made me happy. He should have really – he should have really killed a lot more of these people, Labongo'. P-0016 emphasised that Joseph Kony did not say that Labongo should kill more people, rather Joseph Kony was talking to Labongo that the person they were talking about is the one who should have killed more); P-0059 Tape 808 Transcript, UGA-OTP-0248-0462-R01, at 0501 (P-0059 annotated the transcript to change 'threw' to 'finished'); P-0440 Tape 808 Transcript, UGA-OTP-0262-0298-R01, at 0338.

change threw to finished); P-0440 Tape 808 Transcript, UGA-OTP-0242-0298-R01, at 0338.

4117 See Enhanced audio recording, UGA-OTP-0235-0038; P-0003 Tape 808 Transcript, UGA-OTP-0248-0263-R01, at 0315-24; P-0016 Tape 808 Transcript, UGA-OTP-0259-0065, at 0070-75; P-0059 Tape 808 Transcript, UGA-OTP-0248-0462-R01, at 0513-22; P-0440 Tape 808 Transcript, UGA-OTP-0262-0298-R01, at 0352-61.

4118 See Enhanced audio recording, UGA-OTP-0235-0038; P-0003 Tape 808 Transcript, UGA-OTP-0248-0263-R01, 0318-19; P-0003: T-43, p. 23, line 18 – p. 25, line 11, p. 27, line 21 – p. 28, line 16 (P-0003 explains that the reference to 'colleagues' is to the UPDF); P-0059 Tape 808 Transcript, UGA-OTP-0248-0462-R01, at 0516-17; P-0059: T-37, p. 6, line 22 – p. 13, line 5; P-0440 Tape 808 Transcript, UGA-OTP-0262-0298-R01, at 0356.

4119 See Enhanced audio recording, UGA-OTP-0235-0038; P-0003: T-43, p. 26, lines 8-10; P-0016: T-32, p. 60, lines 15-23; P-0059: T-37, p. 8, lines 11-13; P-0440: T-40, p. 26, lines 19-21; The Chamber notes this portion of the radio recording discussed by the witnesses appears as unintelligible in the transcriptions. However later in the radio recording, Vincent Otti, still discussing the Odek attack, states, 'civilian casualties are plenty. He does not know the numbers [...] So many civilians died that he does not know the numbers'. P-0003 Tape 808 Transcript,

⁴¹²⁰ See Enhanced audio recording, UGA-OTP-0235-0038; P-0003 Tape 808 Transcript, UGA-OTP-0248-0263-R01, 0319; P-0003: <u>T-43</u>, p. 25, line 7 – p. 26, line 21; P-0059 Tape 808 Transcript, UGA-OTP-0248-0462-R01, at 0517; P-0059: <u>T-37</u>, p. 6, line 22 – p. 13, line 5; P-0440 Tape 808 Transcript, UGA-OTP-0262-0298-R01, at 0356-57; P-0016 Tape 808 Transcript, UGA-OTP-0259-0065, at 0070.

female civilians. 4121 Dominic Ongwen then goes on to describe the military equipment the LRA looted from Odek. 4122

- 1618.Regarding the suggestion that it was another LRA commander, Ocan Labongo, rather than Dominic Ongwen who took credit for the attack on Odek IDP camp during the radio communications, 4123 the Chamber recalls its finding that Labongo does indeed speak on the relevant communication on Tape 808.4124
- 1619. There are four different registers on the intercepted communication related to this communication which shed some light on the issue: ISO, UPDF and Police logbooks as well as an UPDF intelligence report.
- 1620. The Chamber first notes, as explained further below, two other intercepting agencies (ISO and Police) unequivocably attribute the radio reports to Dominic Ongwen and not to Labongo and the same reports are also attributed to Dominic Ongwen by witnesses testifying in these proceedings and by the rest of the testimonial evidence available to the Chamber which connect Dominic Ongwen to the attack on the Odek IDP camp and not Labongo.
- 1621.As to the attribution to Labongo, the 9:00 situation report of 30 April 2004 UPDF logbook (Gulu), 4125 written by P-0003, 4126 recorded:

Labongo came on air briefly and informed Kony that he attacked Odek and he burnt UPDF defence and IDP camp [...]. 4127

 $^{^{4121}}$ See Enhanced audio recording, UGA-OTP-0235-0038; P-0003 Tape 808 Transcript, UGA-OTP-0248-0263-R01, at 0324; P-0003: <u>T-43</u>, p. 30, lines 9-17; P-0016 Tape 808 Transcript, UGA-OTP-0259-0065, at 0075; P-0016: <u>T-32</u>, p. 63, lines 18-24; P-0059 Tape 808 Transcript, UGA-OTP-0248-0462-R01, at 0521-22; P-0059: <u>T-37</u>, p. 8, lines 23-25, p. 12, line 16 – p. 13, line 1. The Chamber notes that this portion of the radio recording discussed by the witnesses is largely recorded as unintelligible in the transcriptions.

 $^{^{4122}}$ See Enhanced audio recording, UGA-OTP-0235-0038; P-0003 Tape 808 Transcript, UGA-OTP-0248-0263-R01, 0318-21; P-0003: $\underline{\text{T-43}}$, p. 25, line 7 – p. 26, line 11; P-0059 Tape 808 Transcript, UGA-OTP-0248-0462-R01, at 0517-19; P-0059: $\underline{\text{T-37}}$, p. 7, line 22 – p. 8, line 13; P-0440 Tape 808 Transcript, UGA-OTP-0262-0298-R01, at 0357-360; P-0440: $\underline{\text{T-40}}$, p. 26, line 9 – p. 27, line 24.

⁴¹²³ See Defence Closing Brief, paras 259, 290, 374 and 377.

⁴¹²⁴ See the Chamber's discussion of enhanced audio recording, UGA-OTP-0235-0038 in section IV.B.3.ii.k above.

 $^{^{4125}}$ See UPDF Logbook (Gulu), UGA-OTP-0254-2982, at 3002-05 (note that UGA-OTP-0197-1670, at 1688-1691 shown to P-0003 during his testimony is the same document, with UGA-OTP-0254-2982 being a re-scan). 4126 See P-0003: $\underline{\text{T-45}}$, p. 34, line 13 – p. 36, line 18.

⁴¹²⁷ UPDF Logbook (Gulu), UGA-OTP-0254-2982, at 3004.

1622. The 18:30 situation report from the same day in the same logbook, also written by P-0003. 4128 recorded:

Labongo responded to Otti that today morning he fought UPDF in Odek [...] and the following have been changed [...]. 4129

- 1623. However, in this 18:30 situation report, the name 'Labongo' is underlined and 'Dominic' is written in red next to it. 4130
- 1624. The 18:30 entry in an UPDF intelligence report of 30 April 2004 contains a summary of the same intercepted communications documented in the 18:30 entry in 30 April 2004 UPDF logbook (Gulu) and recorded: 'Labong'/ 'Lab' reporting, 'I attacked a detach in Odek and got the following [...]'. 4131
- 1625. The Chamber recalls the above discussion of the content of the intelligence reports and the Chamber's observation that they were generally based on the entries in the logbooks created by the intercepting agencies; the logbooks are in turn based on the shorthand notes of the interceptor listening to the intercepted radio communications. ⁴¹³² The Chamber is persuaded that both the UPDF logbook (Gulu) and the UPDF intelligence report summarise the intercepted radio communications contained in enhanced audio recording UGA-OTP-0235-0038.
- 1626. The 30 April 2004 UPDF logbook (Gulu) entries were based on the corresponding short-hand rough notes of the intercepted radio communications. Significantly, these rough notes, likely created by P-0003 who wrote the logbook entries refer to 'Dominic' and not to 'Labongo'.

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⁴¹²⁸ See P-0003: <u>T-45</u>, p. 34, line 13 – p. 36, line 18.

⁴¹²⁹ UPDF Logbook (Gulu), UGA-OTP-0254-2982, at 3004.

⁴¹³⁰ UPDF Logbook (Gulu), UGA-OTP-0254-2982, at 3004. See P-0003: <u>T-45</u>, p. 37, line 5 – p. 41, line 16, p. 44, lines 9-23, p. 45, line 18 – p. 49, line 24.

^{4131 30} April 2004 Intelligence Report, UGA-OTP-0017-0150, at 0154.

⁴¹³² See the Chamber's discussion of the use of intercept evidence in intelligence reports in section IV.B.3.i.b.vii above.

⁴¹³³ See the Chamber's discussion of the interceptor logbooks and shorthand notes in sections IV.B.3.i.b.iv and IV.B.3.i.b.v above.

⁴¹³⁴ UPDF Shorthand Notes, UGA-OTP-0254-2619, at 2742-43. *See* P-0003: T-42, p. 23, line 11 – p. 26, line 5; T-45, p. 36, line 15 – p. 37, line 6, p. 41, lines 1-16.

- 1627. The same 30 April 2004 radio communications were intercepted by the ISO and recorded in the ISO logbook 4135 by P-0059. 4136 This logbook also refers to 'Dominic' and not to Labongo, stating 'Dominic said he attacked Odek camp and changed the following [...]'. 4137
- 1628. The Ugandan police also intercepted the same 30 April 2004 communications and recorded it in the Police Logbook. This logbook attributes the report of the attack to 'Tem Wek Ibong'. Indeed, the Chamber notes that on the transcriptions of the audio recording, the person reporting the attack uses the call sign 'Tem Wek Ibong'. The Chamber recalls the finding that 'Tem Wek Ibong' is one of Dominic Ongwen's call signs. Indeed, the Chamber recalls the finding that 'Tem Wek Ibong' is one of Dominic Ongwen's call signs.
- 1629. Thus, regarding the reference to Labongo in the UPDF logbook (Gulu) and in the UPDF intelligence report, the Chamber notes, as the UPDF short-hand rough notes refer to "Dominic", the reference to Ocan Labongo in subsequent UPDF documents which are in fact based on those notes appear to be the result of an initial transposition error.
- 1630. The Chamber also notes that the UPDF logbooks, detailing the same 30 April 2004 communications intercepted in Achol Pii and in Sudan, attributed the attack to *Abudema*. 4141
- 1631. Considering this identification of Abudema as well as the transposition error attributing the attack to Labongo, it is of great importance that the particular radio communications at issue, on 30 April 2004, were actually recorded by the ISO and submitted into evidence. 4142

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⁴¹³⁵ ISO Logbook (Gulu), UGA-OTP-0061-0206, at 0267-70. The reference to 'Dominic' is in the 18:30 situation report, the earlier report on the same day refers to 'unknown c/sign who was so brief on air reported that he attacked Odek IDP camp plus barracks and trading centre burning it completely'. UGA-OTP-0061-0206, at 0269. ⁴¹³⁶ P-0059: T-39, p. 25, line 23 – p. 27, line 23.

⁴¹³⁷ ISO Logbook (Gulu), UGA-OTP-0061-0206, at 0270.

⁴¹³⁸ Police Logbook, UGA-OTP-0037-0002, at 0144-46. The Chamber notes that the description of the contents of the radio communications matches that of the transcripts of the enhanced audio recording UGA-OTP-0235-0038.

⁴¹³⁹ Police Logbook, UGA-OTP-0037-0002, at 0144.

⁴¹⁴⁰ See Chamber's discussion at para. 26 above.

⁴¹⁴¹ UPDF Logbook (Achol Pii), UGA-OTP-0242-7309, at 7480-81 ('Abudema reported to Kony that he attacked Odek T/Centre, UPDF barracks and camp [...]'); UPDF Logbook (Sudan), UGA-OTP-0242-7194, at 7244-46 ('Abudema reported to Kony that today he attacked Odek Barrack cmmm centre [...]'. The UPDF Logbook (Sudan) later corrects that 'Cmm Dominic' attacked Odek, not Abudema).

⁴¹⁴² Tape 808. See Enhanced audio recording, UGA-OTP-0235-0038.

- 1632. The recording of the communications was played to P-0003 and P-0059, respectively the UPDF and ISO radio interceptors who made the logbook entries discussed above, as well as former LRA signallers P-0016 and P-0440 during their testimony before the Court. Importantly, they each identified the person reporting the attack on Odek IDP camp as Dominic Ongwen. 4143
- 1633. Indeed, as the Chamber discussed in further detail in its analysis of intercepted radio communications above, 4144 P-0003 and P-0016 also recognised the voice of Labongo speaking in this recording. 4145 Thus, while Labongo was on the air, he was not the person taking responsibility for the attack in the radio communications.
- 1634. In this context, the Chamber recalls the testimony of P-0142 that after receiving a post attack report from his subordinates, Dominic Ongwen was communicating on the radio and P-0142 was certain that Dominic Ongwen reported about what took place at the Odek IDP camp attack. P-0016 also testified that at the time of the Odek IDP attack, he was in the Gilva brigade and he heard the radio communication about an attack on Odek. Similarly, P-0269 testified that she saw Dominic Ongwen speaking to his soldiers, telling them that he called Joseph Kony and told him that he had attacked 'Kony's place' and that Joseph Kony was very happy and said 'if the civilians of Odek were still continuing to stay in the camp, they should continue to be killed'.
- 1635. Finally, it is worth emphasising that all witnesses questioned about the attack resisted the suggestion that Labongo was responsible for the attack on Odek IDP camp. No testimonial evidence connects Labongo to this attack, nor does the evidence credibly

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 $^{^{4143}}$ P-0003 Tape 808 Transcript, UGA-OTP-0248-0263-R01, at 0315-24; P-0003: $\underline{\textbf{T-43}}$, p. 23, line 18 - p. 31, line 2; P-0016 Tape 808 Transcript, UGA-OTP-0259-0065; P-0016: $\underline{\textbf{T-32}}$, p. 42, line 4 - p. 53, line 15, p. 54, line 2 - p. 64, line 9; T-32-CONF, p. 53, line 16 - p. 54, line 1; P-0059 Tape 808 Transcript, UGA-OTP-0248-0462-R01, at 0499-501, 0513-22; P-0059: $\underline{\textbf{T-37}}$, p. 2, line 23 - p. 13, line 5; $\underline{\textbf{T-39}}$, p. 25, line 23 - p. 27, line 23; P-0440 Tape 808 Transcript, UGA-OTP-0262-0298-R01, at 0336-42, 0352-62. P-0440: $\underline{\textbf{T-40}}$, p. 21, line 17 - p. 27, line 24; $\underline{\textbf{T-41}}$, p. 28, lines 12-25.

⁴¹⁴⁴ See the Chamber's discussion of enhanced audio recording, UGA-OTP-0235-0038 in section IV.B.3.ii.k above.

 $^{^{4145}}$ P-0003: $\underline{\text{T-43}}$, p. 18, line 7 – p. 19, line 18 (appearing to distinguish an 'Ocen', also called 'Madilu', with 'Ocen Labongo'); P-0016: $\underline{\text{T-32}}$, p. 42, line 21 – p. 43, line 3.

⁴¹⁴⁶ P-0142: <u>T-70</u>, p. 41, lines 11-20. The Chamber notes that P-0142 did not hear what Dominic Ongwen reported to Joseph Kony on the radio. P-0142: <u>T-70</u>, p. 43, lines 5-7.

 $^{^{4147}}$ P-0016: T-34-CONF, p. 16, line 12 - p. 17, line 1.

⁴¹⁴⁸ P-0269: <u>T-85</u>, p. 45, lines 5-14; <u>T-86</u>, p. 51, lines 5-11.

connect Abudema to the attack. ⁴¹⁴⁹ The evidence, as discussed above, clearly shows that Dominic Ongwen was the person who reported the attack on Odek IDP camp.

- 1636. The Chamber notes that another record of an intercepted radio communication shows Dominic Ongwen, Joseph Kony and others speaking over the radio on 1 May 2004, 4150 two days after the attack on Odek IDP camp. In the recording, Dominic Ongwen adds to his report from the day before on the Odek IDP camp attack. 4151 Dominic Ongwen reports that heavy weapons were taken from the UPDF and a diamond was also taken by one of his subordinate commanders. 4152
- 1637.Logbooks, created by ISO and UPDF officers, contain contemporaneous written records of this radio communication and include details which correspond with the radio communication. 4153 The Chamber notes that the ISO logbook as well as the UPDF logbooks created in Gulu and Sudan, all identify Dominic Ongwen as the person reporting on the looted item, although the UPDF logbook created in Achol Pii identified 'Labongo'. 4154
- 1638. Significantly, the Chamber notes P-0059, P-0440 and D-0100 listened to the radio communication during their in court testimonies and each clearly recognised Dominic Ongwen's voice reporting on the Odek attack and the taking of the diamond. The

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⁴¹⁴⁹ The Chamber recalls its position on the credibility of P-0410's testimony that Buk Abudema participated in the attack. *See* the Chamber's discussion of P-0410's testimony in section IV.B.2.ii.b.xxiii above.

⁴¹⁵⁰ See Enhanced audio recording UGA-OTP-0235-0015 and the Chamber's discussion of the same, in section IV.B.3.ii.l above.

⁴¹⁵¹ *See* Enhanced audio recording UGA-OTP-0235-0015; P-0059 Tape UGA-OTP-0039-0006 Transcript, UGA-OTP-0258-0809-R01; P-0059: <u>T-37</u>, p. 47, line 16 – p. 49, line 6; P-0440 Tape UGA-OTP-0039-0006 Transcript, UGA-OTP-0262-0205-R01; P-0440: <u>T-40</u>, p. 27, line 25 – p. 28, line 19; D-0100: <u>T-234</u>, p. 50, line 13 – p. 52, line 20, p. 54, lines 16-20.

⁴¹⁵² See Enhanced audio recording UGA-OTP-0235-0015; P-0059 Tape UGA-OTP-0039-0006 Transcript, UGA-OTP-0258-0809-R01; P-0059: <u>T-37</u>, p. 47, line 16 – p. 49, line 6; P-0440 Tape UGA-OTP-0039-0006 Transcript, UGA-OTP-0262-0205-R01; P-0440: <u>T-40</u>, p. 27, line 25 – p. 28, line 19; D-0100: <u>T-234</u>, p. 50, line 13 – p. 52, line 20, p. 54, lines 16-20.

⁴¹⁵³ ISO Logbook (Gulu), UGA-OTP-0061-0206, at 0272-74; UPDF Logbook (Gulu), UGA-OTP-0254-2982, at 3006-07; UPDF Logbook (Achol Pii), UGA-OTP-0242-7309, at 7481-82; UPDF Logbook (Sudan), UGA-OTP-0242-7194, at 7247-7248. *See* 1 May 2004 Intelligence Report, UGA-OTP-0017-0157, at 0160. The 1 June 2004 ISO intelligence report created in order to brief senior government officials and based on entries in the ISO logbook reports a communication which generally corresponds with the logbook entries and the radio communication and shows Dominic Ongwen reporting on items looted during the Odek attack to Joseph Kony. ⁴¹⁵⁴ *See* ISO Logbook (Gulu), UGA-OTP-0061-0206, at 0272-73; UPDF Logbook (Gulu), UGA-OTP-0254-2982, at 3006-07; UPDF Logbook (Sudan), UGA-OTP-0242-7194, at 7247-7248; UPDF Logbook (Achol Pii), UGA-OTP-0242-7309, at 7481-82.

 $^{^{4155}}$ P-0059: $\underline{\text{T-37}}$, p. 47, line 16 – p. 50, line 21; P-0440: $\underline{\text{T-40}}$, p. 27, line 25 – p. 30, line 2; D-0100: $\underline{\text{T-234}}$, p. 50, line 13 – p. 52, line 20, p. 54, lines 16-20. *See* the Chamber's discussion of the intercepted radio communication, UGA-OTP-0235-0015 in section IV.B.3.ii.l above.

Chamber is of the view that this further confirms that the voice heard in the 30 April 2004 intercepted radio communication reporting on the attack on Odek IDP camp was Dominic Ongwen.

1639. Further there is other, independent, evidence of Dominic Ongwen claiming responsibility for this attack. The Chamber notes P-0085's testimony regarding his meeting with Dominic Ongwen after the attack. P-0085 testified that he met up with Dominic Ongwen, about a week after hearing reports on FM radio that Dominic Ongwen had attacked Odek. P-0085 sat down with Dominic Ongwen, and asked Dominic Ongwen whether he attacked Odek barracks. P-0085 reported as follows the response that Dominic Ongwen gave to him:

And he responded, he said "Yeah, I sent my people. They went, they attacked the barracks. They scattered the barracks. They burned houses, they burned down the barracks. They took six guns, four SMGs, one G2, and a recoiller, a B-10, one. And I told him, I said, "Okay. No worries. I heard that over the radio. I had already heard that over the radio, that you had sent your people on mission to that place." And he told me, yeah, he said, "Yes, I did send my people to Odek. And they went, they attacked the barracks."

- 1640. Asked whether Dominic Ongwen said anything about civilian deaths, P-0085 stated '[w]ell, with respect to civilians, he told me that civilians were shot'. 4159
- 1641. In the view of the Chamber, this evidence, which is contextualised, logical and detailed, provides further entirely independent corroboration of the conclusion that Dominic Ongwen directed and controlled the Odek attack. The similarities between what Dominic Ongwen told P-0085 and what he reported to Joseph Kony in the radio communication also further convinces the Chamber that Dominic Ongwen was the person reporting on the attack on Odek IDP camp to Joseph Kony.
- 1642. In light of the evidence, the Chamber is convinced that the 30 April 2004 and the 1 May 2004 intercepted radio communications show Dominic Ongwen reporting the Odek IDP camp attack to Joseph Kony and other LRA commanders. 4160 Dominic Ongwen's own

⁴¹⁵⁶ P-0085: T-158, p. 42, lines 11-19.

 $^{^{4157}}$ P-0085: $\overline{\text{T-158}}$, p. 42, line 25 – p. 43, line 2.

⁴¹⁵⁸ P-0085: T-158, p. 43, lines 3-9.

⁴¹⁵⁹ P-0085: <u>T-158</u>, p. 43, line 11. *See also* para. 1484 above.

⁴¹⁶⁰ Accordingly, the Chamber does not accept the contrary submission of the Defence. *See* Defence Closing Brief, paras 259, 290, 295, 386-390.

words show him taking responsibility for the Odek IDP camp attack, reporting on the killings and abduction of civilians as well as the looting that occurred in the camp.

8. Attack on Lukodi IDP camp

i. Lukodi IDP camp

Lukodi IDP camp was situated in Bungatira Sub-County, Aswa County, Gulu District and a large contingent of civilians resided in the camp at the time of the attack. 4161

1643. Lukodi village is located in Bungatira Sub-County, Aswa County, Gulu District, Uganda. In May 2004, the Lukodi IDP camp was located near the village, having been created around 2002 to protect civilians from the LRA's frequent attacks in the region. There are some discrepancies in the witnesses' understanding of whether Lukodi camp was officially designated an IDP camp by the Ugandan government. In any case, the evidence indicates that at the time of the May 2004 attack at issue in these proceedings, the Ugandan government had directed local residents to move from their villages into the camp. The Chamber also notes that the evidence shows that Ugandan government forces were present for protection; and that the residents received food aid from international organisations/NGOs.

1644.P-0017, a police investigator who examined Lukodi in the aftermath of the attack, testified that the Lukodi camp leader told him that the camp had an estimated 7,000

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⁴¹⁶¹ Para. 178 above.

⁴¹⁶² Agreed Facts, A2.

⁴¹⁶³ P-0060 Statement, UGA-OTP-0069-0034-R01, at para. 21 (stating that around 2002, government soldiers directed civilians to move to Lukodi IDP camp).

⁴¹⁶⁴ P-0035 Statement, UGA-OTP-0036-0082-R01, at para. 12 (testifying that Lukodi IDP camp was not an official camp and did not have a camp leader); P-0017 Statement, UGA-OTP-0036-0007-R01, at paras 201-02 (testifying that the camp was not officially recognised as an IDP camp, but stating that he obtained information from the camp leader); P-0187: T-164, p. 7, line 10-18 (testifying that when she moved to the camp in Lukodi in 2002 it was not yet a designated camp); P-0060 Statement, UGA-OTP-0069-0034-R01, at paras 21-23, 28-31, 42, 79 (testifying that the camp was officially recognised in 2002, and that before official registration there was just one camp leader for Lukodi and Coopee).

⁴¹⁶⁵ P-0060 Statement, UGA-OTP-0069-0034-R01, at paras 10, 21; P-0024: <u>T-77</u>, p. 19, lines 13-16; <u>T-78</u>, p. 16, line 11-24; P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 13.

⁴¹⁶⁶ P-0035 Statement, UGA-OTP-0036-0082-R01, at paras 12-25; P-0060 Statement, UGA-OTP-0069-0034-R01, at paras 17-18.

⁴¹⁶⁷ P-0060 Statement, UGA-OTP-0069-0034-R01, at para. 48; P-0187: <u>T-164</u>, p. 8, lines 10-17; <u>T-165</u>, p. 15, lines 6-14. The Chamber notes that the camp residents also practiced some level of sustenance farming although this was made difficult by LRA activity in the area. *See* P-0060 Statement, UGA-OTP-0069-0034-R01, at para. 45; P-0187: <u>T-164</u>, p. 8, lines 10-14; P-0024: <u>T-78</u>, p. 26, line 23 – p. 27, line 15; P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 16.

residents at the time of the attack. 4168 V-0004, the LC1 of Lukodi, testified that although it was not easy to know the exact number at the time of the attack, there were about 4,000 residents of Lukodi IDP camp. 4169 The Chamber also notes the testimony of P-0035, the that although he was not certain and was not in charge of dealing with the numbers, he roughly estimated that 400-500 people lived in the camp. 4170 Noting that P-0035 was uncertain as to the number of residents living in the camp and P-0017, P-0035 and V-0001's testimony differ significantly, the Chamber is unable to make a definitive conclusion as to the number of civilians that lived with Lukodi IDP camp. However, in light of the evidence, including the evidence of camp residents testifying about their experiences within the camp, 4171 the Chamber is satisfied that a large number of civilians lived within Lukodi IDP camp at the time of the attack.

1645. The Chamber refers to its discussion above of the LRA's policy to specifically target IDP camps to, amongst other things, obtain provisions. The evidence indicates that this also occurred at Lukodi IDP camp already before the attack on the camp in May 2004. Witnesses testified that in the months prior to the May 2004 attack, the LRA had made incursions into the camp, to obtain food and other provisions. Witnesses also testified that in the days before the attack, camp residents had received food and household provisions from the Caritas NGO. 4174

1646. There was a government military barracks located in the northern area of the camp, on the grounds of a disused school, and comprised of small huts. 4175 The Chamber notes also

⁴¹⁶⁸ P-0017 Statement, UGA-OTP-0036-0007-R01, at para. 201.

⁴¹⁶⁹ V-0004: <u>T-173</u>, p. 8, lines 9-13.

⁴¹⁷⁰ P-0035 Statement, UGA-OTP-0036-0082-R01, at para. 19.

⁴¹⁷¹ See testimonies of P-0024, P-0060 and P-0187.

⁴¹⁷² See section IV.C.4 above, the Chamber's discussion of the LRA's policy.

⁴¹⁷³ P-0060 Statement, UGA-OTP-0069-0034-R01, at para. 51 (stating that before the May 2004 attack, LRA fighters sporadically came to the camp to loot food and other things, but did not injure or kill anyone); P-0035 Statement, UGA-OTP-0036-0082-R01, at paras 27-33 (Lukodi was often approached by the LRA, who came to get food, including twice in early April 2004).

⁴¹⁷⁴ P-0024: <u>T-78</u>, p. 24, lines 15-23; P-0026 Statement, UGA-OTP-0069-0018-R01, at para. 29. *See also* P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 17.

⁴¹⁷⁵ P-0035 Statement, UGA-OTP-0036-0082-R01, at paras 13-15; P-0035 Sketch of Lukodi IDP camp, UGA-OTP-0036-0094 (stating that the barracks was in the north side of the camp and comprised of small huts, and that the military detachment was surrounded by a trench, where soldiers were supposed to fight from in the event of an attack); P-0060 Statement, UGA-OTP-0069-0034-R01, at paras 17-18; P-0060's sketch of Lukodi IDP camp, UGA-OTP-0069-0048 (stating that the houses in the military barracks were smaller than the civilian houses and the area was secured by a trench); P-0024: <u>T-78</u>, p. 21, line 2 – p. 24, line 14 (according to P-0024, the military barracks was within the large school compound, the school was no longer in use for students at the time of the attack). *See also* P-0017 Statement, UGA-OTP-0036-0007-R01, at para. 202.

that there were two main civilian areas in Lukodi, one close to the barracks and another closer to the trading centre. 4176

ii. Dominic Ongwen's order to attack Lukodi IDP camp

Dominic Ongwen decided to attack Lukodi IDP camp. For the purpose of the attack, he gathered soldiers from Sinia as well as from the nearby Gilva brigade sickbay. At a gathering the morning of the day before the attack, Dominic Ongwen instructed LRA fighters to attack Lukodi IDP camp and everyone present at that location, including civilians, and to take food from the camp. Dominic Ongwen selected his subordinate Ocaka to be commander on the ground. Other commanders on the ground included Ojok Kampala, Oyenga, Kobbi, Ojara and Abonga Won Dano.⁴¹⁷⁷

- 1647. There is evidence that the preparations for the Lukodi attack began a few days in advance, when, as laid out in detail in the following paragraphs, Dominic Ongwen instructed that Sinia brigade's Terwanga battalion and the Gilva sickbay select and send soldiers to him.
- 1648.P-0205 testified that this instruction came to the Terwanga battalion by way of a meeting ('RV'), where Dominic Ongwen's instruction was passed to the Terwanga battalion to 'form a standby', i.e. a group of soldiers for an attack, and to meet with Dominic Ongwen. As discussed below, this instruction was followed. As discussed below, this instruction was followed.
- 1649. The evidence demonstrates that for the purpose of the attack on Lukodi IDP camp, Dominic Ongwen also took control of a number of soldiers from the Gilva sickbay which was operating nearby.
- 1650.P-0145 testified that before the attack on Lukodi IDP camp, Dominic Ongwen, who was Sinia brigade commander at the time, came to the Gilva brigade sickbay, which was under the command of Major Olak Otulu (more commonly referred to as Tulu) and at the time stationed close to the bank of the Aswa River. P-0145 specified that Dominic Ongwen came to the Gilva sickbay 'in the evening, perhaps around 4 p.m.' on the day

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⁴¹⁷⁶ See P-0060 Statement, UGA-OTP-0069-0034-R01, at para. 20; P-0060's sketch of Lukodi IDP camp, UGA-OTP-0069-0048; P-0035 Statement, UGA-OTP-0036-0082-R01, at paras 17-18; P-0035 Sketch of Lukodi IDP camp, UGA-OTP-0036-0094.

⁴¹⁷⁷ Para. 179 above.

⁴¹⁷⁸ P-0205: T-47-CONF, p. 51, lines 3-9, p. 52, line 2 - p. 53, line 5. Dominic Ongwen and the various groups under his command often moved in separate groups and would meet together at various locations. *See* P-0205: <u>T-47</u>, p. 36, line 24 - p. 40, line 11.

⁴¹⁷⁹ See paras 1662-1664 below.

⁴¹⁸⁰ P-0145: <u>T-143</u>, p. 11, line 21 – p. 12, line 2, p. 21, lines 13-20, p. 35, lines 12-20.

before the attackers set out for Lukodi. 4181 Dominic Ongwen came with five or six people, whose names P-0145 was not able to recall. 4182

- 1651. According to P-0145, Dominic Ongwen convened the Gilva sickbay officers together and told them that he had planned an operation, a 'very good operation', and that he wanted to help the people get food and supplies. Six or seven commanders were present for the meeting with Dominic Ongwen, including Tulu, who was in charge, Major Abucingo, Oyet Matata (more commonly referred to as Matata), Abonga Won Dano, Ojoko, Ojok, Ojara Gali (more commonly referred to as Ojara) and Kilama. P-0145 also clarified that the order for Gilva brigade to select people for the attack was issued by Tulu, but that Tulu, a major, was subordinate to Dominic Ongwen, as major general or brigadier general and that Dominic Ongwen had already planned the operation. P-0145, who went from Gilva brigade to participate in the attack, indicated that although he was in a different brigade, he recognised Dominic Ongwen as his superior and commander.
- 1652.P-0145 stated that on the day after, people from the sickbay went to join Dominic Ongwen's group at the riverbank, from where they headed to Lukodi. 4187 P-0145 named Ojoko and Kilama as officers who went for the attack from Tulu's sickbay. 4188
- 1653.P-0018 stated that she was in Tulu's sickbay group in 'Tegot-Atto' when soldiers came and told the leader that Dominic Ongwen had sent them. ⁴¹⁸⁹ It is noted that P-0018's testimony implies that Dominic Ongwen did not himself come to Tulu's sickbay, thereby contradicting the testimony of P-0145. However, considering that P-0145 had a better opportunity to observe the meeting and provided more detailed testimony, the Chamber follows his evidence on this point. The Chamber also notes that, as discussed below, P-0172 also testified that Dominic Ongwen came to Tulu's sickbay in person.

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<sup>4181</sup> P-0145: T-143, p. 15, lines 7-13.

<sup>4182</sup> P-0145: T-143, p. 15, lines 14-18.

<sup>4183</sup> P-0145: T-143, p. 13, line 15 – p. 14, line 5.

<sup>4184</sup> P-0145: T-143, p. 14, lines 2-5, p. 15, line 19 – p. 16, line 11.

<sup>4185</sup> P-0145: T-143, p. 20, lines 8-18.

<sup>4186</sup> See P-0145: T-143, p. 14, line 20 – p. 15, line 2.

<sup>4187</sup> P-0145: T-143, p. 14, lines 8-15.

<sup>4188</sup> P-0145: T-143, p. 24, lines 4-25, p. 25, lines 11-14.

<sup>4189</sup> P-0018: T-68, p. 53, line 12 – p. 54, line 3.
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- 1654. P-0018 continued that Abonga Won Dano and Bicingu, both fighters under Tulu, selected people to 'go to collect food', including herself. Some of the selected people were armed, but others, including P-0018, were not. 4191
- 1655. The evidence demonstrates that Tulu did not go to Lukodi and did not participate in the attack beyond responding to Dominic Ongwen's request to select and send soldiers to him for the attack. Both P-0145 and P-0018 testified that Tulu did not personally go with the selected soldiers for the operation, but remained behind. P-0142 also stated that Tulu did not go to Lukodi. Correspondingly, as is apparent from the analysis of the course of the attack below, witnesses who were present at the attack discussed the participation of several commanders but none stated that Tulu was himself on the ground.
- of P-0172. This witness testified that while he was in Tulu's sickbay in May 2004 he heard 'from younger soldiers' that Joseph Kony had told Tulu that 'the world was saying he was no longer there, he had died', that he should prepare a standby and that he (i.e. Joseph Kony) would send Dominic Ongwen to Tulu 'so that they would go and fight'. ⁴¹⁹⁶ P-0172 explained that the younger soldiers were privy to this information because they were able to hear what was said on the radio. ⁴¹⁹⁷ P-0172 stated that the sickbay was west of Loyo Ajonga at the time. ⁴¹⁹⁸ The Chamber has received no other reliable evidence of this radio communication between Joseph Kony and Tulu, ⁴¹⁹⁹ in particular not in any

⁴¹⁹⁰ P-0018: <u>T-68</u>, p. 54, lines 4-17.

⁴¹⁹¹ P-0018: <u>T-68</u>, p. 54, lines 21-24.

 $^{^{4192}}$ P-0145: $\overline{\text{T-143}}$, p. 20, lines 21-25 (explaining that Tulu was injured at the time and could not walk long distances); P-0018: $\overline{\text{T-69}}$, p. 47, line 15 – p. 51, line 7.

⁴¹⁹³ P-0142: <u>T-70</u>, p. 48, lines 13-15.

⁴¹⁹⁴ See section IV.C.8.iv below.

⁴¹⁹⁵ The Chamber has taken note of the police notes of intercepted radio communications, dated 23 May 2004, stating: 'The details of the attack on Lukodi are not ready since "Tem Wek Ibong" didn't appear on air. The commanders who were responsible for the attack were Major Olak Tulu and Captain Ocaka Alex.' Police Logbook, UGA-OTP-0037-0002, at 0121-22. *See also* P-0125: <u>T-136</u>, p. 31, line 16 – p. 32, line 25. Noting that the text of the entry itself indicates that the interceptors did not have detailed knowledge of the attack on Lukodi at the time, that it is not specifically asserted that Tulu participated in the attack on the ground, and in light of the witness testimonies before the Chamber, the Chamber does not rely on the police notes for this issue.

⁴¹⁹⁶ P-0172: <u>T-113</u>, p. 19, lines 11-17; <u>T-114</u>, p. 6, lines 15-19.

⁴¹⁹⁷ P-0172: <u>T-113</u>, p. 19, lines 18-22.

⁴¹⁹⁸ P-0172: <u>T-113</u>, p. 20, lines 15-18.

⁴¹⁹⁹ The Chamber notes that D-0032 testified about an order from Joseph Kony to Tulu, but in a different context and with different content. In any case, as explained below, the Chamber does not rely on this specific testimony. *See* para. 1661 below.

records of intercepted communications, and does not make a finding in this regard. It may be noted, however, that any such discussion between Joseph Kony and Tulu would have no impact on the Chamber's findings in relation to Dominic Ongwen being the person who decided that the attack on Lukodi IDP camp would take place, and gave the orders for the attack.

It is noted that P-0172 testified that Dominic Ongwen indeed arrived with his troops at the sickbay. 4200 It is noted that P-0172 testified that Dominic Ongwen was in Gilva brigade at the time, 4201 which is an obvious error but, in the view of the Chamber, immaterial in the context. According to P-0172, by the time Dominic Ongwen arrived, Tulu had already prepared a standby, so they left soon afterwards. 4202 P-0172 estimated the number of departing troops at 50 to 60. 4203 He also stated that he learnt from the younger soldiers who were listening to radio communications that the attackers had gone to Lukodi. 4204

1658. Evidence of some relevance for the above conclusions also came out during the testimony of D-0032, who stated that he obtained knowledge of the Lukodi attack from Tulu, with whom he spoke three to five days after the attack, and from certain other individuals. ⁴²⁰⁵ In the presence of direct evidence of several witnesses, however, the Chamber does not deem it necessary to engage in detail with the evidence of D-0032, with the exception of one particular issue addressed just below, and limits itself to noting that this evidence does not undermine the above conclusions.

1659. The evidence of the witnesses referred to above is unequivocal that these arrangements were undertaken at the initiative of Dominic Ongwen and by his authority as Sinia brigade commander. Based on the unfolding of the events, and on the specific testimony on the issue, the Chamber finds that Dominic Ongwen's authority was unquestioned even with respect to Tulu, who was a lower-ranking officer in another brigade. As discussed below, the soldiers selected for the attack on Lukodi IDP camp, including those obtained

⁴²⁰⁰ P-0172: <u>T-113</u>, p. 20, line 19 – p. 21, line 3.

⁴²⁰¹ P-0172: T-113, p. 21, line 25 – p. 26, line 2.

⁴²⁰² P-0172: <u>T-113</u>, p. 22, lines 3-5.

⁴²⁰³ P-0172: <u>T-113</u>, p. 22, lines 10-12 (referring to the number of combined troops, including Tulu's and those Dominic Ongwen had come with).

⁴²⁰⁴ P-0172: <u>T-113</u>, p. 22, line 22 – p. 23, line 3.

⁴²⁰⁵ D-0032: T-201-CONF, p. 30, line 21 – p. 31, line 10.

from the Gilva sickbay, were placed under the single command of Ocaka, by order of Dominic Ongwen.

- 1660. There is also radio communication evidence, which in context corroborates the conclusion that it was Dominic Ongwen who conceived the idea of the attack on Lukodi. A UPDF logbook records a conversation on 17 May 2004 at 9:00 hours, which is two days before the attack and the morning of the day that Dominic Ongwen went to organise soldiers from the Gilva sickbay, during which Dominic Ongwen sought and obtained from Joseph Kony permission to attack any camp for food. 4206 It is noted that no specifics appear to have been discussed.
- 1661. The Chamber notes that D-0032 testified that Joseph Kony issued separate orders on radio to both Tulu and Dominic Ongwen in relation to the Lukodi attack. 4207 However, as the basis of the witness' knowledge is entirely unclear and in the absence of any other evidence referring to this fact, including records of intercepted radio communications, the Chamber does not consider the testimony provided by D-0032 on this point to be of sufficient strength to sustain a positive finding of fact.
- 1662. Following these initial preparatory steps aimed at organising an attacking force, a gathering of the LRA soldiers selected for the attack on Lukodi IDP camp took place; this fact is well attested by the evidence. Witnesses who came from Sinia brigade's Terwanga battalion or the Gilva sickbay speak of this meeting, as do others, who had been personally moving with Dominic Ongwen for some time.
- 1663.P-0142, a member of Sinia brigade, ⁴²⁰⁸ stated that a combination of fighters from Gilva and Sinia brigades was set up. ⁴²⁰⁹ This took place 'in an area around Omel Kuru and Kanu, around Awach area'. ⁴²¹⁰ More specifically about the set-up of the attacking force, P-0142 stated that the soldiers came from Tulu's Gilva sickbay as well as from all three of Sinia's battalions Oka, Terwanga and Siba. ⁴²¹¹ P-0142 specified, in line with the

⁴²⁰⁶ UPDF Logbook (Gulu), UGA-OTP-0254-2982, at 3037. The ISO intercepted the same conversation, but the corresponding notebook notes it was in 'codes not yet broken', before noting that Joseph Kony gave Dominic Ongwen a go-ahead. ISO Logbook (Gulu), UGA-OTP-0061-0206, at 0314.

⁴²⁰⁷ D-0032: <u>T-201</u>, p. 32, line 2 – p. 33, line 1.

⁴²⁰⁸ P-0142: <u>T-70</u>, p. 16, lines 6-8.

⁴²⁰⁹ P-0142: <u>T-70</u>, p. 43, lines 16-18.

⁴²¹⁰ P-0142: <u>T-70</u>, p. 43, lines 19-21.

⁴²¹¹ P-0142: <u>T-70</u>, p. 44, lines 8-15.

evidence analysed above, that Dominic Ongwen selected 'his people' and Tulu identified 'his people', after which they were brought together. He testified that about 100-150 soldiers went for the attack. Upwards of 50 of those were from the Gilva sickbay. P-0142 also specified that in addition to armed fighters, there were unarmed persons among the attackers, and their task was to carry looted goods. 4215

- 1664.P-0205 stated that, at this gathering, the Terwanga battalion soldiers who had been previously summoned met with Dominic Ongwen and other Sinia brigade soldiers. 4216 As to the presence of Gilva soldiers, P-0205 testified very specifically that two persons from Gilva brigade were present, namely one Ojara and one woman who later escaped on the way back from the attack. 4217 The Chamber observes that P-0205's evidence is reliable as to the presence of the two Gilva brigade members, but that the witness did not purport to give an overview of the entire group of attackers he was merely describing who he recognised. P-0205 gave the location of this gathering as Omel Boke. 4218
- 1665. As to the movement of the Gilva brigade contingent, P-0145 stated that the people who were selected from Tulu's sickbay joined Dominic Ongwen's group at the riverbank, from where the attackers headed to Lukodi. P-0018 stated that then the group from sickbay started moving and there was an RV with many people. P-0018
- 1666. The evidence, discussed above, shows that the soldiers who had been summoned for the attack from the Gilva sickbay and the Terwanga battalion converged at the gathering with soldiers already present with Dominic Ongwen. The Chamber notes at this juncture that, in line with its conclusions above, it does not rely on the evidence of P-0410 to the extent

⁴²¹² P-0142: T-70, p. 44, lines 16-18.

⁴²¹³ P-0142: T-70, p. 48, lines 16-22.

⁴²¹⁴ P-0142: <u>T-72</u>, p. 74, lines 13-15. This estimate corresponds to the testimony of P-0145, who stated that 'maybe up to 60' people were selected from the Gilva sickbay for the operation; *see* P-0145: <u>T-143</u>, p. 17, lines 20-24. ⁴²¹⁵ P-0142: <u>T-70</u>, p. 59, lines 16-24.

⁴²¹⁶ P-0205: T-47-CONF, p. 53, lines 6 – p. 54, line 9.

 $^{^{4217}}$ P-0205: T-47-CONF, p. 53, lines 8-11, p. 56, lines 16-22, p. 59, line 22 – p. 60, line 1; T-50-CONF, p. 47, lines 11-16. It is clear that the woman in question is P-0018, who testified that she was one of the people who came from the Gilva sickbay, and that she escaped on the way back from the attack; *see* P-0018: <u>T-68</u>, p. 53, line 12-18; <u>T-69</u>, p. 17, line 24 – p. 19, line 2.

⁴²¹⁸ P-0205: T-47-CONF, p. 58, lines 13-18. See also P-0205 sketch, UGA-OTP-0233-1388.

⁴²¹⁹ P-0145: <u>T-143</u>, p. 14, lines 8-15.

⁴²²⁰ P-0018: <u>T-68</u>, p. 54, line 25 – p. 55, line 8.

that he implicates Vincent Otti and Buk Abudema in the attacks on Odek and Lukodi IDP camps.⁴²²¹

- 1667. The Defence submits that the witness evidence in relation to the location of this gathering is inconsistent and therefore unreliable. 4222 While reiterating that witnesses who were present at LRA gatherings in the bush may not be able to name the location due to their unfamiliarity with the area, the meandering movements of LRA units or any other reason, the Chamber notes that in fact the witnesses' testimonies, in this particular instance, point to a relatively precise area. In addition to P-0142's and P-0205's testimony on the point as referred to above, the Chamber notes that P-0410, while not providing a precise indication of the location where the gathering took place, and explaining that it was not easy to situate oneself with directions in the bush, indicated that moving towards Lukodi they reached the Awach road and turned west in the direction of Gulu. 4223 This indicates that the attackers came from the south-east, and is compatible with the evidence of P-0142 and P-0205, as is, due to the presence of the Aswa River, P-0145's reference to a 'riverbank'.
- 1668.P-0101, who also referred to the gathering, 4224 testified that the selection took place in Lalogi, while also stating that she was not conversant with the area. 4225 In any case, her indication as to the location of the event is compatible with the rest of the evidence.
- 1669. The Defence emphasised P-0406's evidence as that most irreconcilable with the rest of the evidence. 4226 The Chamber notes P-0406's testimony that he was not familiar with the area, and that generally in the bush 'you keep meandering about and it becomes very difficult for you to know where exactly you are going'. 4227 P-0406 does testify that his unit was in Koch Goma before going to Lukodi. However, contrary to the Defence's submission, this was not an indication of the location of the gathering prior to the attack on the Lukodi IDP camp. In fact, the witness testified that they walked from Koch Goma,

⁴²²¹ See section IV.B.2.ii,b.xxiii above, the Chamber's discussion of P-0410's testimony.

^{4222 &}lt;u>Defence Closing Brief</u>, paras 399-411.

⁴²²³ P-0410: T-152, p. 43, line 11 – p. 45, line 3.

⁴²²⁴ *See* para. 1692 below.

⁴²²⁵ P-0101: <u>T-13</u>, p. 53, lines 17-24.

^{4226 &}lt;u>Defence Closing Brief</u>, para. 409 (arguing that the witness placed the RV in the area of Koch Gama).

⁴²²⁷ P-0406: <u>T-155</u>, p. 55, line 19 – p. 56, line 2.

⁴²²⁸ P-0406: <u>T-155</u>, p. 55, lines 15-17.

- where they reached a place and settled, and where the next day people were selected for the attack and Dominic Ongwen gave his instructions.⁴²²⁹
- 1670. The Chamber notes that the Defence in its submissions on the location of the gathering also refers to the evidence of P-0018 and P-0145 relating not to the gathering at issue but to the location of the Gilva sickbay. 4230
- 1671. Finally on the topic of location, in light of specific submissions by the Defence in relation to the attack on Lukodi IDP camp, ⁴²³¹ the Chamber reiterates that it does not rely for its conclusions on the direction-finding evidence. ⁴²³²
- 1672. As to the time of this gathering, detailed and entirely overlapping testimony of several witnesses as to how long it took to arrive to Lukodi thereafter allows for the conclusion that the gathering took place in the morning of the day preceding the day of the attack. 4233
- 1673. The Chamber turns now to the instructions given by Dominic Ongwen at this gathering to the LRA soldiers selected to depart on an attack to Lukodi IDP camp.
- 1674. P-0205 reported the order given by Dominic Ongwen as follows:

You standby, you are going to attack Lukodi. When you arrive at Lukodi, there are few soldiers. Shoot the soldiers. Do not leave the camp. Anybody you find in the camp, no matter – no matter how the person is, don't leave them. Nobody should be left behind. Everybody should be killed.⁴²³⁴

1675. The Defence argues that P-0205's testimony about the order given by Dominic Ongwen should be disregarded as it 'drastically changed' from his interview with the Prosecution in 2015, where he stated that Dominic Ongwen's order was to attack only the military at Lukodi, that the order was to attack at 18:00 hours at the latest so as to still be able to distinguish between soldiers and others, and that 'the mission was not to kill civilians'. The Chamber notes that P-0205 explained some of the discrepancy by

⁴²²⁹ P-0406: <u>T-155</u>, p. 56, lines 6-16.

⁴²³⁰ See <u>Defence Closing Brief</u>, para. 399, referring to P-0018: <u>T-68</u>, p. 53, lines 12-20; P-0145: <u>T-143</u>, p. 21, line 25.

⁴²³¹ See Defence Closing Brief, paras 396-98.

⁴²³² See section IV.B.3.iii above.

⁴²³³ See paras 1694-1696 below.

⁴²³⁴ P-0205: T-47-CONF, p. 54, lines 10-16.

⁴²³⁵ Defence Closing Brief, para. 416; P-0205: T-51-CONF, p. 7, line 1 − p. 10, line 24.

stating that he had not remembered the information during the interview in 2015. ⁴²³⁶ But this explanation is not entirely satisfactory because, as pointed out by Defence counsel in court, the investigator asked specifically about orders in relation to civilians during the interview, and the witness at that time clearly stated that the attack on Lukodi IDP camp was designed so as not to harm civilians. ⁴²³⁷ Nevertheless, P-0205 insisted on his in-court testimony. The Chamber deems significant in this respect that he did so even though the statement he gave to the Prosecution in 2015 was decidedly more favourable to him than his in-court statement, considering his own involvement in the attack on Lukodi IDP camp. His statement of 2015 is at odds with the rest of the evidence on the order given, the events on the ground, and on the way the attack was reported, whereas his account in the courtroom is in accord with other reliable evidence. Finally in this context, the Chamber also pays due attention to the fact that P-0205 testified before it under oath, and did so after having been given assurances against self-incrimination under Rule 74 of the Rules. In these circumstances, the Chamber accepts P-0205's testimony in court as truthful.

1676. According to P-0018, at the RV Dominic Ongwen made a speech, stating that 'whoever [they] found on the road should be killed because the Acholi had become stubborn'. A238 She specifically also confirmed her prior statement that Dominic Ongwen ordered 'to go and kill everybody, even if we find a woman who is giving birth, we should kill her because the government had sent helicopters to kill our leaders, and even our children were killed'. Purther, P-0018 confirmed her prior testimony that Dominic Ongwen told those present that the Acholi people were stubborn because they did not want to leave the camps and go back to their villages. According to P-0018, after this meeting, about 80 people went for the attack.

1677.P-0142 also testified that brigade commander Dominic Ongwen was the one who issued the instruction to attack Lukodi. 4242 According to P-0142, Dominic Ongwen gave the order to 'go to Lukodi, go and disperse the soldiers in Lukodi, burn their houses, loot

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<sup>4236</sup> P-0205: T-50-CONF, p. 54, lines 19-25, p. 55, lines 15-18.

<sup>4237</sup> See P-0205: T-50-CONF, p. 56, lines 3-7; <u>T-51</u>, p. 10, lines 18-23.

<sup>4238</sup> P-0018: <u>T-68</u>, p. 55, lines 13-23.

<sup>4239</sup> P-0018: <u>T-68</u>, p. 59, line 23 – p. 60, line 6.

<sup>4240</sup> P-0018: <u>T-68</u>, p. 60, lines 13-18.

<sup>4241</sup> P-0018: <u>T-68</u>, p. 62, lines 6-8.
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⁴²⁴² P-0142: <u>T-70</u>, p. 43, lines 13-15.

food, and come back'. 4243 While initially stating that '[t]here was no order about civilians', 4244 P-0142 confirmed in court, after having his memory refreshed from his previous written statement, that, in fact, the order was also to kill the civilians they find during the attack. 4245

- 1678.P-0145 also testified that when Dominic Ongwen's group and the Gilva sickbay group converged in the morning of the day before the attack, Dominic Ongwen addressed those present, stating that he had planned an operation, and specifically telling those from the sickbay that they were going to get food. 4246
- 1679.P-0410 also stated that Dominic Ongwen spoke at the RV. ⁴²⁴⁷ He testified that the commanders speaking there told them that they should 'go and work' and 'not leave anything alive', that 'the Lukodi attack should be worse than that of Odek', and that 'whoever was going should come back with bloodstains on his clothes to show that you have worked well'. ⁴²⁴⁸
- 1680. Similarly, P-0406 testified that Dominic Ongwen issued instructions before the attack on Lukodi IDP camp. 4249 The witness recounted the order as stating that 'some soldiers should go to the barracks, others should go to the camp and that they should take food items and abduct some children'. 4250 P-0406 further stated that Dominic Ongwen ordered that the civilians who run away should 'not be disturbed they should just be let go', and that civilians should not be shot using guns, but only soldiers. 4251 Asked about what was to be done in respect of civilians instead, P-0406 explained that '[m]ost times if it's a stubborn civilian who is believed has the interest of escaping', the person would be tied up and hit on the back of their head until they died. 4252

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<sup>4243</sup> P-0142: T-70, p. 46, lines 16-19.

<sup>4244</sup> P-0142: T-70, p. 46, lines 20-21.

<sup>4245</sup> P-0142: T-70, p. 47, lines 9-20.

<sup>4246</sup> P-0145: T-144, p. 37, lines 2-8.

<sup>4247</sup> P-0410: T-151, p. 61, lines 16-17.

<sup>4248</sup> P-0410: T-151, p. 61, lines 19-24; T-152, p. 41, lines 11-23. See also T-151, p. 60, lines 1-4.

<sup>4249</sup> P-0406: T-154, p. 53, lines 5-16.

<sup>4250</sup> P-0406: T-154, p. 53, lines 17-20.

<sup>4251</sup> P-0406: T-154, p. 53, lines 20-23.

<sup>4252</sup> P-0406: T-154, p. 53, line 24 – p. 54, line 5.
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- 1681. The Chamber, noting but rejecting on the basis of its analysis the related argument of the Defence, 4253 considers that the evidence, coming from several credible sources, allows it to reconstruct in detail the order issued by Dominic Ongwen. Thus, the Chamber finds that Dominic Ongwen ordered the fighters to attack Lukodi IDP camp and everyone present at that location, and to take food from the camp. Based on the terms of this order as established on the basis of the evidence, the Chamber considers that it logically included targeting civilians.
- 1682. The Chamber notes that P-0018 testified that the original plan was to attack Awach, but because there were many government soldiers at Awach this was changed and it was decided to attack Lukodi instead. 4254 Immediately thereafter, P-0018 accepted as truthful her prior statement during the interview with the Prosecution to the effect that at the meeting, Dominic Ongwen ordered LRA fighters to attack Gwendia and to kill evervone. 4255 According to P-0018, the decision to attack Lukodi was made by those who were leading the attackers, 'because we could not go back without food'. 4256
- 1683. On this specific point, in light of the detailed evidence of the witnesses who testified about the orders given by Dominic Ongwen to the attackers before they departed, the Chamber does not accept the evidence of P-0018. 4257 It is unreasonable to conclude that a person in the relatively low position in the organisation as she held at that time would know of this fact, as opposed to those witnesses who were in better position to know, in particular due to their relatively higher position or due to their role in the attack. In any case, the Chamber notes that P-0142 specifically denied the proposition that the Lukodi attack was originally planned to take place at Gwendia. 4258
- 1684. The evidence is unequivocal that, on the same occasion as when he gave his instruction for the attack, Dominic Ongwen also appointed Ocaka to lead the attack on the ground. This was stated by P-0205, 4259 who explained that at that point Ocaka was support

⁴²⁵³ See Defence Closing Brief, para. 420 (arguing that '[t]he evidence about the alleged instructions at the RV is so unclear that it fails to demonstrate beyond a reasonable doubt that Mr Ongwen was part of a common plan or engaged in a conduct which would result in a crime'). See also Defence Closing Brief, paras 414-19.

⁴²⁵⁴ P-0018: <u>T-68</u>, p. 55, line 24 – p. 56, line 2.

⁴²⁵⁵ P-0018: <u>T-68</u>, p. 56, line 3 – p. 57, line 6. ⁴²⁵⁶ P-0018: <u>T-69</u>, p. 8, lines 4-7.

⁴²⁵⁷ See, in this regard, <u>Defence Closing Brief</u>, para. 413.

⁴²⁵⁸ P-0142: T-72, p. 71, lines 12-16.

⁴²⁵⁹ P-0205: T-47-CONF, p. 54, lines 17-19.

commander in Sinia brigade. ⁴²⁶⁰ P-0142 also testified that Captain Ocaka was selected as the overall commander of the attack. ⁴²⁶¹ He testified that he did not know how he had been identified, but that it was Dominic Ongwen who announced the appointment. ⁴²⁶² Like P-0205, P-0142 testified that Ocaka was in Sinia at the time, ⁴²⁶³ and that he was 'member of the support'. ⁴²⁶⁴

1685. There is also evidence that deputies were appointed for Ocaka. According to P-0205, Dominic Ongwen appointed two deputies for Ocaka, Ojok Kampala and Oyenga,

.4265 P-0205 also testified that a Sinia brigade officer, Kobbi, and a soldier from Gilva known as Ojara, commanded attackers on the ground.4266

1686.P-0142 testified that Ocaka's second-in-command for the attack was to be Abonga Won Dano, Ojara⁴²⁶⁷ and also confirmed that Oyenga went for the attack.⁴²⁶⁸

1687.

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4270 P-0145 testified that he did not

know that Ocaka was commander of the attack on the ground.⁴²⁷¹ In this context, the Chamber recalls its finding in relation to the general credibility of P-0145, to the effect that it would not rely on this witness for any fact concerning the unfolding of the attack on Lukodi IDP camp on the ground.

1688.

Without more specific

⁴²⁶⁰ P-0205: T-47-CONF, p. 54, lines 3-4.

⁴²⁶¹ P-0142: T-70, p. 44, lines 19-21.

⁴²⁶² P-0142: <u>T-70</u>, p. 46, lines 2-12, p. 47, lines 21-23.

⁴²⁶³ P-0142: T-70, p. 44, lines 22-25.

⁴²⁶⁴ P-0142: T-70, p. 45, line 23 – p. 46, line 1.

⁴²⁶⁵ P-0205: T-47-CONF, p. 54, lines 20-22.

⁴²⁶⁶ P-0205: T-47-CONF, p. 55, lines 7-12. P-0205 testified that Kobbi was from the Terwanga battalion; P-0205: T-47-CONF, p. 59, lines 11-21.

⁴²⁶⁷ P-0142: <u>T-70</u>, p. 45, lines 1-4.

⁴²⁶⁸ P-0142: <u>T-70</u>, p. 45, lines 13-16.

⁴²⁷¹ P-0145: T-144, p. 27, line 19 – p. 28, line 3.

findings being necessary, the Chamber concludes that, in addition to Ocaka as commander on the ground, Ojok Kampala, Oyenga, Kobbi, Ojara and Abonga Won Dano participated in the attack in leadership roles.

- 1689.P-0410 also testified about further specific events which took place before the attack commenced. In particular, he stated that after the RV there was a reconnaissance mission which came back with a report. P-0410 also stated that after the RV before the Lukodi attack those who were going were smeared with oil in the presence of Dominic Ongwen and all the commanders. He explained that the commanders stayed close to those who were going for battle, because they wanted to encourage the attackers. P-0410
- 1690. Finally, the Chamber notes the testimonies of two further witnesses who, albeit not providing much detail, corroborate the above evidence in relation to the preparation of the attack on Lukodi IDP camp.
- 1691.P-0054 stated that prior to the attack on Lukodi IDP camp, he was 'under Atoo Hills' with 'those of Dominic'. 4275 According to his testimony, Dominic Ongwen selected people and they went to Lukodi, under the command of Ocaka and Ocan Nono as 2IC. 4276 Asked how he knew that Dominic Ongwen was the one to give instructions, P-0054 stated that 'he [was] responsible for selecting the people'. 4277 The Chamber is attentive to the fact that P-0054 testified firmly that Gilva brigade under Tulu was not involved. 4278 In light of the specific evidence to the contrary, including from persons who participated in the attack after being selected from the Gilva sickbay, the Chamber does not accept the evidence of P-0054 on this specific point, considering it entirely plausible that P-0054 simply did not get to know of the fact.
- 1692.P-0101, who was Dominic Ongwen's so-called 'wife' at the time, testified that the Gilva group of Tulu and Sinia soldiers selected by Dominic Ongwen went for an attack in

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⁴²⁷² P-0410: <u>T-151</u>, p. 61, line 25 – p. 62, line 5.

⁴²⁷³ P-0410: <u>T-151</u>, p. 62, lines 6-15.

⁴²⁷⁴ P-0410: <u>T-151</u>, p. 62, lines 10-12.

⁴²⁷⁵ P-0054: <u>T-93</u>, p. 30, lines 4-8. It is noted that 'under Atoo Hills' is a geographical indication compatible with the rest of the evidence in relation to where the preparations for the attack on Lukodi IDP camp took place.

⁴²⁷⁶ P-0054: <u>T-93</u>, p. 30, line 8 – p. 31, line 11. It is clear from this evidence that P-0054 himself did not go to attack Lukodi.

⁴²⁷⁷ P-0054: <u>T-93</u>, p. 31, lines 21-25.

⁴²⁷⁸ P-0054: <u>T-94</u>, p. 19, lines 2-12.

Lukodi. 4279 She stated that she was not as such present for the selection of soldiers, but that she was nearby 'in the kitchen' and 'overheard them selecting' soldiers. 4280 She testified that Ocaka was the leader 'from Dominic's side', and confirmed that Ojok Kampala also went for the attack. 4281

1693. The Chamber also notes that P-0214 testified that she heard of the attack on Lukodi, but that she did not hear Dominic Ongwen issue any orders for it and that 'none of us' left the location where they were. 4282 Considering that the witness had limited insight into military operations, the Chamber does not attribute any consequence to her testimony on this point.

iii. Departure of attackers for Lukodi

After receiving the orders given by Dominic Ongwen, the attackers left for Lukodi IDP camp. They arrived at Lukodi in the evening of the following day after setting out. Dominic Ongwen stayed behind at the location of the pre-attack gathering.⁴²⁸³

1694. Several witnesses consistently described the movement of the attackers from the gathering point to Lukodi.

1695.P-0205 testified that after Dominic Ongwen gave his instructions, the attackers set out for Lukodi. 4284 The journey took one and a half to two days – they arrived at Lukodi in the evening of the second day. 4285 P-0142 identically testified that the attackers walked the entire day and arrived at Lukodi the next day in the evening. 4286 The witness estimated the distance from the meeting point at 15-20 kilometres. 4287 He also stated that on the way the attackers obtained some information from the civilians and reorganised the fighters according to Ocaka's instructions. 4288

- 1696.P-0018 testified that on the way to Lukodi, the attackers passed the foothills of the Atoo Hills, then crossed the Paicho road before stopping for the night. The next morning they crossed the Awach road and went to 'the place where we were supposed to work'.
- 1697. The presence of Dominic Ongwen on the ground for the attack on Lukodi IDP camp is not alleged by the Prosecutor, and indeed the evidence confirms that after having delegated command for the attack, he did not leave the gathering point with the attackers.
- 1698.P-0142 stated that Dominic Ongwen remained in the position from which he had sent the attackers. 4291 P-0406 stated that he saw Dominic Ongwen at the position where people were selected for the attack, but not thereafter. 4292 P-0101 also stated that Dominic Ongwen himself did not go to Lukodi. 4293
- 1699. At the same time, the Chamber notes that P-0145 stated that to his knowledge, Dominic Ongwen went to Lukodi, because 'he could not leave his people behind'. 4294 He did not directly answer the question whether he saw Dominic Ongwen at Lukodi. 4295 Noting its above findings as to the reliability of P-0145's account of the events on the ground during the attack, it is clear to the Chamber that the evidence of P-0145 is speculative on this specific point, and as such the Chamber does not rely on the witness in this regard.

iv. The LRA's attack against the civilian population of Lukodi IDP camp

On or about 19 May 2004, LRA fighters, sent by Dominic Ongwen, attacked Lukodi IDP camp. 4296

In the evening on the day of the attack, at least 80 LRA fighters, including fighters under the age of 15, executed Dominic Ongwen's orders and armed with an assortment of

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⁴²⁸⁹ P-0018: <u>T-69</u>, p. 3, lines 20-25.

⁴²⁹⁰ P-0018: <u>T-69</u>, p. 3, lines 20-25.

⁴²⁹¹ P-0142: <u>T-70</u>, p. 58, lines 13-17.

⁴²⁹² P-0406: <u>T-154</u>, p. 55, lines 3-9. *See also* <u>T-155</u>, p. 58, lines 9-12 (stating that they started walking together with Dominic Ongwen, but that eventually he could not establish whether Dominic Ongwen was still in the line or had dropped out).

⁴²⁹³ P-0101: <u>T-13</u>, p. 28, lines 19-21.

 $^{^{4294}}$ P-0145: $\overline{\text{T-143}}$, p. 16, line 25 – p. 17, line 5.

⁴²⁹⁵ P-0145: <u>T-143</u>, p. 17, lines 6-9.

⁴²⁹⁶ Para. 178 above.

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weapons, including an RPG, an SMG, a PK, AK-47s, and a '12', as well as machetes/pangas, attacked Lukodi camp from the east. 4297

1700. Regarding the number of LRA fighters that attacked Lukodi IDP camp, LRA fighter P-0142, who participated in the attack on Lukodi, estimated that between 100 and 150 LRA soldiers went to attack Lukodi. 4298 LRA attacker P-0018 testified that there were about 80 attackers that went to Lukodi IDP camp. 4299 The Chamber notes P-0406's testimony that 40-50 LRA fighters attacked Lukodi IDP camp. 4300 However, the witness also stated that he was just estimating because 'you are not allowed to count while you are there'. 4301 P-0172 estimated the number of troops departing from Gilva at 50 to 60. 4302 P-0035 and camp resident David Komakech testified that about 100 rebels attacked Lukodi camp. 4303 The Chamber is satisfied that at least 80 LRA fighters attacked Lukodi IDP camp during the attack at issue.

1701. The evidence also shows that children younger than 15 years old participated in the attack on Lukodi IDP camp. The Chamber recalls P-0142's testimony that there were children among the unarmed group of attackers that participated in the Lukodi attack, including persons in the 'age category 14' from 11 to 20 years old and that the armed fighters ranged from 11 to 35 years old. 4304 Concerning the attackers aged 11-14 years old, P-0142 stated that some of them were already initiated as soldiers and were assigned as fighters, had guns, and were able to fight and others were not yet initiated and were primarily tasked with carrying food items. 4305

1702. P-0142's testimony is corroborated by P-0406's testimony that LRA attackers from the ages of 12 to 14 were banging jerry cans to make noise to provide morale for the attacking force. 4306 Similarly, P-0410 corroborates P-0142's testimony, stating that armed LRA

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<sup>4297</sup> Para. 180 above.
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⁴²⁹⁸ P-0142: <u>T-70</u>, p. 48, lines 20-22.

⁴²⁹⁹ P-0018: <u>T-68</u>, p. 62, line 6-8.

⁴³⁰⁰ P-0406: <u>T-154</u>, p. 54, lines 10-14; <u>T-155</u>, p. 58, lines 15-18.

⁴³⁰¹ P-0406: <u>T-154</u>, p. 54, lines 10-14; <u>T-155</u>, p. 58, lines 15-18.

⁴³⁰² P-0172: <u>T-113</u>, p. 22, lines 10-12 (referring to the number of combined troops, including Tulu's and those Dominic Ongwen had come with).

⁴³⁰³ P-0035 Statement, UGA-OTP-0036-0082-R01, at para. 37; P-0185 Statement, UGA-OTP-0233-1020-R01, at para. 15.

⁴³⁰⁴ P-0142: <u>T-71</u>, p. 6, lines 9-21.

⁴³⁰⁵ P-0142: <u>T-71</u>, p. 6, line 22 – p. 7, line 2.

⁴³⁰⁶ P-0406: <u>T-154</u>, p. 54, lines 10-14, p. 59, lines 9-13.

fighters, about 14 years old were among the forces that went to the barracks to attack the government soldiers. 4307

- 1703. As to the weapons LRA fighters used in the camp, credible and consistent evidence shows that the LRA forces attacked Lukodi IDP camp with various weapons, including an RPG, an SMG, a PK, AK-47, and a '12', as well as machetes/pangas. 4308
- 1704. While the Chamber notes that witnesses differ in their testimony as whether the attack on Lukodi IDP camp happened on 19 or 20 May 2004, 4309 the Chamber is satisfied that on or about 19 May 2004, Lukodi camp was attacked. The Chamber is also satisfied that the evidence indicates that the attack began in the late afternoon or early evening; witnesses testify to a range of time between 16:00 and 18:45.4310

⁴³⁰⁷ P-0410: <u>T-151</u>, p. 63, line 22 – p. 64, line 12.

⁴³⁰⁸ P-0142: T-70, p. 59, line 25 – p. 60, line 3; P-0406: T-154, p. 55, lines 10-12; P-0410: T-151, p. 64, lines 13-17. This is corroborated by the results of a government investigation after the attack. *See* P-0017 Statement, UGA-OTP-0036-0007-R01, at para. 207 (stating that a UPDF commander informed P-0017 that the LRA used heavy and small arms to attack, and that 207 cartridges, nine bullets, one burnt magazine and a part of an RBG shell were recovered from the camp site); P-0036 Statement, UGA-OTP-0036-0042-R01, at para. 130 (stating that there were lots of shells from big bullets lying on the ground in the civilian parts of the camp). *See also* P-0035 Statement, UGA-OTP-0036-0082-R01, at para. 39; P-0024: T-77, p. 34, line 23 – p. 35, line 14.

⁴³⁰⁹ Several witnesses testify that the camp was attacked on 19 May 2004. P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 18 (stating that she knows the date because 'everybody in Lukodi remembers this day and every year there is a memorial in Lukodi for the people who died on this day'); P-0024: T-77, p. 21, lines 17-18; P-0187: T-164, p. 8, lines 7-9; P-0185 Statement, UGA-OTP-0233-1020-R01, at paras 14, 31 (stating that he was not certain as he found the exact date difficult to remember and that he thought that the police noted 20 May 2004 as the day of the attack in a statement he gave to them because they took his statement on 20 May 2004, but that was the day after the attack). Still, others stated that the camp was attacked on 20 May 2004, P-0026 Statement. UGA-OTP-0069-0018-R01, at para. 17; P-0060 Statement, UGA-OTP-0069-0034-R01, at para. 52; P-0017 Statement, UGA-OTP-0036-0007-R01, at para. 205. See also Uganda police report on Lukodi attack, UGA-OTP-0023-0022, at 0022. The Chamber also notes that P-0035 did not give a specific date, but stated that the attack took place 'between the 20 and the 22 May 2004'; P-0035 Statement, UGA-OTP-0036-0082-R01, at para. 34. ⁴³¹⁰ P-0187: T-164, p. 8, lines 7-9 (the attack took place between 16:00 and 17:00); P-0406: T-154, p. 62, lines 1-7 (the LRA attack on Lukodi IDP camp began around 17:00-18:00); P-0410: T-151, p. 59, lines 12-13 (the LRA reached Lukodi between 17:00 and 18:00); P-0018: T-69, p. 9, lines 10-14 (the LRA entered the camp around 18:00); P-0026 Statement, UGA-OTP-0069-0018-R01, at paras 17-19 (stating that at around 18:00 hours while preparing a meal, she heard the rebels blowing whistles and making an alarm); P-0185 Statement, UGA-OTP-0233-1020-R01, at para. 14 (approximately 18:00); P-0035 Statement, UGA-OTP-0036-0082-R01, at para. 34 (between 18:30 and 18:45); P-0142: <u>T-70</u>, p. 60, lines 19-22 (around 18:45).

1705. Credible and consistent evidence demonstrates that LRA forces entered Lukodi IDP camp from the east, 4311 in two groups, one group going to engage the soldiers at the barracks and the second heading to the civilian areas. 4312

The LRA fighters went into the civilian areas of the camp and some fighters went to barracks to fight the government soldiers. Government soldiers engaged the LRA fighters and then quickly fled. The remaining civilian population in the camp were left defenceless. LRA fighters targeted civilians within the camp with acts of violence.⁴³¹³

1706. The Chamber is satisfied that the evidence shows that around 30 government forces were present in the camp the day of the Lukodi IDP camp attack. P-0035, indicated that there were 30 LDU soldiers in Lukodi IDP camp. The Chamber is of the view that P-0035 is best placed to have credible information as to how many government troops were in Lukodi at the time of the attack.

1707. While there is indication that there were rumours of LRA activity in the area in the days before the attack on Lukodi IDP camp, ⁴³¹⁵ the evidence demonstrates that the camp residents and government soldiers present in the camp were caught unaware when the attack actually began. ⁴³¹⁶

1708. Santo Ojera, one of the camp leaders, testified that the LRA entered the Lukodi IDP camp whistling, then shouting and finally shooting. 4317 Similarly, P-0187 testified that when the attack began the LRA were shouting on the top of the voices, banging jerry cans, and

⁴³¹¹ P-0187: <u>T-164</u>, p. 8, lines 2-6, p. 15, lines 4-7, p. 19, line 25 – p. 20, line 6; P-0142: <u>T-71</u>, p. 9, lines 8-16; P-0035 Statement, UGA-OTP-0036-0082-R01, at para. 35; P-0026 Statement, UGA-OTP-0069-0018-R01, at paras 19-20

⁴³¹² P-0205: T-47-CONF, p. 55, lines 3-4; P-0410: <u>T-151</u>, p. 59, lines 16-19; <u>T-152</u>, p. 47, line 20 – p. 48, line 2; P-0018: <u>T-69</u>, p. 9, lines 6-9; P-0187: <u>T-164</u>, p. 10, lines 1-3; P-0060 Statement, UGA-OTP-0069-0034-R01, at para. 54; P-0060's sketch of Lukodi IDP camp, UGA-OTP-0069-0048. *See* P-0142: <u>T-70</u>, p. 58, lines 7-12.

⁴³¹⁴ P-0035 Statement, UGA-OTP-0036-0082-R01, at para. 12. *See also* P-0024: <u>T-77</u>, p. 19, lines 17-24; <u>T-78</u>, p. 19, line 17 – p. 20, line 1; P-0187: T-164, p. 18, lines 7-12.

⁴³¹⁵ P-0024: <u>T-78</u>, p. 33, line 18-21; P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 19.

⁴³¹⁶ P-0035 Statement, UGA-OTP-0036-0082-R01, at para. 34 (explaining that unlike the other times the LRA attacked, the day of the May 2004 attack, no one advised the soldiers that the rebels were around, and that he was alerted to the attack when he noticed that civilians were running coming from the north eastern part of Lukodi towards the military's position); P-0026 Statement, UGA-OTP-0069-0018-R01, at paras 17-19 (stating that she was cooking in her home when she heard the rebels blowing whistles and making an alarm, followed by civilians shouting 'lakwena, lakwena' and gunshots); P-0187: T-164, p. 9, lines 16-25 (testifying that she was returning home on her bicycle after shopping for vegetables when she encountered people running); P-0185 Statement, UGA-OTP-0233-1020-R01, at para. 16 (stating that he was spending time with a friend in a shop at the trading centre of Lukodi camp when he heard the gunshots).

⁴³¹⁷ P-0060 Statement, UGA-OTP-0069-0034-R01, at para. 55.

blowing whistles, that was how the people in the camp realised that the camp would be under attack. ⁴³¹⁸ P-0187 heard gunshots at the start of the attack and saw some houses being torched. ⁴³¹⁹ P-0187 testified that the shooting began on the eastern side of the camp. ⁴³²⁰

1709. Camp resident P-0024 described the scene as the attack began. P-0024 was at home around evening time, in a civilian area close to the barracks, ⁴³²¹ when she saw a group of people coming. ⁴³²² P-0024 assumed that they were government soldiers coming to reinforce and assist the soldiers already stationed in the camp to protect the camp residents from LRA activity. ⁴³²³ P-0024 explained 'all of a sudden we saw so many soldiers and there were bullets and their machetes and they were cutting people'. ⁴³²⁴ P-0024 took shelter inside a house, and explained:

A. We entered the house because you couldn't run at that time.

Q. Why couldn't you run?

A. Because there were too many. It was very abrupt and there was nowhere to flee. Those who fled were being shot. Many of them died. The ones who were fast enough were able to escape, but for me who had a child it was difficult to run. We were too close, they were already too close to us and we could not run. 4325

1710.LRA fighter P-0410 corroborates the camp residents' account of an ordinary day interrupted. P-0410, who testified to being part of the force attacking the barracks, 4326 stated that when his group of LRA fighters headed to the barracks, some government soldiers were playing football with civilians near the camp, and had placed their guns down, not noticing the presence of the LRA forces. 4327 The LRA fighters fired on these soldiers and pushed them towards the barracks, also collecting the guns of the fleeing

soldiers. 4328 The Chamber finds this aspect of P-0410's testimony detailed, graphic and credible.

- 1711. In line with P-0410's testimony, other witnesses indicate that as they entered the camp from the eastern side, the LRA fighters started shooting, attacking the barracks and civilians alike. Camp resident David Komakech testified that the shooting started at the very edge of the camp, where there were only civilians. 4329 According to David Komakech, there were government soldiers amongst the Lukodi population but the LRA fighters were shooting at everybody, including civilians. 4330
- 1712. Camp resident Pyerina Ayaa could hear gunshots and it seemed to her that the soldiers in the barracks and the attackers, based in the east, were shooting at each other. 4331 She testified that eventually the gunshots coming from the direction of the barracks stopped and she could only hear gunshots from the east. 4332
- 1713, Other LRA fighters further described the course of the attack. P-0142 testified that the LRA fighters went to the primary school, which had been converted into the government soldiers' barracks and faced the soldiers. 4333 P-0142 could not clearly recall which party started shooting, but described a short exchange of fire between the government soldiers and the LRA fighters. 4334 Similarly, P-0406 testified that as the LRA fighters went towards the barracks, there was an exchange of fire with the government soldiers. 4335 According to P-0410, the LRA forces had heavier weaponry than the government soldiers.4336
- 1714. P-0205 testified that he was in the group that went to attack the barracks along with LRA commanders Ocaka and Ojok Kampala. 4337 According to his testimony, the LRA fighters

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<sup>4328</sup> P-0410: <u>T-151</u>, p. 59, lines 16-20; <u>T-152</u>, p. 48, lines 2-4, p. 49, lines 12-14.
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⁴³²⁹ P-0185 Statement, UGA-OTP-0233-1020-R01, at para. 14.

⁴³³⁰ P-0185 Statement, UGA-OTP-0233-1020-R01, at paras 14-15.

⁴³³¹ P-0026 Statement, UGA-OTP-0069-0018-R01, at para. 20.

⁴³³² P-0026 Statement, UGA-OTP-0069-0018-R01, at para. 21.
⁴³³³ P-0142: <u>T-70</u>, p. 61, lines 15-19; <u>T-71</u>, p. 9, line 15 – p. 10, line 1; T-71-CONF, p. 63, lines 13-16. *See* P-0142's annotated map of Lukodi, UGA-OTP-0251-0642.

⁴³³⁴ P-0142: <u>T-70</u>, p. 60, lines 19-22, p. 61, line 9 – p. 62, line 4.

⁴³³⁵ P-0406: T-154-CONF, p. 55, lines 19-24.

⁴³³⁶ P-0410: <u>T-152</u>, p. 51, lines 12-16.

⁴³³⁷ P-0205: T-47-CONF, p. 54, lines 20-22, p. 55, lines 5-7.

- shot at the government soldiers. 4338 P-0205 testified that some government soldiers stayed behind and were hiding behind the school so the LRA shot at those soldiers. 4339
- 1715. The evidence shows that the exchange of fire between the LRA fighters and the government soldiers was brief and that the government fighters quickly fled. P-0142 testified that the exchange of fire 'didn't take very many minutes', because the government soldiers fled into the civilian camp. 4340
- 1716.P-0142 testified that after the government soldiers fled, the LRA fighters went to the barracks, searched it, and set it on fire, burning all the homes within the barracks. 4341 In line with this testimony, P-0205 testified that when the LRA fighters attacked the government soldiers hiding behind the school, the fighting 'did not take long before [the LRA fighters] saw the whole place burning'. 4342 P-0205 also testified that the LRA fighters burnt the soldiers' homes and took everything that they found there. 4343
- 1717.P-0410 corroborates the other LRA fighters' accounts testifying that there were only a few government soldiers in the camp and although they tried to resist the attack, it was easy to overrun the barracks. 4344 P-0410 testified that the government soldiers ran away after being overrun by the LRA and the civilians remained in the camp. 4345 Camp resident P-0024 corroborates this account; she testified that as the LRA attackers approached the camp, the government soldiers fled. 4346
- 1718. The Chamber is convinced by the overwhelming evidence that government soldiers quickly fled the camp after a short engagement with LRA fighters in the barracks and in the camp.

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⁴³³⁸ P-0205: T-47-CONF, p. 55, lines 10-12.

⁴³³⁹ P-0205: T-47-CONF, p. 55, lines 14-16.

⁴³⁴⁰ P-0142: <u>T-70</u>, p. 62, lines 1-7; <u>T-71</u>, p. 11, lines 20-24.

⁴³⁴¹ P-0142: <u>T-70</u>, p. 62, lines 14-18.

⁴³⁴² P-0205: T-47-CONF, p. 55, lines 15-16.

⁴³⁴³ P-0205: T-47-CONF, p. 55, lines 13-16.

⁴³⁴⁴ P-0410: T-151, p. 59, lines 20-23.

⁴³⁴⁵ P-0410: <u>T-151</u>, p. 59, lines 22-24.

⁴³⁴⁶ P-0024: <u>T-77</u>, p. 22, lines 18-23; <u>T-78</u>, p. 39, lines 1-23. *See also* P-0026 Statement, UGA-OTP-0069-0018-R01, at paras 21, 37 (testifying that while hiding in the bush in the night, she heard the arrival of the government soldiers reinforcing the camp, and heard them say that the Lukodi government soldiers had all run away).

- 1719.P-0142 testified that some of the LRA fighters followed the retreating government soldiers into the civilian camp and continued firing as they moved into the camp. 4347
- 1720. Meanwhile, as one group of the LRA fighters were at the barracks, another group of attackers, some armed and some unarmed, went into the civilian areas of the camp and targeted the civilians within. P-0142 testified that the unarmed LRA soldiers, accompanied by some armed LRA soldiers, scattered into the camps, to loot items and come back. Similarly, P-0205 testified that as some fighters went to the barracks, the 'people who were sent to look for food also went to look for food' under the command of a Sinia brigade officer, Kobbi, and a soldier from Gilva known as Ojara. As of the camps are some fighters.
- 1721. While some civilians tried to hide inside homes in the civilian area, ⁴³⁵⁰ others moved to flee from the camp. ⁴³⁵¹
- 1722. The evidence is clear that in its attack on Lukodi IDP camp, the LRA fighters sent by Dominic Ongwen followed his orders and targeted civilians on his orders, committing numerous acts of violence against them.
- 1723.P-0406 testified that he saw people being beaten by an LRA fighter. 4352 Similarly, P-0410 testified:

[The LRA] started by burning the houses and then shooting civilians and the soldiers. And then later, some people were abducted. They looted food and looted a few items from the barracks. 4353

1724. The Chamber also notes that the credible, consistent and mutually corroborative evidence of the civilians about what they observed within the camp corroborates P-0410's account.

⁴³⁴⁸ P-0142: <u>T-70</u>, p. 63, line 17 – p. 65, line 11.

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⁴³⁴⁷ P-0142: <u>T-70</u>, p. 63, lines 3-8.

⁴³⁴⁹ P-0205: T-47-CONF, p. 55, lines 7-12. P-0205 testified that Kobbi was from the Terwanga battalion; P-0205: T-47-CONF, p. 59, lines 11-21.

⁴³⁵⁰ P-0026 Statement, UGA-OTP-0069-0018-R01, at paras 18, 22-29 (testifying that, together with two of her children, she remained in her home with the door closed until the attackers entered. *See also* para. 1757 below.); P-0024: <u>T-77</u>, p. 23, line 20 – p. 24, line 14; <u>T-78</u>, p. 36, line 21 – p. 37, line 3 (explaining that she hid in her house together with five of her own and three of her neighbour's children); P-0187: <u>T-164</u>, p. 10, line 1-9 (stating that she hid in a house with two other civilian women); P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 21 (stating that when she and her sister-in-law heard the noises, they hid behind the door, and that they could hear gunshots outside).

⁴³⁵¹ P-0060 Statement, UGA-OTP-0069-0034-R01, at paras 54, 57-59 (stating that he ran west, crossing and then following the Ororo stream, and that he met other people who were running just like him).

⁴³⁵² P-0406: <u>T-155</u>, p. 61, lines 22-25. *See also* P-0026 Statement, UGA-OTP-0069-0018-R01, at para. 44 (Pyerina Ayaa testified that after the attack she saw one injured woman Atim had been beaten all over her body). ⁴³⁵³ P-0410: T-151, p. 60, lines 6-8.

The Chamber also recalls its finding above that Dominic Ongwen's order for the attack on Lukodi IDP camp included the instruction to target everyone present in Lukodi. 4354 Altogether, the evidence clearly demonstrates that the LRA fighters attacking Lukodi IDP camp targeted civilians. At this juncture, the Chamber provides a more specific assessment of the available evidence concerning the numerous acts of violence, looting and destruction of property perpetrated by LRA fighters against civilians in the course of the attack on Lukodi IDP camp, as well as in its immediate aftermath. As also emerges from the evidence analysed below, the victims targeted by the LRA attackers were civilian residents of the camp.

LRA fighters killed civilians in Lukodi IDP camp: men, women and children. At least 48 civilians died as a result of injuries sustained in the attack. Civilians were shot, burnt and beaten to death.⁴³⁵⁵

The following persons were among those killed by the LRA within the camp: Keneri Okot, Jeneth Lakot, Kilama Aloyo, Kilama Kidega, Jackline Anee, Milly Anek, Akello Acii, Innocent Okello, Ojoko, unnamed man shouting at the LRA, Christine Ajok, Odong Apiyo, David Otim and an unnamed stabbing victim, Agwesa Odoch, Beatrice's son, Charles Odong, Jasinta Aol, Jojina Angom, Lalobo's son, Tezira Oroma, Ojara, Okwera, Olwedo, Ocaka's wife and one unnamed teenage girl, Onencan, Witness P-0024's mother and Min Ojoko, Ocii, Atim, Charles Anywar, Danger Joseph Oryem, James Opiro, Jeneth Lalur Akello, Joseph Ojara, Obedi, Rose Kiter, Tabicha Alum, Vincent Ocaya and Santa Oroma.⁴³⁵⁶

LRA fighters attempted to kill at least 11 other civilians who managed to survive. The fighters shot at civilians and in some cases wounded them. Civilians were beaten and left for dead. Civilians, including children, were thrown into burning houses. The following persons were among those who survived these LRA acts of violence: Pyerina Ayaa, Florence Adong, Adong Paska, Piloya, Joel Opiyo, Ojoko, an unnamed elderly woman, Nyeko, two unnamed girls who had been shot and an unnamed girl with a burnt leg. 4357

1725. The Prosecution argues that the LRA intentionally targeted the civilians in Lukodi IDP camp in both deliberate and attempted killings and the deaths were not caused by 'crossfire'. The Defence submits that the Prosecution has failed to demonstrate that civilians were deliberately targeted by the LRA. The evidence is clear that LRA fighters purposely killed or attempted to kill persons during the May 2004 attack on

⁴³⁵⁴ See section IV.C.8.ii above.

⁴³⁵⁵ Para. 182 above.

⁴³⁵⁶ Para. 183 above.

⁴³⁵⁷ Para. 184 above.

⁴³⁵⁸ Prosecution Closing Brief, paras 315, 336.

Defence Closing Brief, paras 423, 429.

Lukodi IDP camp. Both LRA fighters and camp residents testify that the LRA burnt, shot and beat people within the camp.

1726. As camp resident P-0187 testified in response to a question as to whether the LRA's purpose in the camp was to collect food:

> But talking about them coming to collect food, well, I was just guessing, they could have heard that Caritas had distributed flour and cooking oil. [...] But if they had come for food only, they would not have killed people, they would not have torched houses. They came prepared and ready to kill. They needed--they wanted to kill people. If they only wanted food, they would have collected only food. 4360

1727. Camp resident P-0024 testified that while she was abducted by the LRA fighters, she heard the LRA fighters laughing and joking about the attack in Lukodi:

> I heard them laughing. I heard them saying that they should not have wasted their guns in Lukodi, they should have just hit people on their skulls, they should have beaten the soldiers, they should have killed the soldiers, they should have killed the civilians as well. That's what they were doing. They said they wasted their bullets. That's what they were doing, they were joking, they were laughing about the attack, saying that they should have killed us, they should have used other means to kill us. We were tied up. At the time we felt that we were dead anyway, we felt that we were walking dead. There was nothing that we could do. 4361

1728. Similarly, camp resident Lilly Apiyo testified that during her abduction, a commander told the abductees:

> [W]e were supposed to kill all of you. In Atiak everyone was killed but I am going to spare you but we have killed all your children and the husbands that you left in the camp. We are also going to kill all the men we abducted. I am going to release you to go back to the camp and bury your children and husbands. 4362

1729. In testimony consistent with the above accounts, camp resident David Komakech testified that while he was hiding from the LRA during the attack, he could hear the LRA saying 'kill all of them anyone you find you should kill'. 4363 In this context, the Chamber recalls P-0142's response when asked whether shooting a civilian during the course of an attack would constitute an offence, P-0142, one of Dominic Ongwen's Sinia fighter,

⁴³⁶⁰ P-0187: <u>T-165</u>, p. 31, lines 3-21.

⁴³⁶¹ P-0024: T-78, p. 51, lines 12-20.

⁴³⁶² P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 42.

⁴³⁶³ P-0185 Statement, UGA-OTP-0233-1020-R01, at paras 16, 17.

said that 'nobody would see it as a crime if a civilian is injured or if a civilian is shot at'.4364

- 1730. The evidence shows that the LRA shot, beat or cut people, killing them, in Lukodi IDP camp. P-0406, one of Dominic Ongwen's Sinia fighters, testified that when abducted people tried to run away, they were shot and killed by the LRA. 4365 P-0406 testified that
- 1731.P-0410, another of Dominic Ongwen's Sinia fighters, testified that the LRA shot civilians at the camp. 4367 P-0410 testified that he saw civilians that were already dead and he saw other civilians being shot. 4368 Asked if he knew how the dead civilians had been killed, P-0410 testified that they had been shot or burnt in houses. 4369 P-0410 testified that while moving around '[s]ometimes when you are walking along the road, you jump over people's corpses and you keep on moving. You find another corpse; you jump over it and keep on moving. People died from bullet shots, people died from bombs'. 4370
- 1732. Camp resident P-0024 explained that in the course of the attack, the LRA fighters started shooting into the houses, ⁴³⁷¹ 'outside there were so many bullets that we could hear, there was a lot of smoke everywhere'. 4372 P-0024 testified that there were bullets and the LRA were cutting people. 4373 P-0024 described what she observed as she was hiding away from the LRA during the attack:

[W]e lay down [in the house] hoping that they wouldn't come to us because we were not seeing what was taking place in the compound. All we could hear were bullets. And you could hear them cutting people into pieces. And there were a lot of noise being made. They were laughing while they were cutting people into pieces. And they were saying that they shouldn't have wasted their bullets in Lukodi, they should just hit people with the clubs and cut them with machetes until

⁴³⁶⁴ P-0142: T-71, p. 25, lines 18-21.

⁴³⁶⁵ P-0406: T-154, p. 56, lines 12-16. See P-0406's sketch of Lukodi IDP camp and the course of the attack, UGA-OTP-0263-2807 (in his annotated sketch of the map of Lukodi and the course of the attack, P-0406 indicates several spots where the bodies of dead civilians were found).

⁴³⁶⁶ P-0406: T-154-CONF, p. 57, lines 3-6.

⁴³⁶⁷ P-0410: <u>T-151</u>, p. 60, lines 6-7.

⁴³⁶⁸ P-0410: T-151, p. 64, lines 20-24. ⁴³⁶⁹ P-0410: T-151, p. 65, lines 1-4, lines 18-20.

⁴³⁷⁰ P-0410: <u>T-151</u>, p. 67, lines 13-15.

⁴³⁷¹ P-0024: T-77, p. 19, line 25 – p. 20, line 11.

⁴³⁷² P-0024: T-77, p. 24, lines 23-25.

⁴³⁷³ P-0024: T-77, p. 22, lines 6-8.

they die. That is what happened. We were helpless and we were hoping that we could be saved. 4374

- 1733. Contrary to what is alleged as a possibility by the Defence, ⁴³⁷⁵ there is no evidence of persons killed in crossfire. As the Chamber discussed above, the evidence shows that there was at most a short exchange of fire between the LRA fighters and the government soldiers stationed in the camp, after which the government soldiers quickly fled. Indeed, it is theoretically possible that civilians could die caught in that exchange, but based on the evidence before the Chamber this is no more than theoretical speculation.
- 1734.P-0142 did not go into the camp and claimed to not have personally witnessed anything that occurred in the camp or any civilian killed, however when asked how the civilians died in the camp, he testified that it would be 'really difficult' for him to say exactly how the civilians died but thought they could have died in the crossfire between the LRA soldiers and government soldiers who fled and went into the civilian area. 4376
- 1735. Similarly, LRA fighter P-0172, who also did not go to the attack, testified that the LRA fighters who returned from the camp told him that it was a 'fierce battle, because they found the soldiers who were guarding the civilians, you needed to first attack the soldiers before reaching the civilians, and the soldiers also had civilians in the crossfire. That is why there were many injuries'. 4377 P-0172 testified that he was told that government soldiers ran away from the battlefront, went behind civilians and started shooting their guns from behind civilians and the civilians were caught in the middle. 4378
- 1736.P-0205, one of Dominic Ongwen's Sinia fighters, testified that it was possible that during the crossfire, some civilians died, but he did not see any deaths personally. 4379 LDU soldier Okello Michael Tookwaro also raised the possibility of civilian deaths by crossfire or from government soldiers. 4380 The Chamber notes that both P-0205 and Okello Michael Tookwaro testified about the presence of a mamba, which fired on the

⁴³⁷⁴ P-0024: <u>T-77</u>, p. 24, line 23 – p. 25, line 8. See P-0024: <u>T-78</u>, p. 37, lines 4-15.

^{4375 &}lt;u>Defence Closing Brief</u>, para. 425.

⁴³⁷⁶ P-0142: T-70, p. 65, line 23 – p. 66, line 4, p. 66, lines 12-15.

⁴³⁷⁷ P-0172: <u>T-113</u>, p. 25, lines 14-20.

⁴³⁷⁸ P-0172: <u>T-114</u>, p. 6, lines 5-14.

⁴³⁷⁹ P-0205: T-51-CONF, p. 17, lines 10-15.

⁴³⁸⁰ D-0072: <u>T-212</u>, p. 38, line 23 – p. 39, line 23.

LRA fighters.⁴³⁸¹ P-0205 however states that the mamba arrived when the LRA fighters had crossed the Unyama River, some distance from the camp.⁴³⁸² Thus, his evidence does not support the contention that the mamba fired in the camp and could have been responsible for the deaths of civilians within the camp. Indeed P-0205 also testified, as discussed below,⁴³⁸³ that he did not see any civilians killed in the course of the attack. Regarding Okello Michael Tookwaro, the Chamber recalls its finding that his testimony regarding what happened during the Lukodi attack is unreliable.⁴³⁸⁴ As such, the Chamber is unable to rely on his testimony in this regard.

- 1737. As for P-0205, P-0172 and P-0142, the Chamber notes that their evidence in this regard is purely speculative. None of these witnesses testified to seeing any civilian die in the attack. Instead, many other witnesses offered credible, eyewitness accounts of what happened in Lukodi IDP camp and none of them testified to seeing a government soldier kill a camp resident, or described circumstances that would establish death in crossfire as a reasonable possibility.
- 1738. Evidence also demonstrates that although government reinforcements came to Lukodi IDP camp the night of the attack, they did not engage with the LRA fighters as the fighters had already left the camp. 4385
- 1739. The Chamber also notes that contrary to the Defence's contention, Martin Kalyemenya's testimony does not suggest that the government soldiers used 'heavy machine guns' in the course of the Lukodi IDP camp attack. 4386 The Defence's argument stretches the witness's testimony to make an unsupported speculation. Further, the witness testified that the shells were collected and that he did not remember what happened to them

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⁴³⁸¹ P-0205: T-47-CONF, p. 55, lines 17-22; D-0072: <u>T-212</u>, p. 38, line 21 – p. 39, line 23.

⁴³⁸² P-0205: T-47-CONF, p. 55, lines 17-22.

⁴³⁸³ See the Chamber's discussion of the reporting of the attack to Dominic Ongwen in paras 1838-1845 below.

⁴³⁸⁴ See the Chamber's discussion of D-0072's testimony in section IV.B.2.v.b.iii above.

⁴³⁸⁵ P-0035 Statement, UGA-OTP-0036-0082-R01, at paras 42, 48-49 (stating that he sent a communication to the battalion HQ asking for reinforcement, which arrived around 45 minutes later by which time the rebels had already left the camp towards the north-eastern direction). *See also* P-0142: T-72, p. 73, lines 19-23 (stating that he did not hear any ground reinforcements with vehicles come during the course of the attack on Lukodi); P-0026 Statement, UGA-OTP-0069-0018-R01, at paras 35-36 (stating that a vehicle with government soldiers arrived at the camp about 30 minutes after she heard the sound of a mamba firing); V-0004: T-173, p. 45, line 13 – p. 46, line 8 (stating that when the ground military forces arrived at the camp, the LRA had already left and that when the mambas came to the camp, they did not fire. 'They only parked there and guarded the place until the next morning').

⁴³⁸⁶ See Defence Closing Brief, paras 426-27. The Defence pointed to P-0036's (Martin Kalyemenya) statement that there were lots of shells from big bullets lying on the ground in the civilians' part of the camp.

afterwards, ⁴³⁸⁷ but at no point stated that the shells went missing as the Defence writes in its closing brief. ⁴³⁸⁸ In this context, the Chamber notes bullet cartridges were collected from the Lukodi campsite. ⁴³⁸⁹

1740. The Chamber also notes the Defence submissions about the location of the Lukodi barracks and the suggestion that the proximity of the barracks and the civilian camp blurred the distinction between the military and civilians for the LRA fighters. As noted in the Chamber's discussion above, LRA fighters entered the camp, attacking on foot, they separated into two groups and clearly attacked both the barracks and the civilian area as ordered by Dominic Ongwen. No LRA fighter testified to being unable to distinguish between the barracks and the civilian areas. On the contrary, the evidence demonstrates that LRA fighters who participated in the attack clearly distinguished the military barracks from the civilian areas of the camp. As albeit quite close to some parts of the civilian camp, was distinguishable from the civilian camp.

⁴³⁸⁷ P-0036 Statement, UGA-OTP-0036-0042-R01, at para. 130.

⁴³⁸⁸ Defence Closing Brief, para. 426.

⁴³⁸⁹ See P-0017 Statement, UGA-OTP-0036-0007-R01, at para. 207 (stating that during the post attack government investigation a UPDF commander informed P-0017 that the LRA used heavy and small arms to attack, 207 cartridges, nine bullets, one burnt magazine and a part of an RBG shell were recovered from the camp site). See also Photographs of collected items, UGA-OTP-0171-0178, UGA-OTP-0171-0179, UGA-OTP-0171-0180, UGA-OTP-0171-0181, UGA-OTP-0171-0182, UGA-OTP-0171-0183, UGA-OTP-0171-0184, UGA-OTP-0171-0185, UGA-OTP-0171-0186, UGA-OTP-0171-0187, UGA-OTP-0171-0191, UGA-OTP-0171-0192, UGA-OTP-0171-0193, UGA-OTP-0171-0194, UGA-OTP-0171-0195, UGA-OTP-0171-0196, UGA-OTP-0171-0197, UGA-OTP-0171-0200, UGA-OTP-0171-0201, UGA-OTP-0171-0203, UGA-OTP-0171-0204. Some of these photographs appear to show the bullet catridges collected from the Lukodi IDP camp sites.

⁴³⁹¹ P-0142: <u>T-70</u>, p. 62, lines 8-13 (testifying that the civilian camp was between 100 to 500 metres from the barracks, adding that 'the distance was really small and 500 metres would even be on really the higher side, but it was really not far'). *See also* P-0142: <u>T-71</u>, p. 60, line 21 – p. 61, line 23 (distinguishing between the barracks and the burning houses); P-0018: <u>T-69</u>, p. 53, lines 5-10 (there was a distance, although not far, between the barracks and the camp); P-0410: <u>T-152</u>, p. 47, line 20 – p. 48, line 4 (clearly distinguishing between the military barracks and the civilians dwellings when describing his movements during the attack).

⁴³⁹² P-0035 Statement, UGA-OTP-0036-0082-R01, at para. 14 (stating that there was a distance of about 300 meters between the military barracks and the nearest civilian houses); P-0060 Statement, UGA-OTP-0069-0034-R01, at paras 17-18; P-0060's sketch of Lukodi IDP camp, UGA-OTP-0069-0048 (stating that he lived only around 25 meters away from the military barracks as were the home of several other civilians, and that there was a trench surrounding the military barracks); P-0024: T-78, p. 21, line 2 – p. 24, line 14 (stating that civilians moved to the edge of the school compound in an attempt to be more secure by proximity to the barracks; but that there was a distance between the school and the civilian homes); P-0187: T-164, p. 7, line 19 – p. 8, line 6; T-165, p. 3, lines 22-25, p. 4, line 15-25 (stating that civilians established their dwellings behind the barracks, which was based at the school, and that she lived less than a mile away from the barracks). *See also* P-0036 Statement, UGA-OTP-0036-0042-R01, at para. 122 (stating that there was a military detachment on the extreme left side of the camp, very close to the civilian parts of the camp); P-0036's sketch of Lukodi IDP camp, UGA-OTP-0036-0063.

- 1741. The evidence also shows that civilians were burned to death in their homes by the LRA. The Defence alleges a possibility that tracer (stretcher) bullets or the battle light burnt the camp, 4393 presumably also accounting for the persons burnt in the camp. However, this is a speculative scenario that is not based on any evidence. Rather, the evidence is overwhelming that LRA fighters purposely burnt homes in the camp, burning civilians to death within their homes. LRA fighters themselves testified accordingly.
- 1742. Camp resident P-0018 stated that LRA fighters sent people into their houses, locked the doors and set the houses on fire with the people inside the houses. According to P-0018, after the LRA attackers took food out of the houses, they would light a match and set the houses ablaze. However, in some houses, the LRA attackers would take fire from the cooker and tell people and children to go into the houses, lock the doors and then set the houses on fire. P-0018 escaped from the LRA and returned to Lukodi camp the day after the attack, when she saw that many people were killed and some were burnt inside their houses. A female civilian abductee who had escaped from the LRA with P-0018 came back the next day and found that her child had been burnt inside the house.
- 1743. Similarly, Sinia fighter P-0406 testified that during the Lukodi attack, he saw people who were pushed inside a house and locked inside and the house set on fire. ⁴³⁹⁹ P-0410 also testified that he also saw civilians who were burning inside a hut. ⁴⁴⁰⁰ Sinia fighter P-0410 stated that the civilians who did not flee were burnt in their houses. ⁴⁴⁰¹ In line with P-0018's testimony, P-0410 testified that the LRA forces used the fire used for cooking to set the huts on fire. ⁴⁴⁰²
- 1744.P-0410 testified that during the attack, he came to a house and saw many civilians crowded inside. 4403 P-0410 testified that because he pitied the people inside, he closed

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4393 <u>Defence Closing Brief</u>, para. 428.
4394 P-0018: <u>T-69</u>, p. 12, lines 2-8.
4395 P-0018: <u>T-69</u>, p. 12, lines 2-17, p. 17, lines 16-23.
4396 P-0018: <u>T-69</u>, p. 12, lines 14-20.
4397 P-0018: <u>T-69</u>, p. 18, line 20 – p. 19, line 5. See P-0018: <u>T-68</u>, p. 51, line 24 – p. 52, line 16.
4398 P-0018: <u>T-69</u>, p. 19, lines 3-7.
4399 P-0406: <u>T-154</u>, p. 55, line 25 – p. 56, lines 3.
4400 P-0410: <u>T-151</u>, p. 64, lines 20-25.
4401 P-0410: <u>T-151</u>, p. 65, lines 1-4.
4402 P-0410: <u>T-151</u>, p. 65, lines 10-15.
4403 P-0410: <u>T-151</u>, p. 66, lines 8-16.
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the door and locked it as if there was no one inside.⁴⁴⁰⁴ P-0410 testified that the LRA fighter who came after him opened the door and found people were inside, so that fighter bolted the door, locked it with a padlock and set the house on fire.⁴⁴⁰⁵ P-0410 testified that the LRA fighter waited there until the people had burnt down and then he left to report P-0410 to their superiors for having attempted to spare the lives of the civilians.⁴⁴⁰⁶ P-0410 testified that he saw the civilians all burnt to death.⁴⁴⁰⁷ P-0410 testified that 'so many people were burnt to death' in Lukodi.⁴⁴⁰⁸ The Chamber finds P-0410's testimony here credible; it is specific, compelling, rich with detail and consistent with other reliable evidence.

- 1745. Camp resident P-0024 corroborates these accounts, testifying that the LRA killed people in the camp, and in particular that she saw the LRA loot houses and then set the houses ablaze, killing people. 4409
- 1746. Having considered the totality of the evidence, the Chamber is convinced that the evidence proves that LRA fighters killed the victims during the attack. The evidence does not suggest that the civilians killed in Lukodi IDP camp were killed during crossfire between the government forces and the LRA fighters. The Chamber notes the below discussion of the persons killed in the course of the Lukodi IDP camp attack and the manner in which they were killed. There is also no evidence that government soldiers beat, burnt or cut any civilian in the course of the attack. There is ample evidence that the LRA committed these acts.
- 1747. The Chamber heard credible evidence that LRA fighters killed civilians during the attack and in its their retreat from the camp. On some occasions, the killing was not fully carried out because of independent circumstances.
- 1748. Camp resident Lilly Apiyo described what she witnessed in returning to the camp the day after the attack:

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<sup>4404</sup> P-0410: T-151, p. 66, lines 8-16.

<sup>4405</sup> P-0410: T-151, p. 66, lines 8-16.

<sup>4406</sup> P-0410: T-151, p. 66, lines 15-23.

<sup>4407</sup> P-0410: T-151, p. 66, lines 24-25.

<sup>4408</sup> P-0410: T-151, p. 66, line 25.

<sup>4409</sup> P-0024: T-77, p. 20, lines 16-21, p. 33, line 25 – p. 34, line 5.
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I saw dead bodies covered with blankets. I saw people who had been burnt in the house being removed from the burnt houses. People were using sticks to get them out because some of the houses were still burning. 4410

- 1749. Lilly Apiyo testified that she was lucky that her house was set on fire once she had gotten out of it because some people were killed and burnt in their houses. 4411 She testified to seeing the bodies of children who had been killed when she returned to the camp. 4412 She stated that she also saw the body of a woman who was killed with her daughter-in-law and grandchild.4413
- 1750. The Chamber recalls camp resident P-0187's general testimony about the LRA's killing of children in the camp. P-0187 testified:

Some were hit. Some children were put in a polythene bag and beaten to death. Some were locked inside and burnt inside. Others were put in a bag and they were thrown in the bush. So many of them--some of them disappeared and they were never found. Others were found in the morning. 4414

- 1751. ISO officer P-0301 testified that the morning after the attack, he arrived at the camp with an army convoy. 4415 P-0301 testified that he saw 'bodies hacked in a barbaric way'. 4416 P-0301 testified that the people killed were civilians, men, women and children. 4417 P-0301 stated that he saw the bodies of 'old persons that could not run away'. 4418 P-0301 testified that the day after the attack, he saw the body of a girl as young as three. 4419 P-0017 testified that he observed that the deceased were all civilians, some adults and some children. 4420 P-0017 saw a grave with the bodies of young children. 4421
- 1752.P-0187 testified that some bodies were not recovered soon after the attack, some bodies were found in the bush, many months after the attack. 4422 She stated, 'the bodies were

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4410 P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 51.
4411 P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 23.
4412 P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 27.
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⁴⁴¹³ P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 46.

⁴⁴¹⁴ P-0187: <u>T-164</u>, p. 20, lines 24 – p. 21, line 5.

⁴⁴¹⁵ P-0301 Statement, UGA-OTP-0249-0423-R01, at para. 52.

⁴⁴¹⁶ P-0301 Statement, UGA-OTP-0249-0423-R01, at para. 54.

⁴⁴¹⁷ P-0301 Statement, UGA-OTP-0249-0423-R01, at para. 54.

⁴⁴¹⁸ P-0301 Statement, UGA-OTP-0249-0423-R01, at para. 54.

⁴⁴¹⁹ P-0301 Statement, UGA-OTP-0249-0423-R01, at para. 54.

⁴⁴²⁰ P-0017 Statement, UGA-OTP-0036-0007-R01, at para. 211.

⁴⁴²¹ P-0017 Statement, UGA-OTP-0036-0007-R01, at para. 211. See Photograph of grave of young children, UGA-OTP-0023-0312. In his statement, P-0017 stated that he recognised on this photograph one of the graves in Lukodi IDP camp, covered with bricks.

⁴⁴²² P-0187: <u>T-164</u>, p. 24, line 24 – p. 25, line 1.

discovered like luggage which had been abandoned'. Similarly, camp resident P-0024 testified that some of the dead bodies were never recovered, others were burned in the houses, and some remained in the bush. She stated that for some deceased their bodies were been eaten by dogs. 4425

- 1753. According to P-0024, a child who was taken by the LRA and thrown away in the bush during the course of the retreat died and the dead body was never recovered. 4426 P-0024 also testified that the morning after the attack, she was told by other returned abductees that the other seven people who had been abducted with her were killed. 4427
- 1754. Below, the Chamber discusses the evidence of specific individuals killed or attempted to be killed by the LRA within the camp.
- 1755. Keneri Okot, Jeneth Lakot, Kilama Aloyo, Kilama Kidega and Jackline Anee: P-0187 testified that in the course of the attack on Lukodi IDP camp, LRA fighters shot Keneri Okot, his daughter-in-law and three other children and then burnt them in the house. 4428 Santo Ojera also testified of the five persons burnt in a house, gave their names and provided additional detail about their deaths. He testified credibly that the day after the attack he saw that five civilians had been burnt inside a house: Keneri Okot, Jeneth Lakot, her children Kilama Aloyo and Kilama Kidega, and another child by the name of Jackline Anee. 4429 According to Santo Ojera, no one knew exactly what happened and how the persons were burnt as everyone else had run away by the time they were burned. 4430 However, he noted that all the bodies were lying in the same spot inside the house and thus the residents believed that the victims were shot before they were burnt inside the house. 4431 This, to the camp residents, explained why the bodies were found in one spot rather than in different places inside the house. 4432 Santo Ojera lists Keneri Okot, Kilama Aloyo, Jeneth Lakot, Kilema Kidega and Jackline Angee in his list of

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4423 P-0187: T-164, p. 24, lines 14-23.
4424 P-0024: T-77, p. 50, lines 8-14.
4425 P-0024: T-77, p. 49, line 22 – p. 50, line 3.
4426 P-0024: T-77, p. 40, lines 4-12.
4427 P-0024: T-77, p. 28, lines 2-9.
4428 P-0187: T-164, p. 18, lines 1-4, p. 19, lines 4-8, p. 22, lines 7-11.
4429 P-0060 Statement, UGA-OTP-0069-0034-R01, at para. 64.
4430 P-0060 Statement, UGA-OTP-0069-0034-R01, at para. 64.
4431 P-0060 Statement, UGA-OTP-0069-0034-R01, at para. 64.
4432 P-0060 Statement, UGA-OTP-0069-0034-R01, at para. 64.
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persons killed during the May 2004 Lukodi attack. 4433 The Chamber finds both P-0187 and Santo Ojera's accounts of these deaths credible and consistent and mutually corroborative. Additionally, P-0024, who testified that there was a house where five people were burnt to death, 4434 provided further albeit more general corroboration.

1756. The corpses of many of the persons killed in the course of the Lukodi IDP camp attack were exhumed from their graves days after the attack and autopsied by Martin Kalyemenya, a pathologist. 4435 The post-mortem reports, created in the course of Martin Kalyemenya's examination, possess all indicia of authenticity, bearing the stamp of the Makerere University Pathology Department's District Medical Officer and the signature of Martin Kalyemenya, the consultant pathologist. The Chamber is satisfied that the individual post mortem reports, discussed further below, are the authentic post mortem reports of persons deceased in the course of the attack on Lukodi IDP camp relevant to the charges, and that the process of the post-mortem examinations was adequately explained by Martin Kalyemenya. 4436 The Chamber notes particularly that it considers that the post-mortem reports provide evidence as to the marks and wounds that could be observed on the bodies of the deceased. Post-mortem reports exist for four of the five persons named by Santo Ojera: Keneri Okot, Jeneth Lakot, Kidega Kilama and Aloyo Kilama. 4437 These four persons were also identified in Martin Kalyemenya's forensic

⁴⁴³³ P-0060's List of persons killed in Lukodi IDP camp attack, UGA-OTP-0069-0049, at 0050. See P-0060 Statement, UGA-OTP-0069-0034-R01, at paras 32, 42, 71-76, 79 (Santo Ojera testified that he drafted a list of the persons killed and injured during the attack with the former Lukodi Camp Leader after the attack. This Camp Leader was abducted by the LRA a few months after the Lukodi attack and never returned; Santo Ojera testified that the list also disappeared. However, Santo Ojera reconstructed the lists of persons killed, injured and abducted in the Lukodi IDP camp attack during the course of his statement). Given his position as one of the camp's leaders, his role as a representative of former Lukodi camp residents and the detail with which he recollects names and ages and locations in his testimony, the Chamber is satisfied that Santo Ojera's lists contain credible information which may serve to corroborate other evidence. The Chamber also notes that another list of persons killed in Lukodi IDP camp was submitted into evidence. See List of Lukodi deceased, UGA-OTP-0146-0145, Decision on Prosecution's Request to Submit 1006 items of evidence, 28 March 2017, ICC-02/04-01/15-795, p. 23. The Chamber concurs with the Defence that the lack of information about the provenance of the document undermines the Chamber's ability to rely on the information contained therein. See Confidential Annex A to Defence Response to "Prosecution's request to submit 1006 items of documentary evidence", ICC-02/04-01/15-701-Conf-AnxA, at 138.

⁴⁴³⁴ P-0024: <u>T-77</u>, p. 50, lines 8-14.

⁴⁴³⁵ See P-0036 Statement, UGA-OTP-0036-0042-R01.

⁴⁴³⁶ P-0036 Statement, UGA-OTP-0036-0042-R01, at paras 131-42. *See* Defence Closing Brief, para. 426, n. 691. ⁴⁴³⁷ Post-mortem report of 'Keneth' Okot, UGA-OTP-0146-0154, at 0154-55; Post-mortem report of 'Jength' Lakot, UGA-OTP-0146-0172, at 0172-73; Post-mortem report of Kidega, UGA-OTP-0146-0191, at 0191-92; Post-mortem report of Aloyo, UGA-OTP-0146-0227, at 0227-28 (according to the reports, the bodies of 'Keneth Okot', 'Jength' Lakot, Kidega and Aloyo of Lukodi camp were identified by relatives. The reports list 'charred body' as the external mark of violence on each body). The Chamber also notes that the post-mortem report, as well as the forensic medical report refers to 'Kenneth' Okot, instead of the witnesses' reference to Keneri Okot.

medical report. 4438 According to Martin Kalyemenya's report, Keneri Okot was 65 years old; Jeneth Lakot was 32 years old; Kilama Kidega was one and a half years old and Kilama Aloyo was four years old. 4439 Further, the post-attack video 4440 recorded by the police investigators after the attack also identified Keneri Okot and Jeneth Lakot. 4441 The Chamber is convinced that the evidence shows that LRA fighters shot and burnt Keneri Okot, Jeneth Lakot, Kilama Kidega, Kilama Aloyo and Jackline Anee, killing them, in the course of the attack on Lukodi IDP camp.

1757. Milly Anek and the shooting of Pyerina Ayaa and Florence Adong: Pyerina Ayaa testified that during the attack, she hid in her house with her two daughters, Florence Adong, around 14 years old and Milly Anek, 8 years old. 4442 She described how she saw five armed LRA fighters coming in the direction of her house, shouting that everyone should come outside their houses. 4443 As she moved to open the door of the house, the LRA fighters ripped out the frame of the door along with the door itself and threw it

However, given the overwhelming similarities in the manner of death, and the last name of the deceased, the Chamber is of the view that the evidence refers to the same person, Keneri Okot. The Chamber notes that the postmortem report names 'Jength' Lakot, while Santo Ojera referred to 'Jeneth'. The Chamber is of the view that this is a mere difference in spelling and the reference is to the same person Jeneth Lakot. *See also* Requests for postmortem examination, UGA-OTP-0146-0153; UGA-OTP-0146-0171; UGA-OTP-0146-0190; UGA-OTP-0146-0226

⁴⁴³⁸ Forensic medical report of the massacres of Lukodi & Pajak of Gulu district, UGA-OTP-0023-0188, at 0193-94. The Chamber notes that Martin Kalyemenya testified that he compiled the list contained in the forensic reports, the persons killed, injuries and cause of death, based on the results of his exhumation, as well as data he collected on the victims from their relatives. P-0036 Statement, UGA-OTP-0036-0042-R01, at para. 131. The Chamber is satisfied that this document is the authentic medical report prepared by Martin Kalyemenya and that it contains the results of his work during the government investigation into the deaths at Lukodi IDP camp.

⁴⁴³⁹ Forensic medical report of the massacres of Lukodi & Pajak of Gulu district, UGA-OTP-0023-0188, at 0193-94. The Chamber notes that the report refers to 'Lokot Janat'. Given the similarities in the name and sound of 'Janat Lokot' to 'Jeneth Lakot', it is clear to the Chamber that the reference is to the same person.

⁴⁴⁴⁰ Post-attack video of Lukodi IDP camp, UGA-OTP-0023-0008. The government investigators that went to Lukodi IDP camp in the days after the attack recorded a video of what they observed in the camp, including the exhumations of persons killed and buried within the camp as well as the people severely injured in the attack and convalescing at the hospital in Gulu. P-0017 and Martin Kalyemenya discuss the content of the video in their testimonies, describing the scenes in the video and demonstrating that the video was taken during the course of the post-attack investigation which they participated in. The Chamber is satisfied that the video discussed by P-0017 and Martin Kalyemenya is the authentic video taken in the days after the attack and shows the impact of the attack on the camp and the civilians that lived within it. The video has probative value as corroborative evidence of the results of the attack on Lukodi IDP camp. *See* P-0017 Statement, UGA-OTP-0036-0007-R01, at paras 202, 207, 214; P-0036 Statement, UGA-OTP-0036-0042-R01, at paras 119-22, 124.

⁴⁴⁴¹ Post-attack video of Lukodi IDP camp, UGA-OTP-0023-0008, at approximately 00:39:57 (the post attack video shows parts of the exhumation of a body, identified as Keneri Okot, 65 years, the body was completely charred); Post-attack video of Lukodi IDP camp, UGA-OTP-0023-0008, at 00:39:42 (the post attack video shows parts of the exhumation of a body, identified as Janath Lakoth, 32 years). The Chamber notes the different spelling of the victim's name and given the similarities considers that the evidence references the same person.

⁴⁴⁴² P-0026 Statement, UGA-OTP-0069-0018-R01, at paras 8, 22.

⁴⁴⁴³ P-0026 Statement, UGA-OTP-0069-0018-R01, at paras 23-24.

away. 4444 Then from outside the house, the five LRA fighters began to shoot inside the house. 4445 They shot in the direction of Pyerina Ayaa and her two daughters and at everything else in the house. 4446 Pyerina Ayaa testified that everything in the house was destroyed by the bullets, including blankets and other property. 4447 Pverina Avaa stated that she tried to move her leg and could not move it and fell down. 4448 That is when she realised that she had been shot. 4449 Her daughter Milly fell on top of her and she saw that a bullet had hit Milly in the stomach and had come out on the other side. 4450 She realized that Milly was dead. 4451 Pyerina Ayaa testified that her daughter Florence was also shot in the two big toes of her left foot. 4452 Pyerina Ayaa testified that the five rebels then entered the house. 4453 Pyerina Ayaa stated that she said to them 'You are all Acholi children. Why do you do this to us? You have shot and killed my child'. 4454 The LRA fighters responded that they wanted cooking oil. 4455 Pyerina Ayaa testified that she gave them the cooking oil and the fighters left. 4456 Pyerina Ayaa stated that she went outside of her house and saw the barracks burning, concerned that the LRA fighters would return to burn her house, she told Florence to run away to the west. 4457 She crawled away from the house, pulling Milly along with her; she managed to reach the latrine and hid there until the attack was over. 4458 The morning after the attack, the government soldiers came to collect injured people and take them to the hospital. 4459 Pyerina Ayaa saw her daughter Florence among the injured people with the soldiers. 4460 Milly Anek was eventually buried outside of the camp. 4461 The Chamber finds Pyerina Ayaa's account of her and her daughter's shootings detailed, comprehensive, graphic and entirely credible. Pyerina Ayaa's account is also corroborated by the testimony of other witnesses. P-0187 testified

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4444 P-0026 Statement, UGA-OTP-0069-0018-R01, at paras 25-26.
4445 P-0026 Statement, UGA-OTP-0069-0018-R01, at para. 27.
4446 P-0026 Statement, UGA-OTP-0069-0018-R01, at para. 27.
4447 P-0026 Statement, UGA-OTP-0069-0018-R01, at para. 27.
4448 P-0026 Statement, UGA-OTP-0069-0018-R01, at para. 28.
4449 P-0026 Statement, UGA-OTP-0069-0018-R01, at para. 28.
<sup>4450</sup> P-0026 Statement, UGA-OTP-0069-0018-R01, at para. 28.
<sup>4451</sup> P-0026 Statement, UGA-OTP-0069-0018-R01, at para. 28.
<sup>4452</sup> P-0026 Statement, UGA-OTP-0069-0018-R01, at para. 28.
<sup>4453</sup> P-0026 Statement, UGA-OTP-0069-0018-R01, at para. 29.
<sup>4454</sup> P-0026 Statement, UGA-OTP-0069-0018-R01, at para. 29.
4455 P-0026 Statement, UGA-OTP-0069-0018-R01, at para. 29.
<sup>4456</sup> P-0026 Statement, UGA-OTP-0069-0018-R01, at para. 29.
<sup>4457</sup> P-0026 Statement, UGA-OTP-0069-0018-R01, at para. 30.
4458 P-0026 Statement, UGA-OTP-0069-0018-R01, at paras 30, 33.
4459 P-0026 Statement, UGA-OTP-0069-0018-R01, at para. 42.
4460 P-0026 Statement, UGA-OTP-0069-0018-R01, at para. 42.
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⁴⁴⁶¹ See P-0026 Statement, UGA-OTP-0069-0018-R01, at paras 39, 46.

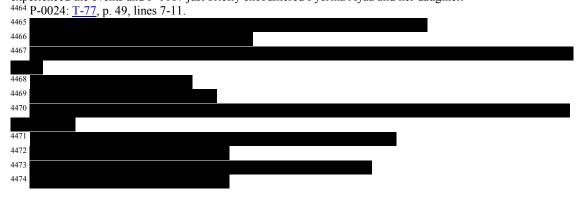
that as she was walking with the LRA fighters who abducted her and other abductees, the group came across a woman with a young girl. The LRA shot the woman, Pyerina Ayaa, in the knee and shot and killed her daughter, Anek. P-0024 testified that after the attack she was taken to the hospital along with a woman Ayaa who had been shot in the leg and her daughter Adong who had been shot in the toe. The Chamber finds that LRA fighters shot and killed Milly Anek and attempted to kill Pyerina Ayaa and her daughter Florence Adong by shooting them.

1758. Akello Acii, Innocent Okello, Ojoko and the beating of Adong Paska and Piloya:

testified that when the LRA set the house on fire, the children came out of the house; one seven year old child, Adong Paska, was beaten to a pulp by the LRA and left for dead. The LRA beat Adong Paska in the face on the right eye. Adong Paska was eventually brought to the hospital because of the serious injuries on her eyes; however, even now her eyes have not fully recovered. Another girl, Piloya was also beaten and left for dead. The LRA cut her and carried her and threw her

Akello Acii,⁴⁴⁷² tried to run and the LRA cut her and carried her and threw her back into the fire along with two other children, Innocent and Ojoko.⁴⁴⁷³ P-0024 testified that the children were burnt in the house.⁴⁴⁷⁴ These three children were each four years

⁴⁴⁶³ P-0187: T-164, p. 11, lines 11-13, p. 17, lines 5-25 (according to P-0187, Anek was four to five years old. P-0187 testified that Pyerina Ayaa and Anek were hiding in a house, they started running out when they saw houses burning and were shot). The Chamber notes some differences in the two witnesses accounts. The Chamber considers the difference in their testimonies in relation to Milly Anek's age immaterial. However, the Chamber relies more prominently on the Pyerina Ayaa's testimony as the mother of Milly Anek. In relation to the witnesses' description of the shooting, the Chamber considers Pyerina Ayaa's account more reliable as she personally experienced the events and P-0187 just briefly encountered Pyerina Ayaa and her daughter.



⁴⁴⁶² P-0187: <u>T-164</u>, p. 11, lines 11-13.

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P-0187 also stating that the child of

Lalobo Wilfred and Eveline Ataro, called Akello was thrown in the flames and got burnt. 4476 The post mortem report of Akello Acii stated that her body had extensive burns. 4477 Martin Kalyemenya also testified that he concluded that Akello died of neurogenic shock because he observed extensive deep burns on her body. 4478 A postmortem report identified Innocent Okello and states that the body was charred. 4479 Martin Kalyemenya's forensic medical report identified Akello and Innocent Okello as two of the persons deceased in Lukodi and states that both were three years old. 4480 The post attack video shows parts of the exhumations of several small children, including one identified as 'Akello D/O Ataro, 3 years' with extensive burns on almost half of her body⁴⁴⁸¹ as well as another identified as Innocent Okello, three years, this body was entirely charred and the bones of the skeleton were apparent in the video. 4482 Given the overwhelming similarities in the manner of death and the name of the witness, the Chamber is of the view that the Innocent Okello identified in the documentary and video foregoing, the Chamber finds that in the course of the Lukodi attack, LRA fighters killed Akello Acii, Ojoko and Innocent Okello by burning them to death. The evidence also demonstrates that in the course of the attack, LRA fighters attempted to kill Adong Paska and Piloya by beating them and leaving them for dead.

1759. **Unnamed man shouting**: P-0187 testified that in the course of her abduction, right after the LRA fighters had torched a house, a man started shouting from the western side of

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⁴⁴⁷⁶ P-0187: <u>T-164</u>, p. 22, lines 7-18.

⁴⁴⁷⁷ Post-mortem report of Akello, UGA-OTP-0146-0182, at 0182-83 (the body of 'Akello D/O Ataro' of Lukodi camp was identified by relatives. The report lists 'extensive burns' as the external mark of violence. The cause of death is listed as neurogenic shock from the burns). *See also* Request for post-mortem examination, UGA-OTP-0146-0181.

⁴⁴⁷⁸ P-0036 Statement, UGA-OTP-0036-0042-R01, at para. 136. *See also* Photograph of Akello's body, UGA-OTP-0023-0321. P-0036 recognised Akello's body in the photograph. The Chamber notes that the image also corresponds with the child identified in the video as Akello D/O Ataro in the post-attack video.

⁴⁴⁷⁹ Post-mortem report of Innocent Okello, UGA-OTP-0146-0188, at 0188-89 (according to the post-mortem report, the body was identified by relatives. The report listed 'charred body' as the external mark of violence). *See also* Request for post-mortem examination, UGA-OTP-0146-0187.

⁴⁴⁸⁰ Forensic medical report of the massacres of Lukodi & Pajak of Gulu district, UGA-OTP-0023-0188, at 0194.

⁴⁴⁸¹ Post-attack video of Lukodi IDP camp, UGA-OTP-0023-0008, at approximately 00:36:55.

⁴⁴⁸² Post-attack video of Lukodi IDP camp, UGA-OTP-0023-0008, at approximately 00:37:16.

the camp. ⁴⁴⁸³ An armed LRA fighter went over to the man and shot him dead. ⁴⁴⁸⁴ P-0187 indicated that this man was named Charles and that he fell down right in front of P-0187 and two other female abductees. ⁴⁴⁸⁵ The Chamber is convinced by P-0187's account of this murder. The Chamber also notes that Lilly Apiyo tells a similar story, stating that she was told after the attack by people in the camp that a man had been hiding in the bathroom next to the banana plant. ⁴⁴⁸⁶ He had been drunk and was insulting the LRA fighters, so they shot him. ⁴⁴⁸⁷ The Chamber is convinced that the LRA shot the man who was shouting at the LRA dead in the course of their attack on Lukodi IDP camp.

1760. Christine Ajok and Odong Apiyo: Several witnesses testified as to the death of Christine Ajok. Santo Ojera testified that after returning to the camp the morning after the attack, he saw people digging graves from the road; he later learned that one of the graves was for a mother called Christine Odong Ajok and her child Odong Apiyo. 4488 Santo Ojera also named Christine Odong Ajok and Odong Apiyo on his list of persons killed during the May 2004 Lukodi attack. 4489 Martin Kalyemenya corroborates the account of Christine Ajok's death. Martin Kalyemenya testified that Christine Ajok was one of the victims of the attack that he observed was burnt and not shot. 4490 She is also listed on Martin Kalyemenya's forensic medical report as one of the deceased. 4491 According to the report, Christine Ajok was 25 years old. 4492 Martin Kalyemenya listed 'neurogenic shock' as her cause of death because he concluded that she must have died of pain from the injuries she suffered. 4493 Further, the post-mortem report identified the body of Christine Ajok of Lukodi camp after its exhumation. 4494 The post attack video shows parts of the exhumation of a body, identified as Christine Ajok, 25 years; the burns

⁴⁴⁸³ P-0187: T-164, p. 11, lines 9-10, p. 17, lines 5-8, p. 17, lines 15-22.

⁴⁴⁸⁴ P-0187: <u>T-164</u>, p. 11, lines 9-10.

⁴⁴⁸⁵ P-0187: <u>T-164</u>, p. 17, lines 5-8, 15-22.

⁴⁴⁸⁶ P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 52.

⁴⁴⁸⁷ P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 52.

⁴⁴⁸⁸ P-0060 Statement, UGA-OTP-0069-0034-R01, at para. 61.

⁴⁴⁸⁹ P-0060's List of persons killed in Lukodi IDP camp attack, UGA-OTP-0069-0049, at 0051. *See* P-0060 Statement, UGA-OTP-0069-0034-R01, at paras 32, 42, 71-76, 79.

⁴⁴⁹⁰ P-0036 Statement, UGA-OTP-0036-0042-R01, at para. 136.

⁴⁴⁹¹ Forensic medical report of the massacres of Lukodi & Pajak of Gulu district, UGA-OTP-0023-0188, at 0193. Christine Ajok is adult victim number 14.

⁴⁴⁹² Forensic medical report of the massacres of Lukodi & Pajak of Gulu district, UGA-OTP-0023-0188, at 0193. ⁴⁴⁹³ P-0036 Statement, UGA-OTP-0036-0042-R01, at para. 136.

⁴⁴⁹⁴ Post-mortem report of Christine Ajok, UGA-OTP-0146-0197, at 0197-98 (according to the post-mortem report, the body was identified by relatives. The post-mortem report listed 'charred body' as the external mark of violence). *See also* Request for post-mortem examination, UGA-OTP-0146-0196.

on the body were apparent from the video. 4495 In light of the totality of the evidence, 4496 the Chamber considers that the evidence shows that Christine Ajok and Odong Apiyo were killed by the LRA in the course of the attack.

1761. David Otim and an unnamed stabbing victim: The evidence demonstrates that David Otim was killed by LRA fighters in the course of the attack on Lukodi IDP camp. David Komakech testified that after the attack he saw the bodies of two men who had been stabbed, one of them was a man named Otim. 4497 Martin Kalyemenya provided evidence about David Otim's death. 4498 Martin Kalvemenya testified he was told by David Otim's relatives that he was stabbed to death by a child not older than eight years old. 4499 According to Martin Kalyemenya's forensic medical report, David Otim was 28 years old. 4500 A post-mortem report identified the body of David Otim of Lukodi camp after its exhumation. 4501 The post-mortem report listed 'multiple stab wounds' as external marks of violence on the body; it was noted that an autopsy was performed, discovering 'multiple stab wounds left scapula and sub-scapular region'. 4502 The post attack video shows parts of the exhumation of a body, identified as David Otim, 28 years; wounds on the body's back as well as burns were visible. 4503 The Chamber also recalls Gipson Okulu's testimony that his son 'Ottim David' was found dead in the aftermath of the attack, he had been 'stabbed with the bayonet on the back and it came through the body, through the chest'. 4504 Given the similarities in the description of the death and the last name of the victim, the Chamber is convinced that the Otim mentioned by David

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⁴⁴⁹⁵ Post-attack video of Lukodi IDP camp, UGA-OTP-0023-0008, at 00:46:22. A photograph taken in the course of the investigation corresponds with the image shown in the video. *See* Photograph of Christine Ajok, UGA-OTP-0023-0338.

⁴⁴⁹⁶ The Chamber recalls its above finding that the killings in Lukodi IDP camp are attributable to the LRA.

⁴⁴⁹⁷ P-0185 Statement, UGA-OTP-0233-1020-R01, at para. 24.

⁴⁴⁹⁸ Forensic medical report of the massacres of Lukodi & Pajak of Gulu district, UGA-OTP-0023-0188, at 0193. David Otim is adult victim number 15.

⁴⁴⁹⁹ P-0036 Statement, UGA-OTP-0036-0042-R01, at para. 136.

⁴⁵⁰⁰ Forensic medical report of the massacres of Lukodi & Pajak of Gulu district, UGA-OTP-0023-0188, at 0193.

⁴⁵⁰¹ Post-mortem report of David Otim, UGA-OTP-0146-0160, at 0160-61 (according to the post-mortem report, his body was identified by relatives. The cause of death was shock as a result of blood loss from the injury). *See also* Request for post-mortem examination, UGA-OTP-0146-0159.

⁴⁵⁰² Post-mortem report of David Otim, UGA-OTP-0146-0160, at 0160.

⁴⁵⁰³ Post-attack video of Lukodi IDP camp, UGA-OTP-0023-0008, at 00:48:07.

⁴⁵⁰⁴ V-0004: <u>T-173</u>, p. 16, lines 10-18. The Chamber notes that Gibson Okulu stated that his son was 19 years old. While this age differs from that listed in the post-attack video and Martin Kalyemenya's testimony, given the description of the body, the Chamber is satisfied that the evidence is related to the same person.

Komakech is David Otim. ⁴⁵⁰⁵ In light of the totality of the evidence, ⁴⁵⁰⁶ the Chamber finds that an LRA attacker killed David Otim and a second unnamed man by stabbing them during the May 2004 Lukodi IDP camp attack.

1762. The throwing of Joel Opiyo into a burning hut: Joel Opiyo, seven years old at the time of the attack, testified that he had just returned home after herding cattle with his brother Morris Nyeko when he heard people blowing whistles. 4507 Joel Opiyo stated that he first thought it was traditional dancers but his mother told him and his siblings to run. 4508 He ran and eventually hid, along with his brother and sister Jennifer Atenyo, in the house of a woman who lived close to him. 4509 There were other people hiding in the hut. 4510 Joel Opiyo testified that an armed fighter came into the house and fired a shot into the house and then left. 4511 The people in the house were shortly thereafter commanded out by a female attacker. 4512 Morris Nyeko and Jennifer Atenyo, were tied together and the abductees were made to carry items like beans, sim-sim and sorghum, that was taken from homes along the way as the attackers and their captives moved. 4513 Joel Opivo stated that as they were moving, still within the camp, one of the attackers said that he was interfering with the movement of the abductees and grabbed him under his armpits and threw him into a burning hut. ⁴⁵¹⁴ Joel Opiyo bumped his back into the central pillar that supported the roof of the hut and fell on the floor. ⁴⁵¹⁵ Joel Opiyo stated that he started crying and crawling around trying to find an exit; he was bumping into things, the roof of the hut was about to collapse and parts of it were falling around him. 4516 Joel Opivo testified that he managed to crawl out of the hut, 4517 but when one of the attackers saw him, the attacker shouted that he should be shot. 4518 Joel Opiyo testified that another

⁴⁵⁰⁵ The Chamber also notes that Lilly Apiyo testified that the morning after the attack she saw the dead body of Agaja Otim. P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 46. Noting that the witness does not provide evidence as to the wounds she observed on the victim, the Chamber makes no finding that this is the same person discussed by the other evidence.

⁴⁵⁰⁶ The Chamber recalls its above finding that the killings in Lukodi IDP camp are attributable to the LRA.

⁴⁵⁰⁷ P-0196 Statement, UGA-OTP-0233-1061-R01, at para. 15.

⁴⁵⁰⁸ P-0196 Statement, UGA-OTP-0233-1061-R01, at para. 15.

⁴⁵⁰⁹ P-0196 Statement, UGA-OTP-0233-1061-R01, at para. 15.

⁴⁵¹⁰ P-0196 Statement, UGA-OTP-0233-1061-R01, at para. 16.

⁴⁵¹¹ P-0196 Statement, UGA-OTP-0233-1061-R01, at para. 16.

⁴⁵¹² P-0196 Statement, UGA-OTP-0233-1061-R01, at para. 17. ⁴⁵¹³ P-0196 Statement, UGA-OTP-0233-1061-R01, at para. 17.

⁴⁵¹⁴ P-0196 Statement, UGA-OTP-0233-1061-R01, at para. 19.

⁴⁵¹⁵ P-0196 Statement, UGA-OTP-0233-1061-R01, at para. 19.

⁴⁵¹⁶ P-0196 Statement, UGA-OTP-0233-1061-R01, at para. 19.

⁴⁵¹⁷ P-0196 Statement, UGA-OTP-0233-1061-R01, at para. 19.

⁴⁵¹⁸ P-0196 Statement, UGA-OTP-0233-1061-R01, at para. 19.

attacker told the other not to waste his bullet. 4519 Joel Opiyo survived. 4520 Joel Opiyo stated that he was later taken to a hospital in Gulu where he spent three months recovering from burn wounds on his left leg and stomach. 4521 Joel Opiyo still experiences pain on his back and knees. 4522 The Chamber finds Joel Opiyo's testimony comprehensive, rich in detail, consistent and credible. The Chamber finds that an LRA fighter attempted to kill Joel Opiyo by throwing him into a burning house in the course of the May 2004 attack on Lukodi IDP camp.

1763. **Agwesa Odoch**: Santo Ojera named 'Agweca Odoch' on his list of persons killed during the May 2004 Lukodi attack. P-0187 testified that the LRA shot 'Agwica'. A postmortem report identified the body of 'Agwesa Odoch' of Lukodi camp after its exhumation and lists 'gun shot head' as the external mark of violence and notes that an autopsy was performed with the pathologist discovering 'brain damage'. According to Martin Kalyemenya's forensic medical report, Agwesa Odoch was 85 years old. Lastly, the post attack video shows parts of the exhumation of a body, identified as 'Agoja' Odoch, 85 years. In light of the totality of the evidence, the Chamber finds that Agwesa Odoch was shot and killed by the LRA during the Lukodi attack.

1764. **Beatrice's son**: Lilly Apiyo testified that Beatrice, one of the released abductees came back to the camp and was looking for her son because he had been following her and other abductees. 4529 Lilly Apiyo stated that Beatrice was told that her son had been

⁴⁵¹⁹ P-0196 Statement, UGA-OTP-0233-1061-R01, at para. 19.

⁴⁵²⁰ P-0196 Statement, UGA-OTP-0233-1061-R01, at para. 19.

⁴⁵²¹ P-0196 Statement, UGA-OTP-0233-1061-R01, at para. 21.

⁴⁵²² P-0196 Statement, UGA-OTP-0233-1061-R01, at para. 23.

⁴⁵²³ P-0060's List of persons killed in Lukodi IDP camp attack, UGA-OTP-0069-0049, at 0050. See P-0060 Statement, UGA-OTP-0069-0034-R01, at paras 32, 42, 71-76, 79.

⁴⁵²⁴ P-0187: T-164, p. 18, lines 1-6, p. 19, lines 4-8.

⁴⁵²⁵ Post-mortem report of Agwesa Odoch, UGA-OTP-0146-0169, 0169-70 (according to the post-mortem report, the body was identified by relatives. The post-mortem report lists brain damage as the cause of death). *See also* Request for post-mortem examination, UGA-OTP-0146-0168.

⁴⁵²⁶ Forensic medical report of the massacres of Lukodi & Pajak of Gulu district, UGA-OTP-0023-0188, at 0193. The Chamber is of the view that the report's reference to 'Agweja' instead of 'Agwesa' was a mere difference in spelling.

⁴⁵²⁷ Post-attack video of Lukodi IDP camp, UGA-OTP-0023-0008, at 00:35:35. A photograph taken in the investigation also corresponds to the image of Agwesa Odoch's body seen in the video. *See* Photograph of Odoch, UGA-OTP-0023-0333. The Chamber notes the discrepancy in the first name. The evidence above refers to: *Agwica, Agwesa, and Agoja.* The Chamber notes that apart from P-0187, who does not mention the deceased's last name, the other testimony refers to a person with the last name of Odoch. Further, given the similarities in the names, the Chamber is satisfied that the evidence all refer to the same person, whom the Chamber identifies as Agwesa Odoch.

⁴⁵²⁸ The Chamber recalls its above finding that the killings in Lukodi IDP camp are attributable to the LRA.

⁴⁵²⁹ P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 47.

killed.⁴⁵³⁰ Lilly Apiyo testified that as she searched for her own missing daughter and Beatrice searched for her son, the two women discovered the body of Beatrice's son in a sack.⁴⁵³¹ According to Lily Apiyo, the boy's neck had been broken and he had bruises all over because the LRA and the abductees had been stepping on his body in the sack as they moved.⁴⁵³² The Chamber finds Lilly Apiyo's account of the death of Beatrice's son credible. P-0187's testimony that children were put into sacks and beaten to death is consistent with Lilly Apiyo's account of the state in which Beatrice's son was discovered.⁴⁵³³ In light of the totality of the evidence,⁴⁵³⁴ the Chamber finds that LRA fighters killed Beatrice's son during their attack on Lukodi IDP camp.

1765. Charles Odong: P-0187 testified that the LRA shot Charles Odong. Appost-mortem report identified the body of Charles Odong of Lukodi camp after its exhumation. Appost-mortem report lists 'gunshot wounds left supraclavicular region' as the external mark of violence and notes that an autopsy was performed with the pathologist discovering 'laceration left lung and heart'. Apple The listed cause of death was 'exsanguination', Same meaning severe loss of blood. According to Martin Kalyemenya's forensic medical report, Charles Odong was 40 years old. The post attack video shows parts of the exhumation of a body, identified as Charles Odong, 40 years. Santo Ojera also corroborated the other evidence about the death of Charles Odong, naming him on the list of persons killed during the May 2004 Lukodi attack. The evidence is consistent and mutually corroborative. In light of the totality of the evidence.

⁴⁵³⁰ P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 47.

⁴⁵³¹ P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 47.

⁴⁵³² P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 47.

⁴⁵³³ P-0187: <u>T-164</u>, p. 24, lines 14-19.

⁴⁵³⁴ The Chamber recalls its above finding that the killings in Lukodi IDP camp are attributable to the LRA.

⁴⁵³⁵ P-0187: T-164, p. 18, lines 5-6, p. 19, lines 4-8.

⁴⁵³⁶ Post-mortem report of Charles Odong, UGA-OTP-0146-0157, at 0157-58 (according to the post-mortem report, his body was identified by relatives). *See also* Request for post-mortem examination, UGA-OTP-0146-0156.

⁴⁵³⁷ Post-mortem report of Charles Odong, UGA-OTP-0146-0157, at 0157.

⁴⁵³⁸ Post-mortem report of Charles Odong, UGA-OTP-0146-0157, at 0158.

⁴⁵³⁹ Forensic medical report of the massacres of Lukodi & Pajak of Gulu district, UGA-OTP-0023-0188, at 0193. The Chamber is of the view that the report's reference to 'Ondong' instead of 'Odong' is a mere difference in spelling

⁴⁵⁴⁰ Post-attack video of Lukodi IDP camp, UGA-OTP-0023-0008, at approximately 00:32:05.

⁴⁵⁴¹ P-0060's List of persons killed in Lukodi IDP camp attack, UGA-OTP-0069-0049, at 0051. See P-0060 Statement, UGA-OTP-0069-0034-R01, at paras 32, 42, 71-76, 79.

⁴⁵⁴² The Chamber recalls its above finding that the killings in Lukodi IDP camp are attributable to the LRA.

Chamber finds that Charles Odong was killed by the LRA in the course of their attack on Lukodi IDP camp.

1766. Jasinta Aol: After being rescued by government soldiers after the attack, Pyerina Ayaa was put on a vehicle with other injured people. 4543 She identified one of the injured women she saw as Aol. 4544 According to Pyerina Ayaa, Aol was cut in the back of her head and shot in the back, the bullet came out at the front of her waist. 4545 Pyerina Ayaa testified that Aol later died in the hospital. 4546 Similarly, Santo Ojera named Jesina Aol on his list of persons killed during the May 2004 Lukodi attack. 4547 A post-mortem report identified the body of Jasinta Aol of Lukodi camp after its exhumation. 4548 The postmortem report listed 'cut wound occipital region gun shot wound chest' as the external mark of violence and notes that an autopsy was performed with the pathologist discovering 'cut wound occipital region' and 'gun shot wounds chest'. 4549 According to Martin Kalyemenya's forensic medical report, Jasinta Aol was 50 years old. 4550 Further, the post attack video shows the body of a woman, identified as Jasinta Aol; it was apparent in the video that the deceased had a wound on the back of her head as well a wound on her chest and back. 4551 A photograph taken in the course of the investigation corresponds to the image shown in the video. 4552 Given her description of the wounds Aol suffered and the last name she provided, the Chamber is of the view that Pyerina Ayaa testified about the death of Jasinta Aol. 4553 The evidence in its totality is consistent, specific, and mutually corroborative. In light of the totality of the evidence, 4554 the Chamber finds that LRA fighters killed Jasinta Aol by cutting her and shooting her.

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⁴⁵⁴³ P-0026 Statement, UGA-OTP-0069-0018-R01, at para. 42.

⁴⁵⁴⁴ P-0026 Statement, UGA-OTP-0069-0018-R01, at para. 44.

⁴⁵⁴⁵ P-0026 Statement, UGA-OTP-0069-0018-R01, at para. 44.

⁴⁵⁴⁶ P-0026 Statement, UGA-OTP-0069-0018-R01, at para. 44.

⁴⁵⁴⁷ P-0060's List of persons killed in Lukodi IDP camp attack, UGA-OTP-0069-0049, at 0051. See P-0060 Statement, UGA-OTP-0069-0034-R01, at paras 32, 42, 71-76, 79.

⁴⁵⁴⁸ Post-mortem report of Jasinta Aol, UGA-OTP-0146-0218. *See also* Request for post-mortem examination, UGA-OTP-0146-0217.

⁴⁵⁴⁹ Post-mortem report of Jasinta Aol, UGA-OTP-0146-0218, at 0218.

⁴⁵⁵⁰ Forensic medical report of the massacres of Lukodi & Pajak of Gulu district, UGA-OTP-0023-0188, at 0193.

⁴⁵⁵¹ Post-attack video of Lukodi IDP camp, UGA-OTP-0023-0008, at approximately 00:24:35.

⁴⁵⁵² See Photograph of Jasinta Aol, UGA-OTP-0023-0320.

⁴⁵⁵³ The Chamber notes that the evidence lists different first names for Aol: *Jacinta, Jesina, Jasinta*. The Chamber also notes a reference to '*Aoul* Jacinta' in the forensic medical report. The Chamber is of the view that the differences are the result of a mere difference in spelling and the evidence all refer to the same person, Jasinta Aol

⁴⁵⁵⁴ The Chamber recalls its above finding that the killings in Lukodi IDP camp are attributable to the LRA.

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1767. **Jojina Angom**: P-0187 testified that the LRA burnt 'Georgina' Angom. ⁴⁵⁵⁵ P-0187 testified that Georgina Angom was an elderly sickly woman who could not run. ⁴⁵⁵⁶ The post-mortem report identified the body of Jojina Angom of Lukodi camp after its exhumation and listed 'charred body' as the external mark of violence. ⁴⁵⁵⁷ According to the report, the autopsy did not establish the cause of death. ⁴⁵⁵⁸ The Chamber recalls Martin Kalyemenya's testimony that some bodies were so charred, he could not establish the cause of death and the bodies were not opened. ⁴⁵⁵⁹ The post attack video shows parts of the exhumation of a body, identified as *Jojina* Angom, 80 years. ⁴⁵⁶⁰ The Chamber finds that LRA fighters killed Jojina Angom in the course of the Lukodi IDP camp attack.

1768. **Lalobo's son**: Lilly Apiyo testified that after the attack, she found out that the son of her brother-in-law Lalobo was also shot. He had been crying for his mother and the rebels shot him in the mouth. His body was left next to a banana plant. Although this knowledge was, according to Lilly Apiyo's own testimony not based on direct observation, the Chamber notes that she did see the body after she returned to the camp. Alily Apiyo did not know the exact age of the child, but stated that he could walk and talk. The Chamber is of the view that Lilly Apiyo's testimony is credible. It is also consistent with other evidence of how the LRA behaved in the course of attack on Lukodi IDP camp. The Chamber finds that the evidence demonstrates that the LRA killed Lalobo's son in the course of the attack.

1769. **Tezira Oroma**: P-0187 testified that LRA fighters shot Tejera Oroma. ⁴⁵⁶⁶ Santo Ojera corroborates the evidence that Tejera Oroma died in the attack, naming *Tejira* Oroma in

⁴⁵⁵⁵ P-0187: <u>T-164</u>, p. 18, lines 1-5, p. 19, lines 4-8, p. 22, lines 5-16.

⁴⁵⁵⁶ P-0187: <u>T-164</u>, p. 22, lines 5-16.

⁴⁵⁵⁷ Post-mortem report of Jojina Angom, UGA-OTP-0146-0175, at 0175-76 (according to the post-mortem report, the body was identified by relatives). *See also* Request for post-mortem examination, UGA-OTP-0146-0174

⁴⁵⁵⁸ Post-mortem report of Jojina Angom, UGA-OTP-0146-0175, at 0175-76.

⁴⁵⁵⁹ P-0036 Statement, UGA-OTP-0036-0042-R01, at para. 137.

⁴⁵⁶⁰ Post-attack video of Lukodi IDP camp, UGA-OTP-0023-0008, at 00:32:55. *See also* Forensic medical report of the massacres of Lukodi & Pajak of Gulu district, UGA-OTP-0023-0188, at 0193. The Chamber is of the view that the difference in the name of the deceased reflects a mere difference in spelling and/or pronunciation and the evidence refers to the same person Jojina Angom.

⁴⁵⁶¹ P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 48.

⁴⁵⁶² P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 48.

⁴⁵⁶³ P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 52.

⁴⁵⁶⁴ P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 49.

⁴⁵⁶⁵ P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 52.

⁴⁵⁶⁶ P-0187: <u>T-164</u>, p. 18, lines 1-2, p. 19, lines 4-8.

his list of persons killed during the May 2004 Lukodi attack. ⁴⁵⁶⁷ A post-mortem report identified the body of Tezira Oroma of Lukodi camp after its exhumation and listed 'gun shot wounds' as the external mark of violence and notes that an autopsy was performed with the pathologist discovering a 'shattered skull'. ⁴⁵⁶⁸ The post attack video shows parts of the exhumation of a body, identified as 'Tejira Oroma, 50 years'. ⁴⁵⁶⁹ According to Martin Kalyemenya's forensic medical report, Tejera Oroma was 50 years old. ⁴⁵⁷⁰ In light of the totality of the evidence, ⁴⁵⁷¹ the Chamber finds that the LRA killed Tezira Oroma in the course of the attack on Lukodi IDP camp.

1770. **Ojara, Okwera, Olwedo, Ocaka's wife and one teenage girl**: Lilly Apiyo testified that when she returned to the camp, she saw the bodies of Okwera, Olwedo and Ojara; they had been shot to death. ⁴⁵⁷² Lilly Apiyo stated that she was told that the rebels thought Okwera was UPDF because he was 'fair skinned'. ⁴⁵⁷³ Okwera pled with them that he was not UPDF, the rebels shot him. ⁴⁵⁷⁴ Lilly Apiyo testified that the bodies she saw were close to the place where she reunited with her family on returning to Lukodi camp. ⁴⁵⁷⁵ The Chamber finds Lilly Apiyo's testimony specific, detailed and credible. Similarly, David Komakech testified that the morning after the attack, he saw three people who had been shot dead and had blood oozing out of a mark on their bodies. ⁴⁵⁷⁶ One of these men was called Ojara. ⁴⁵⁷⁷ The Chamber is of the view that Lilly Apiyo likely refers to the same persons seen by David Komakech. David Komakech also saw two female bodies that had been burnt in a house. ⁴⁵⁷⁸ He testified that, of these two, one woman, whom he

⁴⁵⁶⁷ P-0060's List of persons killed in Lukodi IDP camp attack, UGA-OTP-0069-0049, at 0051. *See* P-0060 Statement, UGA-OTP-0069-0034-R01, at paras 32, 42, 71-76, 79.

⁴⁵⁶⁸ Post-mortem report of Tezira Oroma, UGA-OTP-0146-0166, at 0166-67 (according to the post-mortem report, her body was identified by relatives). *See also* Request for post-mortem examination, UGA-OTP-0146-0165.

⁴⁵⁶⁹ Post-attack video of Lukodi IDP camp, UGA-OTP-0023-0008, at 00:32:14. The Chamber notes several slight differences in the name of the deceased, and considers them mere differences in spelling. The Chamber finds that the evidence refers to the same person, Tezira Oroma.

⁴⁵⁷⁰ See Forensic medical report of the massacres of Lukodi & Pajak of Gulu district, UGA-OTP-0023-0188, at 0193. The Chamber considers the report's reference to 'Orama' instead of 'Oroma' to be a mere difference in spelling.

⁴⁵⁷¹ The Chamber recalls its above finding that the killings in Lukodi IDP camp are attributable to the LRA.

⁴⁵⁷² P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 46.

⁴⁵⁷³ P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 46.

⁴⁵⁷⁴ P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 46.

⁴⁵⁷⁵ P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 46.

⁴⁵⁷⁶ P-0185 Statement, UGA-OTP-0233-1020-R01, at para. 24.

⁴⁵⁷⁷ P-0185 Statement, UGA-OTP-0233-1020-R01, at para. 24.

⁴⁵⁷⁸ P-0185 Statement, UGA-OTP-0233-1020-R01, at para. 24.

identified as Ocaka's wife, was burnt in the top half of her body and her head. 4579 The other person, a young girl in her teens, was completely burnt. 4580 David Komakech saw the girl's mother there crying. 4581 The Chamber finds David Komakech's accounts of the deceased he saw credible. In light of the totality of the evidence, 4582 the Chamber is convinced that Ojara and Olwedo and Okwera, Ocaka's wife and one teenage girl were killed by LRA fighters in the course of the attack.

1771. **Onencan**: Lilly Apiyo testified that her nephew, Onencan, a three year old child, was found in the camp with his neck broken. The Chamber finds Lilly Apiyo's accounts credible. In light of the totality of the evidence, the Chamber finds that Onencan was killed by LRA fighters in the course of the attack.

1772. P-0024's mother and	: P-0024 testified that her mother and
were killed in the	course of the attack. 4585 The Chamber finds P-0024's account
credible. In light of the	e totality of the evidence, 4586 the Chamber finds that P-0024's
mother and	were killed by the LRA in the course of the attack on Lukodi
IDP camp.	

1773. The throwing of Ojoko and of an unnamed elderly woman into a burning hut: P-0024 testified credibly that during the attack, she saw a child, Ojoko, who was shot by the LRA and thrown into a fire along with an elderly lady. The Chamber finds P-0024's account credible. The Chamber notes that while the evidence strongly indicates that Ojoko and the elderly woman were killed by the LRA, it is not conclusive. Thus, the Chamber finds that the LRA at least attempted to kill Ojoko and the elderly woman by shooting them and throwing them into a burning hut in the course of the attack on Lukodi IDP camp.

1774. **Min Ojoko, Ocii**: One of the injured women Pyerina Ayaa saw in the aftermath of the attack was Min Ojoko, who had been shot in the thigh and the bullet had gone from one

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⁴⁵⁷⁹ P-0185 Statement, UGA-OTP-0233-1020-R01, at para. 24.

⁴⁵⁸⁰ P-0185 Statement, UGA-OTP-0233-1020-R01, at para. 24.

⁴⁵⁸¹ P-0185 Statement, UGA-OTP-0233-1020-R01, at para. 24.

⁴⁵⁸² The Chamber recalls its above finding that the killings in Lukodi IDP camp are attributable to the LRA.

⁴⁵⁸³ P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 52.

⁴⁵⁸⁴ The Chamber recalls its above finding that the killings in Lukodi IDP camp are attributable to the LRA.

⁴⁵⁸⁵ P-0024: T-77-CONF, p. 33, line 14-23, p. 38, line 18 – p. 39, line 4.

⁴⁵⁸⁶ The Chamber recalls its above finding that the killings in Lukodi IDP camp are attributable to the LRA.

⁴⁵⁸⁷ P-0024: T-78-CONF, p. 41, lines 10-14.

side to the other; she died in the hospital. There was another injured man by the name of Ocii, who had been shot in the lower part of his back; the bullet came out higher on his back, close to his shoulder. P-0024 testified that after rescuing her, the government soldiers brought eight people to the hospital along with her; five died and three of them survived. One of the persons who died was Okello Ocii. The Chamber finds the witnesses account credible and considers that they testify about the same victim, in light of the similarities of their accounts. In light of the totality of the evidence, the Chamber finds that Min Ojoko and Ocii were killed by LRA fighters in the course of the attack.

1775. The shooting of Nyeko, two unnamed girls and the burning of a third unnamed girl:

Pyerina Ayaa, who was injured and eventually taken to the hospital along with other injured people, testified that among the injured people going to the hospital with her was Nyeko, who had been shot in his shoulder. One of the girls had been shot in the chest, another had been hit in the thigh and the third girl's leg had been burnt. In light of the totality of the evidence, the Chamber finds that the LRA shot attempting to kill Nyeko and two unnamed girls and burnt another girl's leg.

1776. Atim, Charles Anywar, Danger Joseph Oryem, James Opiro, Jeneth Lalur Akello, Joseph Ojara, Obedi, Rose Kiter, Tabicha Alum, Vincent Ocaya and Santa Oroma: While witnesses did not offer testimony naming certain people among the persons killed in the course of the attack, the Chamber notes that the Uganda government investigators that went to Lukodi IDP camp after the attack, also identified and performed exhumations of

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⁴⁵⁸⁸ P-0026 Statement, UGA-OTP-0069-0018-R01, at para. 44.

⁴⁵⁸⁹ P-0026 Statement, UGA-OTP-0069-0018-R01, at para. 43.

⁴⁵⁹⁰ P-0024: <u>T-77</u>, p. 49, lines 12-18. *See also* p. 41, lines 9-10.

⁴⁵⁹¹ P-0024: T-77, p. 49, lines 12-21.

⁴⁵⁹² The Chamber recalls its above finding that the killings in Lukodi IDP camp are attributable to the LRA.

⁴⁵⁹³ P-0026 Statement, UGA-OTP-0069-0018-R01, at paras 42-43.

⁴⁵⁹⁴ P-0026 Statement, UGA-OTP-0069-0018-R01, at para. 45.

⁴⁵⁹⁵ The Chamber recalls its above finding that the killings in Lukodi IDP camp are attributable to the LRA.

the bodies of the following persons: Atim, ⁴⁵⁹⁶ Charles Anywar, ⁴⁵⁹⁷ Danger Joseph Oryem, ⁴⁵⁹⁸ James Opiro, ⁴⁵⁹⁹ Jeneth Lalur Akello, ⁴⁶⁰⁰ Joseph Ojara, ⁴⁶⁰¹ Obedi, ⁴⁶⁰² Rose

⁴⁵⁹⁶ Post-mortem report of Atim, UGA-OTP-0146-0178, at 0179-80 (the post-mortem report identified the body of Atim of Lukodi camp after its exhumation. According to the report, his body was identified by relatives. The report lists 'gunshot wounds left lower 1/3 leg' as the external mark of violence and notes that an autopsy was performed with the pathologist discovering the same wounds as the external mark of violence). *See also* Request for post-mortem examination, UGA-OTP-0146-0177; Forensic medical report of the massacres of Lukodi & Pajak of Gulu district, UGA-OTP-0023-0188, at 0194.

⁴⁵⁹⁷ Post-mortem report of Charles Anywar, UGA-OTP-0146-0203, at 0203-04 (the post-mortem report identified the body of Charles Anywar of Lukodi camp after its exhumation. According to the report, his body was identified by relatives. The report lists 'gunshot wounds of the chest' as the external mark of violence and notes that an autopsy was performed with the pathologist discovering 'gunshot wound chest with lacerated left lung and heart'. The listed cause of death was 'exsanguination'). *See also* Request for post-mortem examination, UGA-OTP-0146-0202; Forensic medical report of the massacres of Lukodi & Pajak of Gulu district, UGA-OTP-0023-0188, at 0193.

⁴⁵⁹⁸ Post-mortem report of Danger Joseph Oryem, UGA-OTP-0146-0185, at 0185-86 (the post-mortem report identified the body of Danger Joseph Oryem of Lukodi camp after its exhumation. According to the post-mortem report, his body was identified by relatives. The post-mortem report listed 'charred body' as the external mark of violence). *See also* Request for post-mortem examination, UGA-OTP-0146-0184; Forensic medical report of the massacres of Lukodi & Pajak of Gulu district, UGA-OTP-0023-0188, at 0194 (according to Martin Kalyemenya's forensic medical report, Danger Joseph Oryem was six years old).

⁴⁵⁹⁹ Post-mortem report of James Opiro, UGA-OTP-0146-0163, at 0163-64 (the post-mortem report identified the body of James Opiro of Lukodi camp after its exhumation. According to the post-mortem report, his body was identified by relatives. The post-mortem report lists 'gunshot wounds chest and abdomen' as the external mark of violence and notes that an autopsy was performed with the pathologist discovering 'laceration right lung' and 'ruptured stomach.' According to the post-mortem report, the cause of death was shock from the injuries). *See also* Request for post-mortem examination, UGA-OTP-0146-0162; Forensic medical report of the massacres of Lukodi & Pajak of Gulu district, UGA-OTP-0023-0188, at 0194.

⁴⁶⁰⁰ Post-mortem report of Jeneth Lalur Akello, UGA-OTP-0146-0206, at 0206-07 (the post-mortem report identified the body of Jeneth Lalur Akello of Lukodi camp after its exhumation. According to the post-mortem report, the body was identified by relatives. The post-mortem report lists 'gunshot wound abdomen' as the external mark of violence and notes that an autopsy was performed with the pathologist discovering 'several laceration to the gut'. The listed cause of death was 'exsanguination'). *See also* Request for post-mortem examination, UGA-OTP-0146-0205; Forensic medical report of the massacres of Lukodi & Pajak of Gulu district, UGA-OTP-0023-0188, at 0193. The Chamber is of the view that the reference to 'Janet' instead of 'Jeneth' is a mere mis-spelling. ⁴⁶⁰¹ Post-mortem report of Joseph Ojara, UGA-OTP-0146-0200, at 0200-01 (the report identified the body of Joseph Ojara of Lukodi camp after its exhumation. According to the post-mortem report, his body was identified by relatives. The post-mortem report lists 'gunshot wounds [...] shattered skull' as the external mark of violence and notes that an autopsy was performed with the pathologist discovering a 'shattered skull'). *See also* Request for post-mortem examination, UGA-OTP-0146-0199; Forensic medical report of the massacres of Lukodi & Pajak of Gulu district, UGA-OTP-0023-0188, at 0194.

⁴⁶⁰² Post-mortem report of Obedi, UGA-OTP-0146-0194, at 0194-95 (the report identified the body of Obedi of Lukodi camp after its exhumation. According to the post-mortem report, his body was identified by relatives. The post-mortem report lists 'multiple gun shot wounds in [...] abdomen' as the external mark of violence and notes that an autopsy was performed with the pathologist discovering 'laceration right lung' and 'ruptured viscera'). *See also* Request for post-mortem examination, UGA-OTP-0146-0193; Forensic medical report of the massacres of Lukodi & Pajak of Gulu district, UGA-OTP-0023-0188, at 0194. The Chamber considers the report's reference to 'Obedi' instead of 'Obedi' to have been a mere difference in spelling.

Kiter, ⁴⁶⁰³ Tabicha Alum, ⁴⁶⁰⁴ Vincent Ocaya ⁴⁶⁰⁵ and Santa Oroma. ⁴⁶⁰⁶ The post attack video shows parts of the exhumation of: a small child, identified as Atim D/O Ajok, two years; ⁴⁶⁰⁷ a body, identified as Charles Anywar, 33 years; ⁴⁶⁰⁸ a small child, identified as James Opiro, seven years old, with a gapping stomach wound; ⁴⁶⁰⁹ a body, identified at 'Jenegt' Lalur Akello; ⁴⁶¹⁰ a small body, identified as Joseph Ojara, four years; ⁴⁶¹¹ a small child, identified as Obedi S/O Otto Nels, 6 years; the exposed abdomen of the victim was apparent in the video; ⁴⁶¹² a body, identified as Rose Kiter, 55 years; ⁴⁶¹³ a body, identified

⁴⁶⁰³ Post-mortem report of Rose Kiter, UGA-OTP-0146-0224, at 0224-25 (the report identified the body of Rose Kiter of Lukodi camp after its exhumation. According to the post-mortem report, the body was identified by a relative. The post-mortem report lists 'gunshot wounds burns head and cheeks' as the external mark of violence and notes that an autopsy was performed with the pathologist discovering 'fractured skull [...]'). *See also* Request for post-mortem examination, UGA-OTP-0146-0223; Forensic medical report of the massacres of Lukodi & Pajak of Gulu district, UGA-OTP-0023-0188, at 0193.

⁴⁶⁰⁴ Post-mortem report of Tabicha Alum, UGA-OTP-0146-0215, at 0215-16 (the post-mortem report identified the body of Tabicha Alum of Lukodi camp after its exhumation. According to the post-mortem report, his body was identified by relatives. The post-mortem report listed 'charred body' as the external mark of violence). *See also* Request for post-mortem examination, UGA-OTP-0146-0214; Forensic medical report of the massacres of Lukodi & Pajak of Gulu district, UGA-OTP-0023-0188, at 0193. In the Chamber's view, the reference to 'Tabisha' instead of 'Tabicha' is a mere difference in spelling.

⁴⁶⁰⁵ Post-mortem report of Vincent Ocaya, UGA-OTP-0146-0212, at 0212-13 (the report identified the body of Vincent Ocaya of Lukodi camp after its exhumation. According to the post-mortem report, his body was identified by relatives. The post-mortem report lists 'gunshot wound right side of the chest' as the external mark of violence and notes that an autopsy was performed with the pathologist discovering 'lacerated right lung'. The listed cause of death was 'exsanguination', meaning severe loss of blood). *See also* Request for post-mortem examination, UGA-OTP-0146-0211; Forensic medical report of the massacres of Lukodi & Pajak of Gulu district, UGA-OTP-0023-0188, at 0193. The Chamber is of the view that the report's reference to 'Ochaya Vicent' instead of 'Ocaya Vincent' is a mere difference in spelling.

⁴⁶⁰⁶ Post-mortem report of Santa Oroma, UGA-OTP-0146-0209, at 0209-10 (the report identified the body of Santa Oroma of Lukodi camp after its exhumation. According to the post-mortem report, the body was identified by relatives. The post-mortem report lists 'gun shot wound of the head with shattered vault' as the external mark of violence and notes that an autopsy was performed with the pathologist discovering 'shattered skull vault'). *See also* Request for post-mortem examination, UGA-OTP-0146-0208; Forensic medical report of the massacres of Lukodi & Pajak of Gulu district, UGA-OTP-0023-0188, at 0193. According to Martin Kalyemenya's forensic medical report, Santa Oroma was 35 years old. The Chamber is of the view that the report's reference to 'Santos' instead of 'Santa' is a mere difference in spelling. *See* P-0187: T-164, p. 22, lines 5-16. Noting that P-0187 appears to indicate that Santa Oroma was an elderly woman, while the documentary evidence indicates otherwise, the Chamber does not rely on her testimony in relation to the death of this victim.

⁴⁶⁰⁷ Post-attack video of Lukodi IDP camp, UGA-OTP-0023-0008, at approximately 00:46:00. A photograph taken in the course of the investigator shows the same body identified as Atim in the video. *See* Photograph of Atim, UGA-OTP-0023-0341.

⁴⁶⁰⁸ Post-attack video of Lukodi IDP camp, UGA-OTP-0023-0008, at approximately 00:29:03.

⁴⁶⁰⁹ Post-attack video of Lukodi IDP camp, UGA-OTP-0023-0008, at approximately 00:31:14. A photograph taken in the course of the investigation corresponds with the image seen in the video.

⁴⁶¹⁰ Post-attack video of Lukodi IDP camp, UGA-OTP-0023-0008, at approximately 00:29:45. The Chamber is of the view that the reference to 'Janegt' instead of 'Jeneth' is a mere difference in spelling.

⁴⁶¹¹ Post-attack video of Lukodi IDP camp, UGA-OTP-0023-0008, at approximately 00:34:40.

⁴⁶¹² Post-attack video of Lukodi IDP camp, UGA-OTP-0023-0008, at approximately 00:41:45. *See* Photograph of Obedi, UGA-OTP-0023-0340.

⁴⁶¹³ Post-attack video of Lukodi IDP camp, UGA-OTP-0023-0008, at approximately 00:45:15.

as Tabicha Alum 80 years;⁴⁶¹⁴ a body, identified as Vincent Ocaya, 64 years;⁴⁶¹⁵ and a body, identified as Santa Oroma. ⁴⁶¹⁶ Santo Ojera also named 'Anywa Charles', Lalur Akello, 'Abongyo Obedi', Rociliya Aryemo Kiter', 'Tabica Alum', Vincent Ocaya, and 'Sanja Oroma' in his list of persons killed during the May 2004 Lukodi attack,⁴⁶¹⁷ thus corroborating the other evidence that these persons were killed in the course of the attack. In light of the totality of the evidence,⁴⁶¹⁸ the Chamber is of the view that the above named persons were killed by LRA fighters in the course of the attack on Lukodi IDP camp.

1777. The Chamber also notes that a number of civilians were hospitalised because of injuries suffered in the course of the attack. P-0017 was informed by the then-Lukodi Camp Leader that 16 civilians were admitted into Gulu hospital. P-0017 went to the Gulu hospital along with the pathologist (Martin Kalyemenya) and met the victims of the attack. The post-attack video showed the severe injuries of several persons at Lacor hospital. Photographs also record images similar to the ones recorded in the video.

⁴⁶¹⁴ Post-attack video of Lukodi IDP camp, UGA-OTP-0023-0008, at approximately 00:36:15.

⁴⁶¹⁵ Post-attack video of Lukodi IDP camp, UGA-OTP-0023-0008, at approximately 00:35:13.

⁴⁶¹⁶ Post-attack video of Lukodi IDP camp, UGA-OTP-0023-0008, at approximately 00:31:54.

⁴⁶¹⁷ P-0060's List of persons killed in Lukodi IDP camp attack, UGA-OTP-0069-0049, at 0050-51. *See* P-0060 Statement, UGA-OTP-0069-0034-R01, at paras 32, 42, 71-76, 79.

⁴⁶¹⁸ The Chamber recalls its above finding that the killings in Lukodi IDP camp are attributable to the LRA.

⁴⁶¹⁹ P-0017 Statement, UGA-OTP-0036-0007-R01, at para. 210.

⁴⁶²⁰ P-0017 Statement, UGA-OTP-0036-0007-R01, at para. 213; P-0036 Statement, UGA-OTP-0036-0042-R01, at paras 120-43.

⁴⁶²¹ Post-attack video of Lukodi IDP camp, UGA-OTP-0023-0008, from approximately 00:50:15.

⁴⁶²² See Photographs of the Lukodi investigation, UGA-OTP-0023-0310 to UGA-OTP-0023-0360, UGA-OTP-0023-0387 to UGA-OTP-0023-0407; P-0017 Statement, UGA-OTP-0036-0007-R01, at para. 213; P-0036 Statement, UGA-OTP-0036-0042-R01, at paras 119-43. P-0017 and P-0039 discuss the content of some of these photographs, giving context to the images. The Chamber also notes that when viewing the images in line with the videos, it is clear the photographs were taken over the course of the investigators' visits to Lukodi IDP camp and the hospital in Gulu. Both P-0017 and Martin Kalvemenya discussed the photographs taken at the hospital of the persons injured in the Lukodi attack. Both witnesses testified that the photographs are of the Lukodi victims they saw at the hospital during their visit in the course of the investigation. The Chamber is of the view that the photographs have probative value as corroborative evidence of the types of injuries suffered by civilians in the course of the attack, See Photographs of the injured victims of the Lukodi attack, UGA-OTP-0023-0389 to UGA-OTP-0023-0407. UGA-OTP-0023-0389 is a photograph of a man lying on a hospital bed; he has large bandages/medical dressing across his entire chest, indicating a large injury on his chest, UGA-OTP-0023-0391 is a photograph of a man sitting on a hospital bed; he has a large bandage/medical dressing on his neck and upper back, indicating an injury. UGA-OTP-0023-0392 and UGA-OTP-0023-0406 are photographs of an elderly man sitting on a hospital bed; he has medical dressing over the shoulder facing the camera, indicating a shoulder injury. UGA-OTP-0023-0393 is a photograph of an elderly man sitting up in a hospital bed; there is a bandage/medical dress on his lower side, indicating an injury. UGA-OTP-0023-0394 is a photograph of a woman sitting on a hospital bed; the location of her injury is not apparent from the photograph. UGA-OTP-0023-0395 is a photograph of a woman sitting on a hospital bed; the location of her injury is not apparent from the photograph. UGA-OTP-0023-0396 is a photograph of a woman sitting on a hospital bed; the location of her injury is not clear from the photograph. UGA-OTP-0023-0397 is a photograph of a baby lying on a hospital bed; the location of its injury is not apparent from the photograph. UGA-OTP-0023-0398 is a photograph of two women sitting on hospital beds;

The Chamber finds that this evidence of injuries suffered by survivors supports its findings that the LRA committed numerous acts of violence against the lives of civilians.

1778. It is charged that at least 45 civilians, including at least 12 children were killed by the LRA in the course of the Lukodi IDP camp attack. 4623 In his forensic medical report, Martin Kalyemenya listed 25 persons as deceased in Lukodi IDP camp, 15 adults and 10 children. 4624 These 25 persons were the persons recovered within the Lukodi IDP camp. 4625 Martin Kalyemenya testified that he was confident that the investigators identified all the dead bodies within the camp. 4626 The Chamber notes that P-0017, the police investigator accompanying Martin Kalyemenya, testified that some civilians were buried outside of the camp and the investigators did not exhume those bodies. 4627 P-0017 testified that the Lukodi Camp Leader informed him that 42 civilians were killed in the camp. 4628 Santo Ojera, one of the camp's leaders, testified that he learned that 42 people were killed during the account, 39 of these persons were physically counted after the attack, but the bodies of three people were never found. 4629 Gipson Okulu remembered that 47 people were buried in total in the aftermath of the attack. 4630 The Chamber notes that the Lukodi memorial plaque commemorating the dead in Lukodi lists 45 persons as killed in the course of the attack. 4631 The Chamber also notes that there is scarce

one of the women has a bandage/medical dressing on her clavicle, indicating an injury, the other woman has a bandage/medical dressing around one of her calves, indicating an injury, UGA-OTP-0023-0399 is a photograph of three persons; the central person is lying on a hospital bed, with bandages/medical dress on the toes of both feet, indicating an injury. UGA-OTP-0023-0400 is a photograph of a woman lying on a bed; the woman has a bandage/medical dressing on one of her ankles. UGA-OTP-0023-0401 is a photograph of two boys sitting on a hospital bed; the younger has wounds on his face and leg. UGA-OTP-0023-0402 is a photograph of a woman sitting on a hospital bed, holding a young child; the location of their injuries is not apparent from the photograph. UGA-OTP-0023-0403 is a photograph of a young woman holding a baby; the location of their injuries is not apparent from the photograph. UGA-OTP-0023-0404 is a photograph of a woman holding a young child, the location of their injuries is not apparent from the photograph. UGA-OTP-0023-0405 is a photograph of a person lying on a bed; the location of the person's injury is not apparent from the photograph. UGA-OTP-0023-0407 is a photograph of a man lying on a bed, with a wound on his head.

⁴⁶²³ Para. 46 of the charges (Confirmation Decision, p. 82).

⁴⁶²⁴ Forensic medical report of the massacres of Lukodi & Pajak of Gulu district, UGA-OTP-0023-0188, at 0191. ⁴⁶²⁵ Forensic medical report of the massacres of Lukodi & Pajak of Gulu district, UGA-OTP-0023-0188, at 0191.

⁴⁶²⁶ P-0036 Statement, UGA-OTP-0036-0042-R01, at para. 128. In the context of the witness's testimony, it is clear that the witness references all the dead bodies buried within the camp.

⁴⁶²⁷ See P-0017 Statement, UGA-OTP-0036-0007-R01, at paras 210-11.

⁴⁶²⁸ P-0017 Statement, UGA-OTP-0036-0007-R01, at para. 210.

⁴⁶²⁹ P-0060 Statement, UGA-OTP-0069-0034-R01, at para. 67.

⁴⁶³⁰ V-0004: T-173, p. 17, lines 19-20. He stated that these people were all buried within the camp. V-0004: T-<u>173</u>, p. 18, lines 9-14.

⁴⁶³¹ Memorial plaque, UGA-OTP-0250-1476.

information as to how the names were collected for the plaque. However, it notes that many of the persons it discussed in detail are named on the plaque.

1779. The Chamber notes that above, it discusses the evidence of 48 persons killed in the course of the attack on Lukodi IDP camp in depth. 4632 The Chamber is satisfied that the evidence shows that at least 48 persons were killed by the LRA in the course of the attack on Lukodi IDP camp. The Chamber is also satisfied that the evidence shows that the LRA attempted to kill at least 11 other persons.

LRA fighters entered civilian homes and shops in Lukodi IDP camp and looted food and other property from them. Among the items stolen by the attackers were beans, maize, cooking oil, soap, cooking utensils, chickens, money and clothes.⁴⁶³³

- 1780. The Chamber finds that the evidence demonstrates that LRA fighters looted Lukodi IDP camp during the May 2004 attack. The Chamber notes that some of the evidence of the looting of the camp has been set out above.
- 1781.Both the LRA fighters who participated in the attack and camp residents gave credible evidence that LRA fighters looted Lukodi camp during the May 2004 attack. LRA fighters provided evidence consistent with the camp residents' accounts. Sinia fighter P-0142 testified that unarmed LRA soldiers, accompanied by armed fighters, went into the camp to loot food items. 4634 P-0142 testified that items looted from the civilian area of the camp included household items such as beans, groundnuts, biscuits, sodas and lotion. 4635 Similarly, LRA attacker P-0018 was among the group that went to the camp to collect food. 4636 P-0018 testified that she went into people's empty houses and took food and a 10 litre jerry can. 4637
- 1782. Sinia fighter P-0410 testified that the LRA looted food and other items belonging to civilians from the civilian camp and also looted in the barracks. 4638 P-0410 testified that

⁴⁶³² See the Chamber's above discussion of the persons killed in the camp and its below discussion of the person killed in the course of the LRA retreat from the camp.

⁴⁶³³ Para. 185 above.

⁴⁶³⁴ P-0142: <u>T-70</u>, p. 64, line 17 – p. 65, line 3.

⁴⁶³⁵ P-0142: <u>T-70</u>, p. 68, lines 18-24; <u>T-71</u>, p. 5, lines 16-19.

⁴⁶³⁶ P-0018: <u>T-69</u>, p. 9, lines 19-25.

⁴⁶³⁷ P-0018: <u>T-69</u>, p. 11, lines 20-25.

⁴⁶³⁸ P-0410: <u>T-151</u>, p. 60, line 8, p. 67, lines 3-4, p. 68, lines 8-11.

he carried food from Lukodi. 4639 P-0410 testified that the LRA looted food items such as beans, flour, chickens as well as clothes and other small items such as saucepans. 4640

1783. Camp residents provided credible and mutually corroborative evidence that household goods, including food, as well as other items were looted from the camp. P-0187 testified that an LRA fighter took money away from her. 4641 P-0024 testified that the LRA fighters that abducted her demanded beans and cooking oil from her. 4642 The items had been distributed two days earlier from the Caritas NGO. 4643 P-0024 testified that the LRA took everything from her house, including maize. 4644 David Komakech saw LRA rebels loot sugar, salt, sweets and soap from the shop he was hiding in. 4645 Pyerina Ayaa testified that while hiding away from LRA fighters, she heard some LRA fighters inside her house, looting. 4646 Pyerina Ayaa testified that she later realised that they had taken beans and clothes. 4647 Corroborating other evidence of looting, Santo Ojera, one of the camp's leaders, testified that when he returned the day after the attack, he saw that a lot of food had been taken away from the trading centre. 4648

1784. Sinia fighter P-0205 testified that as the LRA retreated backwards, he saw civilians as well as LRA soldiers carrying food. 4649 P-0205 testified that after the LRA fighters returned to the scheduled meeting place, they started itemising the items seized from the barracks. 4650 LRA fighter P-0172 testified that when the LRA fighters returned from Lukodi, they came back with clothes and food. 4651 The items were taken to Tulu's place, in the sickbay, and they were later distributed among the people. 4652

During their attack on the camp, LRA fighters set huts on fire. Approximately 210 civilian huts in the camp were burnt. Civilians' household goods, including food stocks,

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4639 P-0410: T-151, p. 67, lines 3-4.
4640 P-0410: T-151, p. 67, line 25 – p. 68, line 4.
4641 P-0187: T-164, p. 31, lines 8-24.
4642 P-0024: T-77, p. 26, lines 15-18.
4643 P-0024: T-77, p. 26, lines 15-25, p. 30, lines 2-6.
4644 P-0024: T-77, p. 19, line 25 – p. 20, line 12, p. 25, lines 11-13, p. 26, line 21 – p. 27, line 1.
4645 P-0185 Statement, UGA-OTP-0233-1020-R01, at para. 17.
4646 P-0026 Statement, UGA-OTP-0069-0018-R01, at para. 31.
4647 P-0026 Statement, UGA-OTP-0069-0018-R01, at para. 31.
4648 P-0060 Statement, UGA-OTP-0069-0034-R01, at para. 60.
4649 P-0205: T-47-CONF, p. 55, lines 17-19.
4650 P-0205: T-47-CONF, p. 56, lines 4-9.
4651 P-0172: T-113, p. 24, lines 21-24.
4652 P-0172: T-113, p. 25, lines 1-5.
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were destroyed in these fires. Domestic animals such as goats were also burnt by the LRA. 4653

- 1785. The Chamber is convinced by the evidence showing that LRA fighters destroyed property in Lukodi IDP camp, set houses on fire and destroyed civilians' household goods, including their food stocks.
- 1786. The Chamber recalls the evidence discussed above which shows that during the attack on the camp, LRA fighters set huts on fire and destroyed civilian household goods, including their food stocks.
- 1787. As discussed above, 4654 the evidence does not support the proposition that tracer bullets or battle light caused the destruction to Lukodi IDP camp. The credible and consistent evidence of witnesses demonstrates that LRA fighters systematically and purposefully set fire to civilian homes and property.
- 1788.LRA fighters themselves admitted to setting houses within Lukodi's civilian areas on fire. P-0406 testified that he saw houses set on fire and some houses were burning with smoke billowing. P-0406 testified that he personally torched houses in Lukodi IDP camp. Similarly, P-0410 testified that the LRA burned houses at the camp. houses at the camp.
- 1789. The testimony of camp residents is consistent with the LRA fighters' account. P-0187 testified that after she was abducted, as the LRA fighters were leaving with the abductees, they set the house she had been hiding in on fire. 4658 P-0187 stated that she also saw the LRA torch other civilian houses; 4659 they burned houses as they were moving along. 4660 P-0187 and abducted women removed items from various houses in the camp under orders from the LRA. 4661 P-0187 saw that after the items were removed from a house, the LRA would set fire to the house. 4662 P-0187 testified that when she returned to the

⁴⁶⁵³ Para. 186 above.

⁴⁶⁵⁴ See paras 1741-1745 above.

⁴⁶⁵⁵ P-0406: T-154-CONF, p. 55, line 25 – p. 56, line 4.

⁴⁶⁵⁶ P-0406: T-154-CONF, p. 57, lines 3-11.

⁴⁶⁵⁷ P-0410: <u>T-151</u>, p. 60, lines 6-7.

⁴⁶⁵⁸ P-0187: T-164, p. 11, lines 7-8.

⁴⁶⁵⁹ P-0187: <u>T-164</u>, p. 10, lines 3-5.

⁴⁶⁶⁰ P-0187: <u>T-164</u>, p. 19, lines 4-8.

⁴⁶⁶¹ P-0187: <u>T-164</u>, p. 11, lines 1-18.

⁴⁶⁶² P-0187: <u>T-164</u>, p. 11, lines 18-20.

camp, her two houses and all the items inside were burnt as was her daughter-in-law's house. 4663

- 1790. Consistent with P-0187's account, Lilly Apiyo also saw LRA fighters setting the houses in the camp on fire. 4664 Lilly Apiyo testified that after abducting her, an LRA fighter set her house on fire. 4665 Corroborating Lilly Apiyo's account, David Komakech, her husband, testified that the morning after the attack, he returned to his house to see that the house was burnt down along with other property in the house, including clothes and food items. 4666
- 1791. In line with the above accounts, P-0024 testified that after abducting her and looting her home, the LRA set the house on fire. 4667 P-0024 explained:

Even my goats that were in the veranda were all burned. Everything got wasted. I was told all this when I was now in the hospital because I had lost it all. 4668

- 1792.P-0017 and Martin Kalyemenya saw the remnants of two burnt bicycles inside a destroyed hut a few days after the attack. 4669
- 1793. Santo Ojera testified that when he returned to the camp the day after the attack he saw that many houses in zones E and F of the camp were burned. 4670 Santo Ojera stated that there were houses where the walls were still standing but the roofs were gone. 4671 Santo Ojera testified that houses in the trading centre were not destroyed or burnt. 4672 In line with this account, P-0187 testified that some houses did not burn because they had corrugated roofs, particularly in the trading centre. 4673

⁴⁶⁶³ P-0187: <u>T-164</u>, p. 41, lines 20-25.

⁴⁶⁶⁴ P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 23.

⁴⁶⁶⁵ P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 21.

⁴⁶⁶⁶ P-0185 Statement, UGA-OTP-0233-1020-R01, at para. 22.

⁴⁶⁶⁷ P-0024: <u>T-77</u>, p. 26, line 21 – p. 27, line 3.

⁴⁶⁶⁸ P-0024: T-77, p. 26, line 21 – p. 27, line 3.

⁴⁶⁶⁹ P-0017 Statement, UGA-OTP-0036-0007-R01, at para. 214; P-0036 Statement, UGA-OTP-0036-0042-R01, at para. 123. *See* Photograph of two burnt bicycles inside a destroyed hut, UGA-OTP-0023-0350. *See also* Post-attack video of Lukodi IDP camp, UGA-OTP-0023-0008, at 00:35:08.

⁴⁶⁷⁰ P-0060 Statement, UGA-OTP-0069-0034-R01, at para. 61; P-0060's sketch of Lukodi IDP camp, UGA-OTP-0069-0048.

⁴⁶⁷¹ P-0060 Statement, UGA-OTP-0069-0034-R01, at para. 61.

⁴⁶⁷² P-0060 Statement, UGA-OTP-0069-0034-R01, at para. 60.

⁴⁶⁷³ P-0187: <u>T-165</u>, p. 43, lines 5-16.

1794. Santo Ojera stated that after returning to the camp the day after the attack to his burnt out houses and finding his wife and all of his children alive, he decided that he would immediately leave the camp with his family. 4674 All the things inside his two houses had been burnt. 4675 Other people were already leaving. 4676 That same day, Santo Ojera walked with his family to another IDP camp. 4677 They left with nothing, except the blanket his wife had taken with her when she was fleeing the LRA attackers the night before. 4678 Santo Ojera testified that after the attack, most of the residents left Lukodi and moved to an IDP camp closer to Gulu town. 4679

1795.According to P-0017, the Camp Leader and the police agreed that 210 huts were destroyed in the civilian part of the camp. 4680 The post attack video of Lukodi-IDP camp shows numerous burnt huts within the camp. 4681 In the video, some of the huts were still smouldering. 4682 Martin Kalyemenya observed that only specific parts of the camp had been burned, not the entire camp. 4683 The Chamber is satisfied that the evidence shows that approximately 210 huts were burnt down in the civilian area of the camp.

LRA fighters abducted at least 29 civilians, men, women and children, to carry looted goods from the camp. Among the abductees were Witness P-0024, Olanya, Aleka, Onek, four unnamed male abductees, Witness P-0187, two unnamed female abductees, Joel Opiyo, Lilly Apiyo, Christine Alanyo, Milly Ayaa, Beatrice, Mary Aol, Min Lagum, Min Ojak, Min Ochora, Alora, Okumu, Nancy Akello, an unnamed man stabbed with a bayonet, an unnamed abductee, Justin Omony, Lakwec, Aleka, and Charles Obwoya. Some of the abductees were tied together. Civilians were forced to carry heavy loads, some for long distances, while tied together and under constant threat of harm. The abductees were under armed guard to prevent their escape and were under constant threat of beatings or death. Abductees were also injured by the LRA, Witness P-0187 was wounded by an LRA fighter. She was also raped by an LRA fighter. Witness P-0024 was beaten throughout her abduction. LRA fighters kept slapping her and threatening her. Some abductees were carrying their babies when the LRA took them. Mothers were forced to abandon their children in the bush. LRA fighters threw small children,

⁴⁶⁷⁴ P-0060 Statement, UGA-OTP-0069-0034-R01, at para. 66.

⁴⁶⁷⁵ P-0060 Statement, UGA-OTP-0069-0034-R01, at para. 63.

⁴⁶⁷⁶ P-0060 Statement, UGA-OTP-0069-0034-R01, at para. 66.

⁴⁶⁷⁷ P-0060 Statement, UGA-OTP-0069-0034-R01, at para. 66.

⁴⁶⁷⁸ P-0060 Statement, UGA-OTP-0069-0034-R01, at para. 66.

⁴⁶⁷⁹ P-0060 Statement, UGA-OTP-0069-0034-R01, at paras 12, 25.

⁴⁶⁸⁰ P-0017 Statement, UGA-OTP-0036-0007-R01, at para. 214.

⁴⁶⁸¹ Post-attack video of Lukodi IDP camp, UGA-OTP-0023-0008, at 00:12:00-00:13:00.

⁴⁶⁸² Post-attack video of Lukodi IDP camp, UGA-OTP-0023-0008, at 00:12:56, 00:33:53.

⁴⁶⁸³ P-0036 Statement, UGA-OTP-0036-0042-R01, at para. 123. *See* P-0036's sketch of Lukodi IDP camp, UGA-OTP-0036-0063.

including babies, into the bush because the children were crying and making it difficult for their mothers to carry looted goods. 4684

Many of the women abductees were subsequently released. Some abductees, particularly men, were killed in the bush. Some of the abducted children remained with the LRA.⁴⁶⁸⁵

- 1796. The evidence shows that the LRA fighters left the camp with abductees from the camp carrying looted goods. Regarding the time the LRA spent in the camp, P-0142 testified that the LRA stayed in Lukodi for 30-50 minutes, less than one hour. 4686 P-0018 and a camp resident indicated that the attack may have lasted for perhaps another hour. 4687 The Chamber is satisfied that the evidence shows that the LRA spent around one to two hours in the camp.
- 1797. The Chamber finds that the evidence demonstrates that LRA fighters abducted civilians from Lukodi IDP camp and under armed guard forced them to work for the LRA, under threat of beatings and/or death.
- 1798.LRA fighters testified about their abduction of civilians from Lukodi camp. P-0406 testified that he saw civilians abducted from Lukodi. P-0142 testified that the people who went to collect food brought back the civilians to help them carry the load. P-0142 testified that the unarmed soldiers, the armed soldiers and abductees carried looted goods away from Lukodi IDP camp. P-0406
- 1799. Similarly, P-0406 testified that the people abducted from Lukodi were tied up and moved along with LRA fighters. 4691 P-0406 testified that at the rendezvous point the day after the attack, he saw a number of abducted people, the youngest of whom were approximately 12-14 years old. 4692 P-0406 testified that abductees carried items such as

⁴⁶⁸⁴ Para. 187 above.

⁴⁶⁸⁵ Para. 187 above.

⁴⁶⁸⁶ P-0142: <u>T-72</u>, p. 74, lines 3-5.

 $^{^{4687}}$ P-0018: $\overline{\text{T-69}}$, p. 15, lines 15-17 (estimating that the LRA were in the camp for one or two hours); P-0187: $\overline{\text{T-}}$ 165, p. 22, line 18 – p. 23, line 5 (testifying that the LRA attack lasted for about an hour and a half before the helicopter gunship arrived).

⁴⁶⁸⁸ P-0406: <u>T-154</u>, p. 56, lines 5-6.

⁴⁶⁸⁹ P-0142: T-71, p. 12, lines 19-21.

⁴⁶⁹⁰ P-0142: T-70, p. 67, line 21 – p. 68, line 17.

⁴⁶⁹¹ P-0406: <u>T-154</u>, p. 56, lines 7-11.

⁴⁶⁹² P-0406: <u>T-154</u>, p. 63, lines 5-10.

- flour, beans, and cooking oil. 4693 P-0406 testified that some items were 'quite big', large bags of flour or beans, with 50 kilos written on the sack. 4694
- 1800.P-0410 corroborates the above accounts, testifying that he saw LRA forces abducting civilians at the camp men, women and children. 4695 P-0410 testified that older abductees were abducted to carry food and the abductees carried looted goods on their heads. 4696 P-0410 testified that if people could not walk or carry their load, they were beaten at the back of their heads or clubbed to death. 4697
- 1801.P-0018 testified that she saw abductees forced to carry loads from the camp under threat of death. He loads the abductees carried were 25 kilos and up. He P-0205 testified that during the retreat, he saw civilians under the control of LRA fighter Kobbi, carrying food back from the middle of the camp. He camp. He
- 1802. The LRA fighters' accounts were consistent and mutually corroborative. Consistent with these accounts camp residents also provide evidence of their and other abductees experiences in the course of the Lukodi IDP camp attack and its aftermath.
- 1803. The evidence also shows that a government helicopter gunship arrived at Lukodi IDP camp as the LRA fighters were already moving away with abductees and looted goods. 4701 Moreover, the Chamber notes P-0205's testimony that shortly after LRA fighters crossed the Unyama River with their abductees and looted goods, in addition to the helicopter gunship, a mamba came and started firing at the LRA, and that government soldiers came back with reinforcements. 4702 P-0410 also described an ambush in the course of the retreat. 4703

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<sup>4693</sup> P-0406: T-154, p. 63, lines 13-16.

<sup>4694</sup> P-0406: T-154, p. 63, line 17 – p. 64, line 5.

<sup>4695</sup> P-0410: T-151, p. 60, line 7, p. 67, lines 6-11, lines 16-20.

<sup>4696</sup> P-0410: T-151, p. 67, lines 16-20.

<sup>4697</sup> P-0410: T-151, p. 68, lines 5-7.

<sup>4698</sup> P-0018: T-69, p. 21, lines 5-14.

<sup>4699</sup> P-0018: T-69, p. 21, lines 18-21.

<sup>4700</sup> P-0205: T-47-CONF, p. 55, line 24 – p. 56, line 3.

<sup>4701</sup> P-0018: T-69, p. 15, lines 18-24; P-0187: T-164, p. 11, lines 23-25; P-0024: T-77, p. 21, lines 10-11; T-78, p.

48, line 1 – p. 49, line 19; P-0205: T-47-CONF, p. 55, lines 17-20.

<sup>4702</sup> P-0205: T-47-CONF, p. 55, lines 17-22.

<sup>4703</sup> P-0410: T-151, p. 60, lines 14-23, p. 68, lines 12-22, p. 70, lines 17-18; T-152, p. 52, lines 21-25.
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- 1804. Below the Chamber discussed evidence of specific individuals abducted and mistreated in the course of the LRA's attack on Lukodi IDP camp. The Chamber notes its above discussion of the experiences of Joel Opiyo and Beatrice above ⁴⁷⁰⁴ and its below discussion of the persons killed in course of the retreat. ⁴⁷⁰⁵
- 1805.**P-0024**, **Olanya**, **Aleka and Onek and four unnamed abductees**: P-0024 had given birth just two weeks before the attack. ⁴⁷⁰⁶ P-0024 testified that in the course of the attack, LRA fighters shot at the door of the house she was hiding in and removed her from the house. ⁴⁷⁰⁷ She stated that a fighter took her and bound her with a rope, tying her together with seven men that had been abducted as well. ⁴⁷⁰⁸ Each abductee had one hand bound with a rope and tied close to the next person. ⁴⁷⁰⁹ P-0024 testified that only one of her hands was bound while the other was used to hold the load she carried on her head. ⁴⁷¹⁰ P-0024 had her young child on her back and the LRA attackers were beating her and other abductees, hitting their chests to force them to walk. ⁴⁷¹¹ P-0024 stated that the people who were hitting her were the younger fighters, 'they [were] the ones who were caning us badly. They didn't allow you to even rest, to hold luggage to your head and to, to stop and rest'. ⁴⁷¹² P-0024 testified that as the LRA fighters were tying her up and slapping her, they yelled at her for having shouted in alarm. ⁴⁷¹³
- 1806.P-0024 testified that the fighters who abducted her had many guns, they put the abducted people in a line and abductees started moving, some abductees carrying basins of beans and some pulling goats.⁴⁷¹⁴ According to P-0024, as the abductees started walking:
 - [...] you were supposed to move in one line and you should not retire. If somebody moves aside from the line, they make sure they beat all of you and for that reason my ears are still injured up to now. You shouldn't let your luggage fall because you will be beaten. And for them I think they wanted us to carry the luggage until some point where they could kill us. I think they really wanted us to move with all this so that they could kill us later on because all the other seven people with whom

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4704 See paras 1762, 1764 above.
4705 See paras 1831-1837 below.
4706 P-0024: T-77, p. 19, line 25 – p. 20, line 4, p. 39, lines 16-17, p. 59, line 24 – p. 60, line 3.
4707 P-0024: T-77, p. 25, lines 9-12.
4708 P-0024: T-77, p. 19, line 25 – p. 20, line 13, p. 26, lines 11-14, p. 27, lines 13-15.
4709 P-0024: T-77, p. 29, line 17-21.
4710 P-0024: T-77, p. 29, lines 10-16.
4711 P-0024: T-77, p. 20, lines 23-24.
4712 P-0024: T-77, p. 23, lines 13-18.
4713 P-0024: T-78-CONF, p. 41, lines 2-7.
4714 P-0024: T-77, p. 27, lines 7-20, p. 29, lines 17-22.
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they went were killed and I think they gave us the luggage so we could carry and then they take us and kill us, but I think God protected me and that's the reason I am here, though I am weak. 4715

1807.P-0024 testified to her state of mind during her abduction:

You were just wondering whether you would be the next victim or you would be shot and you couldn't ask. You were only hearing gunshots everywhere. And you would feel hungry. You wouldn't be able to say anything. You wouldn't even—you'll only be waiting for death. 4716

- 1808. P-0024 testified that she was beaten throughout her abduction, including when she asked the LRA a question. 4717 She stated that after the attack, she had injuries on her head, knees, right-hand side and chest, her teeth were also hurt when the LRA hit her. 4718 P-0024 testified that some of her teeth had to be removed in the hospital. 4719 P-0024 stated 'I am still weak up to now'. 4720 P-0024 also stated that the LRA beat other persons abducted from the camp. 4721
- 1809.P-0024 was the last person in the line of abductees.⁴⁷²² She managed to escape when the government helicopter gunship came to pursue the LRA attackers.⁴⁷²³ P-0024 testified that as she was hiding from the LRA fighters, she heard them wondering where she was and saying they would shoot her if they found her.⁴⁷²⁴ When she returned home, she found that the houses and her children were gone.⁴⁷²⁵
- 1810.P-0024 testified that the group she was abducted with included a man named Onek,⁴⁷²⁶ as well as an over 50 year old man named Olanya who was made to carry beans and pull a goat during the retreat from Lukodi IDP camp,⁴⁷²⁷ and another man Aleka,⁴⁷²⁸ P-0024 testified that the morning after the attack, she was told by other returned abductees that

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4715 P-0024: T-77, p. 27, line 16 – p. 28, line 1.
4716 P-0024: T-77, p. 33, line 25 – p. 34, line 9.
4717 P-0024: T-77, p. 39, lines 5-17.
4718 P-0024: T-77, p. 21, lines 15-16, p. 49, lines 2-6.
4719 P-0024: T-77, p. 49, line 2-6.
4720 P-0024: T-77, p. 22, line 12.
4721 P-0024: T-77, p. 39, lines 5-17; T-78, p. 46, lines 6-22, p. 49, lines 9-19.
4722 P-0024: T-77, p. 29, lines 24-25.
4723 P-0024: T-77, p. 21, lines 10-11; T-78, p. 47, lines 5-17, p. 50, lines 14-24.
4724 P-0024: T-77, p. 46, lines 4-15.
4725 P-0024: T-77, p. 21, lines 12-14.
4726 P-0024: T-77, p. 28, lines 16-21.
4727 P-0024: T-77, p. 28, lines 16-21, p. 29, lines 17-25.
4728 P-0024: T-77, p. 28, lines 2-9, p. 28, line 25 – p. 29, line 7. See the Chamber's discussion of the killing of Aleka in para. 1836 below.
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- the other seven people she had been abducted with had been killed. 4729 She stated that there were many other people abducted besides the group that she was tied with. 4730
- 1811.P-0024 testified that she is the person shown in UGA-OTP-0023-0396,⁴⁷³¹ one of the photographs taken at the hospital by government investigators the day after the attack.
- 1812. The Chamber finds P-0024's testimony in this regard detailed, specific, comprehensive and entirely credible. The Chamber is convinced that she testified truthfully about a personal experience that is still deeply disturbing to her. The Chamber finds LRA fighters forcefully abducted P-0024, Olanya, Onek and Aleka and four unnamed men and forced them to carry looted goods during the retreat from Lukodi IDP camp. The LRA severely mistreated the abductees.
- 1813.**P-0187** and two other unnamed women: P-0187 was returning home on her bicycle after shopping for vegetables when she encountered people running. The attempted to flee to her home but there were gunshots and so she decided to enter a house to hide. Two other civilian women joined her in the house. Three LRA fighters eventually came to the house and commanded the women to get out; one of the fighters had a gun. The women were forced to carry items from a house, including cooking oil, beans and flour. P-0187 testified that the LRA fighters told them that if anyone tried to run, they would be shot. P-0187 stated that she was afraid and shaking. P-0187 testified that the LRA tied one of her hands with a rope with a goat attached. P-0187 was also forced to carry two basins of beans on her head as well as a roughly 10 litre jug of cooking oil. P-0187 testified that when the helicopter gunship came, she was close to an LRA fighter; he threatened to beat her when she asked him for help to remove the items she

 $^{^{4729} \, \}text{P-0024:} \, \underline{\text{T-77}}, \, \text{p. 28, lines 2-9.} \, \textit{See} \, \text{the Chamber's discussion of the killings in Lukodi IDP camp.} \\ ^{4730} \, \text{P-0024:} \, \underline{\text{T-78}}, \, \text{p. 45, line 22-p. 46, line 5.} \\ ^{4731} \, \text{P-0024:} \, \underline{\text{T-77}}, \, \text{p. 55, line 20-p. 56, line 9.} \, \textit{See} \, \text{Photograph, UGA-OTP-0023-0396.} \\ ^{4732} \, \text{P-0187:} \, \underline{\text{T-164}}, \, \text{p. 9, line 16-p. 10, line 3.} \\ ^{4733} \, \text{P-0187:} \, \underline{\text{T-164}}, \, \text{p. 10, lines 1-7.} \\ ^{4734} \, \text{P-0187:} \, \underline{\text{T-164}}, \, \text{p. 10, lines 7-9.} \\ ^{4735} \, \text{P-0187:} \, \underline{\text{T-164}}, \, \text{p. 10, lines 14-19, p. 16, lines 3-7, p. 17, lines 1-4.} \\ ^{4736} \, \text{P-0187:} \, \underline{\text{T-164}}, \, \text{p. 10, line 25-p. 11, line 3.} \\ ^{4737} \, \text{P-0187:} \, \underline{\text{T-164}}, \, \text{p. 11, lines 1-2.} \\ ^{4738} \, \text{P-0187:} \, \underline{\text{T-164}}, \, \text{p. 11, line 2.} \\ ^{4739} \, \text{P-0187:} \, \underline{\text{T-164}}, \, \text{p. 11, lines 21-22, p. 12, lines 13-16.} \\ ^{4740} \, \text{P-0187:} \, \underline{\text{T-164}}, \, \text{p. 11, lines 22-23.} \\ \end{array}$

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was carrying and hide them as he had instructed her to do.⁴⁷⁴¹ The LRA fighter pushed P-0187 and wounded her in the stomach.⁴⁷⁴²

- 1814.P-0187 testified that in the course of the retreat, the group would step on the dead bodies that they found along the way. ⁴⁷⁴³ Eventually, the LRA and their abductees reached a place where they stopped. ⁴⁷⁴⁴ P-0187 was guarded by about six to eight LRA fighters. ⁴⁷⁴⁵
- 1815. The evidence supports P-0187's account that LRA fighters together with abducted civilians met at a rest stop before continuing on to eventually meet Dominic Ongwen and the other fighters they left behind. P-0205 testified that Sinia commander Kobbi, Ocaka, Ojok Kampala, other fighters met at this scheduled rest-stop, along with abductees, to itemise the items that had been seized from the camp. 4746 P-0205 stated that at this rest-stop, some civilians were released, in particular 'older people, mothers and men'. 4747 The Chamber notes that P-0142 and Lilly Apiyo also corroborate these accounts. 4748
- 1816. While at the resting place with the LRA and other abductees, an LRA fighter isolated P-0187, and raped her. 4749 P-0187 described what happened:

So as I came towards him he grabbed me by hand and threw me down, he threw me down, picked his penis and put it in my private part. He slept with me, had sex with me. And he never gave me back [the waist beads he had previously taken]. He just told me to go back. 'You just go back, don't say anything. I will kill you if you don't.' So I crawled back to my colleagues slowly. I was in pain. I did not explain anything to my colleagues. 4750

1817.P-0187 testified that after she re-joined the other abductees, the fighter kept coming back as though to check whether she would say anything to anyone. ⁴⁷⁵¹ P-0187 testified that she believed that at one point in time, he came back with the intention to kill her. ⁴⁷⁵² P-

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<sup>4741</sup> P-0187: T-164, p. 12, lines 4-9.

<sup>4742</sup> P-0187: T-164, p. 12, lines 9-16; T-165, p. 52, line 22 – p. 53, line 6.

<sup>4743</sup> P-0187: T-164, p. 13, lines 1-4.

<sup>4744</sup> P-0187: T-164, p. 13, lines 10-14.

<sup>4745</sup> P-0187: T-164, p. 23, lines 1-7.

<sup>4746</sup> P-0205: T-47-CONF, p. 55, line 24 – p. 56, line 11.

<sup>4747</sup> P-0205: T-47-CONF, p. 56, lines 4-15.

<sup>4748</sup> P-0142: T-71-CONF, p. 13, line 25 – p. 14, line 5; P-0195 Statement, UGA-OTP-0233-1046-R01, at paras 31-42 (mentioning notably that at the rest-stop one of the commanders was taking notes in a notebook); P-0187: T-164, p. 13, line 18 – p. 14, line 2, p. 36, line 18 – p. 38, line 21 (also similarly referring to the presence of a commander with a book and a pen).

<sup>4749</sup> P-0187: T-164-CONF, p. 32, line 15 – p. 33, line 5.

<sup>4750</sup> P-0187: T-164-CONF, p. 32, line 15 – p. 33, line 5. See P-0187: T-165-CONF, p. 37, line 20 – p. 38, line 9.
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⁴⁷⁵¹ P-0187: T-164-CONF, p. 33, line 6 – p. 34, line 13.

⁴⁷⁵² P-0187: T-164-CONF, p. 34, lines 1-13.

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0187 identified herself as being the woman pictured in UGA-OTP-0023-0395, ⁴⁷⁵³ a picture taken by police investigators of victims at Gulu hospital. The Chamber finds P-0187's testimony credible, detailed, and comprehensive. The Chamber is convinced that this event happened to her and that she spoke truthfully of it to the Chamber. The Chamber finds that the evidence shows that P-0187 was raped by an LRA fighter in the course of the LRA's retreat from Lukodi IDP camp. Further, Lilly Apiyo, a camp resident who was also abducted and taken to the rest-stop by the LRA fighters testified that while at the rest-stop, an LRA commander took away one of the abducted women. ⁴⁷⁵⁴ The woman remained with the commander and Lilly Apiyo did not know what happened to her at that time. ⁴⁷⁵⁵ In the context of Lilly Apiyo's testimony, it appears to the Chamber that this woman was one of the women abducted from Lukodi by the LRA. ⁴⁷⁵⁶ While the Chamber is not certain whether the woman Lilly Apiyo spoke of is P-0187, it notes Lilly Apiyo's testimony of an LRA fighter isolating a woman away from the other abductees during the rest-stop.

1818.P-0187 eventually managed to hide and escaped from her LRA abductors and was taken to the hospital by government soldiers. The Chamber also finds that armed LRA fighters abducted P-0187 and two other unnamed civilians and forced them to carry looted goods.

1819. Lilly Apiyo, Christine Alanyo, Milly Ayaa, Beatrice, Mary Aol, Min Lagum, Min Ojak, Min Ochora, Alora, Okumu and other abductees: Lilly Apiyo testified that an armed LRA fighter entered her house in the course of the attack holding a lit grass torch, and abducted her and her sister-in-law, Christine Alanyo, and forced them to carry bags of maize and beans. At the time, Lilly Apiyo was carrying her child Sidonia Akello on her back and Christine Alanyo was carrying her son Junior Ociti. Attended The LRA fighters escorted the abductees out of the camp in the eastern direction. At Lilly Apiyo

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<sup>4753</sup> P-0187: T-164-CONF, p. 35, line 23 – p. 36, line 4.
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⁴⁷⁵⁴ P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 40.

⁴⁷⁵⁵ P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 40.

⁴⁷⁵⁶ P-0195 Statement, UGA-OTP-0233-1046-R01, at paras 40, 43.

⁴⁷⁵⁷ P-0187: <u>T-164</u>, p. 38, line 19 – p. 40, line 21.

⁴⁷⁵⁸ P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 21.

⁴⁷⁵⁹ P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 19.

⁴⁷⁶⁰ P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 23.

⁴⁷⁶¹ P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 23.

and her sister-in-law were asked to carry a bag of beans that their abductor found inside the camp. 4762 Lilly Apiyo saw Milly Ayaa, Beatrice wife of Oryem and Mary Aol among the abductees being led out of the camp by the LRA. 4763 As the rebels and the abductees were on the edge of the camp, the government helicopter gunship arrived and the rebels and abductees hid. 4764 At that time, Christine Alanyo managed to escape with her child. 4765 As discussed below, 4766 in the course of the retreat from the camp, an LRA fighter threw Lilly Apiyo's daughter Sidonia Akello into the bush. By the time they reached the LRA's resting area, Lilly Apiyo was the only abductee remaining with the group that had abducted her; she was made to join other civilian abductees, a group of women and two men tied to each other at the waist. 4767 Among the women were Min Ajak, Min Ochora, Min Lagum and Beatrice. 4768 The two men among the abductees were Alora and Okumu. 4769 Alora returned to Lukodi camp. 4770 Okumu was never found. 4771 Lilly Apivo testified that she could not remember the names of some of the abductees that she saw. 4772 Lilly Apiyo was released by the LRA. 4773 The Chamber notes that David Komakech, Lilly Apiyo's husband, corroborates her account. 4774 The Chamber is convinced by Lilly Apiyo's consistent, detailed and credible account of her experience. The Chamber finds that the evidence shows that armed LRA fighters abducted Lilly Apiyo and other abductees and forced them to carry looted good.

1820. In addition to the experiences of the specific individuals discussed above, the evidence shows that LRA fighters mistreated abductees by forcing mothers to leave their children in the bush, under threat of harm. As the LRA retreated from Lukodi, LRA attacker P-0018 saw other LRA fighters tell abducted mothers to untie their children from their backs and leave them. 4775 P-0018 recalled hearing the children crying. 4776 In the course

4762 P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 23.

⁴⁷⁶³ P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 25.

⁴⁷⁶⁴ P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 26. ⁴⁷⁶⁵ P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 33.

⁴⁷⁶⁶ See para. 1825 below.

⁴⁷⁶⁷ P-0195 Statement, UGA-OTP-0233-1046-R01, at paras 34, 36.

⁴⁷⁶⁸ P-0195 Statement, UGA-OTP-0233-1046-R01, at paras 39-40, 47.

⁴⁷⁶⁹ P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 44.

⁴⁷⁷⁰ P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 44.

⁴⁷⁷¹ P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 44.

⁴⁷⁷² P-0195 Statement, UGA-OTP-0233-1046-R01, at paras 25, 39-40, 44.

⁴⁷⁷³ P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 43.

⁴⁷⁷⁴ P-0185 Statement, UGA-OTP-0233-1020-R01, at paras 26, 28.

⁴⁷⁷⁵ P-0018: <u>T-69</u>, p. 16, lines 4-8, 16-22.

⁴⁷⁷⁶ P-0018: <u>T-69</u>, p. 16, line 23 – p. 17, line 7.

of her escape from the LRA immediately after the attack, P-0018 and a woman who had escaped from the LRA after being abducted from the camp took some of the children back to the camp in the morning. Similarly, P-0024 testified that some abductees had their children strapped to their backs when they were abducted. The LRA took those children and threw them away.

- 1821.P-0024 knew of four children whom the LRA threw away in such a fashion, one was her two week old son ______, 4780 whom she testified the LRA took and threw away in the course of the retreat, when it was getting dark. P-0024 testified that her son was naked when he was thrown into the bush and stayed that way overnight. The other children were all less than a year old, all babies that were still breast-feeding. P-0024 testified that she pled with the LRA fighters to not throw her baby away, but they told her "if you continue talking, then you are going to see, you are going to see what will happen to you later". The LRA fighters did not listen to anyone's pleading. P-0024 identified her child who was 'thrown away' by the LRA as the child pictured in UGA-OTP-0023-0397. The dark thrown away' by the LRA as the child pictured in UGA-OTP-0023-0397.
- 1822. According to P-0024, the children stayed in the bush until the morning when the government soldiers rescued them. ⁴⁷⁸⁷ The morning after the attack while being taken to the hospital by government soldiers, P-0024 was reunited with her son; he was so sick that she could not breast feed. ⁴⁷⁸⁸
- 1823. Consistent with the other accounts, P-0187 testified that she saw the LRA throw the child of one of the women she was abducted with into the bush. 4789 The child, about two or three months old, was thrown into the bush because it was crying. 4790 The child was later

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<sup>4777</sup> P-0018: <u>T-69</u>, p. 16, line 23 – p. 17, line 7, p. 18, lines 17-19.

<sup>4778</sup> P-0024: <u>T-77</u>, p. 39, lines 7-10.

<sup>4779</sup> P-0024: <u>T-77</u>, p. 39, lines 7-12.

<sup>4780</sup> P-0024: <u>T-77</u>, p. 39, lines 5-17; <u>T-78</u>, p. 40, lines 4-15.

<sup>4781</sup> P-0024: <u>T-77</u>, p. 39, lines 5-17; <u>T-78</u>, p. 46, lines 6-22, p. 49, lines 9-19.

<sup>4782</sup> P-0024: <u>T-77</u>, p. 64, lines 12-16.

<sup>4783</sup> P-0024: <u>T-77</u>, p. 40, lines 4-9.

<sup>4784</sup> P-0024: <u>T-78</u>, p. 50, line 25 – p. 51, line 21.

<sup>4785</sup> P-0024: <u>T-78</u>, p. 51, lines 8-11.

<sup>4786</sup> See P-0024: <u>T-77</u>, p. 56, line 10-21. See Photograph, UGA-OTP-0023-0397.

<sup>4787</sup> P-0024: <u>T-77</u>, p. 39, lines 18-23.

<sup>4788</sup> P-0024: <u>T-77</u>, p. 41, lines 2-9.

<sup>4789</sup> P-0187: <u>T-164</u>, p. 21, lines 13-18, p. 25, line 19 – p. 26, line 11.

<sup>4790</sup> P-0187: <u>T-164</u>, p. 13, lines 4-5, p. 25, line 19 – p. 26, line 11.
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found alive. ⁴⁷⁹¹ P-0187 testified that there were other children who were thrown in the bush and were crying. ⁴⁷⁹² P-0187 stated that '[s]o, so many children were thrown away in the bush'. ⁴⁷⁹³

- 1824. Lilly Apiyo also testified that as the abductees and the LRA fighters were moving from Lukodi, she could hear the crying of children who had been thrown in the bush by the LRA fighters. 4794 Lilly Apiyo saw a baby, about three months old, lying on the bridge crying. 4795 The child was not killed and was later found alive. 4796 Lilly Apiyo testified that all the children who had been left along the way were later collected, 4797 some of the children who had been crying on the way to the LRA's base were killed, and Lilly Apiyo saw their bodies when she returned to the camp. 4798
- 1825. During her abduction, Lilly Apiyo had her daughter Sidonia Akello tied to her back; as the group walked on, a young LRA fighter whom Lilly Apiyo referred to as a 'kadogo' came to her and said 'do you see any other person with a child' and made her remove her daughter and put her down. The LRA fighter then picked up Sidonia Akello and threw her into the bush. Lilly Apiyo testified that at the time, 'all [she] could think of was death'. Lilly Apiyo was forced to continue walking after the fighter threw her child away. Lilly Apiyo testified that another young LRA fighter later came up to her and asked her what had happened to her child, when she told him that she had been thrown away, he replied 'some people are really bad'. Sidonia Akello was later found, mostly unhurt but with some bruises on her face. David Komakech, Lilly Apiyo's husband, corroborates her account. He testified that his wife, Lilly Apiyo, and young daughter, Sidonia Akello, were abducted. Sidonia Akello was found and carried back to the

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4791 P-0187: T-164, p. 21, lines 19-25.

4792 P-0187: T-164, p. 13, lines 4-5.

4793 P-0187: T-164, p. 13, lines 7-8.

4794 P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 27.

4795 P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 28.

4796 P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 28.

4797 P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 28.

4798 P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 50.

4799 P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 29.

4800 P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 29.

4801 P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 29.

4802 P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 30.

4803 P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 35.

4804 P-0195 Statement, UGA-OTP-0233-1046-R01, at paras 53-54.

4805 P-0185 Statement, UGA-OTP-0233-1020-R01, at paras 26, 28.
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camp by other persons. 4806 David Komakech testified that when she was found, she was crying but there was no sound coming out. 4807 She had been alone without her mother and in the cold for the whole night. 4808

- 1826.P-0187 testified that the day after the attack, after children were collected from the bush, some mothers could not recognise their own children because the children were so bruised and swollen; they had also cried so much that they lost their voices. 4809
- 1827. A number of witnesses speculated about the reasons the LRA made women throw their babies away. P-0018 stated that it was because children could cry and government soldiers would find the LRA. Similarly, P-0024 speculated that this was because the LRA thought the crying children would alert the government soldiers to the LRA's location and that the abductees' attention would be diverted to their children and not on the loads they were supposed to carry. P-0187 also testified that the LRA fighters would just pick up the babies and throw them away because the babies were crying and the LRA were concerned that the babies would be heard and they would be followed. The Chamber notes that the reasons for the LRA forcing mothers to abandon their children are interpretation of the witnesses. The Chamber however finds these testimonies relevant to explain the circumstances of the incidents.
- 1828. As the Chamber discussed above some abductees managed to escape when the helicopter gunship arrived. Some continued with the LRA to a rest stop and were later released, particularly the elderly. The evidence discussed above indicates that some civilians, especially men, were killed.
- 1829. As a result of the LRA fighters trying to evade the hovering helicopter gunship, some abductees managed to escape, however others remained in the custody of the LRA. 4814

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⁴⁸⁰⁶ P-0185 Statement, UGA-OTP-0233-1020-R01, at para. 26.

⁴⁸⁰⁷ P-0185 Statement, UGA-OTP-0233-1020-R01, at para. 26.

⁴⁸⁰⁸ P-0185 Statement, UGA-OTP-0233-1020-R01, at para. 26.

⁴⁸⁰⁹ P-0187: <u>T-164</u>, p. 25, lines 2-9.

⁴⁸¹⁰ P-0018: <u>T-69</u>, p. 16, lines 4-8, 16-22.

⁴⁸¹¹ P-0024: <u>T-77</u>, p. 41, lines 19-23.

⁴⁸¹² P-0187: T-164, p. 13, lines 5-9.

⁴⁸¹³ P-0205: T-47-CONF, p. 56, lines 4-13, p. 57, lines 13-17.

⁴⁸¹⁴ P-0024: <u>T-78</u>, p. 49, lines 9-19 (stating that she managed to escape when the gunships came and disrupted the LRA's retreat from the camp); P-0195 Statement, UGA-OTP-0233-1046-R01, at para. 33 (stating that her sister-in-law managed to escape the custody of the LRA when the helicopters came, whereas the witness herself

The evidence indicates that at least a few abductees continued on with the LRA. P-0142 testified that there were two young boys, possible 16-18 years old in his estimation, amongst the abductees who were 'recruited as soldiers' in the LRA. 4815

1830. Regarding the number of persons abducted, P-0187 testified that many people were abducted and forced to carry looted goods by the LRA. 4816 P-0205 testified that he saw about 10 civilians, a mixed group of men and women, who were made to carry food. 4817 P-0024 testified that she was in a group of eight persons during her abduction. 4818 Similarly, Lilly Apiyo recalled the names of eight persons she saw during her abduction and indicated that there were many other abductees. 4819 The Chamber notes that it is not clear whether all the camp residents that were abducted were in the same group. The evidence also suggests that LRA fighters moved in separate groups with their abductees for at least a portion of the time. The Chamber discussed the abduction of 29 civilians in depth. 4820 The Chamber finds that the LRA abducted at least these 29 civilians from Lukodi IDP camp and placed them under military guard to prevent their escape; civilians were forced to carry looted goods away from the camp under threat of death or beatings.

The killing of civilians was not confined to the area of Lukodi IDP camp. After they left Lukodi, LRA fighters killed persons they had abducted from the camp. Among the civilians killed in the retreat were Nancy Akello, an unnamed man stabbed with a bayonet, an unnamed abductee, Justin Omony, Aleka, and Charles Obwoya. 4821

- 1831.Below, the Chamber discusses the evidence of specific individuals killed during the course of the LRA's retreat from the camp.
- 1832. Nancy Akello: P-0187 testified that in the course of the retreat, after the helicopter gunship had arrived, 4822 the group she was moving in as an abductee 'would step on the bodies that [they found] along the way'. 4823 P-0187 testified that one of the bodies

remained abducted); P-0187: <u>T-164</u>, p. 11, line 23 – p. 12, line 16 (stating that she remained with the LRA after the helicopter gunship came).

⁴⁸¹⁵ P-0142: <u>T-71</u>, p. 16, line 9 – p. 18, line 14.

⁴⁸¹⁶ P-0187: <u>T-164</u>, p. 23, lines 13-18.

⁴⁸¹⁷ P-0205: T-47-CONF, p. 60, lines 5-9.

⁴⁸¹⁸ P-0024: <u>T-77</u>, p. 19, line 25 – p. 20, line 13, p. 26, lines 11-14, p. 27, lines 13-15.

⁴⁸¹⁹ P-0195 Statement, UGA-OTP-0233-1046-R01, at paras 19, 23, 25, 40.

⁴⁸²⁰ See the Chambers discussion of persons abducted above as well as its discussion of abducees killed below.

⁴⁸²¹ Para. 188 above.

⁴⁸²² The Chamber notes that P-0187 did not indicate that the helicopter gunship shot at the retreating fighters.

⁴⁸²³ P-0187: <u>T-164</u>, p. 13, lines 1-4.

stepped upon was the body of Nancy Akello, the child of Lucy Akot. Akello 187 testified that she personally stepped on the body of Nancy Akello and indicated that she could see the victim because the LRA had a torch they were using to illuminate their way. Akello 187 testified that Nancy Akello had a mental disability. Akello 187 testified that Nancy Akello's body was never recovered after the attack. Akello 187 P-0024 testified that a 'very young' girl, around 10 years old, called 'Akello Nancy', was abducted and given luggage to carry by the LRA; she has never been found since the attack. In light of the totality of the evidence, the Chamber finds that the LRA killed Nancy Akello in the course of their retreat from Lukodi IDP camp.

- 1833. **Unnamed man stabbed with bayonet**: In the course of the retreat from the camp, LRA fighter P-0018 saw the LRA stab a man in the chest with a bayonet because he did not want to walk or carry luggage for the LRA. The Chamber finds P-0018's testimony credible. The Chamber finds that the LRA killed the abductee in the course of their retreat from Lukodi IDP camp.
- 1834. Unnamed abductee: Sinia fighter P-0406 testified that he witnessed the killing of a Lukodi abductee who was not moving fast enough during the retreat from the camp. 4831 P-0406 testified that LRA commander Okwee ordered the killing. 4832 P-0406 testified that the abductee was killed by another newly abducted person. 4833 P-0406 estimated that the new abductee who killed this person was between 12 and 13 years old. 4834 In this context, P-0406 stated 'once you have been picked, you cannot refuse. You have to go and do the order and execute the orders. If you refuse, then they will also kill you'. 4835 The Chamber finds that the LRA killed the unnamed abductee in the course of their retreat from Lukodi IDP camp.

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<sup>4824</sup> P-0187: <u>T-164</u>, p. 26, line 17 – p. 27, line 1.

<sup>4825</sup> P-0187: <u>T-164</u>, p. 26, line 17 – p. 27, line 1.

<sup>4826</sup> P-0187: <u>T-164</u>, p. 26, lines 17-25.

<sup>4827</sup> P-0187: <u>T-164</u>, p. 41, lines 13-15.

<sup>4828</sup> P-0024: <u>T-77</u>, p. 28, lines 2-11, 16-20; <u>T-78</u>, p. 53, line 12-21.

<sup>4829</sup> The Chamber recalls its above finding that the killings in Lukodi IDP camp are attributable to the LRA.

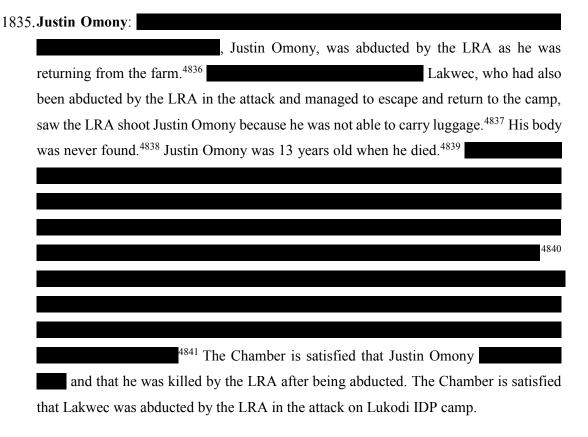
<sup>4830</sup> P-0018: <u>T-69</u>, p. 16, lines 8-12.

<sup>4831</sup> P-0406: <u>T-154</u>, p. 58, lines 19-24.

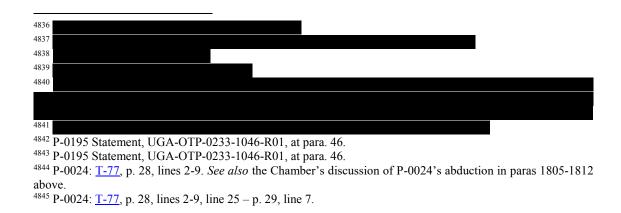
<sup>4832</sup> P-0406: <u>T-154</u>, p. 58, line 19 – p. 59, line 1.

<sup>4834</sup> P-0406: <u>T-154</u>, p. 59, lines 19-21.

<sup>4835</sup> P-0406: <u>T-154</u>, p. 59, lines 2-8.
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1836. **Aleka**: Lilly Apiyo testified that as she was returning to the camp from her abduction, she saw the body of Aleka, a camp resident, near the Unyama stream. Aleka was lying on his back, bare chested with a bullet wound on his chest. P-0024, who was abducted by the LRA in the course of the attack, testified that the morning after the attack, she was told by other returned abductees that the other seven people she had been abducted with had been killed. P-0024 testified that only one of these seven bodies was ever recovered, the body of a man called Aleka, who was over 50 years old. P-0024 indicated that she was told that the LRA shot and killed Aleka because he was weak and



could not carry the load any further. 4846 The Chamber finds both P-0024 and Lilly Apiyo to be credible and reliable witnesses. Their testimony in relation to Aleka is specific, consistent and credible. The Chamber finds that during the retreat from Lukodi IDP camp, the LRA killed Aleka, a person they had abducted, by shooting him.

1837. Charles Obwoya: P-0187 testified that after she was freed from her abduction by the LRA in the morning after the attack, she reached the area where the LRA had spent the night after the attack, where she came across the body of a man, 'Obwoya', who had been killed and was lying on his belly. 4847 P-0187 testified that Obwoya was naked, lying on his belly with his head turned to the side; his body was swollen and 'so big', even the head was swollen. 4848 A dead sheep's head was placed between his legs. 4849 Because the head was turned to the side, P-0187 could see his face clearly. 4850 P-0187 reacted when she found the body there, 'I was just pleading and talking to myself, "Look, there is nothing now I can do for you. I am also like a dead person". 4851 P-0187 testified that she left Obwoya there and moved on. 4852 She stated that government soldiers later went to look for his body, but it was never found and never brought home. 4853 The Chamber finds P-0187's testimony as to Obwoya's death specific, rich with detail, internally consistent and entirely credible. Santo Ojera corroborated P-0187's account insofar as he included Charles Obwoya in his list of persons killed during the May 2004 Lukodi attack. 4854 In light of the totality of the evidence, ⁴⁸⁵⁵ and noting especially the details of his death, the Chamber finds that he was killed by LRA fighters in the course of their retreat from Lukodi IDP camp.

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<sup>4846</sup> P-0024: T-77, p. 29, lines 5-9.

<sup>4847</sup> P-0187: T-164, p. 39, line 17 – p. 40, line 4, p. 40, line 22 – p. 41, line 10.

<sup>4848</sup> P-0187: T-164, p. 41, lines 5-10.

<sup>4849</sup> P-0187: T-164, p. 39, line 24 – p. 40, line 1.

<sup>4850</sup> P-0187: T-164, p. 41, lines 5-10.

<sup>4851</sup> P-0187: T-164, p. 39, line 17 – p. 40, line 4.

<sup>4852</sup> P-0187: T-164, p. 39, line 17 – p. 40, line 4.

<sup>4853</sup> P-0187: T-164, p. 39, line 17 – p. 40, line 4.

<sup>4854</sup> P-0060's List of persons killed in Lukodi IDP camp attack, UGA-OTP-0069-0049, at 0052. See P-0060 Statement, UGA-OTP-0069-0034-R01, at paras 32, 42, 71-76, 79.

<sup>4855</sup> The Chamber recalls its above finding that the killings in Lukodi IDP camp are attributable to the LRA.
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LRA fighters returned from the attack and reported to Dominic Ongwen about the success of their mission. 4856

- 1838. According to the evidence, the LRA fighters continued walking the following day and re-joined Dominic Ongwen in the evening of that day. P-0142 and P-0410 explained that on the way back, the attackers moved in separate groups. 4858
- 1839.P-0054, a Sinia fighter who did not participate in the attack on Lukodi IDP camp, testified that before the attackers returned, there was an announcement on Mega FM public radio that the LRA had attacked Lukodi IDP camp and had killed a number of people and burnt houses. Similarly, P-0142 testified that the day after the attack, when the attackers were 'about to reach Dominic', the radio reported that LRA fighters went and attacked the government soldiers and civilians in Lukodi and civilians died and houses were burnt down. 4860
- 1840.P-0205 gave a corroborative account, testifying that before his group of fighters had rejoined Dominic Ongwen, a message by the RDC was broadcast on FM radio, saying 'Dominic, we found out that you have sent people to attack Lukodi. You killed many people. You burnt all the houses.'
- 1841.P-0205 also testified that after the standby came back to re-join the rest of the group, they reported on the operation to Dominic Ongwen, informing him that the attack was smooth, the barracks was overrun, the radio mentioned that many people died and the camp was burnt down. 4862 P-0205 testified that Ocaka, the commander of the attack, gave a written report as well as a verbal one to Dominic Ongwen. 4863 P-0142 corroborates this account,

⁴⁸⁵⁶ Para. 189 above.

⁴⁸⁵⁷ P-0142: T-71-CONF, p. 13, line 25 – p. 14, line 16 (testifying that the LRA continued the following morning and returned in the evening to the position where they had left Dominic Ongwen and met with him); P-0406: <u>T-154</u>, p. 62, lines 14-17 (stating that the morning after the attack, the participating LRA fighters were 'in the convoy' and 'then' met with Dominic Ongwen); P-0410: <u>T-151</u>, p. 68, line 23 – p. 69, line 2 (stating that he saw Dominic Ongwen at the gathering place when the fighters returned from the attack).

⁴⁸⁵⁸ P-0142: <u>T-71</u>, p. 13, line 25 – p. 14, line 3; P-0410: <u>T-151</u>, p. 68, line 23 – p. 69, line 2.

⁴⁸⁵⁹ P-0054: T-93, p. 30, lines 4-17, p. 32, lines 17-22.

⁴⁸⁶⁰ P-0142: T-70, p. 63, lines 14-16, p. 66, lines 18-22; T-71, p. 20, lines 3-8.

⁴⁸⁶¹ P-0205: T-47-CONF, p. 56, line 16 – p. 57, line 2. The Chamber understands RDC to mean resident district commissioner.

⁴⁸⁶² P-0205: <u>T-47</u>, p. 61, lines 11-19.

⁴⁸⁶³ P-0205: <u>T-47</u>, p. 61, line 20 – p. 63, line 2; T-51-CONF, p. 11, lines 8-17.

testifying that he saw Ocaka in conversation with Dominic Ongwen discussing the outcome of the events in Lukodi. 4864

1842.P-0205 testified that Dominic Ongwen appreciated 'the work well done'. 4865

1843. The Defence raised P-0205's previous statement to the Prosecution, in which he stated that he heard of civilian deaths on Mega FM public radio and that he raised this radio broadcast with Dominic Ongwen. He Defence noted that in P-0205's statement, he had reported that Dominic Ongwen stated, 'If the civilians had died then they have died, but what he knows is that he did not kill them'. He Defence also noted that P-0205 had stated that the LRA fighters had not written in their report that they killed any civilians. He Re Chamber notes that P-0205 testified that he did not personally see any civilian deaths and did not report seeing any civilian deaths He did not carried out the killing but did not tell the others. He group that went to collect food carried out the killing but did not tell the others.

1844. In this context, the Chamber recalls the testimony of P-0101, one of Dominic Ongwen's so-called 'wives' who testified that she overheard Dominic Ongwen reproaching Ocaka saying that he had asked Ocaka to go and attack soldiers and take food and get civilians to carry the loot and that he had told them not to kill children, not to kill civilians but Ocaka had killed children and civilians and now 'they would say he is the one who did it'. According to P-0101, Dominic Ongwen reproached Ocaka saying that Ocaka was spoiling his name on the radio. The Chamber does not consider it exceptional that one of Dominic Ongwen's so-called 'wives' overheard him discussing the attack with Ocaka. However, the Chamber notes that evidence shows that Dominic Ongwen ordered his fighters to attack Lukodi IDP camp and everyone within it and also, as discussed below, later reported his fighters' success to his superiors. Nothing in Dominic

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<sup>4864</sup> P-0142: T-71, p. 20, lines 12-23.

<sup>4865</sup> P-0205: T-47, p. 61, lines 11-19.

<sup>4866</sup> P-0205: T-51-CONF, p. 15, line 17 – p. 16, line 6.

<sup>4867</sup> P-0205: T-51-CONF, p. 16, lines 7-9.

<sup>4868</sup> P-0205: T-51-CONF, p. 15, line 17 – p. 16, line 21.

<sup>4869</sup> P-0205: T-51-CONF, p. 16, lines 18-21.

<sup>4870</sup> P-0205: T-51-CONF, p. 12, lines 5 – p. 14, line 2.

<sup>4871</sup> P-0205: T-51-CONF, p. 14, lines 9-15.

<sup>4872</sup> P-0101: T-13, p. 32, line 5 – p. 33, line 13.

<sup>4873</sup> P-0101: T-13, p. 32, line 5 – p. 33, line 13.
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Ongwen's reports to his superiors about the attack indicates that he disavowed the killings of civilians in the camp; indeed, he appears to laud the killings. In light of the overwhelming evidence to contrary, P-0101's testimony does not undermine the Chamber's findings as to the orders Dominic Ongwen gave for the attack on Lukodi IDP camp.

1845. The Chamber notes that P-0142 somewhat contradicts the accounts that Dominic Ongwen knew about the reports of civilian deaths in Lukodi. P-0142 testified that after the fighters returned from Lukodi, Dominic Ongwen was unhappy that people were not killed there. The Chamber also notes that P-0142 testified that 'we' heard over the radio a report stating that people were killed in Lukodi by the LRA. Given the ample evidence that Dominic Ongwen heard about the civilians' deaths in Lukodi and reported it to his superiors, the Chamber finds his testimony unreliable in this regard.

v. Dominic Ongwen's reporting of the attack

Dominic Ongwen also reported his soldiers' attack on Lukodi IDP camp to other LRA commanders, including Joseph Kony and Vincent Otti. Dominic Ongwen took responsibility for the attack on Lukodi IDP camp. 4878

1846. A record of an intercepted radio communication shows Dominic Ongwen, Vincent Otti and others speaking over the radio on 21 May 2004, 4879 after the attack on Lukodi IDP camp attack but before the standby had returned to his position to give a formal report on the attack. 4880 In the communication, Dominic Ongwen, using the call sign Tem Wek Ibong, reported that he carried out the attack on Lukodi. 4881 Vincent Otti asked Dominic Ongwen, '[w]ho was responsible for the Lukodi attack/who hit Lukodi' and Dominic

4879 See Chamber's discussion of the Enhanced audio recording UGA-OTP-0239-0123 at section IV.B.3.ii.n above

⁴⁸⁷⁵ P-0142: <u>T-71</u>, p. 18, line 17 – p. 19, line 16.

⁴⁸⁷⁶ It is not clear to the Chamber whether the witness includes Dominic Ongwen in this reference to 'we'.

⁴⁸⁷⁷ See P-0142: T-71, p. 20, lines 3-8.

⁴⁸⁷⁸ Para. 189 above.

⁴⁸⁸⁰ See P-0016 Tape 824 Transcript, UGA-OTP-0129-0419, at 0428-29; P-0016: <u>T-32</u>, p. 75, line 14 – p. 76, line 4; <u>T-35</u>, p. 30, line 16 – p. 33, line 13 (P-0016 testified that Dominic Ongwen (Tem Wek Ibong) stated 'It's my people who attacked [Lukodi], but I've not yet met up with them').

⁴⁸⁸¹ See Enhanced audio recording, UGA-OTP-0239-0123; P-0003 Tape 824 Transcript, UGA-OTP-0132-0093-R01, at 0102-03; P-0003: <u>T-42</u>, p. 78, line 11 – p. 79, line 23; P-0016 Tape 824 Transcript, UGA-OTP-0129-0419, at 0428-29; P-0016: <u>T-32</u>, p. 75, line 14 – p. 77, line 17; P-0059 Tape 824 Transcript, UGA-OTP-0266-0084, at 0137-39; P-0059: <u>T-36</u>, p. 75, line 23 – p. 76, line 23; <u>T-38</u>, p. 66, lines 15-25; <u>T-39</u>, p. 5, lines 6-14, p. 8, line 23 – p. 9, line 13, p. 16, line 8 – p. 19, line 8; P-0440 Tape 824 Transcript, UGA-OTP-0262-0235-R01, at 0288-90; P-0440: <u>T-40</u>, p. 30, line 3 – p. 36, line 13.

Ongwen responded '[t]hat was me.'4882 The LRA fighters involved in the communication continued to discuss the attack, saying, 'I heard that they burned more than 100 houses' and '[t]hey killed more than fifty – Twenty five people'. 4883 As discussed above, several witnesses testified that at that point, Mega FM radio had reported the attack on Lukodi IDP camp, including killings and burnings of homes. 4884 It is notable that the transcript of the communication does not indicate that the participants questioned the accuracy of the information about killings and burning of houses. Instead, reference was made in this context to 'the people' being 'full of morale'. 4885

1847. Additionally, logbooks, prepared by UPDF and ISO officers, contain contemporaneous written record of the radio communication; the logbooks are also in line with the testimonies of P-0003, P-0016, P-0059 and P-0440. Each logbook denotes Dominic Ongwen taking responsibility for the attack on Lukodi IDP camp.

 $^{^{4882}}$ See Enhanced audio recording, UGA-OTP-0239-0123; P-0003 Tape 824 Transcript, UGA-OTP-0132-0093-R01, at 0102-03; P-0003: $\underline{T\text{-}42}$, p. 78, line 11 - p. 79, line 23; P-0016 Tape 824 Transcript, UGA-OTP-0129-0419, at 0428-29; P-0016: $\underline{T\text{-}32}$, p. 75, line 23 - p. 77, line 17; $\underline{T\text{-}35}$, p. 30, line 16 - p. 33, line 13; P-0059 Tape 824 Transcript, UGA-OTP-0266-0084, at 0137-39; P-0059: $\underline{T\text{-}36}$, p. 73, line 14 - p. 74, line 3; $\underline{T\text{-}38}$, p. 66, lines 15-25; $\underline{T\text{-}39}$, p. 8, line 23 - p. 9, line 13, p. 16, line 8 - p. 19, line 8; P-0440 Tape 824 Transcript, UGA-OTP-0262-0235-R01, at 0288-90; P-0440: $\underline{T\text{-}40}$, p. 30, line 3 - p. 36, line 13. 4883 See Enhanced audio recording, UGA-OTP-0239-0123; P-0003 Tape 824 Transcript, UGA-OTP-0132-0093-

⁴⁸⁸³ *See* Enhanced audio recording, UGA-OTP-0239-0123; P-0003 Tape 824 Transcript, UGA-OTP-0132-0093-R01, at 0102-03; P-0003: <u>T-42</u>, p. 80, line 2 – p. 81, line 2; P-0016 Tape 824 Transcript, UGA-OTP-0129-0419, at 0428-29; T-35-CONF, p. 29, line 18 – p. 33, line 13; P-0059 Tape 824 Transcript, UGA-OTP-0266-0084, at 0113-15, 0137-39; P-0059: <u>T-36</u>, p. 73, line 14 – p. 77, line 3; P-0440 Tape 824 Transcript, UGA-OTP-0262-0235-R01, at 0288-90.

⁴⁸⁸⁴ See paras 1839-1840, 1843 above.

⁴⁸⁸⁵ See Enhanced audio recording, UGA-OTP-0239-0123; P-0003 Tape 824 Transcript, UGA-OTP-0132-0093-R01, at 0102-03; P-0016 Tape 824 Transcript, UGA-OTP-0129-0419, at 0428-29; P-0059 Tape 824 Transcript, UGA-OTP-0266-0084, at 0137-38; P-0440 Tape 824 Transcript, UGA-OTP-0262-0235-R01, at 0288-89.

⁴⁸⁸⁶ ISO Logbook (Gulu), UGA-OTP-0061-0206, at 0320-22 (the logbook, dated 21 May 2004, notes 'Otti asked Dominic who attacked Lukodi. Dominic replied that he is the one who attacked'); UPDF Logbook (Gulu), UGA-OTP-0254-2982, at 3045-46 (the logbook, dated 21 May 2004, notes 'Otti reported to Kony that radio news reported a certain LRA group under unknown commanders attacked and killed 25 civs including young people and burnt about 100 houses in Lukodi centre in Bungatira [...] Dominic told Otti that he is the who made that deployment'); UPDF Logbook (Lira), UGA-OTP-0255-0228, at 0263-64 (the logbook, dated 21 May 2004, notes 'Dominic has appeared on air about late and reported to V. Otti that his splinters grps attacked Lukodi centre near Bungatira, but the forces haven't join[ed] him yet and otherwise he is expecting the forces will be reported back to him tomorrow. V. Otti however has advised Dominic they shd continue with attacking civs in the internal displaced camps til the civilians [...] camps remain empty'); UPDF Logbook (Sudan), UGA-OTP-0242-7194, at 7291-92 (the logbook, dated 21 May 2004, notes 'Otti informed Kony that he have heard that a group of LRA whose his commander not known went and attacked Lukodi camp [...] and killed 25 civis and burnt over 100 huts. [...] Otti asked Dominic if he is the one who attacked Lukodi in Bungatira. Dominic said it seems to be his group as he was sent them out, otherwise details to follow. Otti congratulates him and said the forces continue killing pple. So far Dominic said the moral of soldiers is very high'). The Chamber notes that the logbooks indicate that Kony was also on the radio communication at least at an earlier point. See also 21 May 2004 Intelligence Report, UGA-OTP-0017-0268, at 0271-73.

1848.On 24 May 2004, several days after the attack, UPDF and ISO logbooks again recorded an intercepted radio communication of Dominic Ongwen making a report to other LRA commanders, including Joseph Kony, claiming responsibility for the attack on Lukodi IDP camp attack. The ISO logbook entry at 18:30 reported:

Dominic stopped Abudema and started sending his achievements in the Logole (Lukome) attack. That he clashed with UPDF in Lukome and charged [items]. He said the UPDF were alert/aware of their coming but still they managed to overrun them. He claimed nothing wrong happened to their side at all. That the UPDF ran away, and later they called g/ship [...] Kony instructed Dominic that he should now use all the bullets he had, and also distribute some to all soldiers to carry on any mission. Dominic said if civilians die he feels happy. [...] Abudema asked Dominic to reserve for him 03 pairs of uniform and 03 pairs of shoes'. 4887

1849. Noting the reference to Lukome, the Chamber recalls that several witnesses indicated that Lukome is the same place as Lukodi. 4888

1850. The UPDF logbook recorded in Gulu intercepted the same radio conversation at 18:30:

Dominic reported to Kony that he caused havoc in Lokodi camp and that he decided to kill all living things in that camp where by even he is very sure that Museveni will note him as Odiambo according to the incident which Odiambo had done in Barlonyo. [...] Dominic claimed to have charged the following [from] UPDF after disorganising the camp. [...] He said that in that very day gunship came for reinforcement in that camp but they did not hit him. Kony said that let Dominic fight seriously. [...] Abudema told Dominic to reserve for him 03 pairs of uniforms and 03g/ boots [...]. 4889

1851. The UPDF logbook recorded in Lira recorded the same 18:30 radio reports and also corresponds with the other logbooks:

Dominic has reported to Kony and Abudema that attack on Lukodi internal displaced camps and the UPDF barracks. They have charged the following items [...]. Dominic further told Kony that [...] numbers of civilians were killed in the camps while Dominic said at that very night UPDF gunship came to reinforce to rescue the civilians in the camps and they tried to fire at the gunship and the gunship returned back [...]. Kony ordered Dominic to continued killing civilians in the internal displaced camps as he wants [...] Abudema has instructed Dominic to keep 03 pairs of uniforms and 03 pairs of footwears for him. 4890

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⁴⁸⁸⁷ ISO Logbook (Gulu), UGA-OTP-0061-0206, at 0329.

⁴⁸⁸⁸ P-0406; T-154, p. 52, lines 12-18; P-0410; T-151, p. 51, lines 22-25; P-0018; T-69, p. 8, lines 12-18.

⁴⁸⁸⁹ UPDF Logbook (Gulu), UGA-OTP-0254-2982, at 3050.

⁴⁸⁹⁰ UPDF Logbook (Lira), UGA-OTP-0255-0228, at 0277-79.

- 1852. The 18:30 entry in the UPDF logbook recorded in Sudan reported on the same conversation in less detail and noted 'Dominic reported to Abudema that some time back he went and attacked the det of UPDF in Lukoli near Lukome and charged the following [...]. Abudema told him to keep 04 pairs of uniforms and 04 pair of g/boots for him one will be issue to Kony'. 4891
- 1853. While these four, independently recorded, logbooks, contain some discrepancies in detail, the logbooks indicate that Dominic Ongwen reported the attack, the report was received with approval and that the killing of civilians was discussed among the LRA participants on the intercepted radio communication.
- 1854. This is further confirmed by P-0016, an LRA signaller, who testified that at the time of the Lukodi attack, he was in Pader with Ocan Bunia and he heard Dominic Ongwen send a radio communication to Vincent Otti about the Lukodi attack. 4892
- 1855. The Chamber also notes that Dominic Ongwen was promoted by Joseph Kony after the Lukodi attack. 4893 This is demonstrated by a record of an intercepted radio communication between Joseph Kony, Vincent Otti and others speaking over the radio on 30 May 2004. In the communication, Joseph Kony promotes Dominic Ongwen, among others. 4894 Logbooks, prepared by UPDF, ISO and police officers, contain contemporaneous written record of the radio communication and serve as corroboration of its content. 4895
- 1856. Further, an ISO logbook, dated 31 May 2004, denotes an intercepted radio communication between Joseph Kony, Vincent Otti, Dominic Ongwen and other LRA fighters in which Vincent Otti notes that a UDPF Lt. Col Walter Ochora was on Mega Radio and was 'annoyed of what Tulu and Dominic did in Lukodi [...] killing several

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⁴⁸⁹¹ UPDF Logbook (Sudan), UGA-OTP-0242-7194, at 7299.

⁴⁸⁹² P-0016: T-34-CONF, p. 11, lines 11-22. *See also* <u>T-32</u>, p. 75, line 24 – p. 76, line 12.

⁴⁸⁹³ See also paras 1078-1083 above, the Chamber's discussion of Dominic Ongwen's promotion to Colonel. ⁴⁸⁹⁴ See Enhanced audio recording, UGA-OTP-0239-0112; P-0003 Tape 830 Transcript, UGA-OTP-0248-0143-R01, at 0179-82; P-0003: <u>T-43</u>, p. 40, line 18 – p. 43, line 15; P-0016 Tape 830 Transcript, UGA-OTP-0259-0116, at 0119-21; P-0016: <u>T-33</u>, p. 3, line 2 – p. 6, line 15; P-0059 Tape 830 Transcript, UGA-OTP-0248-0381-R01, at 0417-19; P-0059: T-37-CONF, p. 23, line 21 – p. 26, line 13; P-0440 Tape 830 Transcript, UGA-OTP-0262-0425-R01, at 0438-45; P-0440: T-40-CONF, p. 42, line 11 – p. 44, line 13.

⁴⁸⁹⁵ ISO Logbook (Gulu), UGA-OTP-0062-0002, at 0004; UPDF Logbook (Gulu), UGA-OTP-0254-2982, at 3061-62; UPDF Logbook (Lira), UGA-OTP-0255-0228, at 0304-06 and Police Logbook, UGA-OTP-0037-0002, at 0107.

civ'. ⁴⁸⁹⁶ Vincent Otti is then recorded as addressing Tulu, telling him that he should carry forward because civilians were their 'first enemy'. ⁴⁸⁹⁷ Buk Abudema also encouraged Tulu to 'increase on killing civilians'. Tulu replied that that was 'what their god has promised them to do'. ⁴⁸⁹⁸ The Chamber notes that, as explained above, some of the force which attacked Lukodi on the order of Dominic Ongwen originated from a Gilva sickbay commanded by Tulu. ⁴⁸⁹⁹ Dominic Ongwen is recorded in the ISO logbook as on air during this communication. ⁴⁹⁰⁰ The content of the communication is corroborated by the separately created police logbook. ⁴⁹⁰¹ In the assessment of the Chamber, the communication shows that the LRA commanders were aware of the fact that civilians were killed in Lukodi IDP camp, and approved of this fact referring to the general LRA policy in relation to civilians. ⁴⁹⁰² The communication also shows that the LRA commanders attributed responsibility for the attack to Dominic Ongwen. It is true that on the face of the communication, Tulu is referred to prominently, but the Chamber notes that in fact, the communication does not state any facts incompatible with the findings above in relation to the planning of the attack on Lukodi IDP camp.

1857. In conclusion on this issue, the evidence shows that in intercepted radio communications, Dominic Ongwen, in his own words, took responsibility for the May 2004 attack on Lukodi IDP camp, including specifically for harm done to civilians. Other high-ranking members of the LRA leadership noted his work and commended him for it. This evidence is in line with the witness testimony discussed above as to Dominic Ongwen's ordering of the attack and the course of the attack.

⁴⁸⁹⁶ ISO Logbook (Gulu), UGA-OTP-0062-0002, at 0007.

⁴⁸⁹⁷ ISO Logbook (Gulu), UGA-OTP-0062-0002, at 0007.

⁴⁸⁹⁸ ISO Logbook (Gulu), UGA-OTP-0062-0002, at 0007.

⁴⁸⁹⁹ See paras 1649-1658 above.

⁴⁹⁰⁰ ISO Logbook (Gulu), UGA-OTP-0062-0002, at 0007.

⁴⁹⁰¹ Police Logbook, UGA-OTP-0037-0002, at 0104-05.

⁴⁹⁰² See section IV.C.5 above.

9. Attack on Abok IDP camp

i. Abok IDP camp

Abok IDP camp was situated in Ngai sub-county, Apac district and contained thousands of civilians displaced by frequent LRA attacks in the region. 4903

1858. In June 2004, Abok was located in Ngai sub-county, in the Apac district of Uganda. 4904 The nearby IDP camp was created in 2003 by the local population and Ugandan governmental authorities as a reaction to the influx of civilians fleeing from frequent LRA attacks in the region. 4905 While the evidence is not uniform on this point, estimates range from there being at least 7,000 to just over 13,000 residents in the camp at the time of the June 2004 attack. 4906

1859. The Abok IDP camp received food in humanitarian assistance from NGOs, in addition to some farming undertaken by the residents. 4907 The Chamber refers to its earlier discussion of the LRA's policy to specifically target IDP camps to, amongst other things, obtain provisions. 4908 In this context, the Chamber notes Cyprian Ogola's testimony that a girl, who had been abducted by and escaped from the LRA prior to the 8 June 2004 attack, told camp officials that the LRA rebels said that the Abok IDP camp was like a bee-hive they were waiting to go to and harvest honey from at the right time. 4909 Cyprian Ogola testified that, to the camp's leaders, this was in reference to the eventual attack on and burning of the camp. 4910

1860. There were two military barracks in Abok IDP camp, the 'old barracks', which was in the south of the camp and no longer in use at the time of the attack and the 'new barracks'

⁴⁹⁰³ Para. 190 above.

⁴⁹⁰⁴ See Agreed Facts, A4.

⁴⁹⁰⁵ P-0284 Statement, UGA-OTP-0244-1180-R01, at paras 19-21; P-0293: <u>T-138</u>, p. 5, lines 7-24; P-0306 Statement, UGA-OTP-0261-0277-R01, at paras 13-14. Ugandan authorities formally established the camp in 2004. P-0284 Statement, UGA-OTP-0244-1180-R01, at para. 25.

⁴⁹⁰⁶ P-0284 Statement, UGA-OTP-0244-1180-R01, at para. 25; P-0293: <u>T-138</u>, p. 11, line 12 – p. 12, line 12; P-0293: <u>T-139</u>, p. 8, line 18 – p. 11, line 4; P-0306 Statement, UGA-OTP-0261-0277-R01, at para. 15; P-0306: <u>T-130</u>, p. 51, line 21 – p. 53, line 19. *See* P-0293, Population of Abok Internally Displaced Persons camp, UGA-OTP-0244-1197; P-0306, Population of Abok Internally Displaced Persons camp, UGA-OTP-0247-1269.

⁴⁹⁰⁷ P-0293: <u>T-138</u>, p. 26, lines 8-16; P-0304: <u>T-133</u>, p. 48, line 20 – p. 49, line 3; P-0306: <u>T-130</u>, p. 37, line 4-25.

⁴⁹⁰⁸ See section IV.C.4 above, the Chamber's discussion of the LRA's policy.

⁴⁹⁰⁹ P-0284 Statement, UGA-OTP-0244-1180-R01, at para. 50.

⁴⁹¹⁰ P-0284 Statement, UGA-OTP-0244-1180-R01, at para. 50.

which was in the north-eastern area of the camp. 4911 The Chamber noted the location of the two barracks during their site visit to the former Abok IDP camp.

ii. Senior LRA commanders, including Joseph Kony and Vincent Otti, encouraged attacks on IDP camps

In the days and weeks preceding the attack, Joseph Kony and Vincent Otti instructed Dominic Ongwen to continue to attack civilians in IDP camps. 4912

- 1861. In the 21 May 2004 UPDF and ISO logbook records contemporaneously memorialising the 21-22 May 2004 radio communications, ⁴⁹¹³ after Dominic Ongwen reported his attack on Lukodi IDP camp, Vincent Otti advised Dominic Ongwen to 'continue with attacking [civilians] in the internal displaced camps til the civilians [...] camps remain empty'. ⁴⁹¹⁴
- 1862. A UPDF logbook provides a credible written contemporaneous record of a 24 May 2004 intercepted LRA communication in which Joseph Kony orders Dominic Ongwen to continue 'killing the civilians in the internally displaced camps as he want' and if 'one LRA soldier are in the contact at least over 50 civilians must [lose] their lives'. 4915
- 1863. Further, on 1 June 2004, just a week before the 8 June 2004 attack on Abok IDP camp, a UPDF logbook, prepared in Lira, recorded Joseph Kony directing LRA commanders,

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⁴⁹¹¹ See P-0306's Map of Abok camp UGA-OTP-0261-0285. Note that P-0306, along with several other witnesses, testified that there were two barracks at Abok, the old barracks and the new barracks. P-0306: <u>T-130</u>, p. 47, line 18 – p. 48, line 1 (the Chamber notes that while the questioning counsel mistakenly references 'Odek' it is clear from the line of questioning that the counsel and the witness were discussing Abok). At the time of the Abok attack, the old barracks were no longer in use and the government soldiers were stationed at the new barracks.

⁴⁹¹² Para, 191 above.

⁴⁹¹³ This intercept and the corresponding logbooks are discussed in further detail in the Chamber's above discussion of the Lukodi attack. *See* Chamber's discussion of the reporting of the Lukodi attack in section IV.C.8.v above.

⁴⁹¹⁴ UPDF Logbook (Lira), UGA-OTP-0255-0228, at 0263-64. *See* UPDF Logbook (Gulu), UGA-OTP-0254-2982, at 3045-47. The Chamber notes also the above discussion of the reliability of the interceptor logbooks. *See* the Chamber's discussion of interceptor logbooks in section IV.B.3.i.b.iv above. Further, the Chamber notes that P-0003, P-0016, P-0059 and P-0440's summaries of the intercepted radio communication on record contain part of the same conversations recorded in the logbooks and that the witnesses consistently recognised Dominic Ongwen and Vincent Otti's voices, that the logbooks are a contemporaneous written record of the LRA's intercepted communications and the two cited logbooks are consistent with each other despite coming from different intercept operations.

⁴⁹¹⁵ UPDF Logbook (Lira), UGA-OTP-0255-0228, at 0278. See the Chamber's discussion of interceptor logbooks in section IV.B.3.i.b.iv above. The Chamber notes that while the UPDF Logbook (Gulu) and ISO Logbook (Gulu) recording the same intercepted radio communication do not contain this exact detail, all three logbooks are generally consistent, describing the same series of conversations while emphasising different details and language. This can be expected of material made by interceptors working in different locations and taking contemporaneous record of a live radio communication. See UPDF Logbook (Gulu), UGA-OTP-0254-2982, at 3050-51; ISO Logbook (Gulu), UGA-OTP-0061-0206, at 0328-29.

including Dominic Ongwen, to 'uplift the standard of massacre against the [IDP camps] like someone who was sweeping white ants during the night'. In the same 1 June 2004 UPDF logbook entry, Buk Abudema, a senior LRA commander, instructed Dominic Ongwen to 'wake up and begin serious operations against the [IDP camps] and maximum death rate be maintained ... [and] to deploy his forces in various directions targeting [IDP camps]'. A UPDF logbook memorialising the same conversation, but prepared in Gulu, recorded that Dominic Ongwen told LRA commander Abudema that '[Dominic Ongwen] was going to kill many [civilians] and he will send the result to Kony where by Kony will be happy about it'. 4918

iii. Dominic Ongwen's order to attack Abok IDP camp

Dominic Ongwen chose to attack Abok IDP camp. Prior to the attack, Dominic Ongwen ordered LRA fighters subordinate to him to attack this camp, including civilians. At a gathering in the foothills of Atoo, Dominic Ongwen addressed the troops before the attack and gave instructions to go and collect food, abduct people, attack the barracks and burn down the camp and the barracks. Dominic Ongwen did not go to Abok as part of the fighting force. He appointed Okello Kalalang to command the attackers on the ground according to his instructions. Kalalang led the LRA fighters in the attack on Abok IDP camp on behalf of Dominic Ongwen.⁴⁹¹⁹

1864. The testimony of witnesses in these proceedings demonstrates that the LRA fighters who attacked Abok were subordinate to Dominic Ongwen. As discussed below, P-0406, 4920

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⁴⁹¹⁶ UPDF Logbook (Lira), UGA-OTP-0255-0228, at 0313. *See* the Chamber's discussion of interceptor logbooks in section IV.B.3.i.b.iv above. The Chamber notes that while the UPDF Logbook (Gulu) and ISO Logbook (Gulu) recording the same intercepted radio communication do not contain the same exact detail, all three are generally consistent, describing the same series of conversations while emphasising different details and language. The Chamber also notes that the need for the LRA to kill civilians was a main topic across each logbook entry. *See* UPDF Logbook (Gulu), UGA-OTP-0254-2982, at 3065-66; ISO Logbook (Gulu), UGA-OTP-0062-0002, at 0008-10.

⁴⁹¹⁷ UPDF Logbook (Lira), UGA-OTP-0255-0228, at 0314. *See* the Chamber's discussion of interceptor logbooks in section IV.B.3.i.b.iv above. Although this exact instruction to Dominic Ongwen is not noted in the other logbooks memorialising the same radio communication, this does not undermine the credibility and reliability of the UPDF Logbook (Lira) entry as such differences in details and language can be expected of material made by interceptors working in different locations and taking contemporaneous record of a live radio communications. Indeed, such differences actually increase the credibility of the logbooks as they show that the various interceptors were not merely copying from one another but were actually taking a contemporaneous record of the live communication. *See* UPDF Logbook (Gulu), UGA-OTP-0254-2982, at 3065-66; ISO Logbook (Gulu), UGA-OTP-0062-0002, at 0008-10.

⁴⁹¹⁸ UPDF Logbook (Gulu), UGA-OTP-0254-2982, at 3066. *See* the Chamber's discussion of Interceptor logbooks in section IV.B.3.i.b.iv above. The Chamber also notes that the other UPDF and ISO logbooks do not contain this exact detail. However, in line with its reasoning set out in this section, the Chamber finds the logbook entry credible. *See* UPDF Logbook (Lira), UGA-OTP-0255-0228, at 0311-14; ISO Logbook (Gulu), UGA-OTP-0062-0002, at 0008-10.

⁴⁹¹⁹ Para. 192 above.

⁴⁹²⁰ The Chamber recalls the finding that P-0406 is a credible witness. *See* the Chamber's discussion of the P-0406's testimony at section IV.B.2.ii.b.xxiii above.

P-0054, P-0252 and P-0205, all fighters who were under Dominic Ongwen's command in Sinia brigade, ⁴⁹²¹ testified that the group sent to Abok was part of the Sinia brigade and under Dominic Ongwen's command. ⁴⁹²² Credible and consistent evidence, discussed below, demonstrates that before the attack, at a gathering in the foothills of Atoo, Dominic Ongwen addressed the troops and gave orders for the attack. ⁴⁹²³ In this context, the Chamber recalls that it rejects as unreliable all direction-finding evidence. ⁴⁹²⁴

1865.P-0406, a Sinia fighter, testified that he was present when Dominic Ongwen issued the orders for the Abok IDP camp attack. He stated that all the people selected to go to Abok were lined up and before they headed out, Dominic Ongwen addressed them and instructed them as to what they were supposed to do. P-0406 testified that Dominic Ongwen told the attackers to go and collect food, abduct people, attack the barracks and burn down the camp and the barracks. P-0406 also testified that after Dominic Ongwen addressed the soldiers, the fighters left him and moved ahead. The Chamber is satisfied that P-0406's account of this gathering and the orders given by Dominic Ongwen in credible and reliable.

1866.Other LRA fighters corroborate P-0406's statement that Dominic Ongwen gave the orders regarding the Abok attack. P-0205 testified that Dominic Ongwen told him that he had sent people to Abok and that they went and attacked. 4929 In the Chamber's view, this evidence is reliable; it is detailed and P-0205 refers with precision to the occasion on which Dominic Ongwen told him about the Abok attack. 4930

⁴⁹²¹ P-0406: <u>T-154</u>, p. 16, lines 15-17, p. 34, lines 12-15; P-0054: <u>T-93</u>, p. 10, lines 19-24; P-0252: <u>T-87</u>, p. 41, lines 10-21; P-0205: <u>T-47</u>, p. 9, lines 18-22, p. 36, line 14 – p. 37, line 6.

⁴⁹²² The Chamber notes that D-0105 testified that LRA commander Odhiambo chose the soldiers for the Abok attack (D-0105: <u>T-190</u>, p. 26, lines 17-23). In this context, the Chamber recalls its finding that the witness's testimony is not credible and cannot be relied upon.

⁴⁹²³ In light of the evidence, the Chamber, does not accept the submissions of the Defence in this regard. *See* Defence Closing Brief, paras 444-457. The Chamber notes that in light of the credible and consistent evidence discussed in this section, the Chamber is unconvinced by D-0085's testimony that there were two gatherings before the attack on Abok IDP camp. In this context, the Chamber also notes that the witness could not recall what happened during the first gathering. *See* D-0085: <u>T-239</u>, p. 21, lines 19-25.

⁴⁹²⁴ See section IV.B.3.iii above.

⁴⁹²⁵ P-0406: <u>T-154</u>, p. 66, lines 5-14.

⁴⁹²⁶ P-0406: T-154, p. 66, lines 12-14.

⁴⁹²⁷ P-0406: <u>T-154</u>, p. 66, lines 16-20.

⁴⁹²⁸ P-0406: T-155, p. 67, lines 17-21 (P-0406 did not know whether Dominic Ongwen remained at the base).

⁴⁹²⁹ P-0205: T-47, p. 67, lines 13-21. See P-0205: T-47-CONF, p. 68, line 15 – p. 69, line 8.

⁴⁹³⁰ P-0205: T-47-CONF, p. 69, lines 4-8.

1867. While P-0054 did not actually see Dominic Ongwen select the fighters going to Abok, he participated in the attack and testified that while Sinia was based under Atoo hills, ⁴⁹³¹ Dominic Ongwen selected people and instructed them to go to Abok. ⁴⁹³² He testified that he knows this because his commander was present when the selection took place. ⁴⁹³³ The Chamber finds that the witness's explanation of how he obtained the hearsay evidence is plausible and convincing. The Chamber notes the Defence submission that P-0054 did not testify to hearing an overt command to attack civilians. ⁴⁹³⁴ Indeed, P-0054 testified that the instruction passed down to the soldiers was to 'go and work at Abok', however he also clarifies that what he understood by the term 'work' was fighting and collecting food. ⁴⁹³⁵

1868.P-0252 stated that the soldiers selected to go to Abok were selected from amongst the soldiers who were under Atoo hills, 4936 and that Dominic Ongwen would be the person to issue the orders to attack Abok. 4937 P-0252 explained that Dominic Ongwen would issue orders to his subordinates and those orders would be communicated to the attackers. 4938 P-0252 indicated that this was how the orders were communicated for the attack on Abok IDP camp. 4939

⁴⁹³¹ P-0054: <u>T-93</u>, p. 33, lines 1-8. *See also* P-0286: <u>T-131</u>, p. 33, line 6 – p. 34, line 7 (P-0286 was taken to Atoo hills after being abducted by LRA fighters in the 8 June 2004 Abok attack and was told that Atoo hills was the group's base). The Chamber considers the witnesses' lack of specificity as to the exact location of the gathering within the foothills of Atoo hills natural and expected given that the gathering took place in the bush and that the witnesses mentioned the geographical references available to them. Contrary to the Defence submission in <u>Defence Closing Brief</u>, paras 447-48, the Chamber is satisfied by the evidence that a gathering occurred in which Dominic Ongwen gave orders to his forces to attack Abok and that the gathering occurred within the area around the Atoo hills.

⁴⁹³² P-0054: <u>T-93</u>, p. 33, lines 1-8.

⁴⁹³³ P-0054: <u>T-93</u>, p. 34, lines 4-8.

^{4934 &}lt;u>Defence Closing Brief</u>, para. 453.

⁴⁹³⁵ P-0054: <u>T-93</u>, p. 34, lines 9-14. *See also* P-0340: <u>T-102</u>, p. 38, line 23 – p. 39, line 1 (stating that '[t]here were no exact instructions [from his immediate instructor about the attack] other than the fact that we are going to collect food'). In this context, the Chamber recalls its assessment of P-0340's credibility in section IV.B.2.ii.b.xix above. The Chamber also recalls its discussion of P-0340's elaboration of what it means to 'collect food'. *See* para. 1407 above.

⁴⁹³⁶ P-0252: <u>T-87</u>, p. 74, lines 23-25. *See also* P-0306: <u>T-130</u>, p. 30, lines 13-14 ('In our area, in Lango and Acholi, the person who was responsible, who had leadership for those areas was Dominic Ongwen, who was based under Atoo hills'); P-0293: <u>T-138</u>, p. 21, line 11 ('the rebels [who carried out the 8 June 2004 attack] came from a location known as Atoo hills').

 $^{^{4937}}$ P-0252: $\underline{\text{T-87}}$, p. 75, lines 6-8. See P-0252: $\underline{\text{T-88}}$, p. 6, line 14 – p. 7, line 16 (Dominic Ongwen was present during a headcount of the soldiers who returned from Abok).

⁴⁹³⁸ P-0252: <u>T-87</u>, p. 75, line 6 – p. 76, line 7.

⁴⁹³⁹ P-0252: <u>T-87</u>, p. 75, line 6 – p. 76, line 7.

- 1869. Finally, some corroboration is provided by P-0330, who did not hear the orders but testified that there was no overall commander other than 'Odomi' and 'Odomi' had all the authority 4940 and 'Odomi' was the overall commander who ordered the attack on Abok. 4941
- 1870. In light of the above, and contrary to the Defence's argument, 4942 the Chamber does not find that the witnesses' evidence is inconsistent. The witnesses expressed their recollection in their own terms, describing or emphasising their particular perspective in line with their particular role or location. The Chamber considers that the evidence before it justifies and necessitates its finding that Dominic Ongwen ordered the attack on Abok IDP camp, giving instructions that his fighters attack the camp, collect food, abduct people, attack the barracks and burn down the camp and barracks. Based on the terms of this order as established on the basis of the evidence, the Chamber considers that it logically included targeting civilians.
- 1871. In addition to the evidence of these insider witnesses, and as discussed in detail below, the intercepted radio communications also demonstrate that Dominic Ongwen initiated and oversaw the Abok attack. 4943 Noting that radio communications record Dominic Ongwen describing the fighting that occurred in the camp, the burning of huts in the camp and barracks and the capturing of civilians, the Chamber considers that the radio communications are consistent with and confirm the orders Dominic Ongwen gave to his fighters to carry out the attack. 4944
- 1872. Further, Cyprian Ayoo, one of the camp's leaders, testified that the abductees rescued from Abok told him that the rebels were sent by Dominic Ongwen. ⁴⁹⁴⁵ Cyprian Ayoo

⁴⁹⁴⁰ P-0330: <u>T-52</u>, p. 28, lines 14-25.

⁴⁹⁴¹ P-0330: <u>T-52</u>, p. 28, lines 18-22. The Defence mischaracterises P-0330's testimony in their submission that P-0330's testimony indicates that it was Okello who issued the instructions for Abok and selected the standby, not Dominic Ongwen. <u>Defence Closing Brief</u>, para. 450. It is clear from the witness's testimony that while he personally heard about the instructions about the attack from his superior Okello, P-0330 specified that Dominic Ongwen was the higher authority who ordered the attack and that Okello selected a standby upon Dominic Ongwen's instructions.

⁴⁹⁴² Defence Closing Brief, paras 446-448.

⁴⁹⁴³ See section IV.C.9.v, the Chamber's discussion of the reporting on the attack.

⁴⁹⁴⁴ See paras 2001-2008 below.

⁴⁹⁴⁵ P-0293: <u>T-138</u>, p. 35, lines 11-15. *See also* P-0304: <u>T-133</u>, p. 27, line 21 – p. 28, line 3 (P-0304 was told by James Oringa, another returned abductee, that 'Dominic's group' attacked Abok IDP camp); P-0306 Statement, UGA-OTP-0261-0277-R01, at para. 33 (P-0306 testified that P-0280 and P-0304 told him that the LRA group involved in the attack was led by Dominic Ongwen).

stated that when he asked the abductees what their abductors said, the returned abductees relayed that the LRA fighters were talking amongst themselves and saying that Dominic Ongwen would be extremely happy because the attack was successful.⁴⁹⁴⁶

- 1873. While the Chamber is convinced that LRA fighters subordinate to Dominic Ongwen carried out the attack on Abok IDP camp, the Chamber notes that the evidence shows that Dominic Ongwen himself did not physically participate in the attack. This was confirmed by multiple witnesses, particularly LRA fighters. ⁴⁹⁴⁷ Rather, this same evidence shows that Dominic Ongwen designated Okello Kalalang, ⁴⁹⁴⁸ one of his subordinate commanders, ⁴⁹⁴⁹ to command the attack on the ground, and that the latter in fact led the attack on Abok IDP camp under Dominic Ongwen's instructions. ⁴⁹⁵⁰
- 1874. The Chamber notes P-0054's credible testimony that Dominic Ongwen delegated the leadership of the physical attack on the camp to 'Kalalang'. The Chamber also considers this delegation to be a necessary inference from the fact that the attack took place

⁴⁹⁴⁶ P-0293: <u>T-138</u>, p. 36, line 21 – p. 37, line 4.

⁴⁹⁴⁷ P-0054: T-94, p. 26, lines 9-13 (Dominic Ongwen sent Kalalang to 'go and carry out the operation' and did not go there himself. Dominic Ongwen stayed back on the other side of Orapwoyo, near Loyo-Ajonga); P-0340: T-103, p. 55, lines 20-21 (P-0340 did not see Dominic Ongwen at the Abok attack); P-0330: T-55, p. 34, line 25 – p. 35, line 1 (Dominic Ongwen did not go to the Abok attack). *See also* P-0359, a UPDF colonel, testified that Dominic Ongwen had sent Okello Kalalang, one of his field commanders, to attack Abok but had not participated in the attack physically. P-0359: T-109, p. 67, lines 7-11; T-110, p. 38, line 25 – p. 39, line 2, p. 77, lines 16-23. ⁴⁹⁴⁸ P-0054: T-94, p. 26, lines 9-11 (Dominic Ongwen 'sent Kalalang to go and carry out the operation'). *See* P-0330: T-52, p. 28, line 18 – p. 29, line 7 (Dominic Ongwen issued instructions to Okello to select a standby and Kalalang did so). *See also* P-0359: T-109, p. 65, line 19 – p. 66, line 7, p. 67, lines 7-11; T-110, p. 38, line 22 – p. 39, line 3, p. 77, lines 16-23.

⁴⁹⁴⁹ P-0280: <u>T-83</u>, p. 67, lines 4-7 (P-0280 was told while in the bush that 'Kalalang was under Dominic');

P-0359: <u>T-109</u>, p. 65, line 19

[–] p. 66, line 5 (Okello Kalalang was a self-styled major of the LRA and was part of Dominic Ongwen's group. 'Kalalang was a notorious commander of Dominic Ongwen that in most cases Dominic himself would assign him these nasty operations'); P-0286: T-131, p. 47, 10-16 ('Odomi' was Kalalang's overall commander); P-0054: T-93, p. 34, lines 15-18 (Kalalang was in Terwanga battalion and Dominic was the brigade commander at the time of the Abok IDP camp attack).

⁴⁹⁵⁰ P-0054: <u>T-93</u>, p. 33, lines 1-7 (Kalalang served as the overall commander of the Abok mission); P-0286: <u>T-131</u>, p. 19, line 23 – p. 20, line 2 (LRA fighters Otim and Opio told P-0286 that the commander who was giving the orders to go to the barracks was called Kalalang, that he was the one leading the group of attackers); P-0284 Statement, UGA-OTP-0244-1180-R01, at para. 45 (after P-0286 returned from captivity, he informed camp officials that Okello Kalalang had commanded the group that had attacked Abok IDP camp); P-0306 Statement, UGA-OTP-0261-0277-R01, at para. 33 (after P-0280 and P-0304 had returned from captivity, they informed P-0306 that Okello Kalalang led the attack while the LRA group itself was led by Dominic Ongwen); P-0293: <u>T-138</u>, p. 35, lines 11-15, p. 36, line 21 – p. 37, line 11 (returned Abok abductees told P-0293 that Kalalang was the attackers' commander but they had been sent by Dominic Ongwen); P-0359: <u>T-109</u>, p. 65, lines 19-24 (abductees rescued after the Abok attack stated that Okello Kalalang led the attack into Abok); D-0085: <u>T-239</u>, p. 21, lines 7-16, p. 25, lines 3-9 (Kalalang was in charge of the group that went to Abok); P-0205: <u>T-47</u>, p. 67, lines 13-21 (Dominic Ongwen told P-0205 that he had sent Okello Kalalang to led the group Dominic Ongwen sent to Abok).

pursuant to Dominic Ongwen's orders, that it was carried out by his subordinates and that Okello Kalalang was the commander on the ground.

1875. In this context, the Chamber notes Cyprian Ayoo's testimony that while hiding from the LRA, he heard LRA fighters speaking amongst themselves and praising 'Afande Okello Kalalang' for changing the orders/instructions of 'Afande Dominic Ongwen' on a particular point. Cyprian Ayoo stated that the fighters said that Dominic Ongwen had instructed the rebels to shoot anyone they found, and Kalalang changed the order, telling the fighters to spare their bullets so they could protect themselves against the government soldiers. Instead, according to the fighters, Kalalang instructed the soldiers to do whatever they could: burn a person in a house, push a person into a fire or smash their head with a club. Chamber 1953

1876. In light of the foregoing, the Chamber finds that LRA fighters subordinate to Dominic Ongwen carried out the attack on Abok IDP camp pursuant to his orders.

iv. The LRA's attack against the civilian population of Abok IDP camp

On 8 June 2004, LRA fighters subordinate to Dominic Ongwen attacked Abok IDP camp. 4954

The day of the attack, Abok IDP camp residents observed LRA troops moving in the western side of the camp. A contingent of Ugandan government soldiers were sent to track the LRA activity. However, the LRA eluded the government soldiers. 4955

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⁴⁹⁵¹ P-0293: <u>T-138</u>, p. 27, line 16 – p. 28, line 8. P-0293 testified that 'Afande' was a title for someone superior to you. P-0293: <u>T-139</u>, p. 18, lines 20-25. The Defence challenged the witness that he did not mention 'Ongwen' in his previous statement to the Prosecution, however P-0293 clarified that the soldiers clearly had said the name 'Dominic Ongwen' and that his written statement's reference to 'Afande Kalalang' and 'Afande Dominic' was mistaken. P-0293: <u>T-138</u>, p. 32, line 7 – p. 33, line 1. The Chamber attributes little significance to this discrepancy between the written statement and the in-court testimony; the witness is clear in identifying the two subjects of the conversation and the original identification of 'Dominic' and 'Kalalang' is specific in itself. The mention of names of persons unknown to the witness (the witness conceded that he had not seen Dominic Ongwen and Kalalang at the time) and the specificity of the change in the order demonstrate P-0293's sincerity and truthfulness. Further, the Chamber is satisfied with the explanation the witness provided in his live testimony when he testified under oath before the Chamber.

⁴⁹⁵² P-0293: <u>T-138</u>, p. 27, line 16 – p. 28, line 6.

⁴⁹⁵³ P-0293: T-138, p. 27, line 23 – p. 28, line 1.

⁴⁹⁵⁴ Para. 190 above.

⁴⁹⁵⁵ Para. 193 above.

In the evening of that day, at least 20 LRA fighters, including fighters under the age of 15, executed Dominic Ongwen's orders and, with an assortment of arms, including guns, attacked Abok IDP camp from the southwest.⁴⁹⁵⁶

1877. Witnesses who were Abok IDP camp residents at the time of the attack provided credible, consistent and overlapping testimony that on 8 June 2004, residents saw LRA fighters in the northwest of the camp, later in the day, the LRA were seen in the southwest side of the camp. 4957

1878. Government soldiers present at the camp⁴⁹⁵⁸ were notified of the presence of gunmen,⁴⁹⁵⁹ and some soldiers were deployed to pursue the rebels.⁴⁹⁶⁰ The deployed soldiers were

⁴⁹⁵⁷ P-0306 Statement, UGA-OTP-0261-0277-R01, at para. 21 (around 15:00 on 8 June 2004, Abok IDP camp residents who had been outside of the camp started reporting the presence of unknown gunmen in the north-western side of the camp and that around 17:00, a resident of the camp reported that the gunmen had crossed the road going to Ngai); P-0280: T-83, p. 45, lines 10-19, p. 56, lines 5-8; T-84, p. 37, lines 11-15 (on 8 June 2004, LRA fighters passed very close to the camp, the LRA fighters came from the direction of Ngai and branched off towards Abok school. The LRA fighters were visible from the camp as they were on the hill going towards Abok school); P-0284 Statement, UGA-OTP-0244-1180-R01, at para. 29 (P-0284 saw the rebels; they moved like they were going to cross the road to Ngai but instead took the road that leads to the Abok Primary School in the direction of Ariba); P-0286: T-131, p. 8, line 15 – p. 9, line 8 (on 8 June 2004, the rebels came and passed very near the camp, between 17:00 and 18:00, there was a report that many people, possibly rebels, were passing by the camp); P-0293: T-138, p. 15, line 10 – p. 16, line 12 (while walking around surveying the camp, P-0293 noticed people crossing the Ngai road, one group crossing on one side and another group crossing on the other side). See P-0304: T-133, p. 7, lines 13-18 (late in the evening on a Tuesday in 2004, there were rumours of strange soldiers sighted opposite the signpost of Abok primary school).

⁴⁹⁵⁸ In light of the consistent testimony of P-0306 and P-0293, the Chamber is satisfied that there were at least 45 government soldiers at the Abok IDP camp around the time of the 8 June 2004 attack. P-0306 Statement, UGA-OTP-0261-0277-R01, at para. 21; P-0306: <u>T-130</u>, p. 33, lines 7-11, p. 46, lines 14-24, p. 47, lines 1-9, p. 82, line 17 – p. 83, line 3 (there were about 45 government soldiers at Abok IDP camp on 8 June 2004 comprised of local defence forces ('LDUs') as well as UPDF forces); P-0293: <u>T-138</u>, p. 8, lines 6-11 (there were initially 45 soldiers and then nine more were added, bringing the number to 54). The Chamber notes the testimony of D-0065 in relation to the military forces present in the camp is consistent with its finding here. *See* D-0065: <u>T-211</u>, p. 14, line 20 – p. 16, line 1 (there were approximately 20 government forces in the camp itself with others deployed to protect the barracks and to set up an ambush. The forces in the camp were LDUs). The Chamber notes that the witness also testified that the commanding officer was a member of the UPDF, indicating that there was at least one UPDF soldier present.

⁴⁹⁵⁹ P-0306 Statement, UGA-OTP-0261-0277-R01, at para. 21 (after being informed around 17:00 that the gunmen had been seen crossing the road going to Ngai, P-0306 instructed the camp defence secretary to inform the overall commander of the government forces at the army barracks); P-0293: <u>T-138</u>, p. 16, line 13 – p. 17, line 4 (when P-0293 went to inform the commander of the soldiers stationed at the camp that he had seen suspected rebels crossing the road, the commander told him that he had already been informed of the movement); P-0284 Statement, UGA-OTP-0244-1180-R01, at para. 29 (word was sent to the barracks and the community was alerted about the presence of the rebels in the area).

⁴⁹⁶⁰ P-0280: <u>T-84</u>, p. 38, line 16 – p. 39, line 2 (there were soldiers deployed to where the LRA fighters were seen); P-0306 and P-0293 corroborate P-0280's testimony, both testifying that soldiers were deployed. P-0306 Statement, UGA-OTP-0261-0277-R01, at para. 21; P-0306: <u>T-130</u>, p. 83, lines 4-11; P-0293: <u>T-138</u>, p. 72, line 20 – p. 73, line 3. While the Chamber is mindful that the camp officials offered somewhat different testimony on where exactly the troops were sent, the Chamber is satisfied that some government soldiers were deployed to pursue the gunmen while some others remained in the camp. To this end, the Chamber notes the testimony of D-0065, an LDU officer, who testified that the civilians had come to give a report of LRA rebels sighted in the

⁴⁹⁵⁶ Para. 193 above.

unable to intercept the LRA fighters. 4961 Around 15 government soldiers remained to guard the camp, 4962 some of these soldiers were stationed at the new barracks and some were within the camp centre. 4963

- 1879. Contrary to the Defence's contention, 4964 the Chamber is unconvinced that other LRA brigades played any significant role in attacking Abok IDP camp. The testimony of D-0085 and P-0340, cited in support of the Defence's contention, does not undercut the Chamber's finding that fighters subordinate to Dominic Ongwen attacked Abok IDP camp under his orders. 4965
- 1880. The Chamber first recalls the above discussion that Dominic Ongwen ordered LRA forces subordinate to him, namely fighters in the Sinia brigade, to attack Abok IDP camp. 4966 Of the nine LRA forces who testified about the 8 June 2004 attack on Abok IDP camp and whom the Chamber found generally credible, only one, D-0085, attested that LRA groups merged together and went to attack Abok IDP camp. 4967 The Chamber puts more weight on the accounts of these other witnesses, who corroborate each other and does not rely on D-0085's testimony in this regard. In line with the other witnesses, D-0085 also testified that she heard that Kalalang was the commander who led the attack

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vicinity of the camp and the commanding officer had set up an ambush. D-0065: $\underline{\text{T-211}}$, p. 21, lines 1-8, p. 21, line 23 – p. 22, line 3.

⁴⁹⁶¹ P-0284 Statement, UGA-OTP-0244-1180-R01, at paras 29-30 (some of the government soldiers left the camp to follow the rebels but unfortunately the soldiers took the wrong direction and continued until Ariba but the LRA fighters had branched to a swamp and hidden there. P-0284 knew that the rebels had branched into the swamp because he later saw the tracks that they had left behind).

⁴⁹⁶² P-0306 Statement, UGA-OTP-0261-0277-R01, at para. 21; P-0306: <u>T-130</u>, p. 83, lines 4-11 (15 government soldiers were deployed on the eastern road, another 15 were deployed towards Abok primary school and 15 remained to guard the camp); P-0293: <u>T-138</u>, p. 72, line 20 – p. 73, line 3 (one group went towards Iceme road to ambush the people sighted, another group was guarding the camp and went to the old barracks, and a third group remained in the new barracks).

⁴⁹⁶³ See section IV.C.9.iv, the Chamber's discussion of the course of the attack.

⁴⁹⁶⁴ <u>Defence Closing Brief</u>, paras 442, 459-464 (arguing that the evidence shows that Okot Odhiambo and Ocan Bunia, senior LRA commanders from other brigades, controlled and commanded a combined force of fighters along with Dominic Ongwen).

⁴⁹⁶⁵ D-0085: T-239-CONF, p. 8, line 10-11 and p. 13, lines 12-14, p. 20, lines 7-9. Regarding P-0340, the Chamber notes the Defence's contention that a 8 February 2004 ISO logbook entry identifies P-0340's commander and two other commanders and in extension P-0340 as a member of the Gilva battalion. Defence Closing Brief, paras 454, 459-460, citing ISO Logbook (Gulu), UGA-OTP-0061-0002, at 0056. In his testimony, P-0340 refuted the contention that he was a part of the Gilva brigade. See P-0340: T-102, p. 16, line 7 – p. 17, line 10, p. 39, lines 3-8; T-103-CONF, p. 19, lines 5-7, p. 59, line 19 – p. 60, line 14. See sections IV.B.2.ii.b.xxxiii and IV.B.2.ii.b.xix above, the Chamber's discussion of D-0085 and P-0340's testimony.

⁴⁹⁶⁶ See Chamber's discussion of Dominic Ongwen's order to attack Abok IDP camp in section IV.C.9.iii above. ⁴⁹⁶⁷ D-0085: T-239, p. 20, lines 4-11.

on Abok IDP camp. ⁴⁹⁶⁸ Further, camp residents who offered testimony on this issue attributed the attack to Okello Kalalang and Dominic Ongwen's group and made no mention of hearing that any other LRA group was involved in the attack. Importantly, Dominic Ongwen himself, when reporting the attack to Joseph Kony, as discussed further below, took sole responsibility for the attack on Abok IDP camp and made no mention of the involvement of any other commanders. ⁴⁹⁶⁹ There is no evidence that any other LRA commander took credit for the attack. Thus, the Chamber is satisfied that Dominic Ongwen, controlled or commanded the fighters that went to attack Abok IDP camp on 8 June 2004 and that no other LRA commander was involved.

1881.As to the number of LRA fighters that attacked Abok IDP camp, the Chamber is convinced by the testimony offered by LRA fighters who actively participated in the attack and are in a position to know how many LRA fighters were sent to attack Abok. P-0406 testified that having received orders from Dominic Ongwen, maybe 30-40 fighters went to Abok. P-0406 stated later in his testimony that there were not many people selected to go to Abok, perhaps 25-30. P-0330 testified that 'maybe 20 or 28

⁴⁹⁶⁸ D-0085: <u>T-239</u>, p. 21, lines 7-16, p. 25, lines 3-9. The Defence argues that the witness's testimony indicates that forces in Trinkle brigade joined in the 8 June 2004 attack on Abok IDP camp. *See* <u>Defence Closing Brief</u>, paras 462 and 464.

paras 462 and 464.

4969 See the Chamber's discussion of the reporting of the attack in section IV.C.9.v below. In this same section, the Chamber discusses the evidence that the Government of Uganda also attributed the attack to Dominic Ongwen's forces.

⁴⁹⁷⁰ The Chamber does not accept the submissions in <u>Defence Closing Brief</u>, paras 461-463. The Chamber recalls, as discussed above, that it does not consider D-0105's testimony to be reliable. The Chamber notes that D-0085, part of Dominic Ongwen's Sinia brigade, testified that 'more than a hundred' LRA forces went to Abok IDP camp and that the people who went to Abok were 'much more' than the people who went to Pajule. D-0085: T-239, p. 20, lines 12-15, p. 24, lines 23 – p. 25, line 2. The Chamber notes that D-0085 played a minor role in the LRA's attack on Abok ÎDP camp, tasked with running into the camp and collecting food. Further, given the evidence of the Pajule attack, as discussed above, in particular, the credible, consistent and ample evidence that a multitude of LRA forces convened for that attack, as well as the testimony of the LRA fighters who actually fought within the Abok IDP camp and testified to the presence of less LRA fighters, the Chamber is unconvinced by D-0085's evidence in this aspect. The Chamber also notes that some camp residents indicated that around 100 LRA fighters attacked Abok. P-0304: T-133, p. 27, lines 14-24 (P-0304 was fold by an escaped LRA fighter that there were 104 soldiers who attacked Abok); P-0306 Statement, UGA-OTP-0261-0277-R01, at para. 35 (in 2008, P-0306 spoke to a returned LRA fighter, around 17 years old, who claimed to have participated in the Abok attack, the fighter informed him that there had been 104 soldiers participating in the attack). See also P-0306: T-130, p. 71, lines 2-10. The Chamber notes that both of these witnesses testify to hearing this number from an escaped abductee. The specificity of the number implies that the same abductee spoke to both witnesses.

⁴⁹⁷¹ P-0406: <u>T-154</u>, p. 67, lines 1-3. ⁴⁹⁷² P-0406: <u>T-155</u>, p. 66, lines 11-12.

people' were selected for the Abok attack. 4973 In light of these witnesses' testimony, the Chamber considers that at least 20 LRA fighters went to attack Abok. 4974

1882. Witnesses credibly and consistently testified that there were children younger than 15 years old among the LRA forces that attacked Abok IDP camp. P-0054 testified that among the group of LRA fighters sent to Abok were people approximately 13 years old. 4975 Consistent with this account of the presence of children younger than 15 years old among the LRA forces, Cyprian Ayoo testified that he could hear children's voices among the LRA, from the voices he concluded that the children were between the ages of 10 and 15 years old. 4976 He described what he saw when the children came into his view:

The children were really [...] they were really young. [...] They were actually young. If you would compare [...] in terms of fighting, one person would actually fight with three of those children. But as they were moving, they were moving with their heads straight up and very, very active. 4977

- 1883. In light of the evidence, the Chamber is satisfied that children under the age of 15 participated in the attack on Abok IDP camp.
- 1884. The Chamber is also satisfied that credible and consistent evidence shows that the LRA forces attacked Abok IDP camp with weapons including guns, clubs and machetes. 4978
- 1885. The witnesses, both LRA insiders and residents of the camp, agree that the gunshots began in the evening of 8 June 2004, testifying to a range of times between 19:00 and

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⁴⁹⁷³ P-0330: <u>T-52</u>, p. 29, lines 8-11.

⁴⁹⁷⁴ See also P-0280: <u>T-84</u>, p. 38, lines 8-15 (while P-0280 could not give the exact number, he estimated that over 40 LRA fighters came to attack Abok). The Chamber notes that P-0280 is a camp resident who was abducted during the Abok attack and so observed the contingent returning from the attack.

⁴⁹⁷⁵ P-0054: <u>T-93</u>, p. 35, lines 9-18, p. 36, lines 5-17 (P-0054 saw a group of 'young children' about 13 years old whom he identified as LRA fighters beating a child). *See also* P-0406: <u>T-154</u>, p. 67, lines 11-20 (some of the fighters sent to Abok IDP camp were '13, 14, 15, 16 as well' who had tasks like blowing whistles, hitting jerrycans and making noise).

⁴⁹⁷⁶ P-0293: <u>T-138</u>, p. 23, lines 2-15.

⁴⁹⁷⁷ P-0293: T-138, p. 23, lines 18-25.

⁴⁹⁷⁸ P-0282 Statement, UGA-OTP-0261-0246-R01, at paras 20, 23 (Jacob Opio saw LRA fighters outside of his house with guns and pangas); P-0284 Statement, UGA-OTP-0244-1180-R01, at paras 34-35 (P-0284 saw that some of the attacking rebels had guns and others had clubs); P-0293: <u>T-138</u>, p. 24, lines 5-16 (among the LRA fighters, P-0293 saw children carrying sticks and machetes and adults with guns); P-0286: <u>T-131</u>, p. 16, lines 13-16, p. 23, lines 2-7 (P-0286 saw that one of the LRA fighters carried an RPG); P-0280: <u>T-83</u>, p. 53, lines 11-14 (P-0280 saw rifles, AK47s, LMGs, J2s and PKs with the LRA fighters).

20:45.⁴⁹⁷⁹ The Chamber is further satisfied by the consistent and credible testimony of several witnesses, all residents of the camp, who testified to first hearing gunshots coming from the direction where the armed men had been sighted earlier that day.⁴⁹⁸⁰ The Chamber is convinced by the testimony of these witnesses as to the date and time of the attack on Abok IDP camp.

The LRA fighters went past the old barracks in the south of the camp and entered the camp, firing their guns. LRA fighters went to the civilian area in the camp and a contingent of the fighters eventually ended up near the new barracks in the north east of the camp. While government soldiers were able to defend the new barracks, the soldiers within the camp itself were not able to stop the LRA's attack on the camp. These soldiers in the camp fled. The LRA attacked the civilians in the camp.

1886. The Chamber observes that the testimony of the Abok IDP camp residents about the start of the fighting and the movement of the LRA fighters is consistent with that of the LRA fighters who participated in the attack as well as with that of a government soldier who was present during the attack. The witnesses describe a scene in which LRA fighters outside the camp exchanged fire with government soldiers who were at the boundaries of the camp or within the camp.

⁴⁹⁷⁹ P-0280: T-83-CONF, p. 43, lines 14-20; <u>T-83</u>, p. 45, lines 10-21 (Abok was attacked by LRA soldiers on 8 June 2004 and P-0280 heard gunshots from around 20:00); P-0054: <u>T-93</u>, p. 34, lines 20-22 (the 8 June 2004 attack on Abok began between 19:00-20:00); P-0286: <u>T-131</u>, p. 9, lines 22-24, p. 14, lines 19-22 (between 19:00-20:00, P-0286 heard gunshots from the direction that the rebels had passed around, from the direction of the old barracks); P-0293: <u>T-138</u>, p. 17, line 25 – p. 19, line 3 (around 20:00, P-0293 heard a gunshot from the direction of the old barracks); P-0304: <u>T-133</u>, p. 15, lines 11-16, p. 55, lines 11-13 (the initial gunshots came from the direction of the Abok school, at around 20:00); P-0284 Statement, UGA-OTP-0244-1180-R01, at para. 29 (the 8 June 2004 attack on Abok began at 20:45).

⁴⁹⁸⁰ P-0306: T-130, p. 54, lines 19-24 (in the beginning, the gunfire came from the side where the rebels were approaching). See also P-0306 Statement, UGA-OTP-0261-0277-R01, at para. 22 (people started hearing gunshots from the south-western side of the camp). The Chamber notes that some witnesses testified that the gunshots came from the direction of the old barracks, while others said that the gunshots came from the direction of the school. P-0286: <u>T-131</u>, p. 9, lines 22-24, p. 14, lines 19-22 (between 19:00-20:00, P-0286 heard gunshots from the direction that the rebels had passed around, from the direction of the old barracks); P-0293; T-138, p. 17. line 25 – p. 19, line 3 (around 20:00, P-0293 heard a gunshot from the direction of the old barracks). See P-0286's sketch of Abok IDP Camp, UGA-OTP-0248-0091-R01; P-0293's sketch of Abok IDP Camp, UGA-OTP-0248-0058-R01. P-0304: T-133, p. 15, lines 11-16 (the initial gunshots came from the direction of the Abok school); P-0280: T-84, p. 36, line 24 - p. 37, line 4 (the gunfire started from the area of the school where the LRA soldiers had gone). During its site visit to the former Abok IDP camp, the Chamber observed that both the old barracks and the school are on the south side of Abok, although not in the same location. Annex to the Registration into the Record of the Case of the Site Visit Report pursuant to Trial Chamber Decision ICC-02/04-01/15-1211 of 27 March 2018, 27 June 2018, ICC-02/04-01/15-1292-Anx, at 9-11. Thus, both accounts of the direction of the first gunfire, whether referencing the old barracks or school, are consistent with the Chamber's observations that the school and the old barracks were roughly in the same direction when viewed from the vicinity of the camp centre. ⁴⁹⁸¹ Para. 194 above.

1887, P-0340 and P-0330, both LRA fighters in Sinia brigade, testified that the fighting began while the LRA fighters were still setting up their formations and government soldiers at the boundaries of the camp recognised the presence of the LRA and started firing at them. 4982 P-0330 indicates that the entire LRA contingent in Abok were not together when the fighting began. He testified that he was in a group of just five LRA fighters when they set the formation for the battle. 4983 P-0054, also a fighter in Sinia brigade, commanded by Dominic Ongwen, gave evidence which corroborates this account, testifying that as they approached Abok, the LRA fighters split up. 4984 P-0054 further testified that his group heard gunfire while still in the bush and ran and found that the people, i.e. LRA fighters, had scattered. 4985 The Chamber finds these witnesses' accounts consistent and credible.

1888, P-0330 and P-0340 testified that the LRA fighters fired back at the government soldiers and headed towards the camp. ⁴⁹⁸⁶ Similarly, Cyprian Ayoo, one of the camp's leaders, testified that he could hear the fighting coming towards the camp. 4987

1889. Camp residents, who were within the camp at the time of the attack, testified to hearing escalating gunfire. 4988 Cyprian Ayoo described gunshots firing and subsiding with increasing intensity. ⁴⁹⁸⁹ Charles Amodo testified that the shooting would subside at some point and then begin again. 4990 Both Charles Amodo and Robson Oper testified that the

⁴⁹⁸² P-0340: T-102, p. 39, lines 16-22 (the attack started while the LRA fighters were still getting into their formations. The government soldiers overheard the LRA making noise and started shooting and the LRA started firing back); P-0330: T-52, p. 31, lines 6-11; T-55-CONF, p. 37, lines 4-8 (before the formation was final, the government soldiers who were at the border of the camp recognised the LRA fighters and started firing). See P-0252, also an LRA fighter, gave a corroborating account of events, testifying that there was gunfire between the LRA and the government soldiers. P-0252: T-87, p. 74, lines 4-8.

⁴⁹⁸³ P-0330: <u>T-52</u>, p. 30, line 23 – p. 31, line 1. ⁴⁹⁸⁴ P-0054: <u>T-93</u>, p. 33, lines 8-9.

⁴⁹⁸⁵ P-0054: T-93, p. 33, lines 9-10.

⁴⁹⁸⁶ P-0340: <u>T-102</u>, p. 40, lines 1-4 (during the attack P-0340 instinctively started firing back at the government soldiers and ran towards the battlefront); P-0330: T-52, p. 31, lines 12-14; T-55-CONF, p. 37, lines 4-10 (the LRA fighters shot back at the soldiers while advancing towards them).

⁴⁹⁸⁷ P-0293: <u>T-138</u>, p. 22, lines 4-5.

⁴⁹⁸⁸ P-0282 Statement, UGA-OTP-0261-0246-R01, at para. 20 (the gunshots were few at first but then intensified); P-0306: T-130, p. 60, lines 5-15 (P-0306 and the Political Commissioner were moving around in the camp when the gunshots began and they tried to move ahead but realised that the gunshots were increasing); P-0286: T-131, p. 9, line 24 (the gunshots carried on and intensified). The Chamber notes that the testimony of defence witness D-0065, an LDU soldier, is consistent with the camp residents' accounts of the intensifying gunfire. D-0065: T- $\frac{211}{4989}$, p. 21, lines 1-12. (after the initial gunshot, several shots came and then the gunfire

subsided, the initial exchange lasted from five to ten minutes. The gunfire then began again suddenly. Then the gunshots began again a third time and that was the heaviest fighting). ⁴⁹⁹⁰ P-0304: <u>T-133</u>, p. 55, lines 14-19.

shooting continued for some time. 4991 The Chamber finds these witnesses' accounts credible and reliable. The Chamber is convinced by the above witnesses' consistent and overlapping evidence that there was gunfire as LRA forces moved on the camp.

- 1890. The evidence does not preclude that there was an exchange of gunfire between government soldiers and LRA fighters within the camp itself. The Chamber notes the evidence provided by Dorcas Ayo, a young camp resident, who testified that while she and her family were hiding in their home, a UPDF soldier squatted by their door and was shooting at the rebels. Dorcas Ayo indicated that her home was near the new barracks. Given the location of the new barracks on the northeast side of the camp, this allows that LRA fighters may have already been within in the camp when this exchange of fire took place. Dorcas Ayo testified that when the fighting got heavier, the soldier ran away and there were many rebels in the camp.
- 1891. The Chamber also recalls Douglas Obwor's testimony that when the battle intensified, the gunshots were coming from all over the place including from where the government soldiers were based. 4996 Charles Amodo corroborates Douglas Obwor's testimony. 4997
- 1892. However, regardless of whether there was an exchange of gunfire between LRA fighters and government soldiers within the camp itself, the Chamber is convinced by the credible and reliable evidence of witnesses that the government soldiers in the camp centre were quickly overwhelmed and fled. Further, as discussed below, the Chamber is utterly unconvinced by the claim that government soldiers fired indiscriminately into Abok IDP camp and/or committed the crimes that the Prosecution attributes to the LRA. The credible and reliable evidence shows that on 8 June 2004, government soldiers fled from

⁴⁹⁹¹ P-0304: <u>T-133</u>, p. 55, lines 14-19; P-0286: <u>T-131</u>, p. 10, line 4.

⁴⁹⁹² This is outside of an exchange of gunfire that occurred at the barracks and is discussed further below.

⁴⁹⁹³ P-0281 Statement, UGA-OTP-0261-0257-R01, at para. 16.

⁴⁹⁹⁴ See P-0281 Statement, UGA-OTP-0261-0257-R01, at paras 14, 17 (P-0281 testified that she lived in a hut near the barracks, making no distinction between the old and new barracks. In the context of her testimony, particularly that she described the barracks as populated by soldiers and the evidence shows that the old barracks was no longer in use, the Chamber concludes that P-0281 makes reference to the 'new barracks').

⁴⁹⁹⁵ P-0281 Statement, UGA-OTP-0261-0257-R01, at para. 16.

⁴⁹⁹⁶ P-0306: <u>T-130</u>, p. 54, line 19 – p. 55, line 1.

⁴⁹⁹⁷ P-0304: <u>T-133</u>, p. 16, lines 8-20 (there was gunfire 'coming from all over the place'. P-0304 was not sure who was responsible for firing the guns). *See* P-0304: <u>T-133</u>, p. 7, lines 19-20.

⁴⁹⁹⁸ The Chamber notes that these witnesses' evidence is consistent with and corroborates each other.

⁴⁹⁹⁹ The Chamber recalls D-0105 and D-0121's testimony and recalls its discussions above concerning the unreliability of these witnesses' testimony. *See* D-0105: $\underline{\text{T-190}}$, p. 32, line 14 – p. 33, line 11; D-0121: $\underline{\text{T-213}}$, p. 41, line 16 – p. 42, line 3.

the centre of Abok IDP camp while LRA fighters entered the camp and committed crimes within it. This evidence also forecloses the possibility that the crimes could have been committed by the government soldiers after the LRA retreated.

1893. In this context, the Chamber notes camp resident Cyprian Ayoo testified that after several exchanges of gunfire, he heard government soldiers within the camp saying that 'these people have defeated us so we should all run away'. 5000 Similarly, camp resident Robson Oper stated that the government soldiers within the camp exchanged fire with the rebels but the rebels were stronger and they were able to chase away the soldiers who were providing protection to the camp residents. 5001 Camp resident Charles Amodo corroborates these accounts, testifying that there was an exchange of gunfire between the rebels and the government soldiers and the soldiers in the camp itself were outnumbered and they fled. 5002 P-0280 also provides evidence which corroborates these accounts, testifying that the government soldiers who were guarding the camp fled after running out of ammunition and realising that they could no longer defend themselves. 5003

1894. The testimony of D-0065, a government soldier, corroborates these witnesses' accounts and is evidence of the behaviour of the government soldiers. D-0065 testified that the government soldiers ran out of bullets and withdrew from the fight. 5004 D-0065 testified further that he personally had only three bullets to defend himself. 5005 He stated that the other soldiers also had only a few bullets, perhaps five or six, so having run out of bullets, they withdrew out of the camp. 5006 Witnesses also testify that the UPDF officer in charge of the camp fled the camp. 5007

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<sup>5000</sup> P-0293: <u>T-138</u>, p. 22, lines 16-20.
<sup>5001</sup> P-0286: <u>T-131</u>, p. 10, lines 5-8.
<sup>5002</sup> P-0304: T-133, p. 17, lines 9-10.
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⁵⁰⁰³ P-0280: <u>T-84</u>, p. 43, lines 9-13, p. 44, lines 10-19.

⁵⁰⁰⁴ D-0065: <u>T-211</u>, p. 21, lines 13-14.

⁵⁰⁰⁵ D-0065: <u>T-211</u>, p. 21, lines 13-14. *See also* p. 22, line 21 – p. 23, line 4. ⁵⁰⁰⁶ D-0065: <u>T-211</u>, p. 22, line 21 – p. 23, line 10.

⁵⁰⁰⁷ D-0065: T-211, p. 23, line 13-19 (UPDF Commander Mugabe, fled Abok IDP camp during the attack holding a chicken); P-0306: T-130, p. 9, line 17 - p. 10, line 2 (the UPDF Commander in charge of the camp at the time had fled upon rumours of the LRA's impending attack); D-0084: T-235, p. 32, lines 5-13 (UPDF Commander Mugabe fled the camp as did the junior soldiers).

- 1895.P-0330's testimony that as the government soldiers in the camp centre fled, the LRA fighters also started running to enter the camp⁵⁰⁰⁸ is corroborated by the evidence on the LRA's movement within the camp.
- 1896. This evidence shows that even after the government soldiers had fled, the LRA fighters continued to fire their weapons as they moved through the camp. In particular, the Chamber recalls the evidence provided by P-0280, one of the camp residents who was abducted during the attack and later escaped from the LRA. P-0280 testified that the LRA fighters shot their guns as they moved ahead in the camp. ⁵⁰⁰⁹ He stated that there was 'a lot [of fire] within the camp. The fighters did not care whether there were civilians there or not'. 5010 P-0280 also indicated that there were no soldiers ahead of the LRA when this shooting was going on. 5011
- 1897. In addition to hearing shooting after the government soldiers had fled, camp residents reported hearing noises and seeing fires burning throughout the camp. 5012
- 1898. As to where the LRA fighters went in the camp, the Chamber recalls Charles Amodo's testimony that the rebels came in from the direction of the camp that was most populated, the Iceme side, as opposed to the Lalogi side which was less populated. ⁵⁰¹³ The Chamber notes that the Iceme side referenced by the witness is the southern part of the camp, thus this testimony is consistent with the Chamber's earlier finding about the direction from which the LRA fighters moved on the camp. The Chamber notes the evidence, discussed further below, that while LRA fighters were moving on the camp, there were government soldiers in the barracks who were able to repel the LRA's attack on the barracks. 5014

⁵⁰⁰⁸ P-0330: <u>T-52</u>, p. 31, lines 12-15; T-55-CONF, p. 37, lines 10-11.

⁵⁰⁰⁹ P-0280: T-84, p. 42, line 25 – p. 43, line 8.

⁵⁰¹⁰ P-0280: T-83, p. 46, lines 2-4.

⁵⁰¹¹ P-0280: T-84, p. 42, line 25 – p. 43, line 8.
⁵⁰¹² P-0304: T-133, p. 8, lines 1-8, p. 57, lines 17-21, p. 67, lines 5-11 (when the LRA came to P-0304's home and forced him outside, he found the whole camp was very bright, there were gunshots going on all around the camp, fire was burning all around and there was a lot of noise. He heard the sounds of the cocking and shooting of guns not only next to him but all over the camp); P-0282 Statement, UGA-OTP-0261-0246-R01, at para. 20 (while Jacob Opio could not see what was going on outside his house, he could hear the shouting, clanging sounds, gunshots and the crackling sound of houses being burnt); P-0286: T-131, p. 10, line 4-5 (P-0286 heard people whistling while others were burning the camp). *See also* P-0286: T-132, p. 10, line 21 – p. 11, line 7. The Chamber notes that in the context of these witnesses' full testimony, the government soldiers had fled at this point in time. ⁵⁰¹³ P-0304: T-133, p. 68, lines 13-21.

⁵⁰¹⁴ See paras 1963-1967 below.

1899. At this juncture, the Chamber provides a more specific assessment of the available evidence concerning the numerous acts of violence, looting and destruction of property perpetrated by LRA fighters against civilians in the course of the attack on Abok IDP camp, as well as its immediate aftermath. As also emerges from the evidence analysed below, the victims targeted by the LRA attackers were civilian residents of the camp.

The LRA fighters looted civilian houses and shops at the trading centre, taking away food items such as sugar, flour, beans, maize, goats, cooking oil, biscuits and salt, as well as a radio, money, clothing, cooking utensils and medicine. At times, while demanding the goods, LRA fighters would use violence. 5015

- 1900. The Chamber finds that the evidence shows that LRA fighters looted Abok IDP camp during the 8 June 2004 attack. Both the camp residents and LRA fighters who participated in the attack gave credible evidence in this regard.
- 1901. Camp residents provided credible and mutually corroborative evidence that household goods, including food, were looted from the camp. Cyprian Ayoo testified that when the people returned in the morning after the attack, there were no food items left, the rebels took the food items as well as cooking utensils that were newly distributed. Several camp residents reported that LRA fighters had taken items such as money, clothes, medicines, various food items like sugar and beans, cooking utensils, a radio, bed-sheets and other household goods. Cyprian Ayoo testified that the looted items were collected and put at one location. Consistent with the other witnesses' testimony, Robson Oper testified that he knew the items he saw with the LRA were looted from

⁵⁰¹⁸ P-0293: <u>T-138</u>, p. 24, lines 21-24.

⁵⁰¹⁵ Para. 195 above.

⁵⁰¹⁶ P-0293: <u>T-138</u>, p. 26, lines 3-7. *See also* P-0306: <u>T-130</u>, p. 60, lines 2-4 (after he returned from the bush, he was told that when the gunfire subsided, the rebels started breaking in and looting the things that were in the camp).

⁵⁰¹⁷ P-0304: <u>T-133</u>, p. 18, lines 7-13 (LRA soldiers took clothes from his home); P-0306 Statement, UGA-OTP-0261-0277-R01, at para. 32 (the LRA looted food items such as sodas, biscuits and sugar); P-0281 Statement, UGA-OTP-0261-0257-R01, at para. 18 (the rebel fighters entered her home and looked through her family's belongings, eventually removing money and clothes before moving on to the next house); P-0282 Statement, UGA-OTP-0261-0246-R01, at para. 25 (the rebels who came into his house looting things in the house including a radio, clothes, beans, maize, pigeon peas, cooking utensils, a suitcase, a luggage bag and other smaller items); P-0284 Statement, UGA-OTP-0244-1180-R01, at para. 41 (the rebels looted foodstuffs like beans, sim-sim, goats and other relief supplies like cooking pots from the camp); P-0293: <u>T-138</u>, p. 24, lines 5-11 (he saw the LRA break into a house that contained medicines that were supposed to aid residents in the camp and that the LRA carried away the stock or medicine and went on to other houses).

people's houses because the LRA did not have gardens where they cultivated crops, nor did they have goats, so they untied goats from people's households and took them. ⁵⁰¹⁹

1902. The evidence provided by LRA fighters is consistent with the camp residents' account. P-0340 testified that the LRA fighters had been instructed to go and collect food from the camp, ⁵⁰²⁰ and that they followed instructions and collected materials from the camp:

I know that most of the people who headed towards the camp had the same intention, to carry stuff and run. So the person would run, pick up something and then run. You go into a house, you find something, you take it. 5021

- 1903.P-0340 testified that the LRA took beans and flour from the camp. ⁵⁰²² P-0330 offers a similar account as P-0340, testifying that during the attack, LRA fighters looted the shops, eating biscuits, drinking soft drinks and taking money ⁵⁰²³ and also looted houses, taking bed-sheets, saucepans, and foodstuffs like beans and flour. ⁵⁰²⁴ Corroborating these accounts, P-0252 testified that the LRA looted maize grains, and that he was given maize and cooking oil to carry from Abok. ⁵⁰²⁵ Similarly, D-0085 corroborates the above accounts, testifying that she saw LRA forces ransacking shops and also saw LRA forces taking food from civilians homes and personally took foodstuff from Abok IDP camp⁵⁰²⁶
- 1904. The UPDF report, dated August 2004, supports the witnesses' account, noting that the LRA took animals, foodstuff and other household items from Abok. 5027
- 1905. Some LRA fighters also reported firing their weapons as they looted in Abok IDP camp. P-0340 testified that the LRA members fired their guns and headed to the locations where they were supposed to pick up food. Solve Consistent with this account, P-0406 testified that some LRA fighters fired guns while others looted, stating:

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5019 P-0286: T-131, p. 33, lines 13-18.
5020 P-0340: T-102, p. 38, line 23 – p. 39, line 1. See also D-0085: T-239, p. 23, lines 11-14.
5021 P-0340: T-102, p. 41, lines 1-3. See also T-103, p. 49, lines 22-23.
5022 P-0340: T-102, p. 40, lines 18-20.
5023 P-0330: T-52-CONF, p. 33, lines 8-14.
5024 P-0330: T-52-CONF, p. 34, lines 3-6, p. 35, lines 13-16.
5025 P-0252: T-87, p. 78, lines 19-22, p. 79, line 24 – p. 80, line 16, p. 81, lines 7-9; T-89, p. 40, lines 1-15.
5026 D-0085: T-239, p. 28, lines 2-5, p. 29, lines 12-15, p. 34, lines 7-14.
5027 UPDF Report, atrocities committed by LRA rebels in Northern and Eastern Uganda, UGA-OTP-0037-0153, at 0177.
5028 P-0340: T-102, p. 40, lines 7-10.
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You know, when you line up and then you start firing, some people would be running to go and collect food items, others would just be firing their guns so that people would be able to loot some food items to go back with to the bush. 5029

1906. In line with the testimony that LRA fighters fired weapons as they looted, the Chamber recalls witness testimony that often times the LRA were violent when demanding items from civilians. The Chamber notes its discussion of the LRA's behaviour when demanding goods from civilians. For a look of the LRA's behaviour when demanding goods from civilians. In this context, the Chamber notes Dorcas Ayo's testimony that the rebels that entered her house during the attack all carried guns; they asked her father for money and one of them began to beat him with the butt of a gun. Dorcas Ayo testified that the rebel fighter was beating her father so much she thought he would be killed.

1907. Robson Oper testified that the rebels made him show them the shops in the camp. ⁵⁰³³ He took them to the first shop and there was merchandise inside. ⁵⁰³⁴ The rebels gave him an axe to break down the door and when it opened the rebels sent him in to get the items they wanted. ⁵⁰³⁵ The rebels identified what was useful to them and items deemed useless were abandoned. ⁵⁰³⁶ Robson Oper testified that the rebels moved on to the next shop and after finding nothing in the shops, they beat him severely. ⁵⁰³⁷ They continued to beat him despite his pleading. ⁵⁰³⁸ When they reached the next shop after that, the rebels told him that if there were no items in that shop, they would kill him. ⁵⁰³⁹ Robson Oper testified that when they reached the shop, there were items in that shop and the LRA fighters again gave him an axe to break down the door and retrieve items. ⁵⁰⁴⁰ Robson Oper testified that the LRA fighters looted cooking oil, biscuits, salt, sweets and other edible items from the shops. ⁵⁰⁴¹

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5029 P-0406: T-155, p. 69, lines 4-7.
5030 See for example the discussion of the murder of Albino Okal and Justina Akullu.
5031 P-0281 Statement, UGA-OTP-0261-0257-R01, at para. 18.
5032 P-0281 Statement, UGA-OTP-0261-0257-R01, at para. 18.
5033 P-0286: T-131, p. 10, lines 22-24.
5034 P-0286: T-131, p. 10, lines 23-24.
5035 P-0286: T-131, p. 10, line 24 – p. 11, line 2.
5036 P-0286: T-131, p. 11, lines 2-4.
5037 P-0286: T-131, p. 11, lines 5-6.
5038 P-0286: T-131, p. 11, lines 5-10.
5039 P-0286: T-131, p. 11, lines 10-13.
5040 P-0286: T-131, p. 11, lines 13-15.
5041 P-0286: T-131, p. 17, lines 7-12.
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- 1908. The Chamber notes that LRA fighter P-0054 testified that not much was looted from Abok IDP camp. P-0054 testified that nobody actually carried food from Abok because the situation was extremely chaotic. 5042 Given the volume of evidence to the contrary, the Chamber does not find the witness reliable in this regard. The Chamber finds it significant that he testified that he did not enter Abok IDP camp during the attack and stayed outside the camp. 5043 The Chamber does not imply that the witness was untruthful in this aspect of his testimony. Rather, given the circumstances, it is possible that the witness was sincere but not reliable as to what actually occurred in relation to what was taken from the camp by LRA fighters.
- 1909. In the light of the foregoing, the Chamber finds that the LRA looted houses and shops in the trading centre during the 8 June 2004 Abok IDP camp attack.

LRA fighters set huts on fire in the camp, taking grass from burning thatched roofs to set other huts on fire. Several hundred civilian homes were burnt during the attack. Civilians' food stocks were also destroyed. 5044

- 1910. The Chamber is convinced by the evidence showing that LRA fighters destroyed property in Abok IDP camp, set houses on fire and destroyed civilians' household goods, including their food stocks. The Chamber finds that the evidence is clear that LRA fighters deliberately set fire to civilian dwellings.
- 1911. In the intercepted radio communication, Dominic Ongwen himself says, talking of the 8 June 2004 Abok IDP camp attack: 'we burnt everything that was there including all the huts even the camp and the barracks'. Further, the Chamber recalls the testimony of P-0406, an LRA fighter who participated in the Abok attack, and stated that the LRA deliberately burnt Abok IDP camp. The Chamber found his account credible and reliable. The Chamber particularly notes that P-0406 testified to personally setting two to three houses on fire in Abok. S047

⁵⁰⁴² P-0054: <u>T-93</u>, p. 35, lines 19-21.

⁵⁰⁴³ See P-0054: <u>T-93</u>, p. 33, lines 13-19, p. 35, lines 2-5.

⁵⁰⁴⁴ Para. 196 above.

 $^{^{5045}}$ See Enhanced audio recording, UGA-OTP-0235-0049. See P-0003 Tape 837 Transcript, UGA-OTP-0248-0106-R01, at 0125-0127; P-0003: $\underline{\text{T-43}}$, p. 33, line 19 – p. 38, line 19; P-0016 Tape 837 Transcript, UGA-OTP-0259-0086, at 0089-0090; P-0059 Tape 837 Transcript, UGA-OTP-0248-0524-R01, at 0543-0545; P-0059: $\underline{\text{T-37}}$, p. 15, line 25 – p. 20, line 15, p. 21, lines 12-21 and p. 22, line 20 – p. 23, line 18; P-0440 Tape 837 Transcript, UGA-OTP-0262-0363-R01, at 0383-0384; P-0440: $\underline{\text{T-40}}$, p. 36, line 15 – p. 39, line 9.

⁵⁰⁴⁶ See P-0406: <u>T-154</u>, p. 68, line 8.

⁵⁰⁴⁷ P-0406: T-154-CONF, p. 70, lines 7-12.

P-0330 corroborates P-0406's testimony, testifying also that LRA fighters set houses on fire. 5048

1912. Camp residents also witnessed LRA fighters set houses on fire during the attack. Cyprian Ayoo testified that the rebels would remove grass from one of the huts and put it on fire and then torch other houses until the fire spread in the camp. 5049 Jacob Opio corroborates this account, testifying that he saw rebels setting houses on fire using lit grass from one house to the next. 5050 Similarly, Robson Oper testified that he saw the rebels set the civilian houses on fire, 5051 saying that the LRA fighters would torch one house and then go some distance to torch another. 5052 Robson Oper stated, 'I saw the houses that were being burnt. Nobody told me these things, I witnessed it personally'. 5053 Robson Oper testified that the flames from the houses had 'lightened up the place and it was clear'. 5054 The Chamber notes that Robson Oper was able to identify an LRA fighter named Opio as being among the fighters who torched the houses. 5055 Recalling its finding about Robson Oper's credibility, the Chamber considers that the other witnesses' accounts of the burning of Abok adequately corroborate his testimony and thus finds his account credible and reliable on this point.

1913. The Chamber also recalls Dorcas Ayo's testimony that she saw the rebels setting houses on fire after they had looted things. ⁵⁰⁵⁶ The Chamber notes that Dorcas Ayo testified that the rebels used matches to light the fires and were setting houses on fire on both sides of the road; ⁵⁰⁵⁷ the Chamber does not find this account inconsistent with the other witnesses' accounts.

⁵⁰⁴⁸ P-0330: T-52-CONF, p. 32, lines 6-7 and 15-21.

⁵⁰⁴⁹ P-0293: <u>T-138</u>, p. 23, line 18 – p. 24, line 3.

⁵⁰⁵⁰ P-0282 Statement, UGA-OTP-0261-0246-R01, at para. 26.

⁵⁰⁵¹ P-0286: <u>T-131</u>, p. 10, lines 8-10. *See also* P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 28 (she too saw rebels setting houses on fire).

⁵⁰⁵² P-0286: <u>T-131</u>, p. 18, lines 11-18. *See also* P-0406: T-154-CONF, p. 70, lines 7-12 (the houses in the camp were very close together so he did not know if other houses also caught fire).

⁵⁰⁵³ P-0286: <u>T-132</u>, p. 10, line 21 – p. 11, line 2.

⁵⁰⁵⁴ P-0286: <u>T-131</u>, p. 18, lines 11-15. *See also* <u>T-132</u>, p. 11, lines 8-13.

⁵⁰⁵⁵ P-0286: $\frac{T-131}{T-131}$, p. 18, line 21 – p. 19, line 15.

⁵⁰⁵⁶ P-0281 Statement, UGA-OTP-0261-0257-R01, at para. 20.

⁵⁰⁵⁷ P-0281 Statement, UGA-OTP-0261-0257-R01, at para. 20.

- 1914. Witnesses testified that the houses in the camp were close together. 5058 This proximity caused other homes within the camp to catch fire. 5059
- 1915. It is clear from the evidence that the scale of the damage to homes in Abok was enormous. LRA fighter P-0340 testified that many of the huts got burnt. 5060 He noted that as the LRA fighters started retreating from the camp, they turned back and saw that there was fire and that houses had been set on fire. 5061 Even after they had gone far, the fire was still raging.5062
- 1916. As to the number of homes that burnt in Abok, the Chamber is unable to determine the exact number of homes that were destroyed; however, the evidence shows that the destroyed homes numbered in the hundreds.
- 1917, Camp official Cyprian Ayoo testified that it was difficult to estimate the exact number of destroyed homes because things were very chaotic. 5063 He stated that about 200 houses in the camp were not burnt but all the others were burnt. 5064 Another camp official, Douglas Obwor, testified that all the houses on the southern side of the camp were burnt. 5065 Similarly, camp resident Gwentorina Akite testified that when she returned to the camp the day after the attack, the huts that had been there before had all been destroyed, only houses with 'iron sheets' survived. 5066 Gwentorina Akite stated that she could not estimate the number of huts; there were so many and on the side of the camp where she was living, there were no huts left. 5067

⁵⁰⁵⁸ P-0406: T-154-CONF, p. 70, lines 7-12 (the houses in the camp were very close together so he did not know if other houses also caught fire); P-0293: T-139, p. 14, line 11 - p. 15, line 1 (houses in the camp were built very close together)

⁵⁰⁵⁹ P-0286: T-131, p. 18, lines 11-18 (because the houses were so close, when one started burning it would automatically burn the others); P-0284 Statement, UGA-OTP-0244-1180-R01, at para. 40 (the rebels burnt many huts because the huts were built close together).

⁵⁰⁶⁰ P-0340: <u>T-102</u>, p. 53, lines 17-24.

⁵⁰⁶¹ P-0340: <u>T-102</u>, p. 40, line 23 – p. 41, line 5.

⁵⁰⁶² P-0340: <u>T-102</u>, p. 53, lines 17-24; <u>T-103</u>, p. 56, line 20-24. ⁵⁰⁶³ P-0293: <u>T-138</u>, p. 41, line 24 – p. 42, line 4.

⁵⁰⁶⁴ P-0293: T-138, p. 41, line 24 – p. 42, line 3.

⁵⁰⁶⁵ P-0306 Statement, UGA-OTP-0261-0277-R01, at para. 31.

⁵⁰⁶⁶ P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 32.

⁵⁰⁶⁷ P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 32.

- 1918. The Chamber notes that in the radio communication between Dominic Ongwen and other LRA members intercepted by Ugandan government forces, Dominic Ongwen stated that only 30 out of 600 houses were left unburnt in the attack. 5068
- 1919. Reports from the Ugandan police force, signed by P-0126, then a police officer with the Ugandan police, also report on the number of homes burnt. An information report from the Ugandan police, dated 10 June 2004, reported on 'rebel activities. Yesterday 09/06/2004 around 0300 HRS in Abok IDP camp... Rebels overran Amuka militia detach and set ablaze over 380 huts...'. A subsequent report dated the next day, 11 June 2004, reported on '656 huts set ablaze'. Solve 100 huts...'
- 1920. An intelligence report by the UPDF, dated 20 June 2004, with a field report on the Abok attack gave an update on the attack and listed '285 huts burnt'. The Chamber also notes that a UPDF report, dated August 2004, stated that 385 huts were burnt by the LRA during the Abok attack. 5073
- 1921. The Chamber is satisfied that these are authentic reports from the Ugandan government created in the days and months after the Abok IDP camp attack. However, given the limited information about their creation, the Chamber declines to rely on them as primary evidence of the number of huts burnt in the camp. The Chamber does consider that these reports corroborate the witnesses' testimonies and the intercepted radio communication, that the LRA burnt several hundred huts in the course of the attack on Abok IDP camp.

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⁵⁰⁶⁸ See P-0003 Tape 837 Transcript, UGA-OTP-0248-0106-R01, at 0124; P-0059 Tape 837 Transcript, UGA-OTP-0248-0524-R01, at 0542; P-0440 Tape 837 Transcript, UGA-OTP-0262-0363-R01, at 0381. See 9 June 2004 UPDF Logbook (Lira), UGA-OTP-0255-0228, at 0324.

⁵⁰⁶⁹ P-0126 Second Statement, UGA-OTP-0264-0002-R01, at paras 47-48.

⁵⁰⁷⁰ Police Intelligence Report, UGA-OTP-0256-0308. This report is authenticated in P-0126's prior recorded testimony as a 10 June 2004 information report concerning LRA activities on 9 June 2004. P-0126 was a retired police officer of the Ugandan police force and he signed the report. *See* P-0126 Second Statement, UGA-OTP-0264-0002-R01, at para. 48. The Chamber is satisfied that the report is the authentic information signed by P-0126 and reports on what the Ugandan police knew of the attack on 10 June 2004.

⁵⁰⁷¹ Police Intelligence Report, UGA-OTP-0256-0307. This report is authenticated in P-0126's prior recorded testimony as an 11 June 2004 human intelligence report concerning the events of the Abok IDP camp attack. P-0126 was a Retired Police Officer of the Ugandan Police Force and he signed the report. *See* P-0126 Second Statement, UGA-OTP-0264-0002-R01, at para. 47. The Chamber is satisfied that the report is the authentic intelligence report signed by P-0126 and reports on what the Ugandan police knew of the attack on 11 June 2004. ⁵⁰⁷² 10 June 2004 Intelligence Report, UGA-OTP-0016-0434, at page 0439.

⁵⁰⁷³ UPDF Report, atrocities committed by LRA rebels in Northern and Eastern Uganda, UGA-OTP-0037-0153, at 0177.

- 1922. In light of the foregoing, the Chamber is utterly unconvinced by the speculation that 'laser bullets' during the exchange of gunfire between the parties caused the huts to be torched.
- 1923. In addition to the burnt huts, the LRA attack also resulted in large destruction of civilian goods and foodstuff. The Chamber particularly notes the testimony of one of the camp's leaders, Cyprian Ayoo, who testified that when he came out of his hiding place, he saw a lot of destruction. 5074 Cyprian Ayoo saw houses burnt and things such as foodstuffs and clothes scattered all over the place, 5075 as well as chickens and goats that had been killed. 5076 Other witnesses, including Douglas Obwor, Charles Amodo and Dorcas Ayo, corroborate Cyprian Ayoo's account. Douglas Obwor testified that many goats that were tied to houses were burnt as were some bicycles only a few things remained in the houses with iron roofs and some cows were shot. 5077 Charles Amodo testified that the items in his house, including his and his family's clothes, were scattered and destroyed by LRA fighters. 5078 Similarly, Dorcas Ayo testified that after the attack, the camp was all burnt up; she saw that the goats which had been tied to the verandas had all been burnt and cows were lying on the ground shot. 5079
- 1924. Charles Amodo's testimony corroborates the evidence on the scale of the destruction. Charles Amodo testified when he returned to the camp, around three months after the attack, 5080 there were a lot of changes: people did not have clothes, people had lost goats and chickens and many other things. 5081
- 1925. In the light of the above, the Chamber finds that the LRA burnt several hundred huts, thus destroying the home of civilians in Abok IDP camp. The LRA also destroyed civilian household goods, including food stocks.

In the course of the attack, LRA fighters killed civilians by shooting, burning and/or beating them. The attackers killed at least 28 civilian residents of the camp. In other

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5074 P-0293: T-138, p. 41, lines 12-16.

5075 P-0293: T-138, p. 41, lines 12-19.

5076 P-0293: T-138, p. 41, lines 21-23.

5077 P-0306 Statement, UGA-OTP-0261-0277-R01, at para. 31.

5078 P-0304: T-133, p. 8, lines 10-11, p. 18, lines 3-13.

5079 P-0281 Statement, UGA-OTP-0261-0257-R01, at para. 30.

5080 P-0304: T-133, p. 24, line 24 – p. 25, line 5.

5081 P-0304: T-133, p. 37, lines 13.
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instances, killings were not fully carried out by the LRA fighters because of independent circumstances. 5082

Survivors returning the next morning found bodies strewn throughout the camp, including children. Some bodies were burnt or had the backs of their heads smashed, others had been shot. Dead bodies were found inside houses, at doorsteps and among the remains of burnt huts. These civilians were killed by LRA fighters. One of Dominic Ongwen's subordinate commanders intruded into a house with over 10 inhabitants, forced several to carry looted goods and then closed the door and set fire to the house with the remaining inhabitants inside. ⁵⁰⁸³

The following persons were amongst those killed by the LRA in the course of the attack: Albino Okal, Justina Akullu, Hatari Anyima, D.P. Okello, Simon Okello, Alex Ogweng, Barikia Adonya, Fabio Ogweng and two of Hatari Anyima's children (Daniel Okite and Monica Ayugi) and Evelyn Akello. 5084

LRA fighters also attempted to kill civilians in the camp. The following persons were amongst those the LRA attempted to kill within the camp: Jacob Opio, Cyprian Ogola and Robson Oper. 5085

- 1926. The Chamber finds that the evidence shows that during the 8 June 2004 attack on Abok, LRA fighters specifically targeted civilians and killed them. On some occasions, murders were not fully carried out because of independent circumstances. LRA fighters and camp residents provided credible and consistent evidence in this regard.
- 1927.P-0406, one of Dominic Ongwen's Sinia fighters, testified that the LRA burnt down occupied houses during the 8 June 2004 Abok IDP camp attack. ⁵⁰⁸⁶ P-0406 testified that during the attack, LRA fighters went to collect food at a civilian's house. ⁵⁰⁸⁷ P-0406 and other LRA fighters came to this house and found approximately 10 or more people in the house. ⁵⁰⁸⁸ When the people refused to carry food for the LRA as requested, the LRA beat them with the butts of their guns at the order of the commander. ⁵⁰⁸⁹
- 1928.P-0406 testified that some of the people then came out and carried items for the LRA. ⁵⁰⁹⁰

 As to the rest of the people, the commander bolted the door of the house from the outside

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and set the house on fire with the people still inside.⁵⁰⁹¹ P-0406 testified that he did not know whether the people who were in the house died or whether they were able to come out and flee.⁵⁰⁹² The Chamber found P-0406's accounts of the actions of the LRA at this house graphically detailed, clear and consistent. The Chamber is of the view that the people in the houses were at least the victims of an attempted killing by LRA fighters.

- 1929.P-0406 testified that the LRA fighters then left and went to another hut. ⁵⁰⁹³ P-0406 testified that there were a number of people within that house, a mixture of children and adults. ⁵⁰⁹⁴ Regarding the events at this house, P-0406 also testified '[t]he goat was outside the house and people were in the house. And the house was set on fire. Everything burnt down, including the goat. ⁵⁰⁹⁵ The Chamber also found P-0406's accounts of the actions of the LRA at this house graphically detailed, clear and consistent. The Chamber is of the view that the people in this house were killed by LRA fighters.
- 1930. P-0330, also a fighter in Dominic Ongwen's Sinia brigade, provides evidence consistent with and corroborative of P-0406's account that LRA fighters burnt people in their homes. ⁵⁰⁹⁶ P-0330 testified that some civilians died because they were burnt in their houses by the LRA fighters. ⁵⁰⁹⁷ P-0330 testified about why people stayed in their huts when the huts were set on fire:

Sometimes the houses are locked, usually locked from the outside. Because once we decide to go and attack a camp, then we have to, we have to do the worst, commit the worst atrocities. Sometimes the houses are burnt starting from the door. And the person is also standing at the door, the person who set the house on fire is standing at the door until the whole house burns down. 5098

1931.P-0330 also offers evidence that the LRA targeted civilians in Abok IDP camp and killed them. P-0330 testified that a seasoned LRA fighter gave an order to all soldiers to kill civilians and burn huts. 5099 According to P-0330, LRA fighters stabbed civilians with bayonets and also used axes they got from the village to

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<sup>5091</sup> P-0406: T-154-CONF, p. 71, lines 9-19.
<sup>5092</sup> P-0406: T-154-CONF, p. 70, lines 1-4.
<sup>5093</sup> P-0406: T-154-CONF, p. 71, lines 20-22.
<sup>5094</sup> P-0406: T-154, p. 72, lines 10-15.
<sup>5095</sup> P-0406: T-154-CONF, p. 72, lines 5-19.
<sup>5096</sup> P-0330: T-52-CONF, p. 32, lines 22-24.
<sup>5097</sup> P-0330: T-52-CONF, p. 32, lines 17-24.
<sup>5098</sup> P-0330: T-52-CONF, p. 33, lines 20-25.
<sup>5099</sup> P-0330: T-52-CONF, p. 35, line 21 – p. 36, line 9.
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beat people. 5100 The Chamber notes that P-0330

.⁵¹⁰¹ P-0330 testified that many civilians were killed in the Abok centre.⁵¹⁰² The Chamber finds this account detailed, coherent and consistent with other evidence discussed here about the LRA's behaviour during their attack on Abok.⁵¹⁰³ As such, the Chamber finds that P-0330's testimony here is credible evidence that LRA fighters targeted civilians for killing.

1932. Other witnesses also testified that the LRA killed civilians in Abok by burning, and through other means. LDU officer D-0065 testified about the LRA's actions during the attack:

[The LRA] had already burnt houses in the camp. Some people were being pushed in the fire that was burning; they would be shot and pushed in the fire. Others were being shot from the houses, others were abducted when – [the LRA] took them alive, but they were killed on the way. Others were abducted and taken into the bush and have never returned up to now. 5104

1933. Similarly, one of the camp's leaders, Cyprian Ayoo, testified about how LRA fighters killed people in the camp during the attack:

People were killed in various manners. Others were shot with a gun. Some of them were children who were abandoned in the house. They were burnt in the house. Some were battered on their heads with sticks. Some were cut with machetes ... [W]hen you find a gun hole or a bullet hole or a number of bullet holes on a person, a small bullet hole, then you confirm that the person has been shot, has died from gunshot wounds. If you find somebody who has been burned or — and the person has been burned to death, that means that they were burned by fire. If you find somebody who has been chopped, sometimes the places are swollen, that means that the person has been beaten. And that's how we determined the manner in which the person died. We decided that such and such a person died by being burnt because they were burnt, or being by gunshot wound because we found bullet holes, or by being beaten because their bodies were swollen...If the person had been chopped, then perhaps the person has been beaten on the skull and then the skull has been shattered, then it's not a machete. Because sometimes the person is

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⁵¹⁰⁰ P-0330: T-52-CONF, p. 32, line 25 – p. 33, line 7, p. 36, lines 10-17.

⁵¹⁰¹ P-0330: T-52-CONF, p. 36, lines 12-16.

⁵¹⁰² P-0330: T-52-CONF, p. 36, lines 18-21.

⁵¹⁰³ Contrary to the Defence submission at para. 451 of the <u>Defence Closing Brief</u>, the Chamber is of the view that the fact that P-0330 only mentions this seasoned soldier once in his testimony is insignificant and does not undermine the credibility of the testimony. It is understandable and reasonable that P-0330, a low ranking LRA fighter, received orders mid-fight from a higher ranking fighter other than Dominic Ongwen or Kalalang. ⁵¹⁰⁴ D-0065: <u>T-211</u>, p. 21, lines 15-20 and p. 22, lines 11-12.

clubbed on the skull and the skull shatters. There are some people who were beaten and their arms were broken. 5105

1934. The morning after the attack, camp residents found the bodies of the dead. These residents who were witnesses before this court spoke of the many persons killed in Abok. Cyprian Ogola testified that the morning after the attack, he and other camp officials walked through the camp and found many dead bodies; children, women and men. 5106 Some of the dead bodies were inside the houses, others were on the doorsteps as they tried to run and others were in the remains of burnt huts. 5107 Tommy Obote's testimony corroborates this account; he stated that when he came to the camp the day after the attack, he saw burnt huts and dead bodies. 5108 Similarly, Jacob Opio testified that when he came out of hiding after the attack, he saw people who had been burnt or shot to death; some people had been burnt to death in their houses. 5109 Robson Oper testified that when he returned from the bush, he heard of a woman who was fleeing with her child when the child entered a house and was burnt there. 5110 The child's body was found the morning after the attack. 5111 Dorcas Ayo testified to seeing the place where the government soldiers had gathered the dead bodies after the attack. 5112 Among the dead, Dorcas Avo saw three people who had been hit on the head – she could see that the backs of their heads were smashed. 5113 P-0280 testified that a three year old child was killed during the Abok attack. 5114 Gwentorina Akite testified that among the persons injured in Abok she saw a man who had been shot, he later died. 5115

1935. Douglas Obwor testified that three children were burnt in a grass thatched hut near his home; the children were around two, three and four years old. Douglas Obwor also testified that he and the others could see the entry and exit wounds of the bullet holes in

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    5105 P-0293: T-138, p. 26, line 23 – p. 27, line 1, p. 39, lines 11-19, p. 39, line 23 – p. 40, line 1.
    5106 P-0284 Statement, UGA-OTP-0244-1180-R01, at paras 36-37.
    5107 P-0284 Statement, UGA-OTP-0244-1180-R01, at para. 37.
    5108 D-0084: T-235, p. 29, lines 20-21, D-0084 testified that he personally saw about 20 dead bodies, an
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⁵¹⁰⁸ D-0084: <u>T-235</u>, p. 29, lines 20-21. D-0084 testified that he personally saw about 20 dead bodies, and all had died from gunshots (<u>T-235</u>, p. 29, lines 21-23, p. 36, lines 20-22). He also testified that one of his relatives was burnt during the attack on the camp (D-0084: <u>T-235</u>, p. 36, lines 20-25).

⁵¹⁰⁹ P-0282 Statement, UGA-OTP-0261-0246-R01, at para. 29.

⁵¹¹⁰ P-0286: <u>T-131</u>, p. 70, lines 3-7.

⁵¹¹¹ P-0286: <u>T-131</u>, p. 70, lines 3-7.

⁵¹¹² P-0281 Statement, UGA-OTP-0261-0257-R01, at para. 29.

⁵¹¹³ P-0281 Statement, UGA-OTP-0261-0257-R01, at para. 26.

⁵¹¹⁴ P-0280: <u>T-83</u>, p. 78, line 25 – p. 79, line 10.

⁵¹¹⁵ P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 33.

⁵¹¹⁶ P-0306 Statement, UGA-OTP-0261-0277-R01, at para. 28; P-0306: <u>T-130</u>, p. 7, line 6 – p. 8, line 6.

the bodies of many of the dead. ⁵¹¹⁷ Douglas Obwor testified that among the people shot and killed, he saw 'one old man who was shot in the chest and he died. There was also another lady who was shot in the head and the bullet came through the back of her head. People were shot in different parts of the bodies [...] [t]here was a boy who was shot in the stomach'. ⁵¹¹⁸

1936. The Chamber also recalls P-0280's testimony that after being abducted by the LRA, he heard two LRA fighters quarrelling amongst themselves because the LRA had killed civilians in Abok and the LRA actually needed civilians alive to carry the items taken from Abok. 5119

1937. The Chamber found the accounts of the LRA fighters about the killings committed by the LRA credible, consistent and mutually corroborative. Other witnesses from the camp further corroborate these accounts and provide evidence that LRA fighters killed persons within the camp. The Chamber specifically notes the deaths of persons by burning or being beaten to death. The evidence is clear that the LRA were the only group beating people or burning them during the attack. The only other armed group in Abok, the government soldiers, quickly fled the centre of the camp. It would be mere speculation without any foundation in evidence to theorize that these fleeing soldiers stopped to beat or burn the very citizens they had been protecting in the days and months prior to the attack. In light of the aforementioned evidence, the Chamber concludes that these deaths were caused by LRA fighters.

1938. The Chamber also finds that the evidence shows that the LRA were not able to carry out certain murders because of independent circumstances. The evidence shows that LRA fighters indiscriminately fired on civilians after the government soldiers at the camp centre had been defeated and fled. The Chamber recalls Cyprian Ogola and Robson Oper's accounts discussed below, other witnesses provide evidence which corroborates these accounts of the LRA shooting at civilians in the course of the attack. Gwentorina Akite testified that she witnessed LRA fighters shooting a young boy named Ebong in the arm while he was hiding under a bed during the attack. ⁵¹²⁰ In what may be in reference

⁵¹¹⁷ P-0306 Statement, UGA-OTP-0261-0277-R01, at para. 28.

⁵¹¹⁸ P-0306: T-130, p. 66, line 23 – p. 67, line 4.

⁵¹¹⁹ P-0280: <u>T-84</u>, p. 45, line 21 – p. 46, line 4.

⁵¹²⁰ P-0279 Statement, UGA-OTP-0258-0478-R01, at paras 23, 35.

to the same young boy, Charles Amodo (Gwentorina Akite's close relative) testified that his nephew, Ronald Ekut, was shot in the arm during the attack.⁵¹²¹

- 1939.P-0281 also testified that she saw a man, who had been shot in the stomach, at the hospital she was told that the man was shot just before the attack on the camp because he had seen the rebels hiding in the swamp. Similarly, D-0065 testified that at the start of the attack, LRA rebels shot a man in the stomach, 'his intestines were outside, but he ran away.
- 1940. Government soldiers gathered the injured and took them to the Ngai health centre. 5124

 The people who were in critical condition were taken to Atapara hospital. 5125 Jacob Opio testified that some of the injured had cuts on their heads and others had gunshot wounds in their stomach and arms. 5126 Similarly, Cyprian Ayoo testified that some people sustained injuries after being shot and that people with injuries were taken to the hospital. 5127 The Chamber considers these testimonies credible evidence of the severity of the injuries suffered by civilians as a result of the LRA's behaviour in the course of the Abok IDP camp attack.
- 1941. In the light of the foregoing, the Chamber is convinced by the evidence and finds that many unidentified persons were killed by the LRA in the course of the 8 June 2004 Abok IDP camp attack. The Chamber is also convinced that the LRA attempted to kill other civilians on some occasions during the attack and failed because of independent circumstances.
- 1942. The evidence before the Chamber does not support the suggestion that civilians were killed during crossfire between the government forces and the rebels or that government forces shot indiscriminately into the camp and killed civilians. ⁵¹²⁸ The Chamber recalls

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⁵¹²¹ P-0304: T-133, p. 45, lines 15-25.

⁵¹²² P-0281 Statement, UGA-OTP-0261-0257-R01, at para. 32.

⁵¹²³ D-0065: <u>T-211</u>, p. 21, lines 1-10.

⁵¹²⁴ P-0282 Statement, UGA-OTP-0261-0246-R01, at para. 30.

⁵¹²⁵ P-0282 Statement, UGA-OTP-0261-0246-R01, at para. 31.

⁵¹²⁶ P-0282 Statement, UGA-OTP-0261-0246-R01, at para. 30.

⁵¹²⁷ P-0293: <u>T-138</u>, p. 42, lines 5-9.

⁵¹²⁸ The Chamber does not accept the Defence submission in D-0084: <u>T-235</u>, p. 32, lines 15-22 (stating 'one of the boys told [D-0084] that it was difficult to explain but they understood that, when the commander fled, the foot soldiers were shooting indiscriminately in the camp and that is what caused a lot of injuries in the camp [...] 'because they had no leadership, the foot soldiers were shooting anyhowly'). The Chamber understands D-0084's reference to 'one of the boys' to be a reference to a UPDF soldier who also came to Abok after the attack. Thus,

the above discussion on the course of the LRA's attack on Abok IDP camp. Indeed, some witnesses testified to gunfire between the government soldiers and the LRA fighters when the attack began. However, witnesses reported that either by the time the LRA entered the camp or soon after, the government soldiers who were guarding the camp were already fleeing or had already fled. P-0280 testified that in the middle of the camp, there was no more exchange because:

[W]hen the [LRA fighters] entered the camp, the soldiers who were in the camp were already fleeing because they had no bullets [...] no one was shooting at [the rebels], but for the civilians [the rebels] would aim their guns at [them]'. 5131

1943. The evidence provided by D-0065 also undercuts any notion that civilians were killed in crossfire between government soldiers and the LRA or by government soldiers shooting indiscriminately. D-0065 testified to the government soldiers' shortage of bullets, testifying that they generally had three to six bullets. This shortage of bullets implies that the government soldiers would not have been given to indiscriminate shooting at civilians. Further, D-0065 testified to the manner of death of the civilians of Abok, indicating that the LRA pushed people 'in the fire that was burning; [people] would be shot and pushed in the fire', shot others in their houses and killed abductees. The Chamber found the witness's account credible, reliable and supported by the other evidence discussed above.

1944. There is no evidence that witnesses to the attack were cowered into avoiding the truth that government soldiers perpetuated the attack on Abok IDP camp. The Chamber first recalls its views on the lack of credibility of Sam Ojede, the witness testifying to an attack

D-0084 testified about a statement he heard from someone who heard it from someone else. This testimony is tenuous and even the statement itself is attenuated by language such as 'difficult to explain but'. In this context, the Chamber recalls its view of the reliability of D-0084's account. There is no reliable evidence corroborating his attenuated account of the course of the Abok attack. Further, as discussed in this section, there is ample and credible evidence that the LRA killed and attempted to kill civilians at Abok IDP camp during the 8 June 2004 attack

⁵¹²⁹ See section IV.C.9.iv, the Chamber's discussion of the course of the attack.

⁵¹³⁰ P-0280: T-84, p. 44, lines 10-16. *Also see* for the Chamber's finding in this regard above.

⁵¹³¹ P-0280: <u>T-84</u>, p. 44, lines 10-19. *See also* P-0306: <u>T-130</u>, p. 55, lines 8-20 (based on the information that he received as the camp leader, he did not believe that the exchange of gunfire or the crossfire caused the deaths; he was informed that people were being shot directly); P-0293: <u>T-139</u>, p. 20, line 18 – p. 21, line 11 (the soldiers who were defeated fled the camp and instructed the rest of the people to flee and he did not know if they returned to come and fight again. P-0293 also said 'when you are defeated and you are being pursued, I don't know how you can turn back and come and keep on shooting at people').

⁵¹³² D-0065: <u>T-211</u>, p. 21, 13-14, p. 22, lines 21 – p. 23, line 4.

⁵¹³³ D-0065: <u>T-211</u>, p. 21, lines 16-19.

on the camp by government soldiers and of Kenneth Opiyo, who testified to government soldiers shooting and causing the burning of the camp while fighting off the LRA. These witnesses were unreliable and not credible and their evidence cannot be relied upon by this Chamber. Further, the Chamber notes that these witnesses' contention is not borne out by the other evidence in these proceedings discussed in detail above. Additionally, the government soldiers had no discernible motive for suddenly attacking the civilians they were protecting in the days and months prior to 8 June 2004.

- 1945. The Chamber concludes that the ample credible and reliable testimony discussed above does not suggest that the civilians killed in Abok IDP camp were killed by crossfire, by indiscriminate fire of government forces, or because of a deliberate attack by the government forces. LRA fighters admit to killing civilians and witnesses saw LRA fighters killing civilians. Indeed, the above evidence shows that the LRA fighters were largely left to their own devices in Abok IDP camp. They personally beat, shot and burnt civilians in their homes.
- 1946. The evidence is clear that the deaths in Abok IDP camp were directly related to the LRA. The Chamber has found many witnesses with credible, first-hand accounts of what happened at Abok none ever mentioned seeing a government soldier kill a camp resident, even by accident. Had such a thing happened during an attack witnessed by so many, it would have been mentioned. In the absence of any believable evidence indicating that these civilians died as a result of crossfire, the Chamber concludes this is unreasonable speculation.
- 1947. The Chamber discusses the evidence related to the LRA's killing and attempted killing of specific individuals within the camp.
- 1948. **Albino Okal and Justina Akullu**: Jacob Opio testified that the morning after the attack he saw the dead bodies of his uncle, Albino Okal, and his uncle's wife, Justina Akullu. ⁵¹³⁵ Jacob Opio testified that the two were shot and killed in their house by the LRA. ⁵¹³⁶ Jacob Opio testified that 'Okal's sister-in-law' was in the house with the deceased and survived

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⁵¹³⁴ See IV.B.2.ii.b.xxxv and IV.B.2.v.b.iv, the Chamber's discussion of the testimony of these witnesses.

⁵¹³⁵ P-0282 Statement, UGA-OTP-0261-0246-R01, at para. 24.

⁵¹³⁶ P-0282 Statement, UGA-OTP-0261-0246-R01, at para. 24.

the attack. ⁵¹³⁷ Jacob Opio described what he was told about the attack: '[She] told us that when the rebels got into the house, they saw my uncle who was a bit fat and asked him for money. They assumed because he was fat that he was a rich man. He did not have any money so they shot him. ⁵¹³⁸ The Chamber finds Jacob Opio's testimony about the death of Albino Okal and Justina Akullu credible; it was detailed and consistent with other testimony. In this context, the Chamber also notes Gwentorina Akite's testimony that a man named Ewor Eriyazali Okal and his wife, Maria Amuge, were killed during the attack. ⁵¹³⁹ While the Chamber notes the divergence in the names of the deceased, the Chamber notes the similarities in the witnesses' account, the last name of 'Okal', as well as Gwentorina Akite's testimony that Ewor Eriyazali Okal also had another name which she could not remember. ⁵¹⁴⁰ The Chamber considers that Gwentorina Akite's testimony is consistent with Jacob Opio's evidence.

1949. Further, the Chamber recalls that both Cyprian Ayoo and Douglas Obwor name Albino Okal on their lists of persons killed during the 8 June 2004 attack, as does the UPDF report. Cyprian Ayoo authenticated his list of deceased persons as one he created immediately after the 8 June 2004 attack to record the persons found dead after the attack. Similarly, Douglas Obwor authenticated another list of persons found dead after the 8 June 2004 attack which he created immediately after the attack. In his list of persons found dead after the attack, Cyprian Ayoo specifies that Albino Okal was

⁵¹³⁷ P-0282 Statement, UGA-OTP-0261-0246-R01, at para, 24.

⁵¹³⁸ P-0282 Statement, UGA-OTP-0261-0246-R01, at para. 24.

⁵¹³⁹ P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 36.

⁵¹⁴⁰ P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 36.

⁵¹⁴¹ P-0293 List of persons killed in 8 June 2004 Abok attack, UGA-OTP-0244-1201; P-0306 List of persons killed in 8 June 2004 Abok attack, UGA-OTP-0247-1270-R01, at 1271; UPDF Report, atrocities committed by LRA rebels in Northern and Eastern Uganda, UGA-OTP-0037-0153, at 0177.

⁵¹⁴² P-0293: <u>T-138</u>, p. 38, line 12 – p. 40, line 1 and p. 80, lines 13-20. *See* P-0293: <u>T-138</u>, p. 26, line 21 – p. 27, line 4, p. 33, line 22 – p. 34, line 7, p. 38, line 15 – p. 39, line 7, p. 40, lines 2-4 and p. 80, lines 13-20; <u>T-139</u>, p. 11, lines 7-18 (P-0293 explained that the morning of the attack, they started removing the bodies after Engola Okello arrived at the camp. P-0293 and a group of others identified the bodies, and he wrote a list of persons killed in Abok stating the manner in which they were killed. The list he compiled was based on the information that he received at the time. Because he did not know everyone who died and some people were burnt beyond recognition, P-0293 would ask people who lived in the area or with the deceased to identify the bodies). The Chamber is of the view that the list was created by P-0293 in the immediate aftermath of the attack.

 $^{^{5143}}$ P-0306: $\underline{\text{T-}130}$, p. 8, line 16 - p. 9, line 2. See P-0306 Statement, UGA-OTP-0261-0277-R01, at para. 28; $\underline{\text{T-}130}$, p. 7, lines 16-21, p. 8, line 8 - p. 9, line 2 (P-0306 stated that after returning in the morning, people were found that had been shot dead. P-0306 was among the people who moved around to try and record the numbers of persons who were missing and the dead. He and the others 'started collecting the dead bodies, put them together, and also to assess the damage that was caused. This included burning of houses, cattle were injured by bullets, and also items that were looted in the shops'. In the course of moving around together with the people to collect the dead bodies, they would 'find, identify a person, a dead person and then we would get the name'). The Chamber is of the view that the list was created by P-0309 in the immediate aftermath of the attack.

killed by being 'gunned'. 5144 Cyprian Ayoo lists Justina *Akullo* on the list of the dead and specifies that she was also killed by being 'gunned'. 5145 Douglas Obwor names *Jasimta*-Okal Akullu on his list of persons killed. 5146 The UPDF report lists Jasita Okal. 5147 Given the overwhelming similarities in the names listed, the Chamber is of the view that the evidence references the same person, whom the Chamber identifies as Justina Akullu. Douglas Obwor's testimony provides further corroboration of the accounts of the deaths of Albino Okal and Justina Akullu. Douglas Obwor testified that the two lived next to his father and that his father heard them being shot and also heard someone talking, asking them 'why are you still in the house'. 5148 In light of the foregoing, the Chamber finds that Albino Okal and Justina Akullu were shot and killed in their home by LRA fighters during the 8 June 2004 attack on Abok IDP camp.

1950. **Hatari Anyima**: The Chamber notes that several witnesses testified in relation to the death of persons who had tried to hide in the latrine pit and were shot and killed by LRA soldiers, ⁵¹⁴⁹ identifying D.P. Okello and Hatari Anyima. ⁵¹⁵⁰ Regarding the identification of Hatari Anyima as one of the persons killed near the latrine pit, P-0280 testified that while fleeing from the LRA, he witnessed LRA fighters order Hatari Anyima out of the latrine pit where he was hiding. ⁵¹⁵¹ P-0280 testified that after coming out of the ditch, Hatari Anyima was immediately shot and killed by LRA fighters. ⁵¹⁵² Other witnesses corroborate P-0280's account of the death of Hatari Anyima. ⁵¹⁵³ The Chamber notes some discrepancies in the name the witnesses refer to. A *Raymond Anyima* is named in

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⁵¹⁴⁴ P-0293 List of persons killed in 8 June 2004 Abok attack, UGA-OTP-0244-1201.

⁵¹⁴⁵ P-0293 List of persons killed in 8 June 2004 Abok attack, UGA-OTP-0244-1201.

⁵¹⁴⁶ P-0306 List of persons killed in 8 June 2004 Abok attack, UGA-OTP-0247-1270-R01, at 1271.

⁵¹⁴⁷ UPDF Report, atrocities committed by LRA rebels in Northern and Eastern Uganda, UGA-OTP-0037-0153, at 0177

⁵¹⁴⁸ P-0306: <u>T-130</u>, p. 55, lines 15-18. The Chamber notes that P-0306 refers to Alvino Okul and his wife Jacinta Okulu. Noting the use of phonetic spellings in transcripts of the proceedings as well as the Chamber's determination above, the Chamber is convinced that P-0306 is referring to Albino Okal and Justina Akullu. ⁵¹⁴⁹ P-0279, P-0280, P-0282, P-0284, P-0293, P-0304 and P-0306.

⁵¹⁵⁰ The Chamber notes that P-0280 testified that *Simon Okello* and Hatari Anyima were killed by LRA soldiers in the latrine pit. *See* P-0280: T-83-CONF, p. 43, lines 14-25; <u>T-83</u>, p. 51, lines 7-8, p. 54, lines 4, lines 10-19. ⁵¹⁵¹ P-0280: T-83-CONF, p. 43, line 14 – p. 44, line 7; <u>T-83</u>, p. 50, lines 2-23.

⁵¹⁵² P-0280: T-83-CONF, p. 43, lines 14-25; <u>T-83</u>, p. 51, lines 7-8, p. 54, lines 4-7, lines 10-13.

⁵¹⁵³ P-0284 Statement, UGA-OTP-0244-1180-R01, at paras 37-38 (after the attack, he saw the dead bodies of two persons who had tried to hide in a latrine pit and were shot inside the latrine. According to the witness, David Peter Okello and Raymond Anyima were the two shot dead inside the latrine pit. P-0284 could tell they had been shot because he saw the bullet wounds. Raymond Anyima was shot in the back and it came through the stomach); P-0293: <u>T-139</u>, p. 17, lines 7-12 (David Okello and Raymond Anyima were hiding in the pits when they were pulled out and shot); P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 36. *See also* P-0282 Statement, UGA-OTP-0261-0246-R01, at para. 29 (Jacob Opio saw the bodies of 'DP Okello' and Hatari Anyima).

Cyprian Ayoo and Douglas Obwor's lists of the deceased and referenced in Cyprian Ogola and Cyprian Ayoo's testimony about the persons whose dead bodies were found in the latrine pit. 5154 Further, the UPDF Report lists *Raimond Anyima* among the deceased. 5155 Cyprian Ayoo's list of deceased persons specifies that Raymond Anyima was killed by being 'gunned'. 5156 Charles Amodo testified that a close family member 'Anyim Hatari' was killed during the attack. 5157 Similarly, Gwentorina Akite, a close relative of Charles Amodo, testified that people in the camp told her that 'Anyima Atari' was removed from the latrine pit and shot dead. 5158 Based on the overwhelming similarities in the description of the manner and scenario in which the deceased died and the fact that they all refer to a person with a last name akin to Anyima, the Chamber is of the view that the evidence refers to the same person, Hatari Anyima. In light of the credible and consistent testimonies of the witnesses and corroborating documentary evidence, the Chamber considers that Hatari Anyima was shot and killed by the LRA during the Abok attack.

1951. **D.P. Okello**: As to identifying persons killed alongside Hatari Anyima, several witnesses testified that D.P. Okello was also killed at the latrine. ⁵¹⁵⁹ Cyprian Ayoo testified that in the aftermath of the attack he was told that David Okello was hiding in the pits and was pulled out and shot by the LRA. ⁵¹⁶⁰ Similarly, Cyprian Ogola testified that after the attack, he saw the dead body of David Peter Okello; he had a bullet wound on his waist and side. ⁵¹⁶¹ Cyprian Ayoo's list of persons killed during the 8 June 2004 attack corroborates these accounts, stating that David Peter Okello was killed by being 'gunned'. ⁵¹⁶²

⁵¹⁵⁴ See P-0284 Statement, UGA-OTP-0244-1180-R01, at para. 38; P-0293: <u>T-139</u>, p. 17, lines 4-12; P-0293 List of persons killed in 8 June 2004 Abok attack, UGA-OTP-0244-1201; P-0306 List of persons killed in 8 June 2004 Abok attack, UGA-OTP-0247-1270-R01, at 1271.

⁵¹⁵⁵ UPDF Report, atrocities committed by LRA rebels in Northern and Eastern Uganda, UGA-OTP-0037-0153, at 0177.

⁵¹⁵⁶ P-0293 List of persons killed in 8 June 2004 Abok attack, UGA-OTP-0244-1201.

⁵¹⁵⁷ P-0304: <u>T-133</u>, p. 37, lines 17-21.

⁵¹⁵⁸ P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 36.

⁵¹⁵⁹ The Chamber notes that the evidence refers to 'D.P.'/ 'DP', 'David Peter', 'Peter' or 'David' Okello. *See* P-0284 Statement, UGA-OTP-0244-1180-R01, at para. 37; P-0293 List of persons killed in 8 June 2004 Abok attack, UGA-OTP-0244-1201; P-0306 List of persons killed in 8 June 2004 Abok attack, UGA-OTP-0247-1270-R01, at 1271; UPDF Report, atrocities committed by LRA rebels in Northern and Eastern Uganda, UGA-OTP-0037-0153, at 0177. The Chamber considers it self-evident that the references are to the same person, whom the Chamber refers to as D.P. Okello.

⁵¹⁶⁰ P-0293: T-139, p. 17, lines 4-12.

⁵¹⁶¹ P-0284 Statement, UGA-OTP-0244-1180-R01, at paras 37-38. *See also* P-0282 Statement, UGA-OTP-0261-0246-R01, at para. 29 (Jacob Opio saw the bodies of DP Okello and Hatari Anyima).

⁵¹⁶² P-0293 List of persons killed in 8 June 2004 Abok attack, UGA-OTP-0244-1201. The Chamber notes some slight discrepancies in the name the witnesses refer to. For example, the camp officials, Cyprian Ogola, Cyprian

Similarly, Douglas Obwor's list states that D.P. Okello was among the persons killed. 5163 The UPDF reports also lists David Okello among the persons killed during the account. 5164 The Chamber notes that P-0280 testified that D.P. Okello was killed during the Abok attack. 5165 Indeed, P-0280 testified that he saw D.P. Okello's grave after he returned to the camp. 5166 However, during his detailed description of witnessing the killings at the latrine, the witness does not name D.P. Okello. The Chamber is of the view that had P-0280 seen D.P. Okello killed by LRA soldiers in or near the latrine pit, he would have discussed it during his testimony. In the context of the evidence given, the Chamber considers that P-0280 references the deaths of two other separate persons. 5167 The Chamber notes that it found P-0280's testimony generally credible, rich in detail, specific, coherent and internally consistent. However, the Chamber is convinced by the consistent, mutually corroborative and credible evidence of the other witnesses who testified that D.P. Okello was another person killed in or near the latrine pit by the LRA. In light of the witnesses' overlapping testimony and the documentary evidence, the Chamber concludes that D.P. Okello was shot and killed by the LRA during the 8 June 2004 Abok attack.

1952. Simon Okello: The Chamber recalls that P-0280 testified to witnessing the killing of Simon Okello, who he stated was ordered from the latrine pit and shot and killed by the LRA. 5168 P-0280 testified that although Simon Okello was a UPDF soldier, he was on leave, wearing civilian clothes and identified himself as a civilian. 5169 The Chamber finds P-0280's account of Simon Okello's death detailed, comprehensive and internally consistent. The Chamber is convinced of the credibility of his account. Further, along with the UPDF Report, 5170 Douglas Obwor provides corroborative evidence that Simon

Ayoo and Douglas Obwor identified David Peter Okello, D.P. Okello or Peter Okello respectively. P-0284 Statement, UGA-OTP-0244-1180-R01, at para. 37; P-0293 List of persons killed in 8 June 2004 Abok attack, UGA-OTP-0244-1201.

⁵¹⁶³ P-0306 List of persons killed in 8 June 2004 Abok attack, UGA-OTP-0247-1270-R01, at 1271.

⁵¹⁶⁴ UPDF Report, atrocities committed by LRA rebels in Northern and Eastern Uganda, UGA-OTP-0037-0153, at 0177. Given the great similarities in the names, the Chamber considers it self-evident that the references are to the same person, whom the Chamber refers to as D.P. Okello.

⁵¹⁶⁵ P-0280: T-83-CONF, p. 78, line 24 – p. 79, line 10.

⁵¹⁶⁶ P-0280: T-83-CONF, p. 78, line 24 – p. 79, line 10.

⁵¹⁶⁷ Simon Okello and Hatari Anyima.

⁵¹⁶⁸ P-0280: T-83-CONF, p. 43, lines 14-25, p. 50, line 3 – p. 51, line 8, p. 54, lines 4-19; <u>T-84</u>, p. 45, lines 15-

⁵¹⁶⁹ P-0280: <u>T-83</u>, p. 50, lines 15-18; <u>T-84</u>, p. 40, lines 11-21.

⁵¹⁷⁰ UPDF Report, atrocities committed by LRA rebels in Northern and Eastern Uganda, UGA-OTP-0037-0153, at 0177. The report lists Simon Okello on the list of the deceased.

Okello was killed during the attack in his identification of Simon Okello on his list of persons killed in the 8 June 2004 Abok attack. The Chamber considers that Cyprian Ayoo's lack of reference to 'Simon' on his list of persons killed does not undermine the Chamber's conclusion. In the light of the foregoing, the Chamber finds that Simon Okello was killed by the LRA during the 8 June 2004 Abok IDP camp attack.

1953. Alex Ogweng: P-0280 testified that he witnessed the LRA killed Alex Ogweng on 8 June 2004. 5173 P-0280 testified that Alex Ogweng was among the persons hiding in the latrine pit. 5174 P-0280 testified that LRA fighters ordered Alex Ogweng out of the ditch. 5175 LRA fighters walked with Alex Ogweng for a short distance and then forced him to lie down and shot him while he was facedown. 5176 The Chamber also recalls Douglas Obwor's hearsay evidence about the death of a person called *Nelson Ogweng*. Douglas Obwor testified that he heard from a woman 'Filda Anyima' about bodies recovered in the latrine. 5177 Douglas Obwor testified that Filda Anyima said that Nelson Ogweng had hid with her in the latrine before he was taken by the rebels to lead them to the barracks. 5178 Douglas Obwor testified that according to Filda Anyima, Nelson Ogweng had returned to the latrine to seek help after being shot by the LRA near the barracks and died by the latrine. 5179 The Chamber recalls P-0280's testimony that Hatari Anyima's wife was among the people hiding in the latrine, 5180 and the presence of Filda Anyima in this same latrine is consistent with this evidence. Given the similarities in the names, the Chamber considers it possible that Nelson Ogweng and Alex Ogweng is the same person.

⁵¹⁸¹ The Chamber is of the view that had

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⁵¹⁷¹ P-0306 List of persons killed in 8 June 2004 Abok attack, UGA-OTP-0247-1270-R01, at 1271; UPDF Report, atrocities committed by LRA rebels in Northern and Eastern Uganda, UGA-OTP-0037-0153, at 0177.

⁵¹⁷² See P-0293 List of persons killed in 8 June 2004 Abok attack, UGA-OTP-0244-1201. The Chamber notes that Cyprian Ayoo's list of persons killed refers to David Peter Okello, Ewoo Okello and Olem Okello, stating that all three were killed by being 'gunned'.

⁵¹⁷³ P-0280: T-83-CONF, p. 43, lines 14-25; P-0280: <u>T-83</u>, p. 50, line 6 – p. 51, line 8. *See* <u>T-84</u>, p. 45, lines 19-21.

⁵¹⁷⁴ P-0280: <u>T-83</u>, p. 51, lines 6-9.

⁵¹⁷⁵ P-0280: <u>T-83</u>, p. 51, lines 6-9.

⁵¹⁷⁶ P-0280: T-83, p. 51, line 8, p. 54, lines 16-19; T-84, p. 9, line 8-17, p. 10, lines 1-2.

⁵¹⁷⁷ P-0306 Statement, UGA-OTP-0261-0277-R01, at para. 30.

⁵¹⁷⁸ P-0306 Statement, UGA-OTP-0261-0277-R01, at para. 30.

⁵¹⁷⁹ P-0306 Statement, UGA-OTP-0261-0277-R01, at para. 30.

⁵¹⁸⁰ P-0280: T-83-CONF, p. 43, lines 14-25.

P-0280 seen Alex Ogweng go with the LRA to the barracks, he would have said so. The Chamber also notes that Filda Anyima was not called as a witness in the trial. Further, Douglas Obwor provided his testimony about Nelson Ogweng in his statement introduced under Rule 68(3) and was not questioned about this death during his in-court testimony. In light of the paucity of the information about Nelson Ogweng, the Chamber puts more weight on P-0280's testimony and makes no finding about Nelson Ogweng. The Chamber also finds that the UPDF report is corroborative evidence that Alex Ogweng was killed during the 8 June 2004 attack. Douglas Obwor also provides corroborative evidence in his identification of Alex Ogweng on his list of persons killed during the 8 June 2004 Abok attack. The Chamber considers that Cyprian Ayoo's failure to mention Alex Ogweng on his list of deceased persons does not undermine its conclusion that Alex Ogweng was killed in the Abok attack. In light of the foregoing, the Chamber finds that the LRA shot and killed Alex Ogweng during the 8 June 2004 Abok IDP camp attack.

1954. **Barikia Adonya**: Charles Amodo testified that his close family member Barikia Adonya was killed during the 8 June 2004 Abok attack. S185 Regarding Barikia Adonya, the Chamber notes that Douglas Obwor names a Barikia *Adonyo* on his lists of persons killed during the 8 June 2004 attack, as does the UPDF report, while on his list, Cyprian Ayoo names *Barakia Adonyo*. Similarly Jacob Opio testified about the death of 'Adonyo'. S188 Given the great similarities in the names, the Chamber considers that all name the same person, whom the Chamber refers to as Barikia Adonya. Cyprian Ayoo states that Barikia Adonya was killed by being 'gunned'. In testimony consistent with this account, Jacob Opio testified that he was told by his wife that an elderly person called 'Adonyo' was shot and burnt in his own house. S190 Jacob Opio testified that he saw the

⁵¹⁸² UPDF Report, atrocities committed by LRA rebels in Northern and Eastern Uganda, UGA-OTP-0037-0153, at 0177. The UPDF report lists Alex *Ogwen*, age 18 years old, among the persons killed at Abok IDP camp. Noting the overwhelming similarities in the names, the Chamber concludes that this is a reference to Alex Ongweng.

⁵¹⁸³ P-0306 List of persons killed in 8 June 2004 Abok attack, UGA-OTP-0247-1270-R01, at 1271.

⁵¹⁸⁴ P-0293 List of persons killed in 8 June 2004 Abok attack, UGA-OTP-0244-1201.

⁵¹⁸⁵ P-0304: T-133, p. 37, lines 17-21.

⁵¹⁸⁶ P-0306 List of persons killed in 8 June 2004 Abok attack, UGA-OTP-0247-1270-R01, at 1271; UPDF Report, atrocities committed by LRA rebels in Northern and Eastern Uganda, UGA-OTP-0037-0153, at 0177.

⁵¹⁸⁷ P-0293 List of persons killed in 8 June 2004 Abok attack, UGA-OTP-0244-1201.

⁵¹⁸⁸ P-0282 Statement, UGA-OTP-0261-0246-R01, at para. 24. The Chamber notes that the UPDF report lists 'Barikia Adonyo' as 56 years old. UPDF Report, atrocities committed by LRA rebels in Northern and Eastern Uganda, UGA-OTP-0037-0153, at 0177. This is consistent with Jacob Opio's characterisation.

⁵¹⁸⁹ P-0293 List of persons killed in 8 June 2004 Abok attack, UGA-OTP-0244-1201.

⁵¹⁹⁰ P-0282 Statement, UGA-OTP-0261-0246-R01, at para. 24.

dead body the day after the attack.⁵¹⁹¹ The context of Jacob Opio's testimony makes it clear to the Chamber that the victim was killed by the LRA. In light of the consistent, corroborative and credible evidence before the Chamber, the Chamber finds that the LRA shot and killed Barikia Adonya during the 8 June 2004 Abok attack.

1955. Fabio Ogweng: Cyprian Ayoo testified that his father, Fabio Ogweng, was killed during the 8 June 2004 attack. 5192 Cyprian Ayoo testified that Fabio Ogweng was clubbed on the skull and his brain spilled out. 5193 Cyprian Ayoo's list of killed persons he prepared just after the 8 June 2004 Abok attack is consistent with his testimony. He lists Fabio Ogweng among the deceased and specifies that Fabio Ogweng was killed by being beaten. 5194 The Defence challenges Cyprian Ayoo's account, recalling Douglas Obwor's testimony that the father of the former Camp Leader was killed in 2003 going back to his village looking for maize. 5195 Cyprian Ayoo stood by his testimony and his account of Fabio Ogweng's death. 5196 Given the Defence's implication, the Chamber finds it significant that the list created by Douglas Obwor soon after the attack lists Phobio Ogweng among the dead. 5197 Given the overwhelming similarities between the names, the Chamber finds that they refer to the same person. As discussed above, the Chamber found Cyprian Ayoo to be a credible and reliable witness. 5198 The Chamber generally found Cyprian Ayoo's account of Fabio Ogweng's death credible. Further, the two camp's leaders' lists of the deceased further support Cyprian Ayoo's account of Fabio Ogweng's death on 8 June 2004. The Chamber is satisfied that the evidence shows that Fabio Ogweng was beaten and killed during the attack on Abok IDP camp on 8 June 2004. The Chamber is also convinced that Fabio Ogweng was killed by the LRA. The Chamber notes that he was killed by being beaten during the attack. There is no evidence that anyone other than the LRA killed persons by beating them during the 8 June 2004 attack on Abok IDP camp. There is no evidence, or even suggestion, that government soldiers, who were the only other armed forces present in Abok IDP camp at any time

⁵¹⁹¹ P-0282 Statement, UGA-OTP-0261-0246-R01, at para. 29.

⁵¹⁹² P-0293: T-138, p. 40, lines 5-13.

⁵¹⁹³ P-0293: T-138, p. 40, lines 5-13. See P-0306 Statement, UGA-OTP-0261-0277-R01, at para. 20.

⁵¹⁹⁴ P-0293 List of persons killed in 8 June 2004 Abok attack, UGA-OTP-0244-1201. *See* P-0293: <u>T-138</u>, p. 40, lines 5-13.

⁵¹⁹⁵ P-0306 Statement, UGA-OTP-0261-0277-R01, at para. 20.

⁵¹⁹⁶ P-0293: <u>T-139</u>, p. 28, lines 14-18.

⁵¹⁹⁷ P-0306 List of persons killed in 8 June 2004 Abok attack, UGA-OTP-0247-1270-R01, at 1271. *See* P-0306: <u>T-130</u>, p. 8, line 16 – p. 9, line 2.

⁵¹⁹⁸ See section IV.B.2.iv.a.v above.

during the attack, beat any civilian. Similarly, there is no evidence, or suggestion that government soldiers charged with protecting the camp would beat an elderly civilian to death during the attack. This is particularly true given the determination that during the attack, the government soldiers were themselves fleeing from the LRA. Rather, there is considerable evidence that the LRA beat civilians. This was the case for the attack on Abok IDP camp as well as for all other attacks relevant to the charges at issue in these proceedings. In light of the evidence, the Chamber finds that LRA fighters killed Fabio Ogweng by beating him to death during the 8 June 2004 attack on Abok IDP camp.

1956. Hatari Anyima's children: Gwentorina Akite testified that one of Hatari Anyima's children, who was one or two years, was removed from the latrine pit with Hatari Anyima and shot dead by the LRA.⁵²⁰¹ Gwentorina Akite did not witness this killing but was informed of the death after she returned to Abok a month after sustaining injuries in the attack. 5202 The Chamber notes that P-0280 indicated that Hatari Anyima's child that hid with him in the ditch remained in the ditch. 5203 P-0280 did not testify to witnessing the death of the child. The Chamber is of the view that had P-0280 witnessed the child's death he would have discussed it during his testimony. In light of the witnesses' incongruous testimony, the Chamber makes no finding that Hatari Anyima's child was killed in the latrine pit alongside him. However, other evidence corroborates Gwentorina Akite's testimony that a child of Hatari Anyima was killed during the attack. Douglas Obwor's list of the persons deceased during the Abok attack lists Daniel Okite and *Monica Ayugi* among the persons killed during the 8 June 2004 attack. ⁵²⁰⁴ Cyprian Ayoo references the death of Emanwel Okite and Monica Ayugi, detailing that they were killed by burning during the attack. 5205 Further, the UPDF report lists *Daniel Okite* (1 year old) and Monica Ayugi (2 years old) among the persons killed during the 8 June 2004 Abok attack. 5206 Both are listed as children of Hatari Anyima. 5207 The Chamber notes that

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⁵¹⁹⁹ See paras 1926-1941, 1982, 1987-1993.

⁵²⁰⁰ See sections IV.C.6.iii, IV.C.7.vi, IV.C.8.iv.

⁵²⁰¹ P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 36.

⁵²⁰² P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 36.

⁵²⁰³ P-0280: T-83-CONF, p. 43, lines 14-25.

⁵²⁰⁴ P-0306 List of persons killed in 8 June 2004 Abok attack, UGA-OTP-0247-1270-R01, at 1271.

⁵²⁰⁵ P-0293 List of persons killed in 8 June 2004 Abok attack, UGA-OTP-0244-1201.

⁵²⁰⁶ UPDF Report, atrocities committed by LRA rebels in Northern and Eastern Uganda, UGA-OTP-0037-0153, at 0177

⁵²⁰⁷ UPDF Report, Atrocities Committed by LRA rebels in Northern and Eastern Uganda, UGA-OTP-0037-0153, at 0177. The Chamber recalls its finding that Raimond Anyima is Hatari Anyima.

Cyprian Ayoo listed the children as being killed by burning. There is no evidence that anyone other than the LRA killed persons by burning them. There is no evidence, or even suggestion, that government soldiers, who were the only other armed forces present in Abok IDP camp at any time during the attack, burnt any civilian. Rather, there is considerable evidence that the LRA burnt civilians. This was true in Abok IDP camp and in other attacks at issue in these proceedings. In light of the evidence, the Chamber finds that LRA fighters burnt Daniel Okite and Monica Ayugi to death. In light of the totality of the evidence, the Chamber is of the view that Daniel (possibly first name Emanwel) Okite and Monica Ayugi were both children of Hatari Anyima, and were burnt to death by LRA fighters during the 8 June 2004 Abok attack.

1957. Evelyn Akello: Several witnesses testified as to the death of Evelyn Akello, who was abducted in Abok and killed during the retreat from the camp. Charles Amodo testified that Evelyn Akello was his uncle's daughter. ⁵²¹⁰ He had been with her when they were abducted during the attack and they became separated during the LRA's retreat from Abok IDP camp. ⁵²¹¹ When he returned from the bush, Charles Amodo was told by other returned abductees that they witnessed Evelyn Akello being killed by the LRA. ⁵²¹² Specifically, Oringa James, a returned abductee, also told him that Evelyn Akello was killed by the LRA. Gwentorina Akite corroborates the account that Evelyn Akello was killed by the LRA. Gwentorina Akite testified that Evelyn Akello was her stepdaughter and had been raised by her since infancy. ⁵²¹⁴ Gwentorina Akite testified that Evelyn Akello was also abducted the night of the 8 June 2004 attack on Abok IDP camp. ⁵²¹⁵ Gwentorina Akite testified that at the time Evelyn Akello had a one year old child who had started to walk. ⁵²¹⁶ Gwentorina Akite testified that the child was injured during the stampede in the camp on the day of the attack. ⁵²¹⁷ Gwentorina Akite testified that Evelyn Akello never returned from the bush. ⁵²¹⁸ Douglas Obwor corroborates the

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<sup>5208</sup> See paras 1927-1935 above.
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⁵²⁰⁹ See paras 1500-1501, 1741-1745 above.

⁵²¹⁰ P-0304: <u>T-133</u>, p. 43, lines 17-21.

⁵²¹¹ P-0304: <u>T-133</u>, p. 24, lines 9-15.

⁵²¹² P-0304: <u>T-133</u>, p. 24, lines 16-19.

⁵²¹³ P-0304: <u>T-133</u>, p. 61, lines 2-6. *See also* p. 66, line 20 – p. 67, line 1.

⁵²¹⁴ P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 17.

⁵²¹⁵ P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 38.

⁵²¹⁶ P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 38.

⁵²¹⁷ P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 38.

⁵²¹⁸ P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 38.

above accounts, testifying that returned abductees reported to him that Evelyn Akello, one of the abductees, had been killed by the rebels. Douglas Obwor testified that he was told that when the rebels had travelled far away from Abok, Evelyn Akello could no longer move and so she was beaten to death with a big stick and then cut into pieces with a panga. The Chamber found the above witnesses' accounts consistent and mutually corroborative. In the light of the foregoing, the Chamber finds that Evelyn Akello was killed by the LRA during the retreat from Abok IDP camp.

1958. **Shooting of Jacob Opio**: Jacob Opio, a camp resident, testified that after the government soldiers were defeated by the rebels, civilians ran past his house shouting that people should flee because the government soldiers had told them to. 5221 Jacob Opio tried to run out of his house but the gunfire in the camp was too much, so he returned to his house and locked the door. 5222 The LRA fighters came to his door and started piercing it with a bayonet, he tried to hold the door, but they eventually unhinged it with the bayonet. 5223 Jacob Opio threw the door down on the outside and ran out past the rebels to escape. 5224 He did not get very far from the rebels, just about 18 meters, before he was shot in the leg. 5225 The rebels rushed into his house while he pulled himself into an unfinished house - he realised that he was bleeding from a gunshot wound to his right kneecap where the bullet went from the left to the right and came out. 5226 The Chamber recalls Cyprian Ogola's testimony which corroborates Jacob Opio's account. Cyprian Ogola testified that Jacob Opio was shot in the thigh during the attack and that the bullet came out through the knee. 5227 Similarly, Gwentorina Akite's testimony is consistent with and corroborative of the other witnesses. She testifies that she saw a man who had been shot in the thigh. 5228 While the witness testifies that this man was named 'David Opio', the Chamber notes the similarity with the last name and given the specificity of her description of the man's injury concludes that Gwentorina Akite also refers to Jacob Opio. The Chamber also notes that the UPDF report lists a 'Jobik Opio' among the persons

⁵²¹⁹ P-0306 Statement, UGA-OTP-0261-0277-R01, at para. 32.

⁵²²⁰ P-0306 Statement, UGA-OTP-0261-0277-R01, at para. 32.

⁵²²¹ P-0282 Statement, UGA-OTP-0261-0246-R01, at para. 21.

⁵²²² P-0282 Statement, UGA-OTP-0261-0246-R01, at para. 22.

⁵²²³ P-0282 Statement, UGA-OTP-0261-0246-R01, at para. 23.

⁵²²⁴ P-0282 Statement, UGA-OTP-0261-0246-R01, at para. 23.

⁵²²⁵ P-0282 Statement, UGA-OTP-0261-0246-R01, at para. 23.

⁵²²⁶ P-0282 Statement, UGA-OTP-0261-0246-R01, at para. 23.

⁵²²⁷ P-0284 Statement, UGA-OTP-0244-1180-R01, at para. 42.

⁵²²⁸ P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 33.

injured in the attack.⁵²²⁹ Given the similarities in the name, the Chamber considers that this refers to Jacob Opio and corroborates his account. In light of the foregoing, the Chamber concludes that LRA fighters attempted to kill Jacob Opio by shooting him while he was trying to escape during the 8 June 2004 Abok attack.

1959. Shooting at Cyprian Ogola and Robson Oper: Cyprian Ogola testified that people who tried to run were shot at by the rebels. 5230 In his own case, when he realised that the LRA fighters were attacking the camp, he told his family to run. 5231 As Cyprian Ogola's family ran, the rebels fired in their direction, so he and his family changed course and ran in another direction; the rebels fired at them again. 5232 Cyprian Ogola testified that he knew it was the LRA fighters firing shots at them because the government soldiers would not fire at them and the few soldiers who were there were telling them to flee so that they would not be captured. 5233 Cyprian Ogola also testified that he knew the rebels were firing at them because the rebels were chasing a government soldier and when they failed to catch the soldier, they started firing at him and his family. 5234 The Chamber is convinced by Cyprian Ogola's account of his experience. Similarly, Robson Oper testified that during the exchange of gunfire, most of the camp residents who had gone into their houses came out and ran away during the attack. 5235 Robson Oper testified that because he had a problem with his leg and could not run, when he came out of the house, the rebels caught him at the doorpost and fired three bullets. 5236 Robson Oper stated that he fell down and rolled and managed to dodge the bullets, 5237 thus surviving. The Chamber recalls its finding concerning Robson Oper's credibility. The Chamber is of the view that while no other witness testified to witnessing LRA fighters shooting at Robson Oper, the other accounts of the LRA indiscriminately shooting at civilians corroborate Robson Oper's account of what he experienced. The Chamber also considered that Robson Oper's testimony about LRA fighters shooting at him was detailed, coherent, internally consistent and also consistent with other evidence of similar behaviour by the

⁵²²⁹ UPDF Report, atrocities committed by LRA rebels in Northern and Eastern Uganda, UGA-OTP-0037-0153, at 0178

⁵²³⁰ P-0284 Statement, UGA-OTP-0244-1180-R01, at para. 31.

⁵²³¹ P-0284 Statement, UGA-OTP-0244-1180-R01, at para. 31.

⁵²³² P-0284 Statement, UGA-OTP-0244-1180-R01, at para. 31.

⁵²³³ P-0284 Statement, UGA-OTP-0244-1180-R01, at para. 34.

⁵²³⁴ P-0284 Statement, UGA-OTP-0244-1180-R01, at para. 34.

⁵²³⁵ P-0286: <u>T-131</u>, p. 10, lines 11-12.

⁵²³⁶ P-0286: T-131, p. 10, lines 13-16.

⁵²³⁷ P-0286: T-131, p. 10, lines 15-16.

LRA both in Abok IDP camp and the other locations relevant to the charges. The Chamber is convinced that LRA fighters attempted to kill Robson Oper by shooting at him and only failed to hit him because he managed to dodge the bullets. In light of the foregoing, the Chamber finds that the witnesses provide consistent, credible and reliable evidence that the LRA shot people purposefully during the attack and only failed to kill them because of independent circumstances. These people include Cyprian Ogola and Robson Oper. Robson Oper and Cyprian Ogola's accounts of their experience being shot at by the LRA is mutually corroborative of the fact that the LRA shot at civilians in Abok IDP camp. ⁵²³⁸

1960. Regarding the number of persons killed by the LRA in Abok IDP camp, both Cyprian Ayoo and Douglas Obwor testified that 28 bodies were collected in total. Section 1923 Given these witnesses' roles in the aftermath of the attack, their credible and reliable testimony, which was generally corroborated by the lists they prepared in the aftermath of the attack and the other witnesses' accounts of killings in Abok IDP camp, the Chamber finds the witnesses' testimony as to the approximate number of persons killed in the attack within the camp credible. The Chamber notes that Cyprian Ayoo and Douglas Obwor counted the bodies of the dead collected within Abok IDP camp. This does not include persons, like Evelyn Akello, whom the Chamber determined was killed by the LRA during their retreat from Abok IDP camp. While it is noted that the number of victims alleged in the charges is specified as an approximate number, the Chamber is of the view that the number of persons killed by the LRA actually exceeds the 28 bodies collected and denoted in the camp's leaders' count.

1961. The Chamber recalls its findings above which named specific persons killed by the LRA in Abok and during their retreat from the camp. The Chamber found the accounts that

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⁵²³⁸ The Chamber also notes that the accounts of other witnesses of the LRA shooting indiscriminately at civilians, discussed further below, also corroborate P-0284 and P-0286's accounts.

⁵²³⁹ P-0306 Statement, UGA-OTP-0261-0277-R01, at para. 28; P-0306: <u>T-130</u>, p. 66, lines 1-6; P-0306 List of persons killed in 8 June 2004 Abok attack, UGA-OTP-0247-1270-R01, at 1271; P-0293: <u>T-138</u>, p. 26, line 20 – p. 27, line 3, p. 38, lines 4-11, p. 39, lines 8-19; P-0293 List of persons killed in 8 June 2004 Abok attack, UGA-OTP-0244-1201. *See* the corroborating evidence of P-0280, who testified that he was told that about 28 people from Abok camp were killed during the attack. P-0280: <u>T-83</u>, p. 79, lines 9-10; <u>T-84</u>, p. 45, lines 16-18. While the Chamber notes that the UPDF report lists 24 persons killed in Abok, the Chamber places greater weight on the evidence given in court by the two camp officials who participated in the collection of the bodies and whose testimony was tested before the Chamber. The Chamber also notes that the lists prepared by P-0293 and P-0306 overlap significantly, particularly when noting the naming conventions in the region in which persons are known by multiple names.

⁵²⁴⁰ Para. 59 of the charges (<u>Confirmation Decision</u>, p. 87).

multiple other persons were killed in the attack credible, including: persons burnt in their homes and in the camp, people beaten and/or bayonetted to death, including three persons whose heads were smashed and people killed by gunshot, including a boy shot in his stomach. Further, the Chamber concludes that the killings were not perpetrated by the government forces – by crossfire or otherwise – as discussed above. In the light of the evidence, the Chamber is satisfied that at least 28 people were killed by LRA fighters in the course of the Abok IDP camp attack.

1962. The Chamber is also convinced that the LRA purposefully shot at civilians, burnt down homes resulting in civilians trapped in burning buildings and severely beat others leaving them for dead.

Unable to dislodge the government soldiers who remained holed up in the new barracks, the LRA eventually retreated from the camp.⁵²⁴¹

- 1963. The Chamber recalls that during this time in which the LRA committed numerous acts of violence and looting within the camp, government soldiers in the camp had fled and the LRA moved within the camp, there were still some government soldiers holed up at the barracks. 5242
- 1964. Witnesses reported that at some point during the attack, a group of LRA fighters went to the new barracks along with civilians they had abducted in Abok. 5243 The Chamber recalls that P-0280, one of the abducted civilians who accompanied LRA fighters to the barracks, testified that civilians fled away from the barracks as the LRA fighters approached. 5244
- 1965. Upon reaching the new barracks, the LRA fighters engaged with the government soldiers who had remained holed up in the barracks. 5245 Some witnesses testified to 'extreme' or

⁵²⁴² P-0284 Statement, UGA-OTP-0244-1180-R01, at para. 32 (there were only 15 soldiers left to guard the barracks as the others had left the barracks earlier to follow the rebels); P-0280: <u>T-84</u>, p. 43, lines 9-19 (some soldiers stayed to protect the barracks).

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⁵²⁴¹ Para. 200 above.

⁵²⁴³ P-0054: <u>T-93</u>, p. 34, line 23 – p. 35, line 8 (his group headed towards the government soldiers' barracks. Kalalang was in the group that went to the barracks as were some sergeants); P-0280: <u>T-84</u>, p. 43, line 23 – p. 44, line 9 (the only people P-0280 saw who were going towards the barracks were LRA fighters and abducted civilians).

⁵²⁴⁴ P-0280: <u>T-84</u>, p. 43, line 24 – p. 44, line 9.

 $^{^{5245}}$ P-0280: $\overline{\text{T-83}}$, p. 51, lines 16-17, p. 55, lines 7-13; $\overline{\text{T-84}}$, p. 43, lines 9-19 (there was gunfire coming from the barracks towards the rebels); P-0286: $\overline{\text{T-131}}$, p. 21, lines 1-2 (P-0286 heard gunshots from behind; the rebels had begun to shoot towards the barracks). *See also* P-0330: T-52-CONF, p. 31, line 24 – p. 32, line 4 (when the LRA

'heavy' gunfire. ⁵²⁴⁶ The Chamber recalls camp resident Robson Oper's graphic, detailed and credible description of the scene. Robson Oper, who was abducted by the LRA and accompanied them to the new barracks, testified that when he heard gunshots he fell and lay down. ⁵²⁴⁷ While laying down, he saw that the rebels were firing towards the barracks and the government soldiers were firing back, he described seeing 'grasses falling down on me. I laid down [on the ground] and yet I was able to see those things. The bullets were cutting grasses and the grasses were falling on me'. ⁵²⁴⁸

1966. Given its discussion of the LRA's movement above, and its discussion of the crimes committed by the LRA within the camp below, and noting D-0065's testimony, 5249 the Chamber is convinced that although the LRA also attacked the military barracks, their primary target was the camp itself.

1967. The evidence shows that the LRA fighters, unable to breach the barracks, retreated and left Abok. The Chamber recalls camp resident P-0280's testimony that because of the heavy gunfire from the barracks, the LRA fighters did not go forward towards the barracks but rather ran back, retreating from Abok IDP camp. Sinia fighter P-0054 corroborates P-0280, testifying that after being overwhelmed at the barracks, the LRA fighters, the ones at the barracks and in the centre, started retreating. Similarly, Robson Oper's account corroborates the other witnesses, he testified that the LRA soldiers he accompanied pulled back into a house and spoke amongst themselves. Sizes He overheard one of the soldiers say 'Let us leave. If we continue lingering here, and considering the exchange of the bullets and the fires that have burnt the houses, they will come and remove the things that we have looted'. Sizes

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found out where the barracks were, they started shooting towards the barracks but they were unable to enter the barracks because there was a strong defence in the barracks and the government soldiers had big guns).

 $^{^{5246}}$ P-0054: $\underline{\text{T-93}}$, p. 33, lines 14-15 (P-0054's group of LRA fighters approached the barracks, there was 'extreme gunfire'); P-0280: $\underline{\text{T-83}}$, p. 55, lines 12-13, p. 57, lines 13-16 (there was heavy gunfire coming from the barracks). 5247 P-0286: $\underline{\text{T-131}}$, p. 21, lines 3-4.

⁵²⁴⁸ P-0286: T-131, p. 23, line 22 – p. 24, line 4.

⁵²⁴⁹ D-0065: T-211, p. 25, lines 11-22 (while the LRA fighters did attack the barracks, their primary target was the camp and not the barracks).

⁵²⁵⁰ P-0280: <u>T-83</u>, p. 51, lines 17-18, p. 55, lines 7-24, p. 57, lines 13-16.

⁵²⁵¹ P-0054: T-93, p. 33, lines 17-19.

⁵²⁵² P-0286: <u>T-131</u>, p. 21, lines 9-16.

⁵²⁵³ P-0286: <u>T-131</u>, p. 21, lines 12-15.

- 1968. With regard to the LRA fighter's retreat from the camp, witnesses reported that the LRA fighters and abducted civilians left the camp from where they were fighting at the new barracks and headed towards the Lalogi/Gulu direction. 5254
- 1969. The Chamber is satisfied that the evidence shows that a *mamba* did arrive in the camp at some point in the LRA's retreat from the camp. ⁵²⁵⁵ The evidence does not show that government soldiers in a *mamba* fired indiscriminately into the camp, including at civilians. ⁵²⁵⁶

⁵²⁵⁶ P-0304: T-133, p. 57, lines 1-8 (when the *mamba* arrived, the rebels and their abductees had moved some distance away so the *mamba* was firing up in the air following the direction the rebels had taken); P-0306: T-130, p. 60, lines 24-25 (the mamba fired after the rebels but was not firing directly on the people); P-0282 Statement, UGA-OTP-0261-0246-R01, at paras 27-28 (the mamba fired in the air and the rebels fled. When things got quiet the UPDF called people to come out from hiding); D-0065: T-211, p. 22, lines 5-8 (the mamba fired twice in the air). The Chamber is convinced by the testimony of these witnesses, who corroborate each other in their testimony of limited fire not aimed at civilians. The Chamber notes P-0281 Statement, UGA-OTP-0261-0257-R01, at paras 24-25. The Chamber found this aspect of the P-0281's testimony to be inconsistent with other more reliable evidence and untenable. The Chamber also notes that although D-0065 made a statement about indiscriminate shooting, the Chamber understood his statement to refer to the typical behaviour of mambas and not to the specific behaviour of the mamba that arrived in Abok IDP camp after the LRA attack. Additionally, the phrase 'indiscriminate shooting' as used by the witness also referred to shooting without ascertaining the identity of the subject and did not indicate that the mamba shot into the camp. D-0065: T-211, p. 22, lines 5-10. As to the actual behaviour of the mamba that came into the camp on the day of the attack, D-0065 testified that the mamba started shooting on some trees, shot twice and there was silence. T-211, p. 22, lines 5-8. Further, as described by D-0065, by the time the *mamba* arrived at the camp, the LRA had already burnt the camp and committed various crimes within the camp. T-211, p. 21, lines 1-16, p. 22, lines 5-10.

⁵²⁵⁴ P-0304: <u>T-133</u>, p. 19, lines 17-21 (P-0304 and a group of LRA fighters and abducted civilians went to Abok centre and then came out from the barracks. They exited the camp and went towards Lalogi); P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 28 (when the rebels left the camp, they walked a long distance in the Gulu direction); P-0280: <u>T-83</u>, p. 54, line 20 – p. 55, line 1, p. 57, lines 17-20 (the LRA fighters P-0280 was with retreated towards Lalogi road); P-0286: <u>T-131</u>, p. 24, line 25 – p. 25, line 1 (the LRA fighters P-0286 was with retreated from the barracks and entered the bush); P-0054: <u>T-93</u>, p. 33, lines 20-22 (people entered the swamp and the LRA crossed the Opit road).

⁵²⁵⁵ The Chamber reaches this conclusion despite P-0293's isolated insistence that no mamba arrived at the camp but rather an army truck that stayed in the camp during the night until the next morning. P-0293: T-139, p. 22, line 11 - p. 23, line 15. The Chamber also recalls that P-0293 indicated that the army vehicle had heavy weaponry on top. P-0293: T-138, p. 31, lines 1-8. The Chamber also notes that apart from P-0293, other witnesses, both LRA fighters and camp residents, testified as to the arrival of a mamba. See P-0284 Statement, UGA-OTP-0244-1180-R01, at para. 32 (around 3:00, government soldiers arrived at Abok with a military vehicle called a mamba); P-0306 Statement, UGA-OTP-0261-0277-R01, at para. 26 (the mamba arrived at the camp around 3:00); P-0304: T-133, p. 55, lines 21-23 (the mamba arrived after the rebels had left the camp and his group could hear gunshots from behind); P-0054: T-93, p. 33, lines 19-20 (as the LRA fighters were leaving the camp, mambas arrived and started shooting people). The Chamber notes that when P-0054 references 'people' here, he refers to fleeing LRA fighters. In light of the other witnesses' testimony, the Chamber concludes that P-0293 - who had fled the attack by the time the mamba came - misidentifies the military vehicle that came to Abok. Several witnesses testified that the mamba arrived as the LRA were fleeing the camp. P-0406: T-155, p. 70, lines 13-16 (the LRA fighters heard the sound of a vehicle and heard some shelling. P-0406 saw a vehicle that approached the camp). Still other witnesses testified that the LRA had already left the camp when the mamba arrived. P-0306: T-130, p. 60, lines 18-24 (when the mamba arrived, the rebels had already left because they had heard the sound of the mamba moving in); P-0280: T-84, p. 39, lines 3-12 (P-0280 heard that an armoured vehicle came to the Abok camp but at the time the camp had already been burnt down and the LRA fighters and abducted civilians had already left the camp and entered a stream in a place called 'Loka Akello Alyek').

1970. As to the time the LRA spent in the camp, the Chamber recalls its earlier finding that the LRA attack began in the evening, sometime between 19:00 and 20:45. The Chamber notes that the witnesses' testimonies diverge as to exactly when the LRA fighters left the camp. Charles Amodo illustrated the witnesses' difficulty of pin-pointing the exact time of the rebels departure, stating, 'I am not able to say, because I didn't have a watch and at the time people were many, and also moving up and down, and then the gunshots and then with the fire burning all around. Even the most intelligent person would get confused, so at that time I was already confused'. 5257

1971. Both Charles Amodo, an abducted camp resident, and Cyprian Ayoo, one of the camp's leaders, while noting their confusion in stating the exact time, estimated that the LRA fighters left the camp around 23:00 the night of the attack. 5258 Douglas Obwor, another one of the camp's leaders, testified that the rebels took some time in the camp because there was nobody that would come to disorganise them, 5259 he testified that the rebels left the camp between 1:00 and 2:00. 5260 From the evidence before it, the Chamber finds that the LRA fighters departed Abok IDP camp sometime in the late evening of 8 June 2004 or early morning hours of 9 June 2004.

LRA fighters abducted many civilians and forced them to carry heavy looted goods, and an injured fighter, for long distances oftentimes under the threat of beatings or death. Some of the abductees were tied to each other. The abductees were under armed guard to prevent their escape and were under constant threat of beatings or death. LRA fighters beat abductees as a means of punishment for not being able to continue walking and to intimidate other abductees to continue without stopping or resisting. 5261

In the course of the retreat, LRA fighters forced an abductee to kill another abductee with a club, as a lesson to others who were thinking of escaping. 5262

The LRA attempted to kill abductee Gwentorina Akite, an elderly woman. They had abducted her from the camp and forced her to carry heavy loads, including at one point two goats. When she could no longer bear the weight, an LRA fighter beat and strangled

⁵²⁵⁷ P-0304: <u>T-133</u>, p. 56, lines 11-17.

⁵²⁵⁸ P-0293: <u>T-138</u>, p. 30, lines 19-25; <u>T-139</u>, p. 27, line 18 – p. 28, line 5; P-0304: <u>T-133</u>, p. 55, lines 19-21.

⁵²⁵⁹ P-0306: <u>T-130</u>, p. 82, lines 13-14.

⁵²⁶⁰ P-0306: T-130, p. 65, lines 9-14. P-0284 testifies to an even later time. P-0284 Statement, UGA-OTP-0244-1180-R01, at para. 32 (around 3:00, government soldiers arrived at Abok with a military vehicle called a mamba, after hearing the sounds of the approaching *mamba*, the rebels had fled).

⁵²⁶¹ Para. 201 above.

⁵²⁶² Para. 201 above.

her and cut her with a machete. LRA fighters passing her on the road hit her. She was left for dead but managed to crawl back home to the camp. 5263

Among the civilians abducted and forced to work for the LRA were Charles Amodo, Gwentorina Akite, Evelyn Akello, Robson Oper, Witness P-0280, Thomas Okitte's daughter, Ogweng, Ameny, Lucy Akello, Molly Ayugi, Monica Adur, Nighty Atim, Dilis Awor and Witness V-0002.⁵²⁶⁴

- 1972. The Chamber finds that the evidence shows that LRA fighters abducted civilians from Abok IDP camp and under armed guard prevented their escape and forced them to work for the LRA.
- 1973. Both LRA fighters and civilian residents offered consistent, detailed and credible evidence that LRA fighters abducted civilian residents from the camp and severely mistreated them. P-0406, an LRA fighter, testified that the LRA fighters abducted people during the attack. ⁵²⁶⁵ P-0406 testified that among the abductees taken at Abok were children as young as 11 and 12 years old. ⁵²⁶⁶ P-0406 testified that older people, including adults, were also abducted as were girls. ⁵²⁶⁷ P-0330 corroborates P-0406's account of civilian abductions, testifying that a civilian was made to carry the LRA fighter Bomek who was wounded in Abok. ⁵²⁶⁸ P-0330 also testified that a civilian was forced to carry another injured LRA fighter from Abok on a stretcher. ⁵²⁶⁹ The Chamber considers that the evidence shows that abductees were forced to carry at least one injured LRA fighter.
- 1974. Witnesses provided credible evidence that during the retreat from Abok, abducted people carried items that had been looted from people's houses and shops, including beans, goats, sesame, other edible items and merchandise. 5270
- 1975. Robson Oper testified that civilians who were abducted by the LRA included: Denis Omara, Charles Amodo, Gwerina (Gwentorina Akite), Eveline ('Evelyn') Akello, and

⁵²⁶⁴ Para. 203 above.

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⁵²⁶³ Para. 202 above.

⁵²⁶⁵ P-0406: <u>T-154</u>, p. 68, line 8 and p. 74, lines 11-13.

⁵²⁶⁶ P-0406: T-154, p. 75, lines 12-13.

⁵²⁶⁷ P-0406: <u>T-154</u>, p. 75, lines 12-17.

⁵²⁶⁸ P-0330: T-52-CONF, p. 35, lines 1-3.

⁵²⁶⁹ P-0330: <u>T-52</u>, p. 37, lines 10-17.

⁵²⁷⁰ See P-0280: <u>T-83</u>, p. 53, lines 15-20 (the civilian abductees were given things to carry. He was given a sack of beans to carry on his head and P-0286 was made to carry cooking oil); P-0330: T-52-CONF, p. 35, lines 10-15 (younger civilians abducted from Abok were made to carry foodstuffs when they left Abok); P-0286: <u>T-131</u>, p. 33, line 6-12 (during the retreat from Abok, abducted people carried items that had been looted from people's houses and shops, including goats, sesame, other edible items and merchandise).

the daughter of Thomas Okitte. 5271 Charles Amodo corroborates Robson Oper's testimony, testifying that Charles Amodo, 'Evalyn' ('Evelyn') Akello, Robson Oper, and 'Gwerina' Akite ('Gwentorina Akite') were abducted. 5272 Similarly, Douglas Obwor testified Robson Oper, Nighty Atim and Dilis Awor were abducted. 5273

1976. Jacob Opio's testimony is consistent with the other witnesses' accounts of the LRA abducting civilians and forcing them to work for the LRA. Jacob Opio testified that after the attack, he was told about abductees, including Ogweng, Ameny, Lucy Akello and others. 5274 Jacob Opio testified that Ogweng was 12 years old, Lucy Akello was 13 years old and Ameny was 15 years old. 5275 Jacob Opio testified that Ogweng and Ameny never returned from the bush but Lucy Akello returned in 2013. 5276 Jacob Opio testified that Lucy Akello stated that she had been made to carry heavy load and made a 'wife'. 5277 Jacob Opio also testified that an abductee, Molly Ayugi, told him that people were abducted and made to carry 'loot', she had been given luggage to carry but was released by the rebels because she was an older person. 5278

1977, Similarly, Cyprian Ogola's testified about civilians abducted by the LRA. Cyprian Ogola testified that some persons escaped and came back. 5279 Cyprian Ogola testified that Jacob Opio, 5280 Robson Oper and Monica Adur managed to escape that day and returned to the camp. 5281 Cyprian Ogola testified that about eight children were abducted but about six came back. 5282 By children, the witness meant persons from age 13 to 20 years old. 5283

1978, Abductees were forced to kill people or to watch others being killed. P-0280 testified that in the bush, if someone wants to escape or is tired, the LRA would ask if the person was

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<sup>5271</sup> P-0286: <u>T-132</u>, p. 3, line 20 – p. 4, line 4.
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⁵²⁷² P-0304: T-133, p. 19, lines 2-6.

⁵²⁷³ P-0306 Statement, UGA-OTP-0261-0277-R01, at para. 23.

⁵²⁷⁴ P-0282 Statement, UGA-OTP-0261-0246-R01, at para. 34. ⁵²⁷⁵ P-0282 Statement, UGA-OTP-0261-0246-R01, at para. 34.

⁵²⁷⁶ P-0282 Statement, UGA-OTP-0261-0246-R01, at para. 34. ⁵²⁷⁷ P-0282 Statement, UGA-OTP-0261-0246-R01, at para. 34.

⁵²⁷⁸ P-0282 Statement, UGA-OTP-0261-0246-R01, at para. 35.

⁵²⁷⁹ P-0284 Statement, UGA-OTP-0244-1180-R01, at para. 44.

⁵²⁸⁰ The Chamber recalls its discussion of the attempted killing of Jacob Opio above. It is possible that P-0284 is discussing another Jacob Opio, but in the likelihood that the witness references the Jacob Opio whom the Chamber determined was the victim of an attempted killing by the LRA, the Chamber finds that the evidence shows that Jacob Opio (P-0282) escaped from the LRA during the attack and was not abducted by the LRA.

⁵²⁸¹ P-0284 Statement, UGA-OTP-0244-1180-R01, at para. 44.

⁵²⁸² P-0284 Statement, UGA-OTP-0244-1180-R01, at para. 44.

⁵²⁸³ P-0284 Statement, UGA-OTP-0244-1180-R01, at para. 44.

tired and they would say 'since the person is tired he wants to rest'. ⁵²⁸⁴ P-0280 testified that this meant that the person should be killed, 'making someone rest means death'. ⁵²⁸⁵ An Abok abductee was ordered to kill, to 'make some two people rest'. ⁵²⁸⁶ LRA fighters ordered an abductee and two others to beat a girl and a man to death, they beat the victims on the head with a club until they died. ⁵²⁸⁷ Corroborating the other witnesses' account, V-0002 testified that LRA fighters beat abducted civilians and threatened to kill them. ⁵²⁸⁸

- 1979. The evidence demonstrates that an Abok abductee was forced to beat another abductee who tried to escape to death. They used a club like a stick to beat the abductee on the head until he died. An LRA rebel armed with a gun watched while the beating occurred to see if the abductee would carry out the task. The abductee was killed to discourage others from escaping, as a lesson to the others if they tried to escape.
- 1980. The Chamber heard a number of accounts about the experiences of specific civilians abducted by the LRA in the course of the 8 June 2004 attack on Abok IDP camp.
- 1981. **Robson Oper and P-0280:** Robson Oper testified that after the government soldiers had fled the camp, armed rebels belonging to Joseph Kony caught him and tied him. ⁵²⁹³ Robson Oper testified that after he was caught by the LRA, the rebels asked him, 'Do I kill you?'. ⁵²⁹⁴ Robson Oper stated that he pleaded with the rebels and told them, 'Please leave me, let me go and work with you. I am still young. I can work with you. Please don't kill me'. ⁵²⁹⁵ Robson Oper testified that he pleaded to work with the LRA because they had already shot at him three times and he survived the bullets; he knew that they were going to kill him and thus he needed to plead with them. ⁵²⁹⁶ The rebels tied him around his waist and moved with him. ⁵²⁹⁷ Right after he was abducted, Robson Oper had

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5284 P-0280: T-83-CONF, p. 75, lines 6-11.
5285 P-0280: T-83-CONF, p. 75, lines 6-11.
5286 P-0280: T-83-CONF, p. 75, lines 12-15.
5287 P-0280: T-83-CONF, p. 75, lines 12-15.
5288 V-0002: T-171, p. 8, lines 7-12.
5289 P-0280: T-83-CONF, p. 77, line 1 – p. 78, line 15.
5290 P-0280: T-83-CONF, p. 77, lines 5-14.
5291 P-0280: T-83-CONF, p. 77, lines 9-12 and p. 78, lines 9-15.
5292 P-0280: T-83-CONF, p. 77, lines 9-p. 78, lines 15.
5293 P-0286: T-131, p. 10, lines 16-17, p. 16, lines 7-11.
5294 P-0286: T-131, p. 10, lines 16-17.
5295 P-0286: T-131, p. 10, lines 17-19.
5296 P-0286: T-131, p. 56, line 19 – p. 57, line 1.
5297 P-0286: T-131, p. 10, lines 21-22.
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told one of the LRA fighters that his foot was not well, the LRA fighter kicked his wound until he lost 'the feeling'. 5298 After that he walked as though he did not have a wound because he feared that if he walked with a limp the LRA would possibly kill him. 5299

- 1982.Robson Oper testified that the rebels beat him in the course of the retreat, someone slapped him on the face and asked him why he was looking at him. ⁵³⁰⁰ Robson Oper also testified that he was beaten after he was asked where the rebels were; he stated that '[i]f you mention, they say you are too intelligent, you might escape, and they beat you'. ⁵³⁰¹
- 1983.Robson Oper testified that during the retreat from Abok, LRA fighters took him to an injured rebel who had been shot in the knee in Abok. ⁵³⁰² Robson Oper testified that he was told, '[t]his is your luggage, carry it'. ⁵³⁰³ Robson Oper testified that he was initially forced to lift up the roughly 80 kilogram man by himself, ⁵³⁰⁴ stating:

They held this person, one held the – one arm and another held the other arm and they let him bend and they told me to push my head through his thighs so that he sits on my shoulders... I tried to heave myself up but he was heavier than I was. My waist was stuck and I couldn't raise myself up. One of them beat me in my buttocks with a gun. I continued to raise myself up. I tried my level best to get up and I got up and I was told to move. I moved up to where the people had gathered and I put him down. 5305

1984.Robson Oper testified that the commander of the group, Kalalang, ⁵³⁰⁶ stated that 'whoever fails to carry his luggage would stop, would end there, meaning that whoever fails to carry his luggage would be killed there and then'. ⁵³⁰⁷ Robson Oper stated that eventually another man helped him to carry the fighter. ⁵³⁰⁸ They carried the man for the whole night and for the whole day until the fighter got worse and then they 'left him there'. ⁵³⁰⁹ While questioning Robson Oper, the Defence noted that in his victim's application form, Robson Oper wrote that he carried the injured fighter 'with another

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5298 P-0286: T-131, p. 17, line 24 – p. 18, line 7.
5299 P-0286: T-131, p. 56, lines 2-11.
5300 P-0286: T-131, p. 26, lines 16-21.
5301 P-0286: T-131, p. 28, lines 19-23.
5302 P-0286: T-131, p. 28, lines 15-17.
5303 P-0286: T-131, p. 28, line 17.
5304 P-0286: T-131, p. 28, line 17.
5305 P-0286: T-131, p. 28, lines 17-25.
5306 P-0286: T-131, p. 30, lines 21-24. The Chamber considers that the credibility of P-0286's account here is bolstered in that he correctly identified Kalalang as the LRA commander in charge.
5307 P-0286: T-131, p. 29, lines 2-4.
5308 P-0286: T-131, p. 30, lines 13-18.
5309 P-0286: T-131, p. 30, lines 25 – p. 31, line 4; T-132, p. 13, lines 1-10.
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man who was also abducted for three days before [the fighter] died on the fourth day'. ⁵³¹⁰ In response, Robson Oper testified that they carried the injured man for two days and that the fourth day refers to the day he was told that the man had died. ⁵³¹¹ The Chamber is satisfied with Robson Oper's explanation and further finds the inconsistency insignificant. The Chamber recalls its earlier assessment of the credibility of the evidence provided by Robson Oper. The Chamber notes first that it found Robson Oper's account of his capture, forced labour and beatings credible. The witness was graphic and detailed in this testimony, providing information indicative of a person who witnessed the events. Further, discrete details of his testimony are corroborated. Charles Amodo testified that Robson Oper was given a 'stretcher-like thing' with an injured person on it and participated in carrying this person. ⁵³¹² Charles Amodo testified that while moving with Robson Oper, Robson Oper told him that he was in a lot of pain as a result of a beating from the LRA. ⁵³¹³ The Chamber also considers that the testimony provided by Robson Oper as to the LRA's behaviour towards civilians in Abok IDP camp is corroborated by the other witnesses' testimony.

1985.P-0280, a camp resident also abducted by the LRA, offered detailed evidence of his own interactions with the LRA and corroborates Robson Oper's accounts. P-0280 testified to seeing Robson Oper during the retreat from Abok IDP camp. ⁵³¹⁴ After abducting P-0280 and killing people in front of him, ⁵³¹⁵ armed LRA fighters made P-0280 walk towards the barracks with beans on his head. ⁵³¹⁶ P-0280 testified that he did not have the strength to carry the load. ⁵³¹⁷ Although the load on his head was very heavy, P-0280 was told that if he threw the load he would be killed. ⁵³¹⁸ P-0280 stated: 'you have to bear the load regardless of how heavy it is and walk with it'. ⁵³¹⁹

⁵³¹⁰ P-0286: <u>T-132</u>, p. 13, lines 22-25; Application for participation as victim, UGA-D26-0012-0349, at 0349-0350

⁵³¹¹ P-0286: <u>T-132</u>, p. 14, lines 1-9. *See also* p. 13, lines 1-17.

⁵³¹² P-0304: T-133, p. 23, line 18 – p. 24, line 6.

⁵³¹³ P-0304: T-133, p. 23, lines 6-17 (P-0286 was beaten on his shoulders and back by the LRA). The Chamber notes that P-0286 testified that he was also beaten again by the LRA during the retreat from Abok IDP camp.

⁵³¹⁴ Their interaction is discussed further below.

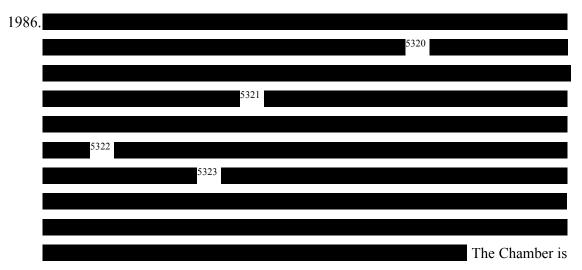
⁵³¹⁵ See paras 1950-1953 above.

⁵³¹⁶ P-0280: <u>T-83</u>, p. 51, lines 9-13.

⁵³¹⁷ P-0280: T-83, p. 51, lines 10-11.

⁵³¹⁸ P-0280: T-83, p. 52, line 25 – p. 53, line 4.

⁵³¹⁹ P-0280: <u>T-83</u>, p. 53, lines 3-4.



convinced that Robson Oper and P-0280 were abducted by the LRA from Abok IDP camp in the course of the attack and forced to work for the LRA.

1987. Charles Amodo and Gwentorina Akite: Charles Amodo testified that on the night of the attack, two LRA fighters entered his house and he was captured. 5324 One of the soldiers had a gun. 5325 According to Charles Amodo, apart from his wife and his children, there were no other persons in the house. 5326 Charles Amodo testified that he saw a lot of civilians mixed together with armed LRA fighters. 5327 Charles Amodo testified that he was put amongst the group, the fighters and the other captured people. 5328 Charles Amodo testified that the rebels made the people abducted in Abok carry the things they looted from the camp. 5329 Charles Amodo testified that he was given sesame to carry, although the bag was weak and the items poured out in front of the house before he could carry it. 5330 The rebels then started moving with the civilians trying to look for an exit out of the camp. 5331 According to Charles Amodo, the movement of the group was difficult because 'very many' people had been abducted. 5332 Charles Amodo testified that

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5324 P-0304: T-133, p. 7, lines 23-25, p. 15, lines 17-21, p. 18, lines 3-6.

5325 P-0304: T-133, p. 57, lines 9-16.

5326 P-0304: T-133, p. 43, lines 14-16.

5327 P-0304: T-133, p. 18, lines 14-19.

5328 P-0304: T-133, p. 19, lines 17-18.

5329 P-0304: T-133, p. 8, lines 3-8.

5330 P-0304: T-133, p. 8, lines 9-10, p. 19, lines 11-17.

5331 P-0304: T-133, p. 8, lines 11-12.

5332 P-0304: T-133, p. 8, lines 17-18.
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he was bound at his waist by a rope stained with blood and tied to other abductees. 5333 Other abductees were tied at the waist as well. 5334 Charles Amodo testified that during the retreat, he walked barefoot and shirtless in the bush. 5335 He was cold, hungry and it was difficult to get water. 5336 Charles Amodo testified that the abducted people were scared and were being told to move quickly. 5337 Charles Amodo testified that people were forced to cross large bodies of water, a task difficult for short people like himself. 5338 Charles Amodo testified that it was difficult to walk because there were so many people abducted and 'very many' items to carry. 5339 Charles Amodo also testified that he was forced to carry things such as a goat on his back. 5340 Charles Amodo testified that there were a lot of beatings along the way. 5341 Charles Amodo testified that a rebel hit him on the side of the head with a bayonet for moving too slowly. 5342 Robson Oper corroborates Charles Amodo's account, testifying that he saw Charles Amodo in captivity and that Charles Amodo was made to carry luggage. 5343

1988. Similarly Gwentorina Akite testified that as gunshots were being fired in the camp, she had hid inside her house behind some metal things when Joseph Kony's rebels came and broke down the door of the house. ⁵³⁴⁴ Gwentorina Akite testified that she did not know the number of rebels that she saw but there were 'very many' and some were flashing torches. ⁵³⁴⁵ Gwentorina Akite testified that they came into the house and spoke in Acholi in loud threatening voices, saying 'Get up from where you are hiding! What are you still doing inside? ⁵³⁴⁶ Gwentorina Akite, who is also Charles Amodo's close relative. ⁵³⁴⁷

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<sup>5333</sup> P-0304: <u>T-133</u>, p. 34, line 23 – p. 35, line 12.
<sup>5334</sup> P-0304: <u>T-133</u>, p. 35, lines 10-12.
<sup>5335</sup> P-0304: <u>T-133</u>, p. 35, lines 13-16.
<sup>5336</sup> P-0304: <u>T-133</u>, p. 35, lines 13-24.
<sup>5337</sup> P-0304: <u>T-133</u>, p. 20, lines 18-14.
<sup>5338</sup> P-0304: T-133, p. 20, lines 18-15.
<sup>5339</sup> P-0304: T-133, p. 8, lines 17-19.
<sup>5340</sup> P-0304: T-133, p. 20, lines 15-18. See also P-0279, UGA-OTP-0283-1326, at para. 27 (P-0279 was forced to
carry two goats, one on her head and the other in a piece of cloth tied around her neck and across her shoulder so
that the goat was hanging in the piece of cloth on her side).
<sup>5341</sup> P-0304: <u>T-133</u>, p. 20, lines 8-13.
<sup>5342</sup> P-0304: T-133, p. 22, lines 19-23.
<sup>5343</sup> P-0286: T-132, p. 4, lines 11-14 and p. 16, lines 3-6.
5344 P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 24.
<sup>5345</sup> P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 24.
<sup>5346</sup> P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 25.
5347 Note that P-0279 referred to P-0304 as like 'a nephew to me'. P-0279 Statement, UGA-OTP-0258-0478-R01,
at para. 23. P-0304 described their relationship in more detail, saying she is married into his clan and was his
stepmother. P-0304: T-133, p. 43, lines 17-25. P-0304 also described 'Evalyn' Akello (P-0279's step-daughter)
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as his cousin. The Chamber concludes that the two were close relatives.

testified that she and Charles Amodo were taken from the same home and given things to carry.⁵³⁴⁸ Gwentorina Akite testified that although she did not count the abductees, there were very many.⁵³⁴⁹

1989. Gwentorina Akite testified that she was given heavy things to carry during the LRA fighters' retreat from Abok IDP camp, including beans and two goats. ⁵³⁵⁰ While she was walking, a rebel behind her was poking her back with the butt of his gun. ⁵³⁵¹ Gwentorina Akite could not walk anymore and told him that she was too tired. ⁵³⁵² As the group walked some distance from the camp, the goats were taken from her and given to some girls to carry. ⁵³⁵³ She was given another heavy bag to carry. ⁵³⁵⁴ The group continued on past swamps and forests, ⁵³⁵⁵ the bag felt too heavy to Gwentorina Akite and she could no longer carry it. ⁵³⁵⁶ According to Gwentorina Akite, the rebel soldier behind her took the bag from her and began to beat her with the butt of his gun. ⁵³⁵⁷ Gwentorina Akite described the beating:

[The LRA fighter] started hitting me with the butt of a gun on my body indiscriminately, on my head, my back ... everywhere. They cut me with the machete on the back of my head; on my left shoulder; above my right eye and on my back. 5358

1990. Gwentorina Akite testified that as an LRA fighter was beating her, others were passing by and he continued to beat her. ⁵³⁵⁹ He held her neck and tried to strangle her with one hand while with the other continued to beat her. ⁵³⁶⁰ Gwentorina Akite testified that she did not hear anyone give him an express order to beat her or to kill her but she thought

 $^{^{5348}}$ P-0279 Statement, UGA-OTP-0258-0478-R01, at paras 23-25. P-0279 testified that Charles Amodo and his heavily pregnant wife were sleeping in the same house at the time of their abduction. The Chamber recalls Charles Amodo's testimony that there was no one in the house apart from he and his wife and children. He indicated that she lived close to him. P-0304: $\underline{T-133}$, p. 43, line 24 – p. 44, line 4. The Chamber is of the view that the discrepancy in their accounts is minor and understandable in light of the events and the time that has elapsed. It does not adversely affect the Chamber's view of the credibility of their accounts.

⁵³⁴⁹ P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 24.

⁵³⁵⁰ P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 27.

⁵³⁵¹ P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 28.

⁵³⁵² P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 28.

⁵³⁵³ P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 28.

⁵³⁵⁴ P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 28.

⁵³⁵⁵ P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 28.

⁵³⁵⁶ P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 29. ⁵³⁵⁷ P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 29.

⁵³⁵⁸ P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 29.

⁵³⁵⁹ P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 30.

⁵³⁶⁰ P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 30.

he wanted to kill her. ⁵³⁶¹ Gwentorina Akite testified that she became unconscious. ⁵³⁶² By the time she became conscious again, it was dawn and there was no one around. ⁵³⁶³ Gwentorina Akite testified that she was bleeding from her nose, mouth and body. ⁵³⁶⁴ Gwentorina Akite testified that she crawled and walked away from the place the rebels and their abductees had gone. ⁵³⁶⁵ Gwentorina Akite testified that when she came across some clothes left by the rebels, she put on a dress she found to replace the torn dress she was wearing. ⁵³⁶⁶ Gwentorina Akite testified that when she arrived back in the village, the owner of the dress saw her and said that was the cloth she had worn at her wedding. ⁵³⁶⁷ The Chamber recalls Robson Oper's testimony that during the retreat, the group he was with came across an elderly local woman, 'Gwerina'. ⁵³⁶⁸ Robson Oper testified that Gwerina and Gwentorina are names for the same person. ⁵³⁶⁹ Robson Oper testified that this elderly woman was near the roadside and was being slapped. ⁵³⁷⁰ Robson Oper testified that he thought the luggage she was given to carry was too heavy for her age and she was scared. ⁵³⁷¹ Robson Oper stated:

I would see [the ones] who were leading...slap [her]. When I passed the people who were behind me also continued to slap her...The rebels who were moving [slapped her]. It was dark, they put her by the roadside and when people were passing they would [...] push her and slap her...I saw the person who was in front of me slapping her and then I also heard the person who was behind me slapping her. And she was crying that they should leave her alone. ⁵³⁷²

1991. Given the overwhelming similarities in the accounts, the Chamber considers that Robson Oper's account corroborates Gwentorina Akite's testimony. Further, Charles Amodo also corroborates the above accounts, testifying that he was told by other abductees that his relative 'Gwerina' was beaten. ⁵³⁷³ Charles Amodo also testified that when he returned

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5361 P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 30.
5362 P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 30.
5363 P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 30.
5364 P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 30.
5365 P-0279 Statement, UGA-OTP-0258-0478-R01, at paras 30-31.
5366 P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 31.
5367 P-0279 Statement, UGA-OTP-0258-0478-R01, at para. 31.
5368 P-0286: T-131, p. 25, lines 4-15.
5369 P-0286: T-132, p. 16, line 23 – p. 17, line 10.
5370 P-0286: T-131, p. 25, lines 8-15.
5371 P-0286: T-131, p. 25, lines 22-25.
5372 P-0286: T-131, p. 26, lines 3-15.
5373 P-0286: T-131, p. 26, lines 3-15.
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⁵³⁷³ P-0304: T-133, p. 21, line 13 – p. 22, line 2, p. 43, lines 22-25. The Chamber recalls P-0286's testimony that Gwerina and Gwentorina is the same person. The Chamber also notes that the witnesses vary in the location they pinpoint as the site of the beating, mentioning Akello Alyek swamp, Akwanyo-gen stream, and some distance past Akello Alyek swamp. The Chamber places no weight on this point, finding that the difference is easily

from the bush, he spoke with 'Gwerina' about what happened to her. 5374 Charles Amodo testified that 'Gwerina' was beaten until she was very weak and then she lost consciousness. 5375 Charles Amodo testified that she did not know what time she regained consciousness. 5376 Further, Dorcas Ayo reported seeing Gwentorina Akite in the hospital after the attack. Dorcas Ayo testified that Gwentorina Akite's head had been pierced by a bayonet. 5377 The Chamber considers Charles Amodo and Dorcas Ayo's testimony credible and corroborative of the other witnesses' account of what happened to Gwentorina Akite. The Chamber also notes that UPDF report lists 'Genenorina Okullu', age 60, amongst the people injured during the Abok attack. 5378 The Chamber notes the difference in the name listed and the name the witness called herself. However, the similarities in the name are significant enough that the Chamber find this evidence provides further corroboration of Gwentorina Akite's account that she was injured during the Abok IDP camp attack.

- 1992. The Chamber is convinced that Charles Amodo and Gwentorina Akite were abducted by the LRA from Abok IDP camp in the course of the attack and forced to work for the LRA. The Chamber also finds that LRA fighters attempted to kill Gwentorina Akite by severely beating her and leaving her for dead during the LRA fighters' retreat after the 8 June 2004 Abok attack.
- 1993. V-0002: V-0002 testified that in the course of the 8 June 2004 attack on Abok IDP camp, an LRA fighter abducted him. ⁵³⁷⁹ V-0002 testified that after hearing gunshots the day of the attack, he came out of his house and tried to flee. ⁵³⁸⁰ He testified that he was captured by an LRA fighter and abducted. ⁵³⁸¹ He and other abductees were guarded by four LRA fighters, and forced to flee the camp with the LRA. ⁵³⁸² He was beaten by the LRA in the

explained by the chaotic circumstances that the witnesses found themselves in, abductees of the LRA being forced to wander through the bush after their homes had been attacked.

⁵³⁷⁴ P-0304: <u>T-133</u>, p. 60, lines 3-24.

⁵³⁷⁵ P-0304: T-133, p. 60, lines 17-23.

⁵³⁷⁶ P-0304: T-133, p. 60, lines 17-24.

⁵³⁷⁷ P-0281 Statement, UGA-OTP-0261-0257-R01, at para. 31.

⁵³⁷⁸ UPDF Report, atrocities committed by LRA rebels in Northern and Eastern Uganda, UGA-OTP-0037-0153, at 0178.

⁵³⁷⁹ V-0002: <u>T-171</u>, p. 7, line 16 – p. 8, line 2.

⁵³⁸⁰ V-0002: <u>T-171</u>, p. 7, lines 22-25.

⁵³⁸¹ V-0002: <u>T-171</u>, p. 7, line 23 – p. 8, line 9.

⁵³⁸² V-0002: <u>T-171</u>, p. 8, lines 1-9.

course of this retreat.⁵³⁸³ The Chamber is convinced that V-0002 abducted by the LRA from Abok IDP camp in the course of the attack.

Some persons abducted by the LRA during the attack were rescued by a UPDF contingent that pursued the LRA fighters as they left Abok IDP camp. Some abductees were killed in captivity, at times for failing to keep up with their captors, others eventually escaped and returned home, some remained with the LRA, including in Dominic Ongwen's household.⁵³⁸⁴

1994. While it is not clear whether it was the government soldiers with the *mamba* or another contingent of government troops, credible and reliable evidence convinces the Chamber that – in the vicinity of Lalogi or Opit – government soldiers intercepted some retreating LRA fighters and succeeded in rescuing some abducted civilians. ⁵³⁸⁵ LRA fighters managed to continue their retreat and returned to Atoo hills with abducted civilians. ⁵³⁸⁶ Some civilians remained with the LRA. The evidence shows that the abductees who were not rescued or managed to escape either became part of the LRA or were killed. ⁵³⁸⁷

1995. In this context, the Chamber recalls its discussion of Evelyn Akello, Gwentorina Akite and the abductee who was forced to kill another abductee. Consistent with these accounts, Robson Oper testified that a girl, about 12-13 years old was taken away by the rebels because she was crying and he assumed that she was beaten and killed.⁵³⁸⁸ Robson Oper stated:

The girl was crying. They would push her to move and she did not accept, she was not able to move. In the bush when you are told to do something and you fail to do it, they would kill you. They would take you and they would move backwards with you and they would beat you there. When I hear you crying and you stop crying, you don't come back to us. I assume you are no more. Someone would cry and go silent and you wouldn't see that person. And even when you come back home later

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⁵³⁸³ V-0002: <u>T-171</u>, p. 8, lines 1-9.

⁵³⁸⁴ Para. 203 above.

⁵³⁸⁵ P-0280: <u>T-83</u>, p. 58, lines 14-20 (when the LRA crossed Lalogi, government soldiers commanded by Okello Engola rescued civilians); P-0054: <u>T-93</u>, p. 33, line 22 – p. 34, line 2 (after they crossed the Opit road, some of the LRA were left behind and came across government soldiers who fired upon them). *See also* P-0286: <u>T-131</u>, p. 29, lines 5-8 (in P-0286's estimation around 2:00, after a long walk from Abok, there were gunshots being fired behind the LRA and he could hear a vehicle moving and heavy weaponry being shot from where the group he was with had come from); P-0286: <u>T-132</u>, p. 11, line 14 – p. 12, line 25 (they heard the UPDF bombardments once the LRA got to the place where they had encamped. P-0286 could only hear the gunfire from the distance and did not see the government soldiers).

⁵³⁸⁶ P-0286: <u>T-131</u>, p. 33, line 19 – p. 34, line 19 (the LRA moved on and eventually reached Atoo); P-0054: <u>T-93</u>, p. 33, line 25 – p. 34, line 2 (the LRA fighters scattered and went back to Atoo hills); P-0252: <u>T-87</u>, p. 81, line 24 – p. 82, line 6 (the LRA soldiers that retreated fled back to Atoo hills).

⁵³⁸⁷ See also paras 1978-1979, 1988-1992 above.

⁵³⁸⁸ P-0286: <u>T-131</u>, p. 27, lines 7-20.

you realise that when she left the bush she never reached home, so I assume she was killed in the bush. 5389

- 1996. The Chamber also finds that Robson Oper's account of what he witnessed during the LRA's retreat from Abok is corroborated by P-0280's testimony of having witnessed the killing of abductees.
- 1997. Douglas Obwor, one of the camp officials, was in a position to have knowledge about what happened to the abductees after the attack. He testified that some of the abductees returned months later. Sand According to Douglas Obwor, people realised later after the rescue operation that some people remained with the LRA. Douglas Obwor's testimony is corroborated by P-0280's account of his time in captivity with the LRA. The Chamber recalls its finding that P-0280 spent a brief period of time in captivity with the LRA. The Chamber found P-0280's account of this period in captivity credible and reliable. P-0280 testified that the abducted civilians who were not rescued by the government soldiers continued on with the LRA.
- 1998. The Chamber notes that P-0406 testified that girls who had been abducted in Abok, including girls as young as 12 years old, were taken to Dominic Ongwen's house by a fighter called Korea, then Dominic Ongwen's chief escort. ⁵³⁹³ P-0406 testified that some of the abductees taken from Abok joined his commander's household and some of the boys were taken to the 'dog adaki'. ⁵³⁹⁴ The Chamber finds this testimony credible and considers it evidence that some abductees from Abok remained with the LRA. Similarly, the Chamber finds credible V-0002's testimony that he spent over two years with the LRA after being abducted in the course of the attack on Abok IDP camp. ⁵³⁹⁵
- 1999. As to the number of civilians abducted from Abok IDP camp, the Chamber notes that in the charges it is alleged that the LRA abducted approximately 26 men, women and children in the attack. 5396 Other evidence also supports the witnesses' account that many

⁵³⁸⁹ P-0286: <u>T-131</u>, p. 27, lines 12-20.

⁵³⁹⁰ P-0306 Statement, UGA-OTP-0261-0277-R01, at para. 27.

⁵³⁹¹ P-0306 Statement, UGA-OTP-0261-0277-R01, at para. 27.

⁵³⁹² P-0280: <u>T-83</u>, p. 60, lines 3-5.

⁵³⁹³ P-0406: <u>T-154</u>, p. 76, line 8 – p. 77, line 8. *See* P-0231: <u>T-122</u>, p. 56, lines 9-14 (Opio Korea was one of Dominic Ongwen's escorts); P-0309: <u>T-60</u>, p. 26, lines 19-25 (Korea was one of Dominic Ongwen's escorts).

⁵³⁹⁴ P-0406: <u>T-154</u>, p. 77, lines 12-19.

⁵³⁹⁵ V-0002: <u>T-171</u>, p. 17, lines 18-19.

⁵³⁹⁶ Para. 62 of the charges (Confirmation Decision, p. 87).

people were abducted in Abok. The Chamber notes that the witnesses in the proceedings did not specify the approximate number of civilians abducted. However, an intelligence report from the Ugandan police, dated 11 June 2004, reported that 26 persons were abducted but four escaped. Say A UPDF report, dated August 2004, states that the LRA abducted 36 people from Abok, but four were rescued by the UPDF. The Chamber notes the discrepancy in the number of abductees. In light of this discrepancy, and the evidence discussed above, the Chamber does not make a finding as to the approximate number of persons abducted by the LRA but concludes that many civilians were abducted from Abok IDP camp by LRA fighters.

2000. In the light of the foregoing, the Chamber finds that the LRA abducted civilians from Abok IDP camp and placed them under military guard to prevent their escape; civilians were forced to work for the LRA and compelled to carry looted goods or injured fighters away from the camp under threat of death or beatings. These abducted civilians were severely mistreated by the LRA. Although the Chamber is unable to determine exactly how many persons were abducted, the Chamber is able to conclude that many civilians were abducted from Abok IDP camp.

v. Dominic Ongwen's reporting on the attack

Dominic Ongwen communicated the results of the attack on the LRA military radio to other LRA commanders and to Joseph Kony, reporting that his fighters carried out an attack on Abok IDP camp, directing fire and burning everything that was there, including huts in the camp.⁵³⁹⁹

2001. A record of an intercepted radio communication involved Dominic Ongwen, Vincent Otti, Raska Lukwiya, Labalpiny, Joseph Kony and others speaking over the radio at some point in the period of 8-10 June 2004. In the radio communication, Dominic Ongwen reports that he was just 'coming from warming the body of the boys', meaning that he had been attacking a place or fighting. While discussing the attack, Dominic Ongwen

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⁵³⁹⁷ Police Intelligence Report, UGA-OTP-0256-0307. *See* P-0126 Second Statement, UGA-OTP-0264-0002-R01, at para. 47.

⁵³⁹⁸ UPDF Report, atrocities committed by LRA rebels in Northern and Eastern Uganda, UGA-OTP-0037-0153, at 0177; UPDF Report, some selected cases of atrocities committed by LRA rebels, UGA-OTP-0032-0038-R01, at 0057.

⁵³⁹⁹ Para. 204 above.

⁵⁴⁰⁰ See Enhanced audio recording, UGA-OTP-0235-0049. See the Chamber's discussion of the intercepted radio communication, UGA-OTP-0235-0049 in section IV.B.3.ii.p above.

⁵⁴⁰¹ See Enhanced audio recording, UGA-OTP-0235-0049. See P-0003 Tape 837 Transcript, UGA-OTP-0248-0106-R01, at 0124; P-0003: <u>T-43</u>, p. 35, lines 15-22; P-0016 Tape 837 Transcript, UGA-OTP-0259-0086, at 0087;

and other participants joked that Dominic Ongwen 'made the commander over there run carrying a black chicken on his side'. 5402 Dominic Ongwen confirms to Joseph Kony and other LRA members that he carried out an attack '[y]esterday'. 5403 Dominic Ongwen described the attack: '[w]e started directing fire, we burnt everything that was there including all the huts even the camp and the barracks'. 5404 Dominic Ongwen stated that he captured some 'waya', an LRA code word for civilians. 5405 Dominic Ongwen said that he 'started advancing [...] on the soldiers' and 'the soldiers all ran away'. 5406 Dominic Ongwen and Joseph Kony also discussed civilians raising alarm prior to the attack and Joseph Kony's urging the use of reconnaissance operations prior to an attack. 5407 It was noted that only 30 out of 600 houses were left unburnt in the attack.

2002. As discussed in detail in the Chamber's above discussion of the intercepted radio communications, former LRA signallers P-0016 and P-0440, as well as P-0003 and P-0059, respectively the UPDF and ISO radio interceptors who recorded the communications and made the logbook entries discussed below, listened to the recording

P-0016: <u>T-32</u>, p. 65, line 7-19; P-0059 Tape 837 Transcript, UGA-OTP-0248-0524-R01, at 0542; P-0059: <u>T-37</u>, p. 17, lines 18-21; P-0440 Tape 837 Transcript, UGA-OTP-0262-0363-R01, at 0381; P-0440: <u>T-40</u>, p. 37, line 17-25

⁵⁴⁰² See Enhanced audio recording, UGA-OTP-0235-0049. See P-0003 Tape 837 Transcript, UGA-OTP-0248-0106-R01, at 0124; P-0003: <u>T-43</u>, p. 33, line 19 – p. 34, line 2; P-0016 Tape 837 Transcript, UGA-OTP-0259-0086, at 0087; P-0059 Tape 837 Transcript, UGA-OTP-0248-0524-R01, at 0542; P-0059: <u>T-37</u>, p. 15, line 25 – p. 16, line 10; P-0440 Tape 837 Transcript, UGA-OTP-0262-0363-R01, at 0381. The Chamber recalls that witnesses testified that the UPDF commander in charge of the security of Abok IDP camp fled the LRA in the course of the attack carrying a chicken. See para. 1894, n. 5007 above. The Chamber is thus further convinced that this intercepted radio communication is a discussion of the 8 June 2004 Abok IDP camp attack.

 $[\]begin{array}{l} {}^{5403}\,See\,\,{\rm Enhanced\,\,audio\,\,recording},\,\,UGA-OTP-0235-0049.\,\,See\,\,P-0003\,\,{\rm Tape}\,\,837\,\,{\rm Transcript},\,\,UGA-OTP-0248-0106-R01,\,\,at\,\,0125-27;\,\,P-0003:\,\,\underline{T-43},\,\,p.\,\,33,\,\,line\,\,19-p.\,\,38,\,\,line\,\,19;\,\,P-0016\,\,{\rm Tape}\,\,837\,\,{\rm Transcript},\,\,UGA-OTP-0259-0086,\,\,at\,\,0089-90;\,\,P-0059\,\,{\rm Tape}\,\,837\,\,{\rm Transcript},\,\,UGA-OTP-0248-0524-R01,\,\,at\,\,0543-45;\,\,P-0059:\,\,\underline{T-37},\,\,p.\,\,15,\,\,line\,\,25-p.\,\,20,\,\,line\,\,15,\,\,p.\,\,21,\,\,lines\,\,7-21,\,\,p.\,\,22,\,\,line\,\,20-p.\,\,23,\,\,line\,\,18;\,\,P-0440\,\,{\rm Tape}\,\,837\,\,{\rm Transcript},\,\,UGA-OTP-0262-0363-R01,\,\,at\,\,0383-84;\,\,P-0440:\,\,\underline{T-40},\,\,p.\,\,36,\,\,line\,\,15-p.\,\,39,\,\,line\,\,9. \end{array}$

⁵⁴⁰⁴ See Enhanced audio recording, UGA-OTP-0235-0049. See P-0003 Tape 837 Transcript, UGA-OTP-0248-0106-R01, at 0125-27; P-0003: <u>T-43</u>, p. 33, line 19 – p. 38, line 19; P-0016 Tape 837 Transcript, UGA-OTP-0259-0086, at 0089-90; P-0059 Tape 837 Transcript, UGA-OTP-0248-0524-R01, at 0543-45; P-0059: <u>T-37</u>, p. 15, line 25 – p. 20, line 15, p. 21, lines 7-21, p. 22, line 20 – p. 23, line 18; P-0440 Tape 837 Transcript, UGA-OTP-0262-0363-R01, at 0383-84; P-0440: <u>T-40</u>, p. 36, line 15 – p. 39, line 9.

⁵⁴⁰⁵ See Enhanced audio recording, UGA-OTP-0235-0049. See P-0059 Tape 837 Transcript, UGA-OTP-0248-0524-R01, at 0544; P-0059: <u>T-37</u>, p. 18, lines 7-18; P-0016 Tape 837 Transcript, UGA-OTP-0259-0086, at 0089; P-0016: <u>T-32</u>, p. 66, lines 14-18; P-0003 Tape 837 Transcript, UGA-OTP-0248-0106-R01, at 0126.

⁵⁴⁰⁶ See Enhanced audio recording, UGA-OTP-0235-0049. See P-0003 Tape 837 Transcript, UGA-OTP-0248-0106-R01, at 0126; P-0003: <u>T-43</u>, p. 34, lines 15-21; P-0016 Tape 837 Transcript, UGA-OTP-0259-0086, at 0089; P-0016: <u>T-32</u>, p. 66, lines 23-25; P-0059 Tape 837 Transcript, UGA-OTP-0248-0524-R01, at 0543; P-0059: <u>T-37</u>, p. 15, line 25 – p. 16, line 9; P-0440 Tape 837 Transcript, UGA-OTP-0262-0363-R01, at 0383; P-0440: <u>T-40</u>, p. 38 lines 6-9

p. 38, lines 6-9.

5407 See P-0003 Tape 837 Transcript, UGA-OTP-0248-0106-R01, at 0130-33; P-0059 Tape 837 Transcript, UGA-OTP-0248-0524-R01, at 0548-50; P-0440 Tape 837 Transcript, UGA-OTP-0262-0363-R01, at 0387-89.

5408 See P-0003 Tape 837 Transcript, UGA-OTP-0248-0106-R01, at 0124; P-0059 Tape 837 Transcript, UGA-OTP-0248-0524-R01, at 0542; P-0440 Tape 837 Transcript, UGA-OTP-0262-0363-R01, at 0381.

before and during the proceedings and each confirmed that they recognised Dominic Ongwen's voice reporting the attack. ⁵⁴⁰⁹ P-0016 also testified that at the time of the Abok attack, he was in the Gilva brigade and he heard Dominic Ongwen send a radio communication that Abok had been attacked. ⁵⁴¹⁰

- 2003. The Chamber notes that Abok is not mentioned in the transcript of this radio communication.
- 2004. However, the Chamber notes first that Dominic Ongwen's description of the attack in the radio communication corresponds with its understanding of the attack on Abok IDP camp on 8 June 2004.⁵⁴¹¹
- 2005. Second, the intercepted radio communication took place at some point in the period of 9-10 June 2004, just after the 8 June 2004 Abok IDP camp attack, which is when the LRA would naturally be discussing the attack. Further, in the radio communication, Dominic Ongwen is referring to an attack he carried out '[y]esterday'. Other LRA members, including Vincent Otti, discuss the same attack in the radio communication, also state that it occurred '[y]esterday', and go so far as to say that the attack occurred at '8 pm at night'. The Chamber notes that there is no evidence or suggestion that another LRA attack took place that day. The intercepted radio communication correlates with the witnesses' testimony to the effect that Abok IDP camp was attacked by the LRA in the early evening on 8 June 2004.
- 2006. Third, logbooks, prepared by UPDF and ISO officers, contain contemporaneous written records of the radio communication connecting the attack discussed therein to the Abok attack. The content of the logbooks correlates to the intercepted radio communication and these logbooks identify Abok as the location of the attack. A UPDF logbook entry, dated 9 June 2004, contains the following:

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⁵⁴⁰⁹ See the Chamber's discussion of the intercepted radio communication, UGA-OTP-0235-0049 in section IV.B.3.ii.p above.

⁵⁴¹⁰ P-0016: <u>T-34</u>, p. 17, lines 2-21.

⁵⁴¹¹ See section IV.C.9.iv above.

⁵⁴¹² See P-0003 Tape 837 Transcript, UGA-OTP-0248-0106-R01, at 0125; P-0016 Tape 837 Transcript, UGA-OTP-0259-0086, at 0088; P-0059 Tape 837 Transcript, UGA-OTP-0248-0524-R01, at 0543; P-0440 Tape 837 Transcript, UGA-OTP-0262-0363-R01, at 0382.

⁵⁴¹³ See P-0003 Tape 837 Transcript, UGA-OTP-0248-0106-R01, at 0122-24; P-0059 Tape 837 Transcript, UGA-OTP-0248-0524-R01, at 0540-42; P-0440 Tape 837 Transcript, UGA-OTP-0262-0363-R01, at 0379-81.

Dominic came on air with OPN reports that he attacked Abok IDP camp [...] yesterday 2100hrs. He overpowered UPDF running in disarray and later on they were reinforced by Mambas but he managed to recover the following items: 6 full magazines, 4 pairs of gum boots, 2 dust coats and 2 empty magazines. He further revealed that about 30 civs were burnt to death in their houses and 570 houses were set ablaze. He disclosed that on his side there were no loss to the UPDF. Vincent Otti encouraged Dominic to continue with such plans.⁵⁴¹⁴

2007. Another UPDF logbook, also with an entry dated 9 June 2004 and containing information in line with the radio communication, names 'Aboke Centre' as the location of the attack. 5415 Similarly, an ISO logbook, dated 9 June 2004, containing information correlating to the radio communication also names 'Aboke centre' as the location of the attack.5416

2008, Importantly, the UPDF and ISO logbooks from 9-10 June 2004 do not mention any other LRA attack occurring on 8 June 2004, apart from the attack on Abok IDP camp. Dominic Ongwen's own words in the intercepted radio communications overwhelmingly match the corresponding logbooks. In light of the foregoing, the Chamber, contrary to the Defence submission, 5417 concludes that the intercepted radio communication shows Dominic Ongwen discussing the Abok IDP camp attack at issue in these proceedings. In his communication with other LRA members, including Joseph Kony, Dominic Ongwen himself reports that his troops attacked Abok IDP camp on or about 8 June 2004, causing great damage to the camp and its civilian population.

⁵⁴¹⁷ Defence Closing Brief, para. 465.

⁵⁴¹⁴ UPDF Logbook (Lira), UGA-OTP-0255-0228, at 0324.

⁵⁴¹⁵ UPDF Logbook (Gulu), UGA-OTP-0254-2982, at 3078. The Chamber notes that the UPDF Logbook (Gulu) contains less detail than the UPDF Logbook (Lira) discussed above. For example, this logbook does not mention the claim that the UPDF was reinforced by mambas as does the UPDF Logbook (Lira). Further this logbook reports that 600 civilian homes were burnt as opposed to the 570 detailed in the UPDF Logbook (Gulu). However, the Chamber considers that variations in detail are to be expected from logbooks which contain the records made by different individuals at different interception locations, taking contemporaneous notes during radio communications.

⁵⁴¹⁶ ISO Logbook (Gulu), UGA-OTP-0062-0002, at 0023. See also Police Logbook, UGA-OTP-0037-0002, at 0100 (naming 'Abok' as the location of the LRA attack on 8 June 2004).

Sexual and gender based violence directly perpetrated by Dominic Ongwen

2009. Dominic Ongwen faces 10 charges of directly perpetrating crimes of sexual and genderbased violence against seven women: (P-0099); (P-0214); (P-0226); (P-0227); (P-0235); and (P-0236). These women all testified before the Pre-Trial Chamber and their testimony, collected and preserved pursuant to Article 56 of the Statute, was subsequently introduced at trial. As stated above, the Chamber finds the testimony of these witnesses to be fully reliable. 5418 The Chamber notes that these witnesses testified to remarkably similar experiences which they all, at different times, were subjected to. However, the facts and circumstances described in the charges (and corresponding legal characterisation) are not identical with respect to all of them. This is primarily due to the Court only having jurisdiction over crimes committed as of 1 July 2002 and the Prosecution electing to bring charges against Dominic Ongwen only for crimes committed until 31 December 2005.5419 In accordance with Article 74(2) of the Statute, the Chamber is bound by the text of the charges as confirmed, and the judgment shall not exceed the facts and circumstances described in the charges. At the same time, reference to certain events concerning one or more of the seven witnesses - even if outside the parameters of the charges as such – may still be of relevance, as circumstantial evidence, to establish facts and circumstances described in the charges, or may otherwise be necessary to contextualise and fully articulate the facts of the charges, in particular as concerns the beginning and the end of the temporal scope of the charges. It is in these instances that the Chamber refers to evidence of conduct outside the parameters of the charges and makes the necessary corresponding findings as part of its determination on the facts described in the charges as underlying the crimes with which Dominic Ongwen is charged.

i. Abduction and 'distribution' to Dominic Ongwen

2010. The Chamber will now set out the evidence of how: (i) each of the seven women was abducted by the LRA and (ii) how each of these women ended up being sent to Dominic

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⁵⁴¹⁸ See section IV.B.2.iii.a above.

⁵⁴¹⁹ In this regard, the Chamber notes the similar remarks made by the Pre-Trial Chamber in the present case at paras 105-107 of the <u>Confirmation Decision</u>.

Ongwen's household. Other parts of this judgment set out the overall practice of 'distributing' abducted women and girls within the LRA.⁵⁴²⁰

a P-0099

(Witness P-0099) had been abducted by LRA fighters from Purongo, Northern Uganda in February 1998 and from there taken by the LRA to Sudan. By 1 July 2002, while in Sudan, she had been forced to become Dominic Ongwen's so-called 'wife'. 5421

- 2011. In February 1998, Period (P-0099) was living in Purongo, Northern Uganda. She was around 15 years old and living at home because her mother was not able to pay for her to go to school. As she slept one night, LRA fighters suddenly entered her house and told her to get up and leave without shouting. As she left the house, she saw her uncle an escort to Joseph Kony as a member of the group of about 100 fighters who came to abduct her. Dominic Ongwen was also in this group.
- 2012. The LRA fighters took P-0099 and a group of around 30 fellow abductees. ⁵⁴²⁷ Older people were soon released, but she was kept in the remaining group which travelled to meet Joseph Kony in Sudan. ⁵⁴²⁸ P-0099 lived at Joseph Kony's house for seven months as a *ting ting*. ⁵⁴²⁹ Joseph Kony wanted P-0099 to be his so-called 'wife', but P-0099 did not want this and asked her uncle to speak with Joseph Kony. ⁵⁴³⁰ The uncle did so and Joseph Kony agreed to transfer P-0099 to be another's so-called 'wife'. ⁵⁴³¹ Three commanders asked P-0099 to be their so-called 'wife', and she refused each one. ⁵⁴³² Dominic Ongwen was the fourth to ask P-0099 testified she felt obliged to go and accepted. ⁵⁴³³ After a few days, Dominic Ongwen sent his escorts to come collect her. ⁵⁴³⁴

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5420 See section IV.C.11.iii below.
5421 Para. 205 above.
5422 P-0099: T-14, p. 11, lines 11-14.
5423 P-0099: T-14, p. 10, line 21 – p. 11, line 25, p. 13, lines 2-12.
5424 P-0099: T-14, p. 13, line 25 – p. 14, line 13.
5425 P-0099: T-14, p. 14, line 14 – p. 15, line 4, p. 17, line 24 – p. 19, line 4.
5426 P-0099: T-14, p. 17, lines 16-20.
5427 P-0099: T-14, p. 15, lines 5-12.
5428 P-0099: T-14, p. 15, line 21 – p. 19, line 19.
5429 P-0099: T-14, p. 20, line 22 – p. 22, line 4.
5430 P-0099: T-14, p. 24, line 10 – p. 25, line 7.
5431 P-0099: T-14, p. 25, line 11 – p. 26, line 8.
5432 P-0099: T-14, p. 27, line 22 – p. 28, line 10.
5433 P-0099: T-14, p. 28, lines 11-21.
5434 P-0099: T-14, p. 30, line 15 – p. 31, line 10.
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b. P-0101

Dominic Ongwen had personally abducted (Witness P-0101) from Northern Uganda in August 1996. Dominic Ongwen immediately took her into his household, where she stayed until her release in 2004.⁵⁴³⁵

2013.In August 1996, (P-0101) was 15 years old. (P-0101 belivered asked her to deliver a bicycle to someone very early in the morning. (5437 P-0101 delivered it and was hurrying back to the fields. (She was wearing her school uniform, which P-0101 remembered as a pink skirt and white blouse with red stripes on the arms. (Shang) On her way back she encountered Dominic Ongwen leading a group of LRA soldiers who then abducted her. (Shang) Abudema – the superior commander of Dominic Ongwen's group – said that girls should be released, but Dominic Ongwen (did not accept, saying that he had already found his wife and would not release his abductee'. (Shang) After the abduction Dominic Ongwen separated P-0101 from the other abductees and smeared her with water and shea nut oil in order to mark her as his. (Shang) As discussed below, P-0101 was considered part of Dominic Ongwen's household and his 'wife' until her release in July 2004. (Shang)

c. P-0214

(Witness P-0214) had been abducted from Laliya, Northern Uganda, by LRA fighters in June 2000 and from there taken to Sudan. In September 2002, she was 'distributed' to Dominic Ongwen.⁵⁴⁴⁴

2014. On a day in June 2000 when Properties (P-0214) was around 17 years old, she went to sleep in an enclosed place because the security situation was not good near Laliya where her family lived. She awoke to gunfire at the Laliya centre, but managed to go back to sleep. She had a dream that soldiers were coming to abduct her. When she woke

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5435 Para. 205 above.
5436 P-0101: T-13, p. 16, lines 6-20.
5437 P-0101: T-13, p. 49, line 21 – p. 50, line 14.
5438 P-0101: T-13, p. 49, line 21 – p. 50, line 14.
5439 P-0101: T-13, p. 18, line 21 – p. 19, line 1.
5440 P-0101: T-13, p. 16, lines 6-20, p. 48, line 5 – p. 49, line 6.
5441 P-0101: T-13, p. 50, lines 8-10.
5442 P-0101: T-13, p. 50, lines 4-13.
5443 See sub-sections IV.C.10.ii and IV.C.10.iii below.
5444 Para. 205 above.
5445 P-0214: T-15, p. 3, lines 10-16, p. 5, line 9 – p. 6, line 4.
5446 P-0214: T-15, p. 5, lines 14-21.
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up the gate enclosing her had been broken and LRA soldiers from the Sinia Brigade were flashing torches in her room. ⁵⁴⁴⁸ They told her to get up and get out. ⁵⁴⁴⁹ P-0214 told the soldiers she had a problem with her leg – a soldier then stepped on the injured leg and told her she was lying. ⁵⁴⁵⁰ She got up and started moving with the LRA along with around 50 other abductees. ⁵⁴⁵¹ They eventually travelled to Sudan – at a point along the way all female abductees were released except P-0214 and one other. ⁵⁴⁵² Upon reaching Sudan, P-0214 met Joseph Kony. ⁵⁴⁵³ When Dominic Ongwen later arrived in the area, Joseph Kony directed people to take P-0214 to the accused's house. ⁵⁴⁵⁴

2015. In the course of P-0214's testimony, the Chamber notes there was significant confusion as to whether P-0214 was 'distributed' to Dominic Ongwen in 2002 or 2004. The witness initially vacillated between these years, though in the course of the Defence questioning she clearly stated she was 'distributed' to Dominic Ongwen in September 2002. 5455 The Chamber considers that P-0214's final statement on her 'distribution' year is the correct one, given that: (i) P-0214 remembers seeing P-0226 and hearing from her about P-0099 and P-0101 as so-called 'wives' of Dominic Ongwen when she arrived at Dominic Ongwen's household 5456 – all three women were there for at least part of September 2002, but neither P-0099 nor P-0226 were there in 2004, while P-0101 escaped in July 2004 at a time at which she stated P-0214 to have been one of Dominic Ongwen's so-called 'wives', 5457 and (ii) P-0214 recalls P-0226 leaving the bush after having been injured, 5458 which happened in 2003. 5459 The Chamber also considers that mixing up 2002 and 2004 is the kind of ordinary mistake that can happen when testifying to events experienced over a decade ago. 5460

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5448 P-0214: T-15, p. 5, line 22 - p. 6, line 4, p. 6, line 24 - p. 7, line 2.
5449 P-0214: T-15, p. 6, lines 5-13.
5450 P-0214: T-15, p. 6, lines 5-13.
5451 P-0214: T-15, p. 7, lines 11-14.
5452 P-0214: T-15, p. 9, line 14 - p. 12, line 9.
5453 P-0214: T-15, p. 12, lines 8-9.
5454 P-0214: T-15, p. 15, line 13 - p. 16, line 6.
5455 P-0214: T-15, p. 16, line 7 - p. 18, line 10, p. 43, line 3 - p. 44, line 10.
5456 P-0214: T-15-CONF, p. 18, line 11 - p. 19, line 5.
5457 P-0101: T-13-CONF, p. 12, lines 1-5, p. 15, line 21 - p. 16, line 3.
5458 P-0214: T-15-CONF, p. 20, lines 19-23.
5459 See para. 2091 below.
5460 See especially P-0214: T-15, p. 16, lines 7-10 (conceding at the outset that P-0214 did 'not recall the actual date because it's been a while').
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d. P-0226

(Witness P-0226) had been abducted from her home at Patiko Cetkana, Lukome, Northern Uganda, by LRA fighters under Dominic Ongwen's command around 1998. By 1 July 2002, she was a *ting ting* in Dominic Ongwen's household.⁵⁴⁶¹

- 2016. In 1991, Photo (P-0226) was born in Patiko Cetkana, Lukome. She lived there with her parents, two brothers and four sisters. One day around 1998, she woke up early in the morning and sat outside around a fireplace with one of her sisters and her uncle's son. Her mother was outside peeling cassava.
- 2017.P-0226 then saw about 50 LRA soldiers coming to her house.⁵⁴⁶⁶ The soldiers arrived and told her '[l]ittle girl, stand up'.⁵⁴⁶⁷ P-0226 refused the soldier took out a gun and told her to get up.⁵⁴⁶⁸ She got up to start walking with the soldiers, who were also taking her sister and her uncle's son.⁵⁴⁶⁹ P-0226's mother tried to stop the soldiers from taking her and her sister.⁵⁴⁷⁰ The soldiers told her mother to keep quiet.⁵⁴⁷¹ P-0226 could hear her mother crying as they were moving away.⁵⁴⁷² At the time, P-0226 was seven years old.⁵⁴⁷³
- 2018.P-0226's sister did not travel with the soldiers very long before she was put in a house and left there by the LRA.⁵⁴⁷⁴ The explanation given to her sister on why she was not held by the soldiers was that she was ugly.⁵⁴⁷⁵
- 2019.P-0226 stayed with the soldiers and was forced to carry salt on their journey. 5476 The salt leaked out of the bag and, when coupled with rain, gave her sores on her head. 5477 Eventually their group stopped and P-0226 first met Dominic Ongwen, who at the time

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<sup>5461</sup> Para. 205 above.
<sup>5462</sup> P-0226: <u>T-8</u>, p. 8, lines 10-11, p. 9, lines 2-5.
<sup>5463</sup> P-0226: <u>T-8</u>, p. 9, line 20 – p. 10, line 3.
<sup>5464</sup> P-0226: <u>T-8</u>, p. 9, lines 6-7, p. 10, lines 14-22.
<sup>5465</sup> P-0226: T-8, p. 10, lines 23-24.
<sup>5466</sup> P-0226: <u>T-8</u>, p. 10, lines 4-6, p. 14, lines 1-17.
<sup>5467</sup> P-0226: <u>T-8</u>, p. 11, lines 15-18.
<sup>5468</sup> P-0226: <u>T-8</u>, p. 11, lines 15-18.
<sup>5469</sup> P-0226: <u>T-8</u>, p. 10, lines 14-16, p. 11, line 19 – p. 12, line 9.
<sup>5470</sup> P-0226: T-8, p. 11, line 22 – p. 12, line 2.
<sup>5471</sup> P-0226: <u>T-8</u>, p. 11, line 22 – p. 12, line 2.
^{5472} P-0226: \overline{\text{T-8}}, p. 11, line 22 – p. 12, line 2.
<sup>5473</sup> P-0226: <u>T-8</u>, p. 9, lines 6-7, p. 27, lines 17-18; <u>T-9</u>, p. 8, lines 5-25.
<sup>5474</sup> P-0226: T-8, p. 12, line 15 – p. 13, line 11.
<sup>5475</sup> P-0226: <u>T-8</u>, p. 13, lines 9-11.
<sup>5476</sup> P-0226: <u>T-8</u>, p. 14, lines 18-22. See also p. 14, line 18 – p. 18, line 20.
<sup>5477</sup> P-0226: <u>T-8</u>, p. 15, lines 18-25.
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commanded the group abducting her.⁵⁴⁷⁸ They continued travelling for about a month until they reached Sudan, where P-0226 met Joseph Kony for purposes of being 'distributed' along with other girls.⁵⁴⁷⁹ Joseph Kony was the first to choose girls from P-0226's group.⁵⁴⁸⁰ After he made his selection, Dominic Ongwen chose P-0226 and sent his escorts to take her to his home.⁵⁴⁸¹

2020.P-0226 had not had a bath since her abduction and Dominic Ongwen arranged through one of his so-called 'wives' for her to put a shabby dress over her school uniform. S482 She looked such that – despite being only seven years old – Joseph Kony asked Dominic Ongwen during the distribution '[w]hy did you bring an old person here? S483 P-0226 understood that Dominic Ongwen was disguising her beauty from Joseph Kony so she would not be selected. When Joseph Kony realised what P-0226 actually looked like, he sent his escort to collect her. Dominic Ongwen hid P-0226 by keeping her under his bed for approximately one month. Ultimately, P-0226 remained in Dominic Ongwen's house – the witness did not see Dominic Ongwen beaten for this conduct. P-0226 was initially a *ting ting* in Dominic Ongwen's household and eventually proclaimed by Dominic Ongwen as one of his so-called 'wives' at some point after September 2002.

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5478 P-0226: T-8, p. 17, line 23 – p. 18, line 16, p. 23, lines 4-6.
5479 P-0226: T-8, p. 21, lines 12-19, p. 26, lines 6-12. See also p. 24, line 3 – p. 29, line 18.
5480 P-0226: T-8, p. 26, lines 6-12, p. 27, lines 12-14; T-9, p. 20, lines 18-25.
5481 P-0226: T-8, p. 29, lines 10-18.
5482 P-0226: T-8, p. 26, line 25 – p. 27, line 11.
5483 P-0226: T-8, p. 27, lines 12-19.
5484 P-0226: T-9, p. 20, line 18 – p. 21, line 19.
5485 P-0226: T-9, p. 32, lines 12-15. See also p. 32, line 12 – p. 36, line 12.
5486 P-0226: T-9, p. 32, lines 16-22.
5487 P-0226: T-9, p. 35, line 8 – p. 36, line 12.
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⁵⁴⁸⁸ See paras 2036, 2051-2055 below.

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e. P-0227

(Witness P-0227) was abducted from Pageya, Northern Uganda by LRA fighters under Dominic Ongwen's command in approximately April 2005. She joined Dominic Ongwen's household.⁵⁴⁸⁹

- Very late at night, two uniformed armed LRA entered her house. ⁵⁴⁹¹ P-0227 had been reading earlier, but by this time had fallen asleep. ⁵⁴⁹² The sound of the door being pushed woke her up. ⁵⁴⁹³ The men shined a torch around, found some millet flour and ordered P-0227 to put it in a sack. ⁵⁴⁹⁴ She was then told by the men to '[c]arry that millet flour and get out'. ⁵⁴⁹⁵ P-0227 complied. ⁵⁴⁹⁶ Her mother tried to cry P-0227 testified that the men said if her mother continued crying that meant 'she wanted to see me die, so my mother has to keep quiet'. ⁵⁴⁹⁷ Two of P-0227's brothers were abducted at the same time. ⁵⁴⁹⁸
- 2022. When P-0227 left her house she saw other soldiers outside. Stapped She saw her father lying down on his stomach with some women next to him. P-0227 could not tell if her father was alive or not. P-0227 was told to start walking with the millet flour, which she did. Others from her town were also abducted another Pageya girl was soon released but P-0227 was not. Stapped St
- 2023.P-0227 was brought before the soldiers' commander, Dominic Ongwen.⁵⁵⁰⁴ The soldiers told Dominic Ongwen that they got some food and girls and, though they had to release

⁵⁴⁸⁹ Para. 205 above.

 $^{^{5490}}$ P-0227: $\underline{\text{T-10}}$, p. 2, lines 17-21, p. 5, line 25 – p. 10, line 10. P-0205 recalls P-0227 being present at Lukodi for the mid-2004 attack. P-0205: $\underline{\text{T-49}}$, p. 72, line 24 – p. 73, line 4. P-0227 never mentions Lukodi. Given the distant events being recalled and how P-0227 is best placed to remember her own abduction year, the Chamber considers P-0205 to simply be mistaken on this point.

⁵⁴⁹¹ P-0227: <u>T-10</u>, p. 6, lines 10-16. *See also* p. 5, line 25 – p. 10, line 10.

⁵⁴⁹² P-0227: T-10, p. 6, lines 10-19.

⁵⁴⁹³ P-0227: <u>T-10</u>, p. 6, lines 10-19.

⁵⁴⁹⁴ P-0227: <u>T-10</u>, p. 6, lines 20-24.

⁵⁴⁹⁵ P-0227: <u>T-10</u>, p. 6, line 25.

⁵⁴⁹⁶ P-0227: <u>T-10</u>, p. 6, line 25 – p. 7, line 1.

⁵⁴⁹⁷ P-0227: T-10, p. 7, lines 2-4.

⁵⁴⁹⁸ P-0227: <u>T-10</u>, p. 8, lines 3-8.

⁵⁴⁹⁹ P-0227: <u>T-10</u>, p. 8, lines 18-24.

⁵⁵⁰⁰ P-0227: <u>T-10</u>, p. 8, line 25 – p. 9, line 2.

⁵⁵⁰¹ P-0227: <u>T-10</u>, p. 9, lines 4-8.

⁵⁵⁰² P-0227: <u>T-10</u>, p. 8, line 25 – p. 9, line 2.

⁵⁵⁰³ P-0227: T-10-CONF, p. 10, line 11 – p. 11, line 14, p. 14, lines 2-18. See also <u>T-10</u>, p. 16, lines 17-22.

⁵⁵⁰⁴ P-0227: <u>T-10</u>, p. 15, line 23 – p. 16, line 1. *See also* p. 15, line 23 – p. 17, line 7.

one of them, they brought the rest.⁵⁵⁰⁵ Dominic Ongwen laughed and said 'Thank you. You should have brought even the other girl. Why did you let her go?'⁵⁵⁰⁶ P-0227 was then forced to join Dominic Ongwen's homestead.⁵⁵⁰⁷

2024. The Defence raises inconsistencies in P-0227's date of abduction, alleging she testified to being abducted in September 2002 and April 2005. P-0227 never testified to a 2002 abduction date – the Defence simply misstates the evidence. But there are discrepancies between P-0227's testimony and her GUSCO form, one of which is an abduction date (April 2004) exactly one year earlier than the date given in her testimony. P-0227 explained that she provided the information in a state of exhaustion and simply made a mistake in the completion of the form. The Chamber considers P-0227's explanation to be compelling, and notes that other evidence also points to what she said in her testimony being true. The Chamber believes P-0227's testimony on these points, and not her GUSCO form.

f. P-0235

(Witness P-0235) was abducted by the LRA fighters in Kitgum town in September 2002. After her abduction she was placed in Dominic Ongwen's household.⁵⁵¹²

2025. (P-0235), born in 1987, lived in Kitgum with her mother. One night P-0235 was sleeping when the door to their house was broken open by LRA fighters from Sinia brigade. P-0235 was asked to leave the house. P-0235's mother asked the fighters to leave P-0235 – the soldiers threatened to beat her mother and made her

⁵⁵⁰⁵ P-0227: T-10, p. 16, lines 17-22.

⁵⁵⁰⁶ P-0227: T-10, p. 16, line 25 – p. 17, line 2.

⁵⁵⁰⁷ P-0227: <u>T-10</u>, p. 17, line 19 – p. 18, line 11.

⁵⁵⁰⁸ Defence Closing Brief, para. 70, n. 64.

⁵⁵⁰⁹ P-0227 GUSCO rehabilitation centre file, UGA-OTP-0233-0730.

⁵⁵¹⁰ Compare P-0227 GUSCO rehabilitation centre file, UGA-OTP-0233-0730, at 0732 with P-0227: <u>T-10</u>, p. 61, lines 5-19; <u>T-11</u>, p. 19, line 17 – p. 20, line 6.

⁵⁵¹¹ P-0101 and P-0227 mention other women considered as Dominic Ongwen's 'wives', but not each other. This is consistent with P-0227 being abducted in 2005 as claimed in her testimony, noting that P-0101 escaped the LRA in July 2004.

⁵⁵¹² Para. 205 above.

⁵⁵¹³ P-0235: T-17, p. 2, lines 6-10, p. 3, lines 17-21. While P-0245 explained how P-0235 was abducted from Lacani, not Kitgum (*see* P-0245: T-98, p. 15, line 7 – p. 17, line 12, p. 20, line 4 – p. 22, line 5; T-100, p. 34, lines 3-13), the Chamber recalls its conclusion that it does not rely on P-0245's testimony (*see* paras 277-280 above). In any event, the Chamber considers P-0235 to be best placed to know where she was abducted from.

⁵⁵¹⁴ P-0235: <u>T-17</u>, p. 3, line 25 – p. 4, line 9, p. 4, line 25 – p. 5, line 9. *See also* p. 3, line 25 – p. 6, line 16.

⁵⁵¹⁵ P-0235: <u>T-17</u>, p. 3, line 25 – p. 4, line 9.

leave. ⁵⁵¹⁶ P-0235 then left with her captors as her mother remained at the house. ⁵⁵¹⁷ P-0235 travelled with the soldiers and other abductees until they reached Pader and met Dominic Ongwen. ⁵⁵¹⁸ P-0235 was sent to live at Dominic Ongwen's home. ⁵⁵¹⁹

2026.P-0235 remembered being abducted in September, but was not positive about whether it was 2001 or 2002. She initially testified she was abducted in September 2001, 5520 but then vacillated between 2001 and 2002. F-0235's prior statement also mentions both years, saying that her mother remembered the abduction being in 2001. S-522 P-0235's explanation for not remembering is that 'I'd been in the bush for a really long time and I'd – I was not very sure about the year. It was when I came back that I was told that I was abducted in 2001'. S-523 Given the traumatic nature of her abduction and that P-0235 spent well over a decade in the bush, the Chamber can appreciate why she may not remember the year of her abduction. This said, other evidence confirms that P-0235 was abducted in September 2002 and not 2001. P-0235 suggests that there was an attack on a place called Lanyatilo soon after her abduction, S-524 and this attack occurred in September 2002. S-525 P-0235 and P-0236 were abducted contemporaneously, S-526 and P-0236 unequivocally testified to being abducted in September 2002. S-527 P-0235 also

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<sup>5516</sup> P-0235: T-17, p. 4, lines 7-8, p. 6, lines 10-13.
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⁵⁵¹⁷ P-0235: T-17, p. 6, lines 10-16.

⁵⁵¹⁸ P-0235: <u>T-17</u>, p. 6, lines 10-16, p. 7, lines 7-15.

⁵⁵¹⁹ P-0235: <u>T-17</u>, p. 7, line 25 – p. 8, line 4.

⁵⁵²⁰ P-0235: <u>T-17</u>, p. 3, lines 17-21.

⁵⁵²¹ P-0235: T-17, p. 14, lines 13-20, p. 50, lines 1-4, p. 67, lines 6-18.

⁵⁵²² P-0235 Statement, UGA-OTP-0240-0003-R01, at para. 11.

⁵⁵²³ P-0235: <u>T-17</u>, p. 50, lines 1-4.

⁵⁵²⁴ P-0235: T-17, p. 14, lines 13-20, p. 67, lines 6-21 (*see* especially this excerpt, with emphasis added: 'You mentioned an attack on Pot-Ogali. Was Dominic injured at the time of the attack on Pot-Ogali? A. No, not yet. It was at the time of Lanyatilo he was not yet injured. In 2002 *I had just been newly abducted* and that's my recollection and that's how I recall it.').

⁵⁵²⁵ The Chamber notes that both P-0309 and P-0379 described an attack on UPDF barracks at Lanyatido (which is understood to be the same place as that mentioned by P-0235) led by Dominic Ongwen (P-0309: <u>T-61</u>, p. 14, line 7 – p. 16, line 1; P-0379: <u>T-56</u>, p. 37, line 16 – p. 43, line 12). While neither witness testifies to a specific date on which the attack occurred, an entry from the ISO logbook suggests that this attack took place in mid-September 2002 (*see* ISO Logbook (Gulu), UGA-OTP-0068-0002, at 0021). In this regard, the Chamber also notes its discussion on the general reliability of the 2002 ISO logbooks at para. 666. As discussed below, P-0309 was himself abducted in September 2002 in the area of Lanyatido (*see* para. 2345), while P-0379 was abducted in August 2002 (*see* para. 360) and testified that the attack on Lanyatido barracks was the first attack he participated in (P-0379: <u>T-56</u>, p. 37, lines 16-17). In addition, the Chamber takes note in this context that neither P-0309 nor P-0379 mentioned Dominic Ongwen having been impaired by any injury at the time (P-0309 only mentions that Dominic Ongwen suffered a small injury to the chest during the attack for which he did not have to go to sickbay; <u>T-62</u>, p. 34, lines 6-13); as regards the timing of Dominic Ongwen's injury to his leg and his subsequent stay in sickbay, *see* paras 1021-1049 above.

⁵⁵²⁶ P-0235: T-17-CONF, p. 17, lines 5-11 (P-0236 abducted the week after her).

⁵⁵²⁷ P-0236: <u>T-16</u>, p. 6, line 19 – p. 7, line 6.

testified that P-0214 was in Dominic Ongwen's household when she arrived, and P-0214 was 'distributed' to Dominic Ongwen in 2002. ⁵⁵²⁸ In light of this, the Chamber concludes that P-0235 was abducted no earlier than September 2002.

g. P-0236

(Witness P-0236) was abducted from Wang'yaa in Ogule, Pajule, Northern Uganda, by LRA fighters in September 2002. She was 'distributed' to Dominic Ongwen.⁵⁵²⁹

Ogule, Pajule. ⁵⁵³⁰ P-0236 was at her father's uncle's house when three uniformed armed LRA fighters entered. ⁵⁵³¹ P-0236 was grinding food when a soldier told her '[c]ome here young girl'. ⁵⁵³² Her uncle's wife made a sign to P-0236 not to go, but the soldiers insisted. ⁵⁵³³ P-0236 was brought back to a larger group of soldiers. ⁵⁵³⁴ P-0236 was with Buk Abudema initially, but he then gave her to Dominic Ongwen. ⁵⁵³⁵

ii. Coercive environment – Life as so-called 'wives'

The seven women 'distributed' to Dominic Ongwen were not allowed to leave. Dominic Ongwen placed them under heavy guard. They were told or came to understand that if they tried to escape they would be killed.⁵⁵³⁶

2028. The Chamber will briefly discuss the overall coercive environment the seven so-called 'wives' found themselves in after being distributed to Dominic Ongwen. It concerns the coercive environment specific to them, though it is a microcosm of the coercive environment all women and girls faced in the LRA. Specific elements of this environment are discussed more in subsequent sub-sections, including sexual violence (and pregnancies), ⁵⁵³⁷ beatings, ⁵⁵³⁸ forced labour ⁵⁵³⁹ and orders to kill others. ⁵⁵⁴⁰ These

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5528 P-0235: T-17-CONF, p. 6, line 25 – p. 7, line 4, p. 7, line 25 – p. 8, line 6; para. 2015 above.
5529 Para. 205 above.
5530 P-0236: T-16, p. 6, lines 13-24. See also p. 6, line 7 – p. 9, line 3.
5531 P-0236: T-16, p. 7, line 7 – p. 8, line 13.
5532 P-0236: T-16, p. 7, line 25 – p. 8, line 7.
5533 P-0236: T-16, p. 7, line 25 – p. 8, line 7.
5534 P-0236: T-16, p. 8, line 23 – p. 9, line 17, p. 10, line 15 – p. 11, line 18; P-0226: T-8-CONF, p. 65, line 9 – p.
66, line 12.
5535 P-0236: T-16, p. 11, lines 6-18.
5536 Para. 206 above.
5537 Sub-section IV.C.10.ii.a below.
5538 Sub-section IV.C.10.ii.b below.
5539 Sub-section IV.C.10.ii.c below.
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5540 Sub-section IV.C.10.ii.d below.

elements are all part of – and the result of – the same coercive environment. By arguing that Dominic Ongwen was also a victim of the LRA's coercive environment concerning the relationships between men and women, the Defence itself acknowledges that such an environment existed. ⁵⁵⁴¹

2029. All seven women were required to be 'distributed' to a male commander. ⁵⁵⁴² Once distributed to Dominic Ongwen, these women all joined his household and were not allowed to leave. ⁵⁵⁴³ Dominic Ongwen placed them under heavy guard. ⁵⁵⁴⁴ They were told or came to understand that if they tried to escape they would be killed. ⁵⁵⁴⁵ Some of the women saw attempted escapees killed by the LRA. ⁵⁵⁴⁶ P-0235 recalled being severely beaten after one of her escape attempts and testified that '[a]fter they had beaten me, I decided that I was not going to attempt any further escapes because I knew that if I did attempt another escape then I would definitely be killed'. ⁵⁵⁴⁷

2030. The fear Dominic Ongwen instilled to prevent escape is particularly supported by P-0227's first interaction with him. When brought before Dominic Ongwen, he asked P-0227 if she was a schoolgirl and whether living in Pageya was nice. ⁵⁵⁴⁸ He then asked her if she was homesick. ⁵⁵⁴⁹ In addition to the two brothers abducted with her, P-0227's stepbrother had been abducted by the LRA and returned home before she was abducted. ⁵⁵⁵⁰ Upon his return, he told P-0227 that the LRA badly beat those who wanted to come home. ⁵⁵⁵¹ With her stepbrother's words in her mind, P-0227 said she was not homesick. ⁵⁵⁵²

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<sup>5541</sup> Defence Closing Brief, paras 474-75.
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⁵⁵⁴² P-0099: <u>T-14</u>, p. 27, lines 9-12; P-0214: <u>T-15</u>, p. 13, line 19 – p. 14, line 8; P-0226: <u>T-8</u>, p. 33, lines 1-6. *See also* section IV.C.10.i above.

⁵⁵⁴³ P-0099: $\underline{\text{T-}14}$, p. 23, line 5 – p. 24, line 9, p. 44, line 24 – p. 45, line 24; P-0101: $\underline{\text{T-}13}$, p. 12, lines 6-19 (describing radio broadcast encouraging Dominic Ongwen to release 'wives'); P-0226: $\underline{\text{T-}8}$, p. 32, lines 12-19 (if selected women and girls refused to go where they were told, they would 'either be beaten or killed').

 $^{^{5544}}$ P-0099: $\underline{\text{T-}14}$, p. 41, line 21 – p. 42, line 7; P-0227: $\underline{\text{T-}10}$, p. 27, line 24 – p. 28, line 5, p. 43, lines 8-18; P-0235: $\underline{\text{T-}17}$, p. 11, line 9 – p. 12, line 12. *See also* P-0226: $\underline{\text{T-}8}$, p. 37, lines 8-15 (also describing male guard around women and children, but discussed in the context of protecting them from invading forces).

⁵⁵⁴⁵ P-0099: <u>T-14</u>, p. 24, lines 5-9, p. 45, line 19 – p. 46, line 17; P-0101: <u>T-13</u>, p. 44, lines 8-17; P-0214: <u>T-15</u>, p. 28, lines 12-18.

⁵⁵⁴⁶ P-0226: <u>T-9</u>, p. 3, line 19 – p. 5, line 7; P-0236: <u>T-16</u>, p. 10, line 21 – p. 11, line 10, p. 34, lines 3-13.

⁵⁵⁴⁷ P-0235: T-17, p. 10, lines 15-21; para. 2079 below.

⁵⁵⁴⁸ P-0227: T-10, p. 17, line 19 – p. 18, line 2. *See also* p. 17, line 19 – p. 22, line 10.

⁵⁵⁴⁹ P-0227: <u>T-10</u>, p. 19, line 25 – p. 20, line 2.

⁵⁵⁵⁰ P-0227: <u>T-10</u>, p. 18, lines 15-21.

⁵⁵⁵¹ P-0227: <u>T-10</u>, p. 18, line 22 – p. 19, line 11.

⁵⁵⁵² P-0227: <u>T-10</u>, p. 19, line 17 – p. 20, line 2.

- 2031. Dominic Ongwen then had a further conversation with P-0227 and her two abducted brothers. ⁵⁵⁵³ Dominic Ongwen said '[n]ow you have been arrested from the same homestead. Should we release one of you? ⁵⁵⁵⁴ P-0227 asked for the release of her brother who had recently had an operation and was not yet cured. ⁵⁵⁵⁵ Her two brothers told Dominic Ongwen that P-0227 should be the one released.
- 2032. Dominic Ongwen reacted by releasing none of them the brothers were sent to where the guards were staying while P-0227 remained with the women. Dominic Ongwen then ordered his guards to beat P-0227's brothers so that they may forget about their homes. The guards were to beat the brothers so badly so that they would stop speaking English, as one of P-0227's brothers was shouting 'Jesus, oh, God help me'.
- 2033. After a while of P-0227 sitting with the other women, who included P-0214 and P-0235, she was asked if she felt homesick. She said she was and was thinking about her mother. The women told her 'Just stay calm. Don't think about any other thing. There's no going back. Just stay with us'. She said she was and was thinking about her mother. There's no going back. Just stay with us'. She said she was and was thinking about her mother.

P-0099, P-0101, P-0214, P-0226 and P-0227 were considered Dominic Ongwen's so-called 'wives' and had to maintain an exclusive conjugal relationship with him. Being Dominic Ongwen's so-called 'wife' did not cease until they escaped or were released from the LRA. ⁵⁵⁶³

- 2034. Not all of the seven women became so-called 'wives' immediately, as some were so young they initially joined Dominic Ongwen's household as *ting tings*. 5564
- 2035. No traditional rituals of marriage were observed, and none of the women had any effective choice in being conjugally associated with the accused. These seven women identify different moments as to exactly when they became Dominic Ongwen's 'wife':

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5553 P-0227: T-10, p. 21, line 4 – p. 22, line 3.
5554 P-0227: T-10, p. 21, lines 4-9.
5555 P-0227: T-10, p. 21, lines 4-14.
5556 P-0227: T-10, p. 21, lines 4-14.
5557 P-0227: T-10, p. 21, lines 15-19.
5558 P-0227: T-10, p. 21, line 20 – p. 22, line 1.
5559 P-0227: T-10, p. 21, line 20 – p. 22, line 1.
5560 P-0227: T-10-CONF, p. 17, lines 3-12, p. 20, line 6 – p. 21, line 3, p. 22, lines 4-10.
5561 P-0227: T-10, p. 22, lines 4-10.
5562 P-0227: T-10, p. 22, lines 4-10.
5563 Para. 206 above.
5564 E.g. P-0226, P-0235, P-0236.
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- (i) when Dominic Ongwen abducted them; ⁵⁵⁶⁵ (ii) when they felt obliged to accept Dominic Ongwen's request to stay in his household as a 'wife', ⁵⁵⁶⁶ or (iii) when they had their first forcible sexual encounter with the accused. ⁵⁵⁶⁷ The circumstances of all starting points identified were inherently coercive.
- 2036.P-0099 and P-0101 were Dominic Ongwen's so-called 'wives' at the beginning of the temporal frame of the charges on 1 July 2002, while P-0214, P-0226 and P-0227 became Dominic Ongwen's so-called 'wives' during the period of the charges. In addition, both P-0235 and P-0236 became Dominic Ongwen's so-called 'wives' after the time relevant to the charges. In the case of all seven women, being Dominic Ongwen's so-called 'wife' did not cease until they escaped or were released from the LRA.
- 2037. As so-called 'wives', these seven women had to maintain an exclusive conjugal and therefore also sexual relationship with Dominic Ongwen. ⁵⁵⁷¹ Punishment for having sexual intercourse with anyone else was severe. P-0227 described what happened when one of Joseph Kony's so-called 'wives' was caught having sexual intercourse with one of his guards. ⁵⁵⁷² Both the so-called 'wife' who had a baby girl and the guard were brought before Joseph Kony. ⁵⁵⁷³ P-0227 saw them both promptly shot dead. ⁵⁵⁷⁴
- 2038. The exclusivity of Dominic Ongwen's forced marriages is further supported by the fate of an escort named Nyeko during 2007 in the Congo. ⁵⁵⁷⁵ Dominic Ongwen had a dream that P-0236 was 'sleeping with someone'. ⁵⁵⁷⁶ Dominic Ongwen told P-0214 the next day that Nyeko was in love with P-0236 and Nyeko had sex with P-0236 three times. ⁵⁵⁷⁷ The

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<sup>5565</sup> P-0101: <u>T-13</u>, p. 16, lines 9-16.
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⁵⁵⁶⁶ P-0099: <u>T-14</u>, p. 28, lines 11-21; P-0226: <u>T-8</u>, p. 44, lines 11-25 (had been living previously with Dominic Ongwen as a *ting ting*).

⁵⁵⁶⁷ P-0214: <u>T-15</u>, p. 21, lines 15-20 (continues to discuss first sexual encounter); P-0227: <u>T-10</u>, p. 38, lines 8-16 (same). *See also* P-0235: <u>T-17</u>, p. 31, line 20 – p. 32, line 20 (same); P-0236: <u>T-16</u>, p. 20, line 19 – p. 21, line 10 (same)

⁵⁵⁶⁸ See sub-section IV.C.10.ii.a below.

⁵⁵⁶⁹ See P-0235: <u>T-17</u>, p. 31, line 20 – p. 32, line 20; P-0236: <u>T-16</u>, p. 20, line 19 – p. 21, line 10.

⁵⁵⁷⁰ See sub-section IV.C.10.iii below.

⁵⁵⁷¹ P-0099: <u>T-14</u>, p. 39, line 25 – p. 40, line 3; P-0226: <u>T-8</u>, p. 53, lines 19-21; P-0227: T-10-CONF, p. 51, lines 5-10, p. 52, line 1 – p. 54, line 1.

⁵⁵⁷² P-0227: <u>T-10</u>, p. 51, lines 5-25.

⁵⁵⁷³ P-0227: <u>T-10</u>, p. 51, lines 5-25.

⁵⁵⁷⁴ P-0227: T-10, p. 51, lines 5-25.

⁵⁵⁷⁵ P-0236: T-16, p. 13, lines 4-10, p. 14, line 20 – p. 16, line 19; P-0227: T-10-CONF, p. 52, line 1 – p. 54, line 1; P-0233: T-111, p. 61, line 22 – p. 64, line 1.

⁵⁵⁷⁶ P-0227: T-10-CONF, p. 52, lines 1-14.

⁵⁵⁷⁷ P-0227: T-10-CONF, p. 52, lines 1-14, p. 53, lines 2-9.

evidence is a little unclear what exactly P-0236 said to Dominic Ongwen about this, but P-0236 herself described being taken before Joseph Kony and denying that any sexual relations with Nyeko occurred. When Joseph Kony said she would be killed if she did not tell the truth, P-0236 said she had sex with Nyeko two times. Nyeko was immediately arrested and shot dead. P-0236 was flogged so badly that she was unable walk, but she was not killed. Dominic Ongwen's security then took her and put her before the accused. He told P-0236 'if [you] had actually done that, then that should be the last time'.

- 2039. As developed in later sub-sections, other conditions of being Dominic Ongwen's so-called 'wife' were that they had to: (i) have sexual intercourse with him whenever he wanted; 5584 (ii) bear children 5585 and (iii) perform domestic chores. 5586
- 2040.P-0101 who ultimately spent eight years with Dominic Ongwen and knew most of Dominic Ongwen's other so-called 'wives' discussed the horrors of being a young girl in the LRA, and being one distributed to Dominic Ongwen in particular:

To my understanding and from my observation on what happened to me, when young girls are abducted, you are raped while you're still young. If you're 11 years old or 12 years old, if there is a high-ranking commander who is kind, then they will let you actually mature a little bit, but with the rest of them they will just abduct you and make you a wife at a very young age. This is – this is something extremely bad and culturally – in Acholi culture raping young girls is extremely bad.

[...] Dominic was the worst when it came to young – when it came to young girls. He referred to them as ting ting. But regardless of the fact that he refers to them as ting ting, he still has sex with them at a very young age. 5588

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5578 P-0236: T-16, p. 15, lines 4-17.
5579 P-0236: T-16, p. 15, lines 4-17.
5580 P-0236: T-16, p. 15, lines 4-20; P-0227: T-10-CONF, p. 52, lines 1-19; P-0233: T-111, p. 63, line 10 – p. 64, line 1.
5581 P-0236: T-16, p. 15, lines 4-23; P-0227: T-10-CONF, p. 53, line 22 – p. 54, line 1; P-0233: T-111, p. 61, line 22 – p. 62, line 13.
5582 P-0236: T-16, p. 15, line 18 – p. 16, line 19.
5583 P-0236: T-16, p. 16, lines 15-16.
5584 Sub-section IV.C.10.ii.a below.
5585 Sub-section IV.C.10.ii.a below.
5586 Sub-section IV.C.10.ii.c below.
5587 P-0101: T-13-CONF, p. 40, lines 1-11.
5588 P-0101: T-13, p. 62, line 15 – p. 63, line 10.
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a. Sexual violence

During the period relevant to the charges, Dominic Ongwen had sex by force with P-0101 (between 1 July 2002 and July 2004), P-0214 (between September 2002 and 31 December 2005), P-0226 (between 1 July 2002 and sometime in 2003) and P-0227 (between approximately April 2005 and 31 December 2005). This happened on a repeated basis whenever Dominic Ongwen wanted. 5589

P-0101 became pregnant and gave birth to a girl fathered by Dominic Ongwen sometime between July 2002 and July 2004. In 2004, P-0101 became pregnant and gave birth to a boy fathered by Dominic Ongwen. In 2005, P-0214 became pregnant and, in December 2005, gave birth to a girl fathered by Dominic Ongwen. 5590

2041. The Chamber will now set out the first forcible sexual encounter that each of the seven so-called 'wives' had with Dominic Ongwen. Thereafter, the Chamber will turn to the evidence which shows that, over a long period of time, these women were subjected to sexual violence by Dominic Ongwen repeatedly and continuously. The Chamber will finally discuss the pregnancies these women had as a result of having sex with the accused. All pregnancies support the existence of a pattern of sexual violence, and three of them (two of P-0101 and one of P-0214) underlie the forced pregnancy charges in this case. In it analysis, as explained above, the Chamber will discuss evidence of facts which are not included in the charges as such. However, the Chamber emphasizes that it considers all the evidence discussed of great relevance for the findings of fact underlying the charges, due to the compelling picture created by the consistent and mutually corroborating accounts of the seven women.

i First forcible sexual encounter

P-0099

2042. In Sudan, before the time relevant to the charges, and a few days after P-0099 felt obliged to agree to become Dominic Ongwen's so-called 'wife', his escorts came to collect her. ⁵⁵⁹¹ When they arrived at Dominic Ongwen's house she found another woman who told P-0099 where she was to sleep. ⁵⁵⁹² Dominic Ongwen told her to close the door and sit on the bed. ⁵⁵⁹³ Then he told her to remove her clothes – P-0099 removed them but

⁵⁵⁸⁹ Para. 207 above.

⁵⁵⁹⁰ Para. 207 above.

⁵⁵⁹¹ P-0099: <u>T-14</u>, p. 30, lines 22-24. *See also* p. 30, line 15 – p. 33, line 11.

⁵⁵⁹² P-0099: <u>T-14</u>, p. 32, lines 10-16.

⁵⁵⁹³ P-0099: <u>T-14</u>, p. 32, lines 9-25.

kept on her petticoat.⁵⁵⁹⁴ Dominic Ongwen ordered her to lie on the bed and turn towards him.⁵⁵⁹⁵ Dominic Ongwen then put himself on top of P-0099 and penetrated her.⁵⁵⁹⁶ During sex someone came by for Dominic Ongwen and he got out of her.⁵⁵⁹⁷ P-0099 said '[y]ou have hurt me'.⁵⁵⁹⁸ Dominic Ongwen did not respond.⁵⁵⁹⁹

- 2043. The Chamber notes that P-0099, when asked if she wanted to have sex with Dominic Ongwen, responded that she did because she was selected as his so-called 'wife' and had come of age. ⁵⁶⁰⁰ She also refused to confirm the accuracy of her prior statement indicating that she did not want to sleep in Dominic Ongwen's house. ⁵⁶⁰¹ The Chamber also recalls that, with the assistance of an uncle working directly for Joseph Kony, P-0099 had some limited agency as to who she was assigned to. ⁵⁶⁰²
- 2044. However, when considered against her account and the totality of her testimony, P-0099 only agreed to become Dominic Ongwen's so-called 'wife' within the framework of the coercive environment she found herself in. The Chamber does not consider P-0099's responses to call the true nature of this encounter into doubt, but rather to be a product of not wanting to engage with sensitive Prosecution questioning. When the Single Judge of the Pre-Trial Chamber asked a final follow-up question in relation to the nature of her first sexual encounter with Dominic Ongwen, P-0099's response makes its coercive nature clear:

I said that it was time for me to become a wife. Now if I had refused and if he had ordered that I be killed, what would happen. That's why I accepted to be his [wife] because he may say that I'm promoting prostitution among his soldiers. ⁵⁶⁰³

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<sup>5594</sup> P-0099: T-14, p. 32, lines 9-25.
<sup>5595</sup> P-0099: T-14, p. 32, lines 9-25, p. 33, lines 9-11.
<sup>5596</sup> P-0099: T-14, p. 32, lines 9-25, p. 33, lines 9-11.
<sup>5597</sup> P-0099: T-14, p. 32, lines 9-25.
<sup>5598</sup> P-0099: T-14, p. 32, lines 24-25.
<sup>5599</sup> P-0099: T-14, p. 32, lines 24-25.
<sup>5600</sup> P-0099: T-14, p. 33, lines 12-17. See also p. 33, line 12 – p. 36, line 9.
<sup>5601</sup> P-0099: T-14, p. 33, line 18 – p. 35, line 24, referring to P-0099 Statement, UGA-OTP-0234-0049-R01, at para. 56.
<sup>5602</sup> See para. 2012 above.
<sup>5603</sup> P-0099: T-14, p. 35, line 25 – p. 36, line 9.
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P-0101

- 2045.On the day of her abduction in 1996, P-0101 was taken by one of Dominic Ongwen's escorts to his tent.⁵⁶⁰⁴ P-0101 was scared and did not want to go inside.⁵⁶⁰⁵ She told Dominic Ongwen 'I'm not going to enter your tent', as she left for another tent with tears streaming down her face.⁵⁶⁰⁶ Dominic Ongwen's escorts roughly took her and told her to kneel next to Dominic Ongwen's bed.⁵⁶⁰⁷
- 2046. Dominic Ongwen then asked P-0101 '[h]ave you seen this gun? If you refuse to sleep here, then you're going to face the consequences'. F608 P-0101 15 years old at the time told him that she was young and had never had sexual relations with any man. Dominic Ongwen's escorts then held her hands as Dominic Ongwen held her by force and penetrated her. P-0101 cried and bled a lot. In her words: '[h]e violated my rights. I was young and there was absolutely nothing that I could say about it'.
- 2047. The Chamber is attentive to the fact that the witness, in a remark similar to the one of P-0099 discussed above, stated in court that 'during the eight years, he did not force me. I was with him as husband and wife'. Sold But the coercion is clear from other parts of her testimony. In addition to all the coercive elements of her first sexual encounter described above, she also stated that a number of times Dominic Ongwen would beat her for refusing to have sexual intercourse with him. P-0101 also stated that even though her private parts were 'extremely sore' after the first night with Dominic Ongwen, he soon thereafter 'forced [her] to sleep with him again'. The above clearly shows that Dominic Ongwen slept with P-0101 under threat and against her will.

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<sup>5604</sup> P-0101: <u>T-13</u>, p. 17, lines 4-22. See also p. 16, line 9 – p. 18, line 9, p. 50, line 14 – p. 51, line 1.
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⁵⁶⁰⁵ P-0101: <u>T-13</u>, p. 17, lines 4-22.

⁵⁶⁰⁶ P-0101: <u>T-13</u>, p. 17, lines 16-22.

⁵⁶⁰⁷ P-0101: <u>T-13</u>, p. 17, lines 16-22.

⁵⁶⁰⁸ P-0101: T-13, p. 17, lines 23-24.

 $^{^{5609}}$ P-0101: $\overline{\text{T-13}}$, p. 17, line 25 – p. 18, line 1, p. 50, line 23 – p. 51, line 1 ('[s]o he raped me. I bled a lot and it was so painful and for the first time in my life I experienced a very great suffering').

⁵⁶¹⁰ P-0101: <u>T-13</u>, p. 18, lines 2-4.

⁵⁶¹¹ P-0101: T-13, p. 18, lines 4-9.

⁵⁶¹² P-0101: T-13, p. 18, lines 8-9.

⁵⁶¹³ P-0101: $\overline{\text{T-13}}$, p. 19, line 21 – p. 20, line 3.

⁵⁶¹⁴ P-0101: T-13, p. 21, lines 4-10.

⁵⁶¹⁵ P-0101: <u>T-13</u>, p. 19, lines 13-18.

P-0214

- 2048, About a month after joining Dominic Ongwen's household in Sudan during September 2002, Dominic Ongwen told P-0214 he wanted her to be his 'wife' and to come to his room. ⁵⁶¹⁶ She refused to go. ⁵⁶¹⁷ She then saw three escorts with sticks in front of Dominic Ongwen's house and decided to obey. 5618 She entered Dominic Ongwen's room, a grass shed with canvas on top. 5619 Dominic Ongwen was lying on his mattress and instructed P-0214 to take off her clothes – P-0214 complied, but was allowed to wear her blouse due to the cold. 5620 Dominic Ongwen then got on top of her and put his penis into her vagina. 5621 P-0214 felt pain and fear because she had never slept with a man before. 5622
- 2049. When Dominic Ongwen finished, he moved away and they both lied still on the mattress. 5623 P-0214 started crying because she had slept with a man in the bush. 5624 She had been told before her abduction that if a woman slept with a man in the bush she would not have children or those children would die. 5625
- 2050. The Chamber notes that most of P-0214's account of her first sexual encounter with Dominic Ongwen was brought out by her confirming the accuracy of parts of her prior statement. This affected the spontaneity of P-0214's account, as most of her testimony came via refreshing her memory. However, the Chamber does not consider this to be a question of P-0214 forgetting what happened to her – this procedure, unobjected to by the Defence, seemed rather to get P-0214 quickly through the most sensitive part of her testimony. The Chamber does not consider that the extensive reliance on P-0214's prior written statement for this specific point casts any doubt on the veracity of her account.

⁵⁶¹⁶ P-0214: <u>T-15</u>, p. 21, line 15 – p. 22, line 1. *See also* p. 21, line 15 – p. 26, line 16.

⁵⁶¹⁷ P-0214: $\overline{\text{T-15}}$, p. 22, line 4 – p. 23, line 4.

⁵⁶¹⁸ P-0214: T-15, p. 23, line 24 – p. 24, line 9.

⁵⁶¹⁹ P-0214: <u>T-15</u>, p. 23, line 15 – p. 24, line 9.

⁵⁶²⁰ P-0214: T-15, p. 23, line 24 – p. 24, line 9. ⁵⁶²¹ P-0214: T-15, p. 24, lines 10-21.

⁵⁶²² P-0214: T-15, p. 24, lines 10-21.

⁵⁶²³ P-0214: T-15, p. 24, line 22 – p. 25, line 6.

⁵⁶²⁴ P-0214: <u>T-15</u>, p. 24, line 22 – p. 25, line 6.

⁵⁶²⁵ P-0214: <u>T-15</u>, p. 24, line 22 – p. 25, line 6.

P-0226

- 2051. While in Sudan during 2001, ⁵⁶²⁶ Dominic Ongwen asked P-0226 his *ting ting* at that time to bring him some water in his bedroom. ⁵⁶²⁷ When she brought it, Dominic Ongwen grabbed P-0226's arm and said he did not want the water. ⁵⁶²⁸ He said he wanted to have sex with her. ⁵⁶²⁹ P-0226 dropped the water, which then fell onto the bed as she ran outside. ⁵⁶³⁰ P-0226 said she felt disgusted because 'every time I saw the ladies or girls coming out of his house, they were always crying. So I was frightened and I was I was scared'. ⁵⁶³¹
- 2052. Dominic Ongwen then called his escorts to get sticks and beat her. ⁵⁶³² The escorts beat P-0226 with bamboo sticks as she was on the ground of Dominic Ongwen's residence as Dominic Ongwen watched. ⁵⁶³³ He then ordered the escorts to stop, but in the week that followed P-0226 was beaten other times for continuing to refuse to have sex with Dominic Ongwen. ⁵⁶³⁴ Her hands and buttocks became swollen and she could not sit properly the beatings still cause P-0226 chest problems as of her 2015 testimony. ⁵⁶³⁵
- 2053. After this week, P-0226 could not take the beatings anymore and yielded to Dominic Ongwen. ⁵⁶³⁶ She came to his house and was asked to undress. ⁵⁶³⁷ P-0226 refused, at which point Dominic Ongwen ripped off her clothes. ⁵⁶³⁸ Dominic Ongwen then lifted P-0226 onto his bed, spread open her legs and put his penis into her vagina. ⁵⁶³⁹ Dominic Ongwen told her if she cried he would kill her. ⁵⁶⁴⁰ P-0226 stopped herself from crying by putting her hand over her mouth. ⁵⁶⁴¹

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<sup>5626</sup> P-0226: T-8, p. 37, line 16 – p. 38, line 8.
<sup>5627</sup> P-0226: <u>T-8</u>, p. 38, lines 6-14. See also p. 38, line 1 – p. 41, line 23.
<sup>5628</sup> P-0226: <u>T-8</u>, p. 38, lines 17-22.
<sup>5629</sup> P-0226: <u>T-8</u>, p. 38, lines 20-22.
<sup>5630</sup> P-0226: <u>T-8</u>, p. 38, lines 20-22.
<sup>5631</sup> P-0226: <u>T-8</u>, p. 39, lines 1-3.
<sup>5632</sup> P-0226: <u>T-8</u>, p. 38, line 20 – p. 39, line 6.
<sup>5633</sup> P-0226: <u>T-8</u>, p. 39, lines 4-14.
<sup>5634</sup> P-0226: <u>T-8</u>, p. 39, line 15 – p. 40, line 5.
<sup>5635</sup> P-0226: <u>T-8</u>, p. 40, lines 6-16.
<sup>5636</sup> P-0226: <u>T-8</u>, p. 40, lines 17-20.
<sup>5637</sup> P-0226: T-8, p. 40, line 24 – p. 41, line 9.
<sup>5638</sup> P-0226: T-8, p. 40, line 24 – p. 41, line 9.
<sup>5639</sup> P-0226: T-8, p. 40, line 24 – p. 41, line 9.
<sup>5640</sup> P-0226: <u>T-8</u>, p. 41, lines 10-15.
<sup>5641</sup> P-0226: <u>T-8</u>, p. 41, lines 10-15.
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- 2054. Afterwards, Dominic Ongwen told P-0226 to go back to the house where she was sleeping. ⁵⁶⁴² P-0226 could not get up. ⁵⁶⁴³ When she came back, one of the women asked her why she was crying and she did not respond. ⁵⁶⁴⁴ P-0226 bled a lot and had to rest for a week following this incident. ⁵⁶⁴⁵ She was around 10 years old. ⁵⁶⁴⁶
- 2055. After this incident in Sudan, Dominic Ongwen did not have sex with the witness again until they returned to Uganda in 2002. Back in Uganda and at some point after September 2002 Dominic Ongwen told his other so-called 'wives' that P-0226 was now his 'wife' and started forcing her to have sex with him again. 1649

P-0227

- 2056. About a month after her abduction in April 2005, P-0227 was called over to Dominic Ongwen's tent. ⁵⁶⁵⁰ P-0227 was scared that Dominic Ongwen was calling her so late at night. ⁵⁶⁵¹ When she arrived he was standing in the doorway. ⁵⁶⁵²
- 2057. Dominic Ongwen told her to take off her blouse. ⁵⁶⁵³ He then smeared something white onto her and started speaking of God. ⁵⁶⁵⁴ Once he finished he told P-0227 to go into his house. ⁵⁶⁵⁵ They lay down on the bed together and Dominic Ongwen told her to remove the rest of her clothes. ⁵⁶⁵⁶ He asked P-0227 to spread her legs, put his penis in her vagina and started forcibly having sex with her. ⁵⁶⁵⁷ Sex was very quick and very forceful P-

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<sup>5642</sup> P-0226: <u>T-8</u>, p. 40, line 24 – p. 41, line 9.
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⁵⁶⁴³ P-0226: T-8, p. 40, line 24 – p. 41, line 9.

⁵⁶⁴⁴ P-0226: <u>T-8</u>, p. 40, line 24 – p. 41, line 9.

⁵⁶⁴⁵ P-0226: <u>T-8</u>, p. 41, lines 8-23.

⁵⁶⁴⁶ P-0226: <u>T-8</u>, p. 38, lines 1-2. P-0101 believed P-0226 to be 12 years old at the time of this incident, but also remembered P-0226 being the youngest girl Dominic Ongwen had sex with. P-0101: T-14-CONF, p. 3, lines 8-15.

⁵⁶⁴⁷ P-0226: <u>T-8</u>, p. 43, line 10 – p. 44, line 18.

⁵⁶⁴⁸ P-0099: T-14-CONF, p. 36, line 13 – p. 37, line 5 (P-0099 does not include P-0226 in the list of Dominic Ongwen's 'wives', and she escaped the LRA in September 2002).

⁵⁶⁴⁹ P-0226: <u>T-8</u>, p. 43, line 10 – p. 44, line 18.

⁵⁶⁵⁰ P-0227: <u>T-10</u>, p. 38, lines 10-25. *See also* p. 38, line 10 – p. 42, line 4.

⁵⁶⁵¹ P-0227: T-10, p. 38, lines 10-25.

⁵⁶⁵² P-0227: <u>T-10</u>, p. 38, lines 10-25.

⁵⁶⁵³ P-0227: <u>T-10</u>, p. 38, lines 10-25.

⁵⁶⁵⁴ P-0227: <u>T-10</u>, p. 38, lines 10-25.

⁵⁶⁵⁵ P-0227: <u>T-10</u>, p. 38, lines 10-25.

⁵⁶⁵⁶ P-0227: <u>T-10</u>, p. 39, lines 1-14.

⁵⁶⁵⁷ P-0227: <u>T-10</u>, p. 39, lines 1-14.

- 0227 said Dominic Ongwen penetrated her anus as well.⁵⁶⁵⁸ P-0227 said she could not refuse to have sex with him 'because I felt my whole life was in his hand'.⁵⁶⁵⁹
- 2058.P-0227 started crying. ⁵⁶⁶⁰ Dominic Ongwen asked her why she was screaming like this and whether she wanted everyone to hear her voice. ⁵⁶⁶¹ P-0227 explained that Dominic Ongwen then told her 'that if I continued crying, he showed me his gun. The gun had something sharp on top of it like a bayonet. When he showed me the gun, I started crying more slowly, but I felt like my whole body was being torn apart'. ⁵⁶⁶² After it was over, Dominic Ongwen told her to get up and go to sleep where P-0214 was. ⁵⁶⁶³
- 2059. In the morning, P-0227 was told to collect Dominic Ongwen's beddings. ⁵⁶⁶⁴ They were covered in her blood. ⁵⁶⁶⁵ P-0227 was then made to wash them and put them out to dry. ⁵⁶⁶⁶

P-0235

- 2060. One day in 2006 in the DRC, P-0235 was called to see Dominic Ongwen. Dominic Ongwen said she should spend the night with him. P-0235 was hesitant to go, but Dominic Ongwen insisted:
 - Q. When you say you were hesitant, what did you say or do?
 - A. I told him that I did not want to go because I wasn't I did not want to get involved with any man in the bush. I told him I do not want to get involved with any man in the bush. He asked me what don't you want? Do you know the rules that we apply in the bush?
 - Q. And what rules was he talking about?
 - A. Well, he said the rules there, once you're grown up, once you're mature enough, then you should you should have a husband. I'd seen this, I'd seen some of the

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5658 P-0227: T-10, p. 39, lines 1-14.
5659 P-0227: T-10, p. 42, lines 1-4.
5660 P-0227: T-10, p. 39, lines 1-14.
5661 P-0227: T-10, p. 39, lines 1-14.
5662 P-0227: T-10, p. 39, lines 1-14.
5663 P-0227: T-10-CONF, p. 39, lines 15-16.
5664 P-0227: T-10, p. 40, line 18 - p. 41, line 5.
5665 P-0227: T-10, p. 40, line 18 - p. 41, line 5.
5666 P-0227: T-10, p. 40, line 18 - p. 41, line 5.
5666 P-0227: T-10, p. 40, line 18 - p. 41, line 5.
5667 P-0235: T-17, p. 32, lines 6-10. See also p. 31, line 25 - p. 32, line 24, p. 33, line 20 - p. 36, line 3.
5668 P-0235: T-17, p. 32, lines 6-10.
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girls being given to men and it was very painful and I did not want to have a husband or give birth to a child in the bush. 5669

2061.P-0235 had never slept with anyone before, but she was afraid of being beaten if she refused.⁵⁶⁷⁰ Dominic Ongwen had sexual intercourse with her three times that night and she cried.⁵⁶⁷¹

P-0236

- 2062. In 2007 in the DRC Dominic Ongwen called P-0236 over and told her to go lie down on his bed. 5672 As P-0236 described: 'I did not have any right, so I went, I lay down on the bed. He told me to undress, I undressed and I lay down'. 5673 Dominic Ongwen's escorts slept in trenches about 8-10 metres away from them. 5674
- 2063. Dominic Ongwen then got on top of her and had sex with her. ⁵⁶⁷⁵ She had no choice. ⁵⁶⁷⁶ P-0236 remembered thinking 'if I had not been abducted I would I would by now I would still be a virgin, I would still not have had sexual relations with a man'. ⁵⁶⁷⁷

ii Subsequent sexual encounters

2064. None of these women were subjected to sexual violence merely on one single occasion. Rather this was the beginning of a pattern of sexual violence which the seven women repeatedly endured until their escape. When P-0236 was asked if these subsequent sexual experiences were significantly different than the first one she described, she replied '[n]o, there was no difference. It was all the same thing'. 5679

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<sup>5669</sup> P-0235: T-17, p. 32, lines 11-20.

<sup>5670</sup> P-0235: T-17, p. 32, lines 21-24, p. 33, line 20 – p. 34, line 3.

<sup>5671</sup> P-0235: T-17, p. 34, lines 7-10.

<sup>5672</sup> P-0236: T-16, p. 20, line 16 – p. 21, line 3.

<sup>5673</sup> P-0236: T-16, p. 20, line 25 – p. 21, line 3.

<sup>5674</sup> P-0236: T-16, p. 23, lines 14-25.

<sup>5675</sup> P-0236: T-16, p. 21, lines 7-10.

<sup>5676</sup> P-0236: T-16, p. 21, lines 13-21.

<sup>5677</sup> P-0236: T-16, p. 21, lines 18-21.

<sup>5678</sup> P-0101: T-13, p. 19, line 9 – p. 21, line 10; P-0214: T-15, p. 25, lines 15-21, p. 27, line 19 – p. 28, line 3; P-0226: T-8, p. 44, line 11 – p. 46, line 10; P-0227: T-10, p. 42, lines 5-11; P-0235: T-17, p. 36, lines 4-14; P-0236: T-16, p. 24, lines 17-22.
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- 2065. When Dominic Ongwen was with his so-called 'wives', he had sex with them in a kind of rotation. Ferror P-0226 described this process in some detail. Dominic Ongwen would call one of his so-called 'wives' or send his escorts to get them and the selected 'wife' would then go to his house that night. P-0226 said that she had no right to refuse when Dominic Ongwen wanted to have sex. P-0226 estimated it would be her turn '[a]fter a week or after a month, because there were many wives. P-0226 explained how Dominic Ongwen kept having sex with her up until her escape and even when her vagina was injured from prior incidents.
- 2066. During her testimony, P-0236 briefly indicated that she sometimes refused to have sex with Dominic Ongwen and nothing happened to her. The Chamber sees no reason to doubt this evidence. However, for purposes of establishing the patterns of sexual violence as charged which are established throughout this sub-section it is immaterial if Dominic Ongwen declined to commit even further acts of sexual violence. S687
- 2067. By considering the timing of each woman's first sexual encounter and the date of their escape or release from the LRA, ⁵⁶⁸⁸ the Chamber can determine who was a victim of this pattern of sexual violence within the Court's jurisdiction and the scope of the charges. Following this method, it is clear that four of these seven women P-0101, P-0214, P-0226 and P-0227 were victims of sexual violence in Northern Uganda during the time relevant to the charges.
- 2068. That such a pattern of sexual violence existed during the relevant period is further established by the fact that Dominic Ongwen fathered at least 13 children with the seven

⁵⁶⁸⁰ P-0226: T-8-CONF, p. 44, line 11 – p. 46, line 10, p. 54, line 9 – p. 55, line 10; P-0236: <u>T-16</u>, p. 24, lines 17-22; P-0099: <u>T-14</u>, p. 31, lines 11-18; P-0205: <u>T-51</u>, p. 69, line 11 – p. 70, line 2; P-0379: <u>T-57</u>, p. 46, line 24 – p. 47, line 5 ('[s]o Dominic's sleeping place is usually set aside and usually there is a tent which is set for the girls and some mothers. Because in one day Dominic would not sleep with all his wives, so these other ones would sleep in that one big tent.'). But *see* P-0227: <u>T-11</u>, p. 28, lines 13-16 (not wanting to describe it as a rotation, but acknowledging that it was Dominic Ongwen 'who called someone he wished to sleep with that day').

⁵⁶⁸¹ P-0226: T-8, p. 44, line 11 – p. 46, line 10.

⁵⁶⁸² P-0226: <u>T-8</u>, p. 45, lines 11-23.

⁵⁶⁸³ P-0226: <u>T-8</u>, p. 45, lines 22-23.

⁵⁶⁸⁴ P-0226: <u>T-9</u>, p. 6, lines 14-16.

⁵⁶⁸⁵ P-0226: <u>T-9</u>, p. 6, line 10 – p. 7, line 16.

⁵⁶⁸⁶ P-0236: T-16, p. 42, lines 21-23.

⁵⁶⁸⁷ In this regard, the question/answer immediately before P-0236 made the statement in question is revealing. P-0236: <u>T-16</u>, p. 42, lines 9-11 ('[a]fter you became Dominic's wife, were you allowed to say no to sexual intercourse? A. No, I wasn't allowed to say no.').

⁵⁶⁸⁸ Sub- section IV.C.10.iii below.

so-called 'wives'. In addition to the seven women's testimony, the Prosecution presented the testimony of forensic reporting expert Ate Kloosterman. For Professor Kloosterman performed a DNA kinship analysis of children imputed to have been fathered by Dominic Ongwen. The Chamber is fully satisfied that Professor Kloosterman is qualified to perform these tests and did so accurately. As particular proof of the reliability of Professor Kloosterman's work, the Chamber notes that Professor Kloosterman's testing reveals that Dominic Ongwen fathered all children whom the parties agree he did. For Professor Kloosterman's testing reveals that Dominic Ongwen fathered all children whom the parties agree he did.

2069.P-0101 became pregnant and gave birth to a girl fathered by Dominic Ongwen sometime between July 2002 and July 2004. Foliation 1 2004, P-0101 became pregnant and gave birth to a boy fathered by Dominic Ongwen. Around December 2005, P-0214 gave birth to a girl fathered by Dominic Ongwen. The charge of forced pregnancy as presented by the Prosecution is limited to these three pregnancies.

2070. In addition, the Chamber notes that, while 10 of the 13 children fathered by Dominic Ongwen were born outside the period relevant to the charges, they further support the existence of a pattern of sexual violence with which Dominic Ongwen is charged, as well as, more generally, the Chamber's conclusions in respect to the facts of the charges. The Chamber notes in this regard that: in June 2002, P-0099 gave birth to a boy fathered by Dominic Ongwen; around 1999, P-0101 gave birth to a girl fathered by Dominic Ongwen; at some point after the period of time relevant to the charges and before her escape in 2010, P-0227 gave birth to a boy fathered by Dominic Ongwen; for in 2007 and 2009, respectively, P-0214 gave birth to two more children fathered by Dominic

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⁵⁶⁸⁹ P-0414: <u>T-137</u>; P-0414 First Report, UGA-OTP-0278-0529; P-0414 Second Report, UGA-OTP-0265-0106; P-0414 Third Report, UGA-OTP-0267-0160. P-0414's reports contain various numbers, but the expert indicates that the most important data is the 'posterior probability'. P-0414: <u>T-137</u>, p. 10, line 12 – p. 11, line 11. For all children fathered by Dominic Ongwen this posterior probability of paternity is 99.99%.

⁵⁶⁹⁰ Agreed Facts, C2-C4; P-0414 First Report, UGA-OTP-0278-0529, at 0536; P-0414 Second Report, UGA-OTP-0265-0106, at 0115-16; P-0414 Third Report, UGA-OTP-0267-0160, at 0169.

⁵⁶⁹¹ Agreed Facts, C2.

⁵⁶⁹² Agreed Facts, C3.

⁵⁶⁹³ Agreed Facts, C4.

⁵⁶⁹⁴ P-0099: T-14-CONF, p. 42, lines 11-25; P-0414 Second Report, UGA-OTP-0265-0106, at 0116.

⁵⁶⁹⁵ P-0101 GUSCO rehabilitation centre file, UGA-OTP-0100-0383, at 0384; P-0101: T-13-CONF, p. 43, lines 1-22 (describing this daughter as P-0101's older child); P-0414 Second Report, UGA-OTP-0265-0106, at 0115. ⁵⁶⁹⁶ P-0227: T-10-CONF, p. 53, lines 18-21, p. 55, lines 16-19; <u>T-11</u>, p. 20, line 21 – p. 21, line 2; P-0214 Statement, UGA-OTP-0234-0026-R01, at para. 105; P-0414 First Report, UGA-OTP-0278-0529, at 0534.

Ongwen;⁵⁶⁹⁷ in late 2007, P-0235 gave birth to a girl fathered by Dominic Ongwen;⁵⁶⁹⁸ in 2010, P-0235 gave birth to a boy fathered by Dominic Ongwen;⁵⁶⁹⁹ in late 2010, P-0236 gave birth to a boy fathered by Dominic Ongwen;⁵⁷⁰⁰ in 2014, P-0235 gave birth to a boy fathered by Dominic Ongwen;⁵⁷⁰¹ and in 2014, P-0236 gave birth to a boy fathered by Dominic Ongwen.⁵⁷⁰²

b. Beatings

The seven women were subjected to beating at Dominic Ongwen's command at any time. They were hit with canes and sticks. Some beatings knocked them unconscious, left them unable to walk and left permanent scars.⁵⁷⁰³

- 2071. The seven so-called 'wives' lived under threat of being beaten. In P-0236's words: '[i]f there is anything wrong, you're beaten. If you do anything wrong, you are beaten'. ⁵⁷⁰⁴ Many such beatings are described in this sub-section. They serve to further establish the coercive environment these women lived in. Beatings also underlie specific charges concerning P-0101, P-0214 and P-0226.
- 2072. P-0099 and another woman refused to cook for Dominic Ongwen or go to the garden. ⁵⁷⁰⁵ Dominic Ongwen called his escorts and instructed them to beat them, which they then did. ⁵⁷⁰⁶
- 2073. When describing the conditions of her stay with Dominic Ongwen, P-0101 confirmed that she was beaten by Dominic Ongwen multiple times for refusing to have sex with him ⁵⁷⁰⁷

 $^{^{5697}}$ P-0214: $\underline{\text{T-15}}$, p. 29, line 10 - p. 30, line 5 (speaking also of a pregnancy in the timeframe relevant to the charges in the present case and of a fourth pregnancy which ended in a miscarriage).

⁵⁶⁹⁸ P-0235: T-17-CONF, p. 37, lines 6-20; P-0414 Third Report, UGA-OTP-0267-0160, at 0169.

⁵⁶⁹⁹ P-0235: T-17-CONF, p. 37, lines 21-24; P-0414 First Report, UGA-OTP-0278-0529, at 0537.

⁵⁷⁰⁰ P-0236: T-16-CONF, p. 24, line 23 – p. 25, line 8; P-0414 Third Report, UGA-OTP-0267-0160, at 0169-70. ⁵⁷⁰¹ P-0235: T-17-CONF, p. 37, line 25 – p. 38, line 5; P-0235 Statement, UGA-OTP-0240-0003-R01, at para.

^{156;} P-0414 First Report, UGA-OTP-0278-0529, at 0538. This child's name is not spelled consistently across the evidence, but it is nevertheless clear that P-0235 had two sons with Dominic Ongwen.

⁵⁷⁰² P-0236: T-16-CONF, p. 25, lines 9-19; P-0414 Third Report, UGA-OTP-0267-0160, at 0170.

⁵⁷⁰³ Para. 208 above.

⁵⁷⁰⁴ P-0236: <u>T-16</u>, p. 13, lines 14-18. *See* similarly P-0226: <u>T-8</u>, p. 47, lines 1-5 (failing to follow Dominic Ongwen's instructions would lead to being badly beaten or killed).

⁵⁷⁰⁵ P-0099: <u>T-14</u>, p. 40, line 4 – p. 41, line 2.

⁵⁷⁰⁶ P-0099: <u>T-14</u>, p. 40, line 4 – p. 41, line 2.

⁵⁷⁰⁷ P-0101: <u>T-13</u>, p. 21, lines 2-10.

- 2074.P-0214 never testified to being beaten by Dominic Ongwen, but she also was never squarely asked this question. P-0235 recalls an incident when P-0214 was beaten in Uganda when two months pregnant.⁵⁷⁰⁸ Given that P-0214's evidence indicates that she was only in Uganda while pregnant for the first time, while all her other pregnancies occurred outside Uganda,⁵⁷⁰⁹ the Chamber concludes that the incident described by P-0235 took place during P-0214's first pregnancy in 2005.
- 2075.P-0226 described many beatings at Dominic Ongwen's command, saying at one point 'he was always beating me'. ⁵⁷¹⁰ P-0226 also said that Dominic Ongwen 'liked beating people and he liked punishing people'. ⁵⁷¹¹ In addition to beatings surrounding her first forcible sexual encounter, ⁵⁷¹² P-0226 describes an incident whereby Dominic Ongwen ordered his escort to beat her after hearing that she had 'eased [her]self' in nearby water. ⁵⁷¹³ Dominic Ongwen watched as P-0226 was beaten with long sticks to unconsciousness. ⁵⁷¹⁴
- 2076.P-0226 described a further beating at Dominic Ongwen's command in some detail. Two of Dominic Ongwen's escorts aged 13-16 years old liked P-0226 and P-0235.⁵⁷¹⁵ These escorts would bring the women mementos back from battle.⁵⁷¹⁶ On one occasion, these escorts were playing with a bag P-0226 was tasked to take somewhere.⁵⁷¹⁷ Dominic Ongwen saw this happening and told P-0226 to '[w]ait for your canes. Wait for your beatings'.⁵⁷¹⁸ Dominic Ongwen then directed a third escort to beat P-0226.⁵⁷¹⁹ P-0226 grabbed this escort and threw him to the ground.⁵⁷²⁰ Dominic Ongwen then beat up this person, who afterwards beat P-0226 'really badly'.⁵⁷²¹ P-0226 explained that if a

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<sup>5708</sup> P-0235: T-17-CONF, p. 43, line 23 – p. 44, line 14; para. 2070, n. 5697 above.

<sup>5709</sup> P-0214: <u>T-15</u>, p. 28, lines 4-8, p. 28, line 23 – p. 30, line 5, p. 34, line 12 – p. 35, line 4. See also P-0214
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Statement, UGA-OTP-0234-0026-R01, at paras 83-87.

⁵⁷¹⁰ P-0226: <u>T-8</u>, p. 44, line 25 – p. 45, line 2.

⁵⁷¹¹ P-0226: <u>T-9</u>, p. 25, lines 21-23.

⁵⁷¹² Para. 2052 above.

⁵⁷¹³ P-0226: <u>T-9</u>, p. 5, line 10 – p. 6, line 1; P-0379: T-57-CONF, p. 40, line 5 – p. 42, line 11, p. 43, line 20 – p. 44, line 4.

⁵⁷¹⁴ P-0226: <u>T-9</u>, p. 5, line 10 – p. 6, line 1.

⁵⁷¹⁵ P-0226: T-8-CONF, p. 49, lines 10-17, p. 51, line 6 – p. 53, line 20.

⁵⁷¹⁶ P-0226: <u>T-8</u>, p. 52, lines 13-21.

⁵⁷¹⁷ P-0226: T-8, p. 52, lines 1-8.

⁵⁷¹⁸ P-0226: <u>T-8</u>, p. 52, lines 1-8.

⁵⁷¹⁹ P-0226: T-8, p. 52, lines 1-8.

⁵⁷²⁰ P-0226: <u>T-8</u>, p. 52, lines 1-8.

⁵⁷²¹ P-0226: <u>T-8</u>, p. 52, lines 1-8.

commander's so-called 'wife' were believed to show interest in another man who is not her husband, '[t]hey would kill the both of us'. 5722

- 2077.P-0226 also describes an incident when Dominic Ongwen beat her personally. This incident must have occurred after September 2002, as it concerns P-0226's cooking duties she only assumed upon becoming Dominic Ongwen's so-called 'wife'. ⁵⁷²³ As described by P-0226: '[t]he way I prepared some food, and the food which remained I gave to the women with whom he used to eat. When he realised that, he beat me so badly 'til I was unconscious.' ⁵⁷²⁴
- 2078.P-0227 described a beating when, after having difficulty sleeping, she went to spend some time with the so-called 'wife' of another commander. Dominic Ongwen started asking where she was and sent P-0235 to look for her. P-0227 heard the commotion and hurried back home, but it was thought she was being cunning and intending to escape. Dominic Ongwen ordered two of his soldiers to get sticks to beat P-0227. Initially, P-0227 was not being beaten very hard. Dominic Ongwen then asked his soldiers 'why aren't you using more force while hitting [P-0227]? Are you interested in her? The soldiers then beat her harder as Dominic Ongwen went off to have his bath. The beating continued for a long time until another soldier stopped it. The soldier stopped it.

2079. P-0235 and P-0236 were also beaten following this incident because it was perceived that they allowed P-0227 to try to escape. ⁵⁷³⁵ Two of Dominic Ongwen's escorts beat them

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5722 P-0226: T-8, p. 53, lines 19-21.
5723 P-0226: T-9, p. 6, lines 2-9. See also T-8, p. 36, lines 14-16 (description of duties as ting ting did not include cooking).
5724 P-0226: T-9, p. 6, lines 2-9.
5725 P-0227: T-10-CONF, p. 54, line 2 – p. 55, line 15.
5726 P-0227: T-10-CONF, p. 54, lines 18-19.
5727 P-0227: T-10-CONF, p. 54, lines 21-24; P-0236: T-16-CONF, p. 44, lines 5-12.
5728 P-0227: T-10, p. 54, lines 24-25.
5729 P-0227: T-10, p. 55, lines 1-2.
5730 P-0227: T-10, p. 55, lines 3.
5731 P-0227: T-10-CONF, p. 55, lines 3-6.
5732 P-0227: T-10-CONF, p. 55, lines 5-6.
5733 P-0227: T-10, p. 55, lines 7-15.
5734 P-0227: T-10, p. 55, lines 7-15.
5735 P-0235: T-17-CONF, p. 41, line 13 – p. 42, line 13.
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both with canes. ⁵⁷³⁶ P-0235 also says that P-0227 was not beaten following this incident, ⁵⁷³⁷ but the Chamber considers her to be mistaken on this point. The evidence does not suggest that P-0227 and P-0235 were beaten by the same people, so P-0235 would not necessarily know with certainty what happened to P-0227. It also follows that if Dominic Ongwen wanted to punish P-0235 and P-0236 for P-0227's perceived escape attempt, then P-0227 would be beaten also (even more so). From P-0227's account, this is exactly what happened to her. On this isolated discrepancy, the Chamber considers P-0227 and not P-0235 best placed to know what really happened.

2080, P-0235 describes other times she was beaten. She testified to a beating in Sudan when P-0227, another so-called 'wife' and P-0235 were personally caned by Dominic Ongwen for failing to properly make his bed. This resulted in P-0235 getting a scar on her breast. P-0235 was also beaten severely for an unsuccessful escape attempt, in Dominic Ongwen's presence. P-0235

2081.P-0236 speaks of other beatings as well, such as during her initiation into the LRA and another occasion when Dominic Ongwen instructed others to cane her five times for being dirty.⁵⁷⁴¹

c. Forced labour

The seven women had to perform different domestic duties, including cooking, working in the garden, doing laundry, fetching and chopping wood, carrying Dominic Ongwen's

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⁵⁷³⁶ P-0235: T-17-CONF, p. 41, line 13 – p. 42, line 13.

⁵⁷³⁷ P-0235: T-17-CONF, p. 41, lines 13-18.

⁵⁷³⁸ P-0235: T-17-CONF, p. 42, line 14 – p. 43, line 22.

⁵⁷³⁹ P-0235: T-17-CONF, p. 42, line 14 – p. 43, line 22.

 $^{^{5740}}$ P-0235: $\underline{\text{T-}17}$, p. 10, line 11 - p. 11, line 8. P-0352 remembers the unsuccessful escape attempt, but says that P-0235 was neither punished nor killed. P-0352: $\underline{\text{T-}67}$, p. 17, line 25 - p. 18, line 22, p. 61, lines 5-15. P-0235 is clearly better placed to know what she personally experienced after the unsuccessful escape attempt and P-0352 gives the impression that she and P-0235 were not particularly close. P-0352: $\underline{\text{T-}68}$, p. 9, lines 5-20. Given the voluminous evidence of the LRA's ruthlessness with attempted escapees, the Chamber considers P-0235's account to be believable and P-0352 to be unreliable on this discrete point.

⁵⁷⁴¹ P-0236: <u>T-16</u>, p. 12, line 18 – p. 13, line 13.

dishes, fetching water, washing, nursing Dominic Ongwen when he was injured and taking things to him. Failing to perform these tasks led to punishment by beating.⁵⁷⁴²

2082. In the course of their time in Dominic Ongwen's household, the seven women had to perform domestic duties. These duties included cooking, ⁵⁷⁴³ working in the garden, ⁵⁷⁴⁴ doing laundry, ⁵⁷⁴⁵ fetching and chopping wood, ⁵⁷⁴⁶ carrying Dominic Ongwen's dishes, ⁵⁷⁴⁷ fetching water, ⁵⁷⁴⁸ washing, ⁵⁷⁴⁹ cutting grass, ⁵⁷⁵⁰ nursing Dominic Ongwen when he was injured ⁵⁷⁵¹ and taking things to him. ⁵⁷⁵² Failing to sufficiently perform these tasks led to punitive beatings, as developed in the previous sub-section. ⁵⁷⁵³

d. Orders to beat others to death

i P-0226

On 1 July 2002, Dominic Ongwen forced P-0226 to beat to death a captured UPDF soldier near Patongo, Northern Uganda. P-0226 hit him once, as did other girls. She had blood splattered on her clothes. P-0226 had never killed anyone before, and this was part of the reason given by Dominic Ongwen on why he selected her to do this. This experience caused her severe anguish. 5754

2083.P-0226 was present at an attack in Patongo. ⁵⁷⁵⁵ As discussed in a previous section, this attack occurred on 1 July 2002. ⁵⁷⁵⁶ Dominic Ongwen and Charles Tabuley led this attack, which started around five in the morning. ⁵⁷⁵⁷ The LRA surprised the sleeping government soldiers, who ultimately ran away. ⁵⁷⁵⁸ The LRA then found the government

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<sup>5742</sup> Para. 208 above.
<sup>5743</sup> P-0099: <u>T-14</u>, p. 40, line 4 – p. 41, line 2; P-0101: <u>T-13</u>, p. 38, lines 1-17; P-0214: T-15-CONF, p. 18, lines
11-22 (describing P-0226), p. 20, lines 1-11, p. 27, lines 1-2; P-0226: <u>T-8</u>, p. 44, lines 19-22, p. 46, line 11 – p.
47, line 5; P-0227: T-11, p. 10, line 22 – p. 11, line 16 (describing cooking from 'wives' other than herself); P-
0235: <u>T-17</u>, p. 30, lines 10-16; P-0236: <u>T-16</u>, p. 14, lines 1-19.
<sup>5744</sup> P-0099: T-14, p. 40, line 4 – p. 41, line 2.
<sup>5745</sup> P-0099: T-14, p. 40, line 4 – p. 41, line 2; P-0235: T-17, p. 30, lines 10-16; P-0236: T-16, p. 14, lines 9-19.
<sup>5746</sup> P-0101: <u>T-13</u>, p. 38, lines 14-17; P-0227: <u>T-10</u>, p. 34, lines 14-24; <u>T-11</u>, p. 10, line 22 – p. 11, line 16; P-
0235: T-17, p. 11, lines 9-19.
<sup>5747</sup> P-0226: <u>T-8</u>, p. 44, lines 19-22, p. 46, line 11 – p. 47, line 5.
<sup>5748</sup> P-0227: T-10, p. 34, lines 14-24; T-11, p. 10, line 22 – p. 11, line 16; P-0235: T-17, p. 11, lines 9-19.
<sup>5749</sup> P-0214: <u>T-15</u>, p. 20, lines 1-11, p. 27, lines 1-2; P-0236: <u>T-16</u>, p. 14, lines 1-19.
<sup>5750</sup> P-0227: <u>T-10</u>, p. 34, lines 14-24.
<sup>5751</sup> P-0214: T-15, p. 20, lines 1-11, p. 27, lines 1-2.
<sup>5752</sup> P-0235: <u>T-17</u>, p. 30, lines 10-16.
<sup>5753</sup> Sub-section IV.C.10.ii.b above.
<sup>5754</sup> Para. 209 above.
<sup>5755</sup> P-0226: T-8, p. 56, lines 21-24. See also T-8, p. 56, line 21 – p. 59, line 8; P-0205: T-47, p. 19, line 21 – p.
21, line 6.
<sup>5756</sup> See para. 1159 above.
<sup>5757</sup> P-0226: <u>T-8</u>, p. 57, lines 11-16; P-0205: <u>T-47</u>, p. 19, line 21 – p. 21, line 6.
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⁵⁷⁵⁸ P-0226: <u>T-8</u>, p. 56, line 21 – p. 57, line 23; P-0205: <u>T-47</u>, p. 19, line 21 – p. 21, line 6.

commander sleeping in his room and captured him.⁵⁷⁵⁹ The LRA started questioning the captured soldier and hitting him with the butt of their guns.⁵⁷⁶⁰ The LRA then left the camp with him after tying his hands.⁵⁷⁶¹

2084. Around two in the afternoon, the group had stopped and P-0226 went to collect firewood. ⁵⁷⁶² Dominic Ongwen's escorts told her to come back quickly. ⁵⁷⁶³ P-0226 said she was sent back to kill the abducted soldier. ⁵⁷⁶⁴ As Dominic Ongwen and the other commander watched from chairs, a number of girls – P-0226 included – were selected and given a heavy stick with which to beat the soldier in turn. ⁵⁷⁶⁵ Dominic Ongwen said that if anyone refused to beat the soldier then they would be killed like the soldier. ⁵⁷⁶⁶ P-0226 hit him once, as did other girls. ⁵⁷⁶⁷ She had blood splattered on her clothes. ⁵⁷⁶⁸ The captured soldier was beaten to death. ⁵⁷⁶⁹ P-0226 had never killed anyone before, and this was part of the reason given by Dominic Ongwen on why he selected her to do this. ⁵⁷⁷⁰ At the time, P-0226 was 12 years old. ⁵⁷⁷¹

ii P-0235

In late 2002 or early 2003 in Northern Uganda, soon after P-0235's abduction, Dominic Ongwen ordered her to, along with other abductees, beat people to death until their blood splashed on the abductees. Although she eventually did not have to carry out the killings, this experience caused her severe anguish.⁵⁷⁷²

2085. Soon after P-0235's abduction, two men who escaped from the Sudan were captured. ⁵⁷⁷³ Dominic Ongwen ordered P-0235 and another girl to kill them. ⁵⁷⁷⁴ Dominic Ongwen said P-0235 and the other girl should go and beat these people until they had their blood

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<sup>5759</sup> P-0226: <u>T-8</u>, p. 57, line 24 – p. 58, line 24.
<sup>5760</sup> P-0226: <u>T-8</u>, p. 57, lines 15-24.
<sup>5761</sup> P-0226: <u>T-8</u>, p. 57, lines 15-24.
<sup>5762</sup> P-0226: <u>T-8</u>, p. 58, line 22 – p. 59, line 6.
<sup>5763</sup> P-0226: T-8, p. 58, line 23 – p. 59, line 6.
<sup>5764</sup> P-0226: \overline{\text{T-8}}, p. 58, line 25 – p. 59, line 8; P-0205: \overline{\text{T-47}}, p. 19, line 21 – p. 21, line 5.
<sup>5765</sup> P-0226: <u>T-8</u>, p. 62, lines 9-19. See also p. 62, line 9 – p. 63, line 22.
<sup>5766</sup> P-0226: <u>T-8</u>, p. 63, lines 20-22; <u>T-9</u>, p. 60, lines 19-25 ('[t]he reason why I did accept was because I was
forced to kill. I had no choice. I had no interest in killing anyone.').
<sup>5767</sup> P-0226: <u>T-8</u>, p. 63, lines 4-15.
<sup>5768</sup> P-0226: <u>T-8</u>, p. 63, lines 4-15.
<sup>5769</sup> P-0226: T-8, p. 63, lines 4-15; P-0205: T-47, p. 19, line 21 – p. 21, line 6.
<sup>5770</sup> P-0226: <u>T-8</u>, p. 64, lines 6-21.
<sup>5771</sup> P-0226: <u>T-8</u>, p. 43, lines 10-14.
<sup>5772</sup> Para. 210 above.
<sup>5773</sup> P-0235: <u>T-17</u>, p. 23, line 23 – p. 24, line 3. See also p. 23, line 19 – p. 25, line 20.
<sup>5774</sup> P-0235: <u>T-17</u>, p. 23, line 23 – p. 24, line 25.
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splashed on them.⁵⁷⁷⁵ P-0235 and the other girl started to cry.⁵⁷⁷⁶ P-0235 explained: 'I was crying because it was so painful to kill and I was not able to do that, and also ever since I was at home I used not to see anyone being killed. I have never seen any dead person, so that's why I was crying because it was too painful for me and it was horrifying'.⁵⁷⁷⁷ P-0235 and the other girl were ultimately told they did not have to go through with the order.⁵⁷⁷⁸ Other new abductees killed the two men instead.⁵⁷⁷⁹

iii. End of captivity

a. P-0099

From 1 July 2002 until her escape in September 2002, P-0099 was in Uganda. She was placed by Dominic Ongwen in a sickbay where she continued her life under identical circumstances. ⁵⁷⁸⁰

2086.In September 2002, and after over four years in the bush, P-0099 had been sent by Dominic Ongwen to the LRA sickbay.⁵⁷⁸¹ P-0099 had been sent to collect food with her three month old child.⁵⁷⁸² The child was extremely weak at this time.⁵⁷⁸³ P-0099 decided to try and escape doing the food collection, and as soon as she reached a civilian homestead she did so.⁵⁷⁸⁴ She was then taken to the government barracks, and soon after to GUSCO.⁵⁷⁸⁵ While at GUSCO her child started being fed formula, and P-0099 became able to breastfeed the child shortly thereafter.⁵⁷⁸⁶

2087. The evidence further suggests that, although P-0099 was in Uganda from 1 July 2002 until her September 2002 escape, Dominic Ongwen was not with her. ⁵⁷⁸⁷ However, she

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5776 P-0235: T-17, p. 23, line 23 – p. 24, line 3.

5777 P-0235: T-17, p. 25, lines 15-20.

5778 P-0235: T-17, p. 23, line 23 – p. 24, line 3.

5779 P-0235: T-17, p. 23, line 23 – p. 24, line 3, p. 25, lines 1-14.

5780 Para. 211 above.

5781 P-0099: T-14, p. 44, lines 8-16, p. 47, line 11 – p. 48, line 10.

5782 P-0099: T-14, p. 47, line 11 – p. 48, line 10.

5783 P-0099: T-14, p. 47, line 11 – p. 48, line 10.

5784 P-0099: T-14, p. 47, line 11 – p. 48, line 10.
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⁵⁷⁷⁵ P-0235: <u>T-17</u>, p. 24, lines 13-25.

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⁵⁷⁸⁵ P-0099: <u>T-14</u>, p. 47, line 11 – p. 48, line 10; P-0099 GUSCO rehabilitation centre file, UGA-OTP-0114-0211 (dated 23 September 2002).

⁵⁷⁸⁶ P-0099: <u>T-14</u>, p. 47, line 11 – p. 48, line 10.

⁵⁷⁸⁷ P-0099: <u>T-14</u>, p. 44, lines 2-23, p. 47, lines 11-21, p. 49, lines 10-16 (was initially with Dominic Ongwen when they left for Sudan in May 2002, but then he separated two days before their child was born and was in Kitgum when she escaped).

continued in the role of Dominic Ongwen's so-called 'wife' during this period and lived in the same coercive environment.⁵⁷⁸⁸

b P-0101

P-0101 was released from the LRA in July 2004.⁵⁷⁸⁹

2088. In July 2004, P-0101's group came under fire from two government gunships.⁵⁷⁹⁰ P-0101 was injured.⁵⁷⁹¹ Her one year old daughter was shot and taken by government soldiers.⁵⁷⁹² P-0101 was scared to escape, remembering others who were killed by the LRA for attempting to do so.⁵⁷⁹³ But she decided that she could not stay in the bush without her young child and decided to come home.⁵⁷⁹⁴ P-0101 left shortly thereafter, ending her eight years in the bush.⁵⁷⁹⁵ It is unclear exactly how she left the LRA, but evidence suggests that Dominic Ongwen released her after the government soldiers took her child.⁵⁷⁹⁶

c. P-0214 and P-0227

P-0214 and P-0227 escaped in 2010 while in the DRC.5797

2089. One day in 2010 in the DRC, Dominic Ongwen's group came under heavy fire from progovernment forces. The LRA fled, and at one point Dominic Ongwen directed P-0214, P-0227 and their accompanying children to branch off from the rest of the group. Once all the soldiers had gone past them, P-0214 and P-0227 walked until they got to a

⁵⁷⁸⁸ P-0099: <u>T-14</u>, p. 44, line 24 – p. 45, line 24.

⁵⁷⁸⁹ Para. 211 above.

⁵⁷⁹⁰ P-0101: <u>T-13</u>, p. 43, line 1 – p. 44, line 17; Radio Show Transcript, UGA-OTP-0248-0002-R01, at 0003-05. *See also* P-0101: T-13-CONF, p. 7, lines 17-22, p. 10, line 17 – p. 12, line 19.

⁵⁷⁹¹ P-0101: T-13, p. 44, lines 1-7; Radio Show Transcript, UGA-OTP-0248-0002-R01, at 0003-05.

⁵⁷⁹² P-0101: <u>T-13</u>, p. 43, lines 4-5, 16-22.

⁵⁷⁹³ P-0101: <u>T-13</u>, p. 44, lines 8-17; Radio Show Transcript, UGA-OTP-0248-0002-R01, at 0003-05.

⁵⁷⁹⁴ P-0101: T-13, p. 43, lines 1-10; Radio Show Transcript, UGA-OTP-0248-0002-R01, at 0003-05.

 $[\]frac{5795}{P}$ P-0101: $\frac{T-13}{P}$, p. 8, lines 23-25, p. 43, line 1 – p. 44, line 17; Radio Show Transcript, UGA-OTP-0248-0002-R01, at 0003-05.

⁵⁷⁹⁶ See Radio Show Transcript, UGA-OTP-0248-0002-R01, at 0007.

⁵⁷⁹⁷ Para. 211 above.

⁵⁷⁹⁸ P-0227: T-10-CONF, p. 57, lines 16-23. *See also* T-10-CONF, p. 57, line 16 – p. 59, line 12; P-0214 Statement, UGA-OTP-0234-0026-R01, at paras 104-06. *See also* P-0227 GUSCO rehabilitation centre file, UGA-OTP-0233-0730, at 0731 (dated 2010).

⁵⁷⁹⁹ P-0227: T-10-CONF, p. 58, lines 13-21; P-0214 Statement, UGA-OTP-0234-0026-R01, at paras 104-06.

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number of homesteads and stopped.⁵⁸⁰⁰ They were eventually taken back to Uganda.⁵⁸⁰¹ P-0227 had spent around five years in the bush. For P-0214, it was around 10 years.

2090. When asked how she felt about what Dominic Ongwen did to her in the bush, P-0227 responded a follows:

When I think about it, it pains me because at the time I was a student, my education was interrupted. Right now I have sinus problems. At that time I did not have any problems. I got all of these problems from the bush. I did not have those problems at the time. And it really pains me. I feel - I feel bad for my life. 5802

d. P-0226

P-0226 was captured by government soldiers in 2003 in Koch. 5803

2091. At one point in 2003, P-0226 was in Koch. ⁵⁸⁰⁴ Her LRA group was being followed by soldiers and helicopters. ⁵⁸⁰⁵ P-0226 was hit by fire and, heavily injured, fell to the ground. ⁵⁸⁰⁶ She got up and started running towards the forest. ⁵⁸⁰⁷ She then fell in the forest and was unconscious for a while. ⁵⁸⁰⁸ P-0226 awoke next to an unexploded bomb and a big snake. ⁵⁸⁰⁹ She walked out of the forest and found a line of corpses. ⁵⁸¹⁰ She continued walking until she could overhear government soldiers talking. ⁵⁸¹¹ She heard one of them say '[i]f I find anyone alive among them, I will rape them until they die'. ⁵⁸¹² P-0226 then regained enough strength to run and hide in a banana plantation. ⁵⁸¹³ One of the government soldiers went to collect bananas. ⁵⁸¹⁴ P-0226 was extremely scared and

 $^{^{5800}}$ P-0227: T-10-CONF, p. 58, line 22 – p. 59, line 5; P-0214 Statement, UGA-OTP-0234-0026-R01, at paras 107-14.

⁵⁸⁰¹ P-0227: T-10-CONF, p. 59, lines 6-12; P-0214 Statement, UGA-OTP-0234-0026-R01, at paras 114-15.

⁵⁸⁰² P-0227: T-10, p. 61, line 23 – p. 62, line 2.

⁵⁸⁰³ Para. 211 above.

⁵⁸⁰⁴ P-0226: <u>T-8</u>, p. 13, lines 6-8 (returned in 2003), p. 66, lines 16-25. *See also* p. 66, line 16 – p. 69, line 24.

⁵⁸⁰⁵ P-0226: <u>T-8</u>, p. 67, lines 1-9.

⁵⁸⁰⁶ P-0226: <u>T-8</u>, p. 67, lines 1-9; P-0214: T-15-CONF, p. 20, lines 19-23; P-0309: <u>T-61</u>, p. 39, line 25 – p. 40, line 21. P-0205 recalls P-0226 suffering this same injury, but remembers P-0226 being present at Lukodi and thought that her injury was suffered after this mid-2004 attack. P-0205: T-49-CONF, p. 72, line 15 – p. 73, line 16. P-0226 never mentions Lukodi and, given the distant events being recalled and how P-0226 is best placed to remember the year of her own escape, the Chamber considers P-0205 to simply be mistaken on this point.

⁵⁸⁰⁷ P-0226: <u>T-8</u>, p. 67, lines 7-9.

⁵⁸⁰⁸ P-0226: <u>T-8</u>, p. 67, lines 7-9.

⁵⁸⁰⁹ P-0226: <u>T-8</u>, p. 67, lines 10-25.

⁵⁸¹⁰ P-0226: <u>T-8</u>, p. 68, lines 8-17.

⁵⁸¹¹ P-0226: <u>T-8</u>, p. 68, line 18 – p. 69, line 1.

⁵⁸¹² P-0226: T-8, p. 68, lines 20-21.

⁵⁸¹³ P-0226: <u>T-8</u>, p. 68, lines 22-23.

⁵⁸¹⁴ P-0226: <u>T-8</u>, p. 68, line 22 – p. 69, line 1.

breathing heavily, but the soldier did not see her.⁵⁸¹⁵ P-0226 soon moved and, evading government soldiers along the way, walked in the direction she thought the LRA was.⁵⁸¹⁶ Eventually she started walking towards a nearby road and encountered the government soldiers.⁵⁸¹⁷ They started asking her questions, but she could not answer them due to her injuries.⁵⁸¹⁸ She was then given medical attention and brought to the Gulu government barracks.⁵⁸¹⁹ She had spent around 5 years with the LRA.

e. P-0235 and P-0236

P-0235 and P-0236 were released from the LRA in April 2015. 5820

2092. In September 2014, Dominic Ongwen told P-0235 and P-0236 that he was going to see Joseph Kony and left them with another commander. They never saw him again, as Dominic Ongwen left the LRA in January of 2015. In April 2015, they were informed that Dominic Ongwen and Joseph Kony had sent instructions that they were to be released.

2093. Both had spent nearly 13 years in the bush. When asked to compare herself to her schoolmates now that she had returned home, P-0236 responded as follows:

There is no comparison because right now they are much better off than I am. I'm back home. I've got – I have injuries. I'm weak. Maybe if I had not been abducted I would have not been shot at, I would have not been injured, I would have not had any – I would not be suffering. Most of the people that – my peers are okay. They are not injured. They're working. Some of them have finished their education. So they're in a much better off position than I am. ⁵⁸²⁴

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5815 P-0226: T-8, p. 68, line 22 - p. 69, line 1.
5816 P-0226: T-8, p. 69, lines 5-11.
5817 P-0226: T-8, p. 69, lines 12-22.
5818 P-0226: T-8, p. 69, lines 13-18.
5819 P-0226: T-8, p. 69, lines 19-24.
5820 Para. 211 above.
5821 P-0236: T-16, p. 36, lines 13-25; P-0235: T-17-CONF, p. 60, line 21 - p. 61, line 8.
5822 P-0236: T-16, p. 36, lines 13-25; P-0235: T-17-CONF, p. 61, line 9 - p. 62, line 4.
5823 P-0236: T-16, p. 36, lines 13-25; P-0235: T-17-CONF, p. 61, line 16 - p. 62, line 4.
5824 P-0236: T-16, p. 37, lines 1-8.
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- 11. Sexual and gender based violence not directly perpetrated by Dominic Ongwen
- 2094. The charges of sexual and gender based crimes not directly perpetrated by Dominic Ongwen (Counts 61 to 68) are limited to facts occurring within the Sinia brigade. The Prosecution argues that the 'evidence shows that [sexual and gender-based crimes] in Sinia Brigade were carried out in an institutionalised manner, essentially replicating the systematic pattern by which the LRA abducted, enslaved, forcibly married, raped, sexually enslaved, and tortured women and girls'. 5826
- 2095. In this regard, the evidence indeed indicates that there is no clear dividing line between the systemic victimisation of women and girls in Sinia brigade and that occurring in the LRA generally. The Chamber therefore understands that the limitation of the scope of the charges to the Sinia brigade finds its reasons in the scope of Dominic Ongwen's authority rather than in any difference between Sinia and the LRA in general concerning this phenomenon.
- 2096. In its analysis and findings, the Chamber is guided by the specific scope of the charges. At the same time, it is natural that some evidence received during the trial speaks more generally of the LRA rather than being limited to the Sinia brigade. This is in particular the case with some of the evidence provided by insider witnesses. Part of this evidence, to the extent that it is relevant for the Chamber's findings, has been relied upon as explained below.
- 2097. In addition to other evidence, the Chamber heard five witnesses whose individual stories are of particular relevance to the charges at issue: P-0351, P-0352, P-0366, P-0374 and P-0396. The Prosecutor specified already before the commencement of the trial that these particular witnesses were to be considered as 'simply *examples* of a much *larger* group of women who are the victims of these crimes'. For the purpose of its analysis below, the Chamber is mindful of the difference between the individual facts related to each of those witnesses and the facts at issue of the charge under consideration, which is systemic in nature. At the same time, the Chamber agrees that the five witnesses are indeed particularly important for the determination of the charges and the Chamber's findings.

⁵⁸²⁵ See paras 119-120 of the charges (Confirmation Decision, pp. 99-100).

⁵⁸²⁶ Prosecution Closing Brief, para. 114.

Thus, under each specific heading below, as appropriate, the Chamber makes reference to their testimonies and combines that with the evidence of other witnesses (in particular insiders and other women testifying about analogous personal experiences within the LRA, albeit outside one or more of the parameters of the charges as formulated) as well as with any other relevant evidence.

i. Coordinated and methodical nature of the sexual and gender-based violence

Dominic Ongwen, Joseph Kony and the Sinia brigade leadership engaged in a coordinated and methodical effort, relying on the LRA soldiers under their control, to abduct women and girls in Northern Uganda and force them to serve in Sinia brigade as so-called 'wives' of members of Sinia brigade, and as domestic servants.⁵⁸²⁸

2098. As laid out in detail below, the evidence received by the Chamber overwhelmingly demonstrates that an elaborate system of abuse of women and girls existed in the LRA, including the Sinia brigade. This system was consciously maintained by the LRA leadership through coordinated action.

2099. This conclusion is sustained first and foremost by the systemic occurrence of abductions, forced marriage, sexual violence and other forms of abuse of civilian women and girls analysed in the subsequent sections. In addition, there is, as also discussed in the subsequent sections, evidence of regular orders by the LRA leadership, including Dominic Ongwen, in particular for the abduction of civilian women and girls. These factors indicate compellingly that the abduction and abuse of civilian women and girls were a consciously maintained and coordinated effort.

2100. The Chamber also observes that there exists evidence indicating that the LRA system of abduction and abuse of women and girls was articulated in general policy terms to the LRA membership and, to a certain extent even to the public at large. In particular, the Chamber notes the testimony of P-0205 to the effect that, at some point in 2002, Joseph Kony convened everybody together and 'then told us that whoever did not have a wife was going to get a wife' and that '[t]here were going to be abductions, beautiful girls should be abducted'. ⁵⁸²⁹ Of relevance in this respect is also a radio broadcast on Mega FM radio station in December 2002 involving, *inter alia*, Joseph Kony and Vincent Otti,

⁵⁸²⁸ Para. 212 above.

⁵⁸²⁹ P-0205: <u>T-48</u>, p. 20, line 22 – p. 21, line 2.

of which the audio recording and the written transcript/translation into English⁵⁸³⁰ have been submitted by the Prosecutor and which, according to their e-Court metadata, were obtained from the UPDF. The Chamber considers that these items, the authenticity of which has also not been objected to by the Defence at the time of their submission, 5831 can be relied upon. According to the transcript, Vincent Otti stated at one point: 'I want to assure you that the girls whom we collect and send to the bush are our mothers. We always collect the young ones who are not infected with HIV [...]'. 5832

- 2101. That said, the Chamber has before it evidence indicating that this project was the result of coordination among the LRA leadership, including Dominic Ongwen. In this regard, the Chamber notes first the pattern in the radio intercept evidence.
- 2102. In an ISO logbook entry of 1 September 2003, Joseph Kony is recorded as telling Charles Tabuley that one of his 'wives' escaped from Okot Odhiambo and that Charles Tabuley should struggle and get for him 'another beautiful one'. 5833 Charles Tabuley stated he would work on Joseph Kony's demand. 5834
- 2103. A radio communication intercepted by the ISO on 1 February 2004 provides further evidence of specific coordination. Vincent Otti is recorded as informing Joseph Kony that he was coming to him with three abducted girls to be given to him as his so-called 'wives', but that one remained in Uganda with Dominic Ongwen because her feet got swollen.5835

⁵⁸³⁰ Audio Recording, UGA-OTP-0023-0002; Transcript, UGA-OTP-0023-0011.

⁵⁸³¹ See Confidential Annex A to Defence Response to "Prosecution's request to submit 1006 items of documentary evidence", ICC-02/04-01/15-701-Conf-AnxA, p. 2. 5832 Transcript, UGA-OTP-0023-0011, at 0020.

⁵⁸³³ ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0347. The Chamber notes that this particular detail is not included in the corresponding UDPF Gulu logbook entry. However, this entry clearly concerns the same radio communication (compare ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0346-47 (Tabuley reporting ambush of bus and pick-up on Soroti-Lira road, killing many civilians and one senior UPDF officer whose pistol was taken) with UPDF Logbook (Gulu), UGA-OTP-0254-0725, at 0944-45 (Tabuley reporting ambush of bus and pick-up on Lira Soroti road, killing more than 30 civilians and recovering one pistol from bus, indicating senior UPDF officer also killed)). The UPDF Achol Pii logbook does not contain an entry for 1 September 2003 (see UGA-OTP-0242-6018, at 6107-08 (entry for 31 August followed by entry for 2 September 2003)). Bearing this in mind, and noting at the same time that the entry in the UPDF Gulu logbook is overall less detailed than the entry in the ISO logbook and that interceptors at times would have focused on different details in summarising radio communications, the Chamber considers it appropriate to refer to this specific detail included in the record of the communication as prepared by ISO.

⁵⁸³⁴ ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0347.

⁵⁸³⁵ ISO Logbook (Gulu), UGA-OTP-0061-0002, at 0037-38. The Chamber notes that this particular detail is not included in the corresponding UPDF logbook entries. However, these entries clearly concern the same radio

- 2104.On 10 March 2004, a conversation is recorded in the ISO logbook in which Joseph Kony is recorded as complaining to Dominic Ongwen that he 'had made almost all their senior women with him to escape'. Dominic Ongwen is recorded as stating in response that he 'has many female recruits which can replace those ones who escaped', to which Joseph Kony replied with the argument that 'female recruits can't be compared with most of their senior LRA women who knows how LRA behave'. S837
- 2105.On 11 July 2004, both the ISO and the UPDF intercepted a conversation involving Dominic Ongwen in relation to the escape of the so-called 'wives' of one Saidi. 5838 Joseph Kony is reported as laughing at Saidi and saying that it seemed Saidi did not know how to 'take care of women'. 5839
- 2106. On 26 June 2005, Dominic Ongwen is recorded in the ISO logbook as reporting that the so-called 'wife' of one Alit Santo and another woman, who were with Abudema, wanted to escape. ⁵⁸⁴⁰ In response, Omona instructed Dominic Ongwen to collect the two women and keep them in his unit. ⁵⁸⁴¹

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communication (*compare* ISO Logbook (Gulu), UGA-OTP-0061-0002, at 0038 (Otti telling Kony about capture of old hunter, Kony telling Otti to move with him to his location) *with* UPDF Logbook (Gulu), UGA-OTP-0254-4143, at 4221 (Otti telling Kony about capture of old hunter, Kony telling him to let that man be taken to him) *and* UPDF Logbook (Achol Pii), UGA-OTP-0242-7309, at 7361 (Otti telling Kony coming across old hunter, Kony telling Otti to keep him until further orders)). The UPDF Logbook (Soroti) does not include any entry for 1 February 2004 (*see* UGA-OTP-0254-2284, at 2491-97 (entry for 1 January followed by entry for 23 February 2004)). Bearing this in mind, and noting at the same time that interceptors at times would have focused on different details in summarising radio communications, the Chamber considers it appropriate to refer to this specific detail included in the record of the communication as prepared by ISO.

⁵⁸³⁶ ISO Logbook (Gulu), UGA-OTP-0061-0002, at 0137. See also UPDF Logbook (Gulu), UGA-OTP-0254-4143, at 4277.

⁵⁸³⁷ ISO Logbook (Gulu), UGA-OTP-0061-0002, at 0137. *See also* UPDF Logbook (Gulu), UGA-OTP-0254-4143, at 4277. The Chamber notes that the UPDF Logbook (Soroti), UGA-OTP-0254-2284, at 2569 instead records an exchange between an individual called 'Odongo Anaka' and Kony about the escape of troops. Given that both the ISO and UPDF (Gulu) logbooks include largely comparable entries, and considering that the UPDF (Soroti) logbook contains less detail regarding this radio communication, the Chamber is of the view that it is more appropriate to rely on the entries as reflected in the ISO and UPDF (Gulu) logbooks.

⁵⁸³⁸ UPDF Logbook (Gulu), UGA-OTP-0254-2982, at 3135; ISO Logbook (Gulu), UGA-OTP-0062-0002, at 0096. The Chamber notes that the two logbooks diverge as to whether Dominic Ongwen reported the escape, or Buk Abudema told Dominic Ongwen about it. In the specific context of the present analysis, the inconsistency is immaterial.

⁵⁸³⁹ UPDF Logbook (Gulu), UGA-OTP-0254-2982, at 3135.

⁵⁸⁴⁰ ISO Faxed Copy, UGA-OTP-0163-0007, at 0139. See also UPDF Logbook (Gulu), UGA-OTP-0254-1077, at 1323.

⁵⁸⁴¹ ISO Faxed Copy, UGA-OTP-0163-0007, at 0139. *See also* UPDF Logbook (Gulu), UGA-OTP-0254-1077, at 1323. It is noted that logbook evidence indicates that Omona Michael worked as signaller for Joseph Kony at the time, *see* ISO Faxed Copy, UGA-OTP-0163-0007, at 0019, 0032, 0037, 0040, 0106, 0120, 0151.

- 2107. According to a communication intercepted by the ISO and recorded in a logbook, on 10 July 2005, Dominic Ongwen was asked on radio 'if he has abducted young girls (*ting ting*) baby sitters for Joseph Kony'. Dominic Ongwen responded 'not yet' and stated that he was going to 'work on that himself'. 5843
- 2108. In addition to the radio intercept evidence, P-0205 stated that he heard a report which was given by Dominic Ongwen to Joseph Kony at some point after the Lukodi attack, 5844 in which Dominic Ongwen provided information on the number of girls he had under his control. 5845 Among them were girls abducted in Omiya Pacwa, as well as girls abducted earlier. 5846 In the view of the Chamber, the reporting of abductions of women and girls and the number of them being 'under control' indicates that the abduction was considered to be part of ordinary LRA operations.
- 2109. Furthermore, the evidence indicates that on regular occasions, women and girls who had been assigned to one male member of the LRA, in particular to a commander, were for practical reasons temporarily placed under control of another commander of the LRA. In that situation, the status of women and girls as so-called 'wives' or otherwise belonging to a man did not change, and continued to be respected. This is another specific indicator to the Chamber that the abuse of women and girls in the LRA, including forced marriage and sexual violence, were truly systemic and institutional.
- 2110. Specifically, P-0205 testified that around the time of the attack on Lukodi IDP camp in May 2004, Dominic Ongwen was 'taking charge' of Buk Abudema's so-called 'wives'. He continued that after the attack on Lukodi IDP camp, picked up Buk Abudema's so-called 'wives' and took them to Buk Abudema, who was in Pader. 5848

⁵⁸⁴² ISO Faxed Copy, UGA-OTP-0163-0007, at 0169.

⁵⁸⁴³ ISO Faxed Copy, UGA-OTP-0163-0007, at 0169. The Chamber notes that the UPDF recorded the details of the communication differently, but that the discrepancies are not such that would affect the reliability of the evidence as to the fact that the conversation took place, *see* UPDF Logbook (Gulu), UGA-OTP-0254-1077, at 1346.

⁵⁸⁴⁴ P-0205: <u>T-48</u>, p. 4, line 24 – p. 6, line 12.

⁵⁸⁴⁵ P-0205: <u>T-48</u>, p. 6, lines 18-23.

 $^{^{5846}}$ P-0205: $\overline{\text{T-48}}$, p. 6, line 24 – p. 7, line 5.

⁵⁸⁴⁷ P-0205: <u>T-47</u>, p. 68, lines 1-4.

⁵⁸⁴⁸ P-0205: T-47-CONF, p. 68, lines 15-19.

- 2111.P-0351, who stayed in Dominic Ongwen's household, testified that there were 'other mothers' who sometimes stayed in Dominic Ongwen's group, but who were the 'wives' of Raska or Lapaicho, even if their husbands were not there.⁵⁸⁴⁹
- 2112.On 4 August 2004, the ISO logbook records an exchange between Dominic Ongwen and Labalpiny, where Dominic Ongwen confirms that Labalpiny's 'wife' is still with him, and Labalpiny asks Dominic Ongwen not to allow her to escape. 5850
- 2113. Finally, the Chamber turns to the Prosecution's allegation that Dominic Ongwen 'led by example' as concerns the abuse of women and girls in Sinia. Sinia. As established above, Dominic Ongwen indeed kept abducted women and girls in his household and under his personal control throughout the period of the charges, subjecting them to forced marriage, rape, physical and mental abuse, and forced labour. In the assessment of the Chamber, Dominic Ongwen's personal acts prove his knowledge and conscious participation in the LRA system of abduction and abuse of women and girls. Moreover, the Chamber considers that by virtue of his position during the period relevant to the charges as first Oka battalion commander and subsequently Sinia brigade commander, his personal acts of abuse of women and girls, which could be observed by the LRA members under his control, had the effect of demonstrating the expected behaviour to the LRA membership. In the view of the Chamber, this was indeed an important element in sustaining the systemic nature of the abuse.

ii. Abduction

Dominic Ongwen, Joseph Kony and the Sinia brigade leadership ordered Sinia brigade soldiers to abduct civilian women and girls.⁵⁸⁵³

2114. According to the evidence, orders to abduct civilian women and girls were given at various levels of the LRA hierarchy. Generally, more specific orders to abduct were issued on the basis of a standing order for abductions from Joseph Kony. The Chamber

⁵⁸⁴⁹ P-0351 Statement, UGA-OTP-0263-0002-R01, at para. 59.

⁵⁸⁵⁰ ISO Logbook (Gulu), UGA-OTP-0062-0145, at 0164. The Chamber notes that the UPDF Logbook (Gulu) also includes the exchange between Dominic Ongwen and Labalpiny, recording Labalpiny as asking Dominic Ongwen to 'take care' of his 'families' (*see* UGA-OTP-0254-3833, at 3843). In the assessment of the Chamber, this is compatible with the ISO logbook entry.

⁵⁸⁵¹ Para. 123 of the charges (Confirmation Decision, pp. 100-101).

⁵⁸⁵² See section IV.C.10.ii. above.

⁵⁸⁵³ Para. 213 above.

will analyse this standing order first, before turning to the evidence concerning more specific orders, in particular those issued by Dominic Ongwen.

- 2115. Several witnesses testified about a standing order for abductions of women and girls emanating from Joseph Kony. P-0142 stated that at one point in Sudan, Joseph Kony gave a general order to '[g]o and abduct girls', which the witness heard. P-0205 stated that in 2002, Joseph Kony issued an order to abduct 'beautiful girls'. He testified that per Joseph Kony's order, LRA soldiers were expected to abduct young girls, and that in execution of the order, girls from 12 years old up to 18 or 'even' 20 years old were abducted. The witness further stated that according to what he heard, young girls were preferred because they were expected to be healthy, and because there was a fear of sexually transmitted diseases. P-0070 similarly testified that for the mission in Teso, Joseph Kony gave the order, over radio, to abduct 'young beautiful girls'. S858
- 2116. By its nature, Joseph Kony's standing or general orders for abductions of women or girls did not include operational particulars. Logically, for this, the input of LRA commanders was crucial. This is in line with the point generally made by the Chamber as concerns the relationship between Joseph Kony and high level commanders of the LRA, including Dominic Ongwen. S859 It is also illustrated by the radio intercept of 10 July 2005, referred to above.
- 2117. Hillary Daniel Lagen's statement provides further information on the issue of this standing order. According to his statement, the order to abduct girls was related to the balance between the two sexes in the LRA. S861 Also according to the witness, brigade commanders, or even the division or the army commander, could not abduct girls unless specifically asked to do so by Joseph Kony.

⁵⁸⁵⁴ P-0142: <u>T-71</u>, p. 28, line 24 – p. 29, line 4.

⁵⁸⁵⁵ P-0205: <u>T-48</u>, p. 20, line 22 – p. 21, line 4.

⁵⁸⁵⁶ P-0205: <u>T-48</u>, p. 27, lines 4-9.

⁵⁸⁵⁷ P-0205: <u>T-48</u>, p. 27, lines 10-15.

⁵⁸⁵⁸ P-0070: <u>T-105</u>, p. 86, lines 1-12.

⁵⁸⁵⁹ See paras 872-873 above.

⁵⁸⁶⁰ See para. 2107 above.

⁵⁸⁶¹ P-0040 Interview Transcript, UGA-OTP-0220-0779-R01, at 0798, lines 683-97 (referring, *inter alia*, to periods 'when there would be too many boys and too a few girls').

⁵⁸⁶² P-0040 Interview Transcript, UGA-OTP-0220-0779-R01, at 0799, lines 702-10.

- 2118. Indeed, there is evidence that the standing order was at some point withdrawn by Joseph Kony. P-0205 testified that the standing order for abductions was revoked and that this led to a reduction in abductions in 2003. 5863 His evidence finds corroboration in UPDF logbooks of intercepted radio communications, which recorded a corresponding message by Joseph Kony. 5864 Further according to P-0205, there was also no general order to abduct in 2004, but a particular commander could do it 'within his own initiative'. 5865 In such a case, according to P-0205, a commander could abduct, 'keep quiet about it and then send the report after a period has elapsed'. 5866
- 2119.P-0233 testified that when there was an order for abduction, abductions took place. 5867 However, similarly to P-0205, P-0233 clarified that even when this was not the case, if soldiers saw 'a very beautiful girl' they would still abduct her 'because even when the senior commanders now will not approve of it [...], we will have already taken'. 5868 According to the witness, the superior would report to the senior commander, and the latter 'will say, okay, it's okay, you can now keep them'. 5869 The witness concluded: 'So it doesn't mean that when orders are given not to do abductions it will be strictly followed; a few abductions will still take place'. 5870
- 2120.P-0264 testified about a specific incident of abduction at a time when abductions were 'prohibited'. As described in detail below, 5871 a young woman was abducted by Oka soldiers.⁵⁸⁷² During examination by the Defence, P-0264 explained that, while at the time 'people had been prohibited from abducting people' and that 'this was something that was done illegally', the abduction occurred pursuant to the decision of the commander of the specific operation and was later approved by Ben Acellam, who said he would report on it on radio. 5873

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<sup>5863</sup> P-0205: <u>T-48</u>, p. 21, lines 5-10.
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⁵⁸⁶⁴ UPDF Logbook (Soroti), UGA-OTP-0242-6212, at 6212; UPDF Logbook (Gulu), UGA-OTP-0254-3399, at 3458 (entry for 10 February 2003).

⁵⁸⁶⁵ P-0205: <u>T-48</u>, p. 21, lines 19-24.

⁵⁸⁶⁶ P-0205: <u>T-48</u>, p. 21, line 24 – p. 22, line 4.

⁵⁸⁶⁷ P-0233: <u>T-111</u>, p. 56, lines 22-24. *See also* T-111-CONF, p. 11, lines 22-25, p. 25, line 20 – p. 26, line 5 (indicating that the orders came from Joseph Kony, but they could be very general, merely specifying that there should or should not be abductions).

⁵⁸⁶⁸ P-0233: <u>T-111</u>, p. 56, line 22 – p. 57, line 3.

⁵⁸⁶⁹ P-0233: T-111, p. 57, lines 3-7. ⁵⁸⁷⁰ P-0233: T-111, p. 57, lines 7-8.

⁵⁸⁷¹ See para. 2134 below.

⁵⁸⁷² See para. 2134 below.

⁵⁸⁷³ P-0264: T-66, p. 35, line 20 – p. 37, line 25.

- 2121. On the basis of the evidence of P-0205 and P-0233 who were both in position to observe and interpret facts related to the hierarchical organisation of the LRA as well as of the specific evidence given by P-0264, the Chamber concludes that while Joseph Kony at some point withdrew the standing order for abduction of civilian women and girls, the practical impact of any such withdrawal was limited, as abductions in fact did not stop.
- 2122. The Chamber notes that orders for abduction were also given at lower levels of the hierarchy within the Sinia brigade. At this juncture, and considering that further evidence of such orders is discussed in the following section, the Chamber finds it sufficient to refer to the testimonies of P-0054 and P-0205. P-0054 explained that instructions 'to go and collect women, bring girls' were given to Sinia soldiers when they were sent for an operation. According to the witness, such orders were ordinarily given by Dominic Ongwen as the brigade commander, but if a battalion commander separated from the main group, he could also issue such an order. The witness confirmed that he was present when such orders were given, including by Dominic Ongwen.
- 2123.P-0205 testified that a group of LRA soldiers was sent to Onekgwok, 'with the authority issued by Dominic on abduction of people', and came back with two young girls. ⁵⁸⁷⁷ A week later, P-0205 received another order from Dominic Ongwen to continue abducting people. ⁵⁸⁷⁸ P-0205 also testified that Dominic Ongwen gave an order to the battalion to 'abduct girls and boys'. ⁵⁸⁷⁹

Sinia brigade soldiers, in execution of orders of Joseph Kony, Dominic Ongwen and the Sinia brigade leadership, abducted civilian women and girls in Northern Uganda between

Logbook evidence suggests that Icaya Loum also escaped in mid-July 2004: ISO Logbook (Gulu), UGA-OTP-0062-0002, at 0102, 0106. *See also* UPDF Logbook (Gulu), UGA-OTP-0254-2982, at 3140, 3142-43. This allows for the conclusion that the order was given in or shortly after July 2004.

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⁵⁸⁷⁴ P-0054: T-93, p. 37, lines 19-22.

⁵⁸⁷⁵ P-0054: $\overline{\text{T-93}}$, p. 37, line 25 – p. 38, line 7.

⁵⁸⁷⁶ P-0054: <u>T-93</u>, p. 38, lines 8-11.

⁵⁸⁷⁷ P-0205: T-47-CONF, p. 47, lines 2-5.

⁵⁸⁷⁸ P-0205: T-47-CONF, p. 48, lines 13-20.

⁵⁸⁷⁹ P-0205: T-48-CONF, p. 13, line 13 – p. 14, line 4. P-0205 did not provide a specific time when this order was given, but stated that it happened at an RV at Koyo, where Kalalang was promoted to commander of Terwanga battalion to replace Loum Icaya who had escaped with Ojok Kampala.

1 July 2002 and 31 December 2005. At any time during this period, there were over one hundred abducted women and girls in Sinia brigade. 5880

2124. The Chamber allowed the introduction of the prior recorded testimony of and heard at trial several women who themselves testified about having been abducted by Sinia brigade soldiers.

village. She stated that she was abducted at age 12 in March 2003 from village. She stated that she was brought by her abductors to a gathering at who she could recognise. She At that location, the people who abducted her, to whom the witness referred simply as 'soldiers', separated those who could walk and looked young from 'old people and people who could not move'. She stated: 'Because I was a little girl one soldier said "can she really move?" and another one replied "she will grow up there". The witness then walked in the group for the whole day and the whole night, until they met up with a 'bigger group of the Holy'. She she explained that the 'overall leader of the battalion' that abducted her was named Buk, and that '[h]is battalion was called Sinia'. She Setting aside the error in referring to the Sinia brigade as a battalion, P-0352's description of the unit that abducted her is consistent with other evidence, and the Chamber considers it established that she was abducted by Sinia soldiers.

2126.P-0374 stated that she was abducted in September 2003 at the age of almost 10 years by an LRA soldier called ______. 5887 She was abducted in a group of about 30 civilians, mostly women. 5888 The group moved away in line and walked overnight, before stopping to rest in the morning. 5889 She saw ______ stand in front of the assembled people and give orders, and understood that he was the leader of the group that abducted her. 5890 P-0374 testified that _____ was an officer in B-Coy of 'Tarwanga' battalion of Sinia, 5891 and that

⁵⁸⁸⁰ Para. 213 above.

⁵⁸⁸¹ P-0352 Statement, UGA-OTP-0260-0315-R01, at paras 11-12.

⁵⁸⁸² P-0352 Statement, UGA-OTP-0260-0315-R01, at paras 18-19.

⁵⁸⁸³ P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 21.

⁵⁸⁸⁴ P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 21.

⁵⁸⁸⁵ P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 23.

⁵⁸⁸⁶ P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 25.

⁵⁸⁸⁷ P-0374 Statement, UGA-OTP-0263-0023-R01, at paras 13-31.

⁵⁸⁸⁸ P-0374 Statement, UGA-OTP-0263-0023-R01, at para. 26.

⁵⁸⁸⁹ P-0374 Statement, UGA-OTP-0263-0023-R01, at para: 20.

⁵⁸⁹⁰ P-0374 Statement, UGA-OTP-0263-0023-R01, at para. 35.

⁵⁸⁹¹ P-0374 Statement, UGA-OTP-0263-0023-R01, at paras 44-45.

he was also known as _______.⁵⁸⁹² This information is corroborated in detail by _______,⁵⁸⁹³ leaving no doubt that P-0374 was abducted by LRA soldiers of Sinia brigade. P-0374 continued that they moved again, and in the evening they reached a place that was 'like a camp'.⁵⁸⁹⁴ P-0374 saw approach and salute a group of people who were the leaders.⁵⁸⁹⁵ It is noted that there is slight confusion in the evidence as to the question whether P-0374 also remembers seeing Dominic Ongwen at this gathering, and a firm conclusion cannot be drawn.⁵⁸⁹⁶ After some time in the bush, P-0374 learnt the names of some people who she saw often, like Ojoko, Kobi and Kalalang.⁵⁸⁹⁷

- 2127.P-0396 stated that she was abducted at the age of 13 years in December 2004 from her home in village. S898 An LRA soldier entered P-0396's home, told her to get up and held her by her hand. The next morning, P-0396 learnt that the name of this soldier was Lapana and that the name of the commander of the unit who abducted her was Kalalang. On the move away, the LRA unit including the new abductees was attacked by government soldiers. Thereafter, they walked to a placed called Wii-Polo, where there were about 100 LRA members. This is where, three days after her abduction, P-0396 first saw Dominic Ongwen, although she did not yet know who he was.
- 2128. The Chamber notes that P-0351 testified that she was abducted by 'Raska's group', ⁵⁹⁰⁴ and was later transferred to Sinia. This transfer demonstrates, as already stated, that the abduction and abuse of women and girls was not limited to Sinia, but was a coordinated characteristic of the LRA in general. In detail, P-0351 testified that she was abducted on

⁵⁸⁹² P-0374: T-150-CONF, p. 30, line 23 – p. 31, line 9.

⁵⁸⁹⁴ P-0374 Statement, UGA-OTP-0263-0023-R01, at para. 37. ⁵⁸⁹⁵ P-0374 Statement, UGA-OTP-0263-0023-R01, at para. 39.

⁵⁸⁹⁶ Compare P-0374 Statement, UGA-OTP-0263-0023-R01, at para. 40; with para. 122, and with P-0374: <u>T-150</u>, p. 34, lines 8-9.

⁵⁸⁹⁷ P-0374 Statement, UGA-OTP-0263-0023-R01, at para. 39.

⁵⁸⁹⁸ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 13.

⁵⁸⁹⁹ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 16; P-0396: T-127, p. 11, lines 2-11.

⁵⁹⁰⁰ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 20.

⁵⁹⁰¹ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 30.

⁵⁹⁰² P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 42.

⁵⁹⁰³ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 43; P-0396: <u>T-127</u>, p. 19, lines 4-7.

⁵⁹⁰⁴ P-0351 Statement, UGA-OTP-0263-0002-R01, at para. 13. The Chamber takes this to be a reference to Raska Lukwiya, which appears to be also the understanding of the Defence, *see* P-0351: <u>T-129</u>, p. 19, lines 19-25.

- 2129. In addition to these personal stories of abduction, other insider witnesses testified about specific abductions of civilian women and girls by members of the Sinia brigade.
- 2130. In this context, the Chamber refers to its findings in relation to the abductions of women and girls during the attacks on Pajule and Odek IDP camps. ⁵⁹¹⁵
- 2131.P-0205 described in detail the abduction of five girls by Sinia soldiers in Omiya Pacwa. ⁵⁹¹⁶ He stated that Dominic Ongwen gave the order to abduct people, so soldiers

⁵⁹⁰⁵ P-0351 Statement, UGA-OTP-0263-0002-R01, at para. 12. In relation to the date of abduction, *see* section IV.B.2.iii.b above.

⁵⁹⁰⁶ P-0351 Statement, UGA-OTP-0263-0002-R01, at para. 12. *See also* section IV.B.2.iii.b above.

⁵⁹⁰⁷ P-0351 Statement, UGA-OTP-0263-0002-R01, at para. 19.

⁵⁹⁰⁸ P-0351 Statement, UGA-OTP-0263-0002-R01, at paras 24-25.

⁵⁹⁰⁹ P-0351 Statement, UGA-OTP-0263-0002-R01, at para. 26.

⁵⁹¹⁰ P-0351 Statement, UGA-OTP-0263-0002-R01, at paras 29, 32.

⁵⁹¹¹ P-0351 Statement, UGA-OTP-0263-0002-R01, at para. 32.

⁵⁹¹² P-0351 Statement, UGA-OTP-0263-0002-R01, at para. 37. It is noted that the witness was not really able to estimate the period of time that passed between her abduction and her coming under 'Ongwen's group', and that she gave, in what the Chamber deems to be very approximate terms, the estimation of three months; P-0351: $\underline{\text{T-}}$ 129, p. 20, line 12 – p. 21, line 3.

⁵⁹¹³ P-0351 Statement, UGA-OTP-0263-0002-R01, at para. 38.

⁵⁹¹⁴ P-0351: T-129, p. 21, line 24 – p. 23, line 24.

⁵⁹¹⁵ See paras 1367-1368, 1611-1612 above.

⁵⁹¹⁶ While P-0205 did not state when precisely this abduction took place, it is noted that it took place following an order by Dominic Ongwen also referred to by P-0205, which can be dated to or shortly after July 2004, *see* para. 2123 above.

were sent to Omiya Pacwa where they abducted five girls. ⁵⁹¹⁷ P-0205 provided the name of the soldier who abducted the girls, as well as the names of the abductees. ⁵⁹¹⁸ He gave individual estimates for the ages of the girls in the range of 13 to 15 years old. ⁵⁹¹⁹ P-0205 stated that a report was given to Dominic Ongwen at an RV, and that Dominic Ongwen instructed that 'two of the girls, the two beautiful girls should be taken good care of and they should be taken to him to the next RV', whereas the other girls should be sent to Ben Acellam's home and to Okeny. 5920 P-0205 stated that the two abducted girls were indeed given to Dominic Ongwen as instructed, and that he kept them for Joseph Kony, and additionally that two girls were given to Okeny and one to Ben Acellam. 5921

- 2132. As already observed above, P-0205 testified about another occasion when, 'with the authority issued by Dominic on abduction of people', LRA soldiers abducted two girls of about 12 years old in Onekgwok. 5922 P-0205 explained that after the girls were brought, he reported to Dominic Ongwen, who said that the girls should be 'kept well' and should not be allowed to escape. ⁵⁹²³ The girls stayed in P-0205's household until they were given to Dominic Ongwen at a later point. 5924 Dominic Ongwen took one of the girls to Buk Abudema, and the other to Joseph Kony. 5925
- 2133.P-0205 also referred to yet another abduction of a girl, in Paicho by Terwanga soldiers. 5926 He stated that also this abduction was reported to Dominic Ongwen, who instructed the abductors that 'she should be kept well'. 5927
- 2134.P-0264 described the abduction by the Oka battalion of a young woman in Pader, near Adilang or Patongo. 5928 P-0264 testified that the order had been given to abduct five people, which was executed, and stated that 'out of the abductees she was okay, the rest were a bit very old'. 5929 The unit returned with the young woman to Ben Acellam, who

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<sup>5917</sup> P-0205: T-48-CONF, p. 12, line 25 – p. 13, line 3.
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⁵⁹¹⁸ P-0205: T-48-CONF, p. 14, line 19 – p. 16, line 6. ⁵⁹¹⁹ P-0205: T-48-CONF, p. 17, lines 7-10.

⁵⁹²⁰ P-0205: T-48-CONF, p. 16, lines 7-18.

⁵⁹²¹ P-0205: T-48-CONF, p. 16, line 22 – p. 17, line 10.

⁵⁹²² P-0205: T-47-CONF, p. 47, lines 1-7.

⁵⁹²³ P-0205: T-47-CONF, p. 47, lines 15-20.

⁵⁹²⁴ P-0205: T-47-CONF, p. 47, lines 20-23. ⁵⁹²⁵ P-0205: T-47-CONF, p. 47, lines 23-24.

⁵⁹²⁶ P-0205: T-47-CONF, p. 48, line 21 – p. 49, line 3, lines 10-11.

⁵⁹²⁷ P-0205: T-47-CONF, p. 49, lines 12-19.

⁵⁹²⁸ P-0264: <u>T-65</u>, p. 31, lines 13-20.

⁵⁹²⁹ P-0264: <u>T-65</u>, p. 32, lines 1-7.

decided that she be 'given out' to Olwiko.⁵⁹³⁰ P-0264 also stated that the young woman was abducted with a child, and that the child was given to another civilian who was initially abducted to go back with.⁵⁹³¹ P-0264 stated specifically that this happened at a time when Dominic Ongwen was Sinia brigade commander.⁵⁹³² P-0264 did not know whether Ben Acellam, Oka brigade commander, reported the abduction to Dominic Ongwen, but stated that Ben Acellam gave a report to his officers saying he was going to forward the issue to his superiors.⁵⁹³³

- 2135. Evidence given by insider witnesses provides further corroboration for the proposition that Sinia brigade, and more broadly the LRA, abducted civilian women and girls at the relevant time period.
- 2136.P-0070 stated that the LRA, including in Uganda in 2003-2004, abducted girls from the ages of 12 years upwards. P-0233 stated that abductions of women and girls took place throughout his stay in the LRA from 2002 to 2013. P-0307 also confirmed that abductions of women and girls took place in Sinia during the time period of the charges.
- 2137.P-0045 stayed in the LRA for a long period, from 1990 to 2004.⁵⁹³⁸ Her own personal experience of being assigned as so-called 'wife' is not as such within the charges brought against Dominic Ongwen; however, due to that experience and the length of her stay in the LRA, the witness was able to provide information which the Chamber finds relevant and reliable. In particular, P-0045 testified that at the time she left the bush, 'the abduction of girls was still happening and they would be taken to the commanders and they would be distributed out'.⁵⁹³⁹

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    <sup>5930</sup> P-0264: T-65, p. 32, lines 7-11.
    <sup>5931</sup> P-0264: T-65, p. 32, lines 11-19.
    <sup>5932</sup> P-0264: T-65, p. 34, lines 3-4.
    <sup>5933</sup> P-0264: T-65, p. 34, lines 5-9.
    <sup>5934</sup> P-0070: T-106, p. 35, lines 6-10.
    <sup>5935</sup> P-0233: T-111, p. 57, lines 9-12.
    <sup>5936</sup> P-0233: T-111-CONF, p. 8, lines 5-6, p. 72, lines 13-18.
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⁵⁹³⁷ P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 63.

⁵⁹³⁸ *See* section IV.B.2.ii.a.iii above. ⁵⁹³⁹ P-0045: <u>T-103</u>, p. 77, lines 17-21.

- 2138.In this context, the Chamber also notes the testimony of P-0142, a Sinia officer during the relevant period, who stated: 'There is no single woman who voluntarily joined. All were abducted.' ⁵⁹⁴⁰
- 2139. In conclusion, the Chamber turns to the question of approximately how many abducted civilian women and girls were in the Sinia brigade during the relevant time period. The Prosecution's submission is that 'hundreds of women and girls were abducted, forcefully kept, and further victimised in Sinia Brigade throughout the charged period'. 5941
- 2140.P-0205 testified that there were close to 50 women in the Oka battalion, more than 50 in the Terwanga battalion, and more than 30 in the Siba battalion. ⁵⁹⁴² P-0374 estimated that there were 200-300 so-called 'wives' and *ting tings* in Sinia. ⁵⁹⁴³ P-0142 stated that in 2003-2004 there were around 100 so-called 'wives' in Sinia, and 30 to 70 'young girls who were not yet ready to marry'. ⁵⁹⁴⁴
- 2141.On the basis of this evidence, the Chamber considers that a safe estimate can be drawn that there were at any time during the time period of the charges over one hundred abducted women and girls in Sinia.
- 2142. The Chamber notes at this point the submission of the Prosecution that the evidence from victims and former LRA fighters and commanders 'gives rise to a powerful inference that almost all the abducted women and girls in Sinia Brigade (indeed in the LRA) had broadly similar experiences of victimisation'. ⁵⁹⁴⁵ The Chamber, having examined the evidence on record, shares this assessment. As explained in the following sections, abducted women and girls passed through what was an institutionalised system of 'distribution', assignment of roles and enforcement for the performance of these roles. The systemic nature of the treatment of women and girls in Sinia, and more broadly in the LRA, is evident from the way it was described by the witnesses, who overwhelmingly, as indicated below, spoke of it as a system.

⁵⁹⁴⁰ P-0142: <u>T-71</u>, p. 40, line 24 – p. 41, line 1.

⁵⁹⁴¹ Prosecution Closing Brief, para. 111.

⁵⁹⁴² P-0205: T-48, p. 27, line 16 – p. 28, line 9.

⁵⁹⁴³ P-0374: T-150, p. 7, lines 12-25.

⁵⁹⁴⁴ P-0142: T-71, p. 40, lines 3-10.

⁵⁹⁴⁵ Prosecution Closing Brief, para. 115.

iii. 'Distribution'

Following their abduction, the abducted women and girls were 'distributed' to members of Sinia brigade. The 'distribution' of the abducted women and girls was the prerogative of Joseph Kony, or, in his absence, of the Sinia brigade commander or battalion commanders. In the exercise of his authority, Dominic Ongwen also personally decided on the 'distribution' of abducted women and girls. 5946

2143. As established by the evidence, 'distribution' – a word used by many witnesses and for this reason also by the Chamber – refers to the assignment of abducted women and girls to a member of Sinia. From the moment of 'distribution', the abducted women and girls were subject to the authority of the man they were assigned to. It is opportune to note at the outset that for some abducted women and girls the 'distribution' to a man after abduction also meant being assigned to that man as a so-called 'wife'. As explained below, young girls were assigned to men as so-called 'wives' based on a criterion of sexual maturity, but nevertheless girls as young as around 12 years old were assigned to men as 'wives'. For even younger girls, the assignment to a man as so-called 'wife' occurred at a later point, and for that purpose they could also be 're-distributed' to another man. These very young girls, until they became so-called 'wives', served in the households of the men they were 'distributed' to as *ting tings*.

2144. Many witnesses testified before the Chamber about the system of 'distribution' of abducted women and girls to senior soldiers in Sinia, or more broadly in the LRA. The Chamber will begin its analysis by referring to those testimonies which aid in understanding the features of the system of 'distribution', before assessing some first-hand personal testimony of witnesses who were themselves 'distributed' in this manner.

2145. Several witnesses which were able to observe the system of 'distribution' during their stay in the organisation provided strikingly similar descriptions. Typically occurring features of this system were a waiting period following abduction – motivated, according to the evidence, by the need to establish that the abductee was healthy – and the performance of rituals.

2146.P-0070 testified that abducted girls were 'distributed' to men as so-called 'wives' after about three months in the bush and after performance of certain rituals. ⁵⁹⁴⁷ He specified

⁵⁹⁴⁶ Para. 214 above.

⁵⁹⁴⁷ P-0070: <u>T-106</u>, p. 35, lines 15-20.

that the period of three months served to make sure that the girls did not have 'some charms or some sort of witchcraft or [...] some kind of disease'. ⁵⁹⁴⁸ Asked whether the girls had any choice, P-0070 stated:

No, they did not have a choice. They had no right to choose. They would just send instructions and say, 'Pick this one, take her to that commander. Pick this one and take that to the commander'. 5949

- 2147.P-0085 testified that female abductees were not immediately 'hand[ed]' to a man, but that there was a period that they were supposed to stay, after which a ritual using shea butter and white ochre was performed.⁵⁹⁵⁰ Afterwards, the girls were 'distributed' to men 'that have been selected as now being able to have wives'.⁵⁹⁵¹
- 2148.D-0006 similarly testified that anointment of abducted women and girls with shea oil was a long standing ritual in the LRA.⁵⁹⁵² D-0118 mentioned that she underwent a version of the same ritual shortly after her abduction in 1994.⁵⁹⁵³ The Chamber notes the similar finding made above in relation to the initiation of abductees who were to become LRA fighters.⁵⁹⁵⁴
- 2149.P-0406 testified that upon abduction, girls were 'distributed'. ⁵⁹⁵⁵ Some of the girls were given to Joseph Kony's homestead, others were given to other LRA commanders. ⁵⁹⁵⁶ P-0054's testimony also establishes that the prerogative of (higher) commanders to decide on the 'distribution' of female abductees was enforced, by beating, detention or even killing. ⁵⁹⁵⁷ P-0233 testified that upon abduction, the 'fairly older ladies' were 'distributed' to the men and the young ones were kept and sent to Joseph Kony. ⁵⁹⁵⁸

2150. There is also evidence of specific instances of 'distribution' of abducted women and girls.

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<sup>5948</sup> P-0070: <u>T-106</u>, p. 36, lines 10-16.
<sup>5949</sup> P-0070: <u>T-106</u>, p. 36, lines 4-9.
<sup>5950</sup> P-0085: <u>T-158</u>, p. 45, line 20 – p. 46, line 4.
<sup>5951</sup> P-0085: <u>T-158</u>, p. 46, lines 5-9.
<sup>5952</sup> D-0006: <u>T-194</u>, p. 17, lines 11-25.
<sup>5953</sup> D-0118: <u>T-216</u>, p. 9, line 25 – p. 11, line 9.
<sup>5954</sup> See section IV.C.2.ii.b above.
<sup>5955</sup> P-0406: <u>T-154</u>, p. 21, lines 19-21.
<sup>5956</sup> P-0406: <u>T-154</u>, p. 21, lines 21-23.
<sup>5957</sup> P-0054: <u>T-93</u>, p. 39, lines 14-23.
<sup>5958</sup> P-0233: <u>T-111</u>, p. 50, lines 2-9.
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2151.P-0352 testified that following her abduction by Sinia soldiers, she could see the 'Holy soldiers' speaking among themselves, after which they sent the abductees to different households. 5959 She observed: 'I do not know who decided or how it was decided where we would go because as an abductee you do not know these things'. 5960 The Chamber finds this observation expectable and understandable, and in itself reflective of the situation in which abductees found themselves. In any case, P-0352 then described: 'I was sitting there and a soldier, who I later learned was called came, came and grabbed my hand. I stood up and I walked with him to his household. 5961 She referred to as the 'second leader' after Buk, which she based on the number of soldiers under 's command. 5962 The Chamber notes that the evidence otherwise establishes that was a senior member of Sinia. 5963 P-0352 testified that after five or six months in Buk's group, she moved with group to Dominic Ongwen's group. 5964 P-0352 stated that at that point, Dominic Ongwen instructed her to continue staying with and to work as a babysitter for another woman's child. 5965 P-0352 also testified that on the same day Dominic Ongwen 'gave' another girl called Sunday to Odoki, one of 's soldiers, to be his so-called 'wife'. 5966

2152.P-0352 also testified that on another occasion, a girl who was being 'given' as a 'wife' was crying and making a lot of noise. The witness stated that the girl was crying because she did not want to 'marry' this soldier. Dominic Ongwen asked the girl what she would choose between going to this man or death, after which the girl accepted to

⁵⁹⁵⁹ P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 28.

⁵⁹⁶⁰ P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 28.

⁵⁹⁶¹ P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 29.

⁵⁹⁶² P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 32.

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⁵⁹⁶⁴ P-0352 Statement, UGA-OTP-0260-0315-R01, at paras 54-55. It is noted that in court, the witness spontaneously estimated this period to have been 'four or five months', *see* P-0352: <u>T-67</u>, p. 48, lines 4-7. Later, the witness stated that she met Dominic Ongwen for the first time in 2004, P-0352: <u>T-67</u>, p. 59, lines 17-20. Overall, the Chamber is of the view that the witness provided mere estimates of the period between her abduction and being moved to Dominic Ongwen's group. The discrepancy in these estimates does in the Chamber's view not affect the general reliability of her account relating to her abduction and transfer between different LRA groups.

groups. ⁵⁹⁶⁵ P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 59. *See also* <u>T-67</u>, p. 19, lines 9-15, p. 21, lines 10-19

⁵⁹⁶⁶ P-0352 Statement, UGA-OTP-0260-0315-R01, at paras 60-61. See also <u>T-67</u>, p. 26, line 25 – p. 27, line 25.

⁵⁹⁶⁷ P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 74.

⁵⁹⁶⁸ P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 74.

live with the man she had been assigned to.⁵⁹⁶⁹ The Chamber notes that the witness testified that she could observe these events unfolding only from some distance⁵⁹⁷⁰ and that some of the information may come from what the witness could gather in that context from other people.⁵⁹⁷¹ Nevertheless, the Chamber does not consider that these factors put into question the reliability of the witness on this issue.

- 2153.P-0374 testified that on the day after her abduction, "distributed' the abductees among the houses of the different leaders; he pointed at each person and then an escort came and took them to a house. ⁵⁹⁷² P-0374 did not know what house she was being assigned to, and it was on the morning after that she realised she had been 'distributed' to "s house. ⁵⁹⁷³"
- 2154.P-0205 described a specific instance of 'distribution' which was undertaken in Sudan at the Imatong Hills. Sinia brigade soldiers, under the leadership of Dominic Ongwen, had arrived to meet Joseph Kony and brought with them a number of abducted girls. ⁵⁹⁷⁴ He stated that some girls were taken by Joseph Kony and went to his home, others were 'given' to Dominic Ongwen and were 'distributed' to the officers of Sinia brigade. ⁵⁹⁷⁵
- 2155.P-0406 also testified about a time when abducted girls were sent to Dominic Ongwen who 'distributed' them to the other commanders. ⁵⁹⁷⁶
- 2156. The issue of who in the LRA hierarchy held authority to 'distribute' abducted women and girls arose as a major dispute between the parties. The question as framed by the parties is whether Joseph Kony alone held that power, as is argued by the Defence, ⁵⁹⁷⁷ or whether other high commanders of the LRA, in particular brigade and battalion commanders, and including Dominic Ongwen, had it too, as argued by the Prosecution. ⁵⁹⁷⁸

⁵⁹⁶⁹ P-0352 Statement, UGA-OTP-0260-0315-R01, at para, 74; P-0352; T-67, p. 28, lines 5-23.

⁵⁹⁷⁰ P-0352: <u>T-67</u>, p. 71, line 5 – p. 72, line 13.

⁵⁹⁷¹ P-0352: <u>T-67</u>, p. 70, lines 15-19.

⁵⁹⁷² P-0374 Statement, UGA-OTP-0263-0023-R01, at para. 41. *See also* P-0374: <u>T-150</u>, p. 37, line 13 – p. 38, line 6.

⁵⁹⁷³ P-0374 Statement, UGA-OTP-0263-0023-R01, at para. 42.

⁵⁹⁷⁴ P-0205: <u>T-48</u>, p. 9, lines 13-16.

⁵⁹⁷⁵ P-0205: T-48-CONF, p. 10, lines 9-14.

⁵⁹⁷⁶ P-0406: <u>T-154</u>, p. 35, lines 2-8.

⁵⁹⁷⁷ Defence Closing Brief, para. 472.

⁵⁹⁷⁸ Prosecution Closing Brief, para. 127.

- 2157. This is a question of fact, but the relevance of this issue is also a question of law. In this regard, care must be taken to frame the issue correctly in light of the charges. In the view of the Chamber, whether LRA commanders on the ground, in particular Dominic Ongwen, autonomously decided on the 'distribution' of the abducted women and girls, or acted pursuant to general or specific orders from Joseph Kony, is not as such central to criminal responsibility as charged. 5979 The issue has therefore little relevance to the disposal of the charges brought against Dominic Ongwen. A similar point is also made correctly by the Prosecution, albeit in the context of competence to order abductions. 5980
- 2158. Turning to the evidence, the following preliminary remark is warranted. It can generally be expected that lower level insider witnesses may observe the 'distribution' of abducted women and girls, but may not necessarily know whether the commanders acted on their own initiative, or on specific order from higher up in the hierarchy. This came out clearly during the testimonies of P-0307⁵⁹⁸¹ and D-0117.⁵⁹⁸²
- 2159. Some witnesses testified confidently that Joseph Kony was the sole competent authority for 'distribution' of abducted women and girls. Yet their testimonies indicate that this assessment was not based on personal observation, but rather on a general understanding of the LRA that they had developed based on their experience in the bush, and which may not be accurate, especially in case of persons who never held leadership positions. 5983 The Chamber does not attribute much significance to such testimony.
- 2160, Still further, the question is not whether Joseph Kony himself 'distributed' women. There is clear evidence that he did, including directly to Dominic Ongwen. ⁵⁹⁸⁴ Rather, as stated, the question is whether Joseph Kony's power to decide on the 'distribution' of abducted women and girls was exclusive.

⁵⁹⁷⁹ See also section V.A.8.ii below.

⁵⁹⁸⁰ Prosecution Closing Brief, para. 121.

⁵⁹⁸¹ P-0307: T-153, p. 25, line 12 – p. 26, line 7.

⁵⁹⁸² D-0117: T-215, p. 19, line 16 – p. 20, line 19.

⁵⁹⁸³ See D-0034 Statement, UGA-D26-0022-0385, at para. 41. See also D-0034 Statement, UGA-D26-0022-0385, at para. 44; D-0118: T-216, p. 21, lines 1-2.

⁵⁹⁸⁴ D-0032: T-201, p. 46, line 24 – p. 47, line 6; D-0118: T-216, p. 21, line 18 – p. 22, line 15; D-0119: T-196-CONF, p. 16, lines 8-14. See also section IV.C.10.i above.

- 2161. In the assessment of the Chamber, the evidence demonstrates that the 'distribution' of the abducted women and girls was the prerogative of Joseph Kony, or, in his absence, of the Sinia brigade commander or battalion commanders.
- 2162. The question of which commander was competent to decide on the 'distribution' of abducted girls was discussed with P-0205. He testified that on one occasion, Dominic Ongwen took abducted girls to Joseph Kony in Sudan, where they were 'distributed'. P-0205, asked why it was necessary to wait until Sudan, stated:

In – in the LRA the boss has first to agree before ladies are distributed. Sometimes your brigade commander may come up with a decision, but often it is Kony who makes the decision. ⁵⁹⁸⁵

- 2163. Hillary Daniel Lagen testified that it was generally up to Joseph Kony to decide what to do with the abducted girls. ⁵⁹⁸⁶ He stated that while the LRA was in Sudan, all girls would first be brought to him for 'distribution', but when the LRA was dispersed in mobile units, this was done by way of radio communications. ⁵⁹⁸⁷
- 2164.P-0205 and Hillary Daniel Lagen's evidence shows that the degree of Joseph Kony's personal involvement in the 'distribution' of abducted women and girls varied and was dependent on his, and the concerned LRA units' location at a given point in time. Indeed, as explained above in relation to the general functioning of the hierarchical structure of the LRA, Joseph Kony' control over the organisation was tighter when LRA units were geographically close, and comparatively looser when there was a significant geographic distance between Joseph Kony and the units operating on the ground. ⁵⁹⁸⁸ This was regularly the case during the period of the charges, when Joseph Kony was in Sudan while various LRA operated in Northern Uganda. ⁵⁹⁸⁹ This variable level of control on the part of Joseph Kony dependent on his geographical proximity with the different LRA units was also a characteristic of the system of 'distribution' of abducted women and girls within the LRA.

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⁵⁹⁸⁵ P-0205: T-48-CONF, p. 11, lines 5-8.

⁵⁹⁸⁶ P-0040 Interview Transcript, UGA-OTP-0220-0779-R01, at 0799, lines 711-19.

⁵⁹⁸⁷ P-0040 Interview Transcript, UGA-OTP-0220-0779-R01, at 0799, lines 727-31.

⁵⁹⁸⁸ *See* paras 866-873 above.

⁵⁹⁸⁹ See paras 866-873 above.

2165. The form of looser involvement of Joseph Kony in this regard is described in particular by P-0142 and D-0134. P-0142 testified that 'the authority' for 'distribution' came from Joseph Kony, and on that basis Dominic Ongwen as the brigade commander, together with his brigade intelligence officer, identified 'who should be given a wife', and 'then they would give'. 5990 D-0134 testified that Joseph Kony had the authority to 'distribute' women, and that other commanders had to get authority from Joseph Kony to do so as well. ⁵⁹⁹¹ He confirmed that once Joseph Kony's green light had been given, commanders would 'distribute' women to fighters working under their command. 5992 He also confirmed that the commanders would choose which officer under their command should be given a woman. ⁵⁹⁹³ According to the evidence of P-0142 and D-0134 therefore, the final operational decision on the 'distribution' in an individual case came from the commander on the ground, and not from Joseph Kony.

2166. The Chamber notes that Hillary Daniel Lagen stated that at some point in 2002, Buk Abudema broke 'Kony's rule about [...] women': he abducted 'some girls' as ordered, but instead of 'keep[ing] them' as told, he had sex with them and 'distributed' them, including to Dominic Ongwen. 5994 Hillary Daniel Lagen first purported that in punishment in particular for Buk Abudema, the girls were released, then stated that some girls were reassigned to other units whereas some were released, before concluding that he in fact did not know whether that happened or not. 5995 The witness also stated that at that time Joseph Kony was saying that all officers in Sinia were under arrest, and that as part of his punishment, Buk Abudema had to ferry ammunition from Sudan to Uganda as Vincent Otti went to Soroti. 5996 The Chamber places limited weight on this evidence, which at most indicates a disagreement in relation to the 'distribution' of abducted girls in a particular case. As to the punishment, Hillary Daniel Lagen's evidence contains contradictions, but no satisfactory explanation as to his basis of knowledge.

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<sup>5990</sup> P-0142: <u>T-71</u>, p. 27, lines 17-25. See also p. 31, lines 7-11.
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⁵⁹⁹¹ D-0134: <u>T-240</u>, p. 27, lines 2-9. ⁵⁹⁹² D-0134: <u>T-241</u>, p. 5, lines 10-23.

⁵⁹⁹³ D-0134: <u>T-241</u>, p. 5, line 24 – p. 6, line 2.

⁵⁹⁹⁴ P-0040 Interview Transcript, UGA-OTP-0220-0779-R01, at 0794, lines 515-48.

⁵⁹⁹⁵ P-0040 Interview Transcript, UGA-OTP-0220-0779-R01, at 0795, line 562 – 0796, line 595.

⁵⁹⁹⁶ P-0040 Interview Transcript, UGA-OTP-0220-0779-R01, at 0796, lines 596-616.

- 2168.D-0117 testified that when she was abducted in 1996, most of the orders for 'distribution' came from Joseph Kony, but after a while, she saw other commanders issue such orders too. ⁶⁰⁰⁰ She stated that at some point many commanders were involved in the 'distribution' of women and 'Kony himself now also gave up on following up'. ⁶⁰⁰¹ At that point, according to D-0117, 'when a group abducts the girls, they would just 'distribute' the girls among themselves, they would only select a few that should be sent to Kony'. ⁶⁰⁰²
- 2169. The most radical interpretation of Joseph Kony's authority in relation to 'distribution' of abducted women and girls was provided by Daniel Opiyo, who stated that Joseph Kony alone had the power to 'distribute' abducted girls and that if anyone did it 'without permission from above' the person, i.e. 'the receiver' would be killed. However, in light of the abundant other evidence on the issue, which is also more nuanced and detailed, the Chamber does not accept Daniel Opiyo's testimony on this point.
- 2170. The Chamber also clarifies at this juncture that it does not attribute much value to P-0070's confirmation that Joseph Kony was the only one allowed to issue orders to 'distribute' so-called 'wives'. 6004 Without further detail, in particular without specifying

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^{5997} D-0006: \overline{\text{T-}194}, p. 25, lines 4-18.  
^{5998} D-0006: \overline{\text{T-}194}, p. 25, line 23 – p. 26, line 5.  
^{5999} D-0006: \overline{\text{T-}194}, p. 26, lines 6-12.  
^{6000} D-0117: \overline{\text{T-}215}, p. 22, line 21 – p. 23, line 6.  
See\ also\ p.\ 6, lines 17-18.  
^{6001} D-0117: \overline{\text{T-}215}, p. 23, line 21 – p. 24, line 2.  
^{6002} D-0117: \overline{\text{T-}215}, p. 24, lines 4-6.  
^{6003} D-0056: \overline{\text{T-}228}, p. 27, lines 13-17.  
^{6004} P-0070: \overline{\text{T-}107}, p. 43, line 21 – p. 44, line 1.
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- whether these were individual orders or orders of a more general nature, this evidence does not assist in determining the matter at hand.
- 2171. There is also considerable evidence demonstrating that, regardless of the hierarchical structure of the LRA with Joseph Kony at its top, brigade or battalion commanders, including Dominic Ongwen, did in fact 'distribute' abducted women and girls.
- 2172. Several witnesses testified that they observed Dominic Ongwen 'distributing' abducted women and girls. P-0054 testified that the decision on the 'distribution' of a woman was in the hands of the brigade commander, and that Dominic Ongwen made such decisions when he was Sinia brigade commander. 6005 P-0054 stated that he saw Dominic Ongwen making such decisions several times, and described the process as follows:

When the girls are brought, when he sees that a particular officer is ready to have wives, then he would say, 'You go with this girl, let her stay at your home. She is now your wife. '6006

- 2173.P-0340 testified that abducted women or girls were taken to Dominic Ongwen to be 'distributed'. 6007 The witness stated that he did not personally see Dominic Ongwen doing the 'distribution', explaining that 'in most instances the girls and the women were taken to him and then would only realise when these people are coming back with the girls'. 6008 In the view of the Chamber, this evidence is in line with what could be expected from someone in P-0340's position, and as such considers the statement to be reliable.
- 2174. Other lower ranking insiders provided similar testimony. P-0252 testified that '[t]he girls were distributed by Odomi', i.e. Dominic Ongwen, and that he saw this happen. 6009 P-0314 testified that if girls were abducted, they were handed over to Dominic Ongwen and after he has 'taken some of the girls', the girls would be given to any other boy. 6010 P-0379 testified that after abduction, all girls were handed over to the 'overall commander', i.e. Dominic Ongwen, who was the one with authority over the girls and who decided on the 'distribution'. 6011 P-0372 also testified that while he was in Sinia,

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<sup>6005</sup> P-0054: <u>T-93</u>, p. 38, line 24 – p. 39, line 5.
6006 P-0054: T-93, p. 39, lines 6-13.

6007 P-0340: T-102, p. 42, line 10 – p. 43, line 10.

6008 P-0340: T-102, p. 43, lines 5-9.
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⁶⁰⁰⁹ P-0252: T-88, p. 3, lines 5-9.

⁶⁰¹⁰ P-0314: <u>T-74</u>, p. 57, lines 20-24.

⁶⁰¹¹ P-0379: <u>T-57</u>, p. 36, line 18 – p. 37, line 10. See also <u>T-56</u>, p. 52, lines 5-8; T-57-CONF, p. 15, lines 6-11.

Dominic Ongwen had the authority to 'distribute' abducted girls. He stated slightly more generally that 'the person who is overall in charge of the convoy is the one who has the authority to give those girls'. P-0330 also stated that it was Dominic Ongwen who 'was the one giving women', referring also to a specific instance of Dominic Ongwen 'distributing' an abducted girl to a soldier, which he saw. 6014

2175.P-0410 stands out among the Sinia insider witnesses, as he testified that in his unit, Okwee was 'responsible for distributing the girls [...] and he would bring the girls to the commanders'. 6015 He stated specifically that he was present and saw Okwee 'give' Awelo as 'wife' to his commander Komakech. 6016 The Chamber does not deem this to be an issue of significance, as the witness merely testified about the act of 'distribution' that he saw, without purporting that this meant that Okwee as a lower-level commander independently took the decision.

2176.P-0233 stated:

[M]ost LRA commanders who are in charge of a particular group usually have these orders given to them and they will look at this girl and say that these particular girls are now of age and are ready [...] to have husbands. So they would be given out. Even Dominic himself did that. Other commanders like Odhiambo did that. Several other commanders did that.

2177.P-0233 further testified that the orders came from Joseph Kony down the chain of command to commanders like Dominic Ongwen and further down to commanders with smaller groups. 6018 In answer to the question how he knew that Dominic Ongwen was the one 'distributing' women as so-called 'wives', P-0233 stated that the men who received them would mention that. 6019

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6012 P-0372: T-148, p. 55, lines 6-7.
6013 P-0372: T-148, p. 55, lines 4-5.
6014 P-0330: T-52, p. 63, lines 6-19.
6015 P-0410: T-151, p. 56, lines 1-8.
6016 P-0410: T-151, p. 56, line 23 - p. 57, line 2.
6017 P-0233: T-111, p. 51, lines 9-14.
6018 P-0233: T-111, p. 52, lines 11-18.
6019 P-0233: T-111, p. 52, line 23 - p. 53, line 1.
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- 2178.P-0097 testified that a soldier called Ogwal was 'given' a so-called 'wife', and that Ogwal told him that it was Dominic Ongwen who had 'given' Ogwal the girl to be his 'wife'.6020
- 2179. Speaking in more general terms, P-0264 stated that the decision on the 'distribution' of abducted women or girls came from the brigade commander. 6021 Asked how he knew, he stated that he witnessed this. 6022 Later he stated that Ben Acellam as Oka battalion commander was responsible for the 'distribution'. 6023 The Chamber does not deem this to be a contradiction in the testimony of the witness, but merely a description on the facts that he observed.
- 2180, P-0138 testified that a commander would make the decision for each LRA member, depending on how long he had been in the LRA, on 'whether the person needs a wife', and then '[t]hey take a girl and give it to that person'. 6024 P-0138 specifically stated that not only Joseph Kony, but also brigade commanders had the power to make that decision. 6025
- 2181. Also P-0085 was asked who made the decision that a man was 'able to have a wife', and responded that this was 'the senior commander, perhaps the brigade commander'. 6026 P-0085 testified that the senior commander would ask the CO who in the battalion was able to receive a so-called 'wife', that the CO would then speak to the brigade commander, and that then a decision was made to take the girls and 'give' them to the people in the brigade.6027
- 2182. In conclusion on the issue of authority to 'distribute' the abducted women and girls, the Chamber does not deem the two strains of the evidence, each emphasised by one party, to be in real conflict. It is established that Joseph Kony held the highest authority in the LRA, and as such also over Sinia. It is also established that he issued orders, mostly of a general nature as he was geographically removed, for the 'distribution' of abducted

⁶⁰²⁰ P-0097: <u>T-108</u>, p. 26, line 24 – p. 27, line 3.

⁶⁰²¹ P-0264: T-65, p. 3, lines 17-23. The Chamber notes that the witness differentiated this from the determination of whether a girl was considered old enough to be 'given' as a 'wife', see para. 2251 below.

⁶⁰²² P-0264: <u>T-65</u>, p. 4, lines 2-4.

⁶⁰²³ P-0264: <u>T-66</u>, p. 38, line 16 – p. 39, line 9.

⁶⁰²⁴ P-0138: <u>T-120</u>, p. 27, lines 10-21. 6025 P-0138: <u>T-120</u>, p. 27, line 22 – p. 28, line 1.

⁶⁰²⁶ P-0085: T-158, p. 46, lines 10-15, p. 47, lines 10-14.

⁶⁰²⁷ P-0085: T-158, p. 46, lines 15-19.

women and girls. This is, however, entirely compatible with other evidence which establishes clearly also that other high commanders of the LRA, namely the brigade and battalion commanders, and including Dominic Ongwen, decided on the 'distribution' of women and girls in Sinia. If anything, the Chamber considers the evidence of who decided on the 'distribution' of the abducted women and girls to further support the conclusion that the LRA system of abduction and abuse of women and girls was coordinated among the LRA leadership.

iv. Coercive environment

The abducted women and girls were threatened with death if they attempted to escape. In some cases, women and girls were in fact killed for attempted escape. In other cases, they were severely beaten. In addition, they were placed under heavy guard. 6028

2183.Before addressing the evidence in relation to the coercive environment into which the abducted women and girls were placed, it must be noted that this coercive environment is simply a more specific expression of the general system of control that existed in the LRA to ensure obedience by its members.⁶⁰²⁹ The evidence discussed hereunder must therefore be understood also in that context.

2184.P-0352 testified about the threats she received in relation to escaping. Her detailed account of the threat and its effect is of great value to the analysis of the Chamber:

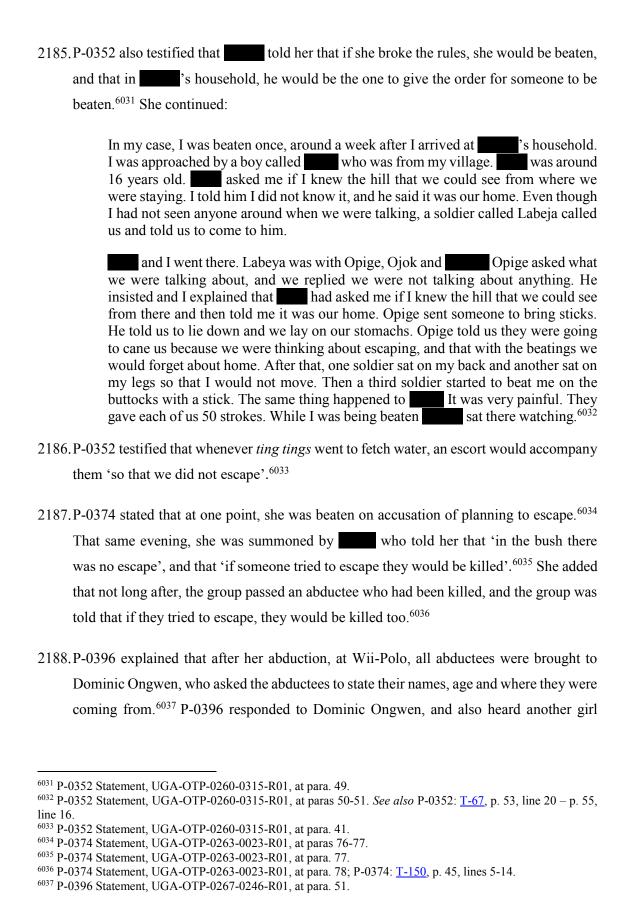
The day I was smeared with shea butter,	told me that if I tried to escape and
the Holy caught me they would kill me. I	He said that if I was caught by government
soldiers or any civilian they would also	kill me. He said that if I did try to escape
the shea butter he had smeared me with	would confuse me until I was caught by
the Holy and killed. also said that	at I should not think about home, and if I
did my legs would swell and I would die	. He also said that I should not talk to any
person except for the ones I lived with.	

During the first month I stayed with my legs were injured and I could not really walk. One leg was swollen and the other one had wounds from the dry grass that had become septic. Told me that my legs were swollen because I was thinking about home and that if they kept swelling I would be killed. I was worried and I did not want to be killed, so I continued walking.

⁶⁰²⁹ See section IV.C.2.ii.e above.

⁶⁰²⁸ Para. 215 above.

⁶⁰³⁰ P-0352 Statement, UGA-OTP-0260-0315-R01, at paras 44-45.



state she was 16, and another that she was 7 years old. 6038 After a few days, still at the same location, the abductees were beaten with sticks by LRA soldiers on the order of Dominic Ongwen, who stated that the 'LRA have to remove the civilian from [them]'. 6039 At a later occasion, still at Wii-Polo, Kalalang, Ocang and Dominic Ongwen addressed the abductees. 6040 Dominic Ongwen introduced himself first, and said he was the commander of the group. 6041 Thereafter, Kalalang and Ocang introduced themselves. 6042 They said that 'whoever tries to escape, they will chase him until they kill him or her'. 6043 They also said that 'if you are given a husband and refuse to be his wife you will be killed'. 6044 Both Dominic Ongwen and Kalalang said this. 6045

- 2189.P-0396 further testified that on one occasion, a girl was brought to the commanders after she was caught trying to escape. Everybody was called to see what was happening 'so that we know what will happen to us if we try to escape'. The witness stated that an LRA soldier beat the girl with a stick until she died. P-0396 specified that Dominic Ongwen was present when the girl was killed. Ongwen was present when the girl was killed.
- 2190.P-0351 also stated that some girls who tried to escape were killed, and that she thought that if she tried to escape, she would be killed too.⁶⁰⁵⁰
- 2191. The above evidence is corroborated by P-0379, who testified that he saw one instance when a woman called Eva, who had been assigned as a 'wife' to Ot Ngec, attempted to escape, and she was punished severely. He explained that Ot Ngec had died, so Eva was staying in Odong Cow's household, and it was Odong Cow who ordered Eva to be beaten. She was beaten with sticks. P-0379 also specified that at the time, Dominic

⁶⁰³⁸ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 51.

⁶⁰³⁹ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 53.

⁶⁰⁴⁰ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 57.

⁶⁰⁴¹ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 57.

 $^{^{6042}\} P\text{-}0396\ Statement,\ UGA\text{-}OTP\text{-}0267\text{-}0246\text{-}R01,\ at\ para.\ 57.$

 ⁶⁰⁴³ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 57.
 6044 P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 57.

⁶⁰⁴⁵ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 57.

⁶⁰⁴⁶ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 97.

⁶⁰⁴⁷ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 97.

⁶⁰⁴⁸ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 97.

⁶⁰⁴⁹ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 97.

⁶⁰⁵⁰ P-0351 Statement, UGA-OTP-0263-0002-R01, at para. 77.

⁶⁰⁵¹ P-0379: <u>T-57</u>, p. 40, lines 10-12.

⁶⁰⁵² P-0379: T-57, p. 42, lines 14-20.

⁶⁰⁵³ P-0379: T-57, p. 43, lines 4-7.

Ongwen was Odong Cow's superior, although he was not physically present on this occasion. 6054

Abducted women and girls were also forced to beat or kill other abductees for attempting escape or breaking rules. 6055

- 2192. As generally with all LRA abductees, ⁶⁰⁵⁶ the evidence indicates specifically that also abducted women and girls were forced to beat or kill other abductees for attempting escape or breaking rules. In the Chamber's assessment, as argued by the Prosecutor, ⁶⁰⁵⁷ this was a tenet of the coercive environment to which the abducted women and girls were exposed.
- 2193.P-0352 described being forced, on Dominic Ongwen's orders, to take part in the killing of another girl who had been accused of witchcraft.⁶⁰⁵⁸ P-0351 similarly stated that she was forced to step on a boy who had tried to escape but was caught, until he died.⁶⁰⁵⁹ P-0351 stated that the 'boys with guns' who forced her to perform this act said that they wanted the new abductees who had not killed people to come and kill someone.⁶⁰⁶⁰
- 2194.P-0396 also testified about an instance when she was forced to kill. 6061
- 2195. The Chamber also notes in this context its findings with respect to similar experiences suffered by P-0226 and P-0236. 6062 In addition, this is a phenomenon similar to that experienced by male recruits, and in the view of the Chamber, the discussion there is equally applicable to the situation of abducted women and girls. 6063

Occasionally, abducted women and girls were released. 6064

2196. The Chamber considers the fact that women and girls were occasionally released as also indicative of the status of the abducted women and girls in the LRA since it is a further

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<sup>6054</sup> P-0379: T-57, p. 43, lines 8-13.
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⁶⁰⁵⁵ Para. 215 above.

⁶⁰⁵⁶ See paras 916-930 above.

⁶⁰⁵⁷ Prosecution Closing Brief, para. 118.

⁶⁰⁵⁸ P-0352 Statement, UGA-OTP-0260-0315-R01, at paras 100-04. *See also* P-0352: T-67-CONF, p. 33, line 6 – p. 34, line 4.

⁶⁰⁵⁹ P-0351 Statement, UGA-OTP-0263-0002-R01, at paras 35-36.

⁶⁰⁶⁰ P-0351 Statement, UGA-OTP-0263-0002-R01, at para. 35.

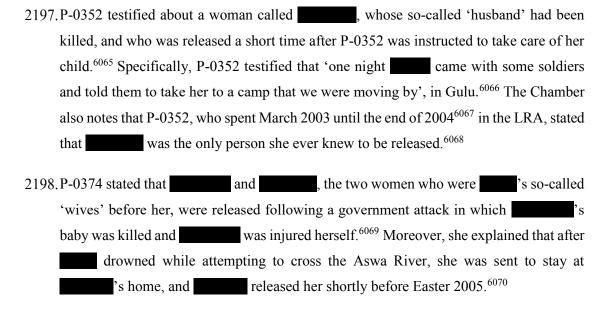
⁶⁰⁶¹ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 99.

⁶⁰⁶² See section IV.C.10.ii.d above.

⁶⁰⁶³ See paras 916-930 above.

⁶⁰⁶⁴ Para. 215 above.

indication that they were constrained and could not leave of their own choice. In the view of the Chamber, the specific incidents recounted by the witnesses below prove that such releases were the exception and not the rule.



- 2199.P-0372 testified that abducted women and girls could occasionally be released after giving birth, if they could not move with the group because of having to take care of the baby. 6071
- 2200. An ISO logbook entry on 1 July 2004 records a conversation between Dominic Ongwen, Joseph Kony and Kapere in relation to the release of one woman. Dominic Ongwen requested permission from Joseph Kony to release her because she was a casualty and a liability, as she could not move fast. 6072 Kapere warned Dominic Ongwen to be very

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⁶⁰⁶⁵ P-0352 Statement, UGA-OTP-0260-0315-R01, at paras 59, 62.

⁶⁰⁶⁶ P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 62.

⁶⁰⁶⁷ P-0352 testified that after the attack on Odek, she escaped around October or November (P-0352: <u>T-67</u>, p. 70, lines 9-14), while a government survey form indicates that she escaped on 5 December 2004 (UGA-OTP-0270-0166, at 0169) and a form signed on 13 December 2004 includes a photograph regarding which the witness testified that it was taken shortly after her escape (*see* UGA-OTP-0249-0293; P-0352: T-67-CONF, p. 37, lines 1-11).

⁶⁰⁶⁸ P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 62.

⁶⁰⁶⁹ P-0374 Statement, UGA-OTP-0263-0023-R01, at paras 98-99.

⁶⁰⁷⁰ P-0374 Statement, UGA-OTP-0263-0023-R01, at paras 140-157.

⁶⁰⁷¹ P-0372: <u>T-148</u>, p. 56, lines 14-21.

⁶⁰⁷² ISO Logbook (Gulu), UGA-OTP-0062-0002, at 0077. See also UPDF Logbook (Gulu), UGA-OTP-0254-2982, at 3118; UPDF Logbook (Lira), UGA-OTP-0255-0228, at 0348.

careful when releasing such a person as she could reveal his position to the UPDF.⁶⁰⁷³ Joseph Kony advised Dominic Ongwen to select some soldiers to take the woman far from his position and release her there after one week.⁶⁰⁷⁴

2201. Finally in this section, the Chamber makes reference to the finding above in relation to the release of P-0101 in July 2004. 6075

v. Forced marriage and sexual violence

Joseph Kony, Dominic Ongwen and the Sinia brigade leadership designated abducted women and girls as so-called 'wives' of male members of Sinia brigade. Occasionally, ceremonies were performed to mark the so-called 'marriage'. Frequently no such ceremony occurred, and abducted women and girls were considered so-called 'wives' from the time they were first forced to have sex with the man they had been assigned to. The abducted women and girls were not able to refuse. Dominic Ongwen personally assigned women and girls as so-called 'wives' and used his authority as LRA commander to enforce the so-called 'marriage' in Sinia brigade. 6076

2202. So-called 'marriage' between male members of the LRA and abducted women and girls was an important topic in many testimonies heard by the Chamber. The analysis of the Chamber begins by laying out the evidence provided by the five women who testified before the Chamber about their own experience as so-called 'wives' in Sinia. Thereafter, the Chamber will refer to other insider evidence and reconstruct the features of so-called 'marriage' in the LRA as a quasi-institution regulated by a specific set of rules.

2203.P-0351, who was abducted in December 2002 at the age of approximately 12 years old, ⁶⁰⁷⁷ testified that one day, one of Dominic Ongwen's escorts told her that the commanders were calling her. ⁶⁰⁷⁸ She found Dominic Ongwen sitting 'with the people that he liked to sit with, other commanders, including one called ______. ⁶⁰⁷⁹ Dominic Ongwen told her that she 'would become ______. 's wife'. ⁶⁰⁸⁰ The Chamber notes that there is considerable evidence that ______ was a prominent member of Sinia

⁶⁰⁷³ ISO Logbook (Gulu), UGA-OTP-0062-0002, at 0077. See also UPDF Logbook (Gulu), UGA-OTP-0254-2982, at 3118; UPDF Logbook (Lira), UGA-OTP-0255-0228, at 0348.

⁶⁰⁷⁴ ISO Logbook (Gulu), UGA-OTP-0062-0002, at 0078. *See also* UPDF Logbook (Lira), UGA-OTP-0255-0228, at 0348.

⁶⁰⁷⁵ See section IV.C.10.iii.b below.

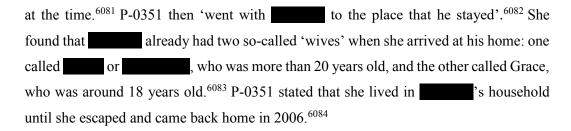
⁶⁰⁷⁶ Para. 216 above.

⁶⁰⁷⁷ See section IV.B.2.iii.b above.

⁶⁰⁷⁸ P-0351 Statement, UGA-OTP-0263-0002-R01, at para. 71.

⁶⁰⁷⁹ P-0351 Statement, UGA-OTP-0263-0002-R01, at para. 71.

⁶⁰⁸⁰ P-0351 Statement, UGA-OTP-0263-0002-R01, at para. 71.



2204.P-0351 specifically stated that she did not choose to become 's so-called 'wife':

In the bush women and girls have no choice. You cannot choose who your husband is. It is only after you have been given to someone that you realise it has happened. Most of the girls in the bush were given to men as wives. I think they were distributed the same way as it happened to me, they were just given. I did not see other girls been given as wives but when you would meet with the girls you would see that they had become the wife of so and so. 6085

2205.P-0352, who was abducted in March 2003 at the age of 12 years old and joined Dominic Ongwen's group around five to six months after that, 6086 testified that after a period as *ting ting* in _______'s household, about a month after they joined Dominic Ongwen's group, _______ called her to his tent. 6087 P-0352 stated:

When I arrived he asked if I really knew what had brought me here. I said I did not know and he told me to make his bed and that now I was his wife.

I started crying and thought how could I become his wife, he was an old man, he had grey hair, and I did not want to be his wife. When I started crying he asked me 'between death and life, what do you choose?' He asked me this twice and then I said I choose life. ⁶⁰⁸⁸

2206.P-0352 also stated that she did not know of any girl who refused to become a 'wife', and that '[i]f you refused that would mean that you would die'.⁶⁰⁸⁹

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<sup>6081</sup> See para. 890 above.
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⁶⁰⁸² P-0351 Statement, UGA-OTP-0263-0002-R01, at para. 71.

⁶⁰⁸³ P-0351 Statement, UGA-OTP-0263-0002-R01, at paras 72-73.

⁶⁰⁸⁴ P-0351 Statement, UGA-OTP-0263-0002-R01, at paras 90, 94-96.

⁶⁰⁸⁵ P-0351 Statement, UGA-OTP-0263-0002-R01, at para. 78. *See also* P-0351: <u>T-129</u>, p. 7, line 21 – p. 8, line

⁶⁰⁸⁶ P-0352 Statement, UGA-OTP-0260-0315-R01, at paras 11-12, 54-55.

⁶⁰⁸⁷ P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 66.

⁶⁰⁸⁸ P-0352 Statement, UGA-OTP-0260-0315-R01, at paras 66-67. It is noted that when testifying before the Chamber, the witness reiterated the same sequence, with discrepancy in insignificant details only, *see* P-0352: <u>T-67</u>, p. 20, line 22 – p. 21, line 6. The ensuing rape of the witness by is discussed below at paras 2258-2259. 6089 P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 71.

2207.P-0366 testified that after a period as *ting ting* in Dominic Ongwen's household, she was told to attend a gathering with Dominic Ongwen, several of his escorts and other girls.⁶⁰⁹⁰ She stated:

We were told to remove our clothes and Odomi told us to go into the water. According to the ritual we had to go in and out of the water four times. After we had done this we came out of the water and Nyang put shea butter on each of us, the sign of the cross on our chests, our backs and our palms. Odomi watched to see if the ritual was done correctly.

Odomi told us that we should stay with the person we were given to and obey him. He said that if we disobeyed that person, we would be beaten. I do not know how it was decided which boy we would go with, or who decided this.

[...] I was given to He told me to pick up his bag and after that we walked to the place where he lived. 6091

2208. Asked in court about "s function in the LRA, P-0366 stated that she did not pay attention to what he did exactly, but that he 'would sit close to where Odomi was', that '[w]herever Odomi was, he would be close there', and that he was 'like an escort'. 6092 While noting P-0366's understandably limited ability to know and describe hierarchical features of the LRA, the Chamber deems P-0366's evidence sufficient to establish that was Dominic Ongwen's subordinate in Sinia. Further, whereas there is uncertainty about the point in time when P-0366 was assigned to 6093 it is noted that the witness was so-called 'wife' at the time of Dominic Ongwen's injury and stay in sickbay in late 2002 and into 2003, 6094 and up until her escape in mid-February 2003. 6095 It is therefore beyond doubt that the facts described by P-0366 at least partly fall within the period of the charges. The Chamber notes that while the statement of P-0366 contains a contradiction in respect of her age, she was at most 13-14 years old in late 2002 and early 2003. 6096

⁶⁰⁹⁰ P-0366 Statement, UGA-OTP-0260-0289-R01, at paras 69-70.

⁶⁰⁹¹ P-0366 Statement, UGA-OTP-0260-0289-R01, at paras 72-75.

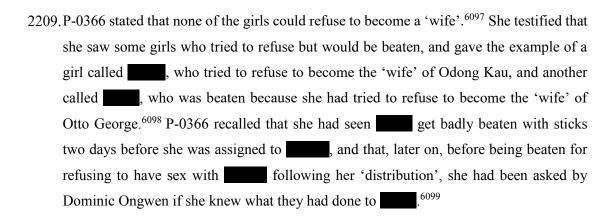
⁶⁰⁹² P-0366: <u>T-147</u>, p. 82, lines 14-18.

⁶⁰⁹³ See section IV.B.2.iii.c above.

⁶⁰⁹⁴ P-0366 Statement, UGA-OTP-0260-0289-R01, at paras 98-99, paras 121-127. See also section IV.B.2.iii.c above.

⁶⁰⁹⁵ See section IV.B.2.iii.c above.

⁶⁰⁹⁶ P-0366 Statement, UGA-OTP-0260-0289-R01, at 0289 (recording the birthdate as 1992); at para. 13 (stating that she was 11 years old in December 2000).



2210.P-0374's story is very similar. She testified that one day called her and told her: 'you are going to be my wife. Like the women I released you are going to be with me and have children. So you will stay with me here where I sleep.' P-0374's statement continues as follows:

I became fearful and started shaking because I thought that he was going to start to sleep with me and I was just a child. was quite big, much older than me, maybe between 20 and 30 years old. I did not respond because I feared that if I replied he would beat me. I think he expected me to say that I accepted to be his wife. He told me that from that day I had to make his bed, wash his clothes and go to sleep with him. I did not want to be his wife because I was too young. I did not know what it was to be with a man and it was not my wish to be with him. 6101

2211.P-0374 stated that for two days she did not go to sleep with him but she washed clothes and prepared his bed as usual. Then one night called her to bring him his toothbrush, and finally forced her into sex. Whereas P-0374 understandably did not provide a date for when she became the so-called 'wife' of the Chamber notes that P-0374 was aged between 10 and 12 during the time of her stay with the LRA.

2212. As to P-0396, she stated that while she was still in Wii-Polo, a short time after her abduction at the age of 13 years old, one morning she and other girls were summoned by

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⁶⁰⁹⁷ P-0366 Statement, UGA-OTP-0260-0289-R01, at para. 93.

⁶⁰⁹⁸ P-0366 Statement, UGA-OTP-0260-0289-R01, at para. 94.

⁶⁰⁹⁹ P-0366 Statement, UGA-OTP-0260-0289-R01, at para. 94; P-0366: <u>T-147</u>-CONF, p. 12, line 3 – p. 15, line 19.

⁶¹⁰⁰ P-0374 Statement, UGA-OTP-0263-0023-R01, at para. 101.

⁶¹⁰¹ P-0374 Statement, UGA-OTP-0263-0023-R01, at para. 101.

⁶¹⁰² P-0374 Statement, UGA-OTP-0263-0023-R01, at para. 102.

⁶¹⁰³ P-0374 Statement, UGA-OTP-0263-0023-R01, at para. 103; this is analysed further below, *see* paras 2260-2263 below.

⁶¹⁰⁴ See P-0374 Statement, UGA-OTP-0263-0023-R01, at paras 14, 157.

- 2213.P-0396 testified that she also saw that on the same occasion a girl called was given to Ocang and a girl called was given to Ocii. 6115
- 2214.P-0396 stated that after she became so-called 'wife', was with her most of the time, and if he went to 'work in the villages' he would leave her with the so-called 'wives' of Dominic Ongwen and with 'other boys who remained behind to guard us'. 6116
 P-0396 finally managed to escape in April or May 2005. 6117
- 2215. The notion of 'marriage' and the role of 'wife' are further elucidated by an abundance of evidence.

⁶¹⁰⁵ P-0396 Statement, UGA-OTP-0267-0246-R01, at paras 13, 65.

⁶¹⁰⁶ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 67.

⁶¹⁰⁷ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 68.

⁶¹⁰⁸ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 68.

 $^{^{6109}\,}P\text{-}0396$ Statement, UGA-OTP-0267-0246-R01, at para. 69.

⁶¹¹⁰ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 69. ⁶¹¹¹ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 70.

⁶¹¹² P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 70.

⁶¹¹³ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 70.

⁶¹¹⁴ P-0396: <u>T-126</u>, p. 63, line 19 – p. 64, line 4.

⁶¹¹⁵ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 71. P-0396 testified that she heard the girls tell Dominic Ongwen previously that they were, respectively, seven and 16 years old. P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 51.

⁶¹¹⁶ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 84.

⁶¹¹⁷ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 102.

- 2216. First, the Chamber turns to the testimonies of those women whose personal experience, while not falling within the charges, nevertheless provides corroboration to the above testimonies.
- 2217.P-0045 stated that there was a general practice for women and girls to become someone's 'wife'. 6118 She stated that under the 'rules of the movement', the woman or girl could not refuse. 6119 Due to the witness's personal experience, and the length of her stay in the LRA, the Chamber deems this statement to have considerable probative value. 6120
- 2218. Evelyn Amony stated the following on the issue of consent to becoming Joseph Kony's so-called 'wife':

I had no choice because if you compare the distance from Sudan and you also look at somebody who has a gun, and I don't have a gun, somebody who has soldiers and I who has nothing, I had no right to accept that. You know, when you are there, you stay with somebody, not out of love, but you stay with somebody because you need to protect your own life. 6121

- 2219.D-0119's testimony of her own 'distribution' as 'wife' corroborates the testimony of several other witnesses that when attempting to refuse the so-called 'marriage', the women and girls were asked whether they choose life or death. 6122
- 2220. Several insider witnesses provided evidence concerning the institutionalised character of the so-called 'marriage' in the LRA.
- 2221.P-0138 testified that girls were kept for about six months, 'to get used to being in the LRA, maybe also to determine that they do not have any illness', and then they were 'given to a husband'. 6123 P-0138 categorically denied the possibility that the woman or girl had the power to refuse being 'given' to a man as a 'wife'. 6124 He stated:

If you refuse to go to a particular husband, that means that you do not want to live, that means that you will be killed and you will be killed immediately. So you make

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6118 P-0045: T-103, p. 77, lines 11-16.
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⁶¹¹⁹ P-0045: <u>T-103</u>, p. 77, lines 3-10; <u>T-104</u>, p. 72, line 24 – p. 73, line 3.

⁶¹²⁰ See section IV.B.2.ii.a.iii above.

⁶¹²¹ D-0049: <u>T-243</u>, p. 49, lines 6-11. Evelyn Amony stated that Joseph Kony told her that she had to choose between life and death, and that if she wanted to live, she had to be his 'wife'. Joseph Kony also pointed a pistol to Evelyn Amony's head (<u>T-243</u>, p. 49, lines 12-16).

⁶¹²² D-0119: <u>T-196</u>, p. 6, line 23 – p. 7, line 8.

⁶¹²³ P-0138: <u>T-120</u>, p. 26, line 18 – p. 27, line 9.

⁶¹²⁴ P-0138: <u>T-120</u>, p. 28, lines 9-20.

the decision: Do I want to live or do I want to die? So you make that decision. If they give you to a particular man, if you decide to live, then you go to that man. That's how it is.⁶¹²⁵

- 2222.P-0138 stated that he himself saw the 'distribution' of girls as so-called 'wives'. 6126 Moreover, he referred to a specific incident when, at Tegot Kilak, a girl refused her so-called 'husband' and in punishment a number of female recruits were made to kill the girl. 6127
- 2223.P-0252 testified that a soldier could receive a 'wife' when he was aged about 14 years and above and deemed 'suitable' by Dominic Ongwen.⁶¹²⁸
- 2224.P-0264 testified that in the LRA, there was a rule that 'a mature woman, one deemed to be mature enough to be able to have sex with a man should be assigned to a husband'. 6129 P-0264 was asked whether the women had any choice in whether they became 'wives' or not, and responded as follows:

No, they did not have a choice. Whenever you are assigned to a husband you don't have a choice whether or not to have children or not to stay with the husband. You did not have a choice. You just have to follow. 6130

- 2225.P-0264 also testified that Dominic Ongwen 'gave' women to soldiers 'as a reward'. 6131
- 2226.P-0406 stated that among the girls who were abducted and 'distributed' to commanders, 'some of them [were] said to become their wives'. He recalled a specific instance in Labwor Omor, when a girl was 'given' to an old man named Obol to become his 'wife'. P-0406 stated that the girl refused, whereupon she was beaten on Dominic Ongwen's order until she accepted.
- 2227.P-0307 observed that based on what he saw during his time in Sinia, some 'really young [girls] were forced to have a husband'. 6135 He stated that they could not say no 'because

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6125 P-0138: T-120, p. 28, line 21 – p. 29, line 3.
6126 P-0138: T-120, p. 29, lines 4-13.
6127 P-0138: T-120, p. 29, lines 3 – p. 30, line 3.
6128 P-0252: T-87, p. 49, lines 11-19.
6129 P-0264: T-65, p. 10, line 21 – p. 11, line 2.
6130 P-0264: T-65, p. 10, lines 17-20.
6131 P-0264: T-64, p. 87, lines 9-20.
6132 P-0406: T-154, p. 35, lines 8-9.
6133 P-0406: T-154, p. 35, lines 9-11.
6134 P-0406: T-154, p. 35, lines 11-14, p. 36, lines 14-20.
6135 P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 65.
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they were afraid for their lives'. 6136 P-0307 did not see any girl refuse a husband but that he believed that refusing such an order could 'cost a girl her life or result in serious beating', because usually the punishment for disobeying orders could be beating or death. 6137

2228. The Chamber notes that there is a great volume of consistent evidence elucidating the special status of so-called 'widows' in the LRA, i.e. of women who had been assigned to men as a so-called 'wife' and where the men subsequently died. Essentially, the evidence is that so-called 'widows' were given a degree of choice in the sense that in addition to having a say in who their next so-called 'husband' would be, they could also remain without one, at least for some time. However, specific evidence also indicates that at least on occasions, so-called 'widows' were forcibly assigned to another man. In any case, the Chamber does not deem this evidence to have any bearing on its finding that so-called 'marriages' in Sinia, and the LRA more generally, were forced. At the same time, the almost identical reporting by several witnesses of the existence of a specific rule related to the treatment of 'widows' in the LRA is yet another factor indicating that the practices related to so-called 'marriage' in the LRA were institutionalised and were governed by a specific set of rules of general applicability broadly known to LRA members.

2229. The Chamber also heard evidence on the issue whether men in the so-called 'marriages' in the LRA could refuse the arrangement. This issue is not directly relevant to the case, as it is without bearing on the relevant facts as concerns the coercion of abducted women and girls. In any case, the Chamber observes, on the basis of the witnesses'

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⁶¹³⁶ P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 65.

⁶¹³⁷ P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 65.

 $^{^{6138}}$ See P-0045: $\underline{\text{T-}104}$, p. 72, lines 8-23; P-0070: $\underline{\text{T-}107}$, p. 44, lines 9-16; P-0085: $\underline{\text{T-}159}$, p. 10, line 21 – p. 11, line 7; P-0205: T-49-CONF, p. 74, line 10 – p. 75, line 6; D-0034 Statement, UGA-D26-0022-0385, at para. 43; D-0049: $\underline{\text{T-}243}$, p. 54, line 20 – p. 55, line 9; D-0118: $\underline{\text{T-}216}$, p. 21, lines 3-17. See also P-0172: $\underline{\text{T-}113}$, p. 51, line 15 – p. 52, line 4 (notably stating that after a period of mourning a 'widow' could choose a man, but could not stay on her own).

 $^{^{6139}}$ D-0119: $\underline{\text{T-196}}$, p. 17, line 4 – p. 21, line 4 (testifying that she was assigned as so-called 'wife' against her will three times, after two of the men to whom she had previously been assigned, died). See also $\underline{\text{T-196}}$, p. 22, lines 15-17; D-0049: $\underline{\text{T-243}}$, p. 55, line 13 – p. 57, line 24.

⁶¹⁴⁰ The Defence submits that '[e]ven in sexual relations, neither men nor women had a choice but to follow the rules'; see <u>Defence Closing Brief</u>, para. 475. See also <u>Defence Closing Brief</u>, para. 683.

- diverging and sometimes confused reactions to the question,⁶¹⁴¹ that imposing so-called 'marriage' on men in the LRA was not an issue in the LRA.
- 2230. Finally in this section, the Chamber provides an overview of the evidence demonstrating that forced marriage was a common fact in Sinia at the time relevant for the charges, and that commanders and other relatively senior fighters generally had so-called 'wives'.
- 2231.P-0142 estimated that there were more than 100 so-called 'wives' in Sinia in 2003-2004. 6142 In light of the witness's long experience within Sinia, the Chamber finds this estimate reliable. 6143
- 2232.P-0070 testified that '[m]ost of the LRA commanders in the bush had wives', and that '[s]ome people had 10 wives, some people had 20'.6144
- 2233. In addition to the evidence of P-0351, P-0352, P-0366, P-0374 and P-0396 discussed above, there is also abundant evidence of other specific cases of so-called 'wives' being assigned to Sinia commanders and fighters.
- 2234. First, the Chamber refers to the separate discussion of Dominic Ongwen himself having so-called 'wives' during the period relevant to the charges. 6145 Second, two Sinia insiders themselves testified to having had so-called 'wives' assigned to them.

⁶¹⁴¹ P-0070 at first confirmed that neither the man or the woman could reject the arrangement, but stated that if the man did reject the 'wife', 'then they might decide not to give that person a wife for a long time, because he's rejected the woman that he's been given'; P-0070: T-107, p. 42, lines 9-23. P-0085 testified that if a soldier refused a woman who has been 'given' to him, he would first be beaten and then required to give an explanation; P-0085: T-158, p. 51, lines 16-20. P-0264 was asked whether the male soldier had the right to reject that a so-called 'wife' be given to him, and answered: 'In the bush it's not easy to get a woman so it is not logical to reject the woman unless the woman is really ugly or repellent'; P-0264: T-66, p. 45, lines 1-5. D-0026 testified that a man could not refuse being assigned a 'wife', and that only the higher ranking commanders could turn down a specific person once; but when asked about the consequence of refusing a second time, his answer was that 'you're not given a wife on another occasion'; D-0026: T-191, p. 38, line 16 – p. 39, line 5. D-0027, while purporting that it was 'unacceptable' to say no, also stated that he never saw anyone reject 'a woman given to them' and that '[i]f you are given a woman, you do not have any ways of objecting to that'; D-0027: T-202, p. 42, lines 17-22. Finally, P-0142 also testified that a man had the option of saving he did not want a 'wife' or be with a particular woman, but if a man refused three or four times, 'then maybe there are questions, it raises questions'; P-0142: T-72, p. 49, lines 17-24. In light of this evidence, the Chamber does not consider decisive P-0028's statement that if a man refused to 'go with a woman' in the LRA, he would be killed; P-0028 Interview Transcript, UGA-OTP-0217-0218-R01, at 0224-26, lines 223-66. By the same token, the Chamber does not follow D-0032's affirmation that 'whoever is given a girl cannot refuse to accept that girl'; D-0032: T-201, p. 46, line 24 - p. 47, line 6. ⁶¹⁴² P-0142: <u>T-71</u>, p. 39, line 21 – p. 40, line 10.

⁶¹⁴³ *See* section IV.B.2.ii.a.vi above.

 $^{^{6144}}$ P-0070: $\underline{\text{T-}106}$, p. 38, line 23 – p. 39, line 3. P-0070 stated that Joseph Kony himself had approximately 90 'wives', see P-0070: $\underline{\text{T-}106}$, p. 39, lines 3-4.

⁶¹⁴⁵ See section IV.C.10 above.

- 2235.P-0142 stated that he had so-called 'wives' in the LRA.⁶¹⁴⁶ Asked about how many they were in number, he testified that he had 'quite a number of women'.⁶¹⁴⁷ He stated that he could not recall the name of his first so-called 'wife', but gave the names of four subsequent so-called 'wives' as ______.⁶¹⁴⁸ He also mentioned that younger girls stayed with him as *ting tings*, including one _____.⁶¹⁴⁹ P-0142 testified that his so-called 'wives' were 'powerless to refuse to stay with [him]', because as abducted people they could not refuse an order by the soldiers.⁶¹⁵⁰
- 2236.P-0205 also testified that he had so-called 'wives' in the bush, and gave their names as

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- 2237. Furthermore, insider witnesses testified about Sinia commanders or fighters having 'wives'.
- 2238.P-0205 stated that in Oka battalion, Opoka, Okot Aliga, Ben Acellam, Okello Naptali, Okeny, Opiyo and others had 'wives'. 6152 He stated that in Terwanga, Loum, Ojok Kampala, Kidega, Larit, Nyero, Kobi and some others had 'wives'. 6153 For Siba, he named Ocan George, Awere and Otto Olebe as having had 'wives', and stated that there were others whose names he could not remember. 6154
- 2239.P-0054 mentioned Dominic Ongwen and Kalalang as Sinia commanders who had 'wives'. 6155
- 2240.P-0264 testified that Ben Acellam had three so-called 'wives', and gave their names as Collin, also called Min Okonya, Ayero and Betty.⁶¹⁵⁶

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6146 P-0142: T-71-CONF, p. 31, lines 21-22.
6147 P-0142: T-71-CONF, p. 31, lines 23-24.
6148 P-0142: T-71-CONF, p. 32, line 2 – p. 33, line 2, p. 36, lines 14-21.
6149 P-0142: T-71-CONF, p. 33, line 1, p. 35, lines 6-25.
6150 P-0142: T-71-CONF, p. 38, lines 13-20.
6151 P-0205: T-47-CONF, p. 13, line 10 – p. 14, lines 10.
6152 P-0205: T-48, p. 28, lines 16-21.
6153 P-0205: T-48, p. 28, lines 22 – p. 29, line 1.
6154 P-0205: T-48, p. 29, lines 2-5.
6155 P-0054: T-93, p. 37, lines 9-11.
6156 P-0264: T-65, p. 11, lines 13-23.
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- 2241.P-0309 was asked if any LRA fighters under Dominic Ongwen had so-called 'wives', and stated that there was a person under Dominic Ongwen called Richard who was 'given a wife'. 6157
- 2242.P-0314 stated that his superior officer Otto had a 'wife' by the name of Odong. ⁶¹⁵⁸ He also stated that 'within our group' Okello and Okot were commanders who had so-called 'wives'. ⁶¹⁵⁹
- 2243.P-0410 testified that his commander, Komakech, had a so-called 'wife' named Awelo, as did Okwee and Obol. 6160
- 2244.P-0352, who was a *ting ting* in shousehold, stated that had three other 'wives'. 6161 She also named three other Sinia soldiers who had 'wives': Ojok, Otto, and Opige. 6162
- 2246.P-0372 testified that his sister was abducted in 1995 at the same time as himself and was later in Sinia until 2004, during which time she had a so-called 'husband' by the name of 16168.

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<sup>6157</sup> P-0309: T-60, p. 35, lines 14-22.
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⁶¹⁵⁸ P-0314: T-74, p. 56, line 21 – p. 57, line 1.

⁶¹⁵⁹ P-0314: <u>T-74</u>, p. 57, lines 16-19.

⁶¹⁶⁰ P-0410: T-151, p. 55, lines 6-10, p. 57, line 23 – p. 58, line 8.

⁶¹⁶¹ P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 64.

⁶¹⁶² P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 75.

⁶¹⁶³ P-0374 Statement, UGA-OTP-0263-0023-R01, at paras 49-50.

⁶¹⁶⁴ P-0374 Statement, UGA-OTP-0263-0023-R01, at para. 59.

⁶¹⁶⁵ P-0374 Statement, UGA-OTP-0263-0023-R01, at para. 99. See also para. 2198 above.

⁶¹⁶⁶ P-0374 Statement, UGA-OTP-0263-0023-R01, at paras 49, 53.

⁶¹⁶⁷ P-0374 Statement, UGA-OTP-0263-0023-R01, at para. 51.

⁶¹⁶⁸ P-0372: T-148-CONF, p. 8, line 20 – p. 9, line 9.

2247. The Chamber also recalls that, as discussed above, P-0275 testified that a girl named Ajok was abducted by LRA fighters during the attack on Odek IDP camp and made a so-called 'wife' of an LRA fighter. 6169

Younger abducted girls were used as household servants, referred to as *ting tings*, until they were considered mature enough to become so-called 'wives'. 6170

- 2248. The evidence is ample and consistent as to the existence of a separate status of young girls in the LRA, referred to as *ting tings*. 6171
- 2249.P-0070 stated that younger girls of 11 or 12 years old were 'given to a man' at a later point, around the age of 17.6172 Asked if there were any physical signs that would influence the decision, P-0070 stated that '[o]nce the girl has started sprouting breasts, and [...] once the girl has started her menstrual cycle, then they would make that decision as well'.6173 He also stated that the 'youngest girls' helped as babysitters.6174 The Chamber accepts P-0070's evidence as a good general explanation of the LRA system of abduction and assignment to a male LRA member of very young girls, with the exception of his estimation that young girls were only 'given to a man' around the age of 17 years old. This estimation is overwhelmingly disproved by the evidence, referred to throughout this analysis and including first-hand testimony, that girls as young as around 12 years old were assigned to male LRA members as so-called 'wives'.
- 2250. D-0074 corroborated P-0070's testimony to the extent that he stated that in the LRA girls who did not menstruate were considered under age, but those who did were considered 'mature'.6175
- 2251.P-0264 testified that if a girl was still very young, she was 'nurtured', 'kept to grow until when she's fit to be given out to a man'. 6176 He did not indicate a specific age, but stated that the decision that a girl was 'old enough to be given to a man as a wife' was sometimes

⁶¹⁶⁹ See para. 1573 above.

⁶¹⁷⁰ Para. 217 above.

 $^{^{6171}}$ Definitions of the term were provided by P-0016 ($\overline{\text{T-34}}$, p. 8, line 18 – p. 9, line 9), P-0226 (T-8-CONF, p. 32, lines 20-24), P-0227 (T-11-CONF, p. 7, lines 19-23), P-0231 ($\overline{\text{T-122}}$, p. 77, lines 2-6), P-0264 ($\overline{\text{T-65}}$, p. 3, line 23 – p. 4, line 1), P-0374 (Statement, UGA-OTP-0263-0023-R01, at para. 62), D-0006 ($\overline{\text{T-194}}$, p. 17, lines 1-10), D-0049 ($\overline{\text{T-243}}$, p. 24, lines 6-7), D-0085 ($\overline{\text{T-239}}$, p. 12, lines 1-5).

⁶¹⁷² P-0070: T-106, p. 36, line 17 – p. 37, line 2.

⁶¹⁷³ P-0070: <u>T-106</u>, p. 37, lines 4-8.

⁶¹⁷⁴ P-0070: T-106, p. 35, lines 11-14.

⁶¹⁷⁵ D-0074: <u>T-187</u>, p. 49, lines 7-12.

⁶¹⁷⁶ P-0264: <u>T-65</u>, p. 2, line 22 – p. 3, line 4.

made by Joseph Kony, but 'whenever he [was] far the decision [could] come from the CO, it [could] also come from the brigade commander'. During examination by the Defence, P-0264 also confirmed that he witnessed numerous times *ting tings* being made 'wives', and that for this to happen, the person in charge of the *ting ting* would make their request to the battalion commander, who would then offer to speak to the brigade commander. 6178

- 2252.P-0233 also testified that younger girls were kept temporarily as babysitters until they 'mature[d]', following which they were 'given out to men' as so-called 'wives'. 6179
- 2254.P-0406 stated that girls who were deemed to be able to conceive were 'distributed' to commanders, otherwise they were kept as *ting tings*.⁶¹⁸⁷
- 2255.P-0142 estimated that there were 'from 30 upwards to 50 or 70' young girls 'who were not yet ready to marry' in Sinia in 2003-2004.⁶¹⁸⁸

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6177 P-0264: T-65, p. 3, lines 13-16.
6178 P-0264: T-66, p. 42, lines 10-15.
6179 P-0233: T-111, p. 50, line 16 – p. 51, line 8.
6180 P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 40.
6181 P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 82.
6182 P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 40.
6183 P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 41.
6184 P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 47.
6185 See para. 2205 above.
6186 P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 64.
6187 P-0406: T-154, p. 21, lines 23-25.
6188 P-0142: T-71, p. 39, line 21 – p. 40, line 10.
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Sinia brigade members regularly forced abducted women and girls who had been 'distributed' to them into sexual intercourse. Sexual intercourse was specifically considered to be part of the role of the so-called 'wives'. The women and girls were unable to resist, due to the physical force used by the Sinia brigade members and due to the threat of punishment for disobedience and their dependence on the Sinia brigade members for survival. 6189

2256.P-0351 testified that the first night that she was in _______'s household after being told by Dominic Ongwen that she was now _______'s so-called 'wife', another of _______'s so-called 'wives' told her that _______ was calling her and that she 'should follow any instructions [she] was given because we have no relatives in the bush and we have no support and if we did not follow the rules we would be killed'. The witness continued:

I went to ______'s tent. When I got there he said I was now his wife and I should

I went to sleep with him. I would say that what happened then was a rape. He removed my clothes and then he started sleeping with me. When I say sleeping with me I mean is a man and he put his male organ in my female organ. He did not say anything to me. I did nothing, I was only crying. I did not say anything nor refuse to sleep with him because I was fearful because he was a commander and if I said anything or refused I would be killed. 6191

2257.P-0351 stated that after that first night, would sleep with her and his other 'wives' alternately. 6192 P-0351 testified this was painful, and continued:

I would not share my pain with anyone in the bush. I thought that if I shared this I may be killed, because all the time I saw that girls who made mistakes were being killed. I was very scared because he was the man who raped me. I did not know the people I stayed with and I did not trust anybody. 6193

2258.P-0352 testified that on the day that told her that she was from then on his 'wife', he also told her to remove her clothes and lie down. 6194 She continued:

then told me that I should remove my clothes and lie down. I did it because I thought that if I refused I would be killed because he was a leader and had a gun.

removed his clothes and came to the bed. Then he put his male organ in my female organ. [...] slept with me. He did not say anything. I did not say

⁶¹⁸⁹ Para. 218 above.

⁶¹⁹⁰ P-0351 Statement, UGA-OTP-0263-0002-R01, at para. 74.

⁶¹⁹¹ P-0351 Statement, UGA-OTP-0263-0002-R01, at para. 75.

⁶¹⁹² P-0351 Statement, UGA-OTP-0263-0002-R01, at para. 76.

⁶¹⁹³ P-0351 Statement, UGA-OTP-0263-0002-R01, at para. 76.

⁶¹⁹⁴ P-0352 Statement, UGA-OTP-0260-0315-R01, at paras 66-67.

anything. I was fearful because he was much older than me and I could not speak. When we finished he slept and I just stayed there, next to him. 6195

2259.P-0352 stated that after this time, would call her to sleep with him, and that she did not have any choice. 6196 P-0352 stated that she thought that if she refused, she would be killed because this was what had told her the first night he slept with her. 6197

2260.P-0374 testified:

One night called me and told me to bring him his toothbrush. When I was giving him the toothbrush it fell down. He then grabbed my hand and said: 'Last time I told you to come and sleep with me. Why haven't you come?' I kept quiet. said that if I did not sleep with him he would kill me. I did not say anything and he started to forcefully remove my clothes. He was still holding my hand. I tried to resist but since he was stronger than me and a leader he overpowered me. When he removed all my clothes he forced me to lie on my back. He removed his clothes and put his penis in my vagina. Then he started to sleep with me. I cried. told me not to cry because I would make noise and people would hear. I kept crying silently because I could not stop crying.

I felt a lot of pain when he was sleeping with me. I tried not to show my pain because he was threatening me, slapping me, punching me and I was trying to keep quiet. was using a lot of energy while holding my hand and forcing me and I felt weak in my whole body.

After sleeping with me, told me that I had to stay next to him all night. He said that if I left he would kill me. He said that if I disrespected him he would kill me, meaning that I should not refuse what he did to me or told me to do. I did not say anything, I was just crying. I stayed there that night. 6198

2261.P-0374 stated that the following morning asked her 'who was [she] to refuse him when other girls who were given as wives to other rebels would just go straight away with their husbands'. The witness commented that she thought said this to convince her to accept him, and that in the bush most times people accepted things for fear of being killed, but that she did not know any girl or woman who accepted willingly to become a so-called 'wife'. 6200

⁶¹⁹⁵ P-0352 Statement, UGA-OTP-0260-0315-R01, at paras 67-69. See also P-0352: T-67, p. 21, lines 3-6.

⁶¹⁹⁶ P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 70.

⁶¹⁹⁷ P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 70.

⁶¹⁹⁸ P-0374 Statement, UGA-OTP-0263-0023-R01, at paras 103-05.

⁶¹⁹⁹ P-0374 Statement, UGA-OTP-0263-0023-R01, at para. 106.

⁶²⁰⁰ P-0374 Statement, UGA-OTP-0263-0023-R01, at para. 106.

2262.P-0374 stated that on another night, after called her and she delayed because she did not want to go sleep with him, told her she was disrespecting him, and ordered an LRA soldier called Lagonga to cane her. Lagonga in fact beat her, and pointed his gun with a bayonet at P-0374's head and said that if she kept misbehaving he would kill her. The statement continues:

I was very scared because of the beating and the threats with the bayonet, and even though I was crying I went to sleep with him. From that night I started to go sleep with him because I was afraid of being killed. I was expected to go to sleep with him every night except when he had gone away to loot. 6203

2263. In court, P-0374 further stated that she sustained 'injuries on her private parts' every time had sex with her. 6204 She stated the pain was 'so much that every time I'm trying to walk, I would experience pain and I would not be able to walk freely. And I also had pain on my lower abdomen, but because I feared that he would eventually kill me, I would try and live with the pain just like that'. 6205 The Chamber recalls that P-0374 was aged between 10 and 12 during the time of her stay with the LRA. 6206

2264.P-0396 stated that in the evening of the day that she was 'distributed', came to her, took her hand, and said 'let's go to bed'. 6207 P-0394 followed to his tent, where told her: 'you are my wife now and you have to sleep with me'. 6208 She continued:

removed my clothes and he removed his clothes. We were alone in the tent. had his gun with him and it was close to where we slept.

After he removed my clothes, told me to lay down. I tried to refuse but he pulled me down. I lay down on an empty bag used for storing maize and beans which was put on the floor. I was laying on my back and he lifted my leg and took his penis and pushed it into my private parts. It was very painful when he was inside me. I started crying, he told me he would kill me if I kept crying. While he was inside me, he said he will be with me forever. In that moment, I was thinking of escaping. It felt like he was on top of me for a long time. I felt his weight on me, he was heavy. He did not use a condom.

⁶²⁰¹ P-0374 Statement, UGA-OTP-0263-0023-R01, at para. 108.

⁶²⁰² P-0374 Statement, UGA-OTP-0263-0023-R01, at para. 108.

⁶²⁰³ P-0374 Statement, UGA-OTP-0263-0023-R01, at para. 108.

⁶²⁰⁴ P-0374: <u>T-150</u>, p. 14, line 21 – p. 15, line 1.

⁶²⁰⁵ P-0374: T-150, p. 15, lines 1-4.

⁶²⁰⁶ See P-0374 Statement, UGA-OTP-0263-0023-R01, at paras 14, 157.

⁶²⁰⁷ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 78.

⁶²⁰⁸ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 79.

After he finished he fell asleep next to me. We slept together for the night. I was in so much pain and I was bleeding from my private parts. I had never seen my period slept with me for the first time. [...]

slept with me many times after that. It was always forced. I did not have a choice. He pushed me down and forced himself in me. If I refused, he would take his gun and tell me he would shoot me. 6209

- 2265. Insider witnesses corroborated the above testimonies. Considering the way that the witnesses spoke about forced sexual intercourse with their assigned 'husbands' being part of the role of so-called 'wives', there is no doubt that this fact was commonly known in the LRA, including Sinia.
- 2266. P-0070 testified that sexual relations were part of the role assigned to 'wives'. 6210
- 2267.P-0233 stated: 'When I'm talking about husband and wife, that includes sexual intercourse.'6211 He testified that the women and girls could not refuse to have sexual intercourse with the men they had been assigned to, and mentioned killings and beatings as possible punishment for violation of this rule. 6212
- 2268.D-0134 also confirmed that so-called 'wives' were expected to have sex with their husbands.6213
- 2269.P-0045 testified that as a 'wife' of an LRA soldier, she had to sleep with him, and that she could not refuse for fear of being beaten. 6214
- 2270. The above evidence together with that provided by Dominic Ongwen's own so-called 'wives' that the Chamber analysed in detail above 6215 – leaves no doubt to the Chamber that the sexual intercourse to which LRA fighters regularly subjected their so-called 'wives' took place by force or threat of force exercised by the LRA fighters against their so-called 'wives'. Witnesses in particular testified that they were beaten by their so-called

⁶²⁰⁹ P-0396 Statement, UGA-OTP-0267-0246-R01, at paras 79-82.

 $^{^{6210}}$ P-0070: $\underline{\text{T-106}}$, p. 35, line 21 - p. 36, line 3.

⁶²¹¹ P-0233: T-111, p. 58, lines 11-14.

⁶²¹² P-0233: T-111, p. 58, line 15 – p. 59, line 15. ⁶²¹³ D-0134: T-241, p. 6, lines 17-18.

⁶²¹⁴ P-0045: T-103, p. 79, lines 7-11. The Chamber notes that the witness also indicated that the two people concerned would have to handle the matter 'within the household', but does not consider this to be a contradiction, but rather an additional specification of the way in which refusals were dealt with in practice, in particular since P-0045 clarified that the issue would be 'handle[d]' 'until she accepts'. See P-0045: T-105, p. 15, line 25 – p. 16, line 20.

⁶²¹⁵ See section IV.C.10.ii.a above.

'husbands' so that they would submit to sexual intercourse. But the evidence equally makes very clear that the LRA fighters also relied on the fact that women and girls were held captive and under oppressive control and coercion, in order to require them to submit to sex. For the coercion, each LRA fighter relied on the organisation and its rules.

- 2271. The Chamber notes that there is evidence that so-called 'wives' in Sinia bore children. 6216 However, apart from the specific instances for which Dominic Ongwen is charged as direct perpetrator under Count 58 addressed above, no charges are based on the fact of these pregnancies and the Chamber does not examine the matter further.
- 2272. Finally in this context, several witnesses testified to a prohibition of sexual relations with *ting tings*. 6217 P-0366, for example, stated that in the LRA, 'there was a rule against boys sleeping with girls before they were initiated'. 6218 The manner in which the witnesses referred to this prohibition indicates that it was an important tenet of the LRA's internal policy on the treatment of women and girls. In fact, this prohibition appears to be the crucial marker distinguishing the status of *ting ting* from the status of so-called 'wife'.
- 2273. However, the evidence, including from the same witnesses, indicates that *ting ting* status did not protect young girls from sexual violence. P-0264 stated that there were instances when men to whom *ting tings* were assigned to be taken care of 'circumvented' the prohibition. He named a commander Komakech Lutugu who was assigned a *ting ting* and had sex with her, infecting her with syphilis. P-0264 stated that the commander was not punished but only reprimanded by his superior Ben Acellam. In fact, later in his testimony, P-0264 testified that Komakech Lutugu made a request that a *ting ting* in his household become his so-called 'wife' on the ground that he had already had sex with her.

⁶²¹⁶ See P-0264: <u>T-65</u>, p. 10, lines 9-15; P-0314: <u>T-74</u>, p. 56, line 21 – p. 57, line 1. See also section IV.C.10.ii.a above

⁶²¹⁷ P-0142: <u>T-72</u>, p. 50, lines 19-20; P-0264: T-65, p. 4, lines 11-15; D-0024: <u>T-192</u>, p. 48, line 14 – p. 49, line 10.

⁶²¹⁸ P-0366 Statement, UGA-OTP-0260-0289-R01, at para. 87. *See also* P-0366: <u>T-147</u>, p. 39, lines 14-22. It is clear from the statement that the term 'initiated' is used to mean the same act more commonly referred to in the evidence as 'being given as a "wife" to a soldier', *see* P-0366 Statement, UGA-OTP-0260-0289-R01, at paras 78-82.

⁶²¹⁹ P-0264: <u>T-65</u>, p. 4, lines 14-25. *See also* <u>T-66</u>, p. 40, lines 3-16.

⁶²²⁰ P-0264: <u>T-65</u>, p. 5, line 16 – p. 6, line 1.

⁶²²¹ P-0264: T-65, p. 6, lines 2-4.

⁶²²² P-0264: <u>T-66</u>, p. 43, line 11 – p. 44, line 1.

battalion, who also had sex with a *ting ting*, but was punished by Ben Acellam, and '[t]he issue was also forwarded to Dominic Ongwen, who was the commander'. P-0264 stated that Olwiko infected the *ting ting* with syphilis, and confirmed that she was then assigned to him as a 'wife' while she was receiving treatment. Page 16224

2274. On the basis of the evidence, the Chamber finds that any prohibition of sex with *ting tings* did not protect them from sexual violence. The men to whom girls were 'distributed' as *ting tings* relied on the very same detention and coercion of the *ting tings* to force them into sexual intercourse. Even if it seemed that some LRA fighters were individually, without the knowledge of, and even against the prohibition from their superiors, raping *ting tings*, they were in fact relying on the system. The prohibition was not genuine.

The abducted women and girls were not allowed to have sexual or romantic relations to any man other than the so-called 'husband' assigned to them. 6225

2275.P-0264 testified that for a so-called 'wife' to have sex with a man other than her 'husband' was outlawed. 6226 He also testified that while 'wives' could cook also for men who were not their husbands, they could only do their husband's laundry and only bring bathing water to their husbands. 6227 P-0264 testified that punishment for violating these rules could be lashing or even death. 6228

2276.P-0227 testified specifically about the case of a girl called Aciro, who was a 'wife' to Joseph Kony. P-0227 stated:

[I]t was said she had slept with one of the guards of Kony, when Kony was aware of that, Aciro and the boy were arrested and they were brought to Kony and right there on the spot Aciro was shot. Both Aciro and the boy were shot dead. Aciro had a child, one child. The baby was actually a baby girl. She was called Lakot. 6229

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⁶²²³ P-0264: <u>T-65</u>, p. 6, line 17 – p. 8, line 16.

⁶²²⁴ P-0264: T-65, p. 8, line 17 – p. 9, line 19.

⁶²²⁵ Para. 219 above.

⁶²²⁶ P-0264: <u>T-65</u>, p. 11, lines 6-8.

⁶²²⁷ P-0264: T-65, p. 11, lines 6-8.

⁶²²⁸ P-0264: T-65, p. 11, lines 9-12.

⁶²²⁹ P-0227: T-10-CONF, p. 51, lines 16-24.

- 2277.P-0374 testified that the man to whom she was assigned as so-called 'wife', told her that in the bush, even if a girl had been 'given as a wife', some boys might try to be with her, and that if she did that she would be killed.⁶²³⁰
- 2278.P-0045 testified that '[e]ven when you are interested in another person, you have to stay with that person that you were given to', otherwise both would be killed.⁶²³¹ Asked if she knew if anyone was killed for violating this rule, she gave two names.⁶²³²
- 2279. Two successive entries in an ISO logbook of intercept evidence corroborate the witness evidence on this point. On 1 April 2003, Dominic Ongwen is recorded as reporting that one Obalim, a soldier 'left to keep women in the camp (hideout)' had sex with the 'wives' of Abudema and Otim Charles 'and impregnated them all'. 6233 Dominic Ongwen reported that he arrested and had Obalim 'in custody'. 6234 Joseph Kony ordered that Dominic Ongwen 'should kill Obalim imm[ediately] and the two women should be put in jail while they should also be pulled out f[ro]m that camp and they join mobile while awaits their final judgements'. 6235 The next day, Dominic Ongwen is recorded as reporting to Vincent Otti that he had 'already killed Obalim whom he was ordered by Joseph Kony y/day evening to execute because of having sex with LRA offrs wives in the women's camp here in Uganda'. 6236 Vincent Otti is recorded as replying that 'Dominic did wonderful job'. 6237
- 2280. The Chamber also heard evidence of the converse, i.e. of a prohibition for LRA members to sleep with other members' so-called 'wives'. P-0070 testified that if someone in the LRA slept with another man's 'wife', that person 'would be shot'. 6238 Daniel Opiyo

⁶²³⁰ P-0374 Statement, UGA-OTP-0263-0023-R01, at para. 117.

⁶²³¹ P-0045: T-103, p. 79, lines 12-17.

⁶²³² P-0045: T-103, p. 79, lines 18-19.

⁶²³³ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0071-72.

⁶²³⁴ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0072.

⁶²³⁵ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0072. *See also* UPDF Logbook (Gulu), UGA-OTP-0254-3399, at 3548. It is noted that the Soroti UPDF logbook did not identify the person who reported the alleged infraction and recorded the order from Joseph Kony to kill Obal-Lim as having been given to Lapanyikwara (UGA-OTP-0242-6212, at 6257). The Soroti UPDF logbook also did not record the report on the execution of the order the following day. For these reasons, the Chamber considers the Soroti UPDF logbook less authoritative on this particular radio communication, and does not rely on it for the identification of speakers.

⁶²³⁶ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0073. The Chamber notes that while the entries in the corresponding UPDF logbooks do not seem to contain this detail, they appear overall less detailed than the ISO logbook entry for this specific communication time (*see* UPDF Logbook (Gulu), UGA-OTP-0254-3399, at 3548-49 or UPDF Logbook (Soroti), UGA-OTP-0242-6212, at 6257-58).

⁶²³⁷ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0073.

⁶²³⁸ P-0070: T-106, p. 41, lines 17-19.

testified that he knew of a case in the LRA where a so-called 'wife' of Ocan Bunia had sex with another soldier; they were both killed in punishment. 6239

2281.In this context, and in addition to the evidence indicating the existence of a rule prohibiting a so-called 'wife' to have sex with a man other than her 'husband', the Chamber also notes the evidence relating to the purported general prohibition of any sexual relation outside so-called 'marriage'. The Prosecution argues that the prohibition of sex outside so-called 'marriage' in the LRA 'was primarily intended to ensure the exclusivity of commanders' sexual access to their "wives" and to control the sexuality of abducted girls and women'. 6240 The Chamber shares this assessment. The rule against sexual relations outside so-called 'marriage' as it is apparent from the evidence cited hereunder is logically linked to the rules concerning 'distribution' of abducted women and girls to senior members of the LRA, and to the imposition of so-called 'marriage' on these women and girls. Without a prohibition of relations outside this so-called 'marriage', these rules would remain ineffective. For this reason, the Chamber views the evidence cited in the following paragraphs as corroborative of its other findings.

2282. Evelyn Amony provided a succinct and clear account of the prohibition of sexual relations outside 'marriage' in the LRA:

In the LRA there are rules. If you have not been given a woman as your wife, you are not allowed to sleep with that woman, to have sexual relations with that woman. If you do have sexual relations with that woman, two things would happen: One, you are either punished or you are told that the Holy Spirit would punish you.

And if you are sent to battle and you are a man who has had sexual relations with a woman who is not your wife, then your private parts would be shot. And those are some of the things that they would tell us in the LRA. 6241

2283.P-0374 testified that if there was a relationship without authorisation of the leaders, the transgressors would be beaten or killed.⁶²⁴² She stated that she saw a boy and a girl whose names she did not recall be beaten for this reason at Ogwal's home in the presence of

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 $^{^{6239}}$ D-0056: $\underline{\text{T-228}}$, p. 27, line 23 – p. 28, line 3.

⁶²⁴⁰ Prosecution Closing Brief, para. 141.

⁶²⁴¹ D-0049: T-243, p. 15, lines 18-25.

⁶²⁴² P-0374 Statement, UGA-OTP-0263-0023-R01, at para. 115.

- Ogwal, and Kalalang, and some other leaders. 6243 She also stated that the morning after, told her that the girl had been killed. 6244
- 2284.P-0396 similarly testified that Dominic Ongwen called all so-called 'wives', boys and girls together and told them that girls could not stay together with boys, unless they were 'going to work'. 6245 She also testified about an instance where a girl was killed and a boy was beaten because they were 'staying together'. 6246 She stated that Dominic Ongwen ordered the killing of the girl and the beating of the boy and that beat him. 6247
- 2285.D-0117 testified that in the LRA, sexual relations outside of 'marriage' were not allowed and punished severely. 6248 She said this rule originated from Joseph Kony and was widely known in the LRA.6249
- 2286, D-0118's explanation of this is worded differently, but in fact the same. She stated that if a girl was abducted, it was prohibited to 'share a house' with that girl unless she was 'given'.6250
- 2287.P-0142 and P-0340 also confirmed that there was a rule in the LRA prohibiting sex outside so-called 'marriage'. 6251
- 2288.P-0379's testimony indicates that this rule was strict: 'If you are found having sexual intercourse with any girl, a girl who has not been officially given to you as your wife, if you are lucky you would be beaten, but if you are unlucky, the rules are that you should be killed.' 6252 Daniel Opiyo testified almost identically that 'if any soldier or any commander [...] has sexual relations with a girl who has not yet been given to him [...] then that soldier has to be killed'. 6253

⁶²⁴³ P-0374 Statement, UGA-OTP-0263-0023-R01, at paras 115-16.

⁶²⁴⁴ P-0374 Statement, UGA-OTP-0263-0023-R01, at para. 118; P-0374: T-150, p. 60, lines 10-16.

⁶²⁴⁵ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 91.

⁶²⁴⁶ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 96.

⁶²⁴⁷ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 96.

⁶²⁴⁸ D-0117: <u>T-215</u>, p. 27, lines 10-15.

⁶²⁴⁹ D-0117: <u>T-215</u>, p. 27, line 23 – p. 28, line 1, p. 29, lines 1-6.

⁶²⁵⁰ D-0118: <u>T-216</u>, p. 16, lines 23-25. 6251 P-0142: <u>T-72</u>, p. 50, lines 21-23; P-0340: <u>T-102</u>, p. 44, lines 11-15. *See also* P-0330: <u>T-52</u>, p. 71, lines 11-14 (stating that '[t]he most important rule was not to have sexual intercourse with women', not referring specifically to so-called 'marriage' in the LRA).

⁶²⁵² P-0379: <u>T-57</u>, p. 37, lines 4-7. See also P-0330: <u>T-52</u>, p. 72, line 22 – p. 73, line 2.

⁶²⁵³ D-0056: <u>T-228</u>, p. 25, lines 10-15.

vi. Forced labour

The abducted women and girls were forced to perform work, such as household work and carrying items. Also this rule was strictly enforced by physical punishment.⁶²⁵⁴

- 2289. The Chamber commences its analysis by reference to the personal experience of the witnesses who were abducted into the LRA and spent time as so-called 'wives' in Sinia, before turning to other evidence.
- 2290. P-0351 stated that the girls, both so-called 'wives' and *ting tings*, used to do a lot of work: they were the ones to carry the food and cook. 6255 She stated that when the group stayed somewhere, the commanders ate together in Dominic Ongwen's household, and that after herself and the other so-called 'wives' had prepared the food at night they had to bring it to Dominic Ongwen's household, and collect the dishes the following day. 6256
- 2291.P-0351 particularly mentioned having to carry food even if there was an attack, pointing out that '[t]he food could burn you or you would be beaten if you lost it'. 6257 She explained her situation as follows:

I was beaten many times mainly because of dropping what I was carrying during attacks. I believed that if government soldiers caught me they would rape me so I would drop the food to be able to run.

I was "s wife when these beatings took place. I was beaten with canes by two or three soldiers at the same time. Would issue the order for the beatings to take place, he would say that the soldiers had to beat me because they were the ones who were risking their lives to go and loot the food and we could not even take care of it. 6258

2292.P-0352 testified that as a so-called 'wife' of she had to cook and fetch water, and carry food, saucepans and jerry cans. She stated that she and the other girls sometimes prepared food and took it to Dominic Ongwen's home. When asked in court if she

⁶²⁵⁴ Para. 220 above.

⁶²⁵⁵ P-0351 Statement, UGA-OTP-0263-0002-R01, at paras 57, 60, 77, 80.

⁶²⁵⁶ P-0351 Statement, UGA-OTP-0263-0002-R01, at para. 89.

⁶²⁵⁷ P-0351 Statement, UGA-OTP-0263-0002-R01, at para. 80.

⁶²⁵⁸ P-0351 Statement, UGA-OTP-0263-0002-R01, at paras 81-82.

⁶²⁵⁹ P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 70.

⁶²⁶⁰ P-0352: T-67, p. 16, lines 21-24.

could have refused to prepare that food, P-0352 stated: 'No. If you refuse, you are killed. You cannot refuse to do anything.' 6261

- 2293.P-0374 testified that in shousehold, women and girls had different tasks: the girls' role was to cook, fetch water and wash the clothes, whereas the women had to collect grass for beds and make the beds of all the people in the house. She stated that she also took food to Dominic Ongwen's home on one occasion when she was in homestead. She referred to several examples of women and girls, including herself, being beaten for performing their tasks poorly.
- 2294.P-0396 stated that told her what to do on a daily basis, such as to collect water and to cook. 6265 She also had to carry saucepans for cooking and jerry-cans for fetching water, and to wash scientifications cook and to get water to bathing. 6266 When was not there, P-0396 received instructions from those who were guarding her, from Dominic Ongwen or from Dominic Ongwen's so-called 'wives'. 6267 She stated that the leaders would eat together, 'so you would bring the food to Ongwen, and other leaders'. 6268
- 2295. The Chamber also takes into account the testimony of P-0045, who described as follows the tasks that a so-called 'wife' had to perform: 'Once you have reached a position, you have to begin preparing food, you have to prepare water for bathing and take him water so that he would bathe, just as people live normally in a home.' 6269
- 2296. Evelyn Amony testified that in the LRA, *ting tings* were used as slaves, taking care of babies, fetching water and firewood, cooking, cleaning and washing clothes. 6270

⁶²⁶¹ P-0352: T-67, p. 16, line 25 – p. 17, line 2.

⁶²⁶² P-0374 Statement, UGA-OTP-0263-0023-R01, at para. 62.

⁶²⁶³ P-0374 Statement, UGA-OTP-0263-0023-R01, at para. 122; P-0374: <u>T-150</u>, p. 10, lines 3-13. P-0374 was in s homestead following the death of see P-0374 Statement, UGA-OTP-0263-0023-R01, at paras 142-43.

⁶²⁶⁴ P-0374 Statement, UGA-OTP-0263-0023-R01, at paras 64-67.

⁶²⁶⁵ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 85.

⁶²⁶⁶ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 86.

⁶²⁶⁷ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 85.

⁶²⁶⁸ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 92.

⁶²⁶⁹ P-0045: <u>T-103</u>, p. 79, lines 3-6.

⁶²⁷⁰ D-0049: <u>T-243</u>, p. 47, lines 3-20.

- 2297.D-0119 testified that women in the LRA carried ammunition and food during movements.6271
- 2298. Other insiders corroborate this evidence. P-0054, asked about the role of a 'wife' in the LRA, testified that the 'daily duty of a wife is to cook and also to stay and live with the husband in the house'. 6272 As for ting tings, P-0054 mentioned as their duties carrying saucepans, collecting firewood and collecting water. 6273
- 2299, P-0070 testified that once women were 'distributed' to men as 'wives', they performed domestic work including cooking, laying the bed, and carrying luggage and food. 6274
- 2300.P-0231 stated that the young girls were 'mostly responsible for babysitting'. 6275
- 2301.P-0264 stated that following the return of the LRA to Uganda in 2002, some 'wives' had guns and served as fighters, and others did not. 6276 Those who did not have guns prepared meals and did laundry for their 'husbands' and their children if they had any. 6277 P-0264 also stated that the young girls were put in the household of a woman who had a child, and worked as babysitters, and sometimes carried bags. 6278
- 2302.P-0330 stated that the tasks of the so-called 'wives' included gathering grass to make beds, cooking food, and carrying the clothes of the soldiers. 6279
- 2303.P-0379 stated that the tasks of women who became 'wives' were 'carrying the commander's clothes, doing his laundry, cooking food, taking him water to bathe'. 6280
- 2304, P-0379 similarly stated that the 'girls who were not wives', if the commander in whose household they were staying had a 'wife', helped the 'wife' cooking and carried the

⁶²⁷¹ D-0119: T-196, p. 27, lines 10-15.

⁶²⁷² P-0054: <u>T-93</u>, p. 37, lines 5-8.

⁶²⁷³ P-0054: T-93, p. 38, lines 20-23.

⁶²⁷⁴ P-0070: <u>T-106</u>, p. 35, lines 21-25.

⁶²⁷⁵ P-0231: T-122, p. 77, lines 7-13. ⁶²⁷⁶ P-0264: T-65, p. 10, lines 1-5.

⁶²⁷⁷ P-0264: <u>T-65</u>, p. 10, lines 5-8.

⁶²⁷⁸ P-0264: T-65, p. 4, lines 5-10.

⁶²⁷⁹ P-0330: <u>T-52</u>, p. 62, lines 19-23.

⁶²⁸⁰ P-0379: <u>T-57</u>, p. 38, lines 14-17.

food. 6281 According to P-0379, the girls could not refuse this work for fear of being beaten. 6282

- 2305.P-0233 testified that the tasks of so-called 'wives' were 'household chores like cooking, being a housewife'. 6283 If they attempted to refuse, they would be threatened with beating or beaten until they accepted. 6284
- 2306. Daniel Opiyo stated that *ting tings* in the LRA would carry light things that were not too heavy for them, do laundry, prepare food, and take care of children. Asked about the tasks of the so-called 'wives', he mentioned first that some women went for battle, and otherwise listed cooking, helping the injured and doing other domestic chores.
- 2307. Further corroborative evidence was provided by P-0307, who stated that the main role of the 'girls' was to babysit for the commanders' 'wives', collect firewood, wash clothes and cook. He notably observed that based on what he saw, the girls in the bush 'did not have an easy life' as they were forced to work 'so hard'. As for the so-called 'wives', P-0307 described their role as 'ensur[ing] that their husbands were comfortable'.
- 2308. The Chamber also notes a radio communication intercepted by the ISO on 18 December 2002. It is reported that Joseph Kony wanted a certain woman to 'release' one young child to his 'wife' as a baby-sitter, but that woman refused. 6290 According to the logbook, Joseph Kony ordered that that woman be beaten 50 strokes for defying his order. 6291 Although it must be noted that the incident did not happen in Sinia, it is indicative of the coercion imposed on the abducted women and girls within the LRA, and therefore corroborative of the other evidence on this issue.

⁶²⁸¹ P-0379: T-57, p. 39, lines 3-9.

⁶²⁸² P-0379: T-57, p. 39, lines 10-20.

⁶²⁸³ P-0233: <u>T-111</u>, p. 57, lines 13-16.

⁶²⁸⁴ P-0233: T-111, p. 64, lines 11-22. It is noted that P-0233 stated that he saw such beatings on several occasions.

⁶²⁸⁵ D-0056: <u>T-229</u>, p. 19, line 20 – p. 20, line 2.

⁶²⁸⁶ D-0056: T-229, p. 20, lines 3-10.

⁶²⁸⁷ P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 64.

⁶²⁸⁸ P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 65.

⁶²⁸⁹ P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 66.

⁶²⁹⁰ ISO Logbook (Gulu), UGA-OTP-0065-0002, at 0115. The Chamber notes its discussion of the reliability of the 2002 ISO logbooks at para. 666 above.

⁶²⁹¹ ISO Logbook (Gulu), UGA-OTP-0065-0002, at 0115. The Chamber notes its discussion of the reliability of the 2002 ISO logbooks at para. 666 above.

vii. Extent of suffering

As a result of the sexual and physical violence, and the living conditions to which they were submitted, the abducted women and girls suffered severe physical and mental pain.⁶²⁹²

2309. The Chamber has made several findings that LRA soldiers, in execution of orders, including from Dominic Ongwen, inflicted violence on abducted women and girls. Physical violence was used as a mode of coercion to prevent escape, to rape, and to obtain labour. The Chamber, based on the evidence discussed above, determines that the physical pain caused was severe. At the same time, on the basis of the same evidence, the Chamber considers that the use of threatened or actual physical violence on the abductees for a protracted period of time, indeed throughout the entire period of abduction of the women and girls, also caused severe psychological suffering.

⁶²⁹² Para. 221 above.

12. Conscription and use of children in armed hostilities

2310. The abduction of children by the LRA in order to integrate them into its military structure and use them as fighters has been one of the LRA's fundamental characteristic features over the course of its existence. The accused himself was abducted by the LRA at the age of under 15. The Chamber has heard the testimony of numerous witnesses describing this ongoing practice and its general devastating impact on the victims of this system. While conscious that this phenomenon was much larger and is not limited to the instances falling within the parameters of the charges in the present case, the Chamber's findings, in keeping with Article 74(2) of the Statute, is confined to the facts and circumstances described in the charges. Accordingly, the Chamber will only discuss evidence that is relevant to such – more limited – findings.

2311. In this section the Chamber will first assess how the LRA used abductions of children as a means to forcefully recruit them as new soldiers into its ranks. Then, the Chamber takes a look at how these children were integrated and trained in the Sinia brigade. And lastly, the Chamber discusses how children under the age of 15 actively participated in hostilities that the Sinia brigade was involved in.

i. Coordinated and methodical nature of the abductions of children under the age of 15

Dominic Ongwen, Joseph Kony and the Sinia brigade leadership engaged in a coordinated and methodical effort, relying on the LRA soldiers under their control, to abduct children under 15 years of age in Northern Uganda and force them to serve as Sinia fighters. 6293

2312. As discussed above, the LRA obtained new members through abductions. 6294 The coordinated and methodical nature of the abductions of boys and girls and the reliance by Dominic Ongwen, Joseph Kony and the Sinia brigade leadership on the LRA soldiers for the execution of the abductions are demonstrated by the factual analysis in the sections that follow, in particular as concerns evidence of orders for abductions and the evidence of abductions which occurred.

2313.In the present section, the Chamber provides its analysis of the evidence which demonstrates that the LRA focused specifically on abducting children. Indeed, as

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⁶²⁹³ Para. 222 above.

⁶²⁹⁴ See section IV.C.2.ii.a above.

demonstrated by the evidence, the recruitment of children as soldiers into the LRA was not incidental or a result of disregard for the age of the recruits, but was a specific and methodically pursued organisation-wide policy. As a further introductory note, the Chamber observes that the evidence includes various estimates of the age targeted for abduction by the LRA, sometimes also merely referring to 'young children' or children. In fact, whereas several witnesses provided estimates of the minimum age which was suitable for abduction in the view of the LRA, these appear to be estimates based on practice. It may therefore be noted that even though the LRA practice of abducting children was discussed with a number of witnesses, including insiders, evidence of any mandatory minimum age for abduction, or of any form of a screening system based on age, did not transpire. Bearing this in mind, and on the basis of the evidence discussed hereunder, the Chamber has no doubt that the LRA leadership, including Dominic Ongwen, Joseph Kony and the Sinia brigade leadership, specifically targeted children under 15 years of age for abduction.

2314. At this point, the Chamber notes the Defence's general argument that the estimation of ages of persons who have not personally appeared before the Court is more susceptible to mistakes since the Chamber did not see the person in question, the witnesses were no experts and it is not known what standard a witness applies for the estimation. ⁶²⁹⁵ The Chamber finds that the Defence's arguments are without merit. While it is true that the witnesses were not experts on the issue of age, this does not mean that a layman can never make a reliable estimation of a person's age. Further, the witnesses routinely provided an explanation on what they based their estimate. For instance, asked on what he bases his assessment of age, P-0054 responded that he would compare the persons with the age he had at the time of his own abduction but also how they would comport themselves and execute the assigned work. ⁶²⁹⁶ P-0264, who was under the age of 15 during the period relevant to the charges, was simply asked whether there were other LRA fighters his age or younger, which he confirmed. 6297 It is therefore possible for the Chamber to evaluate how a witness arrived at his or her conclusion. The Chamber finds that there are no considerations generally speaking against the estimation of ages by witnesses and will consider and assess such evidence on an individual basis.

^{6296 &}lt;u>Defence Closing Brief</u>, para. 508. 6296 <u>P-0054</u>: <u>T-93</u>, p. 22, lines 9-13.

⁶²⁹⁷ P-0264: T-64, p. 34, lines 17-20.

2315. Turning to the evidence, P-0233 testified that, in the context of a specific abduction event at Acet, only three abductees were kept, aged approximately 14-15 years old, while the rest were released. Asked why the rest were released, the witness stated:

The reason some people were released was because, at the time when I was still in the bush, I do understand that it was instructions were given that people, let's say aged from 17 upwards, already know the good things or the good side of the government and so they cannot stay in the bush. So that is why they were released. [...]

Good things, one of them include relationship between man and a woman, knowledge of things, of situation, knowing things and not being able to forget whatever you/she has gone through. 6299

- 2316. More generally, P-0233 testified that persons '[f]rom the age 15, 14, even 13 years would be taken', reasoning that this was because such persons could still be 'mentored' and 'influenced to do what you want the person to do'. 6300 Considering that P-0233 spent more than 10 years in the LRA, including throughout the period relevant to the charges, his observations, based on long personal experience, are of value to the Chamber.
- 2317. Similarly indicative is the testimony of P-0070, who recalled specifically that when Joseph Kony issued his order for the LRA to move into Teso, each brigade was tasked with increasing the number of soldiers, and there was the instruction to abduct '[s]mall children'. 6301 It is noted that P-0070 testified to personally having heard Joseph Kony giving this order over the LRA radio. 6302 In P-0070's testimony, Charles Tabuley then transmitted the order downwards, ordering that 'children aged 14 to 18, or maximum 19, should be abducted', and saying that 'those young ones can still be trained'. 6303 Asked what he meant by this, P-0070 explained that 'it's easy to indoctrinate them so that they cannot escape' and that '[w]hen they are taken far away from the place where they were abducted from, they can be trained to become very good fighters of the LRA as soldiers'. 6304

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6298 P-0233: T-111-CONF, p. 21, lines 13-20.
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⁶²⁹⁹ P-0233: <u>T-111</u>, p. 24, line 17 – p. 25, line 2.

⁶³⁰⁰ P-0233: <u>T-111</u>, p. 25, lines 9-13.

⁶³⁰¹ P-0070: <u>T-105</u>, p. 86, lines 3-10.

⁶³⁰² P-0070: <u>T-105</u>, p. 86, lines 11-15.

 $^{^{6303}}$ P-0070: $\overline{\text{T-105}}$, p. 86, line 16 – p. 87, line 22.

⁶³⁰⁴ P-0070: <u>T-105</u>, p. 87, lines 9-14.

- 2318.P-0330 testified that the LRA abducted young people 'because they would say the children would not be able to escape because they will fail to trace their way back home' and because 'the young people could be able to carry out any kind of atrocity'. 6305 He defined the age range of young people falling under this category as between 13 and 18 years of age. 6306 He stated that he heard Dominic Ongwen give an order not to abduct any 'elderly person', because such persons 'are really mature and they know their way back home, they will be able to escape, but the young people will not be able to escape an go back home'. 6307
- P-0205 stated that Dominic Ongwen's orders for the attack on Odek IDP camp, 6308
 P-0205 stated that Dominic Ongwen gave the instruction that '[b]oys should also be abducted when found', and that '[t]hose who were not fit to be in the army, those who were above 18 should not be brought, they should be killed instead'. 6309 It is significant that P-0205 referred to 'boys', and that he did not report any lower age limit as having been given by Dominic Ongwen to LRA soldiers as part of the instruction for abduction.
 P-0314 corroborated P-0205's evidence, testifying that Dominic Ongwen's order before the attack on Odek IDP camp was to 'go and abduct some children'. 6310
- 2320. Finally, the Chamber notes P-0231's testimony to the effect that the focus of LRA units looking to abduct persons to increase LRA ranks was on finding 'capable' persons without regard to minimum age. P-0231 stated:

You know, at the time when we were in the bush during the period of abduction, as I stated earlier, even when you're on the move, for example if we are leaving one location going across some road, at the time when people were still at home, before the camps were established, whoever you come across, whoever you think is able to fight you abduct that person. You abduct that person. And the abduction means an initiation into the army, starting from 10 or -if you estimate that the person is older, so anybody from between the ages of 10 to 30.

But there are certain times when some operations are organised and you're sent to collect food, you're sent to fight, when you fight if you overran the soldiers, if you

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6305 P-0330: T-52, p. 58, lines 15-19. See also p. 60, lines 3-14.
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⁶³⁰⁶ P-0330: <u>T-52</u>, p. 59, lines 14-16.

⁶³⁰⁷ P-0330: <u>T-52</u>, p. 59, lines 17-24.

⁶³⁰⁸ See also section IV.C.7.iii above.

⁶³⁰⁹ P-0205: <u>T-47</u>, p. 44, lines 3-9.

⁶³¹⁰ P-0314: T-75, p. 4, lines 7-12.

find anybody who is capable of joining the ranks of the LRA, that person is abducted and put in the LRA. 6311

- 2321. It is observed that P-0231 did not articulate in as clear terms as some other witnesses the specific focus on younger abductees, speaking instead of capability. But the lower limit of the age range given by the witness nevertheless supports the same finding.
- 2322. Records of intercepted radio communications corroborate the witness testimony on this issue. The Chamber also notes its discussion of the reliability of the 2002 ISO logbooks above. 6312
- 2323.On 18 August 2002, an ISO logbook records Raska Lukwiya reporting that he had abducted a good number of 'young children' who were undergoing training. ⁶³¹³ Joseph Kony responded by telling Raska Lukwiya to 'keep them well', because they were 'their fresh fighters'. ⁶³¹⁴
- 2324.On 21 November 2002, Joseph Kony is recorded in an ISO logbook as stating that no LRA should abduct people over 15 years of age 'because they are problems'. 6315
- 2325. The ISO logbook entry for 26 November 2002 records Joseph Kony ordering that all 'mature p[eo]ple who are with them in the bush should be checked properly', and commented that it was 'very difficult to deal with such p[eo]ple who already know the use of money and material goods' and that it was 'far much easier to work with children' because 'they don't mind about material goods'. 6316
- 2326. Further, an ISO logbook entry dated 29 November 2002 records Joseph Kony as instructing Vincent Otti to concentrate on abducting only young children who are easy to control. Vincent Otti's response is also recorded, stating that 'this time' he had only young people because Joseph Kony had told them earlier to concentrate on children other than mature people 'who know what the world is'. 6318

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6311 P-0231: T-122, p. 73, lines 11-21.
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⁶³¹² See para. 666 above.

⁶³¹³ ISO Logbook (Gulu), UGA-OTP-0064-0002, at 0049.

⁶³¹⁴ ISO Logbook (Gulu), UGA-OTP-0064-0002, at 0049.

⁶³¹⁵ ISO Logbook (Gulu), UGA-OTP-0065-0002, at 0049.

⁶³¹⁶ ISO Logbook (Gulu), UGA-OTP-0065-0002, at 0065.

⁶³¹⁷ ISO Logbook (Gulu), UGA-OTP-0065-0002, at 0073.

⁶³¹⁸ ISO Logbook (Gulu), UGA-OTP-0065-0002, at 0073.

2327.On 9 May 2003, an ISO logbook reported a radio conversation between Raska Lukwiya and Joseph Kony, where Raska Lukwiya reported that he had abducted '14 young children of the size LRA needs so much'. ⁶³¹⁹ Joseph Kony responded that Raska Lukwiya should 'keep them well', and instructed Raska Lukwiya to embark seriously on abduction and only to 'pick the right size LRA are in need'. ⁶³²⁰

2328. Finally, it is also relevant that according to the evidence, a specific term – kadogo – was used in the organisation to refer soldiers of young age. P-0379 defined 'kadogo' (or 'kadoge' in plural) as 'small boys' aged downward of 15 or 16 years old. P-0330 stated that the 'kadoge', who were children between 13 and 15, were 'people who were abducted while still very young, but grew up in the bush and they were very dangerous people' adding that 'that is why they insisted they should abduct young people'. It is also noted that P-0236 testified that she heard Dominic Ongwen use the word 'kadogi' to refer to children.

ii. Abduction into Sinia brigade

Dominic Ongwen, Joseph Kony and the Sinia brigade leadership ordered Sinia soldiers to abduct children to serve as Sinia soldiers.⁶³²⁴

2329. The evidence indicates that the orders for abductions generally, as well as specific orders for abduction of children, originated from Joseph Kony. P-0231 testified that the orders to abduct people came from Joseph Kony and then trickled down the hierarchical structure of the LRA.⁶³²⁵ P-0138 almost identically stated that the orders for abductions 'normally' came from Joseph Kony to Vincent Otti, who then relayed them to the brigades.⁶³²⁶

2330. The Chamber also recalls its discussion of Joseph Kony's order to abduct small children during the LRA operation in Teso. 6327

⁶³¹⁹ ISO Logbook (Gulu), UGA-OTP-0068-0146, at 0151. See also UPDF Logbook (Soroti), UGA-OTP-0242-6212, at 6320-21.

⁶³²⁰ ISO Logbook (Gulu), UGA-OTP-0068-0146, at 0151.

⁶³²¹ P-0379: <u>T-57</u>, p. 56, line 18 – p. 57, line 4.

⁶³²² P-0330: <u>T-52</u>, p. 60, lines 3-14.

⁶³²³ P-0236: T-16-CONF, p. 33, lines 22-24.

⁶³²⁴ Para. 223 above.

⁶³²⁵ P-0231: <u>T-122</u>, p. 74, lines 10-16.

⁶³²⁶ P-0138: T-120, p. 23, lines 4-6.

⁶³²⁷ *See* para. 2317 above.

- 2331. There is also intercept radio communication evidence demonstrating that Joseph Kony regularly ordered the abduction of children. First, as singled out just above, in some orders Joseph Kony specifically explained the reasons behind the focus on children. In addition, there are further records of orders for abduction of children.
- 2332.On 16 November 2002, an ISO logbook records Joseph Kony ordering all commanders in Uganda to abduct boys of 10 years of age and below, adding that each commander must abduct at least 50.6328
- 2333.On 9 September 2002, Joseph Kony is recorded in the ISO logbook that all young children found in the villages, 'when their parents run and leave them behind', should be 'collect[ed]' and taken to him, and adding that 'these children will grow as their soldiers'. 6329
- 2334. Still further, there are records of Joseph Kony's orders for 'abduction', without further specification, which the Chamber nevertheless makes reference to as relevant in the context of the totality of the evidence on the issue. 6330
- 2335.In addition to specific orders, the notion that Joseph Kony issued general orders for abduction was brought up by P-0231, who stated that during the 'period of abduction', 'whoever you come across, whoever you think is able to fight you abduct that person'. 6331 P-0205 similarly testified that in 2002, Joseph Kony convened everyone together and ordered, inter alia, that 'boys should be abducted, boys who are able to participate in the army' and that '[e]ach brigade should ensure that they increase or they boost the number of their units or their soldiers'. 6332
- 2336.P-0231 testified that on certain occasions Joseph Kony issued orders to stop abductions.⁶³³³ Corroborating this, P-0233, whose testimony was generally that 'fighting

⁶³²⁸ ISO Logbook (Gulu), UGA-OTP-0065-0002, at 0034-35. It is noted that the order is first logged as relating to boys aged 15 and below, but the entry is then corrected, *see* UGA-OTP-0065-0002, at 0035, bottom of page. The Chamber notes its discussion of the reliability of the 2002 ISO logbooks at para. 666 above.

⁶³²⁹ ISO Logbook (Gulu), UGA-OTP-0064-0093, at 0121. The Chamber notes that the date of the intercept does not appear written on the logbook, but that it can be discerned conclusively from the sequence of the entries. The Chamber notes its discussion of the reliability of the 2002 ISO logbooks at para. 666 above.

⁶³³⁰ See ISO Logbook (Gulu), UGA-OTP-0064-0002, at 0006; ISO Logbook (Gulu), UGA-OTP-0068-0002, at 0027, 0042. The Chamber notes its discussion of the reliability of the 2002 ISO logbooks at para. 666 above.

⁶³³¹ P-0231: <u>T-122</u>, p. 73, lines 9-15.

⁶³³² P-0205: <u>T-48</u>, p. 20, line 24 – p. 21, line 4.

⁶³³³ P-0231: <u>T-122</u>, p. 74, lines 15-16.

and abduction go hand in hand', stated that sometimes the order was that people should not be abducted 6334

- 2337. This is confirmed by the evidence of P-0205, who stated that the general order for abductions was revoked in 2003. 6335 In fact, such a revocation was recorded in an ISO logbook of intercepted radio communications, which noted on 18 August 2003 Vincent Otti as sending a message to all units 'that starting f[ro]m today onwards no LRA should continue abducting more recruits any more' and that 'abduction should generally stop at the moment with LRA'. 6336
- 2338. However, P-0205, whose testimony on this point bears high value on account of his position within the LRA, testified that the revocation of the general order for abductions did not in fact stop abductions; he stated:

There were no general orders to abduct, unless a particular commander decides to take his – to do it within his own initiative. If the commander comes across somebody that he or she thinks he can abduct, then you abduct the person, keep quiet about it and then send the report after a period has elapsed.

If you go to this – if you go with that person, if you go with that person openly they'll know that this person has been abducted. So you hide the person, wait after a period and then re-introduce the person to your group. 6337

2339. As concerns Dominic Ongwen's orders for abduction, the Chamber notes that P-0231 testified in general terms about orders for abduction being passed by Dominic Ongwen onto the junior officers. P-0205 testified about a gathering in Koyo at which Dominic Ongwen organised the soldiers under his control, and also gave the order to 'abduct girls and boys'. In addition, the Chamber notes the evidence discussed below in the context of specific abductions.

Sinia soldiers, in execution of orders of Joseph Kony, Dominic Ongwen and the Sinia brigade leadership, abducted a large number of children under 15 years of age in Northern Uganda between 1 July 2002 and 31 December 2005. Children under the age of

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⁶³³⁴ P-0233: T-111-CONF, p. 11, lines 17-19, p. 26, lines 1-4.

⁶³³⁵ P-0205: <u>T-48</u>, p. 21, lines 5-10.

⁶³³⁶ ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0281.

⁶³³⁷ P-0205: <u>T-48</u>, p. 21, line 20 – p. 22, line 4.

⁶³³⁸ P-0231: T-122, p. 75, lines 2-21. It is noted that the witness specified that in the one example of abductions which took place pursuant to Dominic Ongwen's order, there were 'no children among the abductees'.

 $^{^{6339}}$ P-0205: T-48-CONF, p. 13, line 22 – p. 14, line 4. As discussed above, this order was given in or shortly after July 2004, *see* para. 2123 above.

15 were also abducted during the four attacks relevant to the charges. Dominic Ongwen also abducted children himself. 6340

- 2340. The witnesses testifying before the Chamber provided ample reliable evidence about the ongoing practice of abductions of children into the Sinia brigade. The Chamber will first discuss the cases of abductions outside the context of the four attacks relevant to the charges, and then assess the evidence related specifically to such four attacks.
- 2341. A story of abduction which is exemplary of the LRA is provided by P-0097, who testified about his abduction into the Sinia brigade. The witness was abducted in February 2005, 6341 when he was still under the age of 15.6342 When the LRA fighters arrived, they fired shots and set the houses on fire: P-0097 ran away but was found by a group of four or five LRA fighters. 6343 When they found him, he did not resist coming with them out of fear of death. 6344 P-0097 had heard stories about people refusing and being shot. 6345
- 2342.P-0097 testified that they were 'Kony's rebels' who abducted him. 6346 He stated that he was not the only abductee, but there were others, boys and girls who were abducted with him. 6347 The rebels led the abductees to a predetermined meeting place where they met up with other LRA fighters. 6348 P-0097 stated that the youngest of the other abductees was about 12 or 13 years old, stating that 'I was even taller than them'. 6349 After being attacked and chased away by government soldiers, the rebels convened at a different meeting point where P-0097 met 'Odomi'. 6350 The witness and another new abductee, were given to Dominic Ongwen. 6351 P-0097 remained with Dominic Ongwen for

6340 Para, 223 above.

⁶³⁴¹ P-0097: T-108-Conf, p. 6, line 23 – p. 7, line 7.
6342 See para. 299 above.
6343 P-0097: T-108, p. 7, line 8 – p. 8, line 11.
6344 P-0097: T-108, p. 8, line 23 – p. 9, line 3.
6345 P-0097: T-108, p. 9, lines 4-7.
6346 P-0097: T-108, p. 6, lines 23-24.
6347 P-0097: T-108, p. 9, lines 11-18, p. 10, lines 13-15.
6348 P-0097: T-108, p. 11, line 18 – p. 12, line 3.

⁶³⁴⁹ P-0097: <u>T-108</u>, p. 12, line 18 – p. 13, line 2. ⁶³⁵⁰ P-0097: <u>T-108</u>, p. 14, lines 3-18.

⁶³⁵¹ P-0097: T-108-Conf, p. 15, lines 13-20.

the entirety of his time in the LRA.⁶³⁵² During this time he was assigned to a soldier under Dominic Ongwen's command.⁶³⁵³

- 2343.P-0264 testified that he was abducted in 2002.⁶³⁵⁴ The Chamber recalls its assessment of this witness's credibility, in particular as concerns the witness's age at the time of abduction.⁶³⁵⁵ As discussed, while the witness stated that he was 11 at the time of his abduction,⁶³⁵⁶ there is documentary evidence which indicates that he was born in 1989 and thus would have been 12 or 13 year old at the time of abduction.⁶³⁵⁷ The Defence submits that these 'apparent contradictions' should lead to the Chamber to disregard the witness's evidence.⁶³⁵⁸ The Chamber repeats that this discrepancy has no influence on the witness's general credibility. Since the Chamber is unable to establish the witness's precise date of abduction in 2002 it cannot determine whether it occurred after 1 July 2002. However, with respect to the facts under consideration at this juncture, the Chamber notes that the fact whether the witness was 11, 12 or 13 is immaterial, since in any case he was under the age of 15 at the time of his abduction.
- 2344.P-0264 testified that he was abducted by a soldier belonging to the Oka battalion and became an escort⁶³⁵⁹ to ______.⁶³⁶⁰ During his initiation ceremony, P-0264 saw many other people of his age⁶³⁶¹ that is to say, other abductees under the age of 15.
- 2345.P-0309 was abducted in September 2002 in the area of ______. 6362 The Chamber repeats again that it is aware of the contradicting evidence with regard to P-0309's age. 6363 However, the Chamber notes that even taking ______ 1987 as the witness's date of birth that is the date which would make him the oldest he would still have been under the age of 15 at the time of his abduction in September 2002, 6364 even if it

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6352 P-0097: <u>T-108</u>, p. 16, lines 3-6.
6353 P-0097: <u>T-109</u>, p. 21, line 22 – p. 22, line 10.
6354 P-0264: T-64-CONF, p. 9, lines 20-22; <u>T-65</u>, p. 84, lines 12-14.
6355 See paras 330-331 above.
6356 P-0264: T-64-CONF, p. 10, lines 8-9; <u>T-66</u>, p. 13, lines 16-18.
6357 National ID Card, UGA-OTP-0270-1382.
6358 <u>Defence Closing Brief</u>, para. 527.
6359 P-0264: <u>T-64</u>, p. 27, line 21 – p. 28, line 8.
6360 P-0264: T-64-CONF, p. 10, lines 12-18, p. 12, lines 22-23.
6361 P-0264: <u>T-64</u>, p. 25, line 25 – p. 26, line 9.
6362 P-0309: T-60-CONF, p. 12, lines 17-24.
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⁶³⁶³ See paras 344-346 above. ⁶³⁶⁴ P-0309: T-60-CONF, p. 12, lines 17-19.

was not for a long time. Importantly, P-0309 was integrated into Dominic Ongwen's home immediately after he was abducted, which means he would still have been under the age of 15 at that time. 6365

- 2346.P-0309 described that the day after his abduction he was taken to a compound where a large number of people were gathered. ⁶³⁶⁶ One of the senior commanders, named 'Dominic', came and talked to the group. ⁶³⁶⁷ He also asked people for their age ⁶³⁶⁸ P-0309 replied that he was 14 ⁶³⁶⁹ and separated them into groups according to their age. ⁶³⁷⁰ The witness was distributed into Dominic Ongwen's household, while others were taken to the homes of other commanders. ⁶³⁷¹
- 2347. Witness P-0142 referred to different general categories of people abducted by the LRA. Besides girls or grown-ups to carry luggage, he referred to the order 'to abduct young children from 10, 11, or 12 years old'. Witness P-0307 equally stated that '[m]any young children were abducted in Teso, boys and girls of my age group and even those above my age group. As discussed above, P-0307 was under the age of 15 at that time. When describing another attack, P-0307 called abductions a 'standard practice' and explained more generally that 'abducting new recruits was part of routine activities during attacks so that there was no need for any commander to order you to abduct because this was part of the job.' 6375
- 2348. Further, P-0307 who was an escort to one of Dominic Ongwen's officers⁶³⁷⁶ testified that in the context of an attack on Pajule IDP camp in which he participated⁶³⁷⁷ the LRA abducted 'many males and females including young people', some of whom were even

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<sup>6365</sup> P-0309: T-60, p. 18, line 22 – p. 19, line 15, p. 20, line 14 – p. 21, line 4. <sup>6366</sup> P-0309: T-60, p. 14, line 22 – p. 15, line 2. <sup>6367</sup> P-0309: T-60, p. 15, lines 3-6. <sup>6368</sup> P-0309: T-60, p. 15, line 7. <sup>6369</sup> P-0309: T-60, p. 15, lines 12-16. <sup>6370</sup> P-0309: T-60, p. 15, line 8. <sup>6371</sup> P-0309: T-60, p. 15, line 8-10. <sup>6372</sup> P-0142: T-71, p. 25, line 22 – p. 26, line 4. <sup>6373</sup> P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 28. <sup>6374</sup> See paras 334-338 above. <sup>6375</sup> P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 36. <sup>6376</sup> P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 39.
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⁶³⁷⁷ In this regard, the Chamber recalls that the attack on Pajule IDP camp in which P-0307 took part was a different attack from the attack on the same camp which forms the basis of some of the charges brought against Dominic Ongwen in the present case (*see* para. 333 above).

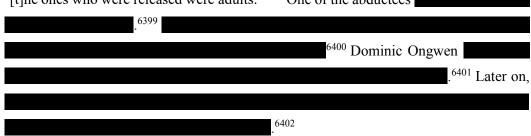
younger than him or slightly older.⁶³⁷⁸ He explained that the elderly ones were released after the attack once they had carried away the booty and the young ones were kept in the LRA.⁶³⁷⁹ In his live-testimony before the Chamber, the witness specified that he could not recall the exact age of the young abductees, but stated that some 'were almost my size'.⁶³⁸⁰ The Chamber takes this to mean that the children were younger than P-0307.

- 2349.P-0406, who was an escort to a soldier in Sinia brigade, described how in early 2003 they were sent into the area of Soroti with the order to, inter alia, abduct people between 10 and 17 years old. 6381
- 2351. In addition to having ordered the abduction of children and their distribution, including into his own household, Dominic Ongwen was also personally involved in abductions. P-0205, in particular, recalled an occasion when Dominic Ongwen, who, at that time, was still commander of Oka battalion, abducted six girls and a number of boys from Laliya. 6390 P-0205 stated that the age of the boys ranged between 12 and 15 years. 6391

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6378 P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 39.
6379 P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 39.
6380 P-0307: T-152, p. 67, lines 22-25.
6381 P-0406: T-154, p. 28, lines 1-7.
6382 P-0314: T-74-CONF, p. 7, lines 12-15; T-74, p. 18, lines 14-18.
6383 National ID Card, UGA-OTP-0258-0869-R01; P-0314: T-74, p. 19, line 21 – p. 20, line 3; T-75, p. 5, lines 9-
14. See para. 348 above for a discussion on the witness's age.
6384 P-0314: T-74, p. 6, lines 3-6, p. 7, lines 18-22.
6385 P-0314: T-74-CONF, p. 7, lines 18-25; T-75, p. 60, lines 7-16.
6386 P-0314: T-74-CONF, p. 8, lines 1-15.
6387 P-0314: T-74, p. 9, line 24 – p. 10, line 3.
6388 P-0314: T-74-CONF, p. 8, lines 4-5, p. 9, lines 5-12.
6389 P-0314: T-74, p. 10, line 20 – p. 11, line 9.
6390 P-0205: T-47, p. 18, lines 6-18.
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6391 P-0205: <u>T-47</u>, p. 18, lines 21-24.

- 2352. The Chamber recalls its findings on the attack on Pajule IDP camp. 6392 P-0309, who participated in the attack when he was no older than 15,6393 stated that there was a large number of abductees from Pajule IDP camp, 'well over 100 people'. 6394 According to the witness, they were of different age groups: 'there were older people, there were young people, some of whom were early teens'. 6395 He stated that there were abducted children that were younger than him. 6396
- 2353.P-0309 described how, at the meeting point after the attack, some abductees were released. 6397 When asked why some were released and not others, P-0309 explained: '[t]he ones who were released were adults.'6398 One of the abductees



2354.P-0015, herself abducted into the LRA, did not participate in the attack on Pajule IDP camp. However, she saw the LRA fighters returning from the attack. ⁶⁴⁰³ P-0015 described how the fighters also brought abductees with them, among them little boys and girls. ⁶⁴⁰⁴ While P-0015 could not remember the number of persons abducted, she stated that the youngest of them were about 8 years old. ⁶⁴⁰⁵ P-0015 corroborates P-0309 that the older abductees were released, while the LRA kept the younger ones. ⁶⁴⁰⁶

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6392 See paras 1172-1383 above.
6393 See para. 2345 above.
6394 P-0309: T-60, p. 63, lines 15-17.
6395 P-0309: T-60, p. 63, lines 18-20.
6396 P-0309: T-60, p. 63, lines 21-23.
6397 P-0309: T-60-CONF, p. 68, lines 22-25.
6398 P-0309: T-60-CONF, p. 69, lines 14-16.
6399 P-0309: T-60-CONF, p. 70, lines 1-2.
6400 P-0309: T-60-CONF, p. 69, lines 18-21.
6401 P-0309: T-60-CONF, p. 69, lines 21-25.
6402 P-0309: T-60-CONF, p. 69, lines 24-25.
6403 P-0015 First Statement, UGA-OTP-0043-0131-R01, at para. 92.
6404 P-0015 First Statement, UGA-OTP-0043-0131-R01, at para. 93.
6406 P-0015 First Statement, UGA-OTP-0043-0131-R01, at para. 93.
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2355. Similar evidence was provided by P-0144. During his testimony, the witness stated that around 200 of the abductees were released after the attack. ⁶⁴⁰⁷ Asked what happened to the remaining civilians, P-0144 replied:

They selected the younger abductees. From about the age of 11 to about 15 to 17 were the ones who remained behind, as they were taken in as newly recruited members of the force. 6408

- 2356.P-0138 provided general corroborating evidence, stating that children under the age of 15 were abducted during this attack.⁶⁴⁰⁹
- 2357. The Chamber recalls its findings on the attack on Odek IDP camp. 6410
- 2358.P-0252, who was 11 at the time of the attack, ⁶⁴¹¹ testified that during the attack an LRA fighter, Onen Kamdulu, tied children between the age of 11 and younger together to abduct them. ⁶⁴¹² P-0252 was tied together with these children, whose number P-0252 estimates between six and seven. ⁶⁴¹³ At a later point in his testimony, P-0252 testified that there were many other children who were between 10 and 14 years old, who were abducted that same day and who were taken to 'recruit them as fighters'. ⁶⁴¹⁴ Hilary Kilama, a boy of 13 or 14 years of age, ⁶⁴¹⁵ was abducted during the attack but subsequently killed because he could not keep up walking. ⁶⁴¹⁶
- 2359.P-0406, who was an escort to a commander named in Sinia, 6417 testified that he saw a group of children, boys as well as girls, abducted from Odek IDP camp after the attack and stated that they were between 11 and 12.6418

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6407 P-0144: <u>T-91</u>, p. 49, lines 1-11.
6408 P-0144: <u>T-91</u>, p. 49, lines 12-15.
6409 See paras 1331 and 1369 above.
6410 See paras 322-323 above.
6411 See paras 322-323 above.
6412 P-0252: <u>T-87</u>, p. 17, line 22 – p. 18, line 16.
6413 P-0252: <u>T-87</u>, p. 18, lines 17-18.
6414 P-0252: <u>T-87</u>, p. 38, lines 9-16.
6415 P-0269: <u>T-85</u>, p. 47, line 25 – p. 48, line 2.
6416 See paras 1596 and 1598 above.
6417 P-0406: <u>T-154-CONF</u>, p. 16, lines 15-17, p. 19, lines 19-24.
6418 P-0406: <u>T-154</u>, p. 50, lines 5-13.
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- 2360.P-0275 was abducted himself during the attack on Odek IDP camp.⁶⁴¹⁹ The Chamber recalls its assessment with regard to the age of the witness and its conclusion that the witness was nine years old at the time of the abduction.⁶⁴²⁰
- 2361. The Chamber recalls its findings regarding the attack on Lukodi IDP camp. 6421 P-0406 was an LRA rebel who participated in this attack. 6422 He testified that during the retreat after the attack, an older man was killed by 'the new abductees', 'because he couldn't walk fast enough'. 6423 When asked about the age of these 'new abductees', P-0406 stated that they were 'mostly' 12 to 13 years old. 6424 The witness also testified that in the morning after the attack he saw that children of approximately 12 or 14 years had been abducted. 6425
- 2362.Lastly, the Chamber also recalls its findings with regard to the attack on Abok IDP camp. 6426 Several witnesses testified that children under the age of 15 were also abducted during this attack.
- 2363. When P-0286 a camp resident who was abducted during the attack described the assault, he stated that the rebels were 'abducting children and adult people'. 6427
- 2364.P-0406, who participated in the attack on Abok IDP camp, indicated that they abducted children, a mix of boys and girls, the youngest of them being 11.⁶⁴²⁸ Some of these abducted boys became escorts to P-0406's superior, like P-0406 himself. ⁶⁴²⁹ Others were sent to serve in the dog adaki. ⁶⁴³⁰
- 2365. This evidence provided by the LRA fighters is also corroborated by the victims of the attack on Abok IDP camp. P-0284, one of the camp leaders, stated that there was a list

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<sup>6419</sup> P-0275 Statement, UGA-OTP-0244-3398-R01, at paras 23-30.
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⁶⁴²⁰ See para. 487 above.

⁶⁴²¹ See paras 1643-1857 above.

⁶⁴²² P-0406: <u>T-154</u>, p. 54, lines 7-9.

⁶⁴²³ P-0406: <u>T-154</u>, p. 58, lines 8-21.

⁶⁴²⁴ P-0406: <u>T-154</u>, p. 59, lines 19-21.

⁶⁴²⁵ P-0406: <u>T-154</u>, p. 63, lines 1-12.

⁶⁴²⁶ See 1858-2008 paras above.

⁶⁴²⁷ P-0286: <u>T-131</u>, p. 6, line 25.

⁶⁴²⁸ P-0406: <u>T-154</u>, p. 75, lines 9-15.

⁶⁴²⁹ P-0406: T-154, p. 77, lines 9-17.

⁶⁴³⁰ P-0406: <u>T-154</u>, p. 77, lines 12-19. The *dog adaki* were a sub-group of soldiers in a battalion, also charged with the security and served as standing guards to be look outs at the perimeter of the LRA camps (P-0379: <u>T-56</u>, p. 26, lines 9-14, p. 29, lines 12-19; P-0330: <u>T-51</u>, p. 67, lines 1-6).

of abductees; he remembers that about eight persons between the ages of 13 to 20 were abducted during the attack, of which two did not come back.⁶⁴³¹

iii. Presence, integration and training of children under the age of 15 in Sinia

Following their abduction, children under the age of 15 years were integrated into Sinia with the aim of using them in hostilities. Dominic Ongwen knew that children under 15 years of age were integrated into Sinia as soldiers. The abducted children were trained, in some cases received guns, and were assigned to service in Sinia. In some cases, Dominic Ongwen himself assigned abducted children to service within the Sinia brigade. The children served as escorts in Sinia brigade in general and specifically in Dominic Ongwen's household. 6432

- a. Presence of children under 15 in Sinia brigade
- 2366. Following the abductions and distribution, children under the age of 15 were present in all parts of the LRA, also in Sinia brigade. P-0142 described that the distribution of the children in Sinia was done by Dominic Ongwen and his 'operation room'. 6433
- 2367. However, not on all other occasions was the distribution of children so centralised. P-0054 recounts an occasion where he was 'given' a ten year old child by a lieutenant in Sinia headquarters. The boy, whose name was finitely, had been brought in by Sinia after an attack on Kitgum and was given to P-0054 to 'take care of him', since he was very young. had been brought in by Sinia after an attack on Kitgum and was given to P-0054 to 'take care of him', since he
- 2368.P-0233 stated in relation to the abduction policy in general that, when going on abduction missions, the age group the LRA was looking for was 13 years and older. P-0233 explained that the reason for this was that 'the person can still the kept, can be mentored, can be influenced to do what you want the person to do.'6436
- 2369.P-0372, when describing the attack on Labwor Omor, stated that a lot of people were abducted but the older ones were let go, while the young ones were kept.⁶⁴³⁷ He stated that up to 40 younger abductees, whose age he estimated to be between 12 and 15

⁶⁴³¹ P-0284 Statement, UGA-OTP-0244-1180-R01, at para. 44.

⁶⁴³² Para. 224 above.

⁶⁴³³ P-0142: <u>T-71</u>, p. 57, line 21 – p. 58, line 9.

⁶⁴³⁴ P-0054: T-93, p. 25, lines 6-11.

⁶⁴³⁵ P-0054: T-93, p. 25, lines 1-8.

⁶⁴³⁶ P-0233: <u>T-111</u>, p. 25, lines 9-13.

⁶⁴³⁷ P-0372: <u>T-148</u>, p. 38, lines 4-7.

years, 6438 stayed in the LRA. 6439 When asked more generally about why the LRA kept the younger abductees, P-0372 replied:

The reason they would keep the younger ones, was because these young ones could get confused and indoctrinated and would not think about returning home. It was very easy to change their mindsets so that they could be part of the soldiers. Children could also easily forget. 6440

- 2370.P-0372 stated that all the abductees from the attacks on Labwor Omor and Odek stayed in Sinia brigade. The abductees from the attack on Pajule partly went on to other brigades. 4442
- 2371.P-0264, who was 11 at the time of the attack, ⁶⁴⁴³ testified that three girls and boys younger than himself were distributed to Ben Acellam in the aftermath of the attack on Odek IDP camp. ⁶⁴⁴⁴
- 2372.P-0379, who was abducted during an attack on Pajule IDP camp different from the attack relevant to the charges in the present case, and distributed into the Oka battalion and the household of Okot Ot Ngec,⁶⁴⁴⁵ stated that there were many young boys of the age of 11 and 12 in the household of Dominic Ongwen.⁶⁴⁴⁶

b. 'Beating out the civilian'

2373. The Chamber recalls its discussion that abductees were regularly beaten shortly after their abduction. 6447 One aspect, as explained above, was to ensure compliance with orders and create a climate of fear. 6448 Another aspect was that it was impressed upon these newly abductees that they were part of a military organisation from then on. Several witnesses recalled that there was an almost initiation-like flogging, caning or hitting of the newly abducted to 'beat out the civilian'.

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6438 P-0372: T-148, p. 38, lines 10-17.
6439 P-0372: T-148, p. 38, lines 8-9.
6440 P-0372: T-148, p. 51, lines 5-8.
6441 P-0372: T-148, p. 54, lines 18-19.
6442 P-0372: T-148, p. 54, lines 16-17.
6443 See para. 330 above.
6444 P-0264: T-64, p. 65, lines 13-22.
6445 P-0379: T-56, p. 18, lines 20-22.
6446 P-0379: T-56, p. 21, lines 12-21.
6447 See paras 907-915 above.
6448 See para. 906 above.
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- 2374.P-0252, who was 11 at the time of his abduction, ⁶⁴⁴⁹ stated that on one of the ceremonies after his abduction he was told to think as a soldier now, not as a civilian and added 'I was beaten for that'. ⁶⁴⁵⁰ He described how he was beaten with canes and a machete and explained this was done so that he would leave his civilian life behind. ⁶⁴⁵¹
- 2375. Similarly, P-0097 who was 15 or younger at the time of his abduction⁶⁴⁵² recalled that, when arriving at the LRA camp after his abduction, he was beaten:

When we arrived at the position, they told us, they told them to welcome us. When they say they should welcome us, we thought they were going to greet us. Later on we realised that we were supposed to be whipped, and we were indeed whipped. That was our welcome. ⁶⁴⁵³

- 2376.P-0097, like all other new abductees that day, received 50 strokes on his back and buttocks with canes that had been freshly cut.⁶⁴⁵⁴
- 2377.P-0307, who was either 13 or 14 years old at the time of his abduction, 6455 stated that one of the initiations for new abductees was that they were caned. He explained that the LRA called it 'recruiting people in the army'; 6456 '[t]hey will pick you and cane you uncountable strokes of the cane. 6457 When they caned P-0307, he was told it was 'to take away the civilian life from me'. 6458
- 2378.P-0330, who was abducted as a child under the age of 15, ⁶⁴⁵⁹ testified:

They took me to a trench, took off my shirt and I was told that they had to recruit me into the army. I was told that I had to be recruited into the army. I was told to kneel down and touch my nails and I was beaten with a wire lock. And that was the initiation, the recruitment into the army. ⁶⁴⁶⁰

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6449 See paras 322-323 above.
6450 P-0252: T-87, p. 50, lines 7-8.
6451 P-0252: T-87, p. 50, lines 8-11.
6452 See para. 299 above.
6453 P-0097: T-108, p. 13, lines 7-10.
6454 P-0097: T-108, p. 13, lines 11-21.
6455 See paras 338-339 above.
6456 P-0307: T-153, p. 23, lines 3-10.
6457 P-0307: T-153, p. 23, lines 9-10.
6458 P-0307: T-153, p. 23, lines 10-11.
6459 P-0330: T-51, p. 50, line 16 – p. 51, line 9; UGA-OTP-0269-0697.
6460 P-0330: T-51, p. 57, lines 6-9.
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2379.P-0314 recalled that during his time in the LRA he would see new abductees and testified that 'the younger ones would be beaten, initiated into the army'. 6461 P-0314 called it brainwashing in order to 'taking that civilian aspect of your life from you.' 6462

c. Training

- 2380.P-0406 described how two persons belonging to the Sinia Brigade, Ooki and Denis, conducted military training in Sudan, in November or December 2002. 6463 The training included how to march and parade, how to dissemble and reassemble a gun, how to clean a gun and a limited shooting practice. 6464 P-0406 himself was already 16 years old when he received the training, but with him were three other persons amongst whom one was younger, around 12 years old. 6465 Besides this first-hand experience, P-0406 testified that there was also training of other groups, with boys of 11, 12 years of age being trained. 6466
- 2381.D-0068 confirmed that there was shooting practice in Sudan for young boys of the age of 10, 11 or 12 years old which was not done during the training in Uganda. 6467 Otherwise the same things were taught as in the training provided in Uganda: recruits were taught how to parade, how to dismantle and reassemble a gun. 6468
- 2382. The Chamber notes that P-0142 testified that he did not train any persons under the age of 15 in Sudan. However, considering the abundance of contrary available evidence, the Chamber does not rely on the evidence provided by P-0142 that no children under 15 were trained in the LRA and more specifically in the Sinia Brigade.
- 2383. Several witnesses provided evidence to the more ad hoc way the children were trained in Uganda. P-0252, an abductee in Dominic Ongwen's group, 6470 testified that, while there was not a lot of time, he did receive training after his abduction. 6471 According to P-0252, training was done in between walks when there was time, and in a more individualised

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<sup>6461</sup> P-0314: T-74, p. 28, lines 11-14.
<sup>6462</sup> P-0314: T-74, p. 28, lines 14-16.
<sup>6463</sup> P-0406: T-154, p. 20, lines 10-14, p. 22, lines 6-14.
<sup>6464</sup> P-0406: T-154, p. 22, line 22 – p. 23, line 5.
<sup>6465</sup> P-0406: T-154, p. 23, lines 6-14.
<sup>6466</sup> P-0406: T-154, p. 23, lines 15-24.
<sup>6467</sup> D-0068: T-223, p. 18, lines 10-11.
<sup>6468</sup> D-0068: T-223, p. 18, lines 2-13, p. 19, line 13 – p. 20, line 1.
<sup>6469</sup> P-0142: T-70, p. 15, line 19 – p. 16, line 1.
<sup>6470</sup> P-0252: T-87, p. 41, lines 13-17.
<sup>6471</sup> P-0252: T-87, p. 50, lines 12-15.
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manner. 6472 Training was done 'in short bursts and intensively'. 6473 P-0252 testified that he was trained theoretically and practically – marching or how to handle a gun, for instance dismantling, reassembling or cleaning it, 6474 how to behave during battle, how to take cover, crouch or fight while standing up. 6475 He stated that during practice no guns were fired, since the LRA did not want to waste any bullets. 6476 P-0252 testified that boys and girls were trained alike, the focus was put on whether the older fighters considered someone capable. 6477 However, the preferred age to train fighters was from six, seven years upwards. 6478

- 2385.P-0410 also provided evidence on this kind of training, adapted to the specific situation the recruit was in. He testified that this training lasted for two to three weeks, taking place in the wilderness. The informal character of the training was due to the fact that they were chased by government soldiers and helicopter gunships at that time. Accordingly, he was taught how to evade a helicopter gunship and how to avoid being spotted, even though he had not received a weapon yet. This training was provided in

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<sup>6472</sup> P-0252: <u>T-87</u>, p. 50, line 23 – p. 51, line 4.
<sup>6473</sup> P-0252: <u>T-87</u>, p. 51, lines 21-22.
<sup>6474</sup> P-0252: T-87, p. 50, lines 15-16, p. 51, lines 5-9.
<sup>6475</sup> P-0252: <u>T-87</u>, p. 51, line 23 – p. 52, line 3.
<sup>6476</sup> P-0252: <u>T-87</u>, p. 50, lines 16-22.
<sup>6477</sup> P-0252: <u>T-87</u>, p. 52, lines 5-6.
<sup>6478</sup> P-0252: <u>T-87</u>, p. 52, lines 4-8.
<sup>6479</sup> P-0264: T-64-CONF, p. 9, lines 20-21, p. 12, lines 14-16; T-65, p. 84, lines 6-11.
6480 P-0264: <u>T-64</u>, p. 28, lines 9-13.
<sup>6481</sup> P-0264: T-64, p. 28, lines 20-25.
<sup>6482</sup> P-0264: <u>T-64</u>, p. 29, lines 9-12.
<sup>6483</sup> P-0264: <u>T-64</u>, p. 29, lines 18-21.
<sup>6484</sup> P-0264: <u>T-64</u>, p. 29, line 24 – p. 30, line 2.
<sup>6485</sup> P-0410: <u>T-151</u>, p. 26, lines 1-4.
6486 P-0410: T-151, p. 26, lines 9-10.
<sup>6487</sup> P-0410: <u>T-151</u>, p. 25, lines 23-24.
6488 P-0410: <u>T-151</u>, p. 26, lines 10-13.
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addition to the more 'standard' repertoire which was generally taught: how to march, how to assemble and disassemble a gun and how to operate it, how to set an ambush and attack.⁶⁴⁸⁹ The training was provided by Komakech, who was serving in Sinia under Dominic Ongwen,⁶⁴⁹⁰ to a group of more than 20 people.⁶⁴⁹¹ Many in the group were 12, 13 or 14 years old.⁶⁴⁹²

- 2386. This is supported by P-0309, who testified that the training he received was done by two senior soldiers in Dominic Ongwen's household. ⁶⁴⁹³ He learned how to operate, dismantle and clean different types of guns. ⁶⁴⁹⁴ He was not trained for a specific duration, but explained rather that 'they would only show me how to operate at moments when we are stationed somewhere and when we are trying to rest. ⁶⁴⁹⁵ P-0309 also stated that he was taught to be respectful 'to the commanders like Dominic'. ⁶⁴⁹⁶
- 2387.P-0379 recounted an episode where Dominic Ongwen saw the members of Ot Ngec's household parade and said that the members of his own household who comprised boys as young as 11 and 12 years old should do the same, which was done.⁶⁴⁹⁷
- 2388.P-0307 testified that he and four other boys, who were all between 12 and 16 years old, received training at the Go Atoo foothill on how to march, stand attention and salute, by an officer called Ogwal. He stated that this training was not very long and lasted only for two days. He also named another soldier who trained him on how to dismantle and reassemble a gun this was done while the group he was with was mobile and moving between different places. Finally, Ogwal also taught the witness how to cock a gun, pull the trigger and lastly P-0307 trained with live ammunition. When the group

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6489 P-0410: T-151, p. 25, lines 17-23.
6490 P-0410: T-151, p. 25, lines 8-15.
6491 P-0410: T-151, p. 26, lines 15-19.
6492 P-0410: T-151, p. 26, lines 20-25.
6493 P-0309: T-61, p. 32, lines 1-6.
6494 P-0309: T-61, p. 32, line 7 – p. 33, line 2.
6495 P-0309: T-61, p. 33, lines 15-18.
6496 P-0309: T-61, p. 34, lines 1-4.
6497 P-0379: T-56, p. 21, lines 6-21.
6498 P-0307: T-153, p. 20, lines 21-23; P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 69.
6499 P-0307: Statement, UGA-OTP-0266-0425-R01, at para. 70.
6501 P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 71.
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P-0307 was with entered into an ambush and one of the rebels carrying a gun was killed, P-0307 received this gun from his commanding officer. 6502

- 2389.P-0307 also stated that when he was trained how to march, he saw Dominic Ongwen one time coming to observe the training. The Chamber does not agree with the Defence's suggestion that the witness might have been mistaken in the identity of the person, since at that point in time P-0307 did not yet know who Dominic Ongwen was. The witness later met Dominic Ongwen personally and the Chamber is convinced that the manner in which this happened ensured that P-0307 was certain about Dominic Ongwen's identity. The witness recounted an incident where he forgot to salute Dominic Ongwen properly. The accused then put a knife he held in his hand on P-0307's chest and told him that, since this was not a civilian place, the witness should have saluted his commander. Dominic Ongwen then made the witness believe for a moment that he would be killed for this infringement. his statement P-0307 concluded: 'From that day I knew who Dominic Ongwen was and never forgot him'. him witness remained equally adamant in the courtroom that he saw Dominic Ongwen.
- 2390.P-0314 described that a month or two after his abduction⁶⁵⁰⁸ he received training in the Sinia brigade. He was taught the same things as most other abductees: how to march and salute, how to dissemble, reassemble and clean a gun and how to use it.⁶⁵⁰⁹ At one occasion the group got chased by government soldiers during the training and since P-0314 had a gun at that time, he also fired at them.⁶⁵¹⁰ P-0314 was trained by an escort of the same signaller the witness himself was serving.⁶⁵¹¹
- 2391.P-0054 confirmed that training such as how to dismantle a gun, how to fire a gun, how to behave in case of an attack and how to parade was done in Sinia brigade after operation Iron First. 6512 The witness stated that this training was given to the 'new recruits' who he

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6502 P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 72.
6503 P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 69.
6504 P-0307: T-153, p. 22, lines 9-10.
6505 P-0307: T-153, p. 12, line 7 - p. 13, line 1; P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 20.
6506 P-0307 Statement, UGA-OTP-0266-0425-R01, para. 20.
6507 P-0307: T-153, p. 22, line 17-20.
6508 P-0314: T-74, p. 18, lines 8-18.
6509 P-0314: T-74, p. 18, line 9 - p. 19, line 5.
6510 P-0314: T-74, p. 19, lines 6-8.
6511 P-0314: T-74, p. 20, lines 7-13.
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⁶⁵¹² P-0054: <u>T-93</u>, p. 23, lines 4-13.

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estimated to be 10 or older. 6513 P-0054 came to the conclusion about the age of the new recruits because he remembered the time when he was abducted himself as a child and stated that he also observed how they would execute their assigned tasks. 6514

- 2392, P-0372 provided general evidence regarding the issue of guns: children as young as 8 to 10 would be trained with a gun in Dominic Ongwen's group. 6515 A child would get a gun after having spent a certain amount of time in the LRA, but that also depended on the individual and his capabilities. 6516 P-0372 concluded by confirming that after this training, the children with the guns would join the other soldiers on their attacks. 6517
- 2393. Similarly, with regard to the fact that the distribution of guns to the 'new recruits' would also depend on the individual, P-0054 stated:

For instance, you, you are lazy; you are lazy, you will take long without a gun. But if you are liked by your boss, yes, maybe after two/three months, when there is a gun they will give it to you. 6518

- 2394. Other factors for this distribution were how mature or strong a person was. 6519 The commanding officer had to assess and determine whether he would give a child a gun: a consequence was that the commanding officer would be punished in case he gave a gun to a child and the child escapes with the gun afterwards. 6520
 - d. Use of children under the age of 15 as escorts
- 2395. With regard to the tasks that children aged under 15 had to perform in the Sinia brigade, P-0264 provided evidence about the roles of escorts. Besides being tasked to 'be close' to the person he was serving, carrying his commanders chair and making his bed. 6521 an escort was also responsible for the security. This meant they had to be on the lookout while the group encamped, living on its edges and reporting if government soldiers or

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<sup>6513</sup> P-0054: <u>T-93</u>, p. 22, lines 5-8, 18-20, p. 23, lines 4-11.
6514 P-0054: T-93, p. 22, lines 9-13.
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⁶⁵¹⁵ P-0372: <u>T-148</u>, p. 52, lines 3-10.

⁶⁵¹⁶ P-0372: <u>T-148</u>, p. 51, line 17 – p. 52, line 1.

⁶⁵¹⁷ P-0372: T-148, p. 52, lines 11-13.

⁶⁵¹⁸ P-0054: T-93, p. 22, line 25 – p. 23, line 3.

⁶⁵¹⁹ P-0054: T-93, p. 23, lines 14-18.

⁶⁵²⁰ P-0054: <u>T-93</u>, p. 23, lines 18-20.

⁶⁵²¹ P-0264: <u>T-64</u>, p. 26, line 14 – p. 27, line 8.

other people would approach.⁶⁵²² They would also catch civilians approaching the camp so that they could be questioned for reconnaissance purposes.⁶⁵²³

2396.P-0252 described the role and function of being an escort to Dominic Ongwen:

O: What was the role of the escorts? What did the escorts do?

A: Odomi's escorts, first they have to pay respect to the commander and listen to him and his orders that he gives to them. They are supposed to guard the commander to ensure he is not wounded, that nothing touches the commander. That is what I can say about the role of the escort.

Q: And you, when you talk about the commander, who are you referring to again?

A: The commander is Odomi. 6524

2397.P-0252 further testified that Dominic Ongwen's escorts would follow him and guard him. ⁶⁵²⁵ The witness named several other people serving for Dominic Ongwen as escorts. ⁶⁵²⁶

2398. This is corroborated by P-0309, who also listed escorts working in Dominic Ongwen's household and confirmed some of the names mentioned by P-0252, while also providing additional ones. February P-0309 was himself an escort for Dominic Ongwen and under 15 years old at the time of his abduction. According to P-0309, some of these escorts were older but he also remembered some who came from the same group of abductees as himself and were younger than him or of the same age. The evidence also contradicts the Defence's assertion that there is no reliable evidence that there were children younger than 15 years in Dominic Ongwen's proximity.

⁶⁵²² P-0264: <u>T-64</u>, p. 27, lines 9-15. The Chamber notes that the witness describes duties of the escorts which others attributed to the *dog adaki*. However, due to the fact that both, escorts and *dog adaki*, were roles which were fulfilled by children in the LRA, the Chamber considers that it is possible that there was an overlap in the tasks.

⁶⁵²³ P-0264: <u>T-64</u>, p. 27, lines 15-19. 6524 P-0252: <u>T-87</u>, p. 45, lines 6-13.

⁶⁵²⁵ P-0252: <u>T-87</u>, p. 42, lines 3-7.

⁶⁵²⁶ P-0252: <u>T-87</u>, p. 42, line 8 – p. 43, line 4.

⁶⁵²⁷ P-0309: T-60-CONF, p. 26, lines 19-25.

⁶⁵²⁸ P-0309: <u>T-60</u>, p. 24, line 21 – p. 25, line 4.

⁶⁵²⁹ See para. 2345 above.

⁶⁵³⁰ P-0309: T-60-CONF, p. 26, lines 19-24, p. 27, line 16 – p. 28, line 21.

⁶⁵³¹ Defence Closing Brief, para. 509.

2399.P-0314, who was an escort for an LRA commander, 6532 testified that there were numerous escorts in Dominic Ongwen's household, and that Dominic Ongwen would 'constantly' change them or alternate between them. 6533 While some of them were adults, 20 years and older, 6534 the young ones were 12 or 13 years old. 6535 The witness knew this as he was an escort himself and used to meet the escorts of Dominic Ongwen's household in his day-to-day routine. 6536 For instance, P-0314

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2400. P-0226, one of the so-called 'wives' of Dominic Ongwen, testified that there were many escorts in his household. She stated that the youngest was 13 years old and that, besides other duties such as protecting him, '[t]hey were also soldiers'. Witness P-0226 explained that, when Dominic Ongwen's group was back in Uganda from Sudan, these escorts would put the training they had received 'into use'. Upon request, P-0226 clarified that she meant that they would go kill someone, if ordered to do so by Dominic Ongwen.

2401.P-0352, an abductee who was the so-called 'wife' of

old. 6543 She testified that other young children she saw were not abductees but born in the bush. 6544 The Chamber recalls its assessment of the witness's credibility. 6545 It does not find that P-0352 testified untruthfully when saying that the youngest of Dominic Ongwen's escorts was 15. However, considering the witness's position in the LRA and length of time spent with the LRA, the fact that she did see persons younger than 15, but did not consider them to be escorts and – most importantly – the abundance of direct evidence cited above which indicates the contrary, the Chamber does not consider that

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6532 P-0314: T-74-CONF, p. 51, lines 7-9, 15-17.
6533 P-0314: T-74, p. 50, lines 8-11.
6534 P-0314: T-74, p. 50, lines 16-17.
6535 P-0314: T-74, p. 50, lines 21-23.
6536 P-0314: T-74-CONF, p. 51, lines 7-14.
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6538 P-0226: T-8, p. 49, lines 10-11.
6539 P-0226: T-8, p. 49, lines 16-17.
6540 P-0226: T-8, p. 49, lines 18-24.
6541 P-0226: T-8, p. 50, lines 4-9.
6542 P-0226: T-8, p. 50, lines 4-9.
6543 P-0352: T-67, p. 81, lines 4-7.
6544 P-0352: T-67, p. 81, lines 8-11.
6545 See paras 404-405 above.
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P-0352's statement is credible in this regard and does not affect the Chamber's conclusion that children under the age of 15 served as escorts for Dominic Ongwen.

2402. The Chamber is aware that P-0231 also stated that there were no escorts under the age of 15 in Dominic Ongwen's household. The Chamber recalls the witness's credibility assessment and the fact that the witness was in the Oka battalion. However, taking into account the plentiful evidence to the contrary, which is credible, consistent and corroborative, the Chamber is of the view that there were numerous escorts under the age of 15 in Dominic Ongwen's household. The Chamber emphasizes that it reaches this conclusion on the basis of all evidence before it.

e. Dominic Ongwen's knowledge of the age of the abductees

2403. In this section, the Chamber lays out its analysis of evidence of several specific events during which the age of children under 15 years old serving in Sinia was specifically brought to Dominic Ongwen's attention. Such evidence is directly linked to the conclusion that at the time relevant for the charges Dominic Ongwen knew that children under 15 years old were integrated in Sinia. But also beyond such specific evidence, due consideration must be given to the facts, all discussed above, that children under 15 years old were objectively present in Sinia, that Dominic Ongwen also interacted with children under 15 years of age, and that Dominic Ongwen was a battalion or brigade commander with control over his unit. In the assessment of the Chamber, these facts are not reasonably compatible with the proposition that Dominic Ongwen would not know of the presence of children under 15 years of age in Sinia, and as such they further support the conclusion of the Chamber on this fact.

2404. The Chamber heard evidence about a particular meeting involving two government soldiers and Dominic Ongwen in September 2006. Whereas it is acknowledged that this meeting took place outside of the temporal scope of the charges, the Chamber nevertheless considers that the evidence of the witnesses who testified is relevant as indicative of Dominic Ongwen's intent and knowledge with respect to the presence of children in LRA soldier ranks.

⁶⁵⁴⁷ See paras 275-276 above.

⁶⁵⁴⁶ P-0231: T-122, p. 72, lines 4-19.

- 2405. Irumba Tingira testified that he met Dominic Ongwen in his capacity as UPDF division intelligence officer in the morning of 4 September 2006 at a location in the general area Lacekokot, on the Kitgum Gulu road. He explained that the purpose of the meeting was for 'UPDF members who were ex-LRA members to give a positive sign to the LRA members, and recent defector[s], three of them, also to give a positive sign and to be a leaving symbol for the LRA members'. He explained that the purpose of the meeting was for 'UPDF members who were ex-LRA members to give a positive sign and to be a leaving symbol for the LRA members'.
- 2406. Irumba Tingira stated that when he met Dominic Ongwen, in the group of around 60 fighters who were with him, he saw around 10 who were children he estimated as between 9 and 14 years of age. 6550 According to the witness, the children were 'as a matter of fact loaded with luggage and they literally looked like loaded donkeys'. 6551
- 2407. Irumba Tingira testified that he raised the matter with Dominic Ongwen in the following words:

Okay, what of these children? They don't make their own decisions. These people I regard as children and whom I see as under age, they are at your mercy. You make your own decisions as a grown up. You have just indicated to me that you cannot defect whatsoever, although you are fully in charge here and your boss Kony is so many kilometres hiding inside Sudan, and in any case, we could support you to defect. You have told me you cannot defect. That's your decision. So what of these children for whom you are making decisions, so why don't you, okay, make your decision, hand me the children? I see them heavily laden. They seem to be forming your logistics train. I could receive them on behalf of their distraught parents, who have missed them for perhaps a while.

⁶⁵⁴⁸ P-0189: <u>T-95</u>, p. 24, line 20 – p. 25, line 23, p. 27, line 13 – p. 28, line 7. *See also* Photograph, UGA-OTP-0260-0140.

⁶⁵⁴⁹ P-0189: <u>T-95</u>, p. 26, lines 2-11.

⁶⁵⁵⁰ P-0189: T-95, p. 40, line 14 – p. 41, line 12. The Presiding Judge asked the witness to explain how he assessed the ages of the children, to which the witness stated: 'Your Honour, first of all, I recognise that I appear before this Honourable Court not as an expert witness, but on the other side, perhaps by community diagnosis and very much used to the situation in Africa and in Uganda and particularly in northern Uganda, I could look at a kid, I mean, I could look at a group, a group of humans and I could segregate them in terms of estimated ages. So the particular group I'm talking about was really tender, small and you could simply look at them, you could simply look at them as a mature person, because I have kids too, and you could really grade them as children by observation.' P-0189: T-95, p. 41, lines 13-22. This answer indicates that the witness's estimate was serious and considered, and it can for this reason be accepted by the Chamber. In addition, the Chamber notes that one of the children appears on a photograph, and that Irumba Tingira testified that he estimated the child to be approximately 13 years old. See Photograph, UGA-OTP-0260-0147; P-0189: T-95, p. 48, lines 7-10. Although the face of the child is poorly visible, the photograph and the related testimony of Irumba Tingira further support the conclusion that Irumba Tingira's estimates of the ages of the approximately ten children in Dominic Ongwen's company are reliable.

⁶⁵⁵¹ P-0189: T-95, p. 40, lines 19-20, p. 47, lines 2-12.

⁶⁵⁵² P-0189: <u>T-95</u>, p. 43, lines 6-15.

2408. According to the witness, Dominic Ongwen then laughed sarcastically and turned down the request:

You call those kids children, but I call them my soldiers. So we are talking about my soldiers. We are not talking about the children you are talking about.⁶⁵⁵³

2409. Irumba Tingira testified that he responded to Dominic Ongwen by 'lowering the bar' and pleading for just one boy, who was standing in close proximity of Dominic Ongwen carrying a Kalashnikov and with luggage on his back, without putting anything down. 6554 Irumba Tingira testified that he said the following to Dominic Ongwen:

Okay, Dominic, the world will always remember you for this at least, get me that young boy there, who is standing without turning, give him to me. And I will also thank you for that. 6555

- 2410. Irumba Tingira testified that he saw Dominic Ongwen's facial expression changing and could see that he was getting irritated. 6556 According to the witness, Dominic Ongwen said in response: '[i]f that's the case, I'm getting my soldiers ready to move'. 6557
- 2411.As explained by Irumba Tingira, the meeting then turned into a larger group discussion.⁶⁵⁵⁸ Irumba Tingira also stated that 'the civilians tried to repeat the same plea, but one of my soldiers told me that Dominic shot them down in the same style'.⁶⁵⁵⁹
- 2412. Joseph Balikudembe testified that he was also present at the same meeting between the UPDF and Dominic Ongwen in Lacekocot in 2006 during ceasefire and peace talks. Notably, he also confirmed the presence of Irumba Tingira at the meeting. Joseph Balikudembe estimated the number of people in Dominic Ongwen's group at more than 80, and stated that 15-20 of them were children, the youngest being, in his estimation, 14

⁶⁵⁵³ P-0189: T-95, p. 43, lines 16-19.

 $^{^{6554}}$ P-0189: $\underline{\text{T-95}}$, p. 43, line 23 – p. 44, line 3. *See also* Photograph, UGA-OTP-0260-0147. Irumba Tingira testified that this was the boy for whom he pleaded with Dominic Ongwen. P-0189: $\underline{\text{T-95}}$, p. 47, line 22 – p. 48, line 6. He also testified that the boy told him that his name was Suker. P-0189: $\underline{\text{T-95}}$, p. 45, lines 2-6.

⁶⁵⁵⁵ P-0189: <u>T-95</u>, p. 44, lines 5-7.

⁶⁵⁵⁶ P-0189: <u>T-95</u>, p. 44, lines 8-10.

⁶⁵⁵⁷ P-0189: <u>T-95</u>, p. 44, lines 10-11.

⁶⁵⁵⁸ P-0189: T-95, p. 44, line 25 – p. 45, line 2.

⁶⁵⁵⁹ P-0189: <u>T-95</u>, p. 44, lines 21-24.

⁶⁵⁶⁰ P-0359: T-109, p. 68, line 22 – p. 69, line 9, p. 83, line 23 – p. 84, line 2.

⁶⁵⁶¹ P-0359: <u>T-109</u>, p. 81, lines 9-12. The fact that the name is given as 'Tingira Ruhumba' in the transcript is immaterial, as it is clear that this is the same person.

years old. 6562 Joseph Balikudembe testified that during the meeting 'some NGOs, maybe the Red Cross' tried to talk 'about the children' with Dominic Ongwen, who seemed not to have wanted to discuss the issue with them. 6563

2413.P-0309 testified that following his abduction he was brought before Dominic Ongwen in a group of abductees and that Dominic Ongwen asked the abductees to state their names and ages.⁶⁵⁶⁴ P-0309 testified that he responded to the question stating that he was 14 years old.⁶⁵⁶⁵ Other abductees who were also asked about their ages stated that they were '13, 12, 15, 16', while '[o]thers were even older, maybe 20 or 30'.⁶⁵⁶⁶

2414.P-0396 also testified that following her abduction she was brought before Dominic Ongwen in a group of abductees. ⁶⁵⁶⁷ She stated that Dominic Ongwen asked the abductees to state their names, ages and where they were coming from. ⁶⁵⁶⁸ P-0396 stated she was 14 years old, two other girls stated they were 16 and 7 years old respectively, and a boy stated that he was 10 years old. ⁶⁵⁶⁹ As discussed above, the girls became *ting tings* and so-called 'wives' in Sinia brigade, ⁶⁵⁷⁰ whereas P-0396 stated that the boy also remained in the LRA. ⁶⁵⁷¹

iv. Use of children under the age of 15 to participate actively in hostilities

Children under 15 years of age serving as soldiers in Sinia brigade took part in fighting. They further facilitated LRA attacks by raising alarms, burning and pillaging civilian houses, collecting and carrying pillaged goods from attack sites and serving as scouts.

⁶⁵⁶² P-0359: <u>T-109</u>, p. 71, line 12 – p. 72, line 3, p. 75, lines 2-5. Asked how he estimated the age, Joseph Balikudembe answered: 'Because I estimate the age because I'm also an adult. I have eyes. [...] I can estimate any age and the size itself can tell.' P-0359: <u>T-109</u>, p. 75, lines 6-8. In addition, earlier during his testimony, he had already explained that as a father himself, he was able to estimate the age of children compared to that of his own children (P-0359: <u>T-109</u>, p. 57, lines 12-17).

⁶⁵⁶³ P-0359: <u>T-109</u>, p. 81, lines 18-22.

⁶⁵⁶⁴ P-0309: <u>T-60</u>, p. 13, line 24 – p. 15, line 7.

⁶⁵⁶⁵ P-0309: <u>T-60</u>, p. 15, lines 12-16.

⁶⁵⁶⁶ P-0309: <u>T-60</u>, p. 20, lines 7-13.

⁶⁵⁶⁷ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 51.

⁶⁵⁶⁸ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 51.

⁶⁵⁶⁹ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 51.

⁶⁵⁷⁰ See para. 2188 above.

⁶⁵⁷¹ P-0396 Statement, UGA-OTP-0267-0246-R01, at para. 64.

During all four attacks relevant to the charges, children under the age of 15 participated in the hostilities.⁶⁵⁷²

- 2415. The abduction and training of children under 15 years of age had one clear aim: to use them as fighters in fighting with groups which were perceived as enemies of the LRA. The Chamber heard numerous witnesses who testified to the fact that children under 15 actively fought in the LRA.
- 2416.P-0054 left no doubt why those children were in the LRA: 'If people are going to battle, children would also go. If one of them has been in the bush for a long time and has a gun, then the person would go as well.' He made clear that, in case the children had guns 'they will fire their arms' and in other cases would look for food. 6574
- 2417. The Chamber first discusses the active participation of children under the age of 15 in hostilities other than the four attacks relevant to the charges and then turns to their participation in the context of such four attacks.
- 2418.P-0252 testified that he was given a gun by one of the more senior fighters in Sinia, Onen Kamdulu, and participated in a confrontation with government soldiers in Binya. The Chamber recalls that the witness was under 15 during his entire time with the LRA, as he was born in 1993 and remained with the LRA until sometime around June 2004. The witness described that the LRA set up an ambuscade against government soldiers and stated 'I used the gun against soldiers'. Further explaining the LRA tactics regarding ambushes, the witness explained that he was 'not only part of ambushes, I was also part of [...] battles'. He further stated that he was also part of another ambuscade in Wii-Aceng. He further stated that he was also part of another ambuscade in Wii-Aceng. He for the result of the stated that he was also part of another ambuscade in Wii-Aceng. He for the result of the stated that he was also part of another ambuscade in Wii-Aceng.

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6572 Para. 225 above.
6573 P-0054: <u>T-93</u>, p. 28, lines 17-21.
6574 P-0054: <u>T-93</u>, p. 28, line 24 – p. 29, line 1.
6575 P-0252: <u>T-87</u>, p. 52, lines 9-15, p. 53, lines 5-6.
6576 See paras 322-323 above.
6577 See para. 327 above.
6578 P-0252: <u>T-87</u>, p. 52, line 24 – p. 53, line 1.
6579 P-0252: <u>T-87</u>, p. 53, line 20 – p. 54, line 1.
6580 P-0252: <u>T-87</u>, p. 54, lines 2-5.
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- 2419. After being presented with a sketch of another attack, P-0252 recalled another fight with government soldiers he participated in. ⁶⁵⁸¹ The witness was injured in this attack and lost consciousness for about one and a half days. ⁶⁵⁸²
- 2420, P-0252 also recalled another attempted attack on a camp in Acet. 6583 The attack did not succeed, because government troops noticed the presence of the LRA and the rebels had to flee. 6584 P-0252 stated that the plan was that one group of fighters would attack the barracks in Acet, shoot the soldiers and burn down the barracks, while another group would then attack the camp. 6585 'They told us that when you go to the camp you are going to determine whether you live or you will die. [...] If you choose to be kind, you are going to remain there as a dead body'. 6586 The witness described how he was selected by a soldier under Dominic Ongwen's command to participate in the attack on Acet. 6587 Asked about his specific role for the attack, the witness explained that he was assigned to carry luggage and 'commit any atrocity that I am told to commit'. 6588 P-0252 explained further that this meant to beat civilians, while being supported by fighters armed with guns. 6589 The witness was armed with a rope and a machete for this specific attack. 6590 He testified that other children were ordered to beat jerry cans during the attack so that the number of rebels would seem large and the government soldiers would get scared. 6591 P-0252 was afraid when he was told to go to Acet as he thought that 'whatever happened in Odek' would take place in Acet too, 6592 but he also testified that one could not refuse to go or otherwise would be killed. 6593
- 2421.Lastly, P-0252 also described that a further aspect of his duties was to be an 'OP'. The witness explained that this was a person on the lookout when the LRA camped. 6594 In this manner the LRA soldiers would be aware if there was activity by government

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6581 P-0252: T-87, p. 54, line 11 - p. 55, line 13, p. 55, lines 20-21.
6582 P-0252: T-87, p. 55, lines 14-19.
6583 P-0252: T-87, p. 57, lines 15-20.
6584 P-0252: T-87, p. 58, lines 5-7.
6585 P-0252: T-87, p. 60, lines 6-11.
6586 P-0252: T-87, p. 58, lines 2-5.
6587 P-0252: T-87, p. 58, lines 2-5.
6588 P-0252: T-87, p. 58, lines 20-25.
6589 P-0252: T-87, p. 58, lines 20-25.
6589 P-0252: T-87, p. 59, lines 1-6.
6590 P-0252: T-87, p. 59, lines 17-20.
6591 P-0252: T-87, p. 60, line 20 - p. 61, line 3.
6592 P-0252: T-87, p. 60, lines 1-5.
6593 P-0252: T-87, p. 61, lines 4-13.
6594 P-0252: T-87, p. 55, line 6.
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soldiers in the area.⁶⁵⁹⁵ P-0252 explained that one would usually be an OP before being trained as a soldier, in order to know how to 'survey'.⁶⁵⁹⁶

- 2422.P-0307, who was under 15 during the entire time he was in the bush with the LRA, ⁶⁵⁹⁷ described how he carried a gun during an attack on Pajule IDP camp. ⁶⁵⁹⁸ The LRA attacked the camp in order to loot foot items and the witness himself broke into a house. ⁶⁵⁹⁹ P-0307 testified that during his time in the bush he killed six persons, including the ones he shot with his gun during attacks. ⁶⁶⁰⁰
- 2423.P-0264 described how he participated in several attacks in Teso⁶⁶⁰¹ during his time in the LRA with the Sinia brigade.⁶⁶⁰² He recalled numerous places where these attacks took place: Abalanga, Muringato, Dital, Kaberamaido.⁶⁶⁰³ The witness recounted a specific episode when he took part in an ambush in which the LRA defeated the government soldiers.⁶⁶⁰⁴ P-0264 was 12 at the time and stated that together with him, 'several people' of his age, with some even younger than him, also took part in that ambush, ⁶⁶⁰⁵ which occurred when Buk was still in charge of Sinia.⁶⁶⁰⁶ The witness also specified that during that ambush, he carried a gun.⁶⁶⁰⁷ On this topic, he further explained, in more general terms, that in the LRA the 'younger ones' would be given a gun depending on their 'strength': 'if you're strong enough you' re given a gun. But if you look weak then they do not arm you, they do not give you a gun because you cannot then be made to carry a bag on top of the gun that you're supposed to hold'.⁶⁶⁰⁸ The witness testified that those who were too weak to carry a gun did not go to the frontline, especially where there was

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<sup>6595</sup> P-0252: T-87, p. 55, lines 8-10.
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<sup>6602</sup> P-0264: <u>T-64</u>, p. 33, lines 1-4.
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⁶⁵⁹⁶ P-0252: <u>T-87</u>, p. 56, lines 10-12.

⁶⁵⁹⁷ See paras 334-338 above.

⁶⁵⁹⁸ P-0307: <u>T-152</u>, p. 67, lines 7-8. The Chamber recalls its finding that this was a different attack from the attack on Pajule IDP camp which forms the basis of several of the charges brought in the present case. *See* para. 333 above.

⁶⁵⁹⁹ P-0307: T-152, p. 67, lines 9-13, 16-18.

⁶⁶⁰⁰ P-0307 Statement, UGA-OTP-0266-0425-R01, at para. 61.

⁶⁶⁰¹ The Chamber recalls that the witness was between 11 and 13 years old at the time of his abduction. *See* para. 2343 above.

⁶⁶⁰³ P-0264: <u>T-64</u>, p. 33, lines 5-12.

⁶⁶⁰⁴ P-0264: <u>T-64</u>, p. 33, line 20 – p. 34, line 6.

⁶⁶⁰⁵ P-0264: <u>T-64</u>, p. 34, lines 15-20.

⁶⁶⁰⁶ P-0264: T-64, p. 33, lines 20-21.

⁶⁶⁰⁷ P-0264: <u>T-64</u>, p. 34, lines 11-14.

⁶⁶⁰⁸ P-0264: <u>T-64</u>, p. 34, lines 20-24.

battle,⁶⁶⁰⁹ but were employed as lookouts, to climb in trees and signal the presence of civilians, for instance.⁶⁶¹⁰

- 2424.P-0264 confirmed that he saw other children his age also participating in battle.⁶⁶¹¹ He knew they were his age, since they had the same size as him and all of them were called 'kadogis'.⁶⁶¹² The witness stated that the participation of children in battle was not the exception but the rule in the LRA: 'it continued throughout the time that I was in the LRA till I became a veteran with a gun.'⁶⁶¹³
- 2425. The Chamber further takes note of the testimony of P-0352 who stated that the persons who were sent to fight were about 20 years old and that the 14, 15 year old would only be escorts. 6614 The Chamber again recalls its assessment of the witness's credibility. 6615 It is of the view that P-0352's statement is truthful and that the witness is reliable. However, regarding this aspect of her testimony, the Chamber does not conclude from this evidence that no person under the age of 15 participated in attacks. Notably, the witness makes a differentiation between 'fighters' and 'escorts' or 'kadogos' who, in her opinion, would not fight. However, considering the evidence above the Chamber finds that there are numerous examples of escorts under the age of 15 actively participating in attacks. Given the plentiful, consistent and corroborative evidence on this matter, the Chamber does not follow P-0352's testimony in this aspect.
- 2426. The Chamber recalls its assessment of evidence with regard to the attack on Pajule IDP camp. 6616 There are numerous testimonies that children under the age of 15 participated in the attack. P-0372, when asked whether children participated in the attack on Pajule IDP camp, stated that '[t]here were very many young people. 6617 He then specified that the youngest boys in Dominic Ongwen's group were between 12 and 14 years old.

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6609 P-0264: T-64, p. 34, line 25 – p. 35, line 2.
6610 P-0264: T-64, p. 35, lines 2-6.
6611 P-0264: T-64, p. 35, lines 13-15.
6612 P-0264: T-64, p. 35, lines 7-10.
6613 P-0264: T-64, p. 35, lines 16-18.
6614 P-0352: T-67, p. 80, lines 14-19; P-0352 Statement, UGA-OTP-0260-0315-R01, at para. 90.
6615 See paras 1233-1369 above.
6616 See paras 1233-1369 above.
6617 P-0372: T-148, p. 52, lines 14-16.
6618 P-0372: T-148, p. 53, lines 1-4.
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- 2427, P-0144, an LRA fighter who took part in the attack, stated that the youngest soldiers participating in the attack were around 13 or 14 years old. 6619 The witness came to the conclusion with regard to the age, because he knew what age the boys abducted by the LRA normally are, ⁶⁶²⁰ the physical features of the boys ⁶⁶²¹ and the comparison with his own age (the witness was 17 at his abduction). 6622
- 2428. The Chamber further recalls the testimonies of P-0249, P-0006 and John Lubwama (P-0047)⁶⁶²³ about the participation of attackers under the age of 15. When talking about the age of the LRA soldiers attacking the camp, P-0249 described that some were so young, they were simply too small for the guns they were carrying: 'the young soldiers, had guns but the guns were dragging on the ground. That means that that person is small, is very young.'6624
- 2429. The Chamber recalls its discussion of the evidence with regard to the attack on Odek IDP camp. 6625 Especially, the Chamber cited to evidence of witnesses P-0314, P-0410, P-0275, P-0054 and P-0269 that there were children younger than 15 years old among the LRA forces that attacked Odek IDP camp on 29 April 2004.
- 2430. Additionally to that evidence, the Chamber notes that P-0252, who was abducted during the attack, stated that children were participating in the attack: the older ones would even have guns, while the younger ones had jerry cans on which they would bang to make noise.6626
- 2431.P-0410 saw other children under 15 years participate, he himself carried a gun during the attack⁶⁶²⁷ and was additionally put in charge of a heavier weapon, called a '12'. 6628 The Chamber recalls its conclusion above that the witness was born on 5 May 1989. 6629 Accordingly, he was 14 at the time of the attack on Odek IDP camp.

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<sup>6619</sup> P-0144: <u>T-91</u>, p. 33, lines 5-7.
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⁶⁶²⁰ P-0144: <u>T-91</u>, p. 33, lines 8-13.

⁶⁶²¹ P-0144: T-91, p. 33, lines 13-14.

⁶⁶²² P-0144: T-91, p. 33, lines 15-19.

⁶⁶²³ See paras 1237-1238 above.

⁶⁶²⁴ P-0249: <u>T-79</u>, p. 46, lines 11-12.

⁶⁶²⁵ See paras 1429-1614 above.

⁶⁶²⁶ P-0252: <u>T-87</u>, p. 32, lines 20-24.

⁶⁶²⁷ P-0410: <u>T-151</u>, p. 43, lines 14-21.

⁶⁶²⁸ P-0410: <u>T-151</u>, p. 31, lines 13-15.

⁶⁶²⁹ See para. 374 above.

- in the same attack, ⁶⁶³⁰ stated that he was armed with a gun and used it during the attack, ⁶⁶³¹ shooting at soldiers ⁶⁶³² and civilians. ⁶⁶³³ His commander told him to be careful of government soldiers and the witness and other attackers shot at the houses until the government troops were defeated. ⁶⁶³⁴
- 2433.P-0309, who also participated in the attack as an LRA fighter, 6635 stated that the LRA fighters selected for the attack ranged from 10 to 30 years old. 6636
- 2434. the 10-year old boy given into the care of P-0054,⁶⁶³⁷ also participated in the attack on Odek IDP camp.⁶⁶³⁸ He was released one or two weeks after the attack.⁶⁶³⁹ During the attack on Odek IDP camp and until his release, the child's task was to carry jerry cans and smaller items of food 'because he was still very young'.⁶⁶⁴⁰
- 2435. Several witnesses testified that the purpose of the jerry cans and the noise made with them was to confuse the government troops and targeted camp residents, to pretend that the group of attackers was actually larger and to simulate gun shots. ⁶⁶⁴¹
- 2436. The Chamber recalls its assessment of evidence with regard to the attack on Lukodi IDP camp. ⁶⁶⁴² P-0410, P-0142 and P-0406 all provided evidence to the participation of children under the age of 15 in the attack.
- 2437.P-0142, who also participated in the attack on Lukodi IDP camp, stated that amongst the group of rebels that were armed the 'fighters' there were children as young as 11.⁶⁶⁴³ There was also another group that was not armed and not in the front, comprising children

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6630 P-0264: T-64-CONF, p. 49, line 24 – p. 50, line 4.
6631 P-0264: T-64, p. 53, lines 2-5.
6632 P-0264: T-64, p. 54, lines 12-17.
6633 P-0264: T-64, p. 55, lines 10-20.
6634 P-0264: T-64, p. 53, line 19 – p. 54, line 6.
6635 P-0309: T-60, p. 74, lines 10-12.
6636 P-0309: T-60, p. 76, lines 21-23.
6637 See para. 2367 above.
6638 P-0054: T-93-CONF, p. 24, lines 10-15.
6639 P-0054: T-93, p. 26, lines 14-16.
6640 P-0054: T-93, p. 26, lines 6-8, 23-25.
6641 P-0252: T-87, p. 32, line 25 – p. 33, line 7; P-0410: T-151, p. 43, lines 6-13; P-0275 Statement, UGA-OTP-0244-3398-R01, at para. 25.
6642 See paras 1700-1845 above.
6643 P-0142: T-71, p. 6, lines 18-21.
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as young as 11, who took part in the assault. 6644 The tasks of these children were supporting activities such as carrying away the booty. 6645

- 2438.P-0406, an LRA fighter who took part in the attack on Lukodi IDP camp, corroborates the information regarding the tasks of the children. When asked about the age of the youngest person participating on behalf of the LRA, P-0406 stated that their age as from 12, 13 and 14 upwards. He explained that they had several roles: they were supposed to hit on jerry cans in order to make some noise during the attack he were also supposed to carry away the looted goods, in case the LRA could not abduct enough people to carry. In case there were enough abductees for this task, these fighters would take care of the fresh abductees. he was a supposed to the fresh abductees.
- 2439.P-0410 also participated in the attack on Lukodi IDP camp and described that there were many who were 14 years old. ⁶⁶⁴⁹ From P-0410's statement it becomes clear that these children were an integral part of the LRA's fighting force during the attack: 'The younger people were brave and they fought without fear'. ⁶⁶⁵⁰ They were armed and sent to the barracks. ⁶⁶⁵¹
- 2440.P-0018 was abducted in June or July 2003 at the age of 12. 6652 The witness was selected and went to the attack on Lukodi IDP camp. 6654 She received instructions to carry food and abduct civilians and took a 10 litre jerry can during the attack. 6656 his
- 2441.P-0018 also described how, after being looted, houses of the civilians were set on fire during the attack with people being locked inside the houses. ⁶⁶⁵⁷ As to the age of the persons who did this, the witness initially answered first they were between 15 and 20

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6644 P-0142: T-71, p. 6, lines 6-17.
6645 P-0142: T-71, p. 6, line 22 – p. 7, line 2.
6646 P-0406: T-154, p. 59, lines 11-13.
6647 P-0406: T-154, p. 54, lines 12-14.
6648 P-0406: T-154, p. 59, lines 13-18.
6649 P-0410: T-151, p. 64, lines 1-2.
6650 P-0410: T-151, p. 64, line 2.
6651 P-0410: T-151, p. 64, lines 5-19.
6652 See also para. 294 above.
6653 P-0018: T-68, p. 54, lines 10-17.
6654 P-0018: T-69, p. 8, lines 19-21.
6655 P-0018: T-69, p. 8, lines 22-25.
6656 P-0018: T-69, p. 11, lines 23-25.
6657 P-0018: T-69, p. 12, lines 2-20.
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years old, ⁶⁶⁵⁸ and upon further questioning replied that they were 15. ⁶⁶⁵⁹ After having been confronted with her prior statement from 2005, P-0018 stated that the boys setting the houses on fire were 10 years old. ⁶⁶⁶⁰

- 2442. The Chamber notes that the witness changed her statement twice and only testified upon suggestion by the Prosecution that the attackers were 10 years old. However, the Chamber still believes the witness's final answers. The Presiding Judge put to her that she changed her narrative and explicitly asked her whether she was sure that the answer she provided in her prior statement was true. The witness again confirmed that her former statement was correct. The manner of testimony by the witness and the Chamber's impression of her convince the Chamber that the witness provided truthful information. In this regard, the Chamber also notes that, on another occasion during her testimony, P-0018 resisted agreeing with information from her prior statement with which she was confronted, stating that she could not recall it. Accordingly, the Chamber is convinced by P-0018's statement that the age of the boys was 10.
- 2443. The Chamber recalls its consideration of the evidence with regard to the attack on Abok IDP camp. 6663 Several witnesses testified to the participation of children under the age of 15 in the attack.
- 2444.P-0252 who was 11 at the time of the attack⁶⁶⁶⁴ testified that before the attack he was selected with other young children to participate in the attack.⁶⁶⁶⁵ This is corroborated by P-0054, who testified that there were fighters which were 13 years old, who participated in the attack.⁶⁶⁶⁶
- 2445.P-0252 also testified that the 'guns were not enough for everybody' and so people would be doing other things, like hitting on jerry cans or carrying pangas in order to attack. 6667

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6658 P-0018: T-69, p. 12, line 23 – p. 13, line 1.
6659 P-0018: T-69, p. 13, lines 4-14.
6660 P-0018: T-69, p. 13, lines 21 – p. 14, line 4.
6661 P-0018: T-69, p. 14, lines 18-22.
6662 P-0018: T-69, p. 58, line 25 – p. 59, line 22.
6663 See paras 1877-2000 above.
6664 See para. 2356 above.
6665 P-0252: T-87, p. 76, lines 10-12.
6666 P-0054: T-93, p. 35, lines 9-12.
6667 P-0252: T-87, p. 76, lines 16-21.
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During the attack he waited, as ordered, at the edge of the camp until the 'soldiers were defeated' to go attack afterwards. 6668

- 2446.P-0406 similarly testified that there were rebels going to the attack, who had not been provided with guns, but had other functions, like to blow whistles or hit on jerry cans. 6669 The witness stated that those people were between 13 and 16 years old. 6670
- 2447, P-0293, who lived at the Abok IDP camp at the time of the attack, recounted that he heard the voices of children, who he estimated to be between 10 and 15 years old, during the attack. 6671 The witness explained that these children would remove grass from a hut, light it up and start burning civilian houses. 6672 P-0293 also saw them breaking into a house and loot the medicine they found in there and then move on to other houses. ⁶⁶⁷³ He stated that they were armed with 'sticks and machetes'.6674

D. Grounds excluding criminal responsibility

- 2448. The Defence has raised two grounds for excluding criminal responsibility: mental disease or defect and duress. In the following two sections, the Chamber will address each in turn.
- 2449. As a preliminary issue before turning to these grounds, the Chamber notes that the Defence also raises an alibi in relation to the attack at Pajule IDP camp. 6675 Raising an alibi argues for the physical impossibility of an accused's guilt by placing him/her in a location other than the scene of the crime at the relevant time. 6676 However, an alibi is not a ground for excluding criminal responsibility as such. 6677 This is why alibi does not appear in Article 31(1) of the Statute, and the statutory texts only reference the possibility

⁶⁶⁶⁸ P-0252: <u>T-87</u>, p. 78, lines 3-7.

⁶⁶⁶⁹ P-0406: <u>T-154</u>, p. 67, lines 11-17.

⁶⁶⁷⁰ P-0406: <u>T-154</u>, p. 67, lines 18-20.

⁶⁶⁷¹ P-0293: <u>T-138</u>, p. 23, lines 2-15.

⁶⁶⁷² P-0293: T-138, p. 23, line 15 – p. 24, line 3.

⁶⁶⁷³ P-0293: <u>T-138</u>, p. 24, lines 8-11.

⁶⁶⁷⁴ P-0293: T-138, p. 24, lines 5-14.

⁶⁶⁷⁵ Defence Closing Brief, para. 308, referring to Defence Notification Pursuant to Rule 79(2) of the Rules of Procedure and Evidence, 9 August 2016, ICC-02/04-01/15-519-Conf (public redacted version available: ICC-02/04-01/15-519-Red2).

^{6676 &#}x27;Alibi', in Black's Law Dictionary (Bryan A. Garner, ed., 11th 2019).

⁶⁶⁷⁷ ICTY, Appeals Chamber, Prosecutor v. Vujadin Popović et al., Judgement, 30 January 2015, IT-05-88-A, para. 343; ICTR, Appeals Chamber, Protais Zigiranyirazo v. The Prosecutor, Judgement, 16 November 2009, ICTR-01-73-A, paras 17-19; ICTY, Appeals Chamber, Prosecutor v. Zejnil Delalić et al., Judgement, 20 February 2001, IT-96-21-A, para. 581.

of an 'alibi' in the context of the Defence's disclosure obligations. 6678 In any case, the substance of the matter is discussed in the appropriate context above. ⁶⁶⁷⁹

1. Mental disease or defect

i. Introduction

2450. The Defence contends that the criminal responsibility of Dominic Ongwen is excluded by reason of mental disease or defect under Article 31 of the Statute. ⁶⁶⁸⁰ As the principal basis for this submission, the Defence refers to the conclusion of Professor Ovuga and Dr Akena that Dominic Ongwen suffered from 'severe depressive illness, post-traumatic stress disorder ("PTSD") and dissociative disorder (including depersonalization and multiple identity disorder) as well as severe suicidal ideation and high risk of committing suicide', and from 'dissociative amnesia and symptoms of obsessive compulsive disorder'.6681

2451. The Prosecution submitted in its closing brief that 'there is no reliable evidence from which the Trial Chamber can determine that Mr Ongwen was suffering from any of the five mental health conditions identified by the Defence Experts at the time of the conduct constituting the charged crimes, let alone that those symptoms had destroyed his statutory capacities', and that '[t]he evidence shows that, on the contrary, he was in full possession of all those capacities'.6682

2452. Pursuant to Article 31(1)(a) of the Statute, a person is not criminally responsible if, 'at the time of that person's conduct [...] [t]he person suffers from a mental disease or defect that destroys that person's capacity to appreciate the unlawfulness or nature of his or her conduct, or capacity to control his or her conduct to conform to the requirements of law'. Destruction of either of these capacities is enough to exclude criminal responsibility.

2453. Importantly, under the applicable law, a finding of a mental disease or defect is indispensable to conclude that there is a ground excluding criminal responsibility under Article 31(1)(a) of the Statute.

⁶⁶⁷⁹ See sections IV.C.3, IV.C.6.ii.a.

⁶⁶⁷⁸ Rule 79 of the Rules.

⁶⁶⁸⁰ See Defence Closing Brief, para. 674.

⁶⁶⁸¹ Defence Closing Brief, para. 536.

Prosecution Closing Brief, para. 372.

- 2454. Further, the Chamber emphasises that the fact to be determined is the possible presence of a mental disease or defect, and the effect of such mental disease or defect on the relevant mental capacities of the accused, at the time of the relevant conduct. The determination does not concern the accused's mental state during the course of these proceedings. There is no rule preventing inferences from the latter to the former, but such inferences must be clearly explained and reliable.
- 2455. The Chamber notes that there has been a considerable amount of litigation on the issue of burden and standard of proof. ⁶⁶⁸³ As already stated above, there is no specific provision in the Statute related to the burden and standard of proof as concerns grounds excluding criminal responsibility under Article 31, and for this reason, the general provisions of the Statute apply. ⁶⁶⁸⁴ Under Article 66(2) of the Statute, the onus is on the Prosecutor to prove the guilt of the accused, and, under Article 66(3), in order to convict the accused, the Court must be convinced of the guilt of the accused beyond reasonable doubt.
- 2456. It may also be noted that Article 31(1)(a) of the Statute requires a judicial finding and that the Chamber is exclusively competent to make such a finding, including on the question of the presence of a mental disease or defect. In making its findings, the Chamber is assisted by the relevant evidence. Most importantly, such evidence was presented by five experts, i.e. Professor Gillian Mezey, Dr Catherine Abbo, Professor Roland Weierstall-Pust, as well as, jointly, Professor Emilio Ovuga and Dr Dickens Akena. 6685 All this evidence is discussed in detail below. As also discussed in detail below, the evidence presented generally during the trial is also a crucial foundation of the Chamber's findings, in particular because it allows important conclusions as to the mental state of Dominic Ongwen at the time of his conduct relevant for the charges. 6686

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⁶⁶⁸³ See Prosecution Closing Brief, para. 380; Defence Closing Brief, paras 529-34; Defence Request for the Chamber to Issue an Immediate Ruling Confirming the Burden and Standard of Proof Applicable to Articles 31(1)(a) and (d) of the Rome Statute, 28 January 2019, ICC-02/04-01/15-1423 (with two public annexes, A and B); Prosecution Response to the "Defence Request for the Chamber to Issue an Immediate Ruling Confirming the Burden and Standard of Proof Applicable to Articles 31(1)(a) and (d) of the Rome Statute (ICC-02/04-01/15-1423)", 7 February 2019, ICC-02/04-01/15-1439.

⁶⁶⁸⁴ See section IV.A above.

⁶⁶⁸⁵ For ease of reference, Professor Mezey, Dr Abbo and Professor Weierstall-Pust may be jointly referred to as the 'Prosecution experts', and Professor Ovuga and Dr Akena as 'Defence experts', although it may be added that this does not imply any difference in the procedural status of the experts or their evidence before the Chamber. ⁶⁶⁸⁶ See section IV.D.1.iii below.

- 2457. In the following sections, the Chamber will in turn address: (i) the expert evidence and conclusions of Professor Mezey, Dr Abbo and Professor Weierstall-Pust; (ii) the corroborating evidence from the trial; (iii) the expert evidence and conclusions of Professor Ovuga and Dr Akena, on which the Chamber as explained below does not rely; (iv) the other evidence discussed by the parties; and (v) the Chamber's conclusion.
 - ii. Expert evidence of Professor Mezey, Dr Abbo and Professor Weierstall-Pust

a. Preliminary issues

- 2458. Before entering into the substance of the evidence given by Professor Mezey, Dr Abbo and Professor Weierstall-Pust, the Chamber considers it necessary to provide its conclusions in relation to two issues which were discussed between the parties and which have a general bearing on the consideration of the evidence at hand.
- 2459. First, the Defence argues that '[t]he Prosecution did not disprove beyond a reasonable doubt that culture impacted on the conclusions of Mr Ongwen's mental health'. 6687 It submits that '[w]hile the psychiatric profession recognizes the importance of cultural factors, the Prosecution experts repeatedly minimized and even dismissed them'. 6688 On the other hand, the Defence states that '[t]he Defence Experts addressed cultural issues throughout their testimonies, but they explained that mental health symptoms are not solely determined by cultural factors'. 6689
- 2460. As concerns the latter point, the Chamber observes that whereas Professor Ovuga and Dr Akena evoked cultural factors on several occasions, they did not provide any real explanation of what these factors were, how they impacted the analysis, and how their consideration was to take place according to the standards and practices of mental health expertise. 6690
- 2461.But more importantly, the Defence argument misrepresents the evidence of Professor Mezey, Dr Abbo and Professor Weierstall-Pust. The Defence does not provide any

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⁶⁶⁸⁷ Defence Closing Brief, p. 176.

Defence Closing Brief, para. 661.

Defence Closing Brief, para. 662 (footnote omitted).

⁶⁶⁹⁰ See D-0041: <u>T-248</u>, p. 18, lines 5-9, p. 23, lines 8-14, p. 46, line 1 – p. 47, line 5; D-0042: <u>T-250</u>, p. 19, line 24 – p. 20, line 21; <u>T-251</u>, p. 95, lines 1-21. See also Professor Ovuga and Dr Akena's Second Report, UGA-D26-0015-0948, at 0970.

reference for its claim that Prosecution experts 'repeatedly minimized' and 'dismissed' cultural factors. In fact, there was general agreement among all experts that the cultural context must be taken into account in assessments of mental health, but that at the same time the standard criteria to determine mental disorders were universally accepted. Professor Weierstall-Pust addressed this issue directly in his rebuttal report. He stated that whereas cultural factors needed to be acknowledged, '[t]his, however, doesn't change the core characteristics of the diagnosis'. ⁶⁶⁹¹ Professor Mezey made the observation that 'PTSD is one of the few diagnos[e]s that has been very much studied across different cultures because of its utility in relation to victims of war trauma and terrorist attacks, and therefore it has been validated across many different cultures and languages'. ⁶⁶⁹² Dr Abbo acknowledged that culture can influence diagnostic instruments but explained that this does not affect the standardisation of such instruments or internationally valid criteria, such as 'impairment of functioning'. ⁶⁶⁹³ Dr Akena also stated that the core symptoms of mental illnesses are similar across cultures. ⁶⁶⁹⁴

2462. Also, Professor Mezey, Dr Abbo and Professor Weierstall-Pust have explained the process by which they came to their conclusions, and the Chamber does not see any indication that in doing so, they ignored cultural factors.

2463. The Defence pointed to five specific issues which – it appears – would indicate the superiority of the Defence experts' assessments over those of Prosecution experts in this context. Two of these, i.e. the interpretation of Dominic Ongwen's request for termites as a serious food request rather than a joke and the absence of the word 'blues' in 'many African languages', 6695 are trivial and without any serious link to the issue under consideration. The other three points, i.e. that Professor Ovuga stated that symptoms of mental illness are somatised, that they could be interpreted as spirit possession, and that symptoms of mental illness would not be described as illness 'in an African context', 6696 are addressed below in the context of the discussion of the corroborative evidence of witnesses who observed or interacted with Dominic Ongwen during the period of the

⁶⁶⁹¹ Rebuttal Report, UGA-OTP-0287-0072, at 0079. See also P-0447: T-253, p. 41, lines 8-11.

⁶⁶⁹² P-0446: <u>T-162</u>, p. 25, lines 7-12.

⁶⁶⁹³ P-0445: $\overline{\text{T-167}}$, p. 4, line 21 – p. 5, line 21.

⁶⁶⁹⁴ D-0041: <u>T-248</u>, p. 46, lines 9-11.

^{6695 &}lt;u>Defence Closing Brief</u>, para. 663.

^{6696 &}lt;u>Defence Closing Brief</u>, para. 663.

charges. 6697 Finally, the Chamber notes further reference to 'cultural considerations' in the Defence Closing Brief, 6698 but any link between those and the validity of the methodology or the conclusions of the Prosecution experts is not apparent.

2464. Second, the Defence states that while it is 'not faulting the Prosecution for not being able to interview the client when he had refused their requests: he agreed only to be interviewed by the Defence Experts and the Court-appointed expert Dr de Jong [,] [...] none of them [i.e. Prosecution experts] acknowledged this as a shortcoming in the preparation of their Reports, nor included a caveat in their Report'. 6699

2465. The Defence submission is factually incorrect. Professor Mezey wrote in her report: 'As Mr Ongwen has declined to allow myself or my colleagues (...) to conduct a full psychiatric examination, this opinion is necessarily focused on an analysis of the material (written and video) that I have been sent'. ⁶⁷⁰⁰ She explicitly addressed the issue of whether this affected her conclusions, and stated:

It is unfortunate that Mr Ongwen has been unwilling to allow myself, or my colleagues within the Chamber of Experts, to psychiatrically examine him. [...] I am nevertheless confident of the conclusions I have reached in the report on Mr Ongwen's mental health, based on the extensive documentation and material that I have been provided with, for the purpose of preparing this report. ⁶⁷⁰¹

2466. Dr Abbo noted in her report that a '[f]ace to face interview was not carried out as DO declined a request by OTP to be interviewed'. 6702 She also stated:

One major limitation [o]f this report is the fact that CA did not clinically interview DO and therefore Mental State Examination section of this report is missing. However, the opinions throughout this document was based on the materials that were provided by OTP, in addition to other materials sourced from the internet (these are referenced). ⁶⁷⁰³

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⁶⁶⁹⁷ See section IV.D.1.iii. below.

⁶⁶⁹⁸ See Defence Closing Brief, para. 666.

⁶⁶⁹⁹ Defence Closing Brief, para. 657.

⁶⁷⁰⁰ Professor Mezey's Report, UGA-OTP-0280-0786, at 0791.

⁶⁷⁰¹ Professor Mezey's Report, UGA-OTP-0280-0786, at 0814-15.

⁶⁷⁰² Dr Abbo's Report, UGA-OTP-0280-0732, at 0733.

⁶⁷⁰³ Dr Abbo's Report, UGA-OTP-0280-0732, at 0755.

2467.Professor Weierstall-Pust also acknowledged in his report that '[a] face-to-face examination [...] was requested by RW but declined'. He also explicitly discussed this as a limitation of his report:

The present forensic report is solely based on the files that were provided to RW. A face-to-face interview in which RW had the chance to prove all the aspects outlined in the present report would have been the preferable option, as this could have helped to dissolve the outlined contradictions.⁶⁷⁰⁵

- 2468. In addition, the Chamber notes that Professor Mezey, Dr Abbo and Professor Weierstall-Pust made use of the information provided by Dominic Ongwen to mental health experts to whom he did agree to speak, as reflected in the reports of those experts, in particular Professor Ovuga and Dr Akena, and Professor De Jong. 6706
- 2469. In these circumstances, considering that the clinical interview was not possible due to circumstances beyond the control of the Prosecution experts, that the Prosecution experts addressed this fact in their reports and used the information provided by Dominic Ongwen to other experts to whom he did agree to speak, and that they clearly laid out the bases for their reports they otherwise did rely on, the Chamber has no related methodological concerns with regard to the reports in question.

b. Professor Gillian Mezey

- 2470. Professor Gillian Mezey, Professor of Forensic Psychiatry at St Georges University of London in Tooting, United Kingdom, and an Honorary Consultant in Forensic Psychiatry at Springfield Hospital, United Kingdom, prepared a written report and testified before the Chamber. 6707
- 2471. It is noted that the report of Professor Mezey lays out comprehensively the instructions given to her and thereby the scope of the report, as well as the sources of her information, which included documents from the present proceedings, prior expert reports, and other evidence relevant to the case. ⁶⁷⁰⁸ The report considered 'unfortunate' that Dominic

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⁶⁷⁰⁴ Professor Weierstall-Pust's Report, UGA-OTP-0280-0674, at 0676.

⁶⁷⁰⁵ Professor Weierstall-Pust's Report, UGA-OTP-0280-0674, at 0701.

⁶⁷⁰⁶ See Professor Mezey's Report, UGA-OTP-0280-0786, at 0795-810; Dr Abbo's Report, UGA-OTP-0280-0732, at 0735-43, 0752; Professor Weierstall-Pust's Report, UGA-OTP-0280-0674, at 0683-84, 0689, 0693.

⁶⁷⁰⁷ See Professor Mezey's Report, UGA-OTP-0280-0786; P-0446: <u>T-162; T-163</u>.

⁶⁷⁰⁸ Professor Mezey's Report, UGA-OTP-0280-0786, at 0789-95. The Chamber notes that the Defence made certain arguments in relation to the formulation of the report as concerns the use of sources, Annex XI to the Registry's Third Report on the Evidence recognised as formally submitted to the Chamber, 24 July 2018, ICC-

Ongwen was not willing to be psychiatrically examined by Professor Mezey or the other Prosecution experts. 6709 The report engaged critically with the preceding psychiatric reports, i.e. that of Professor De Jong and the first report of Professor Ovuga and Dr Akena, 6710 before presenting Professor Mezey's own conclusions. The principal conclusion of Professor Mezey reads as follows:

Based on a review of all the material I have been provided with, I do not consider that there is evidence to show that Mr Ongwen is currently, or has at any time, suffered from Posttraumatic Stress Disorder, Depressive Disorder (although he has 'mild' transient depressive symptoms during his incarceration), Dissociative Disorder or any other significant mental illness or disorder.⁶⁷¹¹

2472. Professor Mezey noted that exposure to trauma, which she did not question in Dominic Ongwen's case, does not automatically result in the development of PTSD, and that 'the majority of individuals exposed to trauma do not go on to develop [PTSD]'. 6712 She also added that PTSD was not 'generally associated with repeated and persistent aggression and violence'.6713

2473. The Chamber also notes Professor Mezey's point that 'the presence of [...] severe and incapacitating mental disorders would have been incompatible with Mr Ongwen not only functioning adequately, but actively thriving within the LRA for over twenty years'. 6714 Professor Mezey also considered the evidence in the case as provided to her by the Prosecution, which consisted of witness testimonies during trial, and testified that she 'found no evidence from the transcript that you sent me of, of any, any suggestion of mental instability or behaviours that might amount to instability being reported in any of the abstracts'. 6715 Referred specifically to P-0231's evidence, 6716 she stated that it suggested that Dominic Ongwen was 'functioning normally' and that there was 'no obvious impairment in his ability to engage, to make decisions, to process information,

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^{02/04-01/15-1313-}AnxXI, page 4. However, the Chamber considers that the basis of Professor Mezey's report is clear and therefore the points raised have no bearing on the report's probative value.

⁶⁷⁰⁹ Professor Mezey's Report, UGA-OTP-0280-0786, at 0814.

⁶⁷¹⁰ Professor Mezey's Report, UGA-OTP-0280-0786, at 0795-810. The points raised by Professor Mezey in this regard are noted below wherever relevant to the Chamber's analysis. 6711 Professor Mezey's Report, UGA-OTP-0280-0786, at 0811.

⁶⁷¹² Professor Mezey's Report, UGA-OTP-0280-0786, at 0811.

⁶⁷¹³ Professor Mezey's Report, UGA-OTP-0280-0786, at 0811-12.

⁶⁷¹⁴ Professor Mezey's Report, UGA-OTP-0280-0786, at 0813.

⁶⁷¹⁵ P-0446: <u>T-162</u>, p. 51, lines 1-14.

⁶⁷¹⁶ See para. 2507 below.

or to interact with other soldiers'. 6717 In relation to Dominic Ongwen's report after the attack on Odek IDP camp, ⁶⁷¹⁸ she stated that 'if Mr Ongwen had been dissociating, or indeed was affected by any severe mental condition, he would not have been able to recall or to relate the detail of what happened or very much, if any, detail of what happened at the time'.6719

2474. Professor Mezey also noted as factors underlying her conclusion that Dominic Ongwen has 'repeatedly stated that he knows now, and knew at material time, what he was doing and that his actions were wrong, for example when talking about the murder of civilians' and that '[h]e has also stated that he feels guilty about what he did (indicating awareness and insight of the wrongfulness of his actions), in spite of the fact that he feels he should not be held responsible for his actions'. 6720

2475. Professor Mezey provided clear, detailed and logical explanation of why she found the evidence of Dominic Ongwen's behaviour during the relevant time incompatible with a mental disorder. In relation to PTSD, she elaborated:

> [F]or a diagnosis of PTSD to be made, the criterion also require that the individual has to experience what's called either significant clinical distress associated with the symptoms, because if they are not distressed, in a sense, there's no significant disorder, or they must manifest significant functional impairment.

> So these symptoms aren't just there and the person can get on with their life and their day-to-day activities in the normal way. These symptoms are so severe and so intrusive that they stop the individual from being able to carry on with their normal day-to-day functioning. They cannot work. They cannot study. They cannot lead normal family lives. They don't interact with their friends. All their – all their functioning is significantly impaired. So put together or when one puts that together, that would allow one to diagnose post-traumatic stress disorder. 6721

2476. Asked to discuss the depressive disorder, Professor Mezey stated:

A depressive disorder is a disorder of mood, characterised by a persistent severe lowering of mood, sadness, hopelessness, despair, often associated with an inability to see any future, or to feel hope about the future. There is often a high risk of suicide associated with the disorder.

⁶⁷¹⁷ P-0446: <u>T-162</u>, p. 51, lines 15-24.

⁶⁷¹⁸ See section IV.C.7.vii above.

⁶⁷¹⁹ P-0446: <u>T-162</u>, p. 51, line 25 – p. 53, line 10. ⁶⁷²⁰ Professor Mezey's Report, UGA-OTP-0280-0786, at 0813-14.

⁶⁷²¹ P-0446: T-162, p. 21, lines 9-19.

The more severe conditions will be associated with disruptions in the individual's physical health and functioning, so symptoms would include a reduction of appetite, loss of weight, disruption to sleep, particularly inability to go off to sleep and waking early in the morning. One would generally see a diurnal variation in the mood, with more severe symptoms earlier in the day.

The individual would become socially withdrawn. They tend to lose their interest in engaging in former activities or interacting with other people. There is often a disruption to the individual's cognitions so that they are unable to concentrate well. They are thinking more slowly than usual. You often see, in fact, what we call a retardation, so that the person's speech is slowed down, their movements are slowed down, they lack spontaneity in terms of both expressing themselves, but also in terms of their facial expressions or ability to verbalise or vocalise.

They often express unreasonable feelings of worthlessness, low self-esteem and guilt, sometimes to an extreme extent so that they feel guilty about the wars in the world, or the fact that people are starving. They feel guilty about things that they cannot possibly be held responsible for. ⁶⁷²²

2477. In relation to dissociative identity disorder, Professor Mezey explained:

What dissociation means, essentially, is that dissociation is a disruption to the person's identity, to their sense of self, their sense of agency. A dissociative disorder essentially represents a fragmentation to the individual's ordinary psychological processes, so their memory, their consciousness, their perceptions, their feelings. [...]

Dissociative identity disorder characteristically involves a disruption to the person's identity, and what you see are two or more distinct personalities operating, essentially, side by side. Neither personality knows of the other person's existence. Now that is classic dissociative identity disorder. Where that occurs one sees marked discontinuities in the person's sense of self and in their sense of agency. And you typically see alterations in memory, in perceptions, in consciousness, in their motor functioning associated with the disorder.

Not surprisingly, because the two personalities are almost operating independently and in different worlds, different universes from each other, very often the person is not aware that they have the disorder, but it is noticed by other people.

And to make a diagnosis, again, one generally sees marked problems in the individual's social functioning or their occupational functioning or functioning on a day-to-day basis. Or they are very severely clinically distressed.

It is an enduring condition. It doesn't really remit, or you don't relapse or remit in the way that other illnesses might do, because it's the individual's identity, it's stable, static and enduring.⁶⁷²³

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⁶⁷²² P-0446: T-162, p. 32, lines 1-23.

⁶⁷²³ P-0446: $\frac{1}{T-162}$, p. 41, line 21 – p. 42, line 20.

2478. The Chamber finds Professor Mezey's report clear and convincing, and her testimony in the courtroom impressive. Professor Mezey's evidence also concords entirely with the corroborating evidence from the trial, discussed below. 6724 As such, the evidence of Professor Mezey is of great assistance to the Chamber in making its findings.

c. Dr Catherine Abbo

- 2479.Dr Catherine Abbo, Senior Lecturer and Child and Adolescent Psychiatrist at Makerere University, Uganda, prepared a written report and testified before the Chamber.⁶⁷²⁵
- 2480. Dr Abbo's report provided an explanation of its sources, which included 'medical reports, clinical notes, video and audio recordings provided and some materials sourced from the internet', and its methodology. The acknowledged the limitation represented by the absence of a clinical interview with Dominic Ongwen, due to the latter's refusal of consent. Dr Abbo found that '[i]t appears like up till the time of [Dominic Ongwen's] abduction, the complex interactions between individual, societal, and ecological factors over the course of his life had gone on satisfactorily well'. She discussed Dominic Ongwen's 'impress[ion] as above average intelligence' and 'bush socialisation' as factors which could have helped Dominic Ongwen to cope with his situation. She went on to state that Dominic Ongwen 'would seem to have matured developmentally against all odds with flexibility of moral reasoning which seem to have been not fully exercised before he becomes top commander'. She
- 2481. Dr Abbo evaluated the moral development attained by Dominic Ongwen and concluded that he attained the highest level of moral development, the post conventional level. The Abbo's report explained that this level of moral development is 'characterized by the pursuance of impartial interests for each member in society as well as the establishing of self-chosen moral principles'. The Abbo's report explained that this level of moral development is 'characterized by the pursuance of impartial interests for each member in society as well as the establishing of self-chosen moral principles'.

⁶⁷²⁴ See section IV.D.1.iii.

⁶⁷²⁵ See Dr Abbo's Report, UGA-OTP-0280-0732; P-0445: T-166; T-167; T-168.

⁶⁷²⁶ Dr Abbo's Report, UGA-OTP-0280-0732, at 0732-34.

⁶⁷²⁷ Dr Abbo's Report, UGA-OTP-0280-0732, at 0755. See also P-0445: <u>T-166</u>, p. 12, line 10 – p. 13, line 3.

⁶⁷²⁸ Dr Abbo's Report, UGA-OTP-0280-0732, at 0735.

⁶⁷²⁹ Dr Abbo's Report, UGA-OTP-0280-0732, at 0741-44.

⁶⁷³⁰ Dr Abbo's Report, UGA-OTP-0280-0732, at 0753.

⁶⁷³¹ Dr Abbo's Report, UGA-OTP-0280-0732, at 0740-41.

⁶⁷³² Dr Abbo's Report, UGA-OTP-0280-0732, at 0740.

2482. While noting the previous reports of other authors indicating that Dominic Ongwen suffered from mental disorders, she stated that 'there is hardly any evidence of which particular symptoms of these disorders lead to [Dominic Ongwen] committing of which alleged crimes', and concluded that '[Dominic Ongwen] was likely motivated by his existential situation rather than his symptoms of mental illnesses'. It is noted that Dr Abbo stated in her report that she wrote her assessment of the relationship between the diagnoses of mental illnesses and criminal responsibility 'from the point of view that [Dominic Ongwen] suffers from the three disorders documented in the professional reports' of Professor Ovuga and Dr Akena, and of Professor De Jong, with reference to her not having been able to examine Dominic Ongwen in person. For this reason, the Defence argument that the Prosecution experts 'were divided on the diagnosis of PTSD' is ill-founded.

2483. As a general conclusion in her report, Dr Abbo found that there was no evidence from the materials provided that the illnesses identified by the other experts were directly linked to the crimes Dominic Ongwen allegedly committed.⁶⁷³⁶

2484. In court, Dr Abbo was asked some questions in relation to the mental disorders identified in the reports that preceded hers, and provided some clarification useful for the findings of the Chamber. In relation to dissociative identity disorder, Dr Abbo testified that a dissociative state, especially in its severe forms, would be apparent even for a layperson, and that it was '[u]nlikely' that a person would be in dissociative state for months or even years. ⁶⁷³⁷ She also stated, in the specific context of a discussion of dissociative flashbacks as a symptom of PTSD, that a planned premeditated action would not be consistent with a dissociative state. ⁶⁷³⁸

2485. The Chamber finds Dr Abbo's expert report and testimony pertinent and valuable for use in its findings. This holds true in particular in relation to Dr Abbo's assessment of the level of Dominic Ongwen's moral development. In addition, even though Dr Abbo

⁶⁷³³ Dr Abbo's Report, UGA-OTP-0280-0732, at 0739. *See also* Dr Abbo's Report, UGA-OTP-0280-0732, at 0744-51; P-0445: <u>T-166</u>, p. 21, lines 2-25.

⁶⁷³⁴ Dr Abbo's Report, UGA-OTP-0280-0732, at 0745. See also Dr Abbo's Report, UGA-OTP-0280-0732, at 0732.

⁶⁷³⁵ See Defence Closing Brief, para. 659.

⁶⁷³⁶ Dr Abbo's Report, UGA-OTP-0280-0732, at 0756.

⁶⁷³⁷ P-0445: T-166, p. 33, lines 15-22.

⁶⁷³⁸ P-0445: <u>T-166</u>, p. 36, lines 6-8.

assumed for her report the prior diagnoses made by Professor Ovuga and Dr Akena, and by Dr De Jong, and focused on the relationship between these diagnoses and the conduct of Dominic Ongwen which represented the commission of the crimes charged, the considerations expressed by Dr Abbo nevertheless assist the Chamber in the understanding of the mental disorders in question.

d. Professor Roland Weierstall-Pust

2486. Professor Roland Weierstall-Pust, Professor of Clinical Psychology and Psychotherapy at the University of Applied Science and Medical University, Hamburg, Germany, prepared a written report and testified orally before the Chamber. ⁶⁷³⁹ It is noted that following the testimony of Dr Akena and Professor Ovuga, Professor Weierstall-Pust also prepared a rebuttal report, and testified for a second time. ⁶⁷⁴⁰

2487. Professor Weierstall-Pust explained that as a psychologist he had not studied medicine, but that he had obtained the additional qualification to 'diagnose and treat people with mental disorders or with disorders that need psychotherapeutical assistance'. ⁶⁷⁴¹ He testified that there was no reason why a psychiatrist, who had studied medicine, and a psychologist, when they do a proper assessment in line with international standards, would not come to the same conclusion. ⁶⁷⁴² Based on Professor Weierstall-Pust's testimony, the Chamber does not consider that there are any limitations to his expertise due to the fact that he is a clinical psychologist and not a psychiatrist.

2488, Professor Weierstall-Pust's main report detailed the information which served as its basis, which included psychiatric experts' reports, the Prosecution pre-trial brief, audio-visual material and Detention Centre documentation.⁶⁷⁴³ The report also noted that a face-to-face examination and access to assessment protocols of Professor De Jong were requested but declined.⁶⁷⁴⁴ As concerns the rebuttal report, the Chamber notes that it was based on

⁶⁷³⁹ See Professor Weierstall-Pust's Report, UGA-OTP-0280-0674; P-0447: <u>T-169</u>; <u>T-170</u>.

⁶⁷⁴⁰ See Rebuttal Report, UGA-OTP-0287-0072; P-0447: T-252; T-253.

⁶⁷⁴¹ P-0447: <u>T-169</u>, p. 5, line 4 – p. 6, line 11. *See also* P-0447: <u>T-253</u>, p. 5, line 13 – p. 7, line 7.

⁶⁷⁴² P-0447: <u>T-170</u>, p. 4, line 7 – p. 5, line 1.

⁶⁷⁴³ Professor Weierstall-Pust's Report, UGA-OTP-0280-0674, at 0676.

⁶⁷⁴⁴ Professor Weierstall-Pust's Report, UGA-OTP-0280-0674, at 0676.

the reports and testimonies of Professor Ovuga and Dr Akena, and additionally also on trial transcripts and excerpts of evidence from the trial.⁶⁷⁴⁵

2489.In his main report, Professor Weierstall-Pust noted that the diagnosis of a traumaspectrum disorder required that the individual was exposed to at least one potentially traumatic event, but emphasised that trauma is of subjective nature and that it need not necessarily lead to a trauma-related mental disorder.⁶⁷⁴⁶ On this point, the report reads:

A person that experiences a potentially traumatic event could therefore 1) process this event as traumatizing and develop a trauma-related mental disorder later in life, 2) process this event as traumatizing but not develop a trauma related mental disorder later in life due to factors of resilience, 3) not process this event as traumatizing and not develop a trauma-related disorder later in life, 4) not process this event as traumatizing but develop some other type of mental disorder later in life, 5) process this event as appealing and rewarding and not develop a trauma-related mental disorder later in life, 6) process this event as appealing/positively rewarding and develop a trauma-related mental disorder later in life due to other traumatic experiences, 7) process this event as appealing/positively rewarding and develop some other type of mental disorder later in life, 8) etc. etc. Thus, the relation between the experiences Mr. Ongwen might have made and potential mental health symptoms must be specified, as there doesn't necessarily have to be a relation between the exposure with violence and trauma and the development of impairments. 6747

- 2490. Furthermore, Professor Weierstall-Pust stated in this report '[t]hat Mr. Ongwen experienced at least one traumatic event and suffers from a trauma-related disorder is not sufficient to draw any conclusions about his capacity to appreciate the wrongfulness of his actions'. He also noted that every mental disorder fluctuates over time, which he discussed in the context of the fact that the charges in the present case cover a period of several years. 6749
- 2491. Professor Weierstall-Pust finally found that Dominic Ongwen was exposed to potentially traumatic events that could have preceded a psychopathological development and a later manifestation of a mental disorder. He also found it 'plausible' that Dominic Ongwen 'showed some signs of a mental disorder' during the period of the charges. However.

⁶⁷⁴⁵ See Rebuttal Report, UGA-OTP-0287-0072, at 0074.

⁶⁷⁴⁶ Professor Weierstall-Pust's Report, UGA-OTP-0280-0674, at 0678-79.

⁶⁷⁴⁷ Professor Weierstall-Pust's Report, UGA-OTP-0280-0674, at 0679-80.

⁶⁷⁴⁸ Professor Weierstall-Pust's Report, UGA-OTP-0280-0674, at 0680.

⁶⁷⁴⁹ Professor Weierstall-Pust's Report, UGA-OTP-0280-0674, at 0681.

⁶⁷⁵⁰ Professor Weierstall-Pust's Report, UGA-OTP-0280-0674, at 0697; P-0447: T-169, p. 18, lines 8-13.

⁶⁷⁵¹ Professor Weierstall-Pust's Report, UGA-OTP-0280-0674, at 0698.

Professor Weierstall-Pust concluded that 'there is not sufficient evidence to justify the diagnosis of a manifest mental disorder during the period between 2002 and 2005'. 6752 Importantly and convincingly, in particular in light of the evidence available from the trial. 6753 Professor Weierstall-Pust also wrote:

Even if Mr. Ongwen suffered from some of his experiences, it is highly unlikely that his level of functioning was severely impaired, at least not for a longer period of time. He must have adapted to the war scenario in order to make the achievements he himself describes and which are not only limited to promotion in the armed force but also include his support of other people and his psychosocial abilities.⁶⁷⁵⁴

2492.In court, Professor Weierstall-Pust convincingly explained the relation to PTSD and trauma-related avoidance:

[P]eople that suffer from PTSD tend to avoid trauma reminders. [...] So if I suffer from PTSD and have bad memories from seeing people being killed, then I would try everything to avoid more trauma reminders. And this means that this also impairs my ability to fight.

People that suffer from PTSD, they are not functioning properly. Also in the military, if you have someone who suffers from PTSD, you wouldn't send him to the front line because he will make mistakes, he will suffer from hyperarousal, which means that he is not able to follow orders, which means that he is not even able to control a weapon when you have a shaking hand because of your anxiety symptoms and this means, for me, my conclusion was that when he – and there are some other quotes where it was said that he, Mr Ongwen, was a good fighter and this was also the reason for promotion, then this means that or for me the consequence or my conclusion was that then he couldn't have suffered from severe PTSD symptoms or severe depression because this would have prevented him from acting out this behaviour.⁶⁷⁵⁵

2493.Professor Weierstall-Pust also stated that being fearless 'completely contradicts the diagnosis of a fear-related disorder like PTSD'. 6756 Professor Weierstall-Pust wrote that

⁶⁷⁵⁴ Professor Weierstall-Pust's Report, UGA-OTP-0280-0674, at 0698. The Chamber notes that Professor Weierstall-Pust's Report refers to several statements of Dominic Ongwen describing his success in the LRA given in particular to Professor De Jong. *See* Professor Weierstall-Pust's Report, UGA-OTP-0280-0674, at 0684-86; Professor De Jong's Report, UGA-D26-0015-0046-R01, at 0058 ('R asks again how he got to higher ranks. DO answers that it happened through fighting. [...] He fought well against the soldiers. [...] He was a very good shot.'), 0059 ('He was also good at using different types of ammunition, in being a diplomat [...]'). ⁶⁷⁵⁵ P-0447: T-169, p. 35, lines 2-23.

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⁶⁷⁵² Professor Weierstall-Pust's Report, UGA-OTP-0280-0674, at 0698; P-0447: <u>T-169</u>, p. 19, line 17 – p. 20, line 12

⁶⁷⁵³ See section IV.D.1.iii.

⁶⁷⁵⁶ P-0447: T-169, p. 37, lines 9-19. The Chamber notes that the description of Dominic Ongwen as 'fearless' originated in the 'collateral interviews' conducted by Professor Ovuga and Dr Akena and was included as such in their First Report, *see* Professor Ovuga and Dr Akena's First Report, UGA-D26-0015-0004, at 0010.

being a 'good administrator' and 'likeable', as well as 'hard-working'- descriptions equally taken from Professor Ovuga and Dr Akena's First Report - contradicts the diagnosis of a severe depressive disorder. 6757 The Defence refers to the testimony of Professor Ovuga, who said that '[t]he presence of a mental disorder does not necessarily negate the ability of someone to execute activities or functions that are given to him or her'. 6758 It also points to Professor Weierstall-Pust accepting during examination by Defence counsel that some functioning is possible in an individual with PTSD. 6759 However, during the same exchange, Professor Weierstall-Pust also provided a fuller explanation:

One thing I wanted to add is that I don't want to say that it's not possible to function at all, but I mean the high level of functioning is not possible in the way it was described in the report, as I read it from the material that is available to me. 6760

- 2494. The Defence argument that Professor Weierstall-Pust did not qualify the level of functionality in his original report is incorrect, ⁶⁷⁶¹ as Professor Weierstall-Pust's report plainly stated that 'rather severe mental disorders (at least PTSD, MDD and dissociative disorders) are usually associated with a significant impairment of psycho-social functioning'. 6762 It may be added that, as discussed below, the Chamber has not found in the evidence any indicia of such impairment.
- 2495. As such, the Chamber does not accept the Defence interpretation that Professor Weierstall-Pust 'clearly backtracked' and took 'the opposite view' as before. 6763 Conversely, the Chamber finds Professor Weierstall-Pust's evidence on this particular topic consistent and coherent. His evidence is also in line with the corroborating evidence from the trial, laid out just below.
- 2496. The Chamber finds Professor Weierstall-Pust's evidence entirely convincing and his testimony in the courtroom impressive in its clarity and comprehensibility. As such, his evidence is of great assistance to the Chamber in the determination of the issue, including

⁶⁷⁵⁷ P-0447: T-169, p. 37, line 20 – p. 38, line 18. These descriptions of Dominic Ongwen are likewise based on the 'collateral interviews' conducted by Professor Ovuga and Dr Akena and included in their First Report, see Professor Ovuga and Dr Akena's First Report, UGA-D26-0015-0004, at 0010.

⁶⁷⁵⁸ D-0042: <u>T-251</u>, p. 73, lines 12-21. 6759 P-0447: <u>T-253</u>, p. 41, lines 21-23. 6760 P-0447: <u>T-253</u>, p. 40, lines 7-10.

^{6761 &}lt;u>Defence Closing Brief</u>, para. 641.

⁶⁷⁶² Professor Weierstall-Pust's Report, UGA-OTP-0280-0674, at 0685.

⁶⁷⁶³ See Defence Closing Brief, para. 639.

in the determination of the relevance and significance of other evidence in the case, in particular witness evidence, in relation to the behaviour of Dominic Ongwen during the period of the charges.

iii. Corroborating evidence from the trial

2497. Guided by the testimonies of the experts, the Chamber has assessed the evidence in the case, which relates to the events during the period of the charges, for indication of any symptoms of mental health disorders in Dominic Ongwen. As pointed out by the experts, an assessment of mental health cannot be made in the abstract, but only on the basis of the facts and evidence relating to the period under examination. Therefore, on the basis of this evidence the Chamber, assisted by the experts, makes its own conclusions on the issue.

2498. Professor Mezey emphasised that a serious mental illness would have manifested itself in 'hallucinations, delusions, loss of weight, loss of appetite, an inability to function, which would include an inability to function as a soldier, as a fighter'. 6764 She stated that she 'would expect his comrades to pick up on that and to have noticed it and commented on it'. 6765 As is clear from the analysis of witness testimony below, such evidence did not transpire during the trial.

2499. Professor Weierstall-Pust stated that evidence otherwise available at trial is 'absolutely important, because we meet other people that have lived with the – in this case with the accused person to report their impression of the client'. ⁶⁷⁶⁶ In his rebuttal report, Professor Weierstall-Pust further elaborated that '[t]he psychosocial consequences of mental disorders are in fact a direct consequence of a disorder's recognizable manifestations in daily life'. ⁶⁷⁶⁷ He stated that 'if [Dominic Ongwen] suffered from a severe mental disorder, people in his environment would have clearly noticed at least "strange" or "unexplainable" signs', and also that '[m]any of the relevant symptoms are objectively observable and in fact frequently noticed by family members and friends of persons suffering from mental health disorders'. ⁶⁷⁶⁸ Yet, the Chamber observes that, as

⁶⁷⁶⁴ P-0446: <u>T-163</u>, p. 86, lines 23-25.

⁶⁷⁶⁵ P-0446: <u>T-163</u>, p. 86, line 25 – p. 87, line 1.

⁶⁷⁶⁶ P-0447: T-169, p. 57, lines 14-25.

⁶⁷⁶⁷ Rebuttal Report, UGA-OTP-0287-0072, at 0079.

⁶⁷⁶⁸ Rebuttal Report, UGA-OTP-0287-0072, at 0079.

laid out below, no such testimony was given by witnesses who were in position to observe Dominic Ongwen's behaviour at the time relevant for the charges.

- 2500. The evidence of Professor Ovuga and Dr Akena also indicates that they agreed that albeit lay persons could not make a diagnosis, they would have noted at least some symptoms of the mental disorders in question. The Chamber addresses separately below the failure of Professor Ovuga and Dr Akena to properly consider corroborating information, which is an important methodological shortcoming, and notes that in fact the evidence from the trial contradicts the findings of Professor Ovuga and Dr Akena. The ovuga and Dr Akena.
- 2501. Contrary to what is implied by the Defence, ⁶⁷⁷¹ the Chamber is not looking in this evidence for diagnoses of mental disease or defect. It is clear that, save for the experts within the scope of their expertise, the witnesses in the case are not qualified to make such diagnoses. Rather, the exercise consists of assessing whether any descriptions in particular of the conduct of Dominic Ongwen correspond to symptoms of mental disorders. ⁶⁷⁷² Further, as correctly pointed out by the Prosecution, the possibility that witnesses may regard symptoms of mental disorders as spirit possession is immaterial, insofar as they would still describe certain symptoms, irrespective of the cause attributed to them. ⁶⁷⁷³
- 2502. The Defence submits that holding that a '[m]ental illness, including severe mental health illness is visible 24/7 to anyone observing but especially those closest to the person affected' is a myth, and that the symptoms of mental disorders fluctuate over time. ⁶⁷⁷⁴ First, the Defence misconstrues the issue. The proposition that persons close to the individual under examination may recognise symptoms of mental disorders is not the same as stating that mental illness would necessarily be visible at all times. Second, whereas the Chamber accepts that fluctuation of symptoms may indeed be the reason for contradictions in evidence in cases where there is in fact a mental disorder present, it is

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⁶⁷⁶⁹ See D-0041: T-249, p. 91, line 9 – p. 92, line 22; D-0042: T-251, p. 52, lines 2-16.

⁶⁷⁷⁰ See paras 2545-2557 below.

⁶⁷⁷¹ Defence Closing Brief, paras 634-35. See also D-0041: T-249, p. 90, lines 19-21.

⁶⁷⁷² It is for this reason that the Defence submission that there exists corroborative testimony in relation to 'LRA's forced abductions' and in relation to Dominic Ongwen's abduction and early years in the LRA is flawed; *see* <u>Defence Closing Brief</u>, paras 617-621.

^{6773 &}lt;u>Prosecution Closing Brief</u>, para. 439. *See also* Rejoinder Report, UGA-D26-0015-1574, at 1577; D-0042: <u>T-251</u>, p. 52, lines 9-16; <u>Defence Closing Brief</u>, paras 630, 663.

⁶⁷⁷⁴ Defence Closing Brief, paras 622-27. See also Defence Closing Brief, para. 643.

an unconvincing explanation in the case of a complete absence of evidence of facts which could be seen as symptoms of mental disorders.

2503. The possibility of masking symptoms of mental disorders, also raised by the Defence in this context, 6775 is discussed below. 6776 The Chamber also rejects as entirely unsupported in light of the analysis which follows the Defence submission that 'any signs of resiliency were temporary and sporadic, and should not be interpreted as indicative of functionality'. 6777

2504. In addition, the Defence suggested that former LRA members are unreliable witnesses on the issue because of their own victimisation in the same coercive and hostile environment of the LRA. This argument is overwhelmingly proved wrong by the fact that a large number of former LRA members, called by the Prosecution and the Defence, testified before the Chamber reliably, credibly and in detail about their experiences in the LRA, including their observations of Dominic Ongwen. There is no indication that they are, as a class, unable to observe, perceive or accurately recount Dominic Ongwen's behaviour in the bush.

2505. Thus, the Chamber considers it absolutely necessary to examine the evidence presented during the trial in order to identify information relevant to the issue.

2506. First, the Chamber notes that a number of witnesses were asked general questions about their observations of Dominic Ongwen. P-0142 stated:

When I joined the bush, the LRA, when I was abducted and I was amongst them, first Dominic Ongwen wasn't a bad person. He was a people's person. I would talk to people and stay amongst people, hid together with people. You would share laughters and jokes. He was a person who cared about people. But that was when he was still having a lower rank. When he, when he was promoted and he started climbing through the ranks there were changes. You know, when you, you are promoted and you leave the ranks of a private you also change the way you behave, you need to start behave like a commander. Like for me, I would not go close to him, he was already a commander. I cannot go and familiar him all the time. A commander is having a different responsibility than an ordinary gap — an ordinary soldier. There was a gap now between him and the ordinary soldiers, but he was still good to his soldiers. I did not notice anything which was strange. But when he was in operation room he was tough. He was tough because by nature of being a

⁶⁷⁷⁵ Defence Closing Brief, paras 627-28.

⁶⁷⁷⁶ See paras 2555-2557 below.

⁶⁷⁷⁷ See Defence Closing Brief, para. 644.

⁶⁷⁷⁸ Defence Closing Brief, para. 630.

commander he thought easy to control the soldiers. He was tough on the rules and he always wanted things done according to the schedule. For example, going to collect food he would come up with tough orders to go and collect food. That, according to me, is how a leader is changed because of the responsibilities that he has. That's what I know about Dominic. 6779

2507.P-0231 testified:

I lived with Dominic for quite a long time. I know a lot about him. He also knows a lot about me. In terms of relations to people, talking to people, command in the army, Dominic is very well acquainted. He is very well – he is very good at it and he knows how to speak to his soldiers. I have to speak openly without fear that Dominic, when it comes to military matters, he is very knowledgeable.

My stay with him for the time I was with him, even when government soldiers learned that this is Dominic's group, they know very well if you follow them, there will be fierce battle. That is what was – that is what was happening when I was with Dominic. So for that matter, the government soldiers would rarely follow us. If they want to fight us, they would ambush us.

Dominic is one person who does not give out arbitrary orders. Even when his superior gives an order, for the time that I was with him, if any message comes to him for an operation, he would invite all the officers and will explain to them the particular nature of the operation.⁶⁷⁸⁰

- 2508.P-0205 testified that Dominic Ongwen was 'nice', 'straightforward' and that he 'cared about people'.6781
- 2509.P-0264 testified that among the LRA commanders that he met, Dominic Ongwen was a 'good person'. 6782 He stated that Dominic Ongwen 'always encouraged his soldiers', that '[e]ven a soldier who was frightened [would] be able to participate', and that 'whenever he gives instructions, people work accordingly'. 6783
- 2510. Daniel Opiyo gave the following answer when asked to explain his opinion of Dominic Ongwen as a caring and empathetic leader:

When I was in the sickbay, or when I was in the convoy moving together with him in Uganda, Dominic was not segregative. He would eat freely, dine together with the young officers. Unlike other senior commanders who would not dine with other lower ranking officers, he would do that very freely. He would chat very freely with his people, unlike the other commanders.

⁶⁷⁷⁹ P-0142: <u>T-73</u>, p. 16, lines 2-22.

⁶⁷⁸⁰ P-0231: <u>T-123</u>, p. 81, line 22 – p. 82, line 18.

⁶⁷⁸¹ P-0205: T-51-CONF, p. 35, lines 2-8.

⁶⁷⁸² P-0264: T-64, p. 86, lines 18-21.

⁶⁷⁸³ P-0264: T-64, p. 87, lines 4-8.

If you are walking in the convoy he would also share — eats with his ordinary soldiers. That's why he was highly loved by his people and he was also a very loving person. He was a simple commander, very relaxed and easy to work with. Those are the kind of things I observed.

You know, children, including myself, I loved that kind of life because it made life easier. 6784

2511. When questioned about Dominic Ongwen's bravery, Daniel Opiyo stated that 'not just him but many other commanders had the same skills and braveness', but that Dominic Ongwen distinguished himself as being one who 'would only do what he knew he could accomplish', and that 'if he knew something was going to bring problems for his soldiers, he would not engage in, and that's why his soldiers loved him'. 6785

2512. Joseph Okilan described Dominic Ongwen's personality as follows:

Ongwen was a happy man, talkative, never got angry and he was always joking. He was also a very easy man to deal with and he was very playful, always wanting to play around.⁶⁷⁸⁶

2513.D-0026 testified:

What I can say about the character of Dominic Ongwen, I'm basing on my interactions with him and how I used to observe him lead his life, he was a person who loved to joke. He was a carefree person. Even at that time when he was at that rank, he would take his time to come and sit down with the other junior people, people who were not at his rank. He would enact with them, interface with them and joke with them a lot of times. He would even play games, would play cards with them, together with the young ones instead. That was what I witnessed when he was there, that was his character. He – he led a kind of childish life. He had a lot of jokes and fun making. That was what I saw about him. [...]

I mean to say that he was a very simple person, who was down to earth. For instance, if he was in the position of a BM, going down to sit with the people at the coy, if it's not for a person who love other people, would not come down to that level, but because of his love for the people, that is why he would come down to that level and stay with those people as well.⁶⁷⁸⁷

2514.D-0027 stated:

Dominic was liked by so many people. And just like I told you earlier, his lifestyle didn't change. He was easily likeable, everyone liked him, the young, the old. Even

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<sup>6784</sup> D-0056: <u>T-229</u>, p. 32, line 22 – p. 33, line 11.
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⁶⁷⁸⁵ D-0056: <u>T-229</u>, p. 33, lines 17-23.

⁶⁷⁸⁶ D-0019: <u>T-236</u>, p. 32, lines 3-7.

⁶⁷⁸⁷ D-0026: <u>T-191</u>, p. 33, lines 6-24.

personally, when I met him the last time, he was a bigger commander. But when I met him we stayed together, we chatted and spent a lot of time together, we talked about so many things. And what I know is his life didn't change, his lifestyle didn't change. He loved people and people liked him as well.⁶⁷⁸⁸

- 2515.D-0118 described Dominic Ongwen as a person who 'used to talk to everyone very freely' and for this reason referred to him as a 'loving person'. 6789
- 2516.D-0032 also described Dominic Ongwen as a skilled fighter, emphasising that Dominic Ongwen 'really, really knew how to take good care of his soldiers'. 6790
- 2517. The Chamber considers that the above witnesses, who spent a considerable period of time in close proximity of Dominic Ongwen, living and fighting alongside him, were well placed to make these observations. These witnesses, when prompted by general, even very broad questions about Dominic Ongwen or his personality, did not provide answers indicating any particularity which could represent a symptom of the mental disorders under discussion. As a result of the very general questions put to them, the answers of the witnesses were spontaneous and, thus, reliable. The testimonies of many witnesses are also all strikingly coherent, and as such they weigh heavily in the Chamber's assessment.
- 2518. Furthermore, as discussed above, Professor Mezey testified that she considered the evidence from the trial presented to her by the Prosecution, which consisted of witness testimonies during trial, and found no suggestion of mental instability or behaviours that might amount to instability. Professor Weierstall-Pust, who also reviewed witness testimonies on record, also opined that they presented a coherent picture contradicting the clinical picture he 'would expect on a severely for example, depressed or traumatised individual'. A strong link of corroboration therefore exists between the witness evidence from the trial, and the expert evidence relied on by the Chamber.
- 2519. The Chamber further notes that nothing in the testimonies of P-0099, P-0101, P-0214, P-0226, P-0227, P-0235 or P-0236 indicates that these women, who were, as discussed above, held as so-called 'wives' or otherwise captive in Dominic Ongwen's immediate

⁶⁷⁸⁸ D-0027: T-202, p. 46, lines 3-11.

⁶⁷⁸⁹ D-0118: <u>T-216</u>, p. 31, line 25 – p. 32, line 6.

⁶⁷⁹⁰ D-0032: T-201, p. 5, lines 5-17.

⁶⁷⁹¹ P-0446: <u>T-162</u>, p. 51, lines 1-14. *See also* para. 2473 above.

⁶⁷⁹² P-0447: <u>T-252</u>, p. 34, line 24 – p. 35, line 1.

proximity at various times over the course of around 20 years, observed behaviour on the part of Dominic Ongwen suggestive of a mental disease or defect. P-0214 testified that Dominic Ongwen 'was taking care of us properly', and that he used to treat 'us' 'equally' and 'well'. 6793 P-0235 described Dominic Ongwen as cooperative and a 'good man'. 6794 Finally in this regard, the Chamber notes that no indication of a mental disease or defect come out during the testimony of Florence Ayot, who described Dominic Ongwen as 'nice', 'sociable' and 'just'. 6795

2520. Moreover, and equally importantly, above in its analysis of the evidence relating to the charged crimes, the Chamber also assessed the evidence and made numerous conclusions in relation to the conduct of Dominic Ongwen. This evidence is of great importance also for assessing whether there is a possibility that, at the time, Dominic Ongwen suffered from a mental disease or defect. The Chamber finds it significant that the large number of witnesses who described Dominic Ongwen's actions and interactions with others, at various times relevant to the charges and in numerous contexts, did not provide any testimony which could corroborate a historical diagnosis of mental disease or defect.

2521. The Chamber notes in particular that many of the actions undertaken by Dominic Ongwen, as found by the Chamber, involved careful planning of complex operations, which is incompatible with a mental disorder. Indeed, Professor Mezey stated:

[B]ehaviour that is planned, behaviour that appears to be motivated and premeditated is highly unlikely to represent the sort of automatic motiveless actions that are typically associated with a dissociative state or other severe mental health conditions. And referring back to the attacks, all four of the attacks, and confirmed by many of the extracts from the transcripts, appear from the accounts to have been determined and carried out through the instructions of Mr Ongwen. So they appear to have been planned and premeditated, rather than impulsive and out of the blue, if I can put it that way.⁶⁷⁹⁷

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⁶⁷⁹³ P-0214: T-15-CONF, p. 41, lines 18-23.

⁶⁷⁹⁴ P-0235: T-17-CONF, p. 70, line 15 – p. 71, line 6.

⁶⁷⁹⁵ D-0013: T-245, p. 4, lines 14-21.

⁶⁷⁹⁶ See section IV.C above.

⁶⁷⁹⁷ P-0446: <u>T-163</u>, p. 9, line 21 – p. 10, line 6. Dr Abbo and Professor Weierstall-Pust provided similar testimony, see P-0445: <u>T-166</u>, p. 36, lines 6-8. See also P-0445: <u>T-166</u>, p. 32, lines 12-24, p. 35, line 23 – p. 36, line 5; P-0447: <u>T-169</u>, p. 36, line 14 – p. 37, line 3; <u>T-252</u>, p. 24, lines 8-10.

iv. Expert evidence of Professor Ovuga and Dr Akena

- 2522. The Chamber turns now to the evidence provided by Professor Emilio Ovuga, of Gulu University, Uganda, and Dr Dickens Akena, Lecturer at Makerere University, Uganda. Their evidence includes a joint first undated report, ⁶⁷⁹⁸ a joint second report dated 28 June 2018, 6799 as well as testimony provided in court. 6800
- 2523. The Chamber notes that Professor Weierstall-Pust prepared a rebuttal report in relation to the evidence of Professor Ovuga and Dr Akena and gave testimony in court. 6801 whereupon Professor Ovuga prepared a rejoinder report and also testified again. 6802 There exists a dispute between the parties as to the validity of the diagnoses put forward by Dr Akena and Professor Ovuga.
- 2524. The first report was based on a series of interviews Professor Ovuga and Dr Akena held with Dominic Ongwen at the Detention Centre of the Court, a meeting with the clinical psychologist, clinical notes, and on 'in-depth interviews with four of Mr Ongwen's close associates'. 6803 It provides conclusions on the 'prevailing mental state of Mr Dominic Ongwen', adding that, in the opinion of the authors, the mental state described 'dated back from the time when [Dominic Ongwen] was abducted'. 6804 The report states that '[t]he predominant mental state of Mr Dominic Ongwen is characterized by depressed mood and intense suicidal feelings, urges and verbalizations as well as features of dissociative disorder', and then devotes a short section each to 'depressed mood', 'posttraumatic stress disorder', 'dissociative disorder' and 'suicidal thoughts, urges and attempts', without, however, clearly articulating any diagnosis of a mental disease or defect. 6805 The diagnoses appear then in the concluding section of the report ('Recommendations'), where it is stated: 'Mr Ongwen suffers from severe depressive illness, posttraumatic stress disorder (PTSD), and dissociative disorder. '6806 A structured

⁶⁷⁹⁸ See UGA-D26-0015-0004. Based on the dates of interviews with Dominic Ongwen given in the report, it can be concluded that it was written sometime after 4 November 2016.

⁶⁷⁹⁹ See UGA-D26-0015-0948.

^{6800 &}lt;u>T-248; T-249</u> (Dr Akena); <u>T-250; T-251</u> (Professor Ovuga). 6801 <u>See UGA-OTP-0287-0072; T-252; T-253</u>. 6802 <u>See UGA-D26-0015-1574; T-254; T-255</u>.

⁶⁸⁰³ Professor Ovuga and Dr Akena's First Report, UGA-D26-0015-0004, at 0004-05. See also Professor Ovuga and Dr Akena's First Report, UGA-D26-0015-0004, at 0020-23.

⁶⁸⁰⁴ Professor Ovuga and Dr Akena's First Report, UGA-D26-0015-0004, at 0013.

⁶⁸⁰⁵ Professor Ovuga and Dr Akena's First Report, UGA-D26-0015-0004, at 0013-14.

⁶⁸⁰⁶ Professor Ovuga and Dr Akena's First Report, UGA-D26-0015-0004, at 0017.

examination of the criteria for each diagnosis is not present. Further, in what is *prima* facie manifestly beyond the scope of the role of experts in criminal proceedings, the report states: 'In our considered opinion, Mr Dominic Ongwen is not criminally liable for his actions while he was in the bush'.⁶⁸⁰⁷ The report states to base this conclusion on 'the clinical findings of significant episodes of dissociation, depression and post-traumatic stress disorder'. ⁶⁸⁰⁸ The report concludes by providing a series of recommendations for treatment. ⁶⁸⁰⁹

2525. The second report of Professor Ovuga and Dr Akena was exclusively based on interviews with Dominic Ongwen, ⁶⁸¹⁰ and was, according to the report itself, 'prepared for consideration alongside our first report'. ⁶⁸¹¹ Following two sections devoted, respectively, to 'psychiatric history' and 'mental status examination', the report schematically presents five diagnoses: (i) 'Dissociative Identity Disorder (Multiple episodes)'; (ii) 'Dissociative Amnesia', (iii) 'Posttraumatic Stress Disorder'; (iv) 'Depressive Disorder'; and (v) 'Symptoms of obsessive compulsive Disorder'. ⁶⁸¹² A diagnosis as to these five mental conditions is also the main conclusion of the report. ⁶⁸¹³ At the end of the report, recommendations are again listed 'in order to facilitate [Dominic Ongwen's] rehabilitation into a useful adult post-conflict'. ⁶⁸¹⁴

2526. Prior to the two formal reports, Professor Ovuga and Dr Akena also prepared a 'Brief Medical Report for Dominic Ongwen', dated 9 February 2016. 6815 It is noted that the report is accompanied by an '[i]mportant notice', stating that it is 'written in medical language, and is only suitable for medical and not legal purposes'. 6816 It is also stated in the introduction that the aim was to 'report the history of the presenting complaints in the last one year, for the sole reason of getting the client to access medical help'. 6817 However, it is noted that the scope of the report partly overlaps with the two formal reports prepared

⁶⁸⁰⁷ Professor Ovuga and Dr Akena's First Report, UGA-D26-0015-0004, at 0015.

⁶⁸⁰⁸ Professor Ovuga and Dr Akena's First Report, UGA-D26-0015-0004, at 0016.

⁶⁸⁰⁹ Professor Ovuga and Dr Akena's First Report, UGA-D26-0015-0004, at 0018.

⁶⁸¹⁰ See Professor Ovuga and Dr Akena's Second Report, UGA-D26-0015-0948, at 0973-74.

⁶⁸¹¹ Professor Ovuga and Dr Akena's Second Report, UGA-D26-0015-0948, at 0949.

⁶⁸¹² Professor Ovuga and Dr Akena's Second Report, UGA-D26-0015-0948, at 0971-72. *See also* Professor Ovuga and Dr Akena's Second Report, UGA-D26-0015-0948, at 0951-70.

⁶⁸¹³ Professor Ovuga and Dr Akena's Second Report, UGA-D26-0015-0948, at 0974.

⁶⁸¹⁴ Professor Ovuga and Dr Akena's Second Report, UGA-D26-0015-0948, at 0980.

⁶⁸¹⁵ See Brief Medical Report for Dominic Ongwen, UGA-D26-0015-0154.

⁶⁸¹⁶ Brief Medical Report for Dominic Ongwen, UGA-D26-0015-0154, at 0154.

⁶⁸¹⁷ Brief Medical Report for Dominic Ongwen, UGA-D26-0015-0154, at 0154.

by Professor Ovuga and Dr Akena. Similarly, Professor Ovuga and Dr Akena prepared a 'Supplemental Report', dated 25 January 2019. 6818 This report, according to the information contained therein, was prepared following a request by the Defence of Dominic Ongwen to 'assess Mr. Ongwen's current mental status', 'determine if Mr. Ongwen is able to participate in the proceedings of his trial at the International Criminal Court', and 'make recommendations based on the results of mental status assessment'. 6819 Accordingly, also this report had a substantially different purpose than the two formal reports prepared for determination of the issue at hand.

- 2527.A number of issues, in particular as concerns the methodology employed, affect the reliability of the evidence provided by Professor Ovuga and Dr Akena, to the extent that the Chamber cannot rely on it. These issues were discussed extensively during the trial, including, as already stated above, through rebuttal and rejoinder reports and testimony.
- 2528. First, the Prosecution correctly submits that the 'blurring of [Professor Ovuga and Dr Akena's] role as both treating physicians and forensic experts has led to a loss of objectivity on their part'. 6820
- 2529. That Professor Ovuga and Dr Akena concerned themselves not only with a forensic examination to assist the Chamber in its determination under Article 31(1)(a) of the Statute, but also with identifying recommendations for the treatment of the current mental conditions of Dominic Ongwen which they identified, is manifest from the face of their reports, as summarised above. Moreover, Dr Akena stated that he and Professor Ovuga established a 'therapeutic alliance with the client'. He also accepted the suggestion by Prosecution counsel that as a treating physician, it is his duty to the person he is treating to attempt to secure for them the treatment which will be of greatest benefit to their health. Health.
- 2530. In his rebuttal report, Professor Weierstall-Pust wrote that he suspected 'fundamental confusion, as between the role of treating physicians and forensic experts', which in his

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⁶⁸¹⁸ Supplemental Report of 25 January 2019, UGA-D26-0015-1219-R01.

⁶⁸¹⁹ Supplemental Report of 25 January 2019, UGA-D26-0015-1219-R01, at 1219.

⁶⁸²⁰ Prosecution Closing Brief, para. 374.

⁶⁸²¹ D-0041: <u>T-248</u>, p. 87, line 17 – p. 88, line 9.

⁶⁸²² D-0041: <u>T-249</u>, p. 29, line 24 – p. 30, line 2.

opinion may have been one reason for what he termed 'the vast amount of shortcomings in the report of [Professor Ovuga and Dr Akena]'.⁶⁸²³

- 2531. In the assessment of the Chamber, there is an inherent incompatibility between the duties of a treating physician and the duties of a forensic expert. The duty of a treating doctor is primarily towards the patient, whereas an expert engaged by a court for a forensic examination is primarily in the service of the court. It is not in the role of a forensic expert to sustain a relationship of trust and confidence with the person to be examined for the court, and the expert must in fact take care to remain as objective and detached as possible. The blurring of these roles in the evidence of Professor Ovuga and Dr Akena is a factor which as such negatively affects the reliability of the reports they prepared as evidence in this case.
- 2532. Second, Professor Weierstall-Pust identified in his rebuttal report a number of issues where, in his opinion, Professor Ovuga and Dr Akena failed to apply scientifically validated methods and tools for use as a basis for a forensic report. 6824 He criticised Professor Ovuga and Dr Akena's use of open-ended questions and stated that their approach of avoiding giving clues about the nature of information they were interested in was inadequate and not supported by scientific literature as a method to rule out malingering. 6825 He similarly criticised the decision by Professor Ovuga and Dr Akena not to use structured rating scales, stating instead that their use is recommended in scientific literature. 6826 The essence of Professor Weierstall-Pust's opinion in this regard is his criticism of Professor Ovuga and Dr Akena's exclusive reliance on the clinical interview, and the failure to 'make use of the wealth of assessment recommendations from the scientific literature [and to] utilize multiple sources of information as recommended in guidelines and publications'. 6827 Separately, Professor Weierstall-Pust also identified as problematic the lack of a clear distinction between data on the one hand, and inferences and opinions on the other hand. 6828

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⁶⁸²³ Rebuttal Report, UGA-OTP-0287-0072, at 0097.

⁶⁸²⁴ Rebuttal Report, UGA-OTP-0287-0072, at 0076-77.

⁶⁸²⁵ Rebuttal Report, UGA-OTP-0287-0072, at 0076. See Professor Ovuga and Dr Akena's Second Report, UGA-D26-0015-0948, at 0950.

⁶⁸²⁶ Rebuttal Report, UGA-OTP-0287-0072, at 0077.

⁶⁸²⁷ Rebuttal Report, UGA-OTP-0287-0072, at 0077.

⁶⁸²⁸ Rebuttal Report, UGA-OTP-0287-0072, at 0077-78.

- 2533. Professor Weierstall-Pust also criticised the work of Professor Ovuga and Dr Akena on the ground that they used diagnostic labels from an outdated international classification system, i.e. DSM-IV, rather than DSM-5. 6829 In this context, the Chamber notes that Professor Ovuga and Dr Akena explained their choice in the Second Report by stating that they 'present the summary of diagnoses using DSM-IV-TR to ease understanding of the psychiatric problems we identified'. 6830
- 2534. Professor Weierstall-Pust further explained that the DSM provides a 'syndromal model' to categorise mental disorders, meaning that each disorder is defined by clusters of relevant symptoms: a diagnosis is made when a patient demonstrates a certain number of symptoms within the criteria of the syndrome. He took issue with Dr Akena's statement that 'the diagnosis of mental illness doesn't rely squarely on the core symptoms'. Additionally, Professor Weierstall-Pust provided detailed critique of each of the diagnoses put forward by Professor Ovuga and Dr Akena.
- 2535. Noting also some of the alleged deficiencies in the reports which are discussed specifically below, the Chamber considers that major doubts exist as to the validity of the methods employed by Professor Ovuga and Dr Akena. The heavy reliance on the clinical interview, disregarding the evidence from the trial, is striking, as is the scepticism expressed by Professor Ovuga and Dr Akena towards other methods, which Professor Weierstall-Pust sufficiently demonstrated to be standard. Furthermore, the explanation provided in the Second Report for the use of DSM-IV rather than DSM-5 is entirely unconvincing as it is illogical to use an outdated system merely on the ground that it may arguably be easier to understand. As experts, Professor Ovuga and Dr Akena had the opportunity, and the role, to provide all necessary explanation.
- 2536. Third, and relatedly, there are unexplained contradictions in the evidence of Professor Ovuga and Dr Akena between the various statements and observations made, or between such statements and observations and the conclusions finally drawn. In this regard, the Chamber accepts the submission of the Prosecution that the symptoms recorded in the

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⁶⁸²⁹ Rebuttal Report, UGA-OTP-0287-0072, at 0078. It is noted that the commonly used acronym DSM stands for 'diagnostic and statistical manual for mental disorders'.

⁶⁸³⁰ Professor Ovuga and Dr Akena's Second Report, UGA-D26-0015-0948, at 0971.

⁶⁸³¹ Rebuttal Report, UGA-OTP-0287-0072, at 0078, referring directly to scientific literature.

⁶⁸³² Rebuttal Report, UGA-OTP-0287-0072, at 0079. See D-0041: <u>T-248</u>, p. 46, lines 10-11.

⁶⁸³³ Rebuttal Report, UGA-OTP-0287-0072, at 0082-96.

reports of Professor Ovuga and Dr Akena are 'sometimes incoherent' and the diagnoses 'inconsistent'.⁶⁸³⁴ The Chamber also notes that this was also the general assessment of Professor Weierstall-Pust.⁶⁸³⁵

2537. Turning to particulars, there are a number of internal contradictions in Professor Ovuga and Dr Akena's Second Report. Dominic Ongwen is recorded as 'report[ing] persistent sadness to an extent that he says he forgot to be happy or smile for many years', but his mood is assessed as 'happy' during the clinical interview on 17 April 2018, and generally during Professor Ovuga and Dr Akena's interactions with Dominic Ongwen as 'subdued [...] alternating with happiness, excitement and sense of satisfaction'. 6836 Similarly, the same report states that Dominic Ongwen 'suffered severe distress and psychosocial impairment to the extent that his depressed mood and split personality interfered with his ability to follow court proceedings and appreciate the significance of the trial', as well as that 'Mr. Ongwen seemed to have been well informed about our visit, and was positive about it'. 6837 Professor Ovuga stated in the Rejoinder Report that Dominic Ongwen was 'masking symptoms' when presenting happy, 6838 but given that this is not specifically explained in the original report, the ex-post explanation is unconvincing.

2538. The Chamber is also entirely unpersuaded by Professor Ovuga and Dr Akena's contradictory claim that they identified suicidal tendencies in Dominic Ongwen, including referring to the occurrence of '8 suicide attempts with the intention to die', 6839 and that, at the same time, many of Dominic Ongwen's actions were motivated by survival instinct. Whereas the Chamber does not exclude in principle that a person may simultaneously have suicidal tendencies and a strong survival instinct, the contradiction lies in the fact that in expert evidence of Professor Ovuga and Dr Akena they are put forward as the reason for essentially the same type of acts. Indeed, Professor Ovuga and Dr Akena claimed in their first report that Dominic Ongwen went to battle

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⁶⁸³⁴ Prosecution Closing Brief, para. 374.

⁶⁸³⁵ P-0447: <u>T-252</u>, p. 15, lines 9-24.

⁶⁸³⁶ Professor Ovuga and Dr Akena's Second Report, UGA-D26-0015-0948, at 0951, 0961-62. *See also* Rebuttal Report, UGA-OTP-0287-0072, at 0081.

⁶⁸³⁷ Professor Ovuga and Dr Akena's Second Report, UGA-D26-0015-0948, at 0950, 0970. *See also* Rebuttal Report, UGA-OTP-0287-0072, at 0081.

⁶⁸³⁸ See Rejoinder Report, UGA-D26-0015-1574, at 1578.

⁶⁸³⁹ See Professor Ovuga and Dr Akena's First Report, UGA-D26-0015-0004, at 0009. See also Professor Ovuga and Dr Akena's Second Report, UGA-D26-0015-0948, at 0957.

⁶⁸⁴⁰ See D-0042: <u>T-250</u>, p. 37, lines 18-19.

with the intent to get killed by enemy forces, ⁶⁸⁴¹ while Professor Ovuga also testified that, due to his obsessive-compulsive disorder, 'Mr Ongwen would feel or experience the smell of blood, gun powder and then a premonition that they were being attacked', as a result of which 'he would organise his forces to ward off an attack'. 6842 It is nowhere clarified whether Professor Ovuga and Dr Akena ever tried to explore with Dominic Ongwen at what time or on which occasions he acted out of one or the other motivation.

2539, Furthermore, in court Professor Ovuga gave contradictory evidence on the issue whether the presence of a mental disorder does or does not militate against careful planning, saying first that it did and subsequently that it did not necessarily. ⁶⁸⁴³ He also simultaneously claimed both that Dominic Ongwen's psychological and cognitive development was arrested at a sensitive period in his development and growth, at about between 8 and 10 years, and that he possessed cognitive ability that allowed him to discuss with other people important tactical matters. ⁶⁸⁴⁴ The wholly unsubstantiated claim of the Defence that Dominic Ongwen's cognitive abilities were 'uneven', made in the context of discussion whether mental illness leads to dysfunctionality, does not solve this contradiction. 6845

2540. Turning to the contradictions between the statements and observations made by the Defence experts and their conclusions, the Chamber notes, as pointed out by the Prosecution, ⁶⁸⁴⁶ that there is a contradiction between the finding that Dominic Ongwen had good long term memory and 'had no amnesia of the events that happened while in the LRA ranks', which appears in the Brief Report of February 2016, 6847 and the diagnosis of dissociative identity disorder. This is because one of the symptoms of the latter is amnesia in the form of 'gaps in the recall of everyday events, important personal information and/or traumatic events that are inconsistent with ordinary forgetting'. 6848

⁶⁸⁴¹ Professor Ovuga and Dr Akena's First Report, UGA-D26-0015-0004, at 0009.

⁶⁸⁴² D-0042: <u>T-250</u>, p. 37, lines 13-16.

⁶⁸⁴³ D-0042: T-251, p. 72, line 25 – p. 73, line 5, p. 76, lines 1-7. On this point, *see also* para. 2520 above. 6844 D-0042: T-255, p. 7, lines 15-23, p. 14, lines 12-17. 6845 Defence Closing Brief, para. 643.

⁶⁸⁴⁶ Prosecution Closing Brief, para. 417.

⁶⁸⁴⁷ Brief Medical Report for Dominic Ongwen, UGA-D26-0015-0154, at 0155.

⁶⁸⁴⁸ Book extract, UGA-OTP-0287-0032, at 0033. See also Professor Mezey's Report, UGA-OTP-0280-0786, at 0802; Rebuttal Report, UGA-OTP-0287-0072, at 0083.

This statement also directly contradicts the diagnosis of dissociative amnesia, also put forward by Professor Ovuga and Dr Akena.

- 2541. Further, Professor Weierstall-Pust also noted that Professor Ovuga and Dr Akena's statement that Dominic Ongwen appeared for the clinical interview 'dressed smartly', 'in a happy mood', and was able to follow the interview for three hours, 'contradicts the clinical picture of a person suffering from a severe mental disorder'. 6849
- 2542. Specifically in relation to dissociative identity disorder, Professor Ovuga stated that other persons around Dominic Ongwen not noticing any manifestation of the disorder may be explained by Dominic Ongwen's 'cop[ing]' and disguising one of the two identities. However, Professor Weierstall-Pust explained that it has been described in scientific literature that 'the ability to initiate and end dissociative states is one of the core features to differentiate between health and pathological states'. The first diagnostic criterion under the DSM-5 also states that the two or more identities of personality states must 'involve a marked discontinuity in sense of self and sense of agency, accompanied by related alterations in affect, behaviour, consciousness, memory, perception, cognition, and/or sensory-motor functioning'. As is evidenced from the analysis above, there are no indicia for such discontinuity in the evidence obtained during the trial.
- 2543. Professor Ovuga and Dr Akena's Second Report diagnosed, *inter alia*, PTSD and dissociative amnesia, a symptom of the former being re-experience of the traumatic events, and a symptom of the latter the loss of memory. ⁶⁸⁵⁴ In court, Dr Akena acknowledged that the two were in contradiction, and stated that this was 'the beauty of mental health' and 'the beauty of psychiatry'. ⁶⁸⁵⁵ He also stated that the symptoms of mental disorders needed to be interpreted in context. ⁶⁸⁵⁶ The Chamber accepts that, in principle, there may be symptoms that at first sight appear incompatible, but can be

⁶⁸⁴⁹ Rebuttal Report, UGA-OTP-0287-0072, at 0081. *See also* Professor Ovuga and Dr Akena's Second Report, UGA-D26-0015-0948, at 0961.

⁶⁸⁵⁰ D-0042: <u>T-251</u>, p. 30, line 3 – p. 31, line 8.

⁶⁸⁵¹ Rebuttal Report, UGA-OTP-0287-0072, at 0084.

⁶⁸⁵² Book extract, UGA-OTP-0287-0032, at 0033. See also Rebuttal Report, UGA-OTP-0287-0072, at 0083.

⁶⁸⁵³ See section IV.D.1.iii.

⁶⁸⁵⁴ Professor Ovuga and Dr Akena's Second Report, UGA-D26-0015-0948, at 0971.

⁶⁸⁵⁵ D-0041: <u>T-249</u>, p. 99, lines 9-25.

⁶⁸⁵⁶ D-0041: <u>T-249</u>, p. 100, lines 4-8.

explained. Such interpretation in context is, however, not found in the Second Report, which presents the two contradictory findings in isolation of each other.

2544. The Chamber appreciates that mental health assessments may ordinarily have to process contradictory information, and that the presence of contradictory information as such does not invalidate any conclusions. However, in the present case, the identified contradictions are major and readily apparent. Yet, they are not sufficiently, or at all, acknowledged and explained by Professor Ovuga and Dr Akena. This represents a further factor militating against relying on their conclusions.

2545. Fourth, it is the assessment of the Chamber that Professor Ovuga and Dr Akena, in their work for the purposes of this trial, failed to take into account other sources of information about Dominic Ongwen which were readily available to them. This is an unjustifiable and fundamental failure that in itself invalidates the conclusions put forward by Professor Ovuga and Dr Akena. As observed above, the failure to consider corroborative sources is also in contradiction with the evidence of Professor Ovuga and Dr Akena to the effect that others interacting with the person under examination at the relevant time would have noted at least some symptoms of the mental disorders in question. 6857

2546. The Chamber notes at this juncture that there is no dispute in the case as to the usefulness of a clinical interview in diagnosing a mental disease and effect. The Defence argument that Professor Mezey 'not only failed to acknowledge it as a "missing" element in her conclusions, [but] claimed that this was an "advantage" is premised on an obvious misrepresentation of the evidence of Professor Mezey in court. During her testimony, Professor Mezey first testified that '[i]t would have been desirable to assess Mr Ongwen because there are a number of matters that I would have wanted to put to him' and noted that this could not be done. Only after having stated this, Professor Mezey continued that she however, 'had the advantage of being provided with an enormous bundle of documentation'. This statement cannot be interpreted as meaning that Professor Mezey found the absence of the interview with Dominic Ongwen to be an advantage in itself.

⁶⁸⁵⁷ See para. 2500 above.

⁶⁸⁵⁸ See Defence Closing Brief, para. 656. See also paras 872-873 above.

⁶⁸⁵⁹ P-0446: <u>T-162</u>, p. 17, lines 11-14.

⁶⁸⁶⁰ P-0446: <u>T-162</u>, p. 17, lines 15-16.

- 2547. However, an interview with the concerned person, while being important, does not make any further additional information superfluous. Professor Weierstall-Pust stated in his rebuttal report that '[i]t is widely accepted that especially in the case of an insanity defence, collateral information is required to adequately assess potential impairments'. He takes issue with Professor Ovuga and Dr Akena's claim that they were unable to access any source of collateral information, stating that 'there would have been a sufficient amount of collateral information from testimonies of victims, close associates or other court examination available'. Moreover, Professor Weierstall-Pust stated that '[t]hese documents reveal a vast amount of inconsistencies that would have had to be considered and thoroughly discussed'. 6863
- 2548. As a general consideration, Professor Ovuga accepted that it is important to corroborate the account given by the accused person, and that one of the ways to do so is by accounts of people who were close to them at the time of the alleged crime. Already on this basis, the failure of Professor Ovuga and Dr Akena to consider the corroborating material reveals itself as striking.
- 2549. Dr Akena claimed that he and Professor Ovuga sought corroborative sources 'to the best of [their] abilities', 6865 an assertion that the Chamber finds entirely unconvincing, based on the evident failure to consider the available material. Indeed, despite this assertion, Dr Akena readily conceded that the narrative of Dominic Ongwen having attempted suicide eight times was based exclusively on what Dominic Ongwen told him and Professor Ovuga. 6866 They did not seek to verify the claims against the evidence of witnesses who interacted with Dominic Ongwen at the time, and could have observed pertinent facts. Both Dr Akena and Professor Ovuga also explicitly confirmed that they did not look for any sources of corroboration for Dominic Ongwen's own reports of how his colleagues interpreted his behaviour related to his experience of two different personalities. 6867 In relation to another specific fact, which is Dominic Ongwen's reporting of the words he said to Professor Mezey during an incident in the courtroom, Dr Akena stated that they

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6861 Rebuttal Report, UGA-OTP-0287-0072, at 0080.
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⁶⁸⁶² Rebuttal Report, UGA-OTP-0287-0072, at 0080.

⁶⁸⁶³ Rebuttal Report, UGA-OTP-0287-0072, at 0080.

⁶⁸⁶⁴ D-0042: <u>T-251</u>, p. 3, line 18 – p. 4, line 5.

⁶⁸⁶⁵ D-0041: <u>T-249</u>, p. 34, lines 2-6.

⁶⁸⁶⁶ D-0041: <u>T-249</u>, p. 34, line 9 – p. 35, line 10.

⁶⁸⁶⁷ D-0041: <u>T-249</u>, p. 96, line 21 – p. 97, line 4; D-0042: <u>T-251</u>, p. 27, lines 12-20.

asked the Defence for the transcript of the hearing but did not obtain it.⁶⁸⁶⁸ The Chamber is not persuaded by this explanation, which, in any event, is also not included in the report where it should have been recorded.

2550. The Prosecution also submitted that the evidence of Professor Ovuga and Dr Akena is affected by their failure to engage seriously with the clinical notes of the Detention Centre psychiatrist. 6869 Professor Weierstall-Pust similarly stated that '[t]he DC experts were the treating mental health experts and to dismiss their qualified professional views formed over time without discussion is inappropriate'. 6870 Professor Ovuga and Dr Akena indicated in their first report having been informed of the content of the clinical notes and having had the 'impression' that what they heard was 'to a large extent similar' to the information they had.⁶⁸⁷¹ Yet the report itself does not include any further discussion of the information contained in the clinical notes. More importantly, having been confronted with some of the clinical notes the content of which seemed to contradict the diagnoses made by the Defence experts, Dr Akena suggested that 'clinical notes are written differently from notes that are written for other purposes', and that they record the patient's state at a given moment, without 'point[ing] too much towards how well the patient was functioning per se'. 6872 The Chamber finds this explanation, and in fact deviation from what seemed to be the initial position on the clinical notes in the First Report, unpersuasive. In the view of the Chamber, the failure of Professor Ovuga and Dr Akena to engage in a detailed discussion of the content of the clinical notes is not justifiable.

2551. But even more importantly, Professor Ovuga and Dr Akena did not consider, or seek to consider, for their examination the evidence obtained during the trial. The crucial character of that evidence for the conclusions on the issue of the purported presence of mental disorders in Dominic Ongwen is explained above. 6873 In this regard, it is noted that Professor Ovuga and Dr Akena themselves conducted 'in-depth interviews with four

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⁶⁸⁶⁸ D-0041: T-249-CONF, p. 36, line 3 – p. 40, line 4. *See also* Professor Ovuga and Dr Akena's Second Report, UGA-D26-0015-0948, at 0953.

⁶⁸⁶⁹ Prosecution Closing Brief, para. 393. See also D-0041: <u>T-249</u>, p. 50, line 12 – p. 58, line 10.

⁶⁸⁷⁰ Rebuttal Report, UGA-OTP-0287-0072, at 0081.

⁶⁸⁷¹ Professor Ovuga and Dr Akena's First Report, UGA-D26-0015-0004, at 0005.

⁶⁸⁷² D-0041: <u>T-249</u>, p. 12, lines 15-24. *See also* p. 57, line 5 – p. 58, line 10.

⁶⁸⁷³ See section IV.D.1.iii.

of Mr Ongwen's close associates' before the production of their first report. 6874 This means that they accepted that persons who interacted with Dominic Ongwen could provide relevant collateral information.

- 2552. In this context, the Chamber finds entirely unpersuasive Professor Ovuga's explanation in the Rejoinder Report to the effect that '[a] number of psychiatrists practice mental health in settings where collateral history is difficult to get', given that during the trial in this case relevant information was collected from witnesses under oath, or the further self-contradictory assertion that Professor Ovuga and Dr Akena attempted to obtain the clinical notes of the treating psychiatrist but their request was 'declined, at least initially'.⁶⁸⁷⁵
- 2553. It is noted that prior to the preparation of their first report, Professor Ovuga and Dr Akena conducted four 'collateral interviews' with persons identified by the Defence as having been close to Dominic Ongwen while he was in the LRA. 6876 However, this is not determinative, as the issue at hand, as explained, is in their failure to take into account other sources of information and evidence about Dominic Ongwen which were readily available to them. In any case, the corroborative character of this information is questionable. Indeed, the report refers to 'witnesses', which the Chamber understands to be a reference to the collateral interviews, for their description of Dominic Ongwen as a diligent fearless fighter, and also kind, likable and being a good administrator, and someone who 'liked to counsel those in trouble and [...] was not a vicious person toward his colleagues'. 6877 Other than that, a 'witness' is cited in the first report only one other time, for the proposition that brutal measures were implemented in the LRA to prevent escape. 6878 The second report does not refer to the collateral interviews.
- 2554. Whereas the methodological shortcomings of the evidence of Professor Ovuga and Dr Akena, in the sense that they did not properly consider corroborative sources, is an issue affecting the reliability of their evidence in and of itself, the Chamber also notes that, as

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⁶⁸⁷⁴ Professor Ovuga and Dr Akena's First Report, UGA-D26-0015-0004, at 0005. *See also* Professor Ovuga and Dr Akena's First Report, UGA-D26-0015-0004, at 0020-23; D-0041: <u>T-248</u>, p. 37, lines 15-21.

⁶⁸⁷⁵ See Rejoinder Report, UGA-D26-0015-1574, at 1576.

⁶⁸⁷⁶ See Professor Ovuga and Dr Akena's First Report, UGA-D26-0015-0004, at 0020-23.

⁶⁸⁷⁷ Professor Ovuga and Dr Akena's First Report, UGA-D26-0015-0004, at 0010. *See also* Professor Ovuga and Dr Akena's First Report, UGA-D26-0015-0004, at 0022.

⁶⁸⁷⁸ Professor Ovuga and Dr Akena's First Report, UGA-D26-0015-0004, at 0012.

explained above, various sources of reliable information, each within their specific context, overwhelmingly establish a picture incompatible with the conclusion that Dominic Ongwen suffered from a mental disease or defect at any time relevant to the charges.⁶⁸⁷⁹

- 2555. This was discussed with Professor Ovuga and Dr Akena. In particular, Professor Ovuga pointed to the phenomenon of masked depression as the reason why 'there should be no surprise that people close to or in his under his control could not tell the difference between what is normal and what is not normal'. Dr Akena's evidence was more nuanced, as he stated that 'it's possible to mask symptoms of psychological distress, although not for long'. 8881
- 2556. Indeed, the Chamber finds the possibility that Dominic Ongwen was able to successfully hide from the persons around him the symptoms of his mental disorders, and that he was able to do so for a long period of time, throughout the period of the charges and possibly throughout, or almost throughout, his entire stay in the LRA, impossible in practice and purely theoretical. This is surely the case considering that per the diagnoses of Professor Ovuga and Dr Akena, Dominic Ongwen would have had to hide over a long period of time a large variety of complex symptoms, including hiding/suppressing depressive mood, his alter personality, dissociative states, anxieties and hyperarousals.
- 2557.Dr Akena's own evidence, cited just above, provides the first basis for this conclusion. Furthermore, Dr Abbo acknowledged that masking of symptoms of depression can occur, but also stated that from her experience, severe depression is 'easily picked, and the masking I think would be for me as a far second, in my opinion'. Professor Mezey testified that '[i]n practice it is very difficult for people to either mask their symptoms because they in severe mental illness you do not have control over your thought processes and behaviours and feelings. You often don't have insight into the fact that you

⁶⁸⁷⁹ See section IV.D.1.iii above.

⁶⁸⁸⁰ D-0042: <u>T-251</u>, p. 40, line 8 – p. 41, line 17. It is noted that Professor Weierstall-Pust stated in his rebuttal report that Professor Ovuga's description of masked depression was a 'fundamental misunderstanding', stating that rather than a description of 'someone being depressed without showing any signs and appearing to be normal, this term refers to "a clinical picture where symptoms of depressed mood are less obvious than dominant somatic ones"; Rebuttal Report, UGA-OTP-0287-0072, at 0091.

⁶⁸⁸¹ D-0041: <u>T-248</u>, p. 110, lines 18-21.

⁶⁸⁸² P-0445: <u>T-167</u>, p. 67, line 7 – p. 68, line 7.

have a problem with your feelings and behaviours and so you therefore don't feel the need to control them'. 6883

- 2558.Fifth, the Prosecution submits that Professor Ovuga and Dr Akena's 'failure to meaningfully address the possibility of malingering renders their conclusions unreliable'. The Defence submits that the Defence experts considered, assessed and then rejected that possibility. 8885
- 2559. The experts who gave evidence before the Chamber generally agreed that malingering, also referred to as dissimulation, or 'faking bad', is a known risk in mental health assessments. Professor Mezey wrote in her report that exaggeration and malingering are 'very common in forensic populations' and criticised for not considering the possibility of malingering or exaggeration the report of Professor De Jong and the first report of Professor Ovuga and Dr Akena, which had been provided to her. 6886 In court, Professor Mezey stressed 'the importance of not being too credulous, the need to be critical and challenging, and the need to cross-reference what you are being told with other sources of information and what you see before you'. 6887 She noted that at the time of her testimony Dominic Ongwen had been in detention for two years and had been seen by a number of mental health experts, and stated that repeated contact with mental health experts can place a person in a situation where they 'learn over a period of time what responses are likely to result in secondary gain for them and what responses are perhaps less desirable'. 6888
- 2560. Professor Weierstall-Pust wrote in his report that dissimulation is a 'major issue in forensic assessments'. He testified that standardised psychometric assessment tools and the accounts of third parties with direct contact with the person can be used to control against malingering, and that there is a duty on forensic experts, according to commonly accepted professional standards, to use such methods. Specifically in relation to

⁶⁸⁸³ P-0446: T-163, p. 44, lines 21-25.

⁶⁸⁸⁴ Prosecution Closing Brief, para. 398.

Defence Closing Brief, para. 668.

⁶⁸⁸⁶ Professor Mezey's Report, UGA-OTP-0280-0786, at 0800, 0804, 0806.

⁶⁸⁸⁷ P-0446: <u>T-162</u>, p. 24, lines 2-5.

⁶⁸⁸⁸ P-0446: <u>T-163</u>, p. 60, lines 10-24.

⁶⁸⁸⁹ Professor Weierstall-Pust's Report, UGA-OTP-0280-0674, at 0681. *See also* P-0447: <u>T-169</u>, p. 55, line 15 – p. 56, line 6.

⁶⁸⁹⁰ P-0447: <u>T-169</u>, p. 56, line 7 – p. 58, line 5; Professor Weierstall-Pust's Report, UGA-OTP-0280-0674, at 0682. *See also* Rebuttal Report, UGA-OTP-0287-0072, at 0081, 0087-88.

Dominic Ongwen, Professor Weierstall-Pust asserted that a 'reputable forensic assessment would also have to follow all traces that falsify this hypothesis [of disassociations], also considering dissimulation or fraud, decidedly discussing the strengths and limitations of the applied methods'.⁶⁸⁹¹

- 2561. The Second Report of Professor Ovuga and Dr Akena contains a brief section titled 'Malingering', in which they wrote that '[w]hile the possibility of malingering existed, this was unlikely in [their] opinion'. They explained that they did not ask leading questions, and were keen not to suggest any clues to Dominic Ongwen. They also noted Dominic Ongwen's 'lack of insight and interest in the outcome of his trial and eventual death'.
- 2562. In court, Dr Akena stated that he found it 'a bit difficult to appreciate the role of malingering in this particular case simply because there there did not seem to be a direct gain, at least when we assessed him, that would accrue from that'. ⁶⁸⁹⁵ During examination by the Prosecution, Dr Akena similarly stated that 'we really don't see why the client would do that', and that 'the client', i.e. Dominic Ongwen, was 'extremely distressed about what he goes through', whereas '[w]e don't see that in malingering'. ⁶⁸⁹⁶ Similarly, Professor Ovuga testified that '[t]here is nothing [Dominic Ongwen] gains from faking an illness'. ⁶⁸⁹⁷ In the assessment of the Chamber, rather, the potential gain from malingering in the present context is obvious exclusion of criminal responsibility.
- 2563.Dr Akena nevertheless stated that himself and Professor Ovuga '[a]bsolutely' did consider the possibility of malingering, and then appeared to claim that this risk could be excluded by asking the person what they expected from the interaction given that '[p]eople who are malingering don't want to get better'. 6898 In light of the other evidence on the phenomenon of malingering, in particular that obtained from Professor Mezey and Professor Weierstall-Pust, the Chamber considers that this particular statement by Dr Akena in fact represents a serious failure to grasp the problem appropriately. Additionally,

⁶⁸⁹¹ Professor Weierstall-Pust's Report, UGA-OTP-0280-0674, at 0682.

⁶⁸⁹² Professor Ovuga and Dr Akena's Second Report, UGA-D26-0015-0948, at 0969.

⁶⁸⁹³ Professor Ovuga and Dr Akena's Second Report, UGA-D26-0015-0948, at 0969.

⁶⁸⁹⁴ Professor Ovuga and Dr Akena's Second Report, UGA-D26-0015-0948, at 0969.

⁶⁸⁹⁵ D-0041: <u>T-248</u>, p. 55, lines 1-6.

⁶⁸⁹⁶ D-0041: <u>T-249</u>, p. 79, lines 11-25. *See also* p. 80, line 13 – p. 81, line 6.

⁶⁸⁹⁷ D-0042: <u>T-250</u>, p. 32, lines 3-5.

⁶⁸⁹⁸ D-0041: <u>T-248</u>, p. 56, line 3 – p. 57, line 1.

it confirms the concern of the Chamber, laid out above, ⁶⁸⁹⁹ that Professor Ovuga and Dr Akena, focusing on Dominic Ongwen getting better, did not have the necessary distance to consider the totality of the evidence, which they should have done as forensic experts.

- 2564. Dr Akena also stated that to assess the reliability of Dominic Ongwen's self-report they 'looked for collateral history', and 'asked the client the same kinds of questions but using different methods'.⁶⁹⁰⁰ The texts of the reports, however, do not indicate this to be the case.
- 2565. At the same time, during examination by the Prosecution, Dr Akena confirmed that he knew of psychometric tests which can be used to detect malingering, but claimed that they did not 'assess for malingering' because the 'clinical situations under which we operated did not point towards malingering'. 6901 It is noted that Dr Akena expressed a clear preference for clinical exams over psychometric tests in order to address the possibility of malingering. 6902 On the other hand, Professor Ovuga accepted that they 'could' have used psychometric tools to establish a greater or lesser likelihood of malingering, but stated that 'we had limited time and we needed to collect lots of other information and we didn't think it was economically wise to waste time using a scale'. 6903 On this point, the explanation is entirely unconvincing in light of the ample access Professor Ovuga and Dr Akena had to Dominic Ongwen, as also pointed out by the Prosecution. 6904
- 2566. In his rejoinder report, Professor Ovuga additionally stated that the signs and symptoms of individuals who are malingering are 'obvious to an experienced and good clinician'. However, the Chamber is not satisfied by the explanations provided by Professor Ovuga and Dr Akena for how they excluded malingering in Dominic Ongwen, and finds that the choice not to use further standardised methods to detect malingering remains questionable and undermined their analysis.

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<sup>6899</sup> See paras 2528-2531 above.
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⁶⁹⁰⁰ D-0041: <u>T-248</u>, p. 57, lines 11-20.

⁶⁹⁰¹ D-0041: T-249, p. 81, lines 7-23.

⁶⁹⁰² D-0041: <u>T-249</u>, p. 82, line 16 – p. 83, line 3.

⁶⁹⁰³ D-0042: <u>T-251</u>, p. 19, lines 16-22.

⁶⁹⁰⁴ See <u>Prosecution Closing Brief</u>, para. 404. See also D-0042: <u>T-251</u>, p. 19, line 23 – p. 20, line 8.

⁶⁹⁰⁵ Rejoinder Report, UGA-D26-0015-1574, at 1575.

- 2567. The Chamber notes that the Defence, relying on Professor Mezey's evidence that symptoms that look like mental illness can occasionally be produced, but that to maintain that is almost impossible, states that Dominic Ongwen could not have kept producing those symptoms since the first interviews with the Defence experts, up until the present, and towards a variety of people. The Chamber is unconvinced by this argument, given the weight placed by Professor Ovuga and Dr Akena on Dominic Ongwen's self-reporting of feelings and incidents which were then taken at face value and interpreted as symptoms of mental illnesses, and which also diverged in the two examinations. In any case, this argument is unrelated to the Chamber's conclusion that the Defence experts failed to properly assess the possibility of malingering.
- 2568. The Chamber, in light of the reports of Dr Akena and Professor Ovuga, and in light of their testimonies in court, finds the way in which they dismissed malingering as a possible explanation for the presence of symptoms of mental disorders apparent from the self-report of Dominic Ongwen unconvincing, and considers this to be a major factor militating against reliance on their reports.
- 2569. Sixth, and finally, a further methodological problem in relation to the reports of Professor Ovuga and Dr Akena is the fact that the reports present very general analyses and findings, and are not clearly anchored on the relevant period and the more specific factual contexts in which Dominic Ongwen acted.
- 2570. The Prosecution made the point that one of the difficulties facing the mental health experts in this case is that their attempts to determine Dominic Ongwen's state of mental health at particular times between 2002 and 2005 are being made more than a decade later, and that this difficulty is 'nowhere acknowledged' in the reports of the Defence experts. The Chamber indeed considers that the absence of any engagement with this manifest challenge, specifically by addressing it in their examination of Dominic Ongwen, is a further factor significantly impairing the value of the reports prepared by Professor Ovuga and Dr Akena.

6907 Prosecution Closing Brief, para. 384.

⁶⁹⁰⁶ Defence Closing Brief, paras 670-71. See also P-0446: <u>T-163</u>, p. 45, lines 16-20.

2571.Dr Akena, when asked by Prosecution counsel whether he and Professor Ovuga ever discussed with Dominic Ongwen what he could remember about any of the charged crimes, brushed off the issue by stating that Dominic Ongwen 'said he didn't commit the crimes'. When asked again if they asked Dominic Ongwen about each of the crimes, Dr Akena responded: 'We asked him about his mental state between the periods of 2002 and 2005'. 6909

2572. Professor Ovuga, when asked a similar question, responded: 'I am not sure if the alleged crimes were specifically linked to him with the evidence you have, or is it a matter of asking me for my opinion as to whether – opinion and fact as to whether I asked him'. Moreover, asked specifically about sexual and gender-based crimes, Professor Ovuga stated that '[t]he brief given to [them] was not sexual offences' but was given 'for nonsexual offences'.

2573. The Chamber considers the above explanations to be insufficient and unsatisfactory in light of the clear and unambiguous language of Article 31(1)(a) of the Statute, which requires an assessment of the relevant criteria 'at the time of that person's conduct'. That the task of mental health experts engaged by a criminal court for an examination of the accused with a view to establishing whether criminal responsibility is excluded is to explore specifically the mental status of the accused at the time of the acts in question is manifestly obvious and beyond discussion. The failure of Professor Ovuga and Dr Akena to correctly focus their examination is striking, and represents another reason preventing the Chamber from relying on their evidence.

2574. Based on the above factors affecting the reliability of the evidence of Professor Ovuga and Dr Akena, the Chamber concludes that it cannot rely on that evidence, and in particular not on the diagnoses of mental disorders in Dominic Ongwen which are advanced therein.

⁶⁹⁰⁸ D-0041: T-249, p. 41, lines 21-24.

⁶⁹⁰⁹ D-0041: <u>T-249</u>, p. 42, lines 2-5. *See also* p. 43, lines 10-13.

⁶⁹¹⁰ D-0042: <u>T-251</u>, p. 65, lines 8-13.

⁶⁹¹¹ D-0042: <u>T-251</u>, p. 65, line 14 – p. 67, line 6. *See also* p. 71, lines 1-15.

- v. Other evidence discussed by the parties
- 2575. In this final section of its analysis under Article 31(1)(a) of the Statute, the Chamber provides a brief explanation of the reasons why it does not rely on some further evidence discussed by the parties.
- 2576. In particular, the Chamber also has before it the report of Professor Joop T. de Jong, dated 7 January 2017. 6912 This report was prepared following a decision by the Chamber of 16 December 2016, which ordered that 'a psychiatric examination of Dominic Ongwen be conducted with a view to: (i) making a diagnosis as to any mental condition or disorder that Dominic Ongwen may suffer at the present time; and (ii) providing specific recommendations on any necessary measure/treatment that may be required to address any such condition or disorder at the detention centre'. 6913 In line with that, the report discussed Dominic Ongwen's mental health at the time of preparation of the report, and properly did not attempt to make a historical diagnosis. In his report, Professor De Jong diagnosed Dominic Ongwen with post-traumatic stress disorder (severe), major depressive disorder (severe), and other specified dissociative disorder. 6914 Professor De Jong did not testify during the trial.
- 2577. The Chamber notes that Professor De Jong prepared his report on the basis of two inperson interviews and one telephone interview with Dominic Ongwen, ⁶⁹¹⁵ as well as on the basis of '[d]ocumentation of the physical, psychological, and psychiatric assessment of the Detention Centre staff in The Hague'. ⁶⁹¹⁶ Professor De Jong concluded his report by alerting to the fact that it has 'several shortcomings', among which he singled out as 'most important' the fact that 'it was not possible to complement the interviews with additional information from the family and the community'. ⁶⁹¹⁷
- 2578. In sum, considering that Professor De Jong's report was prepared for a different purpose, having as its object of examination Dominic Ongwen's mental health at the time of the examination during the trial, and not at the time of his conduct relevant under the charges,

⁶⁹¹² See UGA-D26-0015-0046-R01.

⁶⁹¹³ Decision on the Defence Request to Order a Medical Examination of Dominic Ongwen, 16 December 2016, ICC-02/04-01/15-637-Conf (public redacted version available: ICC-02/04-01/15-637-Red), p. 18.

⁶⁹¹⁴ Professor De Jong's Report, UGA-D26-0015-0046-R01, at 0051.

⁶⁹¹⁵ Professor De Jong's Report, UGA-D26-0015-0046-R01, at 0048.

⁶⁹¹⁶ Professor De Jong's Report, UGA-D26-0015-0046-R01, at 0047.

⁶⁹¹⁷ Professor De Jong's Report, UGA-D26-0015-0046-R01, at 0074.

the Chamber does not consider that it can rely on that report directly for its conclusions with respect to the issue at hand.

2579. Further, the Chamber notes that the Defence also refers in its closing brief to the report of Professor Seggane Musisi, submitted by the Common Legal Representative of Victims. ⁶⁹¹⁸ The Chamber does not rely on the evidence of Professor Musisi, for the reason that it does not provide specific information in relation to the question whether Dominic Ongwen suffered from a mental disease or defect during the period of the charges.

vi. Conclusion

2580. In line with the above, based on the expert evidence of Professor Mezey, Dr Abbo and Professor Weierstall-Pust, who did not identify any mental disease or disorder in Dominic Ongwen during the period of the charges, further based on the corroborating evidence heard during the trial, which is incompatible with any such mental disease or disorder, and noting that the evidence of Professor Ovuga and Dr Akena cannot be relied upon, the Chamber finds that Dominic Ongwen did not suffer from a mental disease or defect at the time of the conduct relevant under the charges. A ground excluding criminal responsibility under Article 31(1)(a) of the Statute is not applicable.

2. Duress

i. Introduction

2581. Duress in Article 31(1)(d) of the Statute has three elements. The first element is that the conduct alleged to constitute the crime has been caused by duress resulting from a threat of imminent death or of continuing or imminent serious bodily harm against that person or another person. The threat in question may either be: (i) made by other persons or (ii) constituted by other circumstances beyond that person's control.⁶⁹¹⁹ The threat is to be assessed at the time of that person's conduct.⁶⁹²⁰

2582. From the plain language of the provision, the words 'imminent' and 'continuing' refer to the nature of the threatened harm, and not the threat itself. It is not an 'imminent threat'

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⁶⁹¹⁸ Defence Closing Brief, para. 539. See PCV-0003 Report, UGA-PCV-0003-0046.

⁶⁹¹⁹ Article 31(1)(d) of the Statute.

⁶⁹²⁰ Article 31(1) of the Statute.

of death or a 'continuing or imminent threat' of serious bodily harm – the Statute does not contain such terms. Rather, the threatened *harm* in question must be either to be killed immediately ('imminent death'), or to suffer serious bodily harm immediately or in an ongoing manner ('continuing or imminent serious bodily harm'). On this understanding, duress is unavailable if the accused is threatened with serious bodily harm that is not going to materialise sufficiently soon. A merely abstract danger or simply an elevated probability that a dangerous situation might occur – even if continuously present – does not suffice. ⁶⁹²¹

- 2583. The second element of duress in Article 31(1)(d) of the Statute is that the person acts necessarily and reasonably to avoid the threat. The person is not required to take all conceivable action to avoid the threat, irrespective of considerations of proportionality or feasibility. The Chamber must specifically consider what, if any, acts could 'necessarily and reasonably' avoid the threat, and what the person should have done must be assessed under the totality of the circumstances in which the person found themselves. Whether others in comparable circumstances were able to necessarily and reasonably avoid the same threat is relevant in assessing what acts were necessarily and reasonably available.
- 2584. Finally, the third element of duress in Article 31(1)(d) of the Statute is that the person does not intend to cause a greater harm than the one sought to be avoided. This is a subjective element it is not required that the person actually avoided the greater harm, only that he/she intended to do so. The Chamber considers that assessment of whether one intended harm is 'greater' than another depends on the character of the harms under comparison.
- 2585. In the present case, as is clear from the analysis below, already the first element of duress under Article 31(1)(d) of the Statute is not met, and it is not necessary, or even possible, to consider its remaining elements.

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⁶⁹²¹ A. Eser in O. Triffterer (ed.) *Commentary on the Rome Statute of the International Criminal Court: Observers' Notes, Article by Article* (2008), p. 1151 (and at footnote 160 on this same page: '[t]he concrete imminence of the threat could become pertinent in a case of coerced recruitment, especially of child soldiers, since an accused cannot raise the duress defence, if he was "only" coercively enrolled generally but not forced to commit the charged offence').

2586. The conduct underlying the charges in the present case is not a single discrete act on the part of Dominic Ongwen, momentary or of a short duration. Rather, the conduct charged is complex and spread over the entire period of the charges between 1 July 2002 and 31 December 2005. The Defence submits that Dominic Ongwen 'was under a continuing threat of imminent death and serious bodily harm from Kony and his controlling, military apparatus'. The essence of the argument of the Defence is that the threat which caused Dominic Ongwen to engage in the conduct underlying the charged crimes originated in Joseph Kony's control of the LRA, which Joseph Kony allegedly maintained through a combination of strict disciplinary rules which severely punished non-compliance with orders, the tight supervision of commanders, and successful assertion of spiritual powers. 6923

2587. The Prosecution submits that duress is not applicable in the present case. 6924 It argues principally that '[a]lthough the LRA sometimes inflicted severe punishment on its members for breaking the armed group's rules, the Chamber has heard no evidence that Mr Ongwen's conduct was caused by a threat of imminent death or imminent or continuing serious bodily harm against him or another person'. 6925 To disprove the existence of such a threat to Dominic Ongwen, the Prosecutor makes arguments on five topics: the LRA disciplinary regime, collective punishment, spies, spirits, and specifically the threat of arrest, demotion or other punishment. ⁶⁹²⁶ In addition, the parties discussed the impossibility, or difficulty, of escape from the LRA. 6927 In the assessment of the Chamber, and in the circumstances of the present case, the possibility of escaping or otherwise leaving the LRA also informs the conclusions on the existence or nature of the threat, even though it possibly has a more straightforward relevance for the discussion of the necessity and reasonableness of the conduct in response to the threat. For this reason, the Chamber considers it appropriate to consider the evidence on the possibility of escape already for the purpose of determining whether a threat within the meaning of Article 31(1)(d) of the Statute existed at the time of Dominic Ongwen's relevant conduct.

⁶⁹²² Defence Closing Brief, para. 680.

⁶⁹²³ See generally Defence Closing Brief, paras 681-722.

⁶⁹²⁴ Prosecution Closing Brief, para. 472.

⁶⁹²⁵ Prosecution Closing Brief, para. 475.

⁶⁹²⁶ Prosecution Closing Brief, paras 482-500.

⁶⁹²⁷ Prosecution Closing Brief, paras 507-15; Defence Closing Brief, paras 714-22.

- 2588. As already stated above, there is no specific provision in the Statute related to the burden and standard of proof as concerns grounds excluding criminal responsibility under Article 31, and for this reason, the general provisions of the Statute apply. ⁶⁹²⁸ Under Article 66(2) of the Statute, the onus is on the Prosecutor to prove the guilt of the accused, and, under Article 66(3), in order to convict the accused, the Court must be convinced of the guilt of the accused beyond reasonable doubt.
- 2589. Taking into account the submissions of the parties, the Chamber provides hereunder its analysis of the question whether Dominic Ongwen, at the time of his relevant conduct, was subject to a threat of imminent death or of continuing or imminent serious bodily harm against himself or another person. For clarity and structure, the analysis is split into sub-sections covering broad topics, but it must be understood that the issues addressed significantly overlap and inform a single conclusion given in the final sub-section below.
 - ii. Dominic Ongwen's status in the LRA hierarchy and the applicability of LRA disciplinary regime to him
- 2590. It is an established fact that the mechanisms used in the LRA to ensure obedience in its ranks, discussed in detail above, ⁶⁹²⁹ were characterised by their brutality. However, as also discussed above, there was a difference between the status of low-ranking LRA members and the higher commanders: whereas the LRA was an effective, hierarchically structured organisation, it was not under the absolute control of Joseph Kony, and Joseph Kony relied on the co-operation of various LRA commanders to execute LRA policies. ⁶⁹³⁰
- 2591. Therefore, Dominic Ongwen's situation in the LRA was not analogous to that of any low-level member or recent abductee. Those persons were, as the evidence demonstrates, frequently placed in situations where they had to perform certain actions under threat of imminent death or physical punishment. Dominic Ongwen was also personally the source of such threats, including the specific instance in which he explicitly threatened P-0226 and a number of other girls with death in order to make them beat a

⁶⁹²⁸ See section IV.A above.

⁶⁹²⁹ See section IV.C.2.ii.d above.

⁶⁹³⁰ See paras 866-873 above.

⁶⁹³¹ See section IV.C.2.ii.d. See also Defence Closing Brief, para. 688.

⁶⁹³² See paras 964-966 above.

captured government soldier to death.⁶⁹³³ The Chamber considers that due to his status as a battalion and brigade commander, in charge of his group, Dominic Ongwen's situation was fundamentally different from that of low-level LRA members or recent abductees.

2592. In its assessment, the Chamber focuses on the situation of Dominic Ongwen as battalion and brigade commander during the period of the charges. Dominic Ongwen's childhood experience in the LRA is not central to the issue. The Defence relies on certain evidence relating to Dominic Ongwen's life in the LRA in the period immediately following his abduction in the 1980s, when Dominic Ongwen was a child. 6934 However, this evidence is not as such relevant for the determination whether a threat relevant under Article 31(1)(d) of the Statute existed at the time of the conduct relevant for the charges, many years after Dominic Ongwen's abduction, when he was an adult and in a commanding position. Even if the threat to Dominic Ongwen were to start at the time of his abduction, that threat would have to express itself at the time of his conduct during the period of the charges – and be discernible from the abundant evidence which relates to that time. Also, as concerns Dominic Ongwen's mental development and status, the Chamber recalls that, as discussed above, no mental disease or defect at the time of the conduct relevant to the charges was identified in Dominic Ongwen. 6935 The Defence argument that the provisions of Article 31(1)(a) and (d) could be combined is addressed below. 6936

2593. As discussed above, ⁶⁹³⁷ the evidence indicates that as a matter of fact, high-ranking commanders of the LRA, including Dominic Ongwen, did not always execute Joseph Kony's orders.

2594. One witness testimony already referred to above, but which is necessary to recall in detail also in the present context, is that of P-0440. During his testimony, P-0440 discussed Joseph Kony's order to stop abductions, and stated that compliance with this order 'varie[d], depending on how somebody ha[d] perceived it', and that '[s]ome people could – may follow that they should not abduct, but some people could violate the orders'. 6938

⁶⁹³³ See section IV.C.10.ii.d.i above.

⁶⁹³⁴ See Defence Closing Brief, para. 682.

⁶⁹³⁵ See section IV.D.1 above.

⁶⁹³⁶ See para. 2671.

⁶⁹³⁷ See paras 866-873 above.

⁶⁹³⁸ P-0440: <u>T-39</u>, p. 83, line 25 – p. 84, line 14.

P-0440 named two persons, Onen Unita and Odongo, as examples of commanders who did not obey Joseph Kony's orders and who Joseph Kony complained about and called lazy. Asked how these commanders could avoid carrying out Joseph Kony's orders, P-0440 stated that they could delegate the tasks to subordinates, but also said:

I know most times when somebody does not want to do something, they make up excuses so that they do not go on mission, for example, they pretend to be ill or, if they don't want to go, they make up their minds that, okay, I do not really want to go, so if I pretend that I'm sick, then I would not suffer consequences from that.⁶⁹⁴¹

- 2595. Similar to the evidence of P-0440 is the statement of Hillary Daniel Lagen, who testified that Ocan Bunia, Gilva brigade commander, did not take part in 'any of the Kony operations' for more than two years, and, specifically, that he refused Joseph Kony's order to go and attack the Alero camp. 6942 Hillary Daniel Lagen also stated that a number of commanders 'always found ways to not do exactly what... uh... he had instructed them to do'. 6943
- 2596.P-0070 similarly testified that Ocan Bunia, Gilva brigade commander in 2003-04,⁶⁹⁴⁴ was 'always accused of being a coward' and of avoiding going to the battlefront '[e]ven if he is issued instructions or orders to go and fight'.⁶⁹⁴⁵ P-0070 was not aware of any punishments Ocan Bunia would have received for this, despite Ocan Bunia's lack of participation being discussed frequently during radio communications.⁶⁹⁴⁶
- 2597.P-0231, who served under Dominic Ongwen, testified specifically about commanders finding ways to not do exactly what they were instructed. Importantly, P-0231 described Dominic Ongwen as a commander who did not automatically execute Joseph Kony's orders, but intervened if he deemed it necessary, including going back to Joseph Kony for more information.⁶⁹⁴⁷ Even though P-0231 stated that if Joseph Kony insisted his

⁶⁹³⁹ P-0440: T-40, p. 4, lines 17-22.

⁶⁹⁴⁰ P-0440: $\overline{\text{T-40}}$, p. 4, line 23 – p. 5, line 12.

⁶⁹⁴¹ P-0440: <u>T-40</u>, p. 6, line 18 – p. 7, line 9.

⁶⁹⁴² P-0040 Interview Transcript, UGA-OTP-0209-0569-R01, at 0575, lines 163-80. See also P-0040 Interview Transcript, UGA-OTP-0220-0678-R01, at 0697, lines 672-77.

⁶⁹⁴³ P-0040 Interview Transcript, UGA-OTP-0209-0569-R01, at 0583, lines 400-01.

⁶⁹⁴⁴ P-0070: <u>T-105</u>, p. 72, lines 18-19.

⁶⁹⁴⁵ P-0070: <u>T-106</u>, p. 42, line 23 – p. 43, line 2.

⁶⁹⁴⁶ P-0070: T-106, p. 43, lines 3-7. On this point, P-0070 is corroborated by the records of intercepted radio communications, *see* ISO Logbook (Gulu), UGA-OTP-0061-0002, at 0048, 0064, 0094, 0202-03; ISO Logbook (Gulu), UGA-OTP-0061-0206, at 0209, 0337; UPDF Logbook (Gulu), UGA-OTP-0254-4143, at 4227, 4234, 4250, 4318, 4321-22; UPDF Logbook (Achol Pii), UGA-OTP-0242-7309, at 7426.

⁶⁹⁴⁷ P-0231: <u>T-123</u>, p. 83, line 6 – p. 84, line 9.

order would be executed, 6948 the kind of interaction between Dominic Ongwen and Joseph Kony as described by P-0231 is incompatible with a situation of threat of imminent death or serious bodily harm.

- 2598, P-0231's testimony is corroborated by other insiders' testimonies. P-0016, a longstanding LRA insider, testified that he personally observed when 'Kony wanted to do something bad' Dominic Ongwen 'would clearly tell Kony that this thing is bad, don't do it'.6949
- 2599, Furthermore, when asked about Dominic Ongwen's competence at tactics and planning, former LRA fighter Daniel Opiyo stated:

Well, Dominic would not just engage in something without being sure. If there is - and he wouldn't do things on his volition. If there is an order from his senior, he would sit down with his officers and they would assess. If they feel that this is not practical or they feel it's not feasible, Dominic would object to doing that. But if he knew he was able to accomplish that, he would do that. 6950

- 2600. The Chamber also notes in this context P-0226's testimony to the effect that upon her abduction in 1998, Dominic Ongwen successfully evaded the authority of Joseph Kony to keep P-0226 for himself, even after Joseph Kony sent his escorts to collect her. ⁶⁹⁵¹ P-0226 did not see Dominic Ongwen beaten for this conduct. 6952
- 2601. Joseph Okilan testified that 'if you don't follow Kony's commands, that means death is assured for you', 6953 but the Chamber considers that this general assertion is unreliable in light of the specific and contextualised evidence provided by several other witnesses, as just laid out.
- 2602. Indeed, it transpires from the above that the relationship between Joseph Kony and Dominic Ongwen was not characterised by the complete dominance of the former and subjection of the latter. On the contrary, what results clearly from the above witness testimonies is that Dominic Ongwen was a self-confident commander who took his own decisions on the basis of what he thought right or wrong.

⁶⁹⁴⁸ P-0231: <u>T-123</u>, p. 84, lines 8-9.

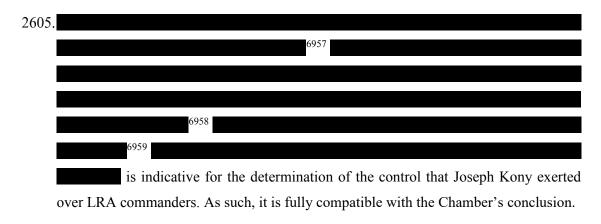
⁶⁹⁴⁹ P-0016: T-34-CONF, p. 42, lines 5-7.

⁶⁹⁵⁰ D-0056: T-229, p. 33, line 24 – p. 34, line 6.

⁶⁹⁵¹ P-0226: T-8-CONF, p. 26, line 24 – p. 35, line 6. *See also* section IV.C.10.i.d above. ⁶⁹⁵² P-0226: T-9-CONF, p. 32, lines 16-22, p. 36, lines 11-12.

⁶⁹⁵³ D-0019: T-236, p. 16, line 24 – p. 17, line 8.

- 2603. The witness evidence as recounted is corroborated by intercepted radio communications, which contain exchanges between Joseph Kony and other LRA commanders in which the non-execution of orders is discussed.
- 2604. One particularly relevant communication was intercepted on 18 May 2004. ⁶⁹⁵⁴ According to the transcript and the annotation and summary provided by P-0003, P-0016, P-0059 and P-0440, the communication involves Joseph Kony, in a conversation with Vincent Otti and Buk Abudema, complaining that certain commanders, in particular Angola and Odongo, were not working well. ⁶⁹⁵⁵ Conversely, Joseph Kony gave to Vincent Otti the example of Dominic Ongwen as somebody who worked well, and expressed the wish that everybody would work as well as Dominic Ongwen. ⁶⁹⁵⁶



2606. Furthermore, there are other records of intercepted radio communications which indicate that Joseph Kony expressed dissatisfaction with LRA commanders, yet did not order

soldiers and defeating them in battle as opposed to the Prosecution inference of attacking civilians' (<u>Defence Closing Brief</u>, para. 689). This submission is, in addition to being directly contradictory to the proposition that Dominic Ongwen committed crimes against civilians under duress originating from Joseph Kony, also irrelevant to the issue under consideration, which is whether Dominic Ongwen was under threat from Joseph Kony at the time. The submission is also not supported by the cited evidence, which in fact only contains an abstract definition of an efficient commander according to D-0032. *See* D-0032: T-201-CONF, p. 28, lines 9-20.

6957 6958 6959 See

⁶⁹⁵⁴ See section IV.B.3.ii.m above.

⁶⁹⁵⁵ P-0003: T-43, p. 31, line 3 – p. 33, line 13; P-0003 Tape 822 Transcript, UGA-OTP-0248-0198-R01, at 0201-06; P-0016: T-33, p. 6, line 16 – p. 8, line 17; P-0016 Tape 822 Transcript, UGA-OTP-0259-0044; P-0059: T-37, p. 13, line 8 – p. 15, line 24; P-0059 Tape 822 Transcript, UGA-OTP-0248-0436-R01, at 0437-46; P-0440: T-40, p. 40, line 1 – p. 42, line 10; P-0440 Tape 822 Transcript, UGA-OTP-0262-0399-R01, at 0400-09.
6956 P-0003: T-43, p. 31, line 3 – p. 33, line 13; P-0003 Tape 822 Transcript, UGA-OTP-0248-0198-R01, at 0201-06; P-0016: T-33, p. 6, line 16 – p. 8, line 17; P-0016 Tape 822 Transcript, UGA-OTP-0259-0044; P-0059: T-37, p. 13, line 8 – p. 15, line 24; P-0059 Tape 822 Transcript, UGA-OTP-0248-0436-R01, at 0437-46; P-0440: T-40, p. 40, line 1 – p. 42, line 10; P-0440 Tape 822 Transcript, UGA-OTP-0262-0399-R01, at 0400-09. The Defence submitted that D-0032 'testified that Kony was praising Mr Ongwen for being efficient in attacking government

punishment or issue threats, at least not on the same occasion. On 22 January 2004, Joseph Kony is recorded in the ISO logbook as complaining about 'all his com[man]d[e]rs' as ignorant and not listening to his advice, which resulted in gunship attacks on the LRA. 6960 On 5 July 2004, an ISO logbook recorded Joseph Kony as 'seriously complaining that all his com[man]d[e]rs are not serious with what they are doing', and that 'a com[man]d[e]r like Galdino is on his own and not following orders of his superiors'. 6961 In light of this evidence the Chamber considers the Defence's assertion that '[t]here was therefore an unquestionable obligation to follow Kony's orders, failure of which would result into death' 6962 as totally unsupported by the evidence.

2607. The parties also discussed the question whether Joseph Kony employed spies in order to control his subordinate commanders, in particular Dominic Ongwen. The evidence in the trial does not provide any basis for consideration of spies, or a spy network, as a separate phenomenon, and the Chamber actually considers that the issue folds entirely within the analysis of the nature of the hierarchical relationship between Joseph Kony and the LRA commanders, including Dominic Ongwen.

2608. The Chamber notes that the Defence submits, also specifically in the context of duress, that 'neither men nor women had a choice when partners were distributed to them by Kony'. 6965 However, in light of the preceding analysis, and in light of the specific discussion of this question above, which concluded that imposing so-called 'marriage' on men was not an issue in the LRA, 6966 the Chamber considers the submission of the Defence to be unsustainable on the basis of the evidence, and therefore rejects it.

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⁶⁹⁶⁰ ISO Logbook (Gulu), UGA-OTP-0061-0002, at 0013. It is noted that a corresponding UDPF logbook records Joseph Kony as continuing, saying that as from that day, if an abductee escapes, the 'remnants' should all be killed, 'even if they are more than one hundred' (UGA-OTP-0254-4143, at 4207). Whereas it indicates that Joseph Kony issued a genereal threat, this information does not indicate that Joseph Kony ordered any punishment for the events he was complaining about.

⁶⁹⁶¹ ISO Logbook (Gulu), UGA-OTP-0062-0002, at 0088.

⁶⁹⁶² Defence Closing Brief, para. 684.

⁶⁹⁶³ Prosecution Closing Brief, paras 492-94; Defence Closing Brief, para. 691 (arguing, without referring to specific items of evidence, that there was 'omnipresent surveillance by selected individuals within the LRA, who reported to Kony'). See also Defence Closing Brief, para. 717 and the analysis at para. 2637 below.

⁶⁹⁶⁴ See also in relation to a very specific related point, para. 2637 below.

⁶⁹⁶⁵ Defence Closing Brief, para. 683.

⁶⁹⁶⁶ See para. 2229 above.

iii. Executions of senior LRA commanders on Joseph Kony's orders

- 2609. The Defence emphasised that on several occasions, high-ranking commanders of the LRA were killed on the orders of Joseph Kony and that due to the comparable position of Dominic Ongwen, these killings are indicative of the existence of a threat for his life too. 6967 This relates in particular to the killings of Otti Lagony and Okello Can Odonga in 1998, the killing of James Opoka in 2002 and the killing of Vincent Otti sometime after the period of the charges in the DRC.
- 2610. Even though, with the exception of the killing of James Opoka, these facts all occurred outside the period of the charges, the Chamber considers they are all relevant to the issue under consideration. For this reason, the Chamber analyses them in detail. Because the evidence indicates that the killings were widely known within the LRA, the Chamber focuses in its analysis on witnesses who indicated that they had some sort of personal knowledge about the events.
- 2611. As concerns the killings of Otti Lagony and Okello Can Odonga, the Chamber notes first that the evidence confirms that they occupied senior positions in the LRA. ⁶⁹⁶⁸ Several witnesses described in detail how Otti Lagony and Okello Can Odonga were killed on Joseph Kony's orders, after a large gathering of the LRA at which Joseph Kony addressed the members. ⁶⁹⁶⁹ P-0231 testified that this took place in 1998. ⁶⁹⁷⁰ Witness evidence also

⁶⁹⁶⁷ Defence Closing Brief, para. 684.

 $[\]frac{6968}{D-0032}$: $\frac{T-199}{D-0032}$, p. 30, line 14 – p. 31, line 3 (testifying that he knew Otti Lagony, whose final position was commanding officer in charge of operations and 'more or less deputy to Kony', and Okello Can Odonga, commonly known only as Can Odonga, who was initially the deputy to the operations commander and was then moved to Stockree brigade command); P-0231: T-123, p. 43, line 1 - p. 44, line 1 (stating that at the time Otti Lagony was the second most senior person in the LRA, in Control Altar, while Can Odongo was Gilva brigade commander); P-0172: T-113, p. 44, lines 10-11 (stating that Otti Lagony was Joseph Kony's second-in-command). 6969 D-0032: T-199, p. 31, lines 4-12 (testifying that Otti Lagony and Can Odonga were killed on the order of Joseph Kony, who brought them in front of the 'whole congregation' and said that they had planned to escape and wanted to defect), p. 32, lines 5-7 (stating that about 2,500 were present at the gathering), p. 33, lines 8-23 (testifying that Otti Lagony and Okello Can Odonga were blindfolded, taken away and shot behind the barracks, 'somewhere in the bushes', and that he heard the gunshots); P-0172: T-113, p. 44, lines 12-23 (testifying that 'we heard that Lagony was asking too many questions, he was accused of planning to escape', and that he was present when Joseph Kony gathered the people and asked 'What should we do to Lagony?', that later, in the evening, Otti Lagony was 'taken and killed', and that a brigadier known as 'Canodonga' was killed together with Otti Lagony). See also P-0231: T-123, p. 43, line 1-16 (testifying that he was present at the place where Otti Lagony and 'Can Odongo' were killed); P-0205: T-49, p. 29, line 3 – p. 30, line 2 (testifying that he was present nearby for the killings of 'Okello' and Otti Lagony, and specifying that while he could not hear the gunshots due to heavy downpour, he confirmed their absence from the group thereafter); D-0020 Statement, UGA-D26-0010-0382, para. 12 (stating that he saw Okello Can Odonga 'tied really tightly', heard Joseph Kony ordering to execute him together with Otti Lagony and that he later heard the gunshots). ⁶⁹⁷⁰ P-0231: <u>T-123</u>, p. 43, lines 1-5.

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establishes that the reason behind the execution of Otti Lagony and Okello Can Odonga was that they were challenging Joseph Kony's authority as the exclusive leader of the LRA ⁶⁹⁷¹

- 2612. Turning to the case of James Opoka, D-0032 testified that he heard from Vincent Otti that Joseph Kony had ordered the arrest and killing of James Opoka, because he 'ha[d] an arrangement to escape from the LRA with LRA soldiers, the former LRA soldiers so that he they would take them back to Uganda'. 6972 D-0092 corroborated the evidence of D-0032. As to the time of this incident there is some discrepancy in the evidence, but the conclusion that suffices, and which can confidently be made, is that James Opoka was killed around the end of 2002. 6974
- 2613. As for the killing of Vincent Otti, P-0205 testified that at Ri Kwamba in the DRC, Vincent Otti was apprehended and taken away across a river and shot; the witness could hear the gunshots. ⁶⁹⁷⁵ P-0233 testified that he witnessed Joseph Kony order the killing. ⁶⁹⁷⁶ While noting that he was low in rank and would only hear things from other people, P-0233 also testified that there was a 'divergence between what Otti stood for and what Kony was standing for'. ⁶⁹⁷⁷

⁶⁹⁷¹ D-0032: <u>T-199</u>, p. 31, lines 9-11 (testifying that Joseph Kony stated that the two men were 'competing' and did not have the desire to stay in the LRA, and for these reasons should be killed); P-0231: <u>T-123</u>, p. 43, lines 7-14 (explaining that he at first heard that they had been trying to connect with the Ugandan government so that they may defect, and later also that Otti Lagony 'had ordered for abduction of women and he distributed them to some of his officers without telling Kony' and that this meant that 'he wanted to sway all the soldiers to respect him so that he becomes the overall boss'); D-0018: <u>T-185</u>, p. 45, lines 6-16 (stating that Otti Lagony was 'executed because he went directly to deal with Arabs, the Sudan government'). *See also*: D-0020 Statement, UGA-D26-0010-0382, para. 12 (explained that he had heard that Okello Can Odongo and Otti Lagony were planning 'a coup'); *see also* para. 11.

⁶⁹⁷² D-0032: <u>T-199</u>, p. 35, line 13 – p. 36, line 2.

⁶⁹⁷³ D-0092: <u>T-208</u>, p. 33, line 20 – p. 34, line 3 ('You know, the way Opoka joined us in the bush, I was already an escort with the boss himself, Opoka did not come alone. He came with some people, they even came with some radio calls. Opoka did not have any rank in the bush. He went as a boss himself. He was a bit big-headed and started planning with other officers and told other officers that Kony was taking long and delaying to overthrow the government, they should allow so that Kony is shot. That is when the spirit reported that to Kony and he was arrested. He had not taken long in the bush yet.'). The Chamber notes that D-0092 testified that he heard James Opoka was killed, but that he was not present at the time, *see* D-0092: <u>T-208</u>, p. 34, lines 10-14.

⁶⁹⁷⁴ See D-0032: <u>T-199</u>, p. 36, lines 3-6 (stating that James Opoka was killed around October 2002); compared with ISO Logbook (Gulu), UGA-OTP-0065-0002, at 0118 (logbook entry dated 20 December 2002, stating that James Opoka was with Joseph Kony in Sudan) and ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0004-05 (a logbook entry on 13 March 2003, where Joseph Kony and Vincent Otti discuss how the information of the LRA killing James Opoka could have reached the media).

⁶⁹⁷⁵ P-0205: <u>T-49</u>, p. 30, lines 15-21. P-0205 added that afterwards, 'people were convened and some instructions were given that whoever is seen talking or heard talking about what has happened, the person would be punished', P-0205: <u>T-49</u>, p. 30, lines 22-23.

⁶⁹⁷⁶ P-0233: T-112, p. 13, line 20 – p. 16, line 19.

⁶⁹⁷⁷ P-0233: <u>T-122</u>, p. 20, lines 8-10. *See also* <u>T-122</u>, p. 20, line 10 – p. 21, line 25.

- 2614. In conclusion on this issue, the Chamber notes that the evidence in relation to all three instances of killings of senior LRA commanders on the orders of Joseph Kony does not indicate that the commanders were executed for failing to execute orders to engage in operations, by remaining passive. Rather, they were caused by these commanders challenging 'politically' the power of Joseph Kony as the exclusive leader of the LRA *i.e.* by seeking to take more general decisions in relation to the goals and priorities of the LRA. This is why the Chamber does not see a basis in this evidence to draw the conclusion that Joseph Kony inevitably and immediately ordered the killing of commanders who did not execute his orders.
- 2615. In fact, as discussed above, there is strong evidence to the effect that Joseph Kony could not always rely on the unconditional compliance with his orders by the commanders under him. There is evidence that during the period of the charges, Joseph Kony at most demoted or threatened to demote non-performing commanders. This is demonstrated by two entries in the logbooks of intercepted radio communications from the period of the charges.

2616. The ISO logbook recorded on 16 April 2003 Dominic Ongwen reporting a UPDF gunship raid on the previous day. ⁶⁹⁷⁸ The ISO staff recording the communication then noted:

Kony could not wait for Dominic to finish when he just blasted him that Dominic looks to be a weak com[man]d[e]r and why is it always that only him that gunship raid and succeeds very well and not others. Kony said that if Dominic is not careful, then he will be demoted so that another off[ice]r take charge of that BN. He strongly warned that if he again hear that any of his unit com[man]d[e]r was raided by gunship and they succeed as it happened to Dominic then that com[man]d[e]r will have problem with him. 6979

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⁶⁹⁷⁸ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0124.

⁶⁹⁷⁹ ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0124. *See also* UPDF Logbook (Gulu), UGA-OTP-0254-0455, at 0490-91. The Chamber notes that the UPDF logbook records communication indicating that Lakati and Vincent Otti 'forced' Dominic Ongwen to send the report 'on their behalf'. As concerns the reaction of Joseph Kony, the UPDF logbook corresponds in substance to the ISO logbook. In these circumstances, the small discrepancy between the two logbooks is immaterial.

2617. Similarly, on 14 May 2004 Joseph Kony is recorded in an ISO logbook as ordering the separation of two commanders 'b[ecau]se if they stay together they will keep on defying orders'. 6980

2618. Finally under this heading, the Chamber recalls, noting the Defence allegation that 'Dominic himself came close to execution for getting in touch with and receiving money from Lt General Salim Saleh', 6981 that it does not rely on the item of evidence cited by the Defence. 6982 Considering also that there is no other evidence to the same effect, it must be concluded that there is no basis in the evidence to reach the conclusion proposed by the Defence.

iv. Possibility of escaping from or leaving the LRA

2619. The phenomenon of escape from the LRA is discussed above in the context of its mechanisms to ensure capability to undertake military operations, including compliance with orders. ⁶⁹⁸³ In that context, the Chamber also observed that escape from the LRA was relatively common. ⁶⁹⁸⁴ However, in the present context, the Chamber has to determine, on the basis of the evidence, whether and to what extent escape from or otherwise leaving the LRA was possible for Dominic Ongwen, or for LRA members of comparable status and authority. This is because, in the assessment of the Chamber, the possibility for Dominic Ongwen to escape or leave the LRA militates against the conclusion that threat of imminent death or imminent or continuing serious bodily harm to himself or another person caused him to engage in conduct underlying the charged crimes. In other words, for Dominic Ongwen to have engaged in such conduct when escaping or leaving was possible is a strong indication that he acted on his own accord.

2620. As discussed above, Dominic Ongwen was briefly arrested in April 2003. ⁶⁹⁸⁵ The evidence indicates that his arrest was ordered by Joseph Kony over an allegation of communicating by phone with the government. ⁶⁹⁸⁶ The Defence, citing Florence Ayot's

⁶⁹⁸⁰ ISO Logbook (Gulu), UGA-OTP-0061-0206, at 0309. *See also* UPDF Logbook (Gulu), UGA-OTP-0254-2982, at 3033; UPDF Logbook (Lira), UGA-OTP-0255-0228, at 0243; UPDF Logbook (Sudan), UGA-OTP-0242-7194, at 7270.

⁶⁹⁸¹ Defence Closing Brief, paras 684-85.

⁶⁹⁸² See para. 1054 above.

⁶⁹⁸³ See section IV.C.2.ii.e above.

⁶⁹⁸⁴ See para. 972 above.

⁶⁹⁸⁵ See paras 1050-1063 above.

⁶⁹⁸⁶ See paras 1050-1051 above.

Kony and arrested'. 6987 As found by the Chamber, the arrest of Dominic Ongwen in April 2003 did not for any significant period interrupt the exercise of his authority as an LRA commander. 6988 In September 2003, Dominic Ongwen was promoted. 6989 In light of the fact that the other evidence, which is detailed and reliable as discussed above, 6990 does not indicate that an attempt to escape was the reason for Dominic Ongwen's arrest, but shows that the arrest followed contact with the government forces, the Chamber rejects the evidence of Florence Ayot on this point. But in any case, the incident demonstrated to Dominic Ongwen that defying Joseph Kony did not mean to be killed, and that the consequences of being on the wrong side of Joseph Kony were not necessarily grave.

- 2621. There is also overwhelming evidence that during the period relevant to the charges, persons of relatively high rank and position in the LRA successfully escaped, including some proximate to Dominic Ongwen.
- 2622. First, there is consistent evidence from multiple sources indicating that Odong Cow, the person in charge of the sickbay in which Dominic Ongwen was placed following his injury in late 2002,⁶⁹⁹¹ escaped from that sickbay around March 2003.⁶⁹⁹² The Chamber notes that P-0231 testified that he did not know of any punishment for Dominic Ongwen in relation to the escape of Odong Cow.⁶⁹⁹³
- 2623. Further, Charles Lokwiya, who was support commander in Control Altar, ⁶⁹⁹⁴ testified that he escaped from sickbay following his injury during the attack on Pajule IDP camp on 10 October 2003, with one of his so-called 'wives', two other girls who were living in his household, as well as his child. ⁶⁹⁹⁵ The Chamber notes the argument of the Defence

^{6987 &}lt;u>Defence Closing Brief</u>, para. 714, n. 1182; D-0013: <u>T-244</u>, p. 53, line 19 – p. 54, line 16.

⁶⁹⁸⁸ See para. 1063 above.

⁶⁹⁸⁹ See para. 1071 above.

⁶⁹⁹⁰ See paras 1050-1063 above.

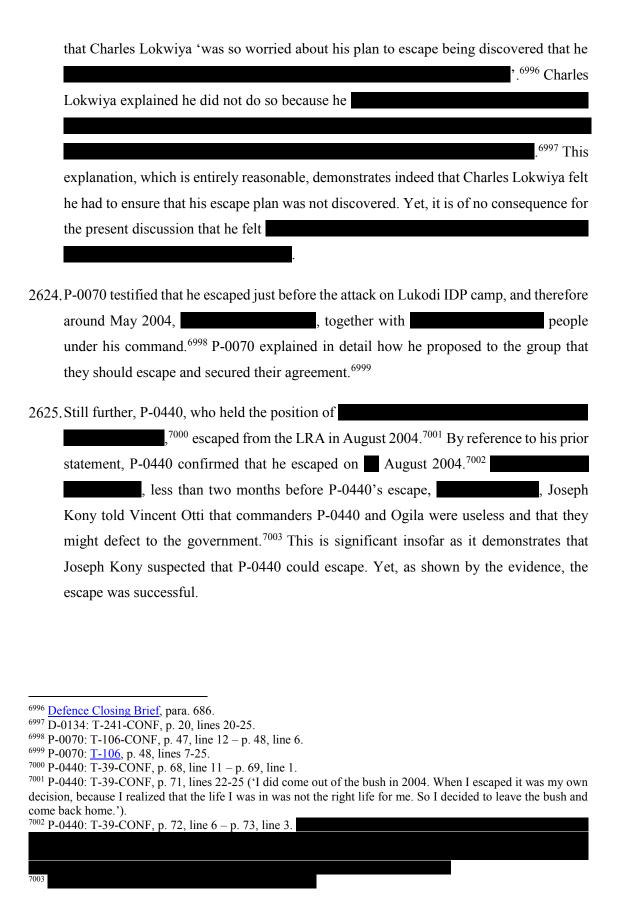
⁶⁹⁹¹ See para. 1034 above.

⁶⁹⁹² P-0231: <u>T-122</u>, p. 53, line 25 – p. 54, line 3 (testifying that Odong Cow remained in charge of the sickbay 'for a short while, perhaps five to six months' and thereafter surrendered to the government); P-0379: <u>T-57</u>, p. 62, line 4 – p. 63, line 16 (providing a detailed account of the escape, which, the witness explained, took part during an operation). *See also* ISO Logbook (Gulu), UGA-OTP-0063-0002, at 0017, 0087.

⁶⁹⁹³ P-0231: <u>T-123</u>, p. 53, lines 6-11.

⁶⁹⁹⁴ D-0134: <u>T-240</u>, p. 30, line 18 – p. 31, line 3.

⁶⁹⁹⁵ D-0134: <u>T-240</u>, p. 71, lines 1-12. *See also* p. 70, line 15 – p. 71, line 5 (explaining the immediate circumstances of his escape).



2627. According to the evidence, other senior LRA members who successfully escaped during the period of the charges include Onen Kamdulu⁷⁰⁰⁷ and Sam Kolo.⁷⁰⁰⁸

⁷⁰⁰⁴ P-0085: T-158-CONF, p. 21, line 3 – p. 22, line 22.

⁷⁰⁰⁵ P-0085: <u>T-158</u>, p. 44, line 23 – p. 45, line 5; T-158-CONF, p. 56, lines 2-7.

⁷⁰⁰⁶ P-0085: T-158-CONF, p. 55, line 5 – p. 57, line 11.

⁷⁰⁰⁷ D-0027: <u>T-202</u>, p. 55, lines 17-22; ISO Logbook (Gulu), UGA-OTP-0152-0002, at 0152. *See also* UPDF Logbook (Gulu), UGA-OTP-0254-1077, at 1171; UPDF Logbook (Achol Pii), UGA-OTP-0242-7500, at 7600-01.

⁷⁰⁰⁸ ISO Logbook (Gulu), UGA-OTP-0152-0002, at 0161-62. *See also* P-0355: <u>T-96</u>, p. 77, lines 19-21; *see also* p. 70, line 24 – p. 71, line 4.

⁷⁰⁰⁹ P-0209: <u>T-160</u>, p. 9, lines 5-6; T-160-CONF, p. 39, lines 7-19.

⁷⁰¹⁰ P-0209: <u>T-160</u>, p. 36, lines 3-25, p. 37, lines 7-9.

⁷⁰¹¹ P-0209: <u>T-160</u>, p. 37, lines 1-6.

- 2629. It is noted that records of intercepted radio communications also indicate that Dominic Ongwen was aware of a risk of being held individually criminally responsible for his actions as LRA commander as early as mid-2004. On 11 August 2004, he is recorded in the ISO logbook as making reference to President Museveni's plan to take captured LRA commanders 'to court for committing crime against humanity'. In the same message, Dominic Ongwen is recorded as stating that he would 'fight until the dying hour and will die in the bush'. It may be added that, the risk of being held individually criminally responsible for one's actions is not relevant under Article 31(1)(d) of the Statute, and that evidence of this motivation for the continued stay in the LRA in fact militates against the suggestion that duress caused the conduct constituting crimes.
- 2630. The Defence points to the testimony of Nathan Iron Emory to argue that it was 'nearly impossible for him [i.e. the witness] to escape'. 7014 Reference to this witness in this context is inapposite, because he was never a member of the LRA, but a 'guest commander' as part of negotiations with various other actors in the conflict. 7015 Nathan Iron Emory's testimony also does not contain any indication that the witness had difficulties in leaving Joseph Kony after meeting with him.
- 2631. The Chamber also notes certain entries in the records of intercepted radio communications which represent further evidence of escapes from the LRA by persons of some status. On 9 September 2002, Lapanyikwara is recorded in the ISO logbook as informing Vincent Otti that one of their senior commanders called Ogwang escaped from his group. On 3 November 2004, an ISO logbook recorded a conversation between Labalpiny and Buk Abudema in which it was reported that a captain named Otim defected with four soldiers. The same logbook also includes an entry, dated 5 December 2004, indicating that a commander called Matata had escaped with five other soldiers.

⁷⁰¹² ISO Logbook (Gulu), UGA-OTP-0062-0145, at 0183.

⁷⁰¹³ ISO Logbook (Gulu), UGA-OTP-0062-0145, at 0183. *See also* UPDF Logbook, UGA-OTP-0255-0451, at 0468-69.

⁷⁰¹⁴ Defence Closing Brief, para. 686.

⁷⁰¹⁵ D-0018: <u>T-185</u>, p. 38, lines 13-15, p. 64, lines 19-24; T-186, p. 8, lines 19-24. *See also* for the context of the witness's stay with the LRA, D-0018: <u>T-185</u>, p. 34, line 15 – p. 35, line 2, p. 41, line 18 – p. 42, line 1. ⁷⁰¹⁶ ISO Logbook (Gulu), UGA-OTP-0064-0093, at 0122.

⁷⁰¹⁷ ISO Logbook (Gulu), UGA-OTP-0152-0002, at 0024. *See also* UPDF Logbook (Gulu), UGA-OTP-0254-3833, at 4079

⁷⁰¹⁸ ISO Logbook (Gulu), UGA-OTP-0152-0002, at 0082. *See also* UPDF Logbook (Gulu), UGA-OTP-0254-1077, at 1084.

2632. The above is the evidence on record in relation to escapes by persons of some status in the LRA. But even beyond that, the Chamber heard dozens of personal escape stories from witnesses who came to testify during the trial, in particular from persons who due to their low hierarchical position in the LRA were under much tighter control than Dominic Ongwen. Just as examples of escapes which took place during the period relevant to the charges, the Chamber notes the following. P-0138 escaped in 2003 with another LRA soldier and some young children

, 7019 and stated that he escaped 'because [he] was not brainwashed anymore'. 7020 P-0018, who had taken part in the attack on Lukodi IDP camp on or about 19 May 2004, escaped the following morning together with a woman who had been abducted from the camp, by simply staying behind at the location where the attackers spent the night and then returning to Lukodi IDP camp. 7021 D-0118 decided to return home with her four-year old child after becoming separated from her group during an attack. 7022 D-0119 successfully escaped in 2004, during her third attempt. 7023 P-0145 escaped in 2005 after 15 years in the LRA, taking with him two small children assigned to him, after having overcome their, and his own, fear that they may be killed upon return. 7024 P-0097, who was at most 15 years old at the time, 7025 escaped in November 2005 by hiding in the field that the unit had been sent to by Dominic Ongwen to loot. 7026 The Chamber further refers specifically to the analysis of evidence above, which shows that also women in Dominic Ongwen's household, including some of his so-called 'wives', successfully escaped from the LRA. 7027

2633. It is in this context that the Chamber reads the ISO logbook entry of 3 August 2004, recording Joseph Kony as stating that the 'rate of defection in LRA has gone too high these days and it needs LRA to remain with strong heart otherwise there is nothing he can do'.7028

⁷⁰¹⁹ P-0138: T-120-CONF, p. 17, lines 5-8, p. 71, line 25 – p. 73, line 1.

⁷⁰²⁰ P-0138: <u>T-120</u>, p. 68, lines 20-22, p. 69, line 24 – p. 70, line 8.

⁷⁰²¹ P-0018: $\overline{\text{T-69}}$, p. 18, line 13 – p. 19, line 2.

⁷⁰²² D-0118: T-216-CONF, p. 41, lines 4-16. 7023 D-0119: T-196-CONF, p. 35, line 7 – p. 45, line 2. 7024 P-0145: <u>T-143</u>, p. 31, line 25 – p. 33, line 11.

⁷⁰²⁵ See section IV.B.2.ii.b.iv above.

⁷⁰²⁶ P-0097: <u>T-108</u>, p. 52, line 7 – p. 55, line 20.

⁷⁰²⁷ See section IV.C.10.iii above.

⁷⁰²⁸ ISO Logbook (Gulu), UGA-OTP-0062-0145, at 0162.

- 2634. There can be no doubt that Dominic Ongwen was aware of escapes occurring in the LRA, including, as explained above, in his proximity. Moreover, the measures aimed at preventing escape that he contributed to maintaining, 7029 are a further indicator of the fact that he knew of the possibility of escaping. Taking into account all the evidence, in particular the above evidence of escapes by many senior commanders, the Chamber also agrees with the submission of the Prosecutor that Dominic Ongwen's high rank and position placed him in a relatively better position to escape, as compared to lower-ranking LRA members. 7030
- 2635. The above evidence leads the Chamber to concluding that escaping from or otherwise leaving the LRA was a realistic option available to Dominic Ongwen at the time of the conduct relevant for the charges, as it was for many others who successfully escaped. The fact that he did not take this option is further indicative that he was not under serious threat when engaging in the conduct relevant for the charges.
- 2636. The parties argued over the right interpretation of the fact that Dominic Ongwen refused to surrender at a meeting with government soldiers in September 2006. ⁷⁰³¹ Joseph Balikudembe testified that during the meeting he asked Dominic Ongwen to surrender to him, and that Dominic Ongwen responded: 'No, no, no, I cannot surrender', ⁷⁰³² and that also the resident district commissioner asked Dominic Ongwen to surrender, and Dominic Ongwen again refused. ⁷⁰³³
- 2637. The Defence has argued that Adjumani and Acaye Doctor were present among the LRA during that meeting, deployed as Joseph Kony's personnel to ensure compliance with Joseph Kony's orders, but since this argument is based entirely on Michael Oryem, whom the Chamber deems to be an unreliable witness, the allegation is not established in the evidence. ⁷⁰³⁴ In addition, Irumba Tingira, a UPDF officer who was also present at the meeting, was asked about this possibility, and testified that Dominic Ongwen was 'fully

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⁷⁰²⁹ See section IV.C.2.ii.e above.

⁷⁰³⁰ See Prosecution Closing Brief, para. 512.

⁷⁰³¹ See <u>Prosecution Closing Brief</u>, para. 513; <u>Defence Closing Brief</u>, paras 717-22. See also paras 2403-2412 above.

⁷⁰³² P-0359: T-109, p. 78, lines 8-16. See also T-109, p. 79, lines 15-22.

⁷⁰³³ P-0359: <u>T-109</u>, p. 83, lines 9-12.

⁷⁰³⁴ <u>Defence Closing Brief</u>, para. 717. *See also* section IV.B.2.ii.b.xxxi above. The Defence also cites, in favour of a similar proposition that Dominic Ongwen was under surveillance, a 2003 document in fact relating to Dominic Ongwen's brief arrest at that time, *see* <u>Defence Closing Brief</u>, para. 718, n. 1189.

in charge of that group' and that he remembered that at one point, he saw Dominic Ongwen give an order to Adjumani, and Adjumani 'really hurried to execute the order'. 7035 The Chamber deems that this detail, coming as an observation from a military officer, is reliable and significant.

2638. Further, the Chamber does not accept the argument of the Defence that at the same time, Vincent Otti was 'closely monitoring safe passage of the LRA convoy', when the evidence pointed to by the Defence in fact only indicates that Vincent Otti, by telephone, pleaded with Joseph Balikudembe to allow the convoy to proceed. 7036 There is nothing in the circumstances of Vincent Otti's intervention which would indicate that the purpose of the call was to put pressure on Dominic Ongwen not to surrender. The Defence argument that Mega FM journalist Lacamber, who passed on the phone, 'subverted the pressure for Mr Ongwen to surrender or release the children by placing a phone call to Vincent Otti' is speculative. 7037

2639. The Chamber also rejects the argument of the Defence that following the ambush and killing of Raska Lukwiya by the UPDF a short time before, 'it could not reasonably be expected for Mr Ongwen to surrender' to the UPDF. 7038 The argument is irrelevant to the question of whether it was possible for Dominic Ongwen to leave the LRA, or more broadly to the question whether he was under threat of death or physical harm if he did not engage in the conduct underlying the charges. Equally irrelevant to the issue of duress is the Defence argument – which is speculative in nature – that Dominic Ongwen could not have been expected to contribute through his escape to the collapse of peace talks between the Ugandan government and the LRA. 7039

2640. Accordingly, the Chamber concludes that Dominic Ongwen's refusal to surrender in September 2006, although outside of the period of the charges, provides certain further basis to conclude that he was, during the time of his conduct relevant for the charges, not under threat of death or physical harm.

⁷⁰³⁵ P-0189: <u>T-96</u>, p. 45, line 14 – p. 46, line 9.

⁷⁰³⁶ P-0359: T-109, p. 80, lines 6-12. *See* Defence Closing Brief, paras 719-21. ⁷⁰³⁷ *See* Defence Closing Brief, para. 719.

⁷⁰³⁸ Defence Closing Brief, para. 722.

⁷⁰³⁹ Defence Closing Statement: <u>T-258</u>, p. 11, line 18 – p. 12, line 17.

- 2641. The evidence of Dominic Ongwen's refusal to surrender during this meeting with government soldiers must also be considered together with the evidence of P-0172, who stated that following his own successful escape from the LRA, at the time of the peace talks around 2006, he spoke with Dominic Ongwen on the phone for about an hour, 'explaining to him the benefit, the advantages of ICC, also the bad side of the people who were telling him not to return'. 7040 P-0172 stated that he used himself as an example, and also: '[O]n the issue of ICC, I told him that ICC is not bad. We told him that when you go to ICC you will not be killed.'7041
- 2642. The Defence has further made the argument that '[i]mminent threats were also real and constant to the families and communities of the abductees, including Dominic'. 7042 The argument relates to the general threat made to LRA members that their home areas would be attacked if they escaped. This topic is analysed exhaustively above, where the Chamber concluded that such threats were made and were widely known, but that punitive attacks on escapees' home areas occurred mostly before the relevant period, and during the relevant time only in one instance, which, however, presented characteristics which made it distinguishable from punishment for escape, in particular the fact that the person who escaped did so after stealing a weapon and opening fire on the LRA. 7043 On this basis, and also in light of all the evidence discussed above, as well as noting the complete absence of any evidence to the effect that the matter played a role for Dominic Ongwen, the Chamber does not consider that the possibility of collective punishment was a factor contributing to a threat relevant under Article 31(1)(d) of the Statute.

v. Joseph Kony's alleged spiritual powers

2643. The Defence submitted that 'Kony's use of spiritualism cemented the threat to Mr Ongwen's life and that of others'. The fact that Joseph Kony acted also as a spiritual leader, building on Acholi traditions, is uncontroversial and well-attested in the evidence. In this context, the Defence submits that 'children like Mr Ongwen had to

⁷⁰⁴⁰ P-0172: <u>T-113</u>, p. 34, line 15 – p. 36, line 10.

⁷⁰⁴¹ P-0172: <u>T-113</u>, p. 36, lines 6-10.

^{7042 &}lt;u>Defence Closing Brief</u>, para. 690.

⁷⁰⁴³ See para. 998 above.

^{7044 &}lt;u>Defence Closing Brief</u>, paras 692-93. See also <u>Defence Closing Brief</u>, paras 24-29.

⁷⁰⁴⁵ See, for example, P-0264: <u>T-65</u>, p. 73, lines 8-10; P-0144: <u>T-92</u>, p. 22, line 24 – p. 23, line 5; P-0045: <u>T-104</u>, p. 41, lines 24-25; P-0233: <u>T-112</u>, p. 9, lines 2-9; D-0079: <u>T-189</u>, p. 16, lines 8-12. See also <u>Defence Closing Brief</u>, para. 710.

adhere to the LRA's moral order, which within the environment of the LRA exposed one to violent acts'. 7046

- 2644. During the trial, the Chamber heard a number of personal accounts by former LRA members who were questioned about the effect of LRA spiritualism on them. This evidence informs the Chamber's view on whether spiritualism was in some way used to create or sustain a threat relevant under Article 31(1)(d) of the Statute.
- 2645. Whereas there is evidence that some persons did believe in the spiritual powers of Joseph Kony, ⁷⁰⁴⁷ the Chamber observes that there is consistent evidence that for many persons who stayed in the LRA longer their belief followed a pattern: it was stronger in the young, new and impressionable abductees and then subsided and disappeared in those who stayed in the LRA longer.
- 2646. This trajectory was explained very clearly by P-0231, who stated that when he first saw Joseph Kony in 1995, he believed Joseph Kony was possessed by a spirit. However, the witness explained that when he was leaving the bush he was losing trust in what he heard and saw in the bush, and that he 'did not believe so much that the spirits really possessed [Joseph Kony]'. P-0231 also stated:

In regards to the spirits, when I had just arrived in the bush, when I was still young, I believed so much that the spirits were the ones that were protecting us against anything.

Later on, when I grew up and I became aware, I started realising that it was not that thing that was protecting me. I started believing that my own survival skill made

⁷⁰⁴⁶ Defence Closing Brief, para. 712.

⁷⁰⁴⁷ P-0142: T-72, p. 20, lines 17-22 (stating that it was difficult for him to understand but that 'from what [he] could see and what [Joseph Kony] would say' he could believe that 'maybe [Joseph Kony] has some spirit'); P-0233: T-112, p. 8, line 24 – p. 10, line 7 (indicating that the witness believed and continues to believe in Joseph Kony's spiritual powers); D-0024: T-192, p. 15, lines 5-9 (testifying that he did believe that Joseph Kony possessed supernatural powers); D-0027: T-202, p. 14, line 24 – p. 15, line 10 (testifying that he believed in the power of spirits in the LRA, and purporting, when asked whether others had the same feelings about the rituals, that 'anybody who was a member of the LRA had the same kind of belief that I did'; an affirmation proved false by the evidence cited in the following paragraphs); D-0049: T-243, p. 22, lines 7-23 (testifying that while she was in the LRA, she believed that Joseph Kony had spiritual powers, and mentioned that interactions with people she found in the bush contributed to her belief); D-0056: T-228, p. 44, line 25 – p. 45, line 4 (stating that while in the bush, he 'did have some kind of belief, but not a hundred per cent belief'); D-0074: T-187, p. 15, line 25 – p. 16, line 9; T-188, p. 19, lines 9-15 (testifying that he believed in Joseph Kony's spirits and that '[e]verybody [within the LRA] believed').

⁷⁰⁴⁸ P-0231: <u>T-123</u>, p. 32, line 25 – p. 33, line 2.

⁷⁰⁴⁹ P-0231: <u>T-123</u>, p. 33, lines 10-14.

me to survive from whatever was happening in the bush. That was according to me.

I started realising that whatever Kony says, that this and that should be done, he first mentions so that you will follow what he wants. I realised later that because I was still young, it was what Kony used to brainwash you so that you can believe.

When I matured up, I became aware and knowledgeable in many of the things that were happening. I realised that even if I'm not told, I'm supposed to protect myself because I am already exposed to danger. No one can ensure I am safe. I should ensure that I safeguard myself so that I don't die. 7050

2647. Very similarly to P-0231, also P-0379 testified:

That's what we are told. We are told, we are told about these things. But later on I, I became wiser and I decided that the use of things like the holy spirit is done to brainwash the younger children so that they do not escape. But at the time when it happened to me I believed it and I thought I had to comply and obey. But then when I realised that there were some people who were able to escape and not be apprehended, then I started doubting it because I knew that, that the holy spirit that they were talking about wasn't actually effective. 7051

2648.P-0070, a witness with a long personal experience in the LRA, including in higher hierarchical positions, testified that while he was still young, the way Joseph Kony would speak and seeing the strength of the LRA at the time made him believe in Joseph Kony's spiritual message, but that 'later on [he] realised that it was different'. 7052

2649.P-0145, asked about Joseph Kony's predictions about the future, affirmed that he 'actually [did] not believe anything like that'. When asked about Joseph Kony's alleged ability to know about LRA members' escape or defection plans in advance, he reacted as follows:

Well, he would say that he knew, he knew what people were thinking, he knew when people wanted to escape, but I believe he was just guessing because there are some times when he would kill somebody for no apparent reason if – regardless of whether the person wants to escape or not. So if he knew, if he actually knew people's thoughts, if he knew whether people attempted to escape or not, don't you think he would have called me and asked me as well at the time that I was planning to escape?⁷⁰⁵⁴

⁷⁰⁵⁰ P-0231: <u>T-123</u>, p. 84, line 18 – p. 85, line 5.

 $^{^{7051}}$ P-0379: $\overline{\text{T-59}}$, p. 81, lines 4-12. The witness stated that he spent about eight months in the bush at the age of about 14 years old, P-0379: $\overline{\text{T-59}}$, p. 81, lines 13-18.

⁷⁰⁵² P-0070: T-107, p. 19, lines 9-22.

⁷⁰⁵³ P-0145: <u>T-143</u>, p. 58, lines 11-17.

⁷⁰⁵⁴ P-0145: <u>T-143</u>, p. 58, line 18 – p. 59, line 2.

2650.P-0205's response to the question whether he believed that spirits spoke through Joseph Kony was: 'If I did believe, if I did believe strongly in the spirits, I would not have escaped. No, I did not believe in the spirits because I cannot confirm some of this stuff.' He further explained:

We would follow, we would follow this because those were the rules, not because of belief, but we would follow it because of the rules. But whether or not you believe it you have to follow it. I did not believe it, but I followed it. ⁷⁰⁵⁶

2651.P-0209 also expressed scepticism about the power of Joseph Kony to predict future events:

Yes, I heard. But basing on what I know, it's possible that there were spirits. But I think he could have received information from somewhere else, then he comes and then disguised that he received information from the spirits when he's talking. 7057

- 2652.P-0209 went on to affirm that he did not believe in Joseph Kony's spirits, even though he did accept, based on Acholi traditional culture, that Joseph Kony could have been a chief and possessed some capacity for that reason. He stated that, based on his personal observation, Joseph Kony used to act in a certain manner 'so that people do not leave him'. Total
- 2653. Simon Tabo also testified that when he was abducted, he believed what Joseph Kony and others told them about the spirits, but that later, as he got older, he started to question those beliefs. ⁷⁰⁶⁰

2654. Kenneth Banya testified:

In the beginning when we even saw what was happening, we believed in it. But when we started seeing him ordering all kinds of bad things we did not accept it anymore. But then... uh... you had to be very careful because if he knew that you did not believe or agree with him, you could be in trouble.⁷⁰⁶¹

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<sup>7055</sup> P-0205: <u>T-49</u>, p. 20, line 22 – p. 21, line 2.
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⁷⁰⁵⁶ P-0205: <u>T-49</u>, p. 21, lines 5-9.

⁷⁰⁵⁷ P-0209: T-161, p. 52, lines 9-16.

⁷⁰⁵⁸ P-0209: <u>T-161</u>, p. 53, line 12 – p. 54, line 7.

⁷⁰⁵⁹ P-0209: T-161, p. 54, lines 18-21.

⁷⁰⁶⁰ D-0034 Statement, UGA-D26-0022-0385, at para. 16.

⁷⁰⁶¹ P-0028 Interview Transcript, UGA-OTP-0217-0125-R01, at 0131, lines 202-05.

- 2655. Charles Lokwiya stated that the gatherings about spirituality did not have an effect on him, but that there were, still at the time of his testimony, people in the bush who did believe that 'Kony has a spirit'. ⁷⁰⁶²
- 2656. Joseph Okilan made a similar point when asked if he believed in Joseph Kony's spirits, stating that 'sometimes, as a human being, you can actually believe that probably this man's spirits worked', but that he, as somebody who has knowledge, did not believe. ⁷⁰⁶³

2657. The Chamber also notes the explanation put forward by D-0092:

Once you are there in the bush, whether you like it or not, you have to believe because that is what they say that the spirits have said. There was one day that I was trying to ask myself because I didn't know anything about the spirit, so I asked a colleague, that what does the spirit talk about? What does the spirit say? Then he warned me that do not ever open your mouth again to say anything about the spirit. And I immediately kept quiet. I promised myself never to ask anything about the spirits. ⁷⁰⁶⁴

2658. All of this evidence leads the Chamber to the conclusion that LRA members with some experience in the organisation did not generally believe that Joseph Kony possessed spiritual powers. There is also no evidence indicating that the belief in Joseph Kony's spiritual powers played a role for Dominic Ongwen, and in fact the evidence of Dominic Ongwen defying Joseph Kony, discussed above, speaks clearly against any such influence. The Chamber therefore does not discern in the issue of LRA spirituality a factor contributing to a threat relevant under Article 31(1)(d) of the Statute.

vi. Dominic Ongwen's personal loyalty to Joseph Kony and his career advancement

2659. The evidence of Dominic Ongwen's performance during the period relevant to the charges is another important factor which the Chamber takes into account and which militates against a finding that, at the time of his conduct relevant for the charges, Dominic Ongwen was under threat of being killed or suffering serious bodily harm. This is because performance under threat is not reasonably compatible with the performance and results obtained by Dominic Ongwen as an LRA commander during the relevant time period.

⁷⁰⁶² D-0134: <u>T-240</u>, p. 36, line 8 – p. 37, line 25.

⁷⁰⁶³ D-0019: <u>T-236</u>, p. 21, line 20 – p. 21, line 6.

 $^{^{7064}}$ D-0092: $\frac{1}{1}$ T-208, p. 41, line 24 – p. 42, line 7.

2660. On 27 September 2003, an ISO logbook recorded Joseph Kony instructing that a 'serious warning' be given to Lapanyikwara for the 'mess he has done which made up to 14 recruits and soldiers to escape and also some soldiers killed', and stating that 'Lapanyikwara should know that he can be demoted anytime if he repeats it'. ⁷⁰⁶⁵ According to the same entry in the ISO logbook, Joseph Kony then 'praised Dominic so much for his hard work he is doing'. ⁷⁰⁶⁶ Then, Joseph Kony blamed 'Pokot and the entire Sinia Bde under Abudema that they are so weak and almost all the lo[s]ses LRA are incurring normally comes f[ro]m Sinia Bde', and 'warned Abudema to be careful if not BC of Sinia will be appointed another person'. ⁷⁰⁶⁷ This message, transmitted at a crucial time for many of the charges in the case, shows both that Dominic Ongwen's performance was highly valued by Joseph Kony, and also that poorer performance did not lead to more than threat of demotion, as already discussed above. The Chamber also notes that Joseph Kony again praised Dominic Ongwen for having 'good plans' shortly before the attack on Pajule IDP camp, ⁷⁰⁶⁸ and eventually appointed Dominic Ongwen as Sinia brigade commander on 5 March 2004. ⁷⁰⁶⁹

2661.On 12 February 2004, Joseph Kony, in a radio message recorded in the ISO logbook, singled out Dominic Ongwen as an example, stating that what Dominic Ongwen did was 'good' because he 'caused a lot of havoc before he was hit by g[un]ships and mobiles in Koch Ongako'.⁷⁰⁷⁰ The Chamber also makes reference to the radio communication after the attack on Odek IDP camp, analysed above, wherein Joseph Kony specifically praised Dominic Ongwen's performance, stating, *inter alia*, 'This guy has pleased me very much.'⁷⁰⁷¹

⁷⁰⁶⁵ ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0477.

⁷⁰⁶⁶ ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0477. The Chamber notes that several other corresponding logbooks which recorded this communication, do not include mention of Joseph Kony praising Dominic Ongwen, see UGA-OTP-0254-0725, at 1024-26; UPDF Logbook (Achol Pii), UGA-OTP-0242-6018, at 6147; UPDF Logbook (Soroti), UGA-OTP-0254-1991, at 2052-53. Noting the Chamber's general considerations on the use of logbooks (see para. 666 above), and noting that these logbooks do not contain any indication that would bring in doubt the ISO's interpretation of the recording, the Chamber concludes that the ISO logbook is reliable on this point, even if it was the only logbook noting Joseph Kony's praise for Dominic Ongwen.

⁷⁰⁶⁷ ISO Logbook (Gulu), UGA-OTP-0232-0234, at 0477.

⁷⁰⁶⁸ See section IV.C.6.ii.a above.

⁷⁰⁶⁹ See paras 1075-1077 above.

⁷⁰⁷⁰ ISO Logbook (Gulu), UGA-OTP-0061-0002, at 0069.

⁷⁰⁷¹ See section IV.C.7.vii above.

- 2662. The Chamber also refers to its analysis of the evidence of Dominic Ongwen's report on 24 May 2004 after the attack on Lukodi IDP camp, in particular to the fact that the intercept evidence reveals that Dominic Ongwen's report was met with approval. 7072
- 2663.On 18 July 2004, an ISO logbook recorded Vincent Otti stating that 'Dominic is working very well', to which Joseph Kony commented that 'Dominic always first of all plan[s] before moving to attack and that is why he is performing very well'. ⁷⁰⁷³
- 2664. While noting P-0070's general evidence that '[i]f Kony knew that you were having a different mind and to motivate you, what he would do, he would just give you a promotion', 7074 the Chamber notes that the above evidence demonstrates a clear link between Dominic Ongwen's actions on the ground, including the commission of charged crimes, and the praise received from Joseph Kony. There is also a temporal overlap with some of the promotions conferred on Dominic Ongwen by Joseph Kony. 7075
- 2665. In addition, the Chamber notes the findings made above in relation to Dominic Ongwen's conduct relevant for the charges. Those findings, and the evidence underlying them, indicate a commander in control of his unit, directing its organisation and its actions according to his own planning. Whereas some of Dominic Ongwen's conduct in relation to the crimes was undertaken directly upon orders originating from Joseph Kony, much of his relevant conduct resulted instead from his own initiative. This is the case entirely with the attacks on Lukodi and Abok IDP camps, which were conceived and set in motion by Dominic Ongwen completely independently. Such actions are, in the view of the Chamber, entirely incompatible with a commander in fear for his life or similar, and as such militate against a finding that there existed a threat to Dominic Ongwen.

⁷⁰⁷² See section IV.C.8.v above.

⁷⁰⁷³ ISO Logbook (Gulu), UGA-OTP-0062-0002, at 0111.

⁷⁰⁷⁴ P-0070: <u>T-107</u>, p. 14, lines 3-8.

⁷⁰⁷⁵ See section IV.C.3 above.

⁷⁰⁷⁶ See section IV.C above.

⁷⁰⁷⁷ See also paras 866-873 above.

⁷⁰⁷⁸ See sections IV.C.8.ii, IV.C.9.iii above.

vii. Crimes committed in private

2666. During the closing statements, the Prosecution made the following argument, which relates to the portion of the charges concerning direct perpetration of sexual and gender-based violence by Dominic Ongwen:

They want to persuade your Honours that after having caused these young girls to be beaten into submission and then having brought them to the privacy of his tent, it would have been impossible on the pain of death for him to have said quietly to them, 'Actually, I am not so wicked and monstrous as to rape a young girl like you. I have only done this to satisfy Joseph Kony. But if you lie here quiet and safe, we can pretend in the morning that we had sex.' He didn't do that.⁷⁰⁷⁹

2667. The Chamber finds this argument persuasive. As found above in the relevant section, the conduct underlying the crimes charged under counts 50-60 includes to a large extent conduct performed in the relative privacy of Dominic Ongwen's household, or even in complete privacy of his sleeping place. The fact that Dominic Ongwen engaged in this conduct, when, had he not, it would have been relatively easy to hide that fact, further indicates that his actions were not caused by threat. Even though this argument specifically relates only to one section of the charges, it also has persuasive force for the Chamber's broader conclusion.

viii. Conclusion

2668. It transpires from the above that there is no basis in the evidence to hold that Dominic Ongwen was subjected to a threat of imminent death or imminent or continuing serious bodily harm to himself or another person at the time of his conduct underlying the charged crimes. In fact, based on the above, the Chamber finds that Dominic Ongwen was not in a situation of complete subordination vis-à-vis Joseph Kony, but frequently acted independently and even contested orders received from Joseph Kony. The evidence indicates that in the period of the charges, Dominic Ongwen did not face any prospective punishment by death or serious bodily harm when he disobeyed Joseph Kony. Dominic Ongwen also had a realistic possibility of leaving the LRA, which he did not pursue. Rather, he rose in rank and position, including during the period of the charges. Finally,

⁷⁰⁸⁰ See section IV.C.10 above.

⁷⁰⁷⁹ Prosecution Closing Statement: T-256, p. 19, lines 12-17. See also Prosecution Closing Brief, para. 518.

he committed some of the charged crimes in private, in circumstances where any threats otherwise made to him could have no effect.

- 2669. Based on a thorough analysis of the evidence, the Chamber finds that Dominic Ongwen was not under threat of death or serious bodily harm to himself or another person when engaging in conduct underlying the charged crimes. It is therefore not possible to further discuss specifically the imminence of the threatened harm, in the sense that it would follow, without delay, Dominic Ongwen's failure to perform as required by the source of the threat. It is also conceptually not possible to discuss the other requirements of Article 31(1)(d) of the Statute, namely the necessity and reasonableness of the act undertaken to avoid the threat, and the requirement that the person did not intend to cause a greater harm than the one sought to be avoided.
- 2670. The actions which Dominic Ongwen took and which underlie the crimes charged and found in this judgment were, within the meaning of Article 31(1)(d), free of threat of imminent death or imminent or continuing serious bodily harm. Duress as a ground excluding criminal responsibility under Article 31(1)(d) of the Statute is therefore not applicable.
- 2671. In light of the above analysis and conclusions, the Chamber also considers that no further specific consideration is needed to address the Defence argument that Dominic Ongwen should be acquitted as a result of a 'cumulative effect from the combination of lack of capacity to appreciate the unlawfulness of the conduct or to conform to the conduct to the law and the extensive threats and coercion under which Mr Ongwen lived and acted'. ⁷⁰⁸¹ On the one hand, it may be observed that the two grounds for excluding criminal responsibility cannot coexist even in the abstract, given that one is premised on a destruction of the person's capacity to appreciate the unlawfulness or nature of his or her conduct, or capacity to control his or her conduct to conform to the requirements of the law, and the other on a conscious choice to engage in conduct which constitutes a crime based on an evaluation of the harm that is caused. On the other hand, the Chamber recognises that similar discussion of facts and evidence partly underlies the analysis of both grounds excluding criminal responsibility discussed in the present case, and to the

⁷⁰⁸¹ Defence Closing Brief, para. 729.

extent that the Defence in fact aimed to make this point, the Chamber is confident that all relevant considerations have been made under each heading.

2672. In addition to specific arguments made under Article 31 of the Statute, the Defence also made some legally unspecified submissions emphasising that Dominic Ongwen was himself a victim of crimes, on account of his abduction at a young age by the LRA. 7082 The Chamber has duly considered above the facts underlying these submissions. In addition, and while acknowledging that indeed Dominic Ongwen had been abducted at a young age by the LRA, 7083 the Chamber notes that Dominic Ongwen committed the relevant crimes when he was an adult and, importantly, that, in any case, the fact of having been (or being) a victim of a crime does not constitute, in and of itself, a justification of any sort for the commission of similar or other crimes – beyond the potential relevance of the underlying facts to the grounds excluding criminal responsibility expressly regulated under the Statute. The separate and more specific Defence assertion that 'Article 21(3) prohibits charging a victim of a crime with the same crime' is equally without merit: a rule that would immunize persons who suffer human rights violations from responsibility for all similar human rights violations that they may themselves commit thereafter manifestly does not exist in international human rights law. ⁷⁰⁸⁴ Finally, the Chamber notes that during its oral closing submissions the Defence referred to the notion of superior orders, including specifically to Article 33 of the Statute. 7085 but that – by its very terms – this argument is placed by the Defence within the discussion of the applicability of duress as a ground excluding criminal responsibility under Article 31(d) of the Statute, which the Chamber addressed above.

⁷⁰⁸³ See paras 27-30 above.

Pose Defence Closing Brief, paras 11-21; Defence Closing Statement: <u>T-258</u>, p. 5, lines 13-19, p. 27, lines 5-20. See also Defence Closing Brief, paras 6, 487-488, 494-496, 715. The Prosecution responded during the closing hearings, see Prosecution Closing Statement: <u>T-256</u>, p. 12, line 21 – p. 14, line 15.

⁷⁰⁸⁴ See Defence Closing Brief, paras 494-496.

⁷⁰⁸⁵ Defence Closing Statement: <u>T-258</u>, p. 78, line 6 – p. 82, line 14, p. 92, lines 7-8.

V. LEGAL FINDINGS

A. Applicable law

- 1. Crimes against humanity and war crimes
 - i. Contextual elements
 - a. Crimes against humanity
- 2673. The chapeau of Article 7(1) of the Statute, which sets out the contextual elements of crimes against humanity, reads: '[f]or the purpose of this Statute, "crime against humanity" means any of the following acts when committed as part of a widespread or systematic attack directed against any civilian population, with knowledge of the attack'. The provision is complemented by Article 7(2)(a) of the Statute which stipulates: "[a]ttack directed against any civilian population" means a course of conduct involving the multiple commission of acts referred to in paragraph 1 against any civilian population, pursuant to or in furtherance of a State or organizational policy to commit such attack'.

i Attack against any civilian population

- 2674. An 'attack' in this context means a 'course of conduct involving the multiple commission of acts referred to in [Article 7(1)]'.⁷⁰⁸⁶ The requirement that the acts form part of a 'course of conduct' indicates that Article 7 is meant to cover a series or overall flow of events, as opposed to a mere aggregate of random or isolated acts.⁷⁰⁸⁷ The 'multiple commission of acts' sets a quantitative threshold involving a certain number of acts falling within the course of conduct.⁷⁰⁸⁸
- 2675. The course of conduct must be 'directed against any civilian population', namely a collective, as opposed to individual civilians. The civilian population must be the primary target of the attack and not an incidental victim of it. The presence within a civilian population of individuals who do not fall under the definition of 'civilians' does not deprive the population of its civilian character. Further, and although the attack must be directed against a civilian population, there is no requirement that the individual

⁷⁰⁸⁶ Article 7(2)(a) of the Statute.

⁷⁰⁸⁷ Ntaganda Trial Judgment, para. 662.

^{7088 &}lt;u>Ntaganda Trial Judgment</u>, para. 663.

⁷⁰⁸⁹ Ntaganda Trial Judgment, para. 668; Katanga Trial Judgment, para. 1104.

⁷⁰⁹⁰ Ntaganda Trial Judgment, para. 668; Katanga Trial Judgment, para. 1105.

victims of crimes be civilians; they need only be 'persons' under the Elements of Crimes.⁷⁰⁹¹ In any case, the Chamber notes that there also must be a sufficient nexus to an attack against a 'civilian' population.

ii Organisational policy

2676. The 'course of conduct involving the multiple commission of acts' must take place 'pursuant to or in furtherance of a State or organizational policy to commit such attack' within the meaning of Article 7(2)(a) of the Statute. The Elements of Crimes specify that the "policy to commit such attack" requires that the [...] organization actively promote[s] or encourage[s] such an attack against a civilian population'. Togal For the purposes of this judgment, only the 'organisational policy' prong is relevant.

2677. As regards the 'organisation' pursuing the policy, the Chamber adheres to the definition established by Trial Chamber II:

In the Chamber's view, the connection of the term "organisation" to the very existence of the attack and not to its systematic or widespread nature presupposes that the organisation has sufficient resources, means and capacity to bring about the course of conduct or the operation involving the multiple commission of acts referred to in article 7(2)(a) of the Statute. It therefore suffices that the organisation [has] a set of structures or mechanisms, whatever those may be, that are sufficiently efficient to ensure the coordination necessary to carry out an attack directed against a civilian population. ⁷⁰⁹³

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⁷⁰⁹¹ Ntaganda Trial Judgment, para. 669. Victims are described as 'person' or 'persons' across all Article 7(1) crimes except for forced pregnancy (refers to 'women') and the residual 'other inhumane acts' (which only speaks generally of inflicting 'great suffering, or serious injury to body or to mental or physical health [...]'). No further status requirement is specified. In contrast, see the elements for the crimes under Article 8(2)(a) of the Statute (specifically requiring that the victim was 'protected under one or more of the Geneva Conventions of 1949'). See also ICTY, Appeals Chamber, Prosecutor v. Mile Mrkšić & Veselin Šljivančanin, Judgement, 5 May 2009, IT-95-13/1-A, para. 32; ICTY, Appeals Chamber, Prosecutor v. Dragomir Milošević, Judgement, 12 November 2009, IT-98-29/1-A, paras 58, 96; United States Military Tribunal, The High Command Case, Trials of War Criminals Before the Nuremberg Military Tribunals, 1949, Vol. XI, pp. 675, 679 (convicting General Walter Warlimont for significantly contributing to the illegal plan to lynch Allied flyers; this plan is described as a crime against humanity).

⁷⁰⁹² Elements of Crimes, Introduction to Article 7, para. 3. *See also* footnote 6 of the same paragraph. ⁷⁰⁹³ *Katanga* Trial Judgment, para. 1119.

- 2678. As the terms 'pursuant to or in furtherance of' imply, the policy requirement ensures that the multiple acts forming the course of conduct are linked. It ensures that acts which are unrelated or perpetrated by individuals acting randomly on their own are excluded. 7094
- 2679. A policy may consist of a pre-established design or plan, but it may also crystallise and develop only as actions are undertaken by the perpetrators. 7095 The 'policy' may be inferred from a variety of factors, such as: (i) a recurrent pattern of violence; (ii) the existence of preparations or collective mobilisation orchestrated and coordinated by the organisation; (iii) the use of public or private resources to further the policy; (iv) the involvement of organisational forces in the commission of crimes; (v) statements, instructions or documentation attributable to the organisation condoning or encouraging the commission of crimes; and (vi) an underlying motivation. ⁷⁰⁹⁶ In principle, a state or organisation committing a systematic attack against a civilian population will satisfy the policy requirement. 7097

iii Widespread or systematic attack

- 2680. The alternative qualifiers of 'widespread' or 'systematic' serve to characterise the 'attack' itself.
- 2681. The term 'widespread' connotes the large-scale nature of the attack and the number of targeted persons. 7098 The assessment of whether the attack is widespread is neither exclusively quantitative nor geographical, but must be carried out on the basis of all the relevant facts of the case. 7099
- 2682. The term 'systematic' reflects the organised nature of the violent acts, referring often to the existence of 'patterns of crimes' and the improbability of their random or accidental occurrence.7100

⁷⁰⁹⁴ Similarly Trial Chamber III, The Prosecutor v. Jean-Pierre Bemba Gombo, Judgment pursuant to Article 74 of the Statute, 21 March 2016, ICC-01/05-01/08-3343 (with public annexes I, II and A to F, hereinafter: 'Bemba Trial Judgment'), para. 161.

^{7095 &}lt;u>Ntaganda Trial Judgment</u>, para. 674; <u>Katanga Trial Judgment</u>, para. 1110. 7096 <u>Similarly Ntaganda Trial Judgment</u>, para. 674; <u>Katanga Trial Judgment</u>, paras 1108-10.

⁷⁰⁹⁷ Katanga Trial Judgment, paras 1111-13.

⁷⁰⁹⁸ See <u>Ntaganda Trial Judgment</u>, para. 691; <u>Katanga Trial Judgment</u>, para. 1123.

⁷⁰⁹⁹ Ntaganda Trial Judgment, para. 691.

⁷¹⁰⁰ Ntaganda Trial Judgment, para. 692; Katanga Trial Judgment, para. 1123.

- War crimes armed conflict not of an international character
- 2683. The war crimes charged in this case exclusively concern a non-international armed conflict. 7101 An armed conflict not of an international character exists when there is protracted armed violence between governmental authorities and organised armed groups or between such groups within a State. 7102 Such a conflict exceeds situations of internal disturbances and tensions, such as riots, isolated and sporadic acts of violence or other acts of similar nature. 7103
- 2684. When evaluating the intensity of the conflict to determine its 'protracted' character, chambers consider factors such as: (i) the seriousness and frequency of attacks and armed clashes; (ii) the spread of clashes over territory and the group's ability to control territory over a period of time; (iii) whether any ceasefire orders had been issued or agreed to; (iv) the type and number of armed forces deployed, including any involvement of the government; (v) the type of weapons used; (vi) whether the situation had attracted the attention of the UN Security Council, or involvement of other international organisations; (vii) whether those fighting considered themselves bound by international humanitarian law and (viii) the effects of the violence on the civilian population, including the extent to which civilians left the relevant area, the extent of destruction, and the number of persons killed. 7104 Exercise of control over a part of the territory is not required, though the importance of this factor increases in the absence of active hostilities. 7105 It is also not required that the violence be continuous and uninterrupted – the Chamber need only find that the violence goes beyond isolated or sporadic acts. 7106
- 2685. When evaluating whether an entity qualifies as an 'organised armed group', chambers consider factors such as: (i) the existence of a command structure, the existence of headquarters, the issuing of political statements, and the use of official spokespersons; (ii) the military (operational) capacity of the armed group, which may be shown by, for example, the ability to define a unified military strategy, the use of military tactics, the

⁷¹⁰¹ Articles 8(2)(c)(i)-(ii) and 8(2)(e)(i), (v), (vi), (vii) and (xii) of the Statute.

⁷¹⁰² Article 8(2)(f) of the Statute; Ntaganda Trial Judgment, paras 701-03; Trial Chamber VIII, The Prosecutor v. Ahmad Al Faqui Al Mahdi, Judgment and Sentence, 27 September 2016, ICC-01/12-01/15-171, para. 17; Katanga Trial Judgment, paras 1183-87; <u>Lubanga Trial Judgment</u>, paras 531-38.

7103 Article 8(2)(d) and (f) of the Statute.

⁷¹⁰⁴ See Ntaganda Trial Judgment, paras 716-17; <u>Lubanga Trial Judgment</u>, para. 538; <u>Katanga Trial Judgment</u>, para. 1187.

⁷¹⁰⁵ Ntaganda Trial Judgment, para. 717.

⁷¹⁰⁶ Bemba Trial Judgment, para. 140.

ability to carry out (large scale or coordinated) operations, the control of territory, and having a territorial division into zones of responsibility; (iii) the logistical capacity of the armed group, indicated, among others, by the existence of a supply chain for military equipment, as well as by the group's ability to move troops around and to recruit and train personnel; (iv) the existence of an internal disciplinary system and the ability to implement international humanitarian law; and (v) the group's ability to speak with one voice, indicated, for example, by the capacity of the leadership to act on behalf of its members in political negotiations and to conclude agreements, such as cease-fire or peace agreements.⁷¹⁰⁷

2686. A situation that starts out as a non-international armed conflict may become international, if another State intervenes in the conflict directly (through its troops) or indirectly (when some of the groups involved act on behalf of that other State). The intervention must be to assist a non-State armed group that is engaged in an armed conflict with the government of a State. In case of an intervention by a third State in support of an armed group fighting on the government's side or with the consent of the host State, the conflict remains non-international. The state is supported as the conflict remains non-international.

2687. To assess whether an international armed conflict exists as a result of such indirect participation of a State, the Chamber must appraise the degree of control exerted by that State over the armed group in question. In doing so, the Chamber will rely on the 'overall control' test, whereby it must be established that the State had 'a role in organising, coordinating or planning the military actions of the military group, in addition to financing, training and equipping or providing operational support to that group'. 7110 An international armed conflict therefore exists if a degree of control is exercised by the intervening third State over a non-State actor fighting in opposition to, or without the consent of, the government, that goes beyond the mere financing and equipping of the

 ^{7107 &}lt;u>Ntaganda Trial Judgment</u>, para. 704; <u>Lubanga Trial Judgment</u>, para. 537; <u>Katanga Trial Judgment</u>, para. 1186.
 7108 <u>Ntaganda Trial Judgment</u>, para. 726; <u>Katanga Trial Judgment</u>, para. 1178; <u>Lubanga Trial Judgment</u>, paras

^{541-42. 7109} *Ntaganda* Trial Judgment, para. 726.

Ntaganda Trial Judgment, para. 727; Katanga Trial Judgment, para. 1178; Lubanga Trial Judgment, para. 541; ICTY, Appeals Chamber, Prosecutor v. Duško Tadić, Judgment, 15 July 1999, IT-94-1-A, paras 115-45. This is the dominant test used in international criminal tribunals, but for a different approach see ICJ, Military and Paramilitary Activities in and against Nicaragua (Nicaragua v. United States of America), Judgment (Merits), 27 June 1986, para. 115 ('effective control' test).

armed group. It does not require, however, that such control extends to the issuance of specific orders or instructions relating to single military actions.⁷¹¹¹

c. Nexus requirement and perpetrators' awareness

- 2688. Crimes against humanity must have been committed as part of a widespread or systematic attack directed against a civilian population.⁷¹¹² When assessing such a nexus, due regard must be given to the characteristics, aims, nature and consequences of the acts concerned.⁷¹¹³
- 2689. The war crimes charged in the present case require, as their contextual elements, that the relevant took place in the context of and was associated with an armed conflict not of an international character. The perpetrator's conduct need not take place as part of hostilities, meaning that the nexus requirement could still be satisfied for crimes temporally or geographically remote from the actual fighting. What is required is a sufficiently close link to the hostilities the Chamber may take into account, amongst other factors, the status of the perpetrator and the victim, whether the act may be said to serve the ultimate goal of a military campaign, and whether the crime is committed as part of, or in the context of, the perpetrator's official duties.
- 2690. These nexus requirements find their subjective equivalent in the requisite knowledge or awareness of the perpetrator.
- 2691. In the case of a crime against humanity, the perpetrator must know that the conduct was part of or intended the conduct to be part of a widespread or systematic attack directed against a civilian population. However, it is not necessary that the perpetrator be aware of the precise details of the plan or policy of the State or organisation.⁷¹¹⁷

⁷¹¹¹ Ntaganda Trial Judgment, para. 727.

⁷¹¹² See the chapeau of Article 7(1) of the Statute ('as part of').

⁷¹¹³ Ntaganda Trial Judgment, para. 696; Katanga Trial Judgment, para. 1124.

⁷¹¹⁴ See the penultimate element of the crimes in the Elements of Crimes of the individual war crimes with which Dominic Ongwen is charged.

^{7115 &}lt;u>Ntaganda Trial Judgment</u>, para. 731; Trial Chamber VIII, *The Prosecutor v. Ahmad Al Faqui Al Mahdi*, <u>Judgement and Sentence</u>, 27 September 2016, ICC-01/12-01/15-171, paras 18, 49; <u>Katanga Trial Judgment</u>, para. 1176; <u>Lubanga Trial Judgment</u>, para. 571; ICTY, Appeals Chamber, <u>Prosecutor v. Dragoljub Kunarac et al.</u>, <u>Judgement</u>, 12 June 2002, IT-96-23/1-A (hereinafter: '<u>Kunarac et al.</u> Appeals <u>Judgment</u>'), para. 57.

⁷¹¹⁶ Ntaganda Trial Judgment, para. 732; Bemba Trial Judgment, para. 143.

⁷¹¹⁷ Elements of Crimes, Introduction to Article 7, para. 2.

- 2692. In the case of a war crime, the perpetrator must have been aware of factual circumstances that established the existence of an armed conflict. 7118
- 2693. These nexus and awareness requirements must be met in order to prove any crime against humanity or war crime. All crimes set forth in the next sub-section must have been committed with the required nexus and awareness of the perpetrator.

ii. The crimes

- 2694. In the following, the Chamber will set forth the relevant applicable law of the individual crimes with which Dominic Ongwen is charged. The crimes are embedded in the context that gives them their international character and differentiates them from ordinary crimes.
- 2695. Unless otherwise provided in the Statute, the material elements of the crimes must have been committed with 'intent' and 'knowledge' within the meaning of Article 30 of the Statute. At regards the phrase 'will occur in the ordinary course of events' in Article 30(2)(b) and (3) of the Statute, this requires virtual certainty that the consequence in question would occur. Knowledge' means awareness that a circumstance exists or a consequence will occur in the ordinary course of events. To the extent that any further discussion beyond Article 30's terms is necessary, the Chamber will also discuss the required mental elements below.

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⁷¹¹⁸ See the last element in the Elements of Crimes of the individual war crimes with which Dominic Ongwen is charged. Para. 3 of the Introduction to Article 8 of the Elements of Crimes reads: '[w]ith respect to the last two elements listed for each crime: (a) There is no requirement for a legal evaluation by the perpetrator as to the existence of an armed conflict or its character as international or non-international; (b) In that context there is no requirement for awareness by the perpetrator of the facts that established the character of the conflict as international or non-international; (c) There is only a requirement for the awareness of the factual circumstances that established the existence of an armed conflict that is implicit in the terms "took place in the context of and was associated with".

⁷¹¹⁹ Article 30 provides: '1. Unless otherwise provided, a person shall be criminally responsible and liable for punishment for a crime within the jurisdiction of the Court only if the material elements are committed with intent and knowledge. 2. For the purposes of this article, a person has intent where: (a) In relation to conduct, that person means to engage in the conduct; (b) In relation to a consequence, that person means to cause that consequence or is aware that it will occur in the ordinary course of events. 3. For the purposes of this article, "knowledge" means awareness that a circumstance exists or a consequence will occur in the ordinary course of events. "Know" and "knowingly" shall be construed accordingly'. See also <u>Lubanga Appeals Judgment</u>, paras 446-51.

⁷¹²¹ Article 30(3) of the Statute.

- a. Murder and attempted murder (Article 7(1)(a) and Article 8(2)(c)(i))
- 2696. The crime of murder is committed in case a person is killed ⁷¹²² as a result of the perpetrator's act or omission. ⁷¹²³ In the case of attempted murder, substantial steps have been taken to execute the crime but it does not occur because of circumstances independent of the perpetrator's intentions. ⁷¹²⁴
- 2697. For the purpose of murder as a war crime, Article 8(2)(c) of the Statute prescribes that the crime must be committed 'against persons taking no active part in the hostilities, including members of armed forces who have laid down their arms and those placed *hors de combat* by sickness, wounds, detention or any other cause'. Taking an active part in the hostilities is understood as acts of war that by their nature or purpose strike at the personnel and *matériel* of enemy armed forces. It is not considered taking an active part in the hostilities when persons otherwise protected under international humanitarian law exercise their right to individual self-defence.
- 2698. Lastly, while the Prosecutor must demonstrate, to the extent possible, the location, date and means of killing, she is not required to demonstrate for each killing the identity of the victim or that the corpse of the deceased has been found. 7128
- 2699. The attempted commission of a crime is characterised by the fact that the perpetrator did not fulfil all objective elements despite his or her intention to do so. Accordingly, there

 $^{^{7122}}$ Footnotes 7 and 31 in the Elements of Crimes specify that '[t]he term "killed" is interchangeable with the term "caused death".

^{7123 &}lt;u>Bemba Trial Judgment</u>, paras 87-88, 91-94; <u>Katanga Trial Judgment</u>, paras 767-69, 783-91.

⁷¹²⁴ Article 25(3)(f) of the Statute.

⁷¹²⁵ Equally, para. 2 of the Elements of Crimes of Article 8(2)(c)(i)-1 of the Statute determines that the 'person or persons were either *hors de combat*, or were civilians, medical personnel, or religious personnel, taking no active part in the hostilities'.

⁷¹²⁶ <u>Ntaganda Trial Judgment</u>, para. 883; ICTY, Trial Chamber I, *Prosecutor v. Stanislav Galić*, <u>Judgement and Opinion</u>, 5 December 2003, IT-98-29-T, para. 48.

⁷¹²⁷ ICTY, Trial Chamber, *Prosecutor v. Duško Tadić*, <u>Judgment</u>, 7 May 1997, IT-94-1-T, para. 640; Pre-Trial Chamber I, *The Prosecutor v. Callixte Mbarushimana*, <u>Public Redacted Version of Decision on the confirmation of charges</u>, 16 December 2011, ICC-01/04-01/10-465-Red, para. 148; <u>ICRC Interpretive Guidance on 'Direct Participation'</u>, p. 61 (citations removed: '[t]he causation of harm in individual self-defence or defence of others against violence prohibited under IHL lacks belligerent nexus. For example, although the use of force by civilians to defend themselves against unlawful attack or looting, rape, and murder by marauding soldiers may cause the required threshold of harm, its purpose clearly is not to support a party to the conflict against another. If individual self-defence against prohibited violence were to entail loss of protection against direct attack, this would have the absurd consequence of legitimizing a previously unlawful attack. Therefore, the use of necessary and proportionate force in such situations cannot be regarded as direct participation in hostilities.').

⁷128 Ntaganda Trial Judgment, para. 862; Katanga Trial Judgment, para. 768.

are no distinctions to be drawn between the mental elements required for murder in its consummated form and those required for its attempted form.⁷¹²⁹

b. Torture (Article 7(1)(f) and Article 8(2)(c)(i))

- 2700. The crime of torture, whether as a crime against humanity or war crime, is committed either by act or omission and has a common material element that '[t]he perpetrator inflicted severe physical or mental pain or suffering upon one or more persons'. 7130
- 2701. The severity implies an important degree of pain and suffering⁷¹³¹ and may be met by a single act or by a combination of acts when viewed as a whole.⁷¹³² This can be assessed only on a case-by-case basis in the light of all the circumstances of the case.⁷¹³³ It is not

⁷¹²⁹ Ntaganda Trial Judgment, para. 861.

⁷¹³⁰ See Article 7(2)(e) of the Statute and para. 1 of the Elements of Crimes of Articles 7(1)(f) and 8(2)(c)(i)-4 of the Statute.

Rectificatif à la Décision relative à la confirmation des charges portées contre Al Hassan Ag Abdoul Aziz Ag Mohamed Ag Mahmoud, Rectificatif à la Décision relative à la confirmation des charges portées contre Al Hassan Ag Abdoul Aziz Ag Mohamed Ag Mahmoud, 13 November 2019, ICC-01/12-01/18-461-Corr-Red (hereinafter: 'Al Hassan Confirmation Decision'), para. 230; Pre-Trial Chamber II, The Prosecutor v. Jean-Pierre Bemba Gombo, Decision Pursuant to Article 61(7)(a) and (b) of the Rome Statute on the Charges of the Prosecutor Against Jean-Pierre Bemba Gombo, 15 June 2009, ICC-01/05-01/08-424, para. 193. See also ICTY, Trial Chamber II, Prosecutor v. Milorad Krnojelac, Judgment, 15 March 2002, IT-97-25-T, paras 181, 219, 222, 224, 236; ECtHR, Grand Chamber, El Masri v. The Former Yugoslav Republic of Macedonia, Judgment, 13 December 2012, Application No. 39630/09, paras 196-97; ECtHR, Grand Chamber, Gäfgen v. Germany, Judgment, 1 June 2010, Application No. 22978/05, para. 90; ECtHR, Grand Chamber, Selmouni v. France, Judgment, 28 July 1999, Application No. 25803/94, para. 100. See also ECtHR, Chamber, Case of Ireland v. United Kingdom, Judgment, 18 January 1978, Application No. 5310/71, paras 162-63; IACtHR, Case of Caesar v. Trinidad and Tobago, Judgment, 11 March 2005, Series C No. 123, paras 67-69; African Commission on Human and Peoples' Rights, Shumba v. Zimbabwe, Decision, 2 May 2012, Comm. No. 288/2004, para. 138.

⁷¹³² Similarly <u>Al Hassan Confirmation Decision</u>, para. 230; ICTY, Appeals Chamber, *Prosecutor v. Mladen Naletilić and Vinko Martinović*, <u>Judgement</u>, 3 May 2006, IT-98-34-A, para. 299; ICTY, Trial Chamber II, *Prosecutor v. Milorad Krnojelac*, <u>Judgment</u>, 15 March 2002, IT-97-25-T, para. 182; ECtHR, Grand Chamber, *Selmouni v. France*, <u>Judgment</u>, 28 July 1999, Application No. 25803/94, para. 105; ECtHR, Grand Chamber, *Aydin v. Turkey*, <u>Judgment</u>, 25 September 1997, Application No. 23178/94, para. 86.

Aydin v. Turkey, Judgment, 25 September 1997, Application No. 23178/94, para. 86.

7133 Similarly Al Hassan Confirmation Decision, para. 230; ICTY, Appeals Chamber, Prosecutor v. Radoslav Brđanin, Judgement, 3 April 2007, IT-99-36-A, para. 251; ECCC, Trial Chamber, Prosecutors v. Kaing Guek alias Duch, Judgement, 26 July 2010, 001/18-07-200/ECCC/TC (hereinafter: 'Duch Trial Judgment'), para. 355; ECtHR, Chamber, Case of Ireland v. United Kingdom, Judgment, 18 January 1978, Application No. 5310/71, para. 162 ('[t]he assessment of th[e] minimum [level of severity] is, in the nature of things, relative; it depends on all the circumstances of the case, such as the duration of the treatment, its physical or mental effects and, in some cases, the sex, age and state of health of the victim, etc.'). See also African Commission on Human and Peoples' Rights, Shumba v. Zimbabwe, Decision, 2 May 2012, Comm. No. 288/2004, para. 138 ('The assessment of this minimum level is relative; it depends on all the circumstances of the case, such as the duration of the treatment, its physical and mental effects and, in some cases, the sex, age and state of health of the victim.'); IACtHR, Case of Espinoza Gonzáles v. Peru, Judgment, 20 November 2014, Series C No. 289, para. 142 (the violation of the right to physical and mental integrity has different connotations of degree, and ranges from torture to other kinds of abuse or cruel, inhuman or degrading treatment, the physical and mental aftereffects of which vary in intensity in accordance with factors that are endogenous and exogenous to the individual (such as, duration of the treatment, age, sex, health, context, and vulnerability) that must be analyzed in each specific situation. [...] the personal characteristics of a presumed victim of torture or cruel, inhuman or degrading treatment must be taken into account when determining whether their personal integrity was violated, because these characteristics may change the

necessary to prove that the pain or suffering involved specific physical injury (such as organ failure), impairment of a bodily function or death.⁷¹³⁴ The pain and suffering may be either physical or mental.⁷¹³⁵ The consequences of torture do not have to be visible, nor must the injury be permanent.⁷¹³⁶

- 2702. There are some notable differences between the elements of torture as a crime against humanity versus a war crime.
- 2703. For torture as a crime against humanity, Article 7(2)(e) of the Statute further requires that '[s]uch person or persons were in the custody or under the control of the perpetrator'.

 Torture as a crime against humanity also requires that the pain or suffering did not arise only from, and was not inherent or incidental to, lawful sanctions.⁷¹³⁷
- 2704. In contrast, for torture as a war crime, the custody/control element is not required. Instead, for the war crime of torture there is an additional mental element specified below. The war crime must also be committed against persons taking no active part in the hostilities, including members of armed forces who have laid down their arms and those placed *hors* de combat. 7138
- 2705. In addition to the mental elements specified in Article 30, the war crime of torture further requires that:

The perpetrator inflicted the pain or suffering for such purposes as: obtaining information or a confession, punishment, intimidation or coercion or for any reason based on discrimination of any kind.

individual's perception of the reality and, consequently, increase the suffering and the feeling of humiliation when they are subjected to certain treatments').

⁷¹³⁴ Similarly <u>Al Hassan Confirmation Decision</u>, para. 231; <u>Duch Trial Judgment</u>, para. 355; ICTY, Appeals Chamber, *Prosecutor v. Radoslav Brđanin*, <u>Judgement</u>, 3 April 2007, IT-99-36-A, paras 244-51.

⁷¹³⁵ Para. 1 of the Elements of Crimes of Articles 7(1)(f) and 8(2)(c)(i)-4 of the Statute; United Nations, Human Rights Committee (Committee on Civil and Political Rights), General comment No. 20: Article 7 (Prohibition of torture, or other cruel, inhuman or degrading treatment or punishment), 10 March 1992, 44th Session, para. 5. See also ECtHR, Chamber, Case of Ireland v. United Kingdom, Judgment, 18 January 1978, Application No. 5310/71, para. 167; IACtHR, Case of Loayza Tamayo v. Peru, Judgment, 17 September 1997, Series C No. 33, para. 57; African Commission on Human and Peoples' Rights, Shumba v. Zimbabwe, Decision, 2 May 2012, Comm. No. 288/2004, paras 143-44.

⁷¹³⁶ Similarly <u>Duch Trial Judgment</u>, para. 355; <u>Kunarac et al.</u> Appeals <u>Judgment</u>, para. 150; ICTY, Trial Chamber, Prosecutor v. Miroslav Kvočka et al., <u>Judgement</u>, 2 November 2001, IT-98-30/1-T, para. 148.

⁷¹³⁷ Para. 3 of the Elements of Crimes of Article 7(1)(f) of the Statute.

⁷¹³⁸ Article 8(2)(c) of the Statute.

- 2706. This specific purpose must be part of the motivation behind the conduct but it need not be the 'predominant or sole purpose'. No specific purpose need be proven for torture as a crime against humanity. For torture as a war crime, the perpetrator must also have been aware of the factual circumstances that established the status of the victim. 7141
- 2707. As concerns the severe pain or suffering required, the perpetrator need not have completed a value judgment as to the severity of the pain inflicted. 7142
 - c. Rape (Article 7(1)(g) and Article 8(2)(e)(vi))
- 2708. The crime of rape, whether as a crime against humanity or war crime, is committed when the following two material elements are fulfilled:
 - 1. The perpetrator invaded⁷¹⁴³ the body of a person by conduct resulting in penetration, however slight, of any part of the body of the victim or of the perpetrator with a sexual organ, or of the anal or genital opening of the victim with any object or any other part of the body.
 - 2. The invasion was committed by force, or by threat of force or coercion, such as that caused by fear of violence, duress, detention, psychological oppression or abuse of power, against such person or another person, or by taking advantage of a coercive environment, or the invasion was committed against a person incapable of giving genuine consent.⁷¹⁴⁴
- 2709. The establishment of one of the coercive circumstances or conditions set out in the second element is sufficient for penetration to amount to rape. 7145 It is not necessary to prove the

⁷¹³⁹ Similarly <u>Duch Trial Judgment</u>, para. 356; ICTY, Trial Chamber, <u>Prosecutor v. Radovan Karadžić</u>, <u>Judgment</u>, 24 March 2016, IT-95-5/18-T, para. 508; <u>Kunarac et al. Appeals Judgment</u>, para. 155. <u>See similarly IACtHR</u>, <u>Case of Maritza Urrutia v. Guatemala</u>, <u>Judgment</u>, 27 November 2003, Series C No. 103, para. 91 ('the elements of the concept of torture established in Article 2 of the Inter-American Convention against Torture include methods to obliterate the personality of the victim in order to attain certain objectives, such as obtaining information from a person; or intimidation or punishment, which may be inflicted through physical violence or through acts that produce severe mental or moral suffering in the victim').

⁷¹⁴⁰ Article 30(3) of the Statute; Footnote 14 of the Elements of Crimes of Article 7(1)(f) of the Statute. *See* for example IACtHR, *Case of the Gómez-Paquiyauri Brothers v. Peru*, <u>Judgment</u>, 8 July 2004, Series C No. 110, para. 116 ('In general, in situations of massive human rights violations, the systematic use of torture has the aim of intimidating the population').

⁷¹⁴¹ Para. 4 of the Elements of Crimes of Article 8(2)(c)(i)-4.

⁷¹⁴² Para. 4 of the General Introduction of the Elements of Crimes ('[w]ith respect to mental elements associated with elements involving value judgement, such as those using the terms "inhumane" or "severe", it is not necessary that the perpetrator personally completed a particular value judgement, unless otherwise indicated.').

⁷¹⁴³ Footnotes 15 and 63 of the Elements of Crimes specify: '[t]he concept of "invasion" is intended to be broad enough to be gender-neutral'.

⁷¹⁴⁴ Footnotes 16 and 64 of the Elements of Crimes specify: '[i]t is understood that a person may be incapable of giving genuine consent if affected by natural, induced or age-related incapacity'.

⁷¹⁴⁵ Ntaganda Trial Judgment, para. 934; Katanga Trial Judgment, para. 965.

victim's lack of consent⁷¹⁴⁶ and there is no requirement of resistance on the part of the victim.⁷¹⁴⁷

2710. Coercive circumstances need not be evidenced by a show of physical force – threats, intimidation, extortion, and other forms of duress which prey on fear or desperation may constitute coercion. The Coercion may be inherent in certain circumstances, such as armed conflict or the military presence of hostile forces amongst the civilian population. Several factors may contribute to creating a coercive environment, such as the number of people involved in the commission of the crime, or whether the rape is committed during or immediately following a combat situation, or is committed together with other crimes. The crimes of the crimes of the crime is committed together with other crimes.

d. Enslavement (Article 7(1)(c))

2711. Article 7(2)(c) of the Statute defines 'enslavement' as the exercise of any or all of the powers attaching to the right of ownership over a person and includes the exercise of such power in the course of trafficking in persons, in particular women and children. The Elements of Crimes include a non-exhaustive list of the various forms in which ownership over a person may be exercised, namely 'by purchasing, selling, lending or bartering such a person or persons, or by imposing on them a similar deprivation of liberty'.

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⁷¹⁴⁶ Similarly <u>Ntaganda Trial Judgment</u>, para. 934; <u>Katanga Trial Judgment</u>, para. 965. See also Rules 70 and 71 of the Rules.

⁷¹⁴⁷ Similarly <u>Duch Trial Judgment</u>, para. 363; SCSL, Trial Chamber II, <u>Prosecutor v. Charles Ghankay Taylor</u>, <u>Judgment</u>, 18 May 2012, SCSL-03-01-1281, para. 416; <u>Kunarac et al. Appeals Judgment</u>, para. 128. See also IACtHR, <u>Case of Fernández Ortega et al. v. Mexico</u>, <u>Judgment</u>, 30 August 2010, Series C No. 215, para. 115.

7148 <u>Ntaganda Trial Judgment</u>, para. 935. See also IACtHR, <u>Case of Fernández Ortega et al. v. Mexico</u>, <u>Judgment</u>, 30 August 2010, Series C No. 215, para. 118, referring to the Inter-American Convention on the Prevention, Punishment, and Eradication of Violence against Women (Convention Belem do Pará): 'violence against women constitutes not only a violation of human rights, but is "an offense against human dignity and a manifestation of the historically unequal power relations between women and men," that "pervades every sector of society, regardless of class, race, or ethnic group, income, culture, level of education, ageor religion, and strikes at its very

foundation'. ⁷¹⁴⁹ *Ntaganda* Trial Judgment, para. 935.

⁷¹⁵⁰ Ntaganda Trial Judgment, para. 935. See also IACtHR, Case of Miguel Castro Castro Prison v. Peru, Judgment, 25 November 2006, Series C No. 160, para. 224 ('It has been acknowledged that during domestic and international armed conflicts the confronting parties used sexual violence against women as a means of punishment and repression. The use of state power to breach the rights of women in a domestic conflict, besides affecting them directly, may have the purpose of causing an effect in society through those breaches and send a message or give a lesson').

⁷¹⁵¹ Article 7(2)(c) of the Statute.

⁷¹⁵² Para. 1 of the Elements of Crimes of Article 7(1)(c) of the Statute. A footnote to this paragraph further stipulates: '[i]t is understood that such deprivation of liberty may, in some circumstances, include exacting forced

- 2712. Indicia for the exercise of the powers attaching to the right of ownership include:

 (i) control or restrictions of someone's movement and, more generally, measures taken to prevent or deter escape; (ii) control of physical environment; (iii) psychological control or pressure; (iv) force, threat of force or coercion; (v) duration of the exercise of powers attaching to the right of ownership; (vi) assertion of exclusivity; (vii) subjection to cruel treatment and abuse; (viii) control of sexuality; (ix) forced labour or subjecting the person to servile status; and (x) the person's vulnerability and the socio-economic conditions in which the power is exerted.⁷¹⁵³
- 2713. The elements of the crime of enslavement are satisfied without any additional ill-treatment. A commercial transaction is also not required. Imposition of a 'similar deprivation of liberty' may take various forms it may cover situations in which the victims may not have been physically confined, but were otherwise unable to leave as they would have nowhere else to go and fear for their lives.
- 2714. The law also does not establish a minimum period of enslavement. As outlined above, the duration of the exercise of powers attaching to the right of ownership is a factor to be taken into account in the specific circumstances of the case.
 - e. Sexual Slavery (Article 7(1)(g) and Article 8(2)(e)(vi))
- 2715. The crime of sexual slavery ⁷¹⁵⁸ is a specific form of the crime of 'enslavement', penalising the perpetrator's restriction or control of the victim's sexual autonomy while held in the state of enslavement. ⁷¹⁵⁹ The crime of sexual slavery, whether as a crime

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labour or otherwise reducing a person to a servile status as defined in the Supplementary Convention on the Abolition of Slavery, the Slave Trade, and Institutions and Practices Similar to Slavery of 1956. It is also understood that the conduct described in this element includes trafficking in persons, in particular women and children'

^{7153 &}lt;u>Ntaganda Trial Judgment</u>, para. 952; <u>Katanga Trial Judgment</u>, para. 976. <u>Similarly Duch Trial Judgment</u>, para. 342; SCSL, Trial Chamber II, *Prosecutor v. Charles Ghankay Taylor*, <u>Judgement</u>, 18 May 2012, SCSL-03-01-1281, para. 447; SCSL, Trial Chamber I, *Prosecutor v. Issa Hassan Sesay et al.*, <u>Judgement</u>, 2 March 2009, SCSL-04-15-T (hereinafter: '<u>Sesay et al. Trial Judgment</u>'), para. 199; <u>Kunarac et al. Appeals Judgment</u>, para. 119. <u>See also IACtHR</u>, <u>Case of Hacienda Brasil Verde v. Brazil</u>, <u>Judgment</u>, 20 October 2016, Series C No. 318, paras 271-72.

⁷¹⁵⁴ Similarly <u>Duch Trial Judgment</u>, para. 344; <u>Kunarac et al. Appeals Judgment</u>, para. 123.

^{7155 &}lt;u>Ntaganda Trial Judgment</u>, para. 952; <u>Katanga Trial Judgment</u>, para. 976.

⁷¹⁵⁶ Ntaganda Trial Judgment, para. 952.

⁷¹⁵⁷ SCSL, Trial Chamber II, *Prosecutor v. Charles Ghankay Taylor*, <u>Judgement</u>, 18 May 2012, SCSL-03-01-1281, para. 447; <u>Sesay et al. Trial Judgment</u>, para. 200; <u>Kunarac et al. Appeals Judgment</u>, para. 121.

⁷¹⁵⁸ Footnotes 17 and 65 of the Elements of Crimes, specify: '[g]iven the complex nature of this crime, it is recognized that its commission could involve more than one perpetrator as a part of a common criminal purpose'. ⁷¹⁵⁹ IACtHR, *Case of López Soto v. Venezuela*, <u>Judgment</u>, 26 September 2018, Series C No. 362, paras 176-79.

against humanity or war crime, is committed when the material element of enslavement is fulfilled⁷¹⁶⁰ and the perpetrator also caused such person or persons to engage in one or more acts of a sexual nature.

- 2716. Acts of a sexual nature in this context include acts of rape, ⁷¹⁶¹ but are not limited to them. Accordingly, they not need involve penetration or even physical contact. ⁷¹⁶² The term 'sexual' may refer to acts carried out through sexual means or by targeting sexuality. ⁷¹⁶³ Whether an act is sexual in nature must be determined on a case-by-case basis, depending on the specific facts and circumstances of a given case.
 - f. Forced pregnancy (Article 7(1)(g) and Article 8(2)(e)(vi))
- 2717. This is the first time forced pregnancy is to be considered by a trial chamber of this Court. The crime of forced pregnancy is grounded in the woman's right to personal and reproductive autonomy and the right to family. 7164

⁷¹⁶⁰ Para. 2711 above.

⁷¹⁶¹ Ntaganda Trial Judgment, para. 955; Al Hassan Confirmation Decision, para. 547.

⁷¹⁶² See similarly ICTR, Chamber I, The Prosecutor v. Jean-Paul Akayesu, Judgement, 2 September 1998, ICTR-96-4-T, para. 688 (discussing sexual violence: 'The Tribunal considers sexual violence, which includes rape, as any act of a sexual nature which is committed on a person under circumstances which are coercive. Sexual violence is not limited to physical invasion of the human body and may include acts which do not involve penetration or even physical contact.'); ICTY, Trial Chamber, Prosecutor v. Milan Milutinović et al., Judgement, 26 February 2009, IT-05-87-T, para. 199 (discussing sexual assault in the context of persecution). See also IACtHR, Case of Rosendo Cantú et al. v. Mexico, Judgment, 31 August 2010, Series C No. 216, para. 109 ('sexual violence involves acts of a sexual nature, committed against a person without their consent, and that in addition to the physical invasion of the human body, they may include acts which do not involve penetration or even any physical contact'.)

physical contact'.)

7163 UN Commission on Human Rights, Contemporary Forms of Slavery, Systematic rape, sexual slavery and slavery like practices during armed conflict, Final report submitted by Ms. Gay J. McDougall, Special Rapporteur, 22 June 1998, E/CN.4/Sub2/1998/13, para. 21.

Women, UNTS vol. 1249, p. 13 ('[s]tates Parties shall take all appropriate measures to eliminate discrimination against women in all matters relating to marriage and family relations and in particular shall ensure, on a basis of equality of men and women: [...]'); Article 16 of the Proclamation of Teheran, Final Act of the International Conference on Human Rights, Teheran, 22 April to 13 May 1968, UN Doc A/CONF/32/41. See also Women's Caucus for Gender Justice in the International Criminal Court, Recommendations and Commentary For December 1997 PrepCom On The Establishment of An International Criminal Court, December 1997, para. W.C.4.4, available at http://www.iccnow.org/documents/5PrepComRecommWomensC.pdf (classifying forced pregnancy as an attack on reproductive integrity); E. La Haye, 'Article 8(2)(b)(xxii)-4 Forced Pregnancy' in R. S. Lee (ed.) The International Criminal Court: Elements of Crimes and Rules of Procedure and Evidence (2001), p. 193; C. K. Hall, J. Powderly, N. Hayes in O. Triffterer (ed.) Commentary on the Rome Statute of the International Criminal Court: Observers' Notes, Article by Article (2008), p. 274 n. 838; W. A. Schabas, The International Criminal Court: A Commentary on the Rome Statute (2016), pp. 191-92 (treatises noting other international instruments which used the expression 'forced pregnancy' prior to the Rome Statute).

- 2718. The Statute adopted a 'narrow' definition of forced pregnancy, ⁷¹⁶⁵ largely because the provision was 'one of the most difficult and controversial to draft'. ⁷¹⁶⁶ Negotiations for the crime of forced pregnancy were largely driven with atrocities of the Bosnian conflict in mind, where Bosnian women were raped and then unlawfully detained with the intent to change the ethnic composition of their group by giving birth to half-Serb children. ⁷¹⁶⁷
- 2719. Some States argued that the crime was unnecessary because its elements were already covered by the crimes of rape and unlawful detention in the Statute⁷¹⁶⁸ and there was no need to create a new crime to punish those acts committed in Bosnia. Another group of States, including Bosnia and Herzegovina and the United States of America, argued that this approach denied the existence of a distinct and terrible crime. ⁷¹⁶⁹ Some States focused on fair labelling and how each gender-based crime, including forced pregnancy, should be specifically punished in the Statute. ⁷¹⁷⁰
- 2720. The Holy See and certain States were also concerned that the crime might be construed as interfering with national laws on abortion⁷¹⁷¹ and wanted a high threshold of intent by

⁷¹⁶⁵ E. La Haye, 'Article 8(2)(b)(xxii)-4 Forced Pregnancy' in R. S. Lee (ed.) *The International Criminal Court: Elements of Crimes and Rules of Procedure and Evidence* (2001), p. 193.

⁷¹⁶⁶ C. K. Hall, J. Powderly, N. Hayes in O. Triffterer (ed.) *Commentary on the Rome Statute of the International Criminal Court: Observers' Notes, Article by Article* (2008), p. 274.

⁷¹⁶⁷ C. Steains, 'Gender Issues' in R. S. Lee (ed.) *The International Criminal Court: The Making of the Rome Statute: Issues, Negotiations, Results* (1999), p. 366. *See Kunarac et al.* Appeals Judgment, paras 342, 583, 654; ICTY, Trial Chamber II, *Prosecutor v. Radoslav Brāanin*, Judgment, 1 September 2004, IT-99-36-T, para. 1011. ⁷¹⁶⁸ *See* Preparatory Committee Meeting, 18 June 1998, A/CONF.183/C.1/SR.5, paras 11, 33, 83; Preparatory Committee Meeting, 17 June 1998, A/CONF.183/C.1/SR.4, paras 63, 66; C. Steains, 'Gender Issues' in R. S. Lee (ed.) *The International Criminal Court: The Making of the Rome Statute: Issues, Negotiations, Results* (1999), p. 367

⁷¹⁶⁹ C. Steains, 'Gender Issues' in R. S. Lee (ed.) *The International Criminal Court: The Making of the Rome Statute: Issues, Negotiations, Results* (1999), p. 367.

⁷¹⁷⁰ Preparatory Committee Meeting, 18 June 1998, A/CONF.183/C.1/SR.8, para. 83 (emphasis added: '[r]ape, sexual slavery, enforced prostitution, enforced pregnancy, mass rape and other forms of sexual and gender-based persecution must be *specifically* listed [...]'); Women's Caucus for Gender Justice in the International Criminal Court, Recommendations and Commentary For December 1997 PrepCom On The Establishment of An International Criminal court, December 1997, Recommendation 7 (emphasis added: '[t]he enumeration of war crimes should include a subparagraph identifying, as examples, rape, sexual slavery, enforced prostitution, forced pregnancy, forced sterilization and other forms of sexual and gender violence *as war crimes in themselves*').

7171 C. Steains, 'Gender Issues' in R. S. Lee (ed.) *The International Criminal Court: The Making of the Rome*

⁷¹⁷¹C. Steains, 'Gender Issues' in R. S. Lee (ed.) *The International Criminal Court: The Making of the Rome Statute: Issues, Negotiations, Results* (1999), pp. 366-67; W. A. Schabas, *The International Criminal Court: A Commentary on the Rome Statute* (2016), p. 191. *See* Preparatory Committee Meeting, 18 June 1998, A/CONF.183/C.1/SR.5, para. 72; Preparatory Committee Meeting, 17 June 1998, A/CONF.183/C.1/SR.3, para. 32

limiting it to 'ethnic-cleansing'. Other States wanted a less restrictive approach because they argued that this crime might occur in other situations.⁷¹⁷²

- 2721. The resulting definition of forced pregnancy in the Statute is a delicate compromise that specified the *mens rea* requirement as 'affecting the ethnic composition of any population or carrying out other grave violations of international law'. A final sentence was added, saying that this crime 'shall not in any way be interpreted as affecting national laws related to pregnancy'. This final sentence does not add a new element to the offence and is thus not reproduced in the Elements of Crimes but allays the concern that criminalising forced pregnancy may be seen as legalising abortion.
- 2722. As with any crime, forced pregnancy must be interpreted in a manner which gives this crime independent meaning from the other sexual and gender based violence crimes in the Statute. This is demanded by the rule against surplusage, a basic principle of statutory interpretation that presumes that the legislator does nothing in vain and that the court must endeavour to give significance to every word of a statutory instrument. This also implicates the principle of fair labelling, and how the proper characterisation of the evil committed, that is to say, calling the crime by its true name, is part of the justice sought by the victims. It is not enough to punish it merely as a combination of other crimes (e.g., rape and unlawful detention), or subsumed under the generic "any other form of sexual violence". The crime of forced pregnancy depends on the unlawful confinement of a (forcibly made) pregnant woman, with the effect that the woman is deprived of reproductive autonomy.

i Material elements (actus reus)

2723. The crime of forced pregnancy, whether as a crime against humanity⁷¹⁷⁵ or a war crime, is committed when the perpetrator 'confined one or more women forcibly made pregnant'.⁷¹⁷⁶ The forcible conception of the woman could occur prior to or during the

⁷¹⁷² C. Steains, 'Gender Issues' in R. S. Lee (ed.) *The International Criminal Court: The Making of the Rome Statute: Issues, Negotiations, Results* (1999), p. 368.

⁷¹⁷³ Article 7(2)(f) of the Statute.

⁷¹⁷⁴ Article 7(1)(g) of the Statute.

⁷¹⁷⁵ Article 7(2)(f) of the Statute stipulates: "Forced pregnancy" means the unlawful confinement of a woman forcibly made pregnant, with the intent of affecting the ethnic composition of any population or carrying out other grave violations of international law. This definition shall not in any way be interpreted as affecting national laws relating to pregnancy'.

⁷¹⁷⁶ See para. 1 of the Elements of Crimes of Articles 7(1)(g)-4 and 8(2)(e)(vi)-4 of the Statute.

unlawful confinement.⁷¹⁷⁷ The perpetrator need not have personally made the victim forcibly pregnant – confining a woman made forcibly pregnant by another is necessary and sufficient for the crime of forced pregnancy.⁷¹⁷⁸

- 2724. The material element of this crime can be split into two components. The first of these is 'unlawful confinement', which means that the woman must have been restricted in her physical movement contrary to standards of international law. ⁷¹⁷⁹ The Elements of Crimes do not indicate a specific duration of confinement, nor do they specify that the deprivation of liberty be 'severe' as is explicitly required for the crime against humanity of imprisonment. ⁷¹⁸⁰
- 2725. The second component of the material element is that the woman has been 'forcibly made pregnant'. This is understood as encompassing the same coercive circumstances described for other sexual violence crimes in the Statute. This means that the woman need not have been made pregnant through physical violence alone. 'Forcibly' in this context means force, or threat of force or coercion, such as that caused by fear of violence, duress, detention, psychological oppression or abuse of power, against her or another person, or by taking advantage of a coercive environment, or that the woman made pregnant was a person incapable of giving genuine consent. The existence of such coercive circumstances undermines the woman's ability to give voluntary and genuine consent.

ii Mental elements (mens rea)

2726. Not every confinement of a forcibly impregnated woman constitutes the crime of forced pregnancy. In addition to the mental elements specified in Article 30, the perpetrator must act with the specific intent of 'affecting the ethnic composition of any population or carrying out other grave violations of international law'. 7183

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⁷¹⁷⁷ See also Confirmation Decision, para. 100.

⁷¹⁷⁸ See also Confirmation Decision, para. 99.

⁷¹⁷⁹ Interpreting and applying the Rome Statute in conformity with internationally recognised human rights, the Chamber notes Article 9 of the <u>UDHR</u>; Articles 9-11 of the <u>ICCPR</u>; Article 6 of the <u>AfCHPR</u>; Article 7 of the <u>ACHR</u>; Article 5 of the <u>ECHR</u>.

⁷¹⁸⁰ Article 7(1)(e) of the Statute.

⁷¹⁸¹ Para. 2 of the Elements of Crimes of Articles 7(1)(g)-1 and 8(2)(e)(vi)-1 of the Statute (rape); para. 1 of the Elements of Crimes of Articles 7(1)(g)-3 and 8(2)(e)(vi)-3 of the Statute (enforced prostitution); para. 1 of the Elements of Crimes of Articles 7(1)(g)-6 and 8(2)(e)(vi)-6 of the Statute (other sexual violence).

⁷¹⁸² See Rule 70(a) of the Rules.

⁷¹⁸³ See para. 1 of the Elements of Crimes of Articles 7(1)(g)-4 and 8(2)(e)(vi)-4 of the Statute.

- 2727. This requirement of special intent is phrased alternatively, meaning that the crime of forced pregnancy under the Statute is committed with the intent either to affect the ethnic composition of the population or to carry out other grave violations of international law, e.g., confining a woman with the intent to rape, sexually enslave, enslave and/or torture her.
- 2728. It is not required that the accused intended to keep the woman pregnant beyond these alternative intentions. 7184 In the negotiations for the Elements of Crimes, there was a proposal to include an element that 'the accused intended to keep the woman or women pregnant in order to affect the ethnic composition of a population or to carry out another grave violation of international law'. 7185 This emphasised draft text was deliberately removed from the elements adopted, 7186 with one commentator explaining why some delegations were opposed to it:

Delegations that were against the addition argued, for example, that the insertion would unduly restrict the scope of the crime. It was pointed out that if a prison warden keeps women forcibly made pregnant in an internment camp in order to torture them, i.e. carrying out any other violation of international law, he/she should be guilty of forced pregnancy. This would be excluded if an intent to keep the women pregnant were required. 7187

2729. On this understanding, the crime of forced pregnancy consists in the confinement of a forcibly pregnant woman in order to carry out other grave violations of international law, regardless of whether the accused specifically intended to keep the woman pregnant.

g. Persecution (Article 7(1)(h))

Material elements (actus reus)

2730. Article 7(1)(h) of the Statute defines persecution as conduct against any identifiable group or collectivity on political, racial, national, ethnic, cultural, religious, gender as defined in paragraph 3, 7188 or other grounds that are universally recognized as

⁷¹⁸⁴ Similarly Confirmation Decision, para. 100.

⁷¹⁸⁵ Preparatory Commission for the International Criminal Court, <u>Proceedings of the Preparatory Commission at</u> its second session (26 July-13 August 1999), 18 August 1999, PCNICC/1999/L.4/Rev.1, p. 71.

7186 E. La Haye, 'Article 8(2)(b)(xxii)-4 Forced Pregnancy' in R. S. Lee (ed.) *The International Criminal Court:*

Elements of Crimes and Rules of Procedure and Evidence (2001), pp. 194-195.

⁷¹⁸⁷ K. Dörmann, Elements of War Crimes under the Rome Statute of the International Criminal Court - Sources and Commentary (2003), p. 330, n. 5.

⁷¹⁸⁸ Article 7(3) of the Statute stipulates: 'For the purpose of this Statute, it is understood that the term "gender" refers to the two sexes, male and female, within the context of society. The term "gender" does not indicate any meaning different from the above'.

- impermissible under international law, in connection with any act referred to in this paragraph or any crime within the jurisdiction of the Court.
- 2731. Article 7(2)(g) of the Statute stipulates that "[p]ersecution" means the intentional and severe deprivation of fundamental rights contrary to international law by reason of the identity of the group or collectivity'.
- 2732. The crime is committed when the four material elements are fulfilled.
- 2733. The first of these elements is that the perpetrator severely deprived, contrary to international law, ⁷¹⁸⁹ one or more persons of fundamental rights. Not every infringement of human rights is relevant but only a 'severe deprivation' of a person's '*fundamental* rights contrary to international law' (emphasis added). This may include a variety of rights, such as the right to life, the right to personal liberty, the right not to be held in slavery or servitude, the right not to be subjected to torture or cruel treatment, inhuman or degrading treatment, and the right to private property. ⁷¹⁹⁰
- 2734. The second and third elements of the crime of persecution are that the perpetrator targeted such person or persons by reason of the identity of a group or collectivity or targeted the group or collectivity as such, and that such targeting was based on political, racial, national, ethnic, cultural religious, gender as defined in article 7, paragraph 3, of the Statute, or other grounds that are universally recognised as impermissible under international law.
- 2735. The targeted group can be defined both in a positive or a negative manner, meaning that it may be the case that the perpetrator targeted only members of a certain group or collectivity or that the perpetrator targeted individuals for not belonging to a certain group or collectivity.⁷¹⁹¹
- 2736. In evaluating the alleged status as a protected group, the particular political, social, and cultural context are relevant, as are, in addition to the objective factors relevant to the

7191 Ntaganda Trial Judgment, para. 1009.

⁷¹⁸⁹ The Chamber heeds to footnote 21 of the Elements of Crimes which stipulates: 'This requirement is without prejudice to paragraph 6 of the General Introduction to the Elements of Crimes'. Para. 6 of the General Introduction reads: 'The requirement of 'unlawfulness'' found in the Statute or in other parts of international law, in particular international humanitarian law, is generally not specified in the elements of crimes'.

⁷¹⁹⁰ See <u>Universal Declaration of Human Rights</u>, 10 December 1948, United Nations General Assembly Resolution 217 A(III), Articles 3, 4, 5, 17. See also <u>Ntaganda Trial Judgment</u>, para. 991.

discriminatory ground alleged, the subjective perception of belonging of both the perpetrator and the victim.⁷¹⁹² It is noted, however, that while it must be demonstrated that the perpetrator targeted certain persons, a group, or a collectivity, based on one of the prohibited grounds, it is not required that all victims of the crime of persecution be members, sympathisers, allies of, or in any other way related to, the protected group.⁷¹⁹³

- 2737.Of relevance to this judgment is discriminatory targeting on 'political' grounds. In this regard, the Chamber observes that this includes targeting by reason of political affiliations, whether actual or only perceived by the perpetrator.⁷¹⁹⁴
- 2738. Fourth, persecution requires that the conduct was committed in connection with any act referred to in article 7, paragraph 1, of the Statute or any crime within the jurisdiction of the Court. 7195

ii Mental elements (mens rea)

2739. The act of discrimination must be carried out with the requisite intent, *i.e.* an intent to discriminate against the targeted persons on any of the grounds enumerated in Article 7(1)(h) of the Statute. The perpetrator harms the victim *because* the perpetrator perceives the victim as belonging to a particular group or collectivity. This intent may be inferred from the general behaviour of the perpetrator as well as the circumstances surrounding the commission of the crime.

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⁷¹⁹² Ntaganda Trial Judgment, para. 1010.

⁷¹⁹³ Ntaganda Trial Judgment, para. 1011.

⁷¹⁹⁴ See also Pre-Trial Chamber III, Situation in the Republic of Burundi, Public Redacted Version of "Decision Pursuant to Article 15 of the Rome Statute on the Authorization of an Investigation into the Situation in the Republic of Burundi", ICC-01/17-X-9-US-Exp, 25 October 2017, 9 November 2017, ICC-01/17-9-Red, para. 133; ECCC, Supreme Court Chamber, Prosecutors v. Kaing Guek alias Duch, Judgement, 3 February 2012, 001/18-07-2007-ECCC/SC, para. 272.

⁷¹⁹⁵ The Chamber notes footnote 22 of the Elements of Crimes, which stipulates: '[i]t is understood that no additional mental element is necessary for this element other than that inherent in element 6'.

⁷¹⁹⁶ Similarly <u>Al Hassan Confirmation Decision</u>, para. 671; ICTY, Trial Chamber II, *Prosecutor v. Vujadin Popović et al.*, <u>Judgement Volume I</u>, 10 June 2010, IT-05-88-T, para. 968.

⁷¹⁹⁷ Similarly <u>Al Hassan Confirmation Decision</u>, para. 671; ICTY, Appeals Chamber, *Prosecutor v. Vujadin Popović et al.*, <u>Judgement</u>, 30 January 2015, IT-05-88-A, para. 969; ICTY, Appeals Chamber, *Prosecutor v. Miroslav Kvočka et al.*, <u>Judgement</u>, 28 February 2005, IT-98-30/1-A, para. 460.

- 2740. As to the severe deprivation of fundamental human rights required by this crime, the perpetrator need not have completed a value judgment as to the severity of the deprivation inflicted 7198
 - h. Other inhumane acts, including forced marriage (Article 7(1)(k)
- 2741. Dominic Ongwen is charged with the crime of other inhumane acts, including forced marriage, within the meaning of Article 7(1)(k) of the Statute. In conformity with the principle of legality, this category of crimes against humanity must be interpreted conservatively and – with due regard to Article 22(2) of the Statute – must not be used to expand uncritically the scope of crimes against humanity. 7199 Judicial interpretation within Article 7(1)(k) of the Statute must be consistent with the essence of the offence and in a manner which could have been reasonably foreseen. 7200
- 2742. Following a Defence challenge during the confirmation phase, the Pre-Trial Chamber confirmed the Court's jurisdiction over the crime of forced marriage. 7201 This Chamber dismissed a similar challenge during the defence presentation of evidence as untimely, though it noted it would decide upon the proper legal interpretation of this crime in the iudgment. 7202 In its closing brief, the Defence reiterates, by reference to its previous

⁷¹⁹⁸ Para. 4 of the General Introduction of the Elements of Crimes ('[w]ith respect to mental elements associated with elements involving value judgement, such as those using the terms "inhumane" or "severe", it is not necessary that the perpetrator personally completed a particular value judgement, unless otherwise indicated').

⁷¹⁹⁹ Article 22(2) of the Statute provides: '[t]he definition of a crime shall be strictly construed and shall not be extended by analogy. In case of ambiguity, the definition shall be interpreted in favour of the person being investigated, prosecuted or convicted'. See also Pre-Trial Chamber II, The Prosecutor v. Francis Kirimi Muthaura et al., Public Redacted Version Decision on the Confirmation of Charges Pursuant to Article 61(7)(a) and (b) of the Rome Statute, 23 January 2012, ICC-01/09-02/11-382-Red, para. 269.

⁷²⁰⁰ Article 21(3) of the Statute; ECtHR, Grand Chamber, Del Río Prada v. Spain, Judgment, 21 October 2013, Application No. 42750/09, para. 93; ECtHR, Grand Chamber, Kafkaris v. Cyprus, Judgment, 12 February 2008, Application No. 21906/04, para. 141; ECtHR, Chamber, S.W. v. United Kingdom, Judgment, 22 November 1995, Application No. 20166/92, para. 36. See also IACtHR, Case of Gelman v. Uruguay, Monitoring Compliance with Judgment, 20 March 2013, Serie C No. 221, para. 97. In the specific context of interpreting a provision drafted through the legislative technique of categorisation (such as Article 7(1)(k) of the Statute). See also ECtHR, Grand Chamber, Cantoni v. France, Judgment, 11 November 1996, Application No. 17862/91, paras 31-32. 7201 Confirmation Decision, paras 87-95.

Decision on Defence Motions Alleging Defects in the Confirmation Decision, 7 March 2019, ICC-02/04-01/15-1476, paras 31-35, 37, ruling upheld in Appeals Chambers, Judgment on the appeal of Mr Dominic Ongwen against Trial Chamber IX's 'Decision on Defence Motions Alleging Defects in the Confirmation Decision', 17 July 2019, ICC-02/04-01/15-1562, paras 155-58, 163(vii).

submissions it made before the Pre-Trial Chamber, that 'forced marriage is not a crime under the Rome Statute'. 7203

- 2743. The crime of other inhumane acts is committed, either by act or omission, when the following two material elements are fulfilled:
 - 1. The perpetrator inflicted great suffering, or serious injury to body or to mental or physical health, by means of an inhumane act.
 - 2. Such act was of a character similar to any other act referred to in article 7, paragraph 1, of the Statute.⁷²⁰⁴
- 2744. International case-law suggests that serious beatings, subjection to deplorable conditions of detention and requiring persons to witness the beatings or killings of others can constitute other inhumane acts. Other international jurisdictions have also recognised forced marriage as an 'other inhumane act' falling under crimes against humanity.
- 2745. The prior jurisprudence of this Court has understood Article 7(1)(k) of the Statute as a residual category of crimes against humanity, requiring that the specific act in question fails to qualify as any of the enumerated crimes under Article 7(1) of the Statute. ⁷²⁰⁷ The Chamber agrees that the crime of 'other inhumane acts' has indeed a residual nature. It notes in this regard that Article 7(1)(k) of the Statute was included in recognition of the

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^{7203 &}lt;u>Defence Closing Brief</u>, para. 471.

⁷²⁰⁴ Elements of Crimes, Article 7(1)(k).

⁷²⁰⁵ Egs ICTY, Trial Chamber, *Prosecutor v. Duško Tadić*, <u>Judgment</u>, 7 May 1997, IT-94-1-T, paras 726, 730; <u>Duch Trial Judgment</u>, paras 260-77, 372; ECCC, Trial Chamber, *Prosecutors v. Nuon Chea and Khieu Samphan*, <u>Judgment</u>, 16 November 2018, 002/19-09-2007-ECCC/TC, paras 733-39; ICTY, Trial Chamber, *Prosecutor v. Miroslav Kvočka et al.*, <u>Judgement</u>, 2 November 2001, IT-98-30/1-T, para. 209; ICTR, Trial Chamber I, *The Prosecutor v. Ignace Bagilishema*, <u>Judgement</u>, 7 June 2001, ICTR-95-1A-T, paras 490-94; ICTY, Trial Chamber, *Prosecutor v. Zoran Kupreškić et al.*, <u>Judgement</u>, 14 January 2000, IT-95-16-T, para. 819; Pre-Trial Chamber II, *The Prosecutor v. Francis Kirimi Muthaura et al.*, <u>Public Redacted Version Decision on the Confirmation of Charges Pursuant to Article 61(7)(a) and (b) of the Rome Statute</u>, 23 January 2012, ICC-01/09-02/11-382-Red, paras 274-80.

⁷²⁰⁶ ECCC, Trial Chamber, *Prosecutors v. Nuon Chea and Khieu Samphan*, <u>Judgment</u>, 16 November 2018, 002/19-09-2007-ECCC/TC, paras 740-49; SCSL, Appeals Chamber, *Prosecutor v. Alex Tamba Brima et al.*, <u>Judgment</u>, 22 February 2008, SCSL-2004-16-A, paras 197-201.

Pre-Trial Chamber II, *The Prosecutor v. Francis Kirimi Muthaura et al.*, <u>Public Redacted Version Decision on the Confirmation of Charges Pursuant to Article 61(7)(a) and (b) of the Rome Statute</u>, 23 January 2012, ICC-01/09-02/11-382-Red, para. 269; Pre-Trial Chamber I, *The Prosecutor v. Germain Katanga and Mathieu Ngudjolo Chui*, <u>Public Redacted Version of Decision on the confirmation of charges</u>, 30 September 2008, ICC-01/04-01/07-717, para. 450.

impossibility of exhaustively enumerating every inhumane act which could constitute a crime. 7208

- 2746. If the act is the same as one of the enumerated acts, with an identical 'character' in terms of its nature, harm suffered and protected interests involved, then the second material element under Article 7(1)(k) is not satisfied. In this case, a conviction can be entered for a crime under Article 7(1)(a)-(j), but not for the crime of 'other inhumane act' under Article 7(1)(k).
- 2747. However, this does not mean that a conviction under Article 7(1)(k) of the Statute can be entered only when the conduct in question, considered in its entirety, falls completely outside any act under Article 7(1)(a)-(j). Rather, a conviction can be entered *also* under Article 7(1)(k) when the full scope of the culpable conduct is not reflected by its qualification under the enumerated crime(s) alone. The Chamber notes that 'character' under the second material element of Article 7(1)(k) refers to the nature and gravity of the act. The Chamber can enter a conviction under Article 7(1)(k) if the perpetrator inflicts great suffering, or serious injury to body or to mental or physical health, by means of a course of conduct which, despite comprising also acts falling under one or more of the enumerated crimes, is, in its entirety, not identical, but is nonetheless 'similar' in character in terms of nature and gravity, to those enumerated crimes.
- 2748. The Chamber considers forced marriage and the enumerated acts charged out of the same overall course of conduct in this case to be just such an example. Every person enjoys the fundamental right to enter a marriage with the free and full consent of another person. 7210 Marriage creates a status based on a consensual and contractual relationship

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⁷²⁰⁸ G. Witschel and W. Rückert, 'Article 7(1)(k) – Crime Against Humanity of Other Inhumane Acts', in R. S. Lee (ed.) *The International Criminal Court: Elements of Crimes and Rules of Procedure and Evidence* (2001), pp. 106-07. For a similar explanation of 'other inhumane acts' under customary international law, *see* ICTY, Appeals Chamber, *Prosecutor v. Dario Kordić and Mario Čerkez*, <u>Judgement</u>, 17 December 2004, IT-95-14/2-A, para. 117, *quoting* ICTY, Trial Chamber, *Prosecutor v. Zoran Kupreškić et al.*, <u>Judgement</u>, 14 January 2000, IT-95-16-T, para. 563 (inhumane acts as crimes against humanity were 'deliberately designed as a residual category, as it was felt undesirable for this category to be exhaustively enumerated. An exhaustive categorization would merely create opportunities for evasion of the letter of the prohibition.').

⁷²¹⁰ Article 16 of the <u>UDHR</u>; Article 23(3) of the <u>ICCPR</u>; Article 10(1) of the <u>ICESCR</u>; Human Rights Committee, <u>General Comment 28</u>, 29 March 2000, HRI/GEN/1/Rev.9 (Vol. I), paras 23-24; Article 1(1) of the <u>Convention on Consent to Marriage</u>, <u>Minimum Age for Marriage and Registration of Marriages</u>, 9 December 1964, UNTS vol. 521, p. 231; Article 16(1)(b) of the <u>Convention on the Elimination of All Forms of Discrimination Against Women</u>, 3 September 1981, UNTS vol. 1249, p. 13; Article 6(2)(a) of the UN Declaration on the Elimination of All Forms of Discrimination against Women, 7 November 1967, A/RES/22/226; Article 6(a) of the <u>Protocol of Protocol of Protoco</u>

– it is an institution and also an act or rite. The central element, and underlying act of forced marriage is the imposition of this status on the victim, i.e. the imposition, regardless of the will of the victim, of duties that are associated with marriage – including in terms of exclusivity of the (forced) conjugal union imposed on the victim – as well as the consequent social stigma. Such a state, beyond its illegality, has also social, ethical and even religious effects which have a serious impact on the victim's physical and psychological well-being. The victim may see themselves as being bonded or united to another person despite the lack of consent. Additionally, a given social group may see the victim as being a 'legitimate' spouse. To the extent forced marriage results in the birth of children, this creates even more complex emotional and psychological effects on the victim and their children beyond the obvious physical effects of pregnancy and child-bearing.

2749. Accordingly, the harm suffered from forced marriage can consist of being ostracised from the community, mental trauma, the serious attack on the victim's dignity, and the deprivation of the victim's fundamental rights to choose his or her spouse. ⁷²¹³

2750. The conduct underlying forced marriage – as well as the impact it has on victims – are not fully captured by other crimes against humanity. To focus on sexual slavery and rape in particular, these crimes and forced marriage exist independently of each other. While the crime of sexual enslavement penalises the perpetrator's restriction or control of the victim's sexual autonomy while held in a state of enslavement, the 'other inhumane act'

the African Charter on Human Rights and Peoples' Rights on the Rights of Women in Africa; Article 19(i) of the Islamic Declaration of Human Rights; Article 33(1) of the Arab Charter on Human Rights, 15 March 2008, reprinted in 12 Int'l Hum. Rts. Rep. 893; Article 17(3) of the ACHR; Article 8(1) of the ECHR; Article 5 of the Protocol No. 7 to the ECHR. See also Human Rights Council, Child, early and forced marriage in humanitarian settings – Report of the United Nations High Commissioner for Human Rights, 26 April 2019, A/HRC/41/19, para. 4 ('[c]hild, early and forced marriage is a human rights violation, a form of gender-based discrimination, a harmful practice and a form of sexual and gender-based violence, which requires States to take steps to prevent and eliminate it.').

⁷²¹¹ Merriam Webster dictionary defines marriage as: 'a: [...] the state of being united as spouses in a consensual and contractual relationship recognized by law; b: the mutual relation of married persons: wedlock; c: the institution whereby individuals are joined in a marriage 2: an act of marrying or the rite by which the married status is effected especially: the wedding ceremony and attendant festivities or formalities [...]'.

⁷²¹² Confirmation Decision, para. 93; <u>Al Hassan Confirmation Decision</u>, para. 555. See also <u>AFRC Appeal Judgement</u>, para. 195.

⁷²¹³ Concretely as regards sexual violence and in analysing whether it caused 'severe physical or mental suffering', the Inter-American Court of Human Rights has determined that the specific circumstances of each case must be taken into account, including: 'characteristics of the action, the duration, the method used, or the way in which the suffering was inflicted, the potential physical and mental effects, and also the status of the person who endured this suffering, including their age, gender, and physical condition, among other personal details' (IACtHR, *Case of Fernández Ortega et al. v. Mexico*, <u>Judgment</u>, 30 August 2010, Series C No. 215, para. 122).

of forced marriage penalises the perpetrator's imposition of 'conjugal association' with the victim. Forced marriage implies the imposition of this conjugal association and does not necessarily require the exercise of ownership over a person, an essential element for the existence of the crime of enslavement. Likewise, the crime of rape does not penalise the imposition of the 'marrial status' on the victim. When a concept like 'marriage' is used to legitimatise a status that often involves serial rape, victims suffer trauma and stigma beyond that caused by being a rape victim alone. ⁷²¹⁴

- 2751. The Chamber thus interprets Article 7(1)(k) of the Statute and its elements to include the inhumane act of forced marriage, namely forcing a person, regardless of his or her will, into a conjugal union with another person by using physical or psychological force, threat of force or taking advantage of a coercive environment. Such an act does not fall under any of the acts enumerated in Article 7(1)(a)-(j) of the Statute, but is similar in character to them. Whether the conduct charged in this case constitutes forced marriage under this definition is assessed on the facts.
- 2752. It follows that forced marriage is a continuing crime, in the sense that it covers the entire period of the forced conjugal relationship, and only ends when the individual is freed from it.⁷²¹⁶
- 2753. The perpetrator need not make a value judgment as to the 'inhumane' character of the act. 7217 They need only be aware of the factual circumstances that established the character of the inhumane act. 7218
 - i. Cruel treatment (Article 8(2)(c)(i))

2754. The crime of cruel treatment requires that:

1. The perpetrator inflicted severe physical or mental pain or suffering upon one or more persons.

⁷²¹⁴ Al Hassan Confirmation Decision, para. 555.

⁷²¹⁵ Confirmation Decision, paras 91-93; SCSL, Appeals Chamber, *Prosecutor v. Issa Hassan Sesay et al.*, Judgment, 26 October 2009, SCSL-04-15-A, paras 736-40.

⁷²¹⁶ Similarly <u>Sesay et al. Trial Judgment</u>, paras 1211-14. Although in the context of conscription and enlistment of children, see <u>Lubanga Trial Judgment</u>, para. 618.

⁷²¹⁷ Elements of Crimes, General Introduction, para. 4.

⁷²¹⁸ Elements of Crimes, Article 7(1)(k) of the Statute, para. 3.

- 2. Such person or persons were either *hors de combat*, or were civilians, medical personnel or religious personnel taking no active part in the hostilities.
- 3. The perpetrator was aware of the factual circumstances that established this status.
 - j. Outrages upon personal dignity (Article 8(2)(c)(ii))
- 2755. The crime of outrages upon personal dignity is committed when the following three material elements are fulfilled:
 - 1. The perpetrator humiliated, degraded or otherwise violated the dignity of one or more persons.
 - 2. The severity of the humiliation, degradation or other violation was of such degree as to be generally recognized as an outrage upon personal dignity.
 - 3. Such person or persons were either *hors de combat*, or were civilians, medical personnel or religious personnel taking no active part in the hostilities. ⁷²¹⁹
- 2756. Whether the 'severity' of the humiliation, degradation or violation is 'generally recognised' as an outrage upon personal dignity entails an objective assessment of a reasonable person and must be assessed on a case-by-case basis. 7220 There is no requirement that the suffering or injury must have long term effects. 7221
- 2757. The perpetrator must have been aware of the factual circumstances that established the status of the victim. The perpetrator need not have completed a value judgment as to

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⁷²¹⁹ See also footnote 57 of the Elements of Crimes, which stipulates: '[f]or this crime, "persons" can include dead persons. It is understood that the victim need not personally be aware of the existence of the humiliation or degradation or other violation. This element takes into account relevant aspects of the cultural background of the victim'.

⁷²²⁰ Similarly <u>Al Hassan Confirmation Decision</u>, para. 262; <u>Sesay et al. Trial Judgment</u>, para. 176; <u>Kunarac et al.</u> Appeals Judgment, para. 504.

⁷²²¹ Al Hassan Confirmation Decision, para. 262; Pre-Trial Chamber I, The Prosecutor v. Germain Katanga and Mathieu Ngudjolo Chui, Public Redacted Version of Decision on the confirmation of charges, 30 September 2008, ICC-01/04-01/07-717, para. 369. Similarly ICTY, Trial Chamber, Prosecutor v. Miroslav Kvočka et al., Judgement, 2 November 2001, IT-98-30/1-T, para. 168; Kunarac et al. Appeals Judgment, paras 501, 503.
7222 Para. 4 of the Elements of Crimes of Article 8(2)(c)(ii).

the severity of the humiliation, degradation or violation inflicted.⁷²²³ There is also no additional requirement that the perpetrator had a discriminatory intent or motive.⁷²²⁴

k. Attack against the civilian population (Article 8(2)(e)(i))

- 2758. This crime requires the two material elements. The first of these is that the perpetrator directed an attack. An 'attack' constitutes any 'acts of violence against the adversary, whether in offence or defence'. To 'direct' an attack in this context means that the perpetrator selected the intended target and decided on the attack. No particular harm to civilians need be caused; the crime is directing the attack as such.
- 2759. The second material element of the crime is that the object of the attack was a civilian population as such or individual civilians not taking direct part in hostilities. Civilians are persons who are not members of State armed forces or organised armed groups of a party to the conflict. The term 'civilian population' denotes a collective, as opposed to individual civilians. The presence within a civilian population of individuals who do not fall under the definition of 'civilians' does not deprive the population of its civilian character.
- 2760. The civilian population or individual civilians must have been the *primary* object of the 'attack'; directing the attack against military objects that affect civilians incidentally does not suffice. Test to comply with international humanitarian law are relevant in this context, including the principle of distinction between legitimate targets and protected persons or objects and the duty to take precautionary measures. Depending on the

⁷²²³ Para. 4 of the General Introduction of the Elements of Crimes ('[w]ith respect to mental elements associated with elements involving value judgement, such as those using the terms "inhumane" or "severe", it is not necessary that the perpetrator personally completed a particular value judgement, unless otherwise indicated.').

⁷²²⁴ Similarly <u>Sesay et al. Trial Judgment</u>, para. 177; ICTY, Appeals Chamber, *Prosecutor v. Zlatko Aleksovski*, <u>Judgement</u>, 24 March 2000, IT-95-14/1-A, para. 28.

^{7225 &}lt;u>Ntaganda Trial Judgment</u>, para. 916; <u>Katanga Trial Judgment</u>, para. 798; Article 13(2) of <u>Additional Protocol II</u>; Article 49 of <u>Additional Protocol I</u>. See also Trial Chamber VIII, *The Prosecutor v. Ahmad Al Faqui Al Mahdi*, <u>Judgement and Sentence</u>, 27 September 2016, ICC-01/12-01/15-171, paras 15-16.

⁷²²⁶ Ntaganda Trial Judgment, paras 744, 917.

⁷²²⁷ Ntaganda Trial Judgment, para. 904.

^{7228 &}lt;u>Ntaganda Trial Judgment</u>, para. 883; <u>Katanga Trial Judgment</u>, para. 788; Common Article 3 of the four Geneva Conventions.

⁷²²⁹ Ntaganda Trial Judgment, para. 921.

⁷²³⁰ Ntaganda Trial Judgment, para. 921.

⁷²³¹ Katanga Trial Judgment, para. 802.

⁷²³² See <u>Ntaganda Trial Judgment</u>, para. 921; <u>Katanga Trial Judgment</u>, para. 802.

- circumstances, the civilian population can still qualify as the primary object of an attack in a situation where everyone is targeted at a mixed military-civilian position.⁷²³³
- 2761. The perpetrator must also have intended the civilian population as such or individual civilians not taking direct part in hostilities to be the object of the attack. 7234
 - 1. Pillaging (Article 8(2)(e)(v))
 - i Material elements (actus reus)
- 2762. The first material element of pillaging is that the perpetrator appropriated certain property.
- 2763. Article 8(2)(e)(v) relates to 'pillaging a town or place'. The pillaging of a town or place comprises all forms of appropriation of property, including appropriation committed by individuals in their own interest and acts of organised or systematic appropriation.⁷²³⁵
- 2764. Though noting that the jurisprudence is not uniform on this point, the Chamber relying on the absence of any statutory language to this effect considers that there is no requirement that appropriations must occur on a large scale basis before constituting the crime of pillaging. 7236
- 2765. The second material element of pillaging is that the appropriation was without the consent of the owner.
- 2766. When the property owner has fled, such appropriations must be assumed to have been without the owner's consent absent any contrary indication. This could occur, for example, when items are appropriated from an empty house whose residents have fled. The concept of private property and the right to property must be understood as encompassing not only the property of individuals, but also the communal property of

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⁷²³³ See <u>Ntaganda Trial Judgment</u>, paras 921, 923; <u>Katanga Trial Judgment</u>, para. 802. <u>Similarly ICTY</u>, Appeals Chamber, <u>Prosecutor v. Stanislav Galić</u>, <u>Judgment</u>, 30 November 2006, IT-98-29-A, para. 132.

⁷²³⁴ Para. 3 of the Elements of Crimes of Article 8(2)(e)(i) of the Statute.

⁷²³⁵ Ntaganda Trial Judgment, para. 1028; Katanga Trial Judgment, para. 905.

⁷²³⁶ In accord with <u>Ntaganda Trial Judgment</u>, para. 1044. But see <u>Bemba Trial Judgment</u>, para. 117; <u>Katanga Trial Judgment</u>, para. 909.

⁷²³⁷ Ntaganda Trial Judgment, para. 1034; Katanga Trial Judgment, para. 954.

⁷²³⁸ Ntaganda Trial Judgment, paras 1034, 1037; Katanga Trial Judgment, para. 954.

the communities. It must also take into consideration the customary law of the community (i.e. practices on possession, titles and registration). 7239

ii Mental elements (mens rea)

- 2767. Appropriations justified by military necessity cannot constitute the crime of pillaging. 7240 Military necessity requires that the appropriation's use be directed to further the war effort and thus be used for military purposes. 7241 This is in contrast to appropriations for private or personal use. 7242 The perpetrator must have specifically intended to deprive the owner of the property and to appropriate it for private or personal use. ⁷²⁴³ If combatants appropriate property essential to their survival, such as food, this alone does not make the appropriation one of military necessity. 7244
 - m. Conscription of children or their use in armed hostilities (Article 8(2)(e)(vii))
- 2768. Article 8(2)(e)(vii) of the Statute concerns conscripting, enlisting and using children under the age of 15 years in hostilities. Conscription or enlistment alone are sufficient and it is therefore not required that a child under 15 years of age who has been conscripted or enlisted also be 'used' to participate actively in hostilities. 7245 The crime is committed when the following two material elements are fulfilled:
 - 1. The perpetrator conscripted or enlisted one or more persons into an armed force or group or used one or more persons to participate actively in hostilities.
 - 2. Such person or persons were under the age of 15 years.
- 2769, 'Conscripting' has been defined as the coercive and 'enlisting' as the voluntary integration of children under the age of 15 years into an armed force or group. 7246 The

⁷²³⁹ See IACtHR, Case of Mayagna (Sumo) Awas Tingni Community v. Nicaragua, Judgment, 31 August 2001, Series C No. 79, paras 149-51. See also African Commission on Human and Peoples' Rights, African Commission on Human and Peoples' Rights v. Republic of Kenya, Judgment, 26 May 2017, Application No. 006/2012, para. 123.

⁷²⁴⁰ Footnote 62 of the Elements of Crimes of Article 8(2)(e)(v) of the Statute.

⁷²⁴¹ Ntaganda Trial Judgment, para. 1030.

⁷²⁴² Ntaganda Trial Judgment, paras 1030, 1041-42.

Para. 2 of the Elements of Crimes of Article 8(2)(e)(v) of the Statute.

⁷²⁴⁴ Katanga Trial Judgment, paras 951-52; ICTY, Trial Chamber, The Prosecutor v. Enver Hadžihasanović and Amir Kubura, Judgement, 15 March 2006, IT-01-47-T, paras 1895, 1976-77.

⁷²⁴⁵ Ntaganda Trial Judgment, para. 1104.

^{7246 &}lt;u>Lubanga Appeals Judgment</u>, paras 278, 311-312; <u>Ntaganda Trial Judgment</u>, para. 1107 (noting that '[i]t may be difficult to distinguish between voluntary and forced recruitment in the case of children under the age of 15,

element of compulsion distinguishes both forms of integration and is established by taking into account 'whether the force, threat of force or psychological pressure applied was of such a degree and so pervasive, that individuals can be said to have been forced to join the armed force or group'. This compulsion must be determined on a case-by-case basis, considering the particular circumstances of the child and the way she or he was recruited.

- 2770. As concerns the use of such children, to 'participate actively in hostilities' ranges from direct participation in hostilities to other supporting combat-related activities. ⁷²⁴⁸ Conversely, activities unrelated to hostilities fall outside of Article 8(2)(e)(vii) of the Statute. ⁷²⁴⁹ When assessing whether the role of the child is to be treated as active participation, what matters is a case-by-case assessment of the link between the activity undertaken by the child and the hostilities in which the armed force or group for which he or she is acting is engaged. ⁷²⁵⁰
- 2771. Conscripting and using children under the age of 15 years is a crime of continuing nature for as long as the children remain in the armed force or group; consequently, it ceases to be committed when the children leave the force or group or reach the age of 15 years, whichever comes first. 7251
- 2772. In addition to the mental elements specified in Article 30, the perpetrator must know or should have known that such person or persons were under the age of 15 years. 7252
 - n. Destruction of property (Article 8(2)(e)(xii))
- 2773. Dominic Ongwen is charged with the crime of destroying property of an adversary as a war crime, within the meaning of Article 8(2)(e)(xii) of the Statute. This provision also governs 'seizing' such property, but only destruction of property is relevant in this case.

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particularly since such individuals may be unable to give genuine and informed consent when enlisting in an armed force or group.').

⁷²⁴⁷ <u>Lubanga Appeals Judgment</u>, para. 282. See also <u>Ntaganda Trial Judgment</u>, paras 1106-07.

^{7248 &}lt;u>Lubanga Appeals Judgment</u>, paras 333-40; <u>Katanga Trial Judgment</u>, para. 1044.

⁷²⁴⁹ Katanga Trial Judgment, para. 1044.

⁷²⁵⁰ <u>Lubanga Appeals Judgment</u>, paras 333, 340 (from para. 340, with further quotation marks removed: to participate actively in hostilities 'imports a wide interpretation to the activities and roles that are covered by the offence of using children under the age of 15 actively to participate in hostilities'); <u>Ntaganda Trial Judgment</u>, paras 1108-09

⁷²⁵¹ Ntaganda Trial Judgment, para. 1104; <u>Lubanga Trial Judgment</u>, para. 618.

Para. 3 of the Elements of Crimes of Article 8(2)(e)(vii) of the Statute.

- 2774. The crime of destroying the adversary's property is committed if the following four material elements are fulfilled. 7253
- 2775. First, it is required that the perpetrator destroyed certain property. The property, including movable or immovable, private or public items, ⁷²⁵⁴ is 'destroyed', either by act or omission, if it is set ablaze, demolished, pulled down or so badly damaged it is no longer fit for purpose. ⁷²⁵⁵
- 2776. Second, the crime of destruction of property requires that such property was property of an adversary. The property must belong to the 'adversary', namely to individuals or entities considered to be aligned to the opposing party to the conflict adverse or hostile to the perpetrator. With regard to the destruction of property belonging to persons who had no stated or apparent allegiance to a party involved in the conflict, the Chamber notes that it may be established that these persons or entities were 'adverse', or considered as such by the perpetrators, for example by showing that they were not aligned to or supportive of the perpetrators' party or its objectives. Table 1
- 2777. Third, such property must have been protected from that destruction under the international law of armed conflict. The property is protected under international law when it does not constitute 'military objectives', namely 'objects which by their nature, location, purpose or use make an effective contribution to military action and whose total or partial destruction, capture or neutralization, in the circumstances ruling at the time, offers a definite military advantage'. Whether or not the action offered a 'military advantage' must be evaluated from the attacker's perspective for each targeted object and 'such advantage must be definite and cannot in any way be indeterminate or

⁷²⁵³ See paras 1-3, 5 of the Elements of Crimes of Article 8(2)(e)(xii) of the Statute.

⁷²⁵⁴ <u>Katanga Trial Judgment</u>, para. 892; Pre-Trial Chamber I, *The Prosecutor v. Callixte Mbarushimana*, <u>Public Redacted Version of Decision on the confirmation of charges</u>, 16 December 2011, ICC-01/04-01/10-465-Red, para. 171.

⁷²⁵⁵ <u>Katanga Trial Judgment</u>, para. 891; Pre-Trial Chamber I, *The Prosecutor v. Callixte Mbarushimana*, <u>Public Redacted Version of Decision on the confirmation of charges</u>, 16 December 2011, ICC-01/04-01/10-465-Red, para. 171.

⁷²⁵⁶ <u>Katanga Trial Judgment</u>, para. 892; Pre-Trial Chamber I, *The Prosecutor v. Callixte Mbarushimana*, <u>Public Redacted Version of Decision on the confirmation of charges</u>, 16 December 2011, ICC-01/04-01/10-465-Red, para. 171.

⁷²⁵⁷ Ntaganda Trial Judgment, para. 1160.

⁷²⁵⁸ Article 52(2) of Additional Protocol I; Katanga Trial Judgment, para. 893.

- potential'. ⁷²⁵⁹ As a result, objects of the adversary lose their protection under international law of armed conflict if and for such time as they are 'military objects'. ⁷²⁶⁰
- 2778. Fourth, the perpetrator must have been 'aware of the factual circumstances that established the status of the property'. 7261
- 2779. Fifth, the crime of destruction of property requires that the destruction was not required by military necessity. This relates to situation where destruction is 'imperatively demanded by the necessities of the conflict'. Such a compelling necessity must be assessed on a case-by-case basis. 7262
 - 2. Mode of liability Commission (Article 25(3)(a) of the Statute)
- 2780. In this section, the Chamber will set out the applicable law for the mode of liability relevant to its findings which, for the reasons provided in the present judgment, is the responsibility under Article 25(3)(a) of the Statute as direct or indirect perpetrator, or indirect co-perpetrator, as the case may be. Indeed, in light of the Chamber's findings, it is unnecessary to set out the requirements for the alternative modes of liability charged by the Prosecution and confirmed by the Pre-Trial Chamber. ⁷²⁶³
- 2781. Article 25(3)(a) of the Statute provides that a person shall be criminally responsible and liable for punishment for a crime within the jurisdiction of the Court if that person commits such a crime, whether as an individual, jointly with another or through another person, regardless of whether that other person is criminally responsible.
 - i. Commission 'as an individual' (direct perpetration)
- 2782. Direct perpetrators must personally carry out the material elements of the crime with the requisite intent and knowledge pursuant to Article 30 of the Statute as well as any further specific provision. 7264

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⁷²⁵⁹ Katanga Trial Judgment, para. 893.

⁷²⁶⁰ Katanga Trial Judgment, para. 893.

Para. 4 of the Elements of Crimes of Article 8(2)(e)(xii) of the Statute.

⁷²⁶² Katanga Trial Judgment, para. 894.

⁷²⁶³ Other trial judgments have proceeded similarly. Trial Chamber VIII, *The Prosecutor v. Ahmad Al Faqui Al Mahdi*, <u>Judgement and Sentence</u>, 27 September 2016, ICC-01/12-01/15-171, para. 20; <u>Ntaganda Trial Judgment</u>, para. 1200.

^{. 7264} Ntaganda Trial Judgment, para. 735; <u>Bemba et al. Trial Judgment</u>, para. 58.

- ii. Commission 'through another person' (indirect perpetration)
- 2783. An indirect perpetrator controls the person or persons who execute the material elements of the crime by subjugating their will. 7265 This subjugation of the will is what distinguishes indirect perpetration from ordering, where a lesser degree of influence is required. The crucial element is the perpetrator's control of the action of another person or persons to such a degree that the will of that person becomes irrelevant, such that their action must be attributed to the perpetrator as if it were his or her own. 7266 Whether the controlled person is also criminally responsible for the crime is irrelevant. 7267
- 2784. The subjugation of the will of the person or persons who execute the material elements of the crime may occur in various ways. The use of an organisation is one such way, 7268 but this is a factual consideration and not a requirement for establishing this mode of liability. In such a case, while the potential physical perpetrators are interchangeable within the organisation, 7269 the criterion of control means that the indirect perpetrator used 'at least part of the apparatus of power subordinate to him or her, so as to steer it intentionally towards the commission of the crime, without leaving one of the subordinates at liberty to decide whether the crime is to be executed. '7270
- 2785. In addition, for an indirect perpetrator to be individually criminally responsible, he or she must satisfy the subjective elements as required by Article 30 and any further specific provision.
 - iii. Commission 'jointly with another' and 'through another person' (indirect co-perpetration)
- 2786. Joint commission (co-perpetration) is when the execution of the material elements of the crime results from the actions of a plurality of persons. ⁷²⁷¹ The execution of the material elements of the crime by the co-perpetrators may take place through yet another person or persons, including, as just stated above, through the use of an organization to control

⁷²⁶⁵ See <u>Ntaganda Trial Judgment</u>, paras 774, 777-79 (discussed in the context of indirect co-perpetration); <u>Katanga Trial Judgment</u>, paras 1396, 1402-03, 1406. See also <u>Confirmation Decision</u>, paras 38-40.

⁷²⁶⁶ Ntaganda Trial Judgment, para. 777; Confirmation Decision, paras 39-40.

⁷²⁶⁷ Article 25(3)(a) of the Statute (the person is responsible for committing a crime through another person 'regardless of whether that other person is criminally responsible').

⁷²⁶⁸ Ntaganda Trial Judgment, para. 778; Katanga Trial Judgment, paras 1403-06.

⁷²⁶⁹ Ntaganda Trial Judgment, para. 778; Katanga Trial Judgment, para. 1408.

⁷²⁷⁰ Ntaganda Trial Judgment, para. 778; Katanga Trial Judgment, para. 1411.

⁷²⁷¹ <u>Lubanga Appeals Judgment</u>, para. 445. See also <u>Confirmation Decision</u>, para. 38.

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such persons. This is what the Chamber understands 'indirect co-perpetration' to be in the confirmed charges. ⁷²⁷² Defence arguments that indirect co-perpetration has no statutory basis ⁷²⁷³ are misconceived because, as understood by this Chamber, indirect co-perpetration is nothing more than a particular form of committing a crime 'jointly with another' under Article 25(3)(a) of the Statute.

2787. Indirect co-perpetration requires the following objective elements: (i) the existence of an agreement or common plan, between the accused and one of more other persons, to commit the crimes or to engage in conduct which, in the ordinary course of events, would result in the commission of the crimes; and (ii) the control of the members of the common plan over a person or persons who execute the material elements of the crimes by subjugating the will of the direct perpetrators. The accused, though not required to carry out the criminal conduct directly and personally, must have control over the crime 'by virtue of his or her essential contribution to it and the resulting power to frustrate its commission'. The accused is a commission is a commission is a commission'. The accused is a commission is a commission'. The accused is a commission is a commission is a commission'. The accused is a commission is a commission

2788. In addition, for an indirect co-perpetrator to be individually criminally responsible, he or she must satisfy the subjective elements as required by Article 30 and any further specific provision. In particular, the indirect co-perpetrator must have meant to engage in the conduct which constituted his or her essential contribution, 7276 and must have intended the execution of the material elements of the crime or have been aware that the implementation of the agreement between the co-perpetrators would in the ordinary course of events result in execution of the material elements of the crimes. 7277

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⁷²⁷² See Confirmation Decision, paras 38-39. Similarly Ntaganda Trial Judgment, para. 772 (citations removed: '[h]owever, the Chamber understands that in the current case, the concept of indirect co-perpetration entails a form of co-perpetration where the common plan is executed through other persons, who function as a tool of all of the co-perpetrators. In this sense, 'indirect co-perpetration' in this case should not be seen as a stand-alone mode of liability, but as a particular form of co-perpetration, which is compatible with the wording of the Statute.'); Al Hassan Confirmation Decision, para. 809.

⁷²⁷³ Defence Closing Brief, para. 183.

⁷²⁷⁴ Ntaganda Trial Judgment, para. 777.

^{7275 &}lt;u>Lubanga Appeals Judgment</u>, para. 473.

⁷²⁷⁶ Article 30(2)(a) of the Statute.

⁷²⁷⁷ Article 30(2)(b) of the Statute.

B. Legal characterisation of the facts

1. Introduction

2789. Above, the Chamber has established, by way of detailed analysis of the evidence, the facts of the case. The facts of the case. It has also excluded, on the evidence, the applicability of grounds excluding criminal responsibility under Article 31 of the Statute. In the present section, the Chamber proceeds to the subsumption of the factual findings within the legal characterisation proposed in the corresponding charges, *i.e.* to the application of the law, as laid out above, to the factual findings and the analysis of each element of each of the crimes charged – including the constituent elements of Dominic Ongwen's criminal responsibility.

2790. The Chamber addresses first the two sets of contextual elements, crimes against humanity and war crimes, of the crimes charged. Thereafter, it proceeds in the order of the charges as presented, addressing in turn the charges: (i) related to the attack on Pajule IDP camp; (ii) related to the attack on Odek IDP camp; (iii) related to the attack on Lukodi IDP camp; (iv) related to the attack on Abok IDP camp; (v) of sexual and gender-based crimes directly perpetrated by Dominic Ongwen; (vi) of sexual and gender-based crimes not directly perpetrated by Dominic Ongwen; and (vii) of conscription and use in hostilities of children under the age of 15 years. In each of the sub-sections, with the exception of the charges of sexual and gender-based crimes directly perpetrated by Dominic Ongwen where no further division is necessary, the Chamber proceeds again in two steps, addressing first the specific objective elements of each charged crime, and second the individual criminal responsibility of Dominic Ongwen, including the mental elements of the crimes.

2791. The Chamber notes that some charges, in particular as concerns the modes of liability under Articles 25(3) and 28 of the Statute, are presented in the alternative. As laid out below at appropriate points in the analysis, the Chamber accepts the primary alternative stated in the charge, which is responsibility under Article 25(3)(a) of the Statute as direct or indirect perpetrator, or indirect co-perpetrator, as the case may be. The primary

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⁷²⁷⁸ See section III, the Chamber's discussion of the Findings of Facts above.

alternative being established, the Chamber does not proceed to consider the further subsidiary alternative modes of liability.

2792. As also indicated in the present section, the Chamber has not retained some legal qualifications of facts proposed in the charges on account of impermissible concurrence of crimes. Concurrence of crimes, also referred to as cumulative conviction, is a situation where the same facts satisfy the legal definition of multiple crimes. In this regard, the Chamber notes that there is no provision in the Statute explicitly requiring it to exclude some legal qualifications of facts on the ground that they are in impermissible concurrence with other legal qualifications of the same facts; also, the provisions on sentencing may be considered in themselves adequate to address, in the context of the determination of the sentence to be imposed, those instances in which a person is convicted of more than one crime on the basis of the same conduct. 7279 Nonetheless, the Chamber agrees with the consistent stance of Trial Chambers of the Court that there are certain limitations on the permissibility of concurrence of crimes and consequential cumulative convictions. In particular, it has consistently been held that convictions may be entered cumulatively if the conduct in question violates two distinct provisions of the Statute, each having a 'materially distinct' element not contained in the other, i.e. an element which requires proof of a fact not required by the other. 7280

2793. The Defence argued that the Statute requires 'a conduct-based test, not merely elements of a crime' as the standard of assessing concurrence of crimes. The submission is that the 'prohibition on subsequent prosecutions for the same conduct, and consequently multiple convictions, should be considered as broader than merely a legal elements test'. The Prosecutor responded that 'there is no need to resort to a *conduct-based* approach addressed to article 20(1) situations to resolve the question' and that '[a]

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⁷²⁷⁹ See Article 78(3) of the Statute, giving the Trial Chamber discretion to impose for multiple crimes a total sentence equal to the highest individual sentence.

⁷²⁸⁰ <u>Bemba et al. Trial Judgment</u>, para. 951; <u>Bemba Trial Judgment</u>, paras 747-748; <u>Katanga Trial Judgment</u>, para. 1695.

⁷²⁸¹ Motion for Immediate Ruling on Standard to Assess Multiple Charging and Convictions, 9 December 2019, ICC-02/04-01/15-1697 (hereinafter: 'Motion for Immediate Ruling on Standard to Assess Multiple Charging and Convictions'), p. 5.

Motion for Immediate Ruling on Standard to Assess Multiple Charging and Convictions, para. 23. See also paras 24-33.

materially distinct legal-elements approach is the correct approach to apply at the Court for the imposition of multiple convictions'. 7283

- 2794. The so-called 'conduct-based test' put forward by the Defence is primarily based on Article 20(1) of the Statute. However, the Chamber does not accept the argument of the Defence that Article 20 of the Statute contains 'guiding law on the interpretation for multiple convictions within one case'. 7284 This is because Article 20 of the Statute, by its terms, regulates consecutive trials for the same conduct, and protects persons from being unduly subjected to criminal proceedings twice, as well as the finality of judgments and thus the integrity of the legal system. In its three paragraphs, Article 20 of the Statute also places obligations both on the Court and on States Parties, seeking to regulate with precision the different situations, notably related also to the Court's jurisdiction being limited ratione materiae, as opposed to the jurisdiction of States. As also observed by the Appeals Chamber, ⁷²⁸⁵ it is evident that the procedural situations foreseen by Article 20 of the Statute are entirely different from the one at hand: concurrence of crimes within single criminal proceedings before the Court.
- 2795. Thus, contrary to the submission by the Defence, there is no basis in Article 20 of the Statute for the so-called 'conduct-based test' in relation to concurrence of crimes. However, this does not mean that the analysis as to the permissibility of concurrence of crimes - and consequent cumulative conviction - is entirely abstract. Indeed, as explained, the test based on materially distinct legal elements defines such elements as those which require proof of a fact not required by the other.
- 2796. In this regard, the Chamber is also mindful of the recent statement of the Appeals Chamber in the Bemba et al. case, where the Appeals Chamber, on the one hand, found no error in the application of the test based on materially distinct legal elements in the concrete circumstances, while, on the other hand, observing that 'it is arguable that a bar to multiple convictions could also arise in situations where the same conduct fulfils the elements of two offences even if these offences have different legal elements, for instance if one offence is fully consumed by the other offence or is viewed as subsidiary to it'. 7286

⁷²⁸³ Prosecution's Response to Defence's 'Motion for Immediate Ruling on Standard to Assess Multiple Charging and Convictions', 20 December 2019, ICC-02/04-01/15-1704, para. 3.

Motion for Immediate Ruling on Standard to Assess Multiple Charging and Convictions, para. 10. See also Bemba et al. Appeals Judgment, para. 748.

⁷²⁸⁶ Bemba et al. Appeals Judgment, para. 751.

The Chamber agrees that there may be situations in which crimes requiring *in abstracto* different legal elements may nevertheless be in impermissible concurrence, and bears this in mind in its analysis of the concrete questions posed in this case.

2797. The Chamber observes that, in the present case, situations in which the same conduct fulfils the legal elements of more than one crime include: (i) the concurrence of analogous crimes against humanity under Article 7 and war crimes under Article 8 of the Statute; 7287 (ii) the concurrence of torture and cruel treatment as war crimes under Article 8(2)(c)(i) of the Statute; 7288 (iii) the concurrence of torture and other inhumane acts as crimes against humanity under Article 7(1)(f) and (k) of the Statute; 7289 (iv) the concurrence of enslavement and sexual slavery as crimes against humanity under Article 7(1)(f) and (g) of the Statute; 7290 and (v) the concurrence of rape and sexual slavery, both as crimes against humanity under Article 7(1)(g) of the Statute, and as war crimes under Article 8(2)(e)(vi) of the Statute. These concrete questions of concurrence of crimes are discussed in their immediate context in the legal analysis below.

2. Contextual elements

i. Contextual elements of crimes against humanity

2798. The Chamber found that throughout the period of the charges, i.e. from 1 July 2002 to 31 December 2005, in Northern Uganda, the LRA killed, injured and enslaved a large number of civilians in numerous attacks on individual civilians, IDP camps and other civilian locations. It also abducted and enslaved, and used as sexual slaves and so-called 'wives', and as domestic servants, a large number of civilians. This constitutes a course of conduct involving the multiple commission of acts referred to in Article 7(1) of the Statute, directed against a civilian population, i.e. the civilians of Northern Uganda, within the meaning of Article 7(2)(a) of the Statute.

⁷²⁸⁷ See paras 2818-2821 below.

⁷²⁸⁸ See paras 2835, 2893, 2946, 2992 below.

⁷²⁸⁹ See paras 2837, 2891, 2944, 2990 below.

⁷²⁹⁰ See paras 3051, 3086 below.

⁷²⁹¹ See paras 3036-3039, 3079 below.

⁷²⁹² See para. 143 above.

⁷²⁹³ See para. 143 above.

2799. Moreover, as found by the Chamber, the LRA had a hierarchical structure, with Joseph Kony being the highest authority. T294 During the period relevant to the charges, his deputy was Vincent Otti, who led a headquarters unit called Control Altar. T295 The LRA was further divided into four brigades: Sinia, Stockree, Gilva and Trinkle. T296 From 2003, there was also a division called Jogo. T297 The brigades were divided into battalions and further into companies or 'coys'. Each of these units was led by a commander. Orders were generally communicated from Joseph Kony directly or through Vincent Otti to the brigade commanders, who communicated them to the battalion commanders, who in turn passed them to their subordinates. Joseph Kony's orders were generally complied with. At the same time, in particular when Joseph Kony was geographically removed from LRA units, brigade and battalion commanders took their own initiatives.

2800. The LRA had at its disposal weapons and ammunition for use in military operations. ⁷³⁰³ It regularly seized weapons from the UPDF during combat. ⁷³⁰⁴ It also obtained weapons and other supplies from Sudan. ⁷³⁰⁵ The LRA supplied itself with food, medicines and other items of use by looting from civilians in Northern Uganda, in particular from IDP camps. ⁷³⁰⁶ The LRA relied on high-frequency radio as the principal mode of communication between units in various locations in Northern Uganda and Sudan. ⁷³⁰⁷

2801. The Chamber also found that the LRA perceived as associated with the Government of Uganda, and thus as the enemy, the civilians living in Northern Uganda, in particular those who lived in government-established IDP camps in Northern Uganda. 7308 LRA

⁷²⁹⁴ See para. 123 above.

⁷²⁹⁵ See para. 123 above.

⁷²⁹⁶ See para. 123 above.

⁷²⁹⁷ See para. 123 above.

⁷²⁹⁸ *See* para. 123 above.

⁷²⁹⁹ *See* para. 123 above.

⁷³⁰⁰ *See* para. 124 above.

⁷³⁰¹ *See* para. 124 above.

⁷³⁰² *See* para. 124 above.

⁷³⁰³ *See* para. 125 above.

⁷³⁰⁴ *See* para. 125 above.

⁷³⁰⁵ *See* para. 125 above.

⁷³⁰⁶ *See* para. 125 above.

⁷³⁰⁷ See para. 125 above.

⁷³⁰⁸ *See* para. 140 above.

commanders routinely declared that civilians were failing to support the LRA in its effort against the government and should be killed by the LRA. 7309

- 2802. On the basis of these facts, the Chamber finds, first, that the LRA meets the definition of an 'organisation' for the purposes of Article 7(2)(a) of the Statute. Further, the repeated occurrence of attacks on individual civilians, IDP camps and other civilian locations, ⁷³¹⁰ and the LRA's perception of civilians living in Northern Uganda as associated with the Government of Uganda, and thus as the enemy, of civilians living in Northern Uganda⁷³¹¹ as well as the fact that the LRA abducted and enslaved, and used as sexual slaves and so-called 'wives', and as domestic servants, a large number of civilians in a coordinated and methodical effort, ⁷³¹² reveal that the acts described above as an attack against the civilian population occurred pursuant to a policy to attack the civilian population.
- 2803.On the basis of the temporal and geographic extent of the attack, the Chamber finds that it was widespread within the meaning of Article 7(1) of the Statute. In addition, considering that throughout the period of the charges the LRA attacked civilians living in Northern Uganda whom, as recalled, it perceived as associated with the Government of Uganda ⁷³¹³ and considering again that the LRA, as part of a coordinated and methodical effort, abducted and enslaved, and used as sexual slaves and so-called 'wives', and as domestic servants, a large number of civilians, ⁷³¹⁴ the Chamber finds that the attack was also systematic in nature.
- 2804. The crimes charged in relation to the attacks on Pajule IDP camp, Odek IDP camp, Lukodi IDP camp and Abok IDP camp under Counts 2, 4, 8, 10, 12, 14, 16, 20, 23, 25, 27, 29, 33, 36, 38, 40, 42, 46 and 49 were committed, as discussed below, in the context of specific LRA attacks on these four IDP camps in Northern Uganda. Considering that the LRA perceived civilians living in Northern Uganda as associated with the Government of Uganda, and thus as the enemy, in particular those who lived in government-established IDP camps in Northern Uganda, 7315 the four specific attacks

⁷³⁰⁹ *See* para. 140 above.

⁷³¹⁰ *See* para. 143 above.

⁷³¹¹ See para. 140 above.

⁷³¹² See paras 143, 212 above.

⁷³¹³ See paras 140, 143 above.

⁷³¹⁴ See paras 143, 212 above.

⁷³¹⁵ See para. 140 above.

form part of the attack against the civilian population within the meaning of Article 7 of the Statute as defined in this section. As concerns the crimes charged under Counts 50, 51, 53, 55, 57, 58, 61, 62, 64, 66 and 68, the Chamber found that throughout the period of charges, in Northern Uganda, the LRA also abducted and enslaved, and used a large number of civilians as sexual slaves and so-called 'wives', and as domestic servants. The crimes found under the enumerated counts fall squarely within this category, considering the type of conduct and the characteristics of the victims. Accordingly, the Chamber finds, in respect of Counts 2, 4, 8, 10, 12, 14, 16, 20, 23, 25, 27, 29, 33, 36, 38, 40, 42, 46, 49, 50, 51, 53, 55, 57, 58, 61, 62, 64, 66 and 68, that the conduct was committed as part of the widespread and systematic attack directed against a civilian population, as required by Article 7(1) of the Statute.

2805. The Chamber found that Dominic Ongwen knew that throughout the period of charges, in Northern Uganda, the LRA killed and injured a large number of civilians in numerous attacks on individual civilians, IDP camps and other civilian locations, and that it abducted and enslaved, and used as sexual slaves and so-called 'wives', and as domestic servants, a large number of civilians. The addition, the Chamber found that Dominic Ongwen knew that the LRA perceived (and also himself perceived) the civilians living in Northern Uganda as associated with the Government of Uganda – and thus as the enemy. On this basis, the Chamber finds that Dominic Ongwen knew that the conduct in relation to the crimes charged under Counts 2, 4, 8, 10, 12, 14, 16, 20, 23, 25, 27, 29, 33, 36, 38, 40, 42, 46, 49, 50, 51, 53, 55, 57, 58, 61, 62, 64, 66 and 68 was part of a widespread and systematic attack directed against a civilian population.

2806.On the basis of the above, the Chamber concludes that the contextual elements are satisfied in respect of the crimes against humanity charged under Counts 2, 4, 8, 10, 12, 14, 16, 20, 23, 25, 27, 29, 33, 36, 38, 40, 42, 46, 49, 50, 51, 53, 55, 57, 58, 61, 62, 64, 66 and 68.

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⁷³¹⁶ *See* para. 143 above.

⁷³¹⁷ *See* para. 143 above.

⁷³¹⁸ *See* para. 141 above.

ii. Contextual elements of war crimes

2807. In relation to the facts underlying the contextual elements of the charged war crimes, the Chamber recalls again its findings to the effect that the LRA had a hierarchical structure, with Joseph Kony being the highest authority in this structure. Tale During the time period relevant to the charges, i.e. between 1 July 2002 and 31 December 2005, his deputy was Vincent Otti, who led a headquarters unit called Control Altar. Tale Further, the LRA was divided into four brigades: Sinia, Stockree, Gilva and Trinkle. Tale From 2003, there was also a division called Jogo. Tale brigades were divided into battalions and further into companies or 'coys'. Tale Berlin Berl

2808. Moreover, it must be recalled again that the LRA had at its disposal weapons and ammunition for use in military operations. ⁷³²⁸ It regularly seized weapons from the UPDF during combat. ⁷³²⁹ It also obtained weapons and other supplies from Sudan. ⁷³³⁰ The LRA supplied itself with food, medicines and other items of use by looting from civilians in Northern Uganda, in particular from IDP camps. ⁷³³¹ The LRA relied on high-frequency radio as the principal mode of communication between units in various locations in Northern Uganda and Sudan. ⁷³³²

2809.On this basis, the Chamber finds that the LRA constituted an organised armed group. The Chamber notes that the LRA's military adversary were the armed forces of the

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<sup>7319</sup> See para. 123 above.
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⁷³²⁰ *See* para. 123 above.

⁷³²¹ *See* para. 123 above.

⁷³²² *See* para. 123 above.

⁷³²³ *See* para. 123 above.

⁷³²⁴ *See* para. 123 above.

⁷³²⁵ *See* para. 124 above.

⁷³²⁶ See para. 124 above.

⁷³²⁷ *See* para. 124 above.

⁷³²⁸ *See* para. 125 above.

⁷³²⁹ See para. 125 above.

⁷³³⁰ *See* para. 125 above.

⁷³³¹ See para. 125 above.

⁷³³² *See* para. 125 above.

Government of Uganda and associated local armed units, i.e. a State.⁷³³³ Thus, the organisational requirement of the contextual elements of war crimes is met.

- 2810. The Chamber further found that throughout the period of charges, the LRA regularly fought the armed forces of the Government of Uganda and associated local armed units in Northern Uganda. Accordingly, the military conflict was of such intensity that surpassed the threshold required by the contextual elements of war crimes. It was also protracted in nature, noting that it spanned the entire period relevant to the charges, i.e. 1 July 2002 to 31 December 2005.
- 2811. The armed conflict in question was not of an international character. The Chamber notes the argument of the Defence that the conflict was international 'because the operational command decisions on the war were made by Kony from Sudan, the weaponry for the Prosecution of the war came from Sudan, and the multinational forces conducted war operations against the LRA and Kony in Sudan'. 7335
- 2812. In this regard, the Chamber refers to its analysis of the applicable law on the question of the conditions under which a conflict that starts out as a non-international armed conflict may become international. Tail its evidentiary analysis, the Chamber has addressed this issue and no factual finding was made which could sustain the qualification of the armed conflict between the LRA and the government forces in Northern Uganda as international in nature. Tail At the same time, the Chamber observes that the facts specifically referred to by the Defence as allegedly demonstrating the international character of the armed conflict in Northern Uganda in fact do not have that effect. First, that Joseph Kony was physically located in Sudan for much of the period of time relevant to the charges is not relevant to the issue under consideration. Second, the fact that the LRA obtained some of the weapons that it used for its fight against the Ugandan government forces also from Sudan a fact established by the Chamber against the Ugandan government forces also from Sudan a fact established by the Chamber against the Ugandan government forces also from Sudan a fact established by the Chamber against the Ugandan government forces also from Sudan a fact established by the Chamber against the Ugandan government forces also from Sudan a fact established by the Chamber against the Ugandan government forces also from Sudan a fact established by the Chamber against the Ugandan government forces also from Sudan a fact established by the Chamber against the Ugandan government forces also from Sudan a fact established by the Chamber against the Ugandan government forces also from Sudan a fact established by the Chamber against the Ugandan government forces also from Sudan a fact established by the Chamber against the Ugandan government forces also from Sudan a fact established by the Chamber against the Ugandan government forces also from Sudan a fact established by the Chamber against the Ugandan govern

⁷³³³ See para. 142 above.

⁷³³⁴ *See* para. 142 above.

^{7335 &}lt;u>Defence Closing Brief</u>, para. 305.

⁷³³⁶ See paras 2686, 2687 above.

⁷³³⁷ See para. 1154 above. See also para. 876.

⁷³³⁸ *See* para. 125 above.

mentioned which may have simultaneously taken place in Sudan – which the Chamber made no finding on – do not have an impact on the qualification of the armed conflict in Northern Uganda.

2813.In relation to the crimes charged as having occurred during the LRA attacks on Pajule IDP camp, Odek IDP camp, Lukodi IDP camp and Abok IDP camp under Counts 1, 3, 5, 9, 11, 13, 15, 17, 21, 22, 24, 26, 28, 30, 34, 35, 37, 39, 41, 43, 47 and 48, the Chamber recalls that the LRA perceived civilians living in Northern Uganda, in particular those who lived in government-established IDP camps in Northern Uganda as associated with the Government of Uganda, and thus as the enemy. 7339 In addition, simultaneously to committing crimes against civilian residents of the IDP camps, LRA soldiers during the four attacks also fought the government forces present. 7340 These circumstances demonstrate conclusively that the conduct underlying the crimes charged under Counts 1, 3, 5, 9, 11, 13, 15, 17, 21, 22, 24, 26, 28, 30, 34, 35, 37, 39, 41, 43, 47 and 48 took place in the context of and was associated with the non-international armed conflict as described.

2814. As concerns the crimes charged under Counts 52, 54, 56, 59, 60, 63, 65 and 67, which relate to sexual and gender based violence against abducted women and girls, the Chamber found that the abducted women and girls were held captive in the Sinia brigade of the LRA at the time when the LRA was engaged in an armed conflict with the Government of Uganda. The principal aim of the LRA's abduction of women and girls in Northern Uganda was for them to serve as so-called wives of members of Sinia brigade, and as domestic servants. As such, the abduction of women and girls was designed to support the LRA in its activity. These circumstances demonstrate conclusively that the conduct underlying the crimes charged under Counts 52, 54, 56, 59, 60, 63, 65 and 67 took place in the context of and was associated with the non-international armed conflict as described.

2815. Finally, in relation to Counts 69 and 70, i.e. the conscription and use in hostilities of children under the age of 15, the Chamber notes that because the children were integrated

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⁷³³⁹ *See* para. 140 above.

⁷³⁴⁰ See paras 147, 164, 181, 194 above.

⁷³⁴¹ See paras 142, 205, 211, 213 above.

⁷³⁴² *See* para. 212 above.

- into the LRA, and in fact also regularly participated in the hostilities with the Ugandan government forces, ⁷³⁴³ the nexus requirement is met.
- 2816. The Chamber found, on facts, that Dominic Ongwen knew that throughout the period of charges, the LRA fought the armed forces of the Government of Uganda and associated local armed units in Northern Uganda. Accordingly, the relevant legal element of war crimes in terms of knowledge on the part of the perpetrator is established.
- 2817.On the basis of the above, the Chamber concludes that the contextual elements are satisfied in respect of the war crimes charged under Counts 1, 3, 5, 9, 11, 13, 15, 17, 21, 22, 24, 26, 28, 30, 34, 35, 37, 39, 41, 43, 47, 48, 52, 54, 56, 59, 60, 63, 65, 67, 69 and 70.
 - iii. Concurrence of analogous crimes against humanity and war crimes
- 2818. Several underlying crimes are charged in the present case both as crimes against humanity under Article 7 of the Statute and as war crimes under Article 8 of the Statute. The Defence addressed this issue specifically, arguing that 'multiple convictions [are] barred' in such cases of '[o]verlapping war crimes and crimes against humanity'. 7345 The Defence argues for this result primarily on the basis that both charges are based on the same conduct, but adds that '[e]ven if the Court follows the elemental approach, the analysis should consist solely of a comparison of the *actus reus* and *mens rea* elements and not the contextual chapeau elements'. 7346 In its response to the Defence submission, the Prosecution stated that '[c]ontextual elements are mandatory constituent components of the crimes in the Court's jurisdiction' and 'must form part of any assessment of whether multiple convictions should be entered when the different charges are based on the same underlying conduct'. 7347
- 2819. This issue with regard to analogous war crimes and crimes against humanity concerns the charges of: murder and attempted murder as a crime against humanity under Article 7(1)(a) of the Statute and as a war crime under Article 8(2)(c)(i) of the Statute (Counts 2-3, 12-13, 14-15, 25-26, 27-28, 38-39, 40-41); torture as a crime against humanity under Article 7(1)(f) of the Statute and as a war crime under Article 8(2)(c)(i) of the Statute

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⁷³⁴³ See paras 224, 225 above.

⁷³⁴⁴ *See* para. 142 above.

⁷³⁴⁵ Motion for Immediate Ruling on Standard to Assess Multiple Charging and Convictions, para, 40.

⁷³⁴⁶ Motion for Immediate Ruling on Standard to Assess Multiple Charging and Convictions, paras 42-43.

⁷³⁴⁷ Prosecution's Response to Defence's 'Motion for Immediate Ruling on Standard to Assess Multiple Charging and Convictions', 20 December 2019, ICC-02/04-01/15-1704, paras 33, 38.

(Counts 4-5, 16-17, 29-30, 42-43, 51-52, 62-63); rape as a crime against humanity under Article 7(1)(g) of the Statute and as a war crime under Article 8(2)(e)(vi) of the Statute (Counts 53-54, 64-65); sexual slavery as a crime against humanity under Article 7(1)(g) of the Statute and as a war crime under Article 8(2)(e)(vi) of the Statute (Counts 55-56, 66-67); and forced pregnancy as a crime against humanity under Article 7(1)(g) of the Statute and as a war crime under Article 8(2)(e)(vi) of the Statute (Counts 58-59). It must be noted immediately however that murder and torture each contain further differences – beyond the different contextual elements – in the legal elements of, respectively, Articles 7(1)(a) and 8(2)(c)(i), and Articles 7(1)(f) and 8(2)(c)(i) of the Statute.

2820. That said, the Chamber does not consider that contextual elements are qualitatively different from the specific elements of the crimes, and that they should for that reason be set aside in the consideration of whether concurrence of analogous crimes against humanity and war crimes is impermissible. The contextual elements of crimes against humanity on the one hand and war crimes on the other hand require proof of facts not required by the other. 7348 In addition, contrary to the essence of the argument of the Defence, the contextual elements of crimes in the jurisdiction of the Court are not neutral as concerns the qualitative legal evaluation of the charged conduct. Indeed, beyond their unitary function of distinguishing crimes within the material jurisdiction of the Court from ordinary crimes falling outside such jurisdiction, the statutory contextual elements of crimes, considered individually, encapsulate distinct interests protected by the corresponding incriminating provisions under the Statute. Indeed, and as far as the charges in the present case are concerned, the Chamber observes that war crimes give protection in criminal law to persons in times of armed conflict, whereas crimes against humanity protect persons where there is a widespread and systematic attack on a civilian population. Thus, the two sets of crimes reflect (partly) different forms of criminality, in that they complement, in terms of protected interests, the incrimination of the individual 'specific' crimes – which, in turn, are therefore distinct depending (also) on the relevant contextual elements. In these circumstances, neither of these two sets of crimes can thus be said to be subsumed or consumed in any way by the other.

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⁷³⁴⁸ See also <u>Ntaganda Trial Judgment</u>, para. 1203; <u>Bemba Trial Judgment</u>, para. 750; <u>Katanga Trial Judgment</u>, para. 1696.

- 2821. Accordingly, the Chamber finds that concurrence of analogous crimes against humanity and war crimes is permissible.
 - 3. Attack on Pajule IDP camp (Counts 1 to 10)
 - i. Specific objective elements of the charged crimes
 - a. Attack against the civilian population as such (Count 1)
- 2822. Under Count 1, Dominic Ongwen is charged with attack against the civilian population as such as a war crime, pursuant to Article 8(2)(e)(i) of the Statute, on or about 10 October 2003, at or near Pajule IDP camp.
- 2823. At the outset, the Chamber observes that the Defence makes the argument equally applicable to the charges in relation to the attacks on Odek, Lukodi and Abok IDP camps - that it is 'incorrect to use Article 7 crimes as an underlying conduct for an Article 8 war crime'. 7349 On this basis, the Defence 'objects to the Prosecution qualifying murder as a crime against humanity ('CAH') (counts 2, 12, 25, 38), attempted murder as a CAH (counts 14, 27, 40), torture as a CAH (counts 4, 16, 29, 42), other inhumane acts as a CAH (counts 7, 18, 31, 44) and enslavement (counts 8, 20, 33, 46) as an underlying conduct of the war crime of attack against a civilian population'. 7350 Alternatively, the Defence also argues that torture under Article 7(1)(f) of the Statute and enslavement under Article 7(1)(c) of the Statute specifically 'cannot amount to an underlying conduct for the war crime of attack against a civilian population' on the ground that under their statutory definitions these crimes cannot be committed 'before the individuals fall into the hands of the attacking party, as required by Article 8(2)(e)(i). ⁷³⁵¹ The Chamber holds that any discussion of qualifying one charged crime (also) as another, or to 'use' one crime as the underlying conduct for another charge (as opposed to the qualification of a fact) is legally and methodologically unsound. The charges do not contain any such language; they refer – correctly – to the relevant underlying conduct of the different crimes charged, rather than to other crimes as such. The Defence points to the Prosecution Pre-Trial Brief, which, however, merely uses crimes and counts of the charges as crossreferences within the structure of its submissions in the Pre-Trial Brief. 7352 Thus, contrary

⁷³⁴⁹ Defence Closing Brief, para. 468.

⁷³⁵⁰ Defence Closing Brief, para. 468.

⁷³⁵¹ Defence Closing Brief, para. 470.

⁷³⁵² Prosecution Pre-Trial Brief, paras 217, 295, 377, 437.

to the Defence submission, there exists no issue in the present case of 'qualifying' crimes against humanity as the underlying conduct of the war crime of attack against the civilian population as such.

2824. Turning to the facts established in relation to the attack on Pajule IDP camp, the Chamber finds that the specific material elements of the crime, namely that the perpetrator directed an attack and that the object of the attack was a civilian population as such or individual civilians not taking part in hostilities, are met, considering that a large group of LRA fighters went to Pajule IDP camp and attacked the civilian camp. The Chamber also found that the attackers were armed with an assortment of weapons, including SPG-9, AK-47s, a 12.7 mm anti-aircraft gun, RPGs, a PKM machine gun as well as pangas/machetes and knives. In the conclusion of the Chamber, the object of the attack was the civilian population of Pajule IDP camp as such.

b. Murder (Counts 2-3)

2825. Under Counts 2 and 3, Dominic Ongwen is charged with murder as a crime against humanity, pursuant to Article 7(1)(a) of the Statute, and murder as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, on or about 10 October 2003, at or near Pajule IDP camp.

2826. The Chamber found that during the course of the attack on Pajule IDP camp, LRA fighters killed at least four civilians. Accordingly, the Chamber finds that the first legal element of both murder as a crime against humanity, pursuant to Article 7(1)(a) of the Statute, and murder as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, i.e. that the perpetrator killed one or more persons, is met.

2827. Furthermore, considering in particular that the killed persons were civilians, most of whom were killed because they tried to escape or refused to carry looted goods, ⁷³⁵⁶ the Chamber finds that the second element of murder as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, i.e. that the person or persons killed were either *hors de combat*,

⁷³⁵³ *See* para. 147 above.

⁷³⁵⁴ *See* para. 147 above.

⁷³⁵⁵ *See* para. 152 above.

⁷³⁵⁶ *See* para. 152 above.

or were civilians, medical personnel, or religious personnel taking no active part in the hostilities, is also met.

c. Torture (Counts 4-5)

- 2828. Under Counts 4 and 5, Dominic Ongwen is charged with torture as a crime against humanity, pursuant to Article 7(1)(f) of the Statute, and torture as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, on or about 10 October 2003, at or near Pajule IDP camp.
- 2829. The Chamber found that in the course of the attack on Pajule IDP camp, LRA fighters abducted hundreds of civilians and forced them to carry injured LRA fighters and looted items from the camp, including heavy loads, for long distances. The Chamber also found that the abductees were under armed guard to prevent their escape and were under constant threat of beatings or death, that some were tied to each other, and that many of the abductees were forced to walk barefoot or not fully clothed through the bush for a long distance. The Chamber also found that LRA fighters beat abductees to make them walk faster.
- 2830. The Chamber finds that by way of these acts, LRA fighters inflicted severe physical or mental pain or suffering upon the civilian residents of Pajule IDP camp. The first legal element of torture as a crime against humanity under Article 7(1)(f) of the Statute and of torture as a war crime under Article 8(2)(c)(i) of the Statute is therefore met.
- 2831. Considering that these acts were committed against civilians whom the LRA fighters abducted, placed under armed guard to prevent their escape and under constant threat of beatings or death, ⁷³⁶⁰ the Chamber finds that they were in the custody of the LRA fighters who attacked Pajule IDP camp. The second legal element of torture as a crime against humanity under Article 7(1)(f) is therefore met.
- 2832. In respect of the third constitutive element of torture as a crime against humanity under Article 7(1)(f) of the Statute, i.e. that the pain or suffering inflicted did not arise only from, and was not inherent in or incidental to, lawful sanction, the Chamber notes that it

⁷³⁵⁸ *See* para. 153 above.

⁷³⁵⁷ *See* para. 153 above.

⁷³⁵⁹ *See* para. 153 above.

⁷³⁶⁰ See para. 153 above.

did not find, on facts, any nexus between the acts under consideration and a context of lawful sanctions. The element is therefore likewise met.

2833. Turning to the distinct element of torture as a war crime, the Chamber, considering in particular that the relevant acts were committed against civilians, ⁷³⁶¹ finds that the third element of torture as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, i.e. that the persons on whom pain or suffering was inflicted were either *hors de combat*, or were civilians, medical personnel, or religious personnel taking no active part in the hostilities, is also met.

d. Cruel treatment (Count 6)

2834. Under Count 6, Dominic Ongwen is charged with cruel treatment as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, on or about 10 October 2003, at or near Pajule IDP camp.

2835. The facts underlying the charge of torture as a war crime under Count 5 and the charge of cruel treatment as a war crime under Count 6 are identical. This is therefore a situation of concurrence of crimes, the permissibility of which must be specifically considered by the Chamber. In this regard, and as observed above, ⁷³⁶² the Chamber notes that the legal elements of the war crime of cruel treatment are entirely encompassed within the legal elements of the war crime of torture, with the latter containing an additional (mental) constitutive element. ⁷³⁶³ In these circumstances, and as explained, ⁷³⁶⁴ while the same facts under consideration indeed fulfil the legal elements of both crimes, concurrence of crimes (and consequent cumulative conviction) are not permissible. For this reason, and considering the finding below as to the additional mental element required for the crime of torture as a war crime under Count 5, ⁷³⁶⁵ the charge of cruel treatment under Count 6 will not be further considered.

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⁷³⁶¹ See paras 153, 154 above.

⁷³⁶² See paras 2700-2707 (torture) and para. 2754 (cruel treatment) above.

⁷³⁶³ Indeed, in addition to the otherwise identical legal elements, torture as a war crime requires the following additional element which is not required for cruel treatment as a war crime: '[t]he perpetrator inflicted the pain or suffering for such purposes as: obtaining information or a confession, punishment, intimidation or coercion or for any discrimination of any kind'.

⁷³⁶⁴ See para. 2792 above.

⁷³⁶⁵ See para. 2872 below.

e. Other inhumane acts (Count 7)

- 2836. Under Count 7, Dominic Ongwen is charged with other inhumane acts as a crime against humanity, pursuant to Article 7(1)(k) of the Statute, on or about 10 October 2003, at or near Pajule IDP camp.
- 2837. The facts underlying the charge of other inhumane acts as a crime against humanity under Count 7 are identical to those underlying the charge of torture as a crime against humanity under Count 4. The permissibility of this further concurrence of crimes must therefore be considered by the Chamber. In this regard, the Chamber recalls the residual character of Article 7(1)(k) of the Statute, 7366 which, in the statutory system of the Court, is therefore in a relationship of subsidiarity with all other crimes against humanity under Article 7(1) of the Statute. That said, and in light of the established facts, the Chamber observes that there is no act underlying the charge under Count 7 which may be considered as different but of similar character, in terms of harm and protected interests involved, compared to those already qualified as a crime against humanity of torture under Count 4. In these circumstances, due to the residual nature of Article 7(1)(k) of the Statute, concurrent legal qualification under this provision is not possible. For this reason, the charge of other inhumane acts under Count 7 will not be further considered by the Chamber.

f. Enslavement (Count 8)

- 2838. Under Count 8, Dominic Ongwen is charged with enslavement as a crime against humanity, pursuant to Article 7(1)(c) of the Statute, on or about 10 October 2003, at or near Pajule IDP camp.
- 2839. The Chamber found that in the course of the attack on Pajule IDP camp, LRA fighters abducted hundreds of civilians and forced them to carry looted items from the camp, including heavy loads, for long distances. Some civilians were forced to carry injured LRA fighters. The abductees were under armed guard to prevent their escape and were under constant threat of beatings or death. Some were tied to each other.

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⁷³⁶⁶ *See* para. 2745 above.

⁷³⁶⁷ *See* para. 153 above.

⁷³⁶⁸ *See* para. 153 above.

⁷³⁶⁹ *See* para. 153 above.

⁷³⁷⁰ *See* para. 153 above.

Many of the abductees were forced to walk bare foot or not fully clothed through the bush for a long distance. 7371

2840. The principal question before the Chamber is whether these acts represented an exercise of any or all of the powers attaching to the right of ownership over the abductees. In this context, the Chamber considers that the LRA fighters, by committing the acts referred to, deprived the abductees of their personal liberty, restricted and dictated their movement, and subjected them to measures aimed at preventing their escape, including threats, physical restraints and armed guard. The LRA fighters subjected the abductees to forced labour. The LRA fighters also engaged in physical and psychological abuse of the abductees. On the basis of these considerations, the Chamber finds that by way of abducting civilians and placing them in the situation as found, the LRA fighters exercised powers attaching to the right of ownership over the abductees by imposing on them a deprivation of liberty similar to those explicitly stated in Article 7(2)(c) of the Statute.

g. Pillaging (Count 9)

2841. Under Count 9, Dominic Ongwen is charged with pillaging as a war crime, pursuant to Article 8(2)(e)(v) of the Statute, on or about 10 October 2003, at or near Pajule IDP camp.

2842. The Chamber found that during the attack, LRA attackers, some of them led by Dominic Ongwen, broke into homes and shops and looted food and other property from them in Pajule IDP camp. ⁷³⁷² There was widespread looting throughout the camp. ⁷³⁷³ The attackers raided the trading centre in the camp, broke into shops and took food items and supplies. ⁷³⁷⁴ Among the items looted by the LRA attackers were foodstuffs like beans, flour, salt, sugar, cooking oil, maize, sweets, biscuits, groundnuts, soda as well as household goods such as bedding, clothing, a radio set, saucepans and items such as medicine, livestock and money. ⁷³⁷⁵

2843. The Chamber finds, on the basis of these facts, that the first element of pillaging as a war crime under Article 8(2)(e)(v) of the Statute, i.e. that the perpetrator appropriated certain property, is met.

⁷³⁷¹ *See* para. 153 above.

⁷³⁷² See para. 150 above.

⁷³⁷³ *See* para. 150 above.

⁷³⁷⁴ *See* para. 150 above.

⁷³⁷⁵ *See* para. 150 above.

2844. Further, the Chamber finds that the circumstances of the appropriation, which took place during an armed attack on Pajule IDP camp by the LRA fighters, who broke into homes and shops and raided the trading centre, show that the appropriation took place without the consent of the owners of the property looted.

h. Persecution (Count 10)

- 2845. Under Count 10, Dominic Ongwen is charged with persecution as a crime against humanity, pursuant to Article 7(1)(h) of the Statute, on or about 10 October 2003, at or near Pajule IDP camp.
- 2846. The Chamber finds that, as required by the first legal element of the crime against humanity of persecution, LRA attackers severely deprived, contrary to international law, civilians of fundamental rights in the course of the attack on Pajule IDP camp. Specifically, the LRA attackers deprived civilians of the right to life, ⁷³⁷⁶ the right not to be subjected to cruel, inhuman or degrading treatment, 7377 the right to personal liberty, 7378 the right not to be held in slavery or servitude, 7379 and the right to private property. 7380 Based on the circumstances of the victimisation, the Chamber finds that the deprivation was severe.
- 2847. The Chamber also finds that the LRA fighters targeted civilians during the attack on Pajule IDP camp by reason of their identity as perceived supporters of the Ugandan government, and therefore on political grounds. This is because the LRA perceived civilians living in Northern Uganda, in particular those who lived in governmentestablished IDP camps in Northern Uganda as associated with the Government of Uganda, and thus as the enemy. 7381
- 2848. In this context, the selection of Pajule IDP camp as a target for the LRA attack indeed constituted targeting on political grounds. This is further confirmed by the fact that after the attack, Vincent Otti told abductees that the LRA reproached the civilians for settling

⁷³⁷⁶ *See* para. 152 above.

⁷³⁷⁷ See para. 153 above.

⁷³⁷⁸ *See* para. 153 above.

⁷³⁷⁹ *See* para. 153 above.

⁷³⁸⁰ *See* para. 150 above.

⁷³⁸¹ *See* para. 140 above.

in IDP camps set up by the government, and that there was a punitive nature to the LRA's attack on the camp. ⁷³⁸²

2849. Finally in this regard, the Chamber deems the requirement that persecution as a crime against humanity be committed in connection with any act referred to in Article 7(1) of the Statute or any crime within the jurisdiction of the Court met, in light of the other legal findings of the Chamber in relation to the attack on Pajule IDP camp.

ii. Individual criminal responsibility of Dominic Ongwen

2850. Dominic Ongwen is charged with the commission of the crimes under Counts 1 to 9 'jointly with' and 'through' others within the meaning of Article 25(3)(a) of the Statute.

a. Existence of an agreement or common plan

2851. The Chamber found that several days before the attack on Pajule IDP camp, Vincent Otti summoned a number of LRA units to join him, and that around that time, Dominic Ongwen and his group of fighters joined Vincent Otti. A meeting took place the day before the attack at a location east of Pajule IDP camp, including Vincent Otti, Raska Lukwiya, Okot Odhiambo, Dominic Ongwen and other LRA commanders. Several hundred LRA members were present nearby. Furthermore, the Chamber found that after the meeting, on the eve of the attack, the LRA soldiers were selected from the Control Altar, as well as Trinkle and Sinia brigades. Raska Lukwiya was designated as the overall commander for the attack. The attackers were briefed about the attack and instructed to attack the UPDF at the barracks, as well as civilian areas of the camp in order to loot radio equipment, food and other items. The attackers were also told to abduct civilians. The same evening after the briefing, the attackers, including Dominic Ongwen, departed for Pajule IDP camp. The Chamber also found that after

⁷³⁸² *See* para. 156 above.

⁷³⁸³ *See* para. 145 above.

⁷³⁸⁴ *See* para. 146 above.

⁷³⁸⁵ *See* para. 146 above.

⁷³⁸⁶ *See* para. 146 above.

⁷³⁸⁷ *See* para. 146 above.

⁷³⁸⁸ *See* para. 146 above.

⁷³⁸⁹ *See* para. 146 above.

⁷³⁹⁰ *See* para. 146 above.

the attack, Vincent Otti communicated the results of the attack to Joseph Kony on the LRA radio communication system.⁷³⁹¹

- 2852. The Chamber also notes that it found that the LRA, including Dominic Ongwen, perceived as associated with the Government of Uganda, and thus as the enemy, the civilians living in Northern Uganda, in particular those who lived in government-established IDP camps in Northern Uganda. The Chamber particularly notes that after the attack on Pajule IDP camp, Vincent Otti told abductees that the LRA reproached the civilians for settling in IDP camps set up by the government, and that there was a punitive nature to the LRA's attack on the camp.
- 2853.On the basis of these facts, the Chamber finds that the attack on Pajule took place pursuant to an agreement involving Dominic Ongwen, Vincent Otti, Raska Lukwiya, Okot Odhiambo and other LRA commanders. As demonstrated by the briefing given to the soldiers selected for the attack, this agreement was to attack both the UPDF at the barracks as well as civilian areas of the camp, to loot radio equipment, food and other items, and to abduct civilians. The Chamber finds that, as such, the agreement was specifically directed at engaging in conduct which amounted to: (i) attack against the civilian population as such as a war crime, pursuant to Article 8(2)(e)(i) of the Statute; (ii) torture as a crime against humanity, pursuant to Article 7(1)(f) of the Statute; (iv) enslavement as a crime against humanity, pursuant to Article 7(1)(c) of the Statute; (v) pillaging as a war crime, pursuant to Article 8(2)(e)(v) of the Statute; and (vi) persecution as a crime against humanity, pursuant to Article 7(1)(h) of the Statute.
- 2854. Furthermore, the Chamber finds that the agreement of Dominic Ongwen, Vincent Otti, Raska Lukwiya, Okot Odhiambo and other LRA commanders to attack Pajule IDP camp aimed at engaging in conduct during the attack which, in the ordinary course of events, would result in: (i) murder as a crime against humanity, pursuant to Article 7(1)(a) of the Statute; and (ii) murder as a war crime, pursuant to Article 8(2)(c)(i) of the Statute. This conclusion is based on the fact that the LRA fighters were sent to attack with firearms, 7394

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⁷³⁹¹ *See* para. 158 above.

⁷³⁹² See paras 140-141 above.

⁷³⁹³ *See* para. 156 above.

⁷³⁹⁴ See paras 146-147 above.

that, as found above, Dominic Ongwen and his co-perpetrators intended to engage in violent acts against civilians⁷³⁹⁵ and that, more generally, recruits in the LRA were not taught, as part of their training which included training in military discipline, to distinguish between civilians and combatants, or between civilian objects and military objectives.⁷³⁹⁶

b. Execution of the material elements of the crime through other persons

2855. Above, the Chamber found that during the attack on Pajule IDP camp LRA fighters executed the material elements of the crimes charged under Counts 1-10. The Chamber also found that LRA fighters attacked Pajule IDP camp after receiving instructions to this effect the night before, following a meeting including Dominic Ongwen, Vincent Otti, Raska Lukwiya, Okot Odhiambo and other LRA commanders. The question before the Chamber at this juncture is whether the conduct of the LRA fighters as direct perpetrators of the crimes is attributable to the co-perpetrators acting pursuant to the common plan by virtue of their control which allowed them to subjugate the will of the LRA fighters.

2856. The Chamber's factual findings in relation to the organisation of the LRA are relevant in this regard. The Chamber found that the LRA had a hierarchical structure, within which Joseph Kony was the highest authority and Vincent Otti Joseph Kony's deputy, who led a headquarters unit called Control Altar. The Chamber also made a number of factual findings specifically relating to the mechanisms to ensure capability to undertake military operations, including compliance with orders. The Chamber found that Sinia brigade obtained new fighters through abductions of civilians. These abductions were targeted at civilians deemed capable of fighting, including young children. Following their abduction, recruits generally passed through initiation rituals, most regularly including anointment with shea butter, intended to instil obedience and prevent escape.

⁷³⁹⁵ *See* para. 2852 above.

⁷³⁹⁶ *See* para. 130 above.

⁷³⁹⁷ *See* para. 146 above.

⁷³⁹⁸ *See* para. 123 above.

⁷³⁹⁹ *See* para. 129 above.

⁷⁴⁰⁰ *See* para. 129 above.

⁷⁴⁰¹ See para. 129 above.

was also a common feature of such initiation. 7402 With some regularity, recruits were forced to brutally kill, or were forced to witness brutal killings, shortly after their abduction. 7403 Upon abduction into Sinia brigade, recruits were given training in fighting skills, including the use of firearms. 7404 Weapons were distributed to recruits. 7405 As part of the training, recruits were also taught military discipline. 7406 Recruits were not taught, as part of their training, to distinguish between civilians and combatants, or between civilian objects and military objectives. 7407 There were clear rules requiring obedience of LRA soldiers, and a violent disciplinary system that guaranteed adherence to them. 7408 Penalties ranged from beating to execution. 7409 Sinia members, and LRA members generally, were threatened with death if they were to attempt escape. 7410 On certain occasions, execution of re-captured escapees in fact took place.⁷⁴¹¹ Members were also threatened that their home areas would be attacked by the LRA if they escaped. 7412 A further measure taken to discourage escaping was giving soldiers false or negative information about life outside of the LRA and preventing them from obtaining information through public radio broadcasts. 7413 Sinia, and more generally LRA members, especially those of lower rank, suffered from hunger and lack of adequate clothing. 7414 They regularly slept outside on the ground. 7415 There was no or very limited treatment available in case of sickness or injury. 7416

2857. The Chamber found that for the attack on Pajule IDP camp, soldiers were selected from the Control Altar, as well as Trinkle and Sinia brigades. 7417 As explained above, Sinia

⁷⁴⁰² *See* para. 129 above.

⁷⁴⁰³ See para. 129 above.

⁷⁴⁰⁴ *See* para. 130 above.

⁷⁴⁰⁵ *See* para. 130 above. ⁷⁴⁰⁶ *See* para. 130 above.

⁷⁴⁰⁷ *See* para. 130 above.

⁷⁴⁰⁸ *See* para. 131 above.

⁷⁴⁰⁹ *See* para. 131 above.

⁷⁴¹⁰ *See* para. 132 above.

⁷⁴¹¹ *See* para. 132 above.

⁷⁴¹² See para. 132 above.

⁷⁴¹³ *See* para. 132 above.

⁷⁴¹⁴ *See* para. 133 above.

⁷⁴¹⁵ *See* para. 133 above.

⁷⁴¹⁶ See para. 133 above.

⁷⁴¹⁷ See para. 146 above.

was a typical LRA brigade, and the below conclusions can also be drawn with respect to the LRA generally. ⁷⁴¹⁸

2858. It is the conclusion of the Chamber that the conditions of recruitment, initiation and training, and service in the LRA generally of its members were such that LRA commanders could rely for obedience in the execution of orders on a reliable pool of persons. Also taking into account that several hundred LRA soldiers went for the attack on Pajule IDP camp and that these fighters were selected from an even larger pool of available persons, 7419 the Chamber considers that the will of the individual LRA soldiers was irrelevant in the execution of a given order. The LRA soldiers selected and sent for the attack on Pajule IDP camp as a whole functioned as a tool of Dominic Ongwen, Vincent Otti, Raska Lukwiya, Okot Odhiambo and other LRA commanders, through which they were able to execute their agreement to attack Pajule IDP camp, including the commission of crimes. Accordingly, the Chamber concludes that the conduct of the individual LRA fighters in the execution of the crimes during the attack on Pajule IDP camp must be attributed to Dominic Ongwen, Vincent Otti, Raska Lukwiya, Okot Odhiambo and other LRA commanders as their own.

c. Dominic Ongwen's control over the crime

2859. Following the findings that (i) Dominic Ongwen was a participant to the agreement with Vincent Otti, Raska Lukwiya, Okot Odhiambo, and other LRA commanders, pursuant to which the attack on Pajule IDP camp took place, including the commission of crimes, and (ii) the conduct of the LRA fighters who executed the material elements of the crimes must be attributed to Dominic Ongwen, Vincent Otti, Raska Lukwiya, Okot Odhiambo and other LRA commanders as their own, the Chamber must specifically assess the involvement of Dominic Ongwen in those crimes in order to determine whether he had control over the crime by virtue of his essential contribution to it and the resulting power to frustrate its commission, and accordingly whether his individual criminal responsibility can be qualified under Article 25(3)(a) of the Statute. For this purpose, the Chamber takes into account Dominic Ongwen's involvement in maintaining the LRA as an organisation with the capacity to conduct armed attacks, his involvement in the

⁷⁴¹⁸ *See* para. 883 above.

⁷⁴¹⁹ *See* paras 146-147 above.

planning and preparation of the attack on Pajule IDP camp, his involvement in the execution of the attack itself, as well as the fact that he benefited from the attack.

2860. As found by the Chamber, at the time of the attack on Pajule IDP camp, Dominic Ongwen had been appointed second-in-command of the Sinia brigade, after having been commander of Oka battalion since at least 1 July 2002. He joined Vincent Otti in advance of the attack on Pajule IDP camp with a number of soldiers under his command. As an LRA commander, by virtue of his position, Dominic Ongwen was crucial in maintaining the organisation. The Chamber made specific findings to the effect that Dominic Ongwen personally ordered disciplinary measures, issued threats to LRA members that they would be killed if they attempted to escape, and ordered killings of abductees in front of LRA members to illustrate this threat.

2861. Concerning the planning and preparation of the attack on Pajule IDP camp, the Chamber found that Dominic Ongwen participated in a meeting with Vincent Otti, Raska Lukwiya, Okot Odhiambo, and other LRA commanders the day before the attack at a location east of Pajule IDP camp. 7423 It was following this meeting in which Dominic Ongwen was a participant that LRA soldiers were selected for the attack, Raska Lukwiya was designated as the overall commander for the attack, the attackers were briefed about the attack and instructed to attack the UPDF at the barracks, as well as civilian areas of the camp in order to loot radio equipment, food and other items, and told to abduct civilians. 7424

2862. The Chamber also found that Dominic Ongwen participated on the ground in the attack on Pajule IDP camp. 7425 He led a group of attackers to fight at the barracks, before directing them to attack the trading centre within the camp. 7426 Dominic Ongwen ordered a subordinate to abduct civilians and this order was immediately executed. 7427 Dominic Ongwen personally ordered LRA attackers to loot within the trading centre, ordering them to loot items from shops and homes within the camp, and the LRA attackers

⁷⁴²⁰ See paras 134-136 above.

⁷⁴²¹ *See* para. 145 above.

⁷⁴²² *See* paras 131-132 above.

⁷⁴²³ *See* para. 146 above.

⁷⁴²⁴ *See* para. 146 above.

⁷⁴²⁵ See paras 144, 149, 150, 153 above.

⁷⁴²⁶ See para. 149 above.

⁷⁴²⁷ *See* para. 153 above.

complied with this order.⁷⁴²⁸ Dominic Ongwen also led a group of abductees and ordered abductees to carry looted goods and instructed them not to drop items.⁷⁴²⁹ These findings demonstrate that Dominic Ongwen carried out a commanding role, through the exercise of which he personally determined the specific actions undertaken on the ground by the LRA fighters under his control, and thereby also the extent of the commission of crimes.

2863. The Chamber also found that after the fighters returned from the camp, Dominic Ongwen spoke to a group of abductees, telling them that anyone who escaped or dropped looted goods would be killed and that abductees would be trained as soldiers. ⁷⁴³⁰ Also significantly, some abductees were distributed among Dominic Ongwen's group. ⁷⁴³¹ Looted items were also distributed within Dominic Ongwen's group. ⁷⁴³² Considering that abducting civilians and looting was, as explained above, one of the principal objectives of the attack on Pajule IDP camp, ⁷⁴³³ the fact that some abductees were placed under the control of Dominic Ongwen, and looted items distributed also within his group, is an important indicator of his control over the crime.

2864. Taking into account all of the above, and in particular Dominic Ongwen's position in the LRA at the relevant time, his participation at the meeting in which the attack was planned and prepared and his subsequent command of LRA fighters on the ground in the context of the attack, the Chamber concludes that Dominic Ongwen had control over the crimes committed during the attack on Pajule IDP camp by virtue of his essential contribution to them, and the resulting power to frustrate their commission.

d. Mental elements

2865. The conduct which Dominic Ongwen undertook in relation to the crimes committed during the attack on Pajule IDP camp, i.e. his participation in the planning and in the execution of the attack, is such that, by its nature, it could only have been undertaken intentionally. Thus, the Chamber considers that the conduct-related requirement of Article 30(2) of the Statute is met.

⁷⁴²⁸ *See* para. 150 above.

⁷⁴²⁹ *See* para. 153 above.

⁷⁴³⁰ *See* para. 156 above.

⁷⁴³¹ *See* para. 157 above.

⁷⁴³² *See* para. 155 above.

⁷⁴³³ *See* para. 146 above.

2866. Furthermore, in relation to the required mental elements the Chamber reiterates again that Dominic Ongwen took part in the attack on Pajule IDP attack on the ground after having participated in a prior meeting with Vincent Otti, Raska Lukwiya, Okot Odhiambo, and after being present on location where the LRA soldiers were selected for the attack, briefed about the attack and instructed to attack the UPDF at the barracks, as well as civilian areas of the camp in order to loot radio equipment, food and other items, and also told to abduct civilians. He led a group of attackers to attack the trading centre within the camp and ordered them to pillage food items and supplies from shops and homes within the camp. He also ordered a subordinate to abduct civilians. He led a group of abductees and ordered abductees to carry looted goods and instructed them not to drop items. After the fighters returned from the camp, some abductees were distributed among Dominic Ongwen's group.

2867.On the basis of these facts, the Chamber finds that Dominic Ongwen: (i) meant for civilians to be attacked during the attack on Pajule IDP camp; (ii) meant for civilians to be abducted and forced to carry away looted goods; and (iii) meant for food items and other property to be looted during the attack.

2868. The Chamber found that the LRA perceived the civilians living in Northern Uganda, in particular those who lived in government-established IDP camps in Northern Uganda as associated with the Government of Uganda, and thus as the enemy. LRA commanders routinely declared that civilians were failing to support the LRA in its effort against the government and should be killed by the LRA. Dominic Ongwen knew that the LRA perceived, and also himself perceived, the civilians living in Northern Uganda as associated with the Government of Uganda – and thus as the enemy. On this basis, the Chamber finds that Dominic Ongwen meant for civilian residents of Pajule IDP camp to be severely deprived of their rights by reason of their identity as perceived as associated with the Government of Uganda.

⁷⁴³⁴ *See* para. 146 above.

⁷⁴³⁵ See paras 149-150 above.

⁷⁴³⁶ See para. 153 above.

⁷⁴³⁷ *See* para. 153 above.

⁷⁴³⁸ *See* para. 157 above.

⁷⁴³⁹ *See* para. 140 above.

⁷⁴⁴⁰ *See* para. 140 above.

⁷⁴⁴¹ *See* para. 141 above.

2869. Furthermore, as an LRA commander, Dominic Ongwen was necessarily aware of the features of the organisation, including that recruits were not taught, as part of their training which included training in military discipline, to distinguish between civilians and combatants, or between civilian objects and military objectives. The was also aware, at the time of the attack on Pajule IDP camp, that the LRA in Northern Uganda had already killed, injured and enslaved a large number of civilians in numerous attacks on individual civilians, IDP camps and other civilian locations. The Chamber finds that Dominic Ongwen was aware that the execution of the attack on Pajule IDP camp as planned and with the instructions that were given to LRA fighters, would lead to, in the ordinary course of events, (i) the killings of civilians; and (ii) forcing abducted civilians to carry heavy loads for long distances, beatings of civilians, and threats of beatings or death.

2870. Accordingly, the general mental elements under Article 30(2) of the Statute are met with respect to the crimes charged under Counts 1-10. The Chamber turns to the additional mental elements imposed by the Statute in relation to some of these crimes.

2871.In relation to the requirement that the perpetrator be aware of the victims being either *hors de combat*, or civilians, medical personnel or religious personnel taking no active part in the hostilities, applicable to the crimes of murder as a war crime, pursuant to Article 8(2)(c)(i) of the Statute (Count 2) and torture as a war crime, pursuant to Article 8(2)(c)(i) of the Statute (Count 5), the Chamber found that following a meeting of Dominic Ongwen, Vincent Otti, Raska Lukwiya, Okot Odhiambo and other LRA commanders, the LRA fighters selected for the attack were, *inter alia*, specifically instructed to attack the civilian areas of Pajule IDP camp. 7444 Dominic Ongwen also attended the attack on the ground, where he led a group of attackers to attack the trading centre within the camp and ordered them to pillage food items and supplies from shops and homes within the camp. 7445 After the attack, Dominic Ongwen also spoke to a group of abductees, telling them that anyone who escaped or dropped looted goods would be

⁷⁴⁴² *See* para. 130 above.

⁷⁴⁴³ *See* para. 143 above.

⁷⁴⁴⁴ *See* para. 146 above.

⁷⁴⁴⁵ See paras 149-150 above.

killed and that abductees would be trained as soldiers.⁷⁴⁴⁶ In light of the foregoing, the Chamber concludes that this specific requirement of knowledge is met.

2872. In relation to the special intent requirement applicable to torture as a war crime, pursuant to Article 8(2)(c)(i) of the Statute (Count 5), the Chamber recalls its finding that Dominic Ongwen knew that the LRA perceived, and also himself perceived, the civilians living in Northern Uganda as associated with the Government of Uganda – and thus as the enemy. 7447 On this basis, the Chamber finds that Dominic Ongwen intended to inflict such pain or suffering as discussed above, 7448 for the purpose of punishment and/or intimidation of the residents of Pajule IDP camp, on account of their perceived support for the Government of Uganda.

2873.In relation to the special intent requirement applicable to pillaging as a war crime, pursuant to Article 8(2)(e)(v) of the Statute (Count 9), the Chamber notes that following the meeting of Dominic Ongwen, Vincent Otti, Raska Lukwiya, Okot Odhiambo and other LRA commanders prior to the attack, an explicit instruction to loot radio equipment, food and other items was given to the LRA fighters before the attackers, including Dominic Ongwen, set out for the attack. 7449 Also on the ground, Dominic Ongwen issued an order to pillage food items and supplies from shops and homes within the camp to the group of soldiers he led into the civilian camp. 7450 He also ordered civilians to carry looted goods and instructed them not to drop items. 7451 The only reasonable conclusion is that Dominic Ongwen intended to deprive the owner of the property and to appropriate it for private or personal use. The circumstances of the appropriation do not allow for consideration of military necessity as a justification. The special intent requirement is therefore met.

iii. Conclusion

2874.On the basis of the above, the Chamber therefore finds that, within the context of the attack carried out by the LRA on the Pajule IDP camp on 10 October 2003, Dominic Ongwen committed, jointly with Vincent Otti, Raska Lukwiya, Okot Odhiambo, and

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⁷⁴⁴⁶ *See* para. 156 above.

⁷⁴⁴⁷ *See* para. 141 above.

⁷⁴⁴⁸ See paras 2829-2830 above.

⁷⁴⁴⁹ *See* para. 146 above.

⁷⁴⁵⁰ See paras 149-150 above.

⁷⁴⁵¹ *See* para. 153 above.

other LRA commanders and through LRA soldiers, within the meaning of Article 25(3)(a) of the Statute, the following crimes: (i) attack against the civilian population as such as a war crime pursuant to Article 8(2)(e)(i) of the Statute (Count 1); (ii) murder as a crime against humanity, pursuant to Article 7(1)(a) of the Statute (Count 2); (iii) murder as a war crime, pursuant to Article 8(2)(c)(i) of the Statute (Count 3); (iv) torture as a crime against humanity, pursuant to Article 7(1)(f) of the Statute (Count 4); (v) torture as a war crime, pursuant to Article 8(2)(c)(i) of the Statute (Count 5); (vi) enslavement as a crime against humanity, pursuant to Article 7(1)(c) of the Statute (Count 8); (vii) pillaging as a war crime, pursuant to Article 8(2)(e)(v) of the Statute (Count 9); and (viii) persecution as a crime against humanity, pursuant to Article 7(1)(h) of the Statute (Count 10).

- 4. Attack on Odek IDP camp (Counts 11 to 23)
 - i. Specific objective elements of the charged crimes
 - a. Attack against the civilian population as such (Count 11)
- 2875. Under Count 11, Dominic Ongwen is charged with attack against the civilian population as such as a war crime, pursuant to Article 8(2)(e)(i) of the Statute, on or about 29 April 2004, at or near Odek IDP camp.
- 2876. The Chamber finds that the specific material elements of the crime, namely that the perpetrator directed an attack and that the object of the attack was a civilian population as such or individual civilians not taking part in hostilities, are met, considering that at least 30 LRA attackers, including children under the age of 15, executed Dominic Ongwen's orders and, with an assortment of arms, including AK guns, a mortar, an RPG, a PK and a 'B-10' gun, attacked Odek IDP camp from the northern side of the camp. Specifically, a group of fighters spread into the civilian area, including the trading centre, where they dispelled several government soldiers and proceeded to attack the civilian residents, shooting, beating, abducting and forcing them to carry looted goods. The facts establish that the object of the attack was the civilian population of Odek IDP camp as such.

⁷⁴⁵² *See* para. 163 above.

⁷⁴⁵³ *See* para. 164 above.

b. Murder (Counts 12-13)

- 2877. Under Counts 12 and 13, Dominic Ongwen is charged with murder as a crime against humanity, pursuant to Article 7(1)(a) of the Statute, and murder as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, on or about 29 April 2004, at or near Odek IDP camp.
- 2878. The Chamber found that during the attack, LRA fighters fired their weapons at civilians, and that at least 52 civilians died as a result of the injuries sustained in the camp or on the course of the retreat. 7454
- 2879. Accordingly, the Chamber finds that the first legal element of both murder as a crime against humanity, pursuant to Article 7(1)(a) of the Statute, and murder as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, i.e. that the perpetrator killed one or more persons, is met.
- 2880. Furthermore, the Chamber, considering in particular that the victims of the killings were civilians, ⁷⁴⁵⁵ the Chamber finds that the second element of murder as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, i.e. that the person or persons killed were either *hors de combat*, or were civilians, medical personnel, or religious personnel taking no active part in the hostilities, is also met.

c. Attempted murder (Counts 14-15)

- 2881. Under Counts 14 and 15, Dominic Ongwen is charged with attempted murder as a crime against humanity, pursuant to Article 7(1)(a) of the Statute, and attempted murder as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, in conjunction with Article 25(3)(f) of the Statute, on or about 29 April 2004, at or near Odek IDP camp.
- 2882. The Chamber found that at least ten civilians were shot, shot at or otherwise injured by LRA fighters during the attack on Odek IDP camp, and survived. 7456 On the facts, the requirements of Article 25(3)(f) of the Statute are met: the shooting of the victims represented a substantial step commencing the execution of the crime against humanity

⁷⁴⁵⁶ *See* para. 169 above.

⁷⁴⁵⁴ *See* para. 167 above.

⁷⁴⁵⁵ See paras 168, 174-175 above.

and the war crime of murder, whereas the completion of the crime, i.e. the death of the victims, did not occur due to independent circumstances.

2883. Furthermore, the Chamber, considering in particular that the persons whom the LRA fighters attempted to kill were civilians, ⁷⁴⁵⁷ the Chamber finds that the second element of attempted murder as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, i.e. that the person or persons killed were either *hors de combat*, or were civilians, medical personnel, or religious personnel taking no active part in the hostilities, is also met.

d. Torture (Counts 16-17)

2884. Under Counts 16 and 17, Dominic Ongwen is charged with torture as a crime against humanity, pursuant to Article 7(1)(f) of the Statute, and torture as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, on or about 29 April 2004, at or near Odek IDP camp.

2885. The Chamber found that LRA fighters severely mistreated civilians during the attack on Odek IDP camp and in its aftermath. Civilians suffered instances of grave physical abuse at the hands of the LRA fighters, such as beatings with sticks and guns. Civilians were forced to carry heavy loads for long distances under constant threat of harm; some civilians walked these long distances barefoot. Abductees were under armed guard to prevent their escape and were under constant threat of beatings or death. The abductees walked too slowly, they were beaten. A female LRA attacker raped adductees walked too slowly, they were beaten. The assault was committed with such force that the victim's husband was forced to watch. The assault was committed with such force that the victim started to bleed.

2886. The Chamber finds that by way of these acts, LRA fighters inflicted severe physical or mental pain or suffering upon the civilian residents of Pajule IDP camp. The first legal

⁷⁴⁵⁷ See para. 169 above.

⁷⁴⁵⁸ *See* para. 173 above.

⁷⁴⁵⁹ See para. 172 above.

⁷⁴⁶⁰ *See* para. 172 above.

⁷⁴⁶¹ *See* para. 173 above.

⁷⁴⁶² See para. 166 above. The Chamber observes in this regard that the Prosecution did not charge Dominic Ongwen with the crime of rape in relation to this fact. The rape of this civilian resident of Odek IDP camp falls within the factual scope of the charges under Counts 16 to 19 (see para. 35 of the charges, in Confirmation Decision, p. 79).

⁷⁴⁶³ *See* para. 166 above.

element of torture as a crime against humanity under Article 7(1)(f) of the Statute and of torture as a war crime under Article 8(2)(c)(i) of the Statute is therefore met.

2887. Considering that these acts were committed against civilians whom the LRA fighters abducted or physically constrained, ⁷⁴⁶⁴ the Chamber finds that the victims were in the custody, or under the control of the LRA fighters who attacked Odek IDP camp. The second legal element of torture as a crime against humanity under Article 7(1)(f) of the Statute is therefore met.

2888. In respect of the third constitutive element of torture as a crime against humanity under Article 7(1)(f) of the Statute, i.e. that the pain or suffering inflicted did not arise only from, and was not inherent in or incidental to, lawful sanction, the Chamber notes that it did not find, on facts, any nexus between the acts under consideration and a context of lawful sanctions. The element is therefore likewise met.

2889. Turning to the distinct element of torture as a war crime, the Chamber, considering in particular that the relevant acts were committed against civilians, ⁷⁴⁶⁵ finds that the third element of torture as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, i.e. that the persons on whom pain or suffering was inflicted were either *hors de combat*, or were civilians, medical personnel, or religious personnel taking no active part in the hostilities, is also met.

e. Other inhumane acts (Count 18)

2890. Under Count 18, Dominic Ongwen is charged with other inhumane acts as a crime against humanity, pursuant to Article 7(1)(k) of the Statute, on or about 29 April 2004, at or near Odek IDP camp.

2891. The facts underlying the charge of other inhumane acts as a crime against humanity under Count 18 are identical to those underlying the charge of torture as a crime against humanity under Count 16. The permissibility of this concurrence of crimes must therefore be considered by the Chamber. In this regard, the Chamber recalls the residual character of Article 7(1)(k) of the Statute, 7466 which, in the statutory system of the Court, is therefore in a relationship of subsidiarity with all other crimes against humanity under

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⁷⁴⁶⁴ See paras 166, 171-173 above.

⁷⁴⁶⁵ See paras 166, 172-173 above.

⁷⁴⁶⁶ See para. 2745 above.

article 7(1) of the Statute. That said, and in light of the established facts, the Chamber observes that there is no act underlying the charge under Count 18 which may be considered as different but of similar character, in terms of harm and protected interests involved, compared to those already qualified as a crime against humanity of torture under Count 16. In these circumstances, due to the residual nature of Article 7(1)(k) of the Statute, concurrent legal qualification under this provision is not possible. For this reason, the charge of other inhumane acts under Count 18 will not be further considered by the Chamber.

f. Cruel treatment (Count 19)

2892. Under Count 19, Dominic Ongwen is charged with cruel treatment as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, on or about 29 April 2004, at or near Odek IDP camp.

2893. The facts underlying the charge of torture as a war crime under Count 17 and the charge of cruel treatment as a war crime under Count 19 are identical. This is therefore a further situation of concurrence of crimes, the permissibility of which must be specifically considered by the Chamber. In this regard, and as observed above, ⁷⁴⁶⁷ the Chamber recalls that the legal elements of the war crime of cruel treatment are entirely encompassed within the legal elements of the war crime of torture, with the latter containing an additional (mental) constitutive element. ⁷⁴⁶⁸ In these circumstances, and as explained, ⁷⁴⁶⁹ while the same facts under consideration indeed fulfil the legal elements of both crimes, concurrence of crimes (and consequent cumulative conviction) are not permissible. For this reason, and considering the finding below as to the additional mental element required for the crime of torture as a war crime under Count 17, ⁷⁴⁷⁰ the charge of cruel treatment under Count 19 will not be further considered.

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⁷⁴⁶⁷ See paras 2700-2707 (torture) and para. 2754 (cruel treatment) above.

⁷⁴⁶⁸ Indeed, in addition to the otherwise identical legal elements, torture as a war crime requires the following additional element which is not required for cruel treatment as a war crime: '[t]he perpetrator inflicted the pain or suffering for such purposes as: obtaining information or a confession, punishment, intimidation or coercion or for any discrimination of any kind'.

⁷⁴⁶⁹ See para. 2792 above.

⁷⁴⁷⁰ See para. 2925 below.

g. Enslavement (Count 20)

- 2894. Under Count 20, Dominic Ongwen is charged with enslavement as a crime against humanity, pursuant to Article 7(1)(c) of the Statute, on or about 29 April 2004, at or near Odek IDP camp.
- 2895. The Chamber found that in the course of the attack on Odek IDP camp LRA fighters abducted at least 40 civilian residents from the camp: men, women and children. Abductees, including children as young as 11 or 12 years old, were forced to carry looted items away from the camp. Some abductees were released after a few days in the bush; others were killed in the bush or integrated into the LRA, including into Dominic Ongwen's household. The Chamber also found that civilians were forced to carry heavy loads for long distances under constant threat of harm; some civilians walked these long distances barefoot. Abductees were under armed guard to prevent their escape and were under constant threat of beatings or death.
- 2896. Considering that the LRA fighters, by committing the acts referred to, deprived the civilian abductees of their personal liberty, restricted and dictated their movement, subjected them to forced labour, and engaged in physical and psychological abuse of the abductees, the Chamber finds that by way of abducting civilians and placing them in the situation as found, the LRA fighters exercised powers attaching to the right of ownership over the abductees by imposing on them a deprivation of liberty similar to those explicitly stated in Article 7(2)(c) of the Statute.

h. Pillaging (Count 21)

- 2897. Under Count 21, Dominic Ongwen is charged with pillaging as a war crime, pursuant to Article 8(2)(e)(v) of the Statute, on or about 29 April 2004, at or near Odek IDP camp.
- 2898. The Chamber found that during the attack, LRA attackers broke into homes and shops and looted food and other items from the camp, both from shops in the trading centre and from civilian homes, including items such as beans, cooking oil, maize, flour, soda and

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⁷⁴⁷¹ *See* para. 171 above.

⁷⁴⁷² *See* para. 172 above.

⁷⁴⁷³ *See* para. 176 above.

⁷⁴⁷⁴ *See* para. 172 above.

⁷⁴⁷⁵ *See* para. 172 above.

other beverages, biscuits, sugar, salt, posho, soap, clothes, saucepans, bedding, shoes.⁷⁴⁷⁶ The food aid which had been recently distributed to the camp was looted by the attackers.⁷⁴⁷⁷

- 2899. The Chamber finds, on the basis of the facts, that the first element of pillaging as a war crime under Article 8(2)(e)(v) of the Statute, i.e. that the perpetrator appropriated certain property, is met.
- 2900. Further, the Chamber finds that the circumstances of the appropriation, which took place during an armed attack on Odek IDP camp by LRA fighters, who raided the trading centre and broke into shops and homes, 7478 indicate that the appropriation took place without the consent of the owners of the property looted. Accordingly, the corresponding legal element of pillaging as a war crime under Article 8(2)(e)(v) of the Statute is met.
 - i. Outrages upon personal dignity (Count 22)
- 2901. Under Count 22, Dominic Ongwen is charged with outrages upon personal dignity as a war crime, pursuant to Article 8(2)(c)(ii) of the Statute, on or about 29 April 2004, at or near Odek IDP camp.
- 2902. The Chamber found that one abductee was forced to kill another abductee with a club and forced to inspect corpses. Another abductee was forced to watch someone being killed. Further, the Chamber found that some mothers were forced to abandon their children on the side of the road; one child was left on a rubbish pit. All
- 2903. The Chamber finds that forcing an abductee to kill another abductee with a club and to inspect corpses, forcing an abductee to watch someone being killed, as well as forcing mothers to abandon their children on the side of the road, in the circumstances of the attack on Odek IDP camp, constituted a violation of the dignity of the victims, and that this violation of the dignity was so severe as to be generally recognised as an outrage

⁷⁴⁷⁶ *See* para. 165 above.

⁷⁴⁷⁷ See para. 165 above.

⁷⁴⁷⁸ *See* para. 165 above.

⁷⁴⁷⁹ *See* para. 173 above.

⁷⁴⁸⁰ *See* para. 173 above.

⁷⁴⁸¹ *See* para. 173 above.

upon personal dignity. The first and second elements of outrages upon personal dignity as a war crime, pursuant to Article 8(2)(c)(ii) of the Statute are met.

2904. Furthermore, considering in particular that these acts were committed against civilians abducted during the attack from Odek IDP camp, 7482 the Chamber finds that the third element of outrages upon personal dignity as a war crime, pursuant to Article 8(2)(c)(ii) of the Statute, i.e. that the victims were either hors de combat, or were civilians, medical personnel, or religious personnel taking no active part in the hostilities, is also met.

Persecution (Count 23) į.

2905. Under Count 23, Dominic Ongwen is charged with persecution as a crime against humanity, pursuant to Article 7(1)(h) of the Statute, on or about 29 April 2004, at or near Odek IDP camp.

2906. The Chamber finds that as required by the first legal element of the crime against humanity of persecution, LRA attackers severely deprived, contrary to international law, civilians of fundamental rights in the course of the attack on Odek IDP camp. Specifically, the LRA attackers deprived civilians of the right to life, 7483 the right not to be subjected to cruel, inhuman or degrading treatment, 7484 the right to personal liberty, 7485 the right not to be held in slavery or servitude, ⁷⁴⁸⁶ and the right to private property. ⁷⁴⁸⁷ Based on the circumstances of the victimisation, the Chamber finds that the deprivation was severe.

2907. The Chamber also finds that the LRA fighters targeted civilians during the attack on Odek IDP camp by reason of their identity as perceived supporters of the Ugandan government, and therefore on political grounds. This is because the LRA perceived as associated with the Government of Uganda, and thus as the enemy, the civilians living in Northern Uganda, in particular those who lived in government-established IDP camps in Northern Uganda. 7488 In this context, the selection of Odek IDP camp as a target for the LRA attack indeed constituted targeting on political grounds.

⁷⁴⁸² See para. 173 above.

⁷⁴⁸³ See paras 167-168, 174-175 above.

⁷⁴⁸⁴ See paras 166, 172-173 above.

⁷⁴⁸⁵ See paras 171-173 above.

⁷⁴⁸⁶ See paras 171-173 above.

⁷⁴⁸⁷ See para. 165 above.

⁷⁴⁸⁸ *See* para. 140 above.

2908. Finally in this regard, the Chamber deems the requirement that persecution as a crime against humanity be committed in connection with any act referred to in Article 7(1) of the Statute or any crime within the jurisdiction of the Court met, in light of the other legal findings of the Chamber in relation to the attack on Odek IDP camp.

ii. Individual criminal responsibility of Dominic Ongwen

2909. Dominic Ongwen is charged with the commission of the crimes under Counts 11 to 23 'jointly with' and 'through' others within the meaning of Article 25(3)(a) of the Statute.

a. Existence of an agreement or common plan

2910. The Chamber found that shortly before the attack on Odek IDP camp, Joseph Kony ordered that the people of Odek be attacked, to punish their perceived failure to support the LRA. 7489 Dominic Ongwen knew of Joseph Kony's order before the attack. 7490 Dominic Ongwen decided that LRA soldiers under his command would attack Odek IDP camp. ⁷⁴⁹¹ He coordinated with subordinate commanders and appointed them to lead the attack on the ground. 7492 Dominic Ongwen and his subordinate commanders ordered LRA soldiers to target everyone they find at Odek IDP camp, including civilians, and also instructed them to loot food and abduct civilians. 7493 Dominic Ongwen ordered the selection of soldiers for the attack, and participated in a ritual and prayer before they set out. 7494 He encouraged the soldiers and repeated the orders to target everyone, including civilians, to loot and to abduct civilians. 7495 In this regard, the Chamber recalls that the LRA, including Dominic Ongwen, perceived as associated with the Government of Uganda, and thus as the enemy, the civilians living in Northern Uganda, in particular those who lived in government-established IDP camps in Northern Uganda. 7496 Furthermore, it is also noted in this context that recruits in the LRA, who were given training inter alia in military discipline, were not taught, as part of their training, to

⁷⁴⁸⁹ *See* para. 160 above.

⁷⁴⁹⁰ *See* para. 160 above.

⁷⁴⁹¹ *See* para. 161 above.

⁷⁴⁹² *See* para. 161 above.

⁷⁴⁹³ *See* para. 161 above.

⁷⁴⁹⁴ *See* para. 161 above.

⁷⁴⁹⁵ *See* para. 161 above.

⁷⁴⁹⁶ See paras 140-141 above.

distinguish between civilians and combatants, or between civilian objects and military objectives. 7497

2911. The Chamber also found that after the attack, the attackers joined the rest of the group commanded by Dominic Ongwen. The subordinate commanders briefed Dominic Ongwen, who thanked the fighters. Dominic Ongwen communicated the results of the attack on military radio to other LRA commanders and to Joseph Kony, reporting that his fighters successfully carried out an attack on Odek IDP camp, shooting people, abducting civilians and looting in the camp. Too

2912. On the basis of the above, the Chamber finds that the attack on Odek IDP camp took place pursuant to an agreement involving Dominic Ongwen, Joseph Kony and other Sinia brigade leaders. It is noted that this agreement was not concluded in a specific direct communication between Dominic Ongwen, Joseph Kony and others, but the sequence of events, in particular Joseph Kony's order, followed by Dominic Ongwen's planning and instructions prior to the attack, and his reporting of the results of the attack after it occurred, demonstrate clearly that such meeting of the minds existed in substance. The Chamber also finds, on the basis of the above, that the agreement was to attack everyone at Odek IDP camp, including civilians, to loot and to abduct civilians. As such, the agreement was specifically directed at engaging in conduct which amounted to: (i) attack against the civilian population as such as a war crime, pursuant to Article 8(2)(e)(i) of the Statute; (ii) murder as a crime against humanity, pursuant to Article 7(1)(a) of the Statute; (iii) murder as a war crime, pursuant to Article 8(2)(c)(i) of the Statute; (iv) torture as a crime against humanity, pursuant to Article 7(1)(f) of the Statute; (v) torture as a war crime, pursuant to Article 8(2)(c)(i) of the Statute; (vi) enslavement as a crime against humanity, pursuant to Article 7(1)(c) of the Statute; (vii) pillaging as a war crime, pursuant to Article 8(2)(e)(v) of the Statute; (viii) outrages upon personal dignity as a war crime, pursuant to Article 8(2)(c)(ii) of the Statute and (ix) persecution as a crime against humanity, pursuant to Article 7(1)(h) of the Statute.

⁷⁴⁹⁷ *See* para. 130 above.

⁷⁴⁹⁸ *See* para. 177 above.

⁷⁴⁹⁹ *See* para. 177 above.

⁷⁵⁰⁰ *See* para. 177 above.

- Execution of the material elements of the crime through other persons
- 2913. Above, the Chamber found that during the attack on Odek IDP camp LRA fighters executed the material elements of the crimes charged under counts 11-23. The Chamber also found that the LRA fighters attacked Odek IDP camp after Dominic Ongwen decided that LRA soldiers under his command would attack the camp. 7501 Dominic Ongwen coordinated with subordinate commanders and appointed them to lead the attack on the ground. 7502 Dominic Ongwen ordered the fighters to attack the camp in two groups, one focused on the military barracks in the camp and the other focused on the civilian areas. 7503 Dominic Ongwen and his subordinate commanders ordered LRA soldiers to target everyone they find at Odek IDP camp, including civilians, and also instructed them to loot food and abduct civilians. 7504 Dominic Ongwen ordered the selection of soldiers for the attack, and participated in a ritual and prayer before they set out. 7505 He encouraged the soldiers and repeated the orders to target everyone, including civilians, to loot and to abduct civilians. 7506
- 2914. The Chamber also refers to its above analysis and the resulting finding that the conditions of recruitment, initiation and training, and service in the LRA generally of its members were such that LRA commanders could rely for obedience in the execution of orders on a reliable pool of persons. 7507 Also taking into account that at least 30 LRA fighters participated in the attack, and that they were selected from an even larger pool of available persons, ⁷⁵⁰⁸ the Chamber considers that the will of the individual LRA soldiers was irrelevant in the execution of a given order. The LRA soldiers selected and sent for the attack on Odek IDP camp as a whole functioned as a tool of Dominic Ongwen, Joseph Kony and other Sinia brigade leaders, through which they were able to execute their agreement to attack Odek IDP camp, including the commission of crimes. Accordingly, the Chamber concludes that the conduct of the individual LRA fighters in the execution

⁷⁵⁰¹ *See* para. 161 above.

⁷⁵⁰² See para. 161 above.

⁷⁵⁰³ *See* para. 161 above.

⁷⁵⁰⁴ *See* para. 161 above.

⁷⁵⁰⁵ See para. 161 above.

⁷⁵⁰⁶ *See* para. 161 above.

⁷⁵⁰⁷ See paras 2856, 2858 above.

⁷⁵⁰⁸ See para. 161, 163 above.

of the crimes during the attack on Odek IDP camp must be attributed to Dominic Ongwen, Joseph Kony and other Sinia brigade leaders as their own.

c. Dominic Ongwen's control over the crime

2915. Following the findings that (i) Dominic Ongwen was a participant to the agreement with Joseph Kony and other Sinia brigade leaders, pursuant to which the attack on Odek IDP camp took place, including the commission of crimes, and (ii) that the conduct of the LRA fighters who executed the material elements of the crimes must be attributed to Dominic Ongwen, Joseph Kony and other Sinia brigade leaders as their own, the Chamber must specifically assess the involvement of Dominic Ongwen in those crimes in order to determine whether he had control over the crime by virtue of his essential contribution to it and the resulting power to frustrate its commission, and accordingly whether his individual criminal responsibility can be qualified under Article 25(3)(a) of the Statute.

2916. In this regard, the Chamber found that Dominic Ongwen decided that LRA soldiers under his command would attack Odek IDP camp. ⁷⁵⁰⁹ He coordinated with subordinate commanders and appointed them to lead the attack on the ground. ⁷⁵¹⁰ Dominic Ongwen ordered the fighters to attack the camp in two groups, one focused on the military barracks in the camp and the other focused on the civilian areas. ⁷⁵¹¹ Dominic Ongwen and his subordinate commanders ordered LRA soldiers to target everyone they find at Odek IDP camp, including civilians, and also instructed them to loot food and abduct civilians. ⁷⁵¹² Dominic Ongwen ordered the selection of soldiers for the attack, and participated in a ritual and prayer before they set out. ⁷⁵¹³ He encouraged the soldiers and repeated the orders to target everyone, including civilians, to loot and to abduct civilians. ⁷⁵¹⁴ Afterwards, Dominic Ongwen moved with the attackers in the direction of Odek IDP camp, although he did not enter the camp with the fighters sent to attack. ⁷⁵¹⁵

⁷⁵⁰⁹ *See* para. 161 above.

⁷⁵¹⁰ *See* para. 161 above.

⁷⁵¹¹ *See* para. 161 above.

⁷⁵¹² *See* para. 161 above.

⁷⁵¹³ *See* para. 161 above.

⁷⁵¹⁴ *See* para. 161 above.

⁷⁵¹⁵ *See* para. 162 above.

2917. It follows from the above that Dominic Ongwen had full operational control of the attack on Odek IDP camp. He designed the attack, gave instructions and set the attack in motion. It is also significant that after the attack, Dominic Ongwen communicated the results of the attack on military radio to other LRA commanders and to Joseph Kony, reporting that his fighters successfully carried out an attack on Odek IDP camp, shooting people, abducting civilians and looting in the camp. 7516

2918. Accordingly, the Chamber concludes that Dominic Ongwen had control over the crimes committed during the attack on Odek IDP camp by virtue of his essential contribution to them, and the resulting power to frustrate their commission.

d. Mental elements

2919. The conduct which Dominic Ongwen undertook in relation to the crimes committed during the attack on Odek IDP camp, i.e. his participation in the planning and in the execution of the attack, is such that, by its nature, it could only have been undertaken intentionally. Thus, the Chamber considers that the conduct-related requirement of Article 30(2) of the Statute is met.

2920. Furthermore, the Chamber reiterates, also in relation to the required mental elements, that Dominic Ongwen decided that LRA soldiers under his command would attack Odek IDP camp. The coordinated with subordinate commanders and appointed them to lead the attack on the ground. Dominic Ongwen and his subordinate commanders ordered LRA soldiers to target everyone they find at Odek IDP camp, including civilians, and also instructed them to loot food and abduct civilians. Dominic Ongwen ordered the selection of soldiers for the attack, and participated in a ritual and prayer before they set out. He encouraged the soldiers and repeated the orders to target everyone, including civilians, to loot and to abduct civilians. After the attack, the returning attackers briefed Dominic Ongwen, and Dominic Ongwen thanked them. Dominic Ongwen communicated the results of the attack on military radio to other LRA commanders and

⁷⁵¹⁶ *See* para. 177 above.

⁷⁵¹⁷ *See* para. 161 above.

⁷⁵¹⁸ *See* para. 161 above.

⁷⁵¹⁹ *See* para. 161 above.

⁷⁵²⁰ *See* para. 161 above.

⁷⁵²¹ *See* para. 161 above.

⁷⁵²² *See* para. 177 above.

to Joseph Kony, reporting that his fighters successfully carried out an attack on Odek IDP camp, shooting people, abducting civilians and looting in the camp. 7523

- 2921.On this basis, the Chamber finds that Dominic Ongwen: (i) meant for civilians to be attacked during the attack on Odek IDP camp; (ii) meant for civilians to be killed; (iii) meant for civilians to be severely physically and psychologically abused; (iv) meant for civilians to be abducted and forced to carry away looted goods; and (v) meant for food items and other property to be looted during the attack.
- 2922. The Chamber reiterates its finding that the LRA perceived the civilians living in Northern Uganda, in particular those who lived in government-established IDP camps in Northern Uganda, as associated with the Government of Uganda, and thus as the enemy. LRA commanders routinely declared that civilians were failing to support the LRA in its effort against the government and should be killed by the LRA. Dominic Ongwen knew that the LRA perceived, and also himself perceived, the civilians living in Northern Uganda as associated with the Government of Uganda and thus as the enemy. The Chamber also found that shortly before the attack on Odek IDP camp, Joseph Kony ordered that the people of Odek be attacked, to punish their perceived failure to support the LRA. Dominic Ongwen knew of Joseph Kony's order before the attack. On this basis, the Chamber finds that Dominic Ongwen meant for civilian residents of Odek IDP camp to be severely deprived of their rights by reason of their identity as perceived as associated with the Government of Uganda.
- 2923. Accordingly, the general mental elements under Article 30(2) of the Statute are met with respect to the crimes charged under Counts 11-23. The Chamber turns to the additional mental elements imposed by the Statute in relation to some of these crimes.
- 2924. In relation to the requirement that the perpetrator be aware of the victims' being either *hors de combat*, or civilians, medical personnel or religious personnel taking no active part in the hostilities, applicable to the crimes of murder as a war crime, pursuant to

⁷⁵²³ *See* para. 177 above.

⁷⁵²⁴ *See* para. 140 above.

⁷⁵²⁵ *See* para. 140 above.

⁷⁵²⁶ *See* para. 141 above.

⁷⁵²⁷ *See* para. 160 above.

⁷⁵²⁸ *See* para. 160 above.

Article 8(2)(c)(i) of the Statute (Count 13 and Count 15 – attempted murder), torture as a war crime, pursuant to Article 8(2)(c)(i) of the Statute (Count 17), and outrages upon personal dignity as a war crime, pursuant to Article 8(2)(c)(ii) of the Statute (Count 22), the Chamber found that Dominic Ongwen and his subordinate commanders ordered LRA soldiers to target everyone they find at Odek IDP camp, including civilians. ⁷⁵²⁹ Before the attackers set out, Dominic Ongwen encouraged the soldiers and repeated the orders to target everyone, including civilians. ⁷⁵³⁰ In light of the foregoing, the Chamber concludes that this specific requirement of knowledge is met.

2925. In relation to the special intent requirement applicable to torture as a war crime, pursuant to Article 8(2)(c)(i) of the Statute (Count 17), the Chamber recalls once again its finding that Dominic Ongwen knew that the LRA perceived, and also himself perceived, the civilians living in Northern Uganda as associated with the Government of Uganda – and thus as the enemy. The Chamber also found that shortly before the attack on Odek IDP camp, Joseph Kony ordered that the people of Odek be attacked, to punish their perceived failure to support the LRA. Dominic Ongwen knew of Joseph Kony's order before the attack. On this basis, the Chamber finds that Dominic Ongwen intended to inflict such pain or suffering as discussed above, for the purpose of punishment and/or intimidation of the residents of Odek IDP camp, on account of their perceived support for the Government of Uganda.

2926.In relation to the special intent requirement applicable to pillaging as a war crime, pursuant to Article 8(2)(e)(v) of the Statute (Count 21), the Chamber notes that before the attack on Odek IDP camp, Dominic Ongwen gave the instruction to loot. The items looted from Odek IDP camp were distributed to the household of different commanders, including Dominic Ongwen. After the attack, Dominic Ongwen reported to other LRA commanders and to Joseph Kony that his fighters successfully carried out an attack on Odek IDP camp, *inter alia*, looting in the camp. The only

⁷⁵²⁹ *See* para. 161 above.

⁷⁵³⁰ *See* para. 161 above.

⁷⁵³¹ See para. 141 above.

⁷⁵³² *See* para. 160 above.

⁷⁵³³ *See* para. 160 above.

⁷⁵³⁴ See paras 2885-2886 above.

⁷⁵³⁵ *See* para. 161 above.

⁷⁵³⁶ See para. 165 above.

⁷⁵³⁷ See para. 177 above.

reasonable conclusion is that Dominic Ongwen intended to deprive the owner of the property and to appropriate it for private or personal use. The circumstances of the appropriation do not allow for consideration of military necessity as a justification. The special intent requirement is therefore met.

iii. Conclusion

- 2927. On the basis of the above, the Chamber therefore finds that, within the context of the attack carried out by the LRA on the Odek IDP camp on 29 April 2004, Dominic Ongwen committed, jointly with Joseph Kony and other Sinia brigade leaders and through LRA soldiers, within the meaning of Article 25(3)(a) of the Statute, the following crimes: (i) attack against the civilian population as such as a war crime pursuant to Article 8(2)(e)(i) of the Statute (Count 11); (ii) murder as a crime against humanity, pursuant to Article 7(1)(a) of the Statute (Count 12); (iii) murder as a war crime, pursuant to Article 8(2)(c)(i) of the Statute (Count 13); (iv) attempted murder as a crime against humanity, pursuant to Article 7(1)(a) of the Statute, in conjunction with Article 25(3)(f) of the Statute (Count 14); (v) attempted murder as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, in conjunction with Article 25(3)(f) of the Statute (Count 15); (vi) torture as a crime against humanity, pursuant to Article 7(1)(f) of the Statute (Count 16); (vii) torture as a war crime, pursuant to Article 8(2)(c)(i) of the Statute (Count 17); (viii) enslavement as a crime against humanity, pursuant to Article 7(1)(c) of the Statute (Count 20); (ix) pillaging as a war crime, pursuant to Article 8(2)(e)(v) of the Statute (Count 21); (x) outrages upon personal dignity as a war crime, pursuant to Article 8(2)(c)(ii) of the Statute (Count 22); and (xi) persecution as a crime against humanity, pursuant to Article 7(1)(h) of the Statute (Count 23).
 - 5. Attack on Lukodi IDP camp (Counts 24 to 36)
 - i. Specific objective elements of the charged crimes
 - a. Attack against the civilian population as such (Count 24)
- 2928. Under Count 24, Dominic Ongwen is charged with attack against the civilian population as such as a war crime, pursuant to Article 8(2)(e)(i) of the Statute, on or about 19 May 2004, at or near Lukodi IDP camp.

2929. The Chamber finds that the specific material elements of the crime, namely that the perpetrator directed an attack and that the object of the attack was a civilian population as such or individual civilians not taking part in hostilities, are met, considering that LRA fighters who on Dominic Ongwen's orders attacked Lukodi camp also went into the civilian areas of the camp. The fighters targeted civilians within the camp. Civilians in Lukodi IDP camp were shot, burnt and beaten and huts were set on fire. The facts establish that the object of the attack was the civilian population of Lukodi IDP camp as such.

b. Murder (Counts 25-26)

- 2930. Under Counts 25 and 26, Dominic Ongwen is charged with murder as a crime against humanity, pursuant to Article 7(1)(a) of the Statute, and murder as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, on or about 19 May 2004, at or near Lukodi IDP camp.
- 2931. The Chamber found that LRA fighters killed civilians in Lukodi IDP camp: men, women and children. The At least 48 civilians died as a result of injuries sustained in the attack. The Civilians were shot, burnt and beaten to death. The killing of civilians was not confined to the area of Lukodi IDP camp. After they left Lukodi, LRA fighters killed persons they had abducted from the camp.
- 2932. Accordingly, the Chamber finds that the first legal element of both murder as a crime against humanity, pursuant to Article 7(1)(a) of the Statute, and murder as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, i.e. that the perpetrator killed one or more persons, is met.
- 2933. Furthermore, and considering in particular that the victims of the killings were civilians, ⁷⁵⁴⁶ the Chamber finds that the second element of murder as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, i.e. that the person or persons killed were

⁷⁵³⁸ See paras 179-181 above.

⁷⁵³⁹ *See* para. 181 above.

⁷⁵⁴⁰ See paras 182, 184, 186 above.

⁷⁵⁴¹ *See* para. 182 above.

⁷⁵⁴² *See* para. 182 above.

⁷⁵⁴³ *See* para. 182 above.

⁷⁵⁴⁴ *See* para. 182 above.

⁷⁵⁴⁵ *See* para. 188 above.

⁷⁵⁴⁶ See paras 182-183, 188 above.

either *hors de combat*, or were civilians, medical personnel, or religious personnel taking no active part in the hostilities, is also met.

c. Attempted murder (Counts 27-28)

- 2934. Under Counts 27 and 28, Dominic Ongwen is charged with attempted murder as a crime against humanity, pursuant to Article 7(1)(a) of the Statute, and attempted murder as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, in conjunction with Article 25(3)(f) of the Statute, on or about 19 May 2004, at or near Lukodi IDP camp.
- 2935. The Chamber found that the LRA fighters attempted to kill at least 11 civilians during the attack on Lukodi IDP camp. The fighters shot at civilians and wounded them. Civilians were beaten and left for dead. Civilians, including children, were thrown into burning houses. The facts, the requirements of Article 25(3)(f) are met: the shooting, beating and burning of the victims represented a substantial step commencing the execution of the crime against humanity and the war crime of murder, whereas the completion of the crime, i.e. the death of the victims, did not occur due to independent circumstances.
- 2936. Furthermore, the Chamber, considering in particular that the persons whom the LRA fighters attempted to kill were civilians, ⁷⁵⁵¹ the Chamber finds that the second element of attempted murder as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, i.e. that the person or persons killed were either *hors de combat*, or were civilians, medical personnel, or religious personnel taking no active part in the hostilities, is also met.

d. Torture (Counts 29-30)

- 2937. Under Counts 29 and 30, Dominic Ongwen is charged with torture as a crime against humanity, pursuant to Article 7(1)(f) of the Statute, and torture as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, on or about 19 May 2004, at or near Lukodi IDP camp.
- 2938. The Chamber found that LRA fighters severely mistreated civilians during the attack and in its aftermath. Civilians were forced to carry heavy loads, some for long distances while

⁷⁵⁴⁸ *See* para. 184 above.

⁷⁵⁴⁷ *See* para. 184 above.

⁷⁵⁴⁹ *See* para. 184 above.

⁷⁵⁵⁰ *See* para. 184 above.

⁷⁵⁵¹ *See* para. 184 above.

tied together and under constant threat of harm. 7552 Civilians were also injured by the LRA. Witness P-0187 was wounded by an LRA fighter. 7553 She was also raped by an LRA fighter. 7554 Witness P-0024 was beaten throughout her abduction as were other civilians. 7555 LRA fighters kept slapping and threatening her. 7556 Mothers were forced to abandon their children in the bush. 7557 LRA fighters threw small children, including babies, into the bush because the children were crying and making it difficult for their mothers to carry looted goods. 7558

- 2939. The Chamber finds that by way of these acts, LRA fighters inflicted severe physical or mental pain or suffering upon the civilian residents of Pajule IDP camp. The first legal element of torture as a crime against humanity under Article 7(1)(f) of the Statute and of torture as a war crime under Article 8(2)(c)(i) of the Statute is therefore met.
- 2940. Considering that these acts were committed against civilians whom the LRA fighters abducted, 7559 the Chamber finds that they were in the custody of the LRA fighters who attacked Lukodi IDP camp. The second legal element of torture as a crime against humanity under Article 7(1)(f) of the Statute is therefore met.
- 2941. In respect of the third constitutive element of torture as a crime against humanity under Article 7(1)(f) of the Statute, i.e. that the pain or suffering inflicted did not arise only from, and was not inherent in or incidental to, lawful sanction, the Chamber notes that it did not find, on facts, any nexus between the acts under consideration and a context of lawful sanctions. The element is therefore likewise met.
- 2942. Turning to the distinct element of torture as a war crime, considering in particular that the relevant acts were committed against civilians, 7560 the Chamber finds that the third element of torture as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, i.e. that the persons on whom pain or suffering was inflicted were either hors de combat, or were

⁷⁵⁵² See para. 187 above.

⁷⁵⁵³ *See* para. 187 above.

⁷⁵⁵⁴ See para. 187 above. The Chamber observes in this regard that the Prosecution did not charge Dominic Ongwen with the crime of rape in relation to this fact. In the charges, the rape of P-0187 is contained within the factual scope of the charges under Counts 29 to 32 (see para. 49 of the charges, in Confirmation Decision, p. 83).

⁷⁵⁵⁵ See para. 187 above.

⁷⁵⁵⁶ See para. 187 above. ⁷⁵⁵⁷ See para. 187 above.

⁷⁵⁵⁸ *See* para. 187 above.

⁷⁵⁵⁹ *See* para. 187 above.

⁷⁵⁶⁰ *See* para. 187 above.

civilians, medical personnel, or religious personnel taking no active part in the hostilities, is also met.

Other inhumane acts (Count 31)

- 2943. Under Count 31, Dominic Ongwen is charged with other inhumane acts as a crime against humanity, pursuant to Article 7(1)(k) of the Statute, on or about 19 May 2004, at or near Lukodi IDP camp.
- 2944. The facts underlying the charge of other inhumane acts as a crime against humanity under Count 31 are identical to those underlying the charge of torture as a crime against humanity under Count 29. The permissibility of this concurrence of crimes must therefore be considered by the Chamber. In this regard, the Chamber recalls the residual character of Article 7(1)(k) of the Statute, ⁷⁵⁶¹ which, in the statutory system of the Court, is therefore in a relationship of subsidiarity with all other crimes against humanity under article 7(1) of the Statute. That said, and in light of the established facts, the Chamber observes that there is no act underlying the charge under Count 31 which may be considered as different but of similar character, in terms of harm and protected interests involved, compared to those already qualified as a crime against humanity of torture under Count 29. In these circumstances, due to the residual nature of Article 7(1)(k) of the Statute, concurrent legal qualification under this provision is not possible. For this reason, the charge of other inhumane acts under Count 31 will not be further considered by the Chamber.

Cruel treatment (Count 32)

- 2945. Under Count 32, Dominic Ongwen is charged with cruel treatment as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, on or about 19 May 2004, at or near Lukodi IDP camp.
- 2946. The facts underlying the charge of torture as a war crime under Count 30 and the charge of cruel treatment as a war crime under Count 32 are identical. This is therefore a further situation of concurrence of crimes, the permissibility of which must be specifically considered by the Chamber. In this regard, and as observed above, 7562 the Chamber

⁷⁵⁶¹ See para. 2745 above.

⁷⁵⁶² See paras 2700-2707 (torture) and para. 2754 (cruel treatment) above.

recalls that the legal elements of the war crime of cruel treatment are entirely encompassed within the legal elements of the war crime of torture, with the latter containing an additional (mental) constitutive element. In these circumstances, and as explained, while the same facts under consideration indeed fulfil the legal elements of both crimes, concurrence of crimes (and consequent cumulative conviction) are not permissible. For this reason, and considering the finding below as to the additional mental element required for the crime of torture as a war crime under Count 30, the charge of cruel treatment under Count 32 will not be further considered.

g. Enslavement (Count 33)

2947. Under Count 33, Dominic Ongwen is charged with enslavement as a crime against humanity, pursuant to Article 7(1)(c) of the Statute, on or about 19 May 2004, at or near Lukodi IDP camp.

2948. The Chamber found that in the course of the attack on Lukodi IDP camp, LRA fighters abducted at least 29 civilians, men, women and children, to carry looted goods from the camp. The abductees were under armed guard to prevent their escape and were under constant threat of beatings or death. Many of the women abductees were subsequently released. Some abductees, particularly men were killed in the bush. Some of the abducted children remained with the LRA.

2949. Considering that the LRA fighters, by committing the acts referred to, deprived the civilian abductees of their personal liberty, restricted and dictated their movement, including by physically restraining them and subjecting them to armed guard, subjected them to forced labour, and engaged in physical and psychological abuse of the abductees, the Chamber finds that by way of abducting civilians and placing them in the situation

⁷⁵⁶³ Indeed, in addition to the otherwise identical legal elements, torture as a war crime requires the following additional element which is not required for cruel treatment as a war crime: '[t]he perpetrator inflicted the pain or suffering for such purposes as: obtaining information or a confession, punishment, intimidation or coercion or for any discrimination of any kind'.

⁷⁵⁶⁴ See para. 2792 above.

⁷⁵⁶⁵ See para. 2971 below.

⁷⁵⁶⁶ See para. 187 above.

⁷⁵⁶⁷ See para. 187 above.

⁷⁵⁶⁸ *See* para. 187 above.

⁷⁵⁶⁹ *See* para. 187 above.

⁷⁵⁷⁰ *See* para. 187 above.

⁷⁵⁷¹ *See* para. 187 above.

as found, the LRA fighters exercised powers attaching to the right of ownership over the civilian abductees by imposing on them a deprivation of liberty similar to those explicitly stated in Article 7(2)(c) of the Statute.

h. Pillaging (Count 34)

- 2950. Under Count 34, Dominic Ongwen is charged with pillaging as a war crime, pursuant to Article 8(2)(e)(v) of the Statute, on or about 19 May 2004, at or near Lukodi IDP camp.
- 2951. The Chamber found that during the attack, LRA fighters entered civilian homes and shops in Lukodi IDP camp and looted food and other property from them. ⁷⁵⁷² Among the items stolen by the attackers were beans, maize, cooking oil, soap, cooking utensils, chickens, money and clothes. ⁷⁵⁷³
- 2952. The Chamber finds, on the basis of these facts, that the first element of pillaging as a war crime under Article 8(2)(e)(v) of the Statute, i.e. that the perpetrator appropriated certain property, is met.
- 2953. Further, the Chamber finds that the circumstances of the appropriation, which took place during an armed attack on Lukodi IDP camp by the LRA fighters, indicate that the appropriation took place without the consent of the owners of the property looted. Accordingly, the corresponding legal element of pillaging as a war crime under Article 8(2)(e)(v) of the Statute is met.

i. Destruction of property (Count 35)

- 2954. Under Count 35, Dominic Ongwen is charged with destruction of property as a war crime, pursuant to Article 8(2)(e)(xii) of the Statute, on or about 19 May 2004, at or near Lukodi IDP camp.
- 2955. The Chamber found that during their attack on the camp, LRA fighters set huts on fire. 7574 Approximately 210 civilian huts in the camp were burnt. 7575 Civilians' household goods, including food stocks, were destroyed in these fires. 7576 Domestic animals such as goats

⁷⁵⁷² *See* para. 185 above.

⁷⁵⁷³ *See* para. 185 above.

⁷⁵⁷⁴ *See* para. 186 above.

⁷⁵⁷⁵ *See* para. 186 above.

⁷⁵⁷⁶ See para. 186 above.

were also burnt by the LRA.⁷⁵⁷⁷ Accordingly, the Chamber finds that the first element of the war crime of destruction of property, i.e. that the perpetrator destroyed certain property, is met.

2956. The property in question belonged to the residents of Lukodi IDP camp. The Chamber reiterates its finding that the LRA perceived the civilians living in Northern Uganda, in particular those who lived in government-established IDP camps in Northern Uganda, as associated with the Government of Uganda, and thus as the enemy. Accordingly, the second element of the war crime of destruction of property, i.e. that the property was property of an adversary, is also met.

2957. Considering that the objects destroyed were civilian huts, household goods, including food stocks, and domestic animals, 7579 the Chamber also finds that no circumstances are indicated on facts which could mean that they constituted military objectives and finds that the property destroyed was protected from that destruction under the international law of armed conflict. There is also no indication on facts that the destruction was required by military necessity. The third and fifth elements of the war crime of destruction of property are therefore established.

j. Persecution (Count 36)

2958. Under Count 36, Dominic Ongwen is charged with persecution as a crime against humanity, pursuant to Article 7(1)(h) of the Statute, on or about 19 May 2004, at or near Lukodi IDP camp.

2959. The Chamber finds that as required by the first legal element of the crime against humanity of persecution, LRA attackers severely deprived, contrary to international law, civilians of fundamental rights in the course of the attack on Lukodi IDP camp. Specifically, the LRA attackers deprived civilians of the right to life, 7580 the right not to be subjected to cruel, inhuman or degrading treatment, 7581 the right to personal liberty, 7582

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⁷⁵⁷⁷ See para. 186 above.

⁷⁵⁷⁸ *See* para. 140 above.

⁷⁵⁷⁹ *See* para. 186 above.

⁷⁵⁸⁰ See paras 182-183, 188 above.

⁷⁵⁸¹ *See* para. 187 above.

⁷⁵⁸² *See* para. 187 above.

the right not to be held in slavery or servitude,⁷⁵⁸³ and the right to private property.⁷⁵⁸⁴ Based on the circumstances of the victimisation, the Chamber finds that the deprivation was severe.

- 2960. The Chamber also finds that the LRA fighters targeted civilians during the attack on Lukodi IDP camp by reason of their identity as perceived supporters of the Ugandan government, and therefore on political grounds. This is because the LRA perceived as associated with the Government of Uganda, and thus as the enemy, the civilians living in Northern Uganda, in particular those who lived in government-established IDP camps in Northern Uganda. This context, the selection of Lukodi IDP camp as a target for the LRA attack indeed constituted targeting on political grounds.
- 2961. Finally in this regard, the Chamber deems the requirement that persecution as a crime against humanity be committed in connection with any act referred to in Article 7(1) of the Statute or any crime within the jurisdiction of the Court met, in light of the other legal findings of the Chamber in relation to the attack on Lukodi IDP camp.
 - ii. Individual criminal responsibility of Dominic Ongwen
- 2962. Dominic Ongwen is charged with the commission of the crimes under Counts 24 to 36 through' others within the meaning of Article 25(3)(a) of the Statute.
 - a. Execution of the material elements of the crime through other persons
- 2963. The Chamber found that Dominic Ongwen decided to attack Lukodi IDP camp. ⁷⁵⁸⁶ For the purpose of the attack, he gathered soldiers from Sinia as well as from the nearby Gilva brigade sickbay. ⁷⁵⁸⁷ At a gathering the morning of the day before the attack, Dominic Ongwen instructed LRA fighters to attack Lukodi IDP camp and everyone present at that location, including civilians, and to take food from the camp. ⁷⁵⁸⁸ Dominic Ongwen selected his subordinate Ocaka to be commander on the ground. ⁷⁵⁸⁹ Pursuant to Dominic

⁷⁵⁸³ *See* para. 187 above.

⁷⁵⁸⁴ See paras 185-186 above.

⁷⁵⁸⁵ *See* para. 140 above.

⁷⁵⁸⁶ See para. 179 above.

⁷⁵⁸⁷ *See* para. 179 above.

⁷⁵⁸⁸ *See* para. 179 above.

⁷⁵⁸⁹ *See* para. 179 above.

Ongwen's instruction, the attackers left for Lukodi IDP camp; they executed his orders and attacked the camp. ⁷⁵⁹⁰ After the attack, LRA fighters returned and reported to Dominic Ongwen about the success of their mission. ⁷⁵⁹¹ Dominic Ongwen also reported his soldiers' attack on Lukodi IDP camp to other LRA commanders, including Joseph Kony and Vincent Otti. ⁷⁵⁹² Dominic Ongwen took responsibility for the attack on Lukodi IDP camp. ⁷⁵⁹³

2964. The Chamber refers to its above analysis and the resulting finding that the conditions of recruitment, initiation and training, and service in the LRA generally of its members were such that LRA commanders could rely for obedience in the execution of orders on a reliable pool of persons. Accordingly, the Chamber concludes that the will of the individual LRA soldiers was irrelevant in the execution of a given order. The LRA soldiers selected and sent for the attack on Lukodi IDP camp as a whole functioned as a tool of Dominic Ongwen, through which he was able to execute his plan to attack Lukodi IDP camp, including the commission of crimes. Accordingly, the Chamber concludes that the conduct of the individual LRA fighters in the execution of the crimes during the attack on Lukodi IDP camp must be attributed to Dominic Ongwen as his own.

b. Mental elements

2965. The conduct which Dominic Ongwen undertook in relation to the crimes committed during the attack on Lukodi IDP camp, i.e. his participation in the planning and in the execution of the attack, is such that, by its nature, it could only have been undertaken intentionally. Thus, the Chamber considers that the conduct-related requirement of Article 30(2) of the Statute is met.

2966. Furthermore, the Chamber's findings to the effect that Dominic Ongwen decided to attack Lukodi IDP camp⁷⁵⁹⁶ are relevant also with respect to the required mental elements.

⁷⁵⁹⁰ See paras 179-180 above.

⁷⁵⁹¹ *See* para. 189 above.

⁷⁵⁹² *See* para. 189 above.

⁷⁵⁹³ *See* para. 189 above.

⁷⁵⁹⁴ See paras 2856, 2858 above.

⁷⁵⁹⁵ See paras 179-180.

⁷⁵⁹⁶ See para. 179 above.

In this regard, the Chamber reiterates that at a gathering the morning of the day before the attack, Dominic Ongwen instructed LRA fighters to attack Lukodi IDP camp and everyone present at that location, including civilians, and to take food from the camp. As an LRA commander, Dominic Ongwen was necessarily aware of the features of the organisation, including that recruits were not taught, as part of their training which included training in military discipline, to distinguish between civilians and combatants, or between civilian objects and military objectives. After the attack, LRA fighters returned and reported to Dominic Ongwen about the success of their mission. Dominic Ongwen also reported his soldiers' attack on Lukodi IDP camp to other LRA commanders, including Joseph Kony and Vincent Otti. Dominic Ongwen took responsibility for the attack on Lukodi IDP camp.

2967. On this basis, the Chamber finds that Dominic Ongwen: (i) meant for civilians to be attacked during the attack on Lukodi IDP camp; (ii) meant for civilians to be killed; (iii) meant for civilians to be severely physically and psychologically abused; (iv) meant for civilians to be abducted and forced to carry away looted goods; (v) meant for food items and other property to be looted during the attack; and (vi) meant for the property of civilian residents of Lukodi IDP camp to be destroyed.

2968. The Chamber found that the LRA perceived the civilians living in Northern Uganda, in particular those who lived in government-established IDP camps in Northern Uganda, as associated with the Government of Uganda, and thus as the enemy. RA commanders routinely declared that civilians were failing to support the LRA in its effort against the government and should be killed by the LRA. Dominic Ongwen knew that the LRA perceived, and also himself perceived, the civilians living in Northern Uganda as associated with the Government of Uganda – and thus as the enemy. On this basis, the Chamber finds that Dominic Ongwen meant for civilian residents of Lukodi IDP

⁷⁵⁹⁷ *See* para. 179 above.

⁷⁵⁹⁸ *See* para. 130 above.

⁷⁵⁹⁹ *See* para. 189 above.

⁷⁶⁰⁰ See para. 189 above.

⁷⁶⁰¹ *See* para. 189 above.

⁷⁶⁰² See para. 140 above.

⁷⁶⁰³ See para. 140 above.

⁷⁶⁰⁴ See para. 141 above.

camp to be severely deprived of their rights by reason of their identity as perceived as associated with the Government of Uganda.

2969. Accordingly, the general mental elements under Article 30(2) of the Statute are met with respect to the crimes charged under Counts 24-36. The Chamber turns to the additional mental elements imposed by the Statute in relation to some of these crimes.

2970. In relation to the requirement that the perpetrator be aware of the victims being either hors de combat, or civilians, medical personnel or religious personnel taking no active part in the hostilities, applicable to the crimes of murder as a war crime, pursuant to Article 8(2)(c)(i) of the Statute (Count 26 and Count 28 – attempted murder) and torture as a war crime, pursuant to Article 8(2)(c)(i) of the Statute (Count 30), the Chamber found that Dominic Ongwen instructed LRA fighters to attack Lukodi IDP camp and everyone present at that location, including civilians. 7605 In light of the foregoing, the Chamber concludes that this specific requirement of knowledge is met. Similarly, on the basis of the fact that Dominic Ongwen instructed LRA fighters to attack an IDP camp, ⁷⁶⁰⁶ the Chamber concludes that he was aware of the factual circumstances that established the protected status of property in the camp. Accordingly, the specific knowledge requirement of destruction of property as a war crime, pursuant to Article 8(2)(e)(xii) of the Statute, is met.

2971. In relation to the special intent requirement applicable to torture as a war crime, pursuant to Article 8(2)(c)(i) of the Statute (Count 30), the Chamber recalls its finding that Dominic Ongwen knew that the LRA perceived, and also himself perceived, the civilians living in Northern Uganda as associated with the Government of Uganda – and thus as the enemy. ⁷⁶⁰⁷ On this basis, the Chamber finds that Dominic Ongwen intended to inflict such pain or suffering as discussed above, ⁷⁶⁰⁸ for the purpose of punishment and/or intimidation of the residents of Lukodi IDP camp, on account of their perceived support for the Government of Uganda.

⁷⁶⁰⁵ See para. 179 above.

⁷⁶⁰⁶ See para. 179 above.

⁷⁶⁰⁷ *See* para. 141 above.

⁷⁶⁰⁸ See paras 2938-2939 above.

2972. In relation to the special intent requirement applicable to pillaging as a war crime, pursuant to Article 8(2)(e)(v) of the Statute (Count 34), the Chamber notes that before the attack on Lukodi IDP camp Dominic Ongwen gave the attacking fighters the instruction to loot. Thus, the only reasonable conclusion is that Dominic Ongwen intended to deprive the owner of the property and to appropriate it for private or personal use. The circumstances of the appropriation do not allow for consideration of military necessity as a justification. The special intent requirement is therefore met.

iii. Conclusion

2973. On the basis of the above, the Chamber therefore finds that, within the context of the attack carried out by the LRA on the Lukodi IDP camp on or about 19 May 2004, Dominic Ongwen committed, through LRA soldiers, within the meaning of Article 25(3)(a) of the Statute, the following crimes: (i) attack against the civilian population as such as a war crime pursuant to Article 8(2)(e)(i) of the Statute (Count 24); (ii) murder as a crime against humanity, pursuant to Article 7(1)(a) of the Statute (Count 25); (iii) murder as a war crime, pursuant to Article 8(2)(c)(i) of the Statute (Count 26); (iv) attempted murder as a crime against humanity, pursuant to Article 7(1)(a) of the Statute, in conjunction with Article 25(3)(f) of the Statute (Count 27); (v) attempted murder as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, in conjunction with Article 25(3)(f) of the Statute (Count 28); (vi) torture as a crime against humanity, pursuant to Article 7(1)(f) of the Statute (Count 29); (vii) torture as a war crime, pursuant to Article 8(2)(c)(i) of the Statute (Count 30); (viii) enslavement as a crime against humanity, pursuant to Article 7(1)(c) of the Statute (Count 33); (ix) pillaging as a war crime, pursuant to Article 8(2)(e)(v) of the Statute (Count 34); (x) destruction of property as a war crime, pursuant to Article 8(2)(e)(xii) of the Statute (Count 35) and (xi) persecution as a crime against humanity, pursuant to Article 7(1)(h) of the Statute (Count 36).

⁷⁶⁰⁹ *See* para. 179 above.

- 6. Attack on Abok IDP camp (Counts 37 to 49)
 - i. Specific objective elements of the charged crimes
 - a. Attack against the civilian population as such (Count 37)
- 2974. Under Count 37, Dominic Ongwen is charged with attack against the civilian population as such as a war crime, pursuant to Article 8(2)(e)(i) of the Statute, on or about 8 June 2004, at or near Abok IDP camp.
- 2975. The Chamber finds that the specific material elements of the crime, namely that the perpetrator directed an attack and that the object of the attack was a civilian population as such or individual civilians not taking part in hostilities, are met, considering that as LRA fighters attacked Abok IDP camp, they went past the old barracks in the south of the camp and entered the camp, firing their guns, ⁷⁶¹⁰ and specifically considering that the LRA attacked the civilians in the camp, shooting, burning and beating them, and burning several hundred civilian homes. ⁷⁶¹¹ The facts establish that the object of the attack was the civilian population of Abok IDP camp as such.

b. Murder (Counts 38-39)

- 2976. Under Counts 38 and 39, Dominic Ongwen is charged with murder as a crime against humanity, pursuant to Article 7(1)(a) of the Statute, and murder as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, on or about 8 June 2004, at or near Abok IDP camp.
- 2977. The Chamber found that in the course of the attack, LRA fighters killed civilians by shooting, burning and/or beating them. ⁷⁶¹² The attackers killed at least 28 civilian residents of the camp. ⁷⁶¹³
- 2978. Accordingly, the Chamber finds that the first legal element of both murder as a crime against humanity, pursuant to Article 7(1)(a) of the Statute, and murder as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, i.e. that the perpetrator killed one or more persons, is met.

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⁷⁶¹⁰ *See* para. 194 above.

⁷⁶¹¹ See paras 196-197 above.

⁷⁶¹² See para. 197 above.

⁷⁶¹³ See para. 197 above.

2979. Furthermore, considering in particular that the victims of the killings were civilians, ⁷⁶¹⁴ the Chamber finds that the second element of murder as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, i.e. that the person or persons killed were either *hors de combat*, or were civilians, medical personnel, or religious personnel taking no active part in the hostilities, is also met.

c. Attempted murder (Counts 40-41)

- 2980. Under Counts 40 and 41, Dominic Ongwen is charged with attempted murder as a crime against humanity, pursuant to Article 7(1)(a) of the Statute, and attempted murder as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, in conjunction with Article 25(3)(f) of the Statute, on or about 8 June 2004, at or near Abok IDP camp.
- 2981. The Chamber found that in the course of the attack on Abok IDP camp, LRA fighters attempted to kill at least four civilians. On such facts, the requirements of Article 25(3)(f) are met: the shooting, burning or beating of the victims represented a substantial step commencing the execution of the crime against humanity and the war crime of murder, whereas the completion of the crime, i.e. the death of the victims, did not occur due to independent circumstances.
- 2982. Furthermore, the Chamber, considering in particular that the persons whom the LRA fighters attempted to kill were civilians, ⁷⁶¹⁶ the Chamber finds that the second element of attempted murder as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, i.e. that the person or persons killed were either *hors de combat*, or were civilians, medical personnel, or religious personnel taking no active part in the hostilities, is also met.

d. Torture (Counts 42-43)

- 2983. Under Counts 42 and 43, Dominic Ongwen is charged with torture as a crime against humanity, pursuant to Article 7(1)(f) of the Statute, and torture as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, on or about 8 June 2004, at or near Abok IDP camp.
- 2984. The Chamber found that many civilians were severely mistreated by LRA fighters. On the march from the camp through the bush, LRA fighters forced civilians to carry heavy

⁷⁶¹⁵ See paras 199, 202 above.

⁷⁶¹⁴ See para. 197 above.

⁷⁶¹⁶ See paras 199, 202 above.

looted goods, as well as an injured fighter, for long distances oftentimes under the threat of beatings or death. RA fighters beat civilians as a means of punishment for not being able to continue walking and to intimidate other abductees to continue without stopping or resisting. RA fighters forced an abductee to kill another abductee with a club, as a lesson to others who were thinking of escaping.

2985. The Chamber finds that by way of these acts, LRA fighters inflicted severe physical or mental pain or suffering upon the civilian residents of Pajule IDP camp. The first legal element of torture as a crime against humanity under Article 7(1)(f) of the Statute and of torture as a war crime under Article 8(2)(c)(i) of the Statute is therefore met.

2986. Considering that these acts were committed against civilians whom the LRA fighters abducted so that they would carry away the goods looted by the attackers, ⁷⁶²⁰ the Chamber finds that they were in the custody of the LRA fighters who attacked Abok IDP camp. The second legal element of torture as a crime against humanity under Article 7(1)(f) of the Statute is therefore met.

2987. In respect of the third constitutive element of torture as a crime against humanity under Article 7(1)(f) of the Statute, i.e. that the pain or suffering inflicted did not arise only from, and was not inherent in or incidental to, lawful sanction, the Chamber notes that it did not find, on facts, any nexus between the acts under consideration and a context of lawful sanctions. The element is therefore likewise met.

2988. Turning to the distinct element of torture as a war crime, the Chamber, considering in particular that the relevant acts were committed against civilians, ⁷⁶²¹ finds that the third element of torture as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, i.e. that the persons on whom pain or suffering was inflicted were either *hors de combat*, or were civilians, medical personnel, or religious personnel taking no active part in the hostilities, is also met.

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⁷⁶¹⁷ See paras 201-202 above.

⁷⁶¹⁸ See paras 201-202 above.

⁷⁶¹⁹ See para. 201 above.

⁷⁶²⁰ See paras 201-202 above.

⁷⁶²¹ *See* paras 201-203 above.

e. Other inhumane acts (Count 44)

- 2989. Under Count 44, Dominic Ongwen is charged with other inhumane acts as a crime against humanity, pursuant to Article 7(1)(k) of the Statute, on or about 8 June 2004, at or near Abok IDP camp.
- 2990. The facts underlying the charge of other inhumane acts as a crime against humanity under Count 44 are identical to those underlying the charge of torture as a crime against humanity under Count 42. The permissibility of this concurrence of crimes must therefore be considered by the Chamber. In this regard, the Chamber recalls the residual character of Article 7(1)(k) of the Statute, ⁷⁶²² which, in the statutory system of the Court, is therefore in a relationship of subsidiarity with all other crimes against humanity under Article 7(1) of the Statute. That said, and in light of the established facts, the Chamber observes that there is no act underlying the charge under Count 44 which may be considered as different but of similar character, in terms of harm and protected interests involved, compared to those already qualified as a crime against humanity of torture under Count 42. In these circumstances, due to the residual nature of Article 7(1)(k) of the Statute, concurrent legal qualification under this provision is not possible. For this reason, the charge of other inhumane acts under Count 44 will not be further considered by the Chamber.

f. Cruel treatment (Count 45)

- 2991. Under Count 45, Dominic Ongwen is charged with cruel treatment as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, on or about 8 June 2004, at or near Abok IDP camp.
- 2992. The facts underlying the charge of torture as a war crime under Count 43 and the charge of cruel treatment as a war crime under Count 45 are identical. This is therefore a further situation of concurrence of crimes, the permissibility of which must be specifically considered by the Chamber. In this regard, and as observed above, ⁷⁶²³ the Chamber recalls that the legal elements of the war crime of cruel treatment are entirely encompassed within the legal elements of the war crime of torture, with the latter

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⁷⁶²² See para. 2745 above.

⁷⁶²³ See paras 2700-2707 (torture) and para. 2754 (cruel treatment) above.

containing an additional (mental) constitutive element. These circumstances, and as explained, while the same facts under consideration indeed fulfil the legal elements of both crimes, concurrence of crimes (and consequent cumulative conviction) are not permissible. For this reason, and considering the finding below as to the additional mental element required for the crime of torture as a war crime under Count 43, the charge of cruel treatment under Count 45 will not be further considered.

g. Enslavement (Count 46)

2993. Under Count 46, Dominic Ongwen is charged with enslavement as a crime against humanity, pursuant to Article 7(1)(c) of the Statute, on or about 8 June 2004, at or near Abok IDP camp.

2994. The Chamber found that in the course of the attack, the LRA fighters deprived many civilians of their liberty by abducting them and forcing them to carry looted goods, as well as an injured fighter, for long distances. Some of the abductees were tied to each other. The abductees were under armed guard to prevent their escape and were under constant threat of beatings or death. Some abductees were killed in captivity, at times for failing to keep up with their captors, others eventually escaped and returned home, some remained with the LRA.

2995. Considering that the LRA fighters, by committing the acts referred to, deprived the civilian abductees of their personal liberty, restricted and dictated their movement, including by physically restraining them and subjecting them to armed guard, subjected them to forced labour, and engaged in physical and psychological abuse of the abductees, the Chamber finds that by way of abducting civilians and placing them in the situation as found, the LRA fighters exercised powers attaching to the right of ownership over the

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⁷⁶²⁴ Indeed, in addition to the otherwise identical legal elements, torture as a war crime requires the following additional element which is not required for cruel treatment as a war crime: '[t]he perpetrator inflicted the pain or suffering for such purposes as: obtaining information or a confession, punishment, intimidation or coercion or for any discrimination of any kind'.

⁷⁶²⁵ See para. 2792 above.

⁷⁶²⁶ See para. 3018 below.

⁷⁶²⁷ See para. 201 above.

⁷⁶²⁸ *See* para. 201 above.

⁷⁶²⁹ See para. 201 above.

⁷⁶³⁰ *See* para. 203 above.

abductees by imposing on them a deprivation of liberty similar to those explicitly stated in Article 7(2)(c) of the Statute.

h. Pillaging (Count 47)

- 2996. Under Count 47, Dominic Ongwen is charged with pillaging as a war crime, pursuant to Article 8(2)(e)(v) of the Statute, on or about 8 June 2004, at or near Abok IDP camp.
- 2997. The Chamber found that during the attack on the camp, the LRA fighters looted civilian houses and shops at the trading centre, taking away food items such as sugar, flour, beans, maize, goats, cooking oil, biscuits and salt, as well as a radio, money, clothing, cooking utensils and medicine. At times, while demanding the goods, LRA fighters would use violence. The cooking of the cooking of the goods of the goods of the goods.
- 2998. The Chamber finds, on the basis of these facts, that the first element of pillaging as a war crime under Article 8(2)(e)(v) of the Statute, i.e. that the perpetrator appropriated certain property, is met.
- 2999. Further, the Chamber finds that the circumstances of the appropriation, which took place during an armed attack on Abok IDP camp by the LRA fighters, who raided the trading centre and broke into shops, indicate that the appropriation took place without the consent of the owners of the property looted. Accordingly, the corresponding legal element of pillaging as a war crime under Article 8(2)(e)(v) of the Statute is met.

i. Destruction of property (Count 48)

- 3000. Under Count 48, Dominic Ongwen is charged with destruction of property as a war crime, pursuant to Article 8(2)(e)(xii) of the Statute, on or about 8 June 2004, at or near Abok IDP camp.
- 3001. The Chamber found that during their attack on the camp, LRA fighters set huts on fire, taking grass from burning thatched roofs to set other huts on fire. ⁷⁶³³ Several hundred

⁷⁶³² *See* para. 195 above.

⁷⁶³¹ *See* para. 195 above.

⁷⁶³³ *See* para. 196 above.

civilian homes were burnt during the attack. ⁷⁶³⁴ Civilians' food stocks were also destroyed. ⁷⁶³⁵

3002. Accordingly, the Chamber finds that the first element of the war crime of destruction of property, i.e. that the perpetrator destroyed certain property, is met.

3003. The property in question belonged to the residents of Abok IDP camp. The Chamber found that the LRA perceived the civilians living in Northern Uganda, in particular those who lived in government-established IDP camps in Northern Uganda, as associated with the Government of Uganda, and thus as the enemy. Accordingly, also the second element of the war crime of destruction of property, i.e. that the property was property of an adversary, is met.

3004. Considering that the objects destroyed were civilian huts and food stocks, ⁷⁶³⁷ the Chamber also finds that no circumstances are indicated on facts which could mean that they constituted military objectives and finds that the property destroyed was protected from that destruction under the international law of armed conflict. There is also no indication on facts that the destruction was required by military necessity. The third and fifth elements of the war crime of destruction of property are therefore established.

i. Persecution (Count 49)

3005. Under Count 49, Dominic Ongwen is charged with persecution as a crime against humanity, pursuant to Article 7(1)(h) of the Statute, on or about 8 June 2004, at or near Abok IDP camp.

3006. The Chamber finds that as required by the first legal element of the crime against humanity of persecution, LRA attackers severely deprived, contrary to international law, civilians of fundamental rights in the course of the attack on Abok IDP camp. Specifically, the LRA attackers deprived civilians of the right to life, ⁷⁶³⁸ the right not to be subjected to cruel, inhuman or degrading treatment, ⁷⁶³⁹ the right to personal liberty, ⁷⁶⁴⁰ the right

⁷⁶³⁴ See para. 196 above.

⁷⁶³⁵ See para. 196 above.

⁷⁶³⁶ See para. 140 above.

⁷⁶³⁷ *See* para. 196 above.

⁷⁶³⁸ See paras 197-198 above.

⁷⁶³⁹ See paras 201-202 above.

⁷⁶⁴⁰ See para. 201 above.

not to be held in slavery or servitude, ⁷⁶⁴¹ and the right to private property. ⁷⁶⁴² Based on the circumstances of the victimisation, the Chamber finds that the deprivation was severe.

- 3007. The Chamber also finds that the LRA fighters targeted civilians during the attack on Abok IDP camp by reason of their identity as perceived supporters of the Ugandan government, and therefore on political grounds. This is because the LRA perceived as associated with the Government of Uganda, and thus as the enemy, the civilians living in Northern Uganda, in particular those who lived in government-established IDP camps in Northern Uganda. This context, the selection of Abok IDP camp as a target for the LRA attack indeed constituted targeting on political grounds.
- 3008. Finally in this regard, the Chamber deems the requirement that persecution as a crime against humanity be committed in connection with any act referred to in Article 7(1) of the Statute or any crime within the jurisdiction of the Court, met in light of the other legal findings of the Chamber in relation to the attack on Abok IDP camp.
 - ii. Individual criminal responsibility of Dominic Ongwen
- 3009. Dominic Ongwen is charged with the commission of the crimes under Counts 37 to 49 'through' others within the meaning of Article 25(3)(a) of the Statute.
 - a. Execution of the material elements of the crime through other persons
- 3010. The Chamber found that Dominic Ongwen chose to attack Abok IDP camp. ⁷⁶⁴⁴ Prior to the attack, Dominic Ongwen ordered LRA fighters subordinate to him to attack this camp, including civilians. ⁷⁶⁴⁵ At a gathering in the foothills of Atoo, Dominic Ongwen addressed the troops before the attack and gave instructions to go and collect food, abduct people, attack the barracks and burn down the camp and the barracks. ⁷⁶⁴⁶ Dominic Ongwen did not go to Abok as part of the fighting force. ⁷⁶⁴⁷ He appointed Okello Kalalang to command the attackers on the ground according to his instructions. ⁷⁶⁴⁸

⁷⁶⁴¹ See para. 201 above.

⁷⁶⁴² See paras 195-196 above.

⁷⁶⁴³ *See* para. 140 above.

⁷⁶⁴⁴ *See* para. 192 above.

⁷⁶⁴⁵ *See* para. 192 above.

⁷⁶⁴⁶ *See* para. 192 above.

⁷⁶⁴⁷ *See* para. 192 above.

⁷⁶⁴⁸ *See* para. 192 above.

Kalalang led the LRA fighters in the attack on the camp on behalf of Dominic Ongwen; ⁷⁶⁴⁹ the LRA fighters executed Dominic Ongwen's orders. ⁷⁶⁵⁰ After the attack, Dominic Ongwen communicated the results of the attack on the LRA military radio to other LRA commanders and to Joseph Kony, reporting that his fighters carried out an attack on Abok IDP camp, directing fire and burning everything that was there including huts in the camp. ⁷⁶⁵¹

3011. The Chamber refers to its above analysis and the resulting finding that the conditions of recruitment, initiation and training, and service in the LRA generally of its members were such that LRA commanders could rely for obedience in the execution of orders on a reliable pool of persons. ⁷⁶⁵² Also taking into account that at least 20 LRA fighters participated in the attack, and that they were selected from an even larger pool of available persons, ⁷⁶⁵³ the Chamber considers that the will of the individual LRA soldiers was irrelevant in the execution of a given order. The LRA soldiers selected and sent for the attack on Abok IDP camp as a whole functioned as a tool of Dominic Ongwen, through which he was able to execute his plan to attack Abok IDP camp, including the commission of crimes. Accordingly, the Chamber concludes that the conduct of the individual LRA fighters in the execution of the crimes during the attack on Abok IDP camp must be attributed to Dominic Ongwen as his own.

b. Mental elements

3012. The conduct which Dominic Ongwen undertook in relation to the crimes committed during the attack on Abok IDP camp, i.e. his participation in the planning and in the execution of the attack, is such that, by its nature, it could only have been undertaken intentionally. Thus, the Chamber considers that the conduct-related requirement of Article 30(2) of the Statute is met.

3013. Furthermore, the Chamber's findings to the effect that Dominic Ongwen chose to attack Abok IDP camp are of particular relevance also in this regard. This is the case in relation to the Chamber's finding that, prior to the attack, Dominic Ongwen ordered LRA fighters

⁷⁶⁴⁹ *See* para. 192 above.

⁷⁶⁵⁰ *See* para. 193 above.

⁷⁶⁵¹ *See* para. 204 above.

⁷⁶⁵² See paras 2856, 2858 above.

⁷⁶⁵³ See paras 192-193 above.

subordinate to him to attack this camp, including civilians.⁷⁶⁵⁴ The Chamber reiterates that, at a gathering in the foothills of Atoo, Dominic Ongwen addressed the troops before the attack and gave instructions to go and collect food, abduct people, attack the barracks and burn down the camp and the barracks.⁷⁶⁵⁵ Dominic Ongwen, who did not go to Abok as part of the fighting force,⁷⁶⁵⁶ appointed Okello Kalalang to command the attackers on the ground according to his instructions.⁷⁶⁵⁷ As an LRA commander, Dominic Ongwen was necessarily aware of the features of the organisation, including that recruits were not taught, as part of their training which included training in military discipline, to distinguish between civilians and combatants, or between civilian objects and military objectives.⁷⁶⁵⁸ After the attack, Dominic Ongwen communicated the results of the attack on the LRA military radio to other LRA commanders and to Joseph Kony, reporting that his fighters carried out an attack on Abok IDP camp, directing fire and burning everything that was there including huts in the camp.⁷⁶⁵⁹

- 3014.On this basis, the Chamber finds that Dominic Ongwen: (i) meant for civilians to be attacked during the attack on Abok IDP camp; (ii) meant for civilians to be killed; (iii) meant for civilians to be severely physically and psychologically abused; (iv) meant for civilians to be abducted and forced to carry away looted goods; (v) meant for food items and other property to be looted during the attack; and (vi) meant for the property of civilian residents of Abok IDP camp to be destroyed.
- 3015. The Chamber found that the LRA perceived the civilians living in Northern Uganda, in particular those who lived in government-established IDP camps in Northern Uganda, as associated with the Government of Uganda, and thus as the enemy. The LRA commanders routinely declared that civilians were failing to support the LRA in its effort against the government and should be killed by the LRA. The Dominic Ongwen knew that the LRA perceived, and also himself perceived, the civilians living in Northern Uganda as associated with the Government of Uganda and thus as the enemy. The Dominic Ongwen knew that the LRA perceived, with the Government of Uganda and thus as the enemy.

⁷⁶⁵⁴ *See* para. 192 above.

⁷⁶⁵⁵ *See* para. 192 above.

⁷⁶⁵⁶ See para. 192 above.

⁷⁶⁵⁷ See para. 192 above.

⁷⁶⁵⁸ *See* para. 130 above.

⁷⁶⁵⁹ *See* para. 204 above.

⁷⁶⁶⁰ See para. 140 above.

⁷⁶⁶¹ See para. 140 above.

⁷⁶⁶² *See* para. 141 above.

the Chamber finds that Dominic Ongwen meant for civilian residents of Abok IDP camp to be severely deprived of their rights by reason of their identity as perceived as associated with the Government of Uganda.

3016. Accordingly, the general mental elements under Article 30(2) of the Statute are met with respect to the crimes charged under Counts 37-49. The Chamber turns to the additional mental elements imposed by the Statute in relation to some of these crimes.

3017. In relation to the requirement that the perpetrator be aware of the victims being either *hors de combat*, or civilians, medical personnel or religious personnel taking no active part in the hostilities, applicable to the crimes of murder as a war crime, pursuant to Article 8(2)(c)(i) of the Statute (Count 39 and Count 41 – attempted murder) and torture as a war crime, pursuant to Article 8(2)(c)(i) of the Statute (Count 43), the Chamber found that Dominic Ongwen instructed LRA fighters to attack the Abok IDP camp, including civilians, and to go and collect food, abduct people, attack the barracks and burn down the camp and the barracks. ⁷⁶⁶³ In light of the foregoing, the Chamber concludes that this specific requirement of knowledge is met. Similarly, on the basis of the instruction given by Dominic Ongwen, which was to attack an IDP camp, and in particular noting the specific reference to the 'camp' as opposed to the barracks, ⁷⁶⁶⁴ the Chamber also concludes that Dominic Ongwen was aware of the factual circumstances that established the protected status of property in the camp. Accordingly, the specific knowledge requirement of destruction of property as a war crime, pursuant to Article 8(2)(e)(xii) of the Statute, is met.

3018. In relation to the special intent requirement applicable to torture as a war crime, pursuant to Article 8(2)(c)(i) of the Statute (Count 43), the Chamber recalls its finding that Dominic Ongwen knew that the LRA perceived, and also himself perceived, the civilians living in Northern Uganda as associated with the Government of Uganda – and thus as the enemy. On this basis, the Chamber finds that Dominic Ongwen intended to inflict such pain or suffering as discussed above, for the purpose of punishment and/or

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⁷⁶⁶³ *See* para. 192 above.

⁷⁶⁶⁴ *See* para. 192 above.

⁷⁶⁶⁵ See para. 141 above.

⁷⁶⁶⁶ See paras 2984-2985 above.

intimidation of the residents of Abok IDP camp, on account of their perceived support for the Government of Uganda.

3019. In relation to the special intent requirement applicable to pillaging as a war crime, pursuant to Article 8(2)(e)(v) of the Statute (Count 47), the Chamber recalls that before the attack on Abok IDP camp, Dominic Ongwen gave the instruction to go and collect food. The only reasonable conclusion is that Dominic Ongwen intended to deprive the owner of the property and to appropriate it for private or personal use. The circumstances of the appropriation do not allow for consideration of military necessity as a justification. The special intent requirement is therefore met.

iii. Conclusion

3020. On the basis of the above, the Chamber therefore finds that, within the context of the attack carried out by the LRA on the Abok IDP camp on or about 8 June 2004, Dominic Ongwen committed, through LRA soldiers, within the meaning of Article 25(3)(a) of the Statute, the following crimes: (i) attack against the civilian population as such as a war crime pursuant to Article 8(2)(e)(i) of the Statute (Count 37); (ii) murder as a crime against humanity, pursuant to Article 7(1)(a) of the Statute (Count 38); (iii) murder as a war crime, pursuant to Article 8(2)(c)(i) of the Statute (Count 39); (iv) attempted murder as a crime against humanity, pursuant to Article 7(1)(a) of the Statute, in conjunction with Article 25(3)(f) of the Statute (Count 40); (v) attempted murder as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, in conjunction with Article 25(3)(f) of the Statute (Count 41); (vi) torture as a crime against humanity, pursuant to Article 7(1)(f) of the Statute (Count 42); (vii) torture as a war crime, pursuant to Article 8(2)(c)(i) of the Statute (Count 43); (viii) enslavement as a crime against humanity, pursuant to Article 7(1)(c) of the Statute (Count 46); (ix) pillaging as a war crime, pursuant to Article 8(2)(e)(v) of the Statute (Count 47); (x) destruction of property as a war crime, pursuant to Article 8(2)(e)(xii) of the Statute (Count 48) and (xi) persecution as a crime against humanity, pursuant to Article 7(1)(h) of the Statute (Count 49).

⁷⁶⁶⁷ *See* para. 192 above.

- 7. Sexual and gender-based crimes perpetrated directly by Dominic Ongwen (Counts 50 to 60)
 - Forced marriage (Count 50)
- 3021. Under Count 50, Dominic Ongwen is charged with forced marriage as an other inhumane act, pursuant to Article 7(1)(k) of the Statute, of (P-0099) between 1 July (P-0101) between 1 July 2002 and July 2002 and September 2002; (P-0214) between September 2002 and 31 December 2005; (P-0226) between 1 July 2002 and sometime in 2003; between approximately April 2005 and 31 December 2005.
- 3022. The Chamber found that P-0099 had been abducted by LRA fighters from Purongo, Northern Uganda in February 1998 and from there taken by the LRA to Sudan. 7668 By 1 July 2002, while in Sudan, she had been forced to become Dominic Ongwen's so-called 'wife'. 7669 P-0099 escaped in September 2002. 7670 P-0099 was in Uganda from 1 July 2002 until her escape. 7671 She was placed by Dominic Ongwen in a sickbay where she continued her life under identical circumstances. 7672 P-0101 had been personally abducted by Dominic Ongwen from Northern Uganda in August 1996 and was immediately taken by him into his household ⁷⁶⁷³ where she stayed until her release from the LRA in July 2004. 7674 P-0214 had been abducted from Laliya, Northern Uganda, by LRA fighters in June 2000 and from there taken by the LRA to Sudan. 7675 In September 2002, she was 'distributed' to Dominic Ongwen. ⁷⁶⁷⁶ P-0227 was abducted from Pageya, Northern Uganda by LRA fighters under Dominic Ongwen's command in approximately April 2005. 7677 She joined Dominic Ongwen's household. 7678 P-0214 and P-0227 remained in Dominic Ongwen's household beyond 31 December 2005, the end date of the period relevant to the charges, and escaped in 2010. ⁷⁶⁷⁹ P-0226 had been abducted

⁷⁶⁶⁸ *See* para. 205 above.

⁷⁶⁶⁹ *See* para. 205 above.

⁷⁶⁷⁰ See para. 211 above.

⁷⁶⁷¹ *See* para. 211 above.

⁷⁶⁷² *See* para. 211 above.

⁷⁶⁷³ *See* para. 205 above.

⁷⁶⁷⁴ See paras 205, 211 above.

⁷⁶⁷⁵ *See* para. 205 above.

⁷⁶⁷⁶ *See* para. 205 above.

⁷⁶⁷⁷ See para. 205 above.

⁷⁶⁷⁸ *See* para. 205 above. ⁷⁶⁷⁹ *See* para. 211 above.

from her home at Patiko Cetkana, Lukome, Northern Uganda, by LRA fighters under Dominic Ongwen's command around 1998.⁷⁶⁸⁰ By 1 July 2002, she was a *ting ting* in Dominic Ongwen's household.⁷⁶⁸¹ She was captured by government soldiers in 2003 in Koch.⁷⁶⁸²

- 3023.P-0099, P-0101, P-0214, P-0226 and P-0227, who were 'distributed' to Dominic Ongwen, were not allowed to leave. ⁷⁶⁸³ Dominic Ongwen placed them under heavy guard. ⁷⁶⁸⁴ They were told or came to understand that if they tried to escape they would be killed. ⁷⁶⁸⁵ These women were ultimately considered Dominic Ongwen's so-called 'wives' and had to maintain an exclusive conjugal relationship with him. ⁷⁶⁸⁶ Being Dominic Ongwen's so-called 'wife' did not cease until P-0099, P-0101, P-0214, P-0226 and P-0227 escaped or were released from the LRA. ⁷⁶⁸⁷
- 3024. The Chamber makes reference to its discussion of the applicable law in relation to forced marriage as qualifying within other inhumane acts under Article 7(1)(k) of the Statute. ⁷⁶⁸⁸ In the present circumstances, as concerns P-0099, P-0101, P-0214, P-0226 and P-0227 at the relevant times during the period of the charges going from 1 July 2002 to 31 December 2005, the Chamber finds that Dominic Ongwen executed the specific legal elements of forced marriage as an other inhumane act, pursuant to Article 7(1)(k) of the Statute.
- 3025. As concerns the mental elements, due to the nature of the acts performed by Dominic Ongwen and due to the sustained character of the acts over a long period of time, the Chamber considers that Dominic Ongwen meant both to engage in his relevant conduct and to cause the consequence.
- 3026. On the basis of the above, the Chamber therefore finds that Dominic Ongwen committed, as an individual, within the meaning of Article 25(3)(a) of the Statute, the crime of forced marriage as an other inhumane act, pursuant to Article 7(1)(k) of the Statute (Count 50),

⁷⁶⁸⁰ *See* para. 205 above.

⁷⁶⁸¹ *See* para. 205 above.

⁷⁶⁸² *See* para. 211 above.

⁷⁶⁸³ *See* para. 206 above.

⁷⁶⁸⁴ *See* para. 206 above.

⁷⁶⁸⁵ *See* para. 206 above.

⁷⁶⁸⁶ See para. 206 above.

⁷⁶⁸⁷ *See* para. 206 above.

⁷⁶⁸⁸ See section V.A.1.ii.h above.

of P-0099) between 1 July 2002 and September 2002, (P-0101) between 1 July 2002 and July 2004, (P-0214) between September 2002 and 31 December 2005, (P-0226) between 1 July 2002 and sometime in 2003, and (P-0227) between approximately April 2005 and 31 December 2005.

ii. Torture (Counts 51-52)

- 3027. Under Counts 51 and 52, Dominic Ongwen is charged with torture as a crime against humanity, pursuant to Article 7(1)(f) of the Statute, and torture as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, of (P-0101) between 1 July 2002 and July 2004; (P-0214) between September 2002 and 31 December 2005; (P-0226) between 1 July 2002 and sometime in 2003; (P-0227) between approximately April 2005 and 31 December 2005.
- 3028. The Chamber found that P-0101, P-0214, P-0226 and P-0227 were subjected to beating at Dominic Ongwen's command at any time. They were hit with canes and sticks. Some beatings knocked them unconscious, left them unable to walk and left permanent scars. On this basis, the Chamber finds that the first element of torture as a crime against humanity, pursuant to Article 7(1)(f) of the Statute, and torture as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, i.e. that the perpetrator inflicted on the victim severe physical or mental pain or suffering, is met.
- 3029. At the relevant times P-0101, P-0214, P-0226 and P-0227 were abducted and as so-called 'wives' they were in custody and under control of Dominic Ongwen. The second element of torture as a crime against humanity, pursuant to Article 7(1)(f) of the Statute is also met.
- 3030. In respect of the third constitutive element of torture as a crime against humanity under Article 7(1)(f) of the Statute, i.e. that the pain or suffering inflicted did not arise only from, and was not inherent in or incidental to, lawful sanction, the Chamber notes that it

⁷⁶⁹⁰ *See* para. 208 above.

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⁷⁶⁸⁹ *See* para. 208 above.

⁷⁶⁹¹ See para. 208 above.

did not find, on facts, any nexus between the acts under consideration and a context of lawful sanctions. The element is therefore likewise met.

- 3031. Considering in particular the circumstances of their abduction, as well as of their stay within the LRA, and more specifically in Dominic Ongwen's household, ⁷⁶⁹² the Chamber is also satisfied that P-0101, P-0214, P-0226 and P-0227 were civilians at the relevant time. Accordingly, the Chamber finds that the third element of torture as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, i.e. that the persons on whom pain or suffering was inflicted were either *hors de combat*, or were civilians, medical personnel, or religious personnel taking no active part in the hostilities, is also met.
- 3032. As concerns the mental elements, due to the nature of the acts performed by Dominic Ongwen and due to the sustained character of the acts over a long period of time, the Chamber considers that Dominic Ongwen meant to engage in his relevant conduct and to cause the consequence. Considering that Dominic Ongwen knew the victims personally, he also knew of their civilian status.
- 3033. Finally, and recalling again that failure on the part of P-0101, P-0214, P-0226 and P-0227 to perform the domestic duties assigned to them led to punishment by beating, ⁷⁶⁹³ the Chamber is also satisfied that Dominic Ongwen acted with the purpose of coercing, intimidating or punishing, and that the special intent requirement applicable to torture as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, is therefore met.
- 3034. On the basis of the above, the Chamber therefore finds that Dominic Ongwen committed, as an individual, within the meaning of Article 25(3)(a) of the Statute, the crimes of torture as a crime against humanity, pursuant to Article 7(1)(f) of the Statute (Count 51), and torture as a war crime, pursuant to Article 8(2)(c)(i) of the Statute (Count 52), of (P-0101) between 1 July 2002 and July 2004, (P-0214) between September 2002 and 31 December 2005, (P-0226) between 1 July 2002 and sometime in 2003, and (P-0227) between approximately April 2005 and 31 December 2005.

⁷⁶⁹² See paras 205-208 above.

⁷⁶⁹³ *See* para. 208 above.

iii. Rape (Counts 53-54)

3035. Under Counts 53 and 54, Dominic Ongwen is charged with rape as a crime against humanity, pursuant to Article 7(1)(g) of the Statute, and rape as a war crime, pursuant to Article 8(2)(e)(vi) of the Statute, of (P-0101) between 1 July 2002 and July 2004; (P-0214) between September 2002 and 31 December 2005; (P-0226) between 1 July 2002 and sometime in 2003; (P-0227) between approximately April 2005 and 31 December 2005.

3036. At the outset, the Chamber notes the Defence argument, albeit apparently only with respect to P-0226, that the charges of rape and those of sexual slavery are based on the 'same alleged conduct of intercourse without consent' and that concurrence of crimes is not permissible. In light of the findings below in relation of the charges of sexual slavery, the Chamber considers it appropriate to address this matter at this juncture.

3037. The Chamber observes that in application of the test based on the principle of speciality — *i.e.* whether each statutory provision involved has a materially distinct element not included in the other, requiring proof of at least one additional fact — concurrence of the crimes of rape and sexual slavery is in principle permissible, on the ground that each of the crimes requires an element not required by the other. Indeed, the crime of rape requires the invasion of the body of a person by conduct resulting in penetration, however slight, committed under certain specific circumstances, while for the crime of sexual slavery any act of a sexual nature in which the victim is caused to engage, would suffice without the need for penetration; conversely, the crime of sexual slavery requires the exercise by the perpetrator of any or all of the powers attaching to the right of ownership over the victim — an element which is not required for the commission of the crime of crime of rape. It is worth reiterating in this regard that, significantly, the crime of sexual slavery, as defined under the Statute, may be committed through subjecting the victim to *any* act of sexual nature and not only rape.

3038. The Chamber is mindful of the Appeals Chamber's consideration that, beyond the operation of the principle of speciality, a bar to the permissibility of concurrence of crimes may also result from the full consumption of one crime by another in the concrete

 \overline{See} paras 3044-3049 below.

⁷⁶⁹⁴ Motion for Immediate Ruling on Standard to Assess Multiple Charging and Convictions, paras 40-42.

circumstances.⁷⁶⁹⁶ The Chamber is of the view that this is not the case as concerns the facts at issue; to the contrary, the full scope of Dominic Ongwen's culpable conduct may only be reflected by the concurrence of the crimes of rape under Counts 53 and 54 and those of sexual slavery under Counts 55 and 56.

- 3039. Accordingly, on the basis of the principle of speciality *in abstracto* and considering in addition that the crimes of rape cannot be said to be fully consumed within the crimes of sexual slavery nor that there exists a relation of subsidiarity between the two crimes, the Chamber considers that concurrence of the two sets of crimes on the basis of the same facts, *i.e.* the same repeated acts of rape on the part of Dominic Ongwen, is permissible. The Chamber notes that the same was also held recently in analogous circumstances by Trial Chamber VI.⁷⁶⁹⁷ The Defence submissions in this regard are therefore rejected.
- 3040. That said, the Chamber recalls that it found above that during the period relevant to the charges, Dominic Ongwen had sex by force with P-0101, P-0214, P-0226 and P-0227. This happened on a repeated basis whenever Dominic Ongwen wanted. On this basis, the Chamber finds that the first legal element of rape as a crime against humanity, pursuant to Article 7(1)(g) of the Statute, and rape as a war crime, pursuant to Article 8(2)(e)(vi) of the Statute, is met.
- 3041.Likewise, considering that P-0101, P-0214, P-0226 and P-0227 were abductees, detained and beaten on Dominic Ongwen's command, 7700 and thus living under threat of force in a coercive environment, the Chamber finds that the second legal element of rape as a crime against humanity, pursuant to Article 7(1)(g) of the Statute, and rape as a war crime, pursuant to Article 8(2)(e)(vi) of the Statute is met.
- 3042. As concerns the mental elements, due to the nature of the acts performed by Dominic Ongwen and due to the sustained character of the acts over a long period of time, the Chamber considers that Dominic Ongwen meant to engage in the relevant conduct.
- 3043. On the basis of the above, the Chamber therefore finds that Dominic Ongwen committed, as an individual, within the meaning of Article 25(3)(a) of the Statute, the crimes of rape

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⁷⁶⁹⁶ Bemba et al. Appeals Judgment, para. 751.

⁷⁶⁹⁷ Ntaganda Trial Judgment, paras 1204-1205.

⁷⁶⁹⁸ *See* para. 207 above.

⁷⁶⁹⁹ *See* para. 207 above.

⁷⁷⁰⁰ See paras 206, 208 above.

as a crime against humanity, pursuant to Article 7(1)(g) of the Statute (Count 53), and rape as a war crime, pursuant to Article 8(2)(e)(vi) of the Statute (Count 54), of P-0101) between 1 July 2002 and July 2004, P-0214) between September 2002 and 31 December 2005, P-0226) between 1 July 2002 and sometime in 2003, and P-0227) between approximately April 2005 and 31 December 2005.

iv. Sexual slavery (Counts 55-56)

3044. Under Counts 55 and 56, Dominic Ongwen is charged with sexual slavery as a crime against humanity, pursuant to Article 7(1)(g) of the Statute, and sexual slavery as a war crime, pursuant to Article 8(2)(e)(vi) of the Statute, of (P-0101) between 1 July 2002 and July 2004; (P-0214) between September 2002 and 31 December 2005; (P-0226) between 1 July 2002 and sometime in 2003; (P-0227) between approximately April 2005 and 31 December 2005.

3045. The Chamber found that the seven women 'distributed' to Dominic Ongwen were not allowed to leave. The Dominic Ongwen placed them under heavy guard. They were told or came to understand that if they tried to escape they would be killed. They were also subjected to beating at Dominic Ongwen's command at any time. They were hit with canes and sticks. Some beatings knocked them unconscious, left them unable to walk and left permanent scars. The seven women had to perform different domestic duties, including cooking, working in the garden, doing laundry, fetching and chopping wood, carrying Dominic Ongwen's dishes, fetching water, washing, nursing Dominic Ongwen when he was injured and taking things to him. The Failing to perform these tasks led to punishment by beating.

3046. The above findings of the Chamber are relevant for Counts 55-56 insofar as they concern P-0101, P-0214, P-0226 and P-0227. Considering that Dominic Ongwen, as described, deprived them of their personal liberty, restricted and dictated their movement, including

⁷⁷⁰¹ *See* para. 206 above.

⁷⁷⁰² *See* para. 206 above.

⁷⁷⁰³ *See* para. 206 above.

⁷⁷⁰⁴ See para. 208 above.

⁷⁷⁰⁵ See para. 208 above.

⁷⁷⁰⁶ See para. 208 above.

⁷⁷⁰⁷ *See* para. 208 above.

⁷⁷⁰⁸ *See* para. 208 above.

by threats and subjecting them to armed guard, subjected them to forced labour, and physically and psychologically abused them, the Chamber finds that Dominic Ongwen exercised powers attaching to the right of ownership over these women by imposing on them a deprivation of liberty similar to those explicitly stated in Articles 7(1)(g) and 8(2)(e)(vi) of the Statute. The first element of sexual slavery as a crime against humanity, pursuant to Article 7(1)(g) of the Statute, and sexual slavery as a war crime, pursuant to Article 8(2)(e)(vi) of the Statute is therefore met.

- 3047. The Chamber further found that Dominic Ongwen had sex by force with P-0101, P-0214, P-0226 and P-0227. This happened on a repeated basis whenever Dominic Ongwen wanted during the time in which these women were deprived of their personal freedom. Accordingly, the Chamber finds that the second element of sexual slavery as a crime against humanity, pursuant to Article 7(1)(g) of the Statute, and sexual slavery as a war crime, pursuant to Article 8(2)(e)(vi) of the Statute i.e. that the victims were caused to engage in one or more acts of sexual nature, which, in the present case took the form of repeated rapes is also met.
- 3048. As concerns the mental elements, due to the nature of the acts performed by Dominic Ongwen and due to the sustained character of the acts over a long period of time, the Chamber considers that Dominic Ongwen meant to engage in the relevant conduct.
- 3049. On the basis of the above, the Chamber therefore finds that Dominic Ongwen committed, as an individual, within the meaning of Article 25(3)(a) of the Statute, the crimes of sexual slavery as a crime against humanity, pursuant to Article 7(1)(g) of the Statute (Count 55), and sexual slavery as a war crime, pursuant to Article 8(2)(e)(vi) of the Statute (Count 56), of P-0101) between 1 July 2002 and July 2004, (P-0214) between September 2002 and 31 December 2005, (P-0226) between 1 July 2002 and sometime in 2003, and (P-0227) between approximately April 2005 and 31 December 2005.

v. Enslavement (Count 57)

3050. Under Count 57, Dominic Ongwen is charged with enslavement as a crime against humanity, pursuant to Article 7(1)(c) of the Statute, of (P-0099) between 1

⁷⁷⁰⁹ *See* para. 207 above.

⁷⁷¹⁰ See para. 207 above.

July 2002 and September 2002; (P-0101) between 1 July 2002 and July 2004; (P-0214) between September 2002 and 31 December 2005; (P-0226) between 1 July 2002 and sometime in 2003; (P-0227) between approximately April 2005 and 31 December 2005; (P-0235) between September 2002 and 31 December 2005; (P-0236) between September 2002 and 31 December 2005.

- 3051. At the outset, the Chamber notes that Count 57 is charged cumulatively with Count 55 as concerns P-0101, P-0214, P-0226 and P-0227, based on the same facts. The Defence argued that 'the elements of the crime of enslavement are not distinct from sexual slavery and the charges of enslavement should be dismissed'. The Chamber agrees with the Defence. Indeed, as is clear already from the analysis of the applicable law above, enslavement as a crime against humanity is in the abstract entirely encompassed within sexual slavery. In other words, sexual slavery, as a crime against humanity, is a specific form of enslavement, qualified by the additional fact that the victim is *also* caused to engage in at least one act of a sexual nature. Thus, concurrence of these crimes is not permissible. Accordingly, the Chamber considers the charge of enslavement under Count 57 only in relation to P-0099, P-0235 and P-0236.
- 3052. The Chamber recalls its finding that P-0099 had been abducted by LRA fighters from Purongo, Northern Uganda in February 1998 and from there taken by the LRA to Sudan, and that by 1 July 2002, while in Sudan, she had been forced to become Dominic Ongwen's so-called 'wife'. 7713 P-0099 escaped in September 2002. 7714 P-0099 was in Uganda from 1 July 2002 until her escape. 7715 She was placed by Dominic Ongwen in a sickbay where she continued her life under identical circumstances. 7716 As regards P-0235, the Chamber found that she was abducted by the LRA fighters in Kitgum town in September 2002, and, after her abduction, placed in Dominic Ongwen's household. 7717 Finally, the Chamber found that P-0236 was abducted from Wang'yaa in Ogule, Pajule,

⁷⁷¹¹ <u>Defence Closing Brief</u>, para. 466. *See also* <u>Motion for Immediate Ruling on the Request for Dismissal of the Charge of Enslavement</u>, 10 January 2020, ICC-02/04-01/15-1708.

⁷⁷¹² See para. 2715 above.

⁷⁷¹³ *See* para. 205 above.

⁷⁷¹⁴ *See* para. 211 above.

⁷⁷¹⁵ *See* para. 211 above.

⁷⁷¹⁶ *See* para. 211 above.

⁷⁷¹⁷ See para. 205 above.

Northern Uganda, by LRA fighters in September 2002.⁷⁷¹⁸ She was then 'distributed' to Dominic Ongwen.⁷⁷¹⁹ The Chamber also recalls its finding that P-0235 and P-0236 were released from the LRA only in April 2015.⁷⁷²⁰

- 3053. Considering that Dominic Ongwen, as described, deprived these women of their personal liberty, restricted and dictated their movement, including by threats and subjecting them to armed guard, subjected them to forced labour, and physically and psychologically abused them, ⁷⁷²¹ the Chamber finds that Dominic Ongwen exercised powers attaching to the right of ownership over these women by imposing on them a deprivation of liberty similar to those explicitly stated in Article 7(2)(c) of the Statute.
- 3054. As concerns the mental elements, due to the nature of the acts performed by Dominic Ongwen and due to the sustained character of the acts over a long period of time, the Chamber considers that, Dominic Ongwen meant to engage in the relevant conduct.
- 3055. On the basis of the above, the Chamber therefore finds that Dominic Ongwen committed, as an individual, within the meaning of Article 25(3)(a) of the Statute, the crime of enslavement as a crime against humanity, pursuant to Article 7(1)(c) of the Statute (Count 57), of P-0099) between 1 July 2002 and September 2002, P-0235) between September 2002 and 31 December 2005, and P-0236) between September 2002 and 31 December 2005.

vi. Forced pregnancy (Counts 58-59)

- 3056. Under Counts 58-59, Dominic Ongwen is charged with forced pregnancy as a crime against humanity, pursuant to Article 7(1)(g) of the Statute, and forced pregnancy as a war crime, pursuant to Article 8(2)(e)(vi) of the Statute, of (P-0101, two pregnancies), between 1 July 2002 and July 2004 and (P-0214), sometime in 2005.
- 3057. The Chamber found that Dominic Ongwen had sex by force with his so-called 'wives', including with P-0101 and P-0214. 7722 This happened on a repeated basis whenever

⁷⁷¹⁸ *See* para. 205 above.

⁷⁷¹⁹ *See* para. 205 above.

⁷⁷²⁰ *See* para. 211 above.

⁷⁷²¹ See para. 3045 above.

⁷⁷²² *See* para. 207 above.

Dominic Ongwen wanted.⁷⁷²³ P-0101 became pregnant and gave birth to a girl fathered by Dominic Ongwen sometime between July 2002 and July 2004.⁷⁷²⁴ In 2004, P-0101 became pregnant and gave birth to a boy fathered by Dominic Ongwen.⁷⁷²⁵ In 2005, P-0214 became pregnant and, in December 2005, gave birth to a girl fathered by Dominic Ongwen.⁷⁷²⁶

- 3058. The Chamber also found that during the time relevant to the charges the seven women 'distributed' to Dominic Ongwen, including P-0101 and P-0214 during their pregnancies, were not allowed to leave. 7727 Dominic Ongwen placed them under heavy guard. 7728

 They were told or came to understand that if they tried to escape they would be killed. 7729
- 3059. On this basis, the Chamber finds that Dominic Ongwen confined P-0101 and P-0214, who had been forcibly made pregnant. The objective element of forced pregnancy as a crime against humanity, pursuant to Article 7(1)(g) of the Statute, and forced pregnancy as a war crime, pursuant to Article 8(2)(e)(vi) of the Statute is met.
- 3060. As concerns the mental elements, due to the nature of the acts performed by Dominic Ongwen and due to the sustained character of the acts over a long period of time, the Chamber considers that Dominic Ongwen meant to engage in the relevant conduct.
- 3061. Moreover, the Chamber finds that Dominic Ongwen confined P-0101 and P-0214, who had been forcibly made pregnant, with the intent of sustaining the continued commission of other crimes found, in particular of forced marriage, torture, rape and sexual slavery. The special intent requirement of the crime of forced pregnancy is therefore equally met.
- 3062. On the basis of the above, the Chamber therefore finds that Dominic Ongwen committed, as an individual, within the meaning of Article 25(3)(a) of the Statute, the crimes of forced pregnancy as a crime against humanity, pursuant to Article 7(1)(g) of the Statute (Count 58), and forced pregnancy as a war crime, pursuant to Article 8(2)(e)(vi) of the

⁷⁷²³ *See* para. 207 above.

⁷⁷²⁴ See para. 207 above.

⁷⁷²⁵ *See* para. 207 above.

⁷⁷²⁶ *See* para. 207 above.

⁷⁷²⁷ *See* para. 206 above.

⁷⁷²⁸ *See* para. 206 above.

⁷⁷²⁹ *See* para. 206 above.

Statute (Count 59), of P-0101) between 1 July 2002 and July 2004 (two pregnancies) and P-0214) sometime in 2005.

vii. Outrages upon personal dignity (Count 60)

- 3063. Under Count 60, Dominic Ongwen is charged with outrages upon personal dignity as a war crime, pursuant to Article 8(2)(c)(ii) of the Statute of (P-0226) sometime in 2002 or early 2003 close to Patongo, and (P-0235) sometime in late 2002 or early 2003 at an unspecified location in Northern Uganda.
- 3064. The Chamber found that on 1 July 2002, Dominic Ongwen forced P-0226 to beat to death a captured UPDF soldier near Patongo, Northern Uganda. P-0226 hit him once, as did other girls. She had blood splattered on her clothes. P-0226 had never killed anyone before, and this was part of the reason Dominic Ongwen gave as to why he selected her to do this. This experience caused her severe anguish. In late 2002 or early 2003 in Northern Uganda, soon after P-0235's abduction, Dominic Ongwen ordered her to, along with other abductees, beat people to death until their blood splashed on the abductees. This caused her severe anguish, although she eventually did not have to carry out the killings.
- 3065. The Chamber finds that by forcing P-0226 to beat a person to death, in the circumstances as described, constituted a violation of her dignity, and that this violation of the dignity was so severe as to be generally recognised as an outrage upon personal dignity. The Chamber reaches the same conclusion in respect of Dominic Ongwen's order to P-0235 to beat people to death. In the circumstances, soon after the abduction of P-0235, the fact that in the end P-0235 did not have to kill is not decisive. The first and second elements of outrages upon personal dignity as a war crime, pursuant to Article 8(2)(c)(ii) of the Statute are met.

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⁷⁷³⁰ *See* para. 209 above.

⁷⁷³¹ *See* para. 209 above.

⁷⁷³² *See* para. 209 above.

⁷⁷³³ *See* para. 209 above.

⁷⁷³⁴ *See* para. 209 above.

⁷⁷³⁵ *See* para. 210 above.

⁷⁷³⁶ See para. 210 above.

- 3066. Considering in particular the circumstances of their abduction, as well as of their stay within the LRA, and more specifically in Dominic Ongwen's household, the Chamber is also satisfied that P-0226 and P-0235 were civilians at the relevant time. Accordingly, the Chamber finds that the third element of outrages upon personal dignity as a war crime, pursuant to Article 8(2)(c)(ii) of the Statute, i.e. that the persons on whom pain or suffering was inflicted were either *hors de combat*, or were civilians, medical personnel, or religious personnel taking no active part in the hostilities, is also met.
- 3067. As concerns the mental elements, due to the nature of the act performed by Dominic Ongwen, the Chamber considers that he meant to engage in the relevant conduct. Considering that Dominic Ongwen knew the victims personally, he also knew of their civilian status.
- 3068. On the basis of the above, the Chamber therefore finds that Dominic Ongwen committed, as an individual, within the meaning of Article 25(3)(a) of the Statute, the crime of outrages upon personal dignity as a war crime, pursuant to Article 8(2)(c)(ii) of the Statute (Count 60), of P-0226) sometime in 2002 or early 2003 near Patongo and P-0235) sometime in late 2002 or early 2003 in Northern Uganda.
 - 8. Sexual and gender based crimes not directly perpetrated by Dominic Ongwen (Counts 61 to 68)
 - i. Specific objective elements of the charged crimes
 - a. Forced marriage (Count 61)
- 3069. Under Count 61, Dominic Ongwen is charged with forced marriage as an other inhumane act, pursuant to Article 7(1)(k) of the Statute, from at least 1 July 2002 until 31 December 2005.
- 3070. The Chamber found that Sinia brigade soldiers, in execution of orders of Joseph Kony, Dominic Ongwen and the Sinia brigade leadership, abducted civilian women and girls in Northern Uganda between 1 July 2002 and 31 December 2005. 7738 At any time during

⁷⁷³⁷ See paras 205-210, above.

⁷⁷³⁸ See para. 213 above.

this period, there were over one hundred abducted women and girls in Sinia brigade. The Joseph Kony, Dominic Ongwen and the Sinia brigade leadership designated abducted women and girls as so-called 'wives' of male members of Sinia brigade. The Occasionally, ceremonies were performed to mark the so-called 'marriage'. The frequently no such ceremony occurred, and abducted women and girls were considered so-called 'wives' from the time they were first forced to have sex with the man they had been assigned to. The abducted women and girls were not able to refuse. The abducted women and girls were not allowed to have sexual or romantic relations to any man other than the so-called 'husband' assigned to them.

3071. The Chamber makes reference to its discussion of the applicable law in relation to forced marriage as qualifying within other inhumane acts under Article 7(1)(k) of the Statute. 7745 In light of the facts as found above, the Chamber finds that the specific legal elements of forced marriage as an other inhumane act, pursuant to Article 7(1)(k) of the Statute are met.

b. Torture (Counts 62-63)

3072. Under Counts 62 and 63, Dominic Ongwen is charged with torture as a crime against humanity, pursuant to Article 7(1)(f) of the Statute, and torture as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, from at least 1 July 2002 until 31 December 2005.

3073. The Chamber found that the abducted women and girls were severely beaten for attempting escape or if they failed to perform the work demanded of them. ⁷⁷⁴⁶ Furthermore, the Chamber found that Sinia brigade members regularly forced abducted women and girls who had been 'distributed' to them into sexual intercourse. ⁷⁷⁴⁷ The women and girls were coerced, due to the physical force used by the Sinia brigade members and due to the threat of punishment for disobedience and their dependence on

⁷⁷³⁹ *See* para. 213 above.

⁷⁷⁴⁰ *See* para. 216 above.

⁷⁷⁴¹ *See* para. 216 above.

⁷⁷⁴² *See* para. 216 above.

⁷⁷⁴³ *See* para. 216 above.

⁷⁷⁴⁴ *See* para. 219 above.

⁷⁷⁴⁵ See section V.A.1.ii.h above.

⁷⁷⁴⁶ See paras 215, 220 above.

⁷⁷⁴⁷ See para. 218 above.

the Sinia brigade members for survival. 7748 As a result of the sexual and physical violence, and the living conditions to which they were submitted, the abducted women and girls suffered severe physical and mental pain. 7749

- 3074. On this basis, and also in light of the duration of the time in which each abducted woman or girl was subjected to the acts described, the Chamber finds that the first element of torture as a crime against humanity, pursuant to Article 7(1)(f) of the Statute, and torture as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, i.e. that the perpetrator inflicted on the victim severe physical or mental pain or suffering, is met.
- 3075. Since these acts were committed against women and girls who had been abducted and were therefore in custody and under control of Sinia brigade members, the second element of torture as a crime against humanity, pursuant to Article 7(1)(f) of the Statute is also met.
- 3076. In respect of the third constitutive element of torture as a crime against humanity under Article 7(1)(f) of the Statute, i.e. that the pain or suffering inflicted did not arise only from, and was not inherent in or incidental to, lawful sanction, the Chamber notes that it did not find, on facts, any nexus between the acts under consideration and a context of lawful sanctions. The element is therefore likewise met.
- 3077, Moreover, considering in particular that the women and girls were abducted as civilians, as well as the circumstances of their stay within Sinia brigade, 7750 the Chamber finds that the third element of torture as a war crime, pursuant to Article 8(2)(c)(i) of the Statute, i.e. that the persons on whom pain or suffering was inflicted were either hors de combat, or were civilians, medical personnel, or religious personnel taking no active part in the hostilities, is also met.

Rape (Counts 64-65)

3078. Under Counts 64 and 65, Dominic Ongwen is charged with rape as a crime against humanity, pursuant to Article 7(1)(g) of the Statute, and rape as a war crime, pursuant to Article 8(2)(e)(vi) of the Statute, from at least 1 July 2002 until 31 December 2005.

⁷⁷⁴⁹ *See* para. 221 above.

⁷⁷⁴⁸ *See* para. 218 above.

⁷⁷⁵⁰ See paras 213-220 above.

- 3079. The Chamber observes that the crimes of rape charged under Counts 64-65 and the crimes of sexual slavery under Counts 66-67 are based (partly) on the same underlying conduct. Indeed, the acts of sexual nature which are required for the commission of the crimes of sexual slavery are identified in the charges against Dominic Ongwen exclusively as repeated acts of rape. This situation is therefore identical to the one concerning the relationship between Counts 53-54, on the one hand, and Counts 55-56. Thus, the considerations expressed above in this regard ⁷⁷⁵¹ equally apply. Accordingly, the Chamber considers that concurrence of the crimes of rape under Counts 64-65 and the crimes of sexual slavery under Counts 66-67 is permissible.
- 3080. That said, the Chamber recalls that it found that Sinia brigade members regularly forced abducted women and girls who had been 'distributed' to them into sexual intercourse. The women and girls were coerced, due to the physical force used by the Sinia brigade members and due to the threat of punishment for disobedience and their dependence on the Sinia brigade members for survival. This basis, the Chamber finds that the first legal element of rape as a crime against humanity, pursuant to Article 7(1)(g) of the Statute, and rape as a war crime, pursuant to Article 8(2)(e)(vi) of the Statute is met. Likewise, the Chamber finds that the second legal element of rape as a crime against humanity, pursuant to Article 7(1)(g) of the Statute, and rape as a war crime, pursuant to Article 8(2)(e)(vi) of the Statute is met.

d. Sexual slavery (Counts 66-67)

- 3081. Under Counts 66 and 67, Dominic Ongwen is charged with sexual slavery as a crime against humanity, pursuant to Article 7(1)(g) of the Statute, and sexual slavery as a war crime, pursuant to Article 8(2)(e)(vi) of the Statute, from at least 1 July 2002 until 31 December 2005.
- 3082. The Chamber found that following their abduction, the abducted women and girls were 'distributed' to members of Sinia brigade. ⁷⁷⁵⁴ The abducted women and girls were threatened with death if they attempted to escape. ⁷⁷⁵⁵ In some cases, women and girls

⁷⁷⁵¹ See paras 3036-3039 above.

⁷⁷⁵² *See* para. 218 above.

⁷⁷⁵³ *See* para. 218 above.

⁷⁷⁵⁴ *See* para. 214 above.

⁷⁷⁵⁵ *See* para. 215 above.

were in fact killed for attempting to escape. The scape of the severely beaten. In addition, they were placed under heavy guard. Abducted women and girls were also forced to beat or kill other abductees for attempting escape or breaking rules. The abducted women and girls were forced to perform work, such as household work and carrying items. Also this rule was strictly enforced by physical punishment.

3083. Considering that members of Sinia brigade, as described, deprived the abducted women and girls of their personal liberty, restricted and dictated their movement, including by threats and subjecting them to armed guard, subjected them to forced labour, and physically and psychologically abused them, the Chamber finds that members of Sinia brigade exercised powers attaching to the right of ownership over the abducted women and girls by imposing on them a deprivation of liberty similar to those explicitly stated in Articles 7(1)(g) and 8(2)(e)(vi) of the Statute. The first element of sexual slavery as a crime against humanity, pursuant to Article 7(1)(g) of the Statute, and sexual slavery as a war crime, pursuant to Article 8(2)(e)(vi) of the Statute is therefore met.

3084. Furthermore, Sinia brigade members regularly forced abducted women and girls who were deprived of their personal freedom and had been 'distributed' to them into sexual intercourse. ⁷⁷⁶² On this basis, the Chamber finds that the second element of sexual slavery, i.e. that the perpetrator caused the victim to engage in one or more acts of a sexual nature – in the present case, acts of rape – is also met.

e. Enslavement (Count 68)

3085. Under Count 68, Dominic Ongwen is charged with enslavement as a crime against humanity, pursuant to Article 7(1)(c) of the Statute, from at least 1 July 2002 until 31 December 2005.

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⁷⁷⁵⁶ *See* para. 215 above.

⁷⁷⁵⁷ See para. 215 above.

⁷⁷⁵⁸ *See* para. 215 above.

⁷⁷⁵⁹ *See* para. 215 above.

⁷⁷⁶⁰ *See* para. 220 above.

⁷⁷⁶¹ *See* para. 220 above.

⁷⁷⁶² See para. 218 above.

3086. As discussed above, since the legal elements of enslavement as a crime against humanity under Article 7(1)(c) of the Statute are wholly included within the legal elements of sexual slavery as a crime against humanity under Article 7(1)(g) of the Statute, 7763 sexual slavery and enslavement cannot concur on the basis of the same facts. 7764 Thus, and insofar as the definition of sexual slavery is met, the same facts are not further considered as enslavement. However, the factual findings of the Chamber reveal that even though sexual abuse of the abducted women and girls was systematic in the LRA, not all abducted women and girls were immediately subjected to institutionalised sexual abuse. As found by the Chamber, this had to do with a determination which was made in each case as to whether an abducted girl was 'mature enough' to become a so-called 'wife'. 7765 Younger abducted girls not yet considered mature enough were used as household servants, referred to as ting tings. 7766 While the Chamber's factual finding that Sinia brigade members regularly forced abducted women and girls who had been 'distributed' to them into sexual intercourse is not limited to so-called 'wives', and in fact noted that ting ting status did not effectively protect abducted girls from sexual abuse, the Chamber nevertheless considers that there existed, systemically, a sub-category of abducted girls in the LRA who were not sexually enslaved, but enslaved. It is to this specific category that the Chamber's affirmative conclusions under Count 68 are limited.

3087. In respect of the specific objective element of enslavement, the considerations expressed above apply also at this place. ⁷⁷⁶⁷ Considering that members of Sinia brigade, as described, deprived the abducted women and girls of their personal liberty, restricted and dictated their movement, including by threats and subjecting them to armed guard, subjected them to forced labour, and physically and psychologically abused them, the Chamber finds that members of Sinia brigade exercised powers attaching to the right of ownership over the abducted girls by imposing on them a deprivation of liberty similar to those explicitly stated in Articles 7(1)(g) and 8(2)(e)(vi) of the Statute. The specific objective element of enslavement as a crime against humanity, pursuant to Article 7(1)(c) of the Statute, is therefore met.

⁷⁷⁶³ *See* para. 2715 above.

⁷⁷⁶⁴ See para. 2792 above. See also para. 3051 above.

⁷⁷⁶⁵ *See* para. 217 above.

⁷⁷⁶⁶ *See* para. 217 above.

⁷⁷⁶⁷ See para. 3082 above.

ii. Individual criminal responsibility of Dominic Ongwen

3088. Dominic Ongwen is charged with the commission of the crimes under Counts 61 to 68 'jointly with' and 'through' others within the meaning of Article 25(3)(a) of the Statute.

a. Existence of an agreement or common plan

3089. The Chamber found that Dominic Ongwen, Joseph Kony and the Sinia brigade leadership engaged in a coordinated and methodical effort, relying on the LRA soldiers under their control, to abduct women and girls in Northern Uganda and force them to serve in Sinia brigade as so-called 'wives' of members of Sinia brigade, and as domestic servants. Trong On these facts, the Chamber finds that there existed among them an agreement to engage in conduct which amounted to: (i) forced marriage as an other inhumane act, pursuant to Article 7(1)(k) of the Statute; (ii) torture as a crime against humanity, pursuant to Article 8(2)(c)(i) of the Statute; (iii) rape as a crime against humanity, pursuant to Article 7(1)(g) of the Statute, and rape as a war crime, pursuant to Article 8(2)(e)(vi) of the Statute; (iv) sexual slavery as a crime against humanity, pursuant to Article 7(1)(g) of the Statute; (v) sexual slavery as a war crime, pursuant to Article 8(2)(e)(vi) of the Statute and (vi) enslavement as a crime against humanity, pursuant to Article 7(1)(c) of the Statute.

b. Execution of the material elements of the crime through other persons

3090. As found by the Chamber, the material elements of the crimes charged under Counts 61-68 were executed by Sinia brigade members. Dominic Ongwen, Joseph Kony and the Sinia brigade leadership relied on the LRA soldiers under their control for the execution of their agreement defined just above. 7769

3091. The Chamber refers to its above analysis and the resulting finding that the conditions of recruitment, initiation and training, and service in the LRA generally of its members were such that LRA commanders could rely for obedience in the execution of orders on a reliable pool of persons. Taking into account also the systemic nature of the crimes in question, the Chamber considers that the will of the individual Sinia brigade members

⁷⁷⁶⁹ *See* para. 212 above.

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⁷⁷⁶⁸ *See* para. 212 above.

⁷⁷⁷⁰ See paras 2856, 2858 above.

was irrelevant in the execution of a given order. The LRA soldiers as a whole functioned as a tool of Dominic Ongwen, Joseph Kony and the Sinia brigade leadership, through which they were able to execute their agreement and commit the crimes. Accordingly, the Chamber concludes that the conduct of the individual Sinia brigade members in the execution of the crimes must be attributed to Dominic Ongwen, Joseph Kony and other Sinia brigade leaders as their own.

c. Dominic Ongwen's control over the crime

3092. Following the findings that (i) Dominic Ongwen was a participant to the agreement with Joseph Kony and the Sinia brigade leadership, pursuant to which the crimes charged under Counts 61-68 were committed, and (ii) the conduct of the Sinia brigade members who executed the material elements of the crimes must be attributed to Dominic Ongwen, Joseph Kony and the Sinia brigade leadership as their own, the Chamber must specifically assess the involvement of Dominic Ongwen in those crimes in order to determine whether he had control over the crime by virtue of his essential contribution to it and the resulting power to frustrate its commission, and accordingly whether his individual criminal responsibility can be qualified under Article 25(3)(a) of the Statute.

3093. In this regard, the Chamber found that Dominic Ongwen, Joseph Kony and the Sinia brigade leadership engaged in a coordinated and methodical effort, relying on the LRA soldiers under their control, to abduct women and girls in Northern Uganda and force them to serve in Sinia brigade as so-called 'wives' of members of Sinia brigade, and as domestic servants. ⁷⁷⁷¹ Sinia brigade soldiers abducted civilian women and girls in execution of Dominic Ongwen's orders. ⁷⁷⁷² In the exercise of his authority, Dominic Ongwen personally decided on the 'distribution' of abducted women and girls. ⁷⁷⁷³ Dominic Ongwen personally assigned women and girls as so-called 'wives' and used his authority as LRA commander to enforce the so-called 'marriage' in Sinia brigade. ⁷⁷⁷⁴

3094. These facts reveal that Dominic Ongwen was among the persons who helped define and, through their actions over a protracted period, sustained the system of abduction and

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⁷⁷⁷¹ *See* para. 212 above.

⁷⁷⁷² *See* para. 213 above.

⁷⁷⁷³ *See* para. 214 above.

⁷⁷⁷⁴ *See* para. 216 above.

victimisation of civilian women and girls in the LRA. Within Sinia, his role was crucial and indispensable.

3095. Accordingly, the Chamber concludes that Dominic Ongwen had control over the crimes charged under Counts 61-68 by virtue of his essential contribution to them, and the resulting power to frustrate their commission.

d. Mental elements

3096. The conduct which Dominic Ongwen undertook in relation to the crimes charged under Counts 61-68, is such that, by its nature, it could only have been undertaken intentionally. Thus, the Chamber considers that the conduct-related requirement of Article 30(2) of the Statute is met.

3097. Furthermore, the Chamber reiterates, also with respect to the required mental elements, its findings to the effect that Dominic Ongwen, Joseph Kony and the Sinia brigade leadership engaged in a coordinated and methodical effort, relying on the LRA soldiers under their control, to abduct women and girls in Northern Uganda and force them to serve in Sinia brigade as so-called 'wives' of members of Sinia brigade, and as domestic servants. The Dominic Ongwen ordered Sinia brigade soldiers to abduct civilian women and girls. The exercise of his authority, he personally decided on the 'distribution' of abducted women and girls. The also personally assigned women and girls as so-called 'wives' and used his authority as LRA commander to enforce the so-called 'marriage' in Sinia brigade. The Chamber also found that some abducted women and girls were placed in Dominic Ongwen's household under heavy guard, and some of them were made his so-called 'wives'. Dominic Ongwen had sex by force with his so-called 'wives'. Abducted women and girls 'distributed' to him were subjected to beating at his command at any time. They also performed domestic duties in his household.

⁷⁷⁷⁵ *See* para. 212 above.

⁷⁷⁷⁶ See para. 213 above.

⁷⁷⁷⁷ *See* para. 214 above.

⁷⁷⁷⁸ *See* para. 216 above.

⁷⁷⁷⁹ *See* para. 206 above.

⁷⁷⁸⁰ See para. 207 above.

⁷⁷⁸¹ See para. 208 above.

⁷⁷⁸² *See* para. 208 above.

- 3098.On this basis, the Chamber finds that Dominic Ongwen meant for the crimes charged under Counts 61-68 to occur. Accordingly, the general mental elements under Article 30(2) of the Statute are met with respect to the crimes charged under Counts 61-68.
- 3099. As concerns the special intent requirement of torture as a war crime under Article 8(2)(c)(i) of the Statute, the Chamber finds, on the basis of the facts as found, that Dominic Ongwen intended to inflict severe physical or mental pain or suffering on the abducted women in girls for the purpose of coercion. The requirement is therefore met.

iii. Conclusion

- 3100. On the basis of the above, the Chamber therefore finds that, between 1 July 2002 and 31 December 2005, Dominic Ongwen committed, jointly with Joseph Kony and the Sinia brigade leadership and through LRA soldiers, within the meaning of Article 25(3)(a) of the Statute, the following crimes: (i) forced marriage as an other inhumane act, pursuant to Article 7(1)(k) of the Statute (Count 61); (ii) torture as a crime against humanity, pursuant to Article 7(1)(f) of the Statute (Count 62); (iii) torture as a war crime, pursuant to Article 8(2)(c)(i) of the Statute (Count 63); (iv) rape as a crime against humanity, pursuant to Article 7(1)(g) of the Statute (Count 64); (v) rape as a war crime, pursuant to Article 8(2)(e)(vi) of the Statute (Count 65); (vi) sexual slavery as a crime against humanity, pursuant to Article 7(1)(g) of the Statute (Count 66); (vii) sexual slavery as a war crime, pursuant to Article 8(2)(e)(vi) of the Statute (Count 67); and (viii) enslavement as a crime against humanity, pursuant to Article 7(1)(c) of the Statute (Count 68).
 - 9. Conscription and use of children under the age of 15 years and their use in armed hostilities (Counts 69-70)
 - i. Specific objective elements of the charged crime
- 3101. Under Counts 69-70, Dominic Ongwen is charged with conscription of children and their use in armed hostilities as a war crime, pursuant to Article 8(2)(e)(vii) of the Statute, between 1 July 2002 and 31 December 2005 in Northern Uganda.
- 3102. The Chamber found that Sinia soldiers, in execution of orders of Joseph Kony, Dominic Ongwen and the Sinia brigade leadership, abducted a large number of children under 15

years of age in Northern Uganda between 1 July 2002 and 31 December 2005. The Children under the age of 15 were also abducted during the four attacks relevant to the charges. The Dominic Ongwen also abducted children himself. The Following their abduction, children under the age of 15 years were integrated into Sinia with the aim of using them in hostilities. The abducted children were trained, in some cases received guns, and were assigned to service in Sinia. The children served as escorts in Sinia brigade in general and specifically in Dominic Ongwen's household.

3103. Children under 15 years of age serving as soldiers in Sinia brigade took part in fighting. They further facilitated LRA attacks by raising alarms, burning and pillaging civilian houses, collecting and carrying pillaged goods from attack sites and serving as scouts. During all four attacks relevant to the charges, children under the age of 15 participated in the hostilities.

3104. The Chamber finds, on the basis of these facts, that the first two legal elements under Article 8(2)(e)(vii), i.e. that the perpetrator conscripted or enlisted one or more persons into an armed force or group or used one or more persons to participate actively in hostilities, and that such person or persons were under the age of 15 years, is met.

ii. Individual criminal responsibility of Dominic Ongwen

3105. Dominic Ongwen is charged with the commission of the crime under Counts 69-70 'jointly with' and 'through' others within the meaning of Article 25(3)(a) of the Statute.

a. Existence of an agreement or common plan

3106. The Chamber found that Dominic Ongwen, Joseph Kony and the Sinia brigade leadership engaged in a coordinated and methodical effort, relying on the LRA soldiers under their control, to abduct children under 15 years of age in Northern Uganda and force them to serve as Sinia fighters. ⁷⁷⁹² On these facts, the Chamber finds that there existed among

⁷⁷⁸³ *See* para. 223 above.

⁷⁷⁸⁴ *See* para. 223 above.

⁷⁷⁸⁵ *See* para. 223 above.

⁷⁷⁸⁶ *See* para. 224 above.

⁷⁷⁸⁷ See para. 224 above.

⁷⁷⁸⁸ *See* para. 224 above.

⁷⁷⁸⁹ *See* para. 225 above.

⁷⁷⁹⁰ *See* para. 225 above.

⁷⁷⁹¹ See para. 225 above.

⁷⁷⁹² *See* para. 222 above.

them an agreement to engage in conduct which amounted to conscription of children and their use in armed hostilities.

- b. Execution of the material elements of the crime through other persons
- 3107. As found by the Chamber, the material elements of the crimes charged under Counts 69-70 were executed by Sinia soldiers. Dominic Ongwen, Joseph Kony and the Sinia brigade leadership relied on the LRA soldiers under their control for the execution of their agreement defined just above. 7793
- 3108. The Chamber refers to its above analysis and the resulting finding that the conditions of recruitment, initiation and training, and service in the LRA generally of its members were such that LRA commanders could rely for obedience in the execution of orders on a reliable pool of persons. 7794 Also taking into account that abduction of children under 15 years of age and forcing them to serve as Sinia fighters took place pursuant to a coordinated and methodical effort, the Chamber considers that the will of the individual Sinia brigade members was irrelevant in the execution of a given order. The LRA soldiers as a whole functioned as a tool of Dominic Ongwen, Joseph Kony and the Sinia brigade leadership, through which they were able to execute their agreement and commit the crimes. Accordingly, the Chamber concludes that the conduct of the individual Sinia brigade members in the execution of the crimes must be attributed to Dominic Ongwen, Joseph Kony and other Sinia brigade leaders as their own.
 - c. Dominic Ongwen's control over the crime
- 3109. Following the findings that (i) Dominic Ongwen was a participant to the agreement with Joseph Kony and the Sinia brigade leadership, pursuant to which the crimes charged under Counts 69-70 were committed, and (ii) the conduct of the Sinia soldiers who executed the material elements of the crimes must be attributed to Dominic Ongwen, Joseph Kony and the Sinia brigade leadership as their own, the Chamber must specifically assess the involvement of Dominic Ongwen in those crimes in order to determine whether he had control over the crime by virtue of his essential contribution

⁷⁷⁹³ *See* para. 222 above.

⁷⁷⁹⁴ See paras 2856, 2858 above.

to it and the resulting power to frustrate its commission, and accordingly whether his individual criminal responsibility can be qualified under Article 25(3)(a) of the Statute.

3110. In this regard, the Chamber found that Dominic Ongwen, Joseph Kony and the Sinia brigade leadership engaged in a coordinated and methodical effort, relying on the LRA soldiers under their control, to abduct children under 15 years of age in Northern Uganda and force them to serve as Sinia fighters. Dominic Ongwen ordered Sinia soldiers to abduct children to serve as Sinia soldiers. Dominic Ongwen also abducted children himself. In some cases, Dominic Ongwen himself assigned abducted children to service within the Sinia brigade. The children served as escorts in Sinia brigade in general and specifically in Dominic Ongwen's household. It is also noted that during all four attacks relevant to the charges, and in the context of which the Chamber determined Dominic Ongwen's involvement as indirect (co-)perpetrator, children under the age of 15 participated in the hostilities.

3111.On this basis, the Chamber concludes that Dominic Ongwen had control over the crimes charged under Counts 69-70 by virtue of his essential contribution to them, and the resulting power to frustrate their commission.

d. Mental elements

3112. The conduct which Dominic Ongwen undertook in relation to the crimes charged under Counts 69-70, is such that, by its nature, it could only have been undertaken intentionally. Thus, the Chamber considers that the conduct-related requirement of Article 30(2) of the Statute is met.

3113. Further, also with respect to the required mental elements, the Chamber reiterates its findings to the effect that Dominic Ongwen, Joseph Kony and the Sinia brigade leadership engaged in a coordinated and methodical effort, relying on the LRA soldiers under their control, to abduct children under 15 years of age in Northern Uganda and

⁷⁷⁹⁵ *See* para. 222 above.

⁷⁷⁹⁶ *See* para. 223 above.

⁷⁷⁹⁷ *See* para. 223 above.

⁷⁷⁹⁸ *See* para. 224 above.

⁷⁷⁹⁹ *See* para. 224 above.

⁷⁸⁰⁰ See para. 225 above.

force them to serve as Sinia fighters. 7801 Dominic Ongwen ordered Sinia soldiers to abduct children to serve as Sinia soldiers. 7802 Dominic Ongwen also abducted children himself. 7803 Dominic Ongwen knew that children under 15 years of age were integrated into Sinia as soldiers. 7804 In some cases, Dominic Ongwen himself assigned abducted children to service within the Sinia brigade. 7805 The children served as escorts in Sinia brigade in general and specifically in Dominic Ongwen's household. 7806

3114. On this basis, the Chamber finds that Dominic Ongwen meant for children under the age of 15 years old to be abducted, integrated into Sinia and used in hostilities. Accordingly, the general mental elements under Article 30(2) of the Statute are met with respect to the crimes charged under Counts 69-70, as is the specifically articulated knowledge element under Article (8)(2)(e)(vii) of the Statute.

iii. Conclusion

3115. On the basis of the above, the Chamber therefore finds that, between 1 July 2002 and 31 December 2005, Dominic Ongwen committed, jointly with Joseph Kony and the Sinia brigade leadership and through LRA soldiers, within the meaning of Article 25(3)(a) of the Statute, conscription of children and their use in armed hostilities as a war crime, pursuant to Article 8(2)(e)(vii) of the Statute (Counts 69-70).

⁷⁸⁰¹ See para. 222 above.

⁷⁸⁰² *See* para. 223 above.

⁷⁸⁰³ *See* para. 223 above.

⁷⁸⁰⁴ See para. 224 above.

⁷⁸⁰⁵ See para. 224 above.

⁷⁸⁰⁶ See para. 224 above.

VI. VERDICT

THE CHAMBER FINDS

3116. For the foregoing reasons and on the basis of the evidence submitted and discussed before this Chamber at trial and the entire proceedings, pursuant to Article 74(2) of the Statute,

DOMINIC ONGWEN

under Count 1 of the charges, GUILTY of the war crime of attack against the civilian population as such, pursuant to Articles 8(2)(e)(i) and 25(3)(a) of the Rome Statute, committed on 10 October 2003, at or near Pajule IDP camp;

under Count 2 of the charges, GUILTY of the crime against humanity of murder, pursuant to Articles 7(1)(a) and 25(3)(a) of the Rome Statute, committed on 10 October 2003, at or near Pajule IDP camp;

under **Count 3** of the charges **GUILTY** of the **war crime of murder**, pursuant to Articles 8(2)(c)(i) and 25(3)(a) of the Rome Statute, committed on 10 October 2003, at or near Pajule IDP camp;

under Count 4 of the charges GUILTY of the crime against humanity of torture, pursuant to Articles 7(1)(f) and 25(3)(a) of the Rome Statute, committed on 10 October 2003, at or near Pajule IDP camp;

under **Count 5** of the charges, **GUILTY** of the **war crime of torture**, pursuant to Articles 8(2)(c)(i) and 25(3)(a) of the Rome Statute, committed on 10 October 2003, at or near Pajule IDP camp;

under **Count 6** of the charges, **NOT GUILTY** of the **war crime of cruel treatment**, pursuant to Articles 8(2)(c)(i) and 25(3)(a) or (c) or (d)(i) and (ii), or 28(a), of the Rome Statute, on 10 October 2003, at or near Pajule IDP camp;

under Count 7 of the charges, **NOT GUILTY** of the **crime against humanity of other inhumane acts**, pursuant to Articles 7(1)(k) and 25(3)(a), or (c), or (d)(i) and (ii), or 28(a), of the Rome Statute on 10 October 2003, at or near Pajule IDP camp;

under **Count 8** of the charges, **GUILTY**, of the **crime against humanity of enslavement**, pursuant to Articles 7(1)(c) and 25(3)(a) of the Rome Statute, committed on 10 October 2003, at or near Pajule IDP camp;

under **Count 9** of the charges, **GUILTY** of the **war crime of pillaging**, pursuant to Articles 8(2)(e)(v) and 25(3)(a) of the Rome Statute, committed on 10 October 2003, at or near Pajule IDP camp;

under **Count 10** of the charges, **GUILTY** of the **crime against humanity of persecution**, on political grounds, of civilians perceived by the LRA as being affiliated with, or supporting the Ugandan government, by attack against the civilian population, murder, torture, enslavement, and pillaging, committed on 10 October 2003 at or near Pajule IDP camp, pursuant to Article 7(1)(h) and 25(3)(a) of the Rome Statute;

under Count 11 of the charges, GUILTY of the war crime of attack against the civilian population as such, pursuant to Articles 8(2)(e)(i) and 25(3)(a) of the Rome Statute, committed on 29 April 2004, at or near Odek IDP camp;

under Count 12 of the charges, GUILTY of the crime against humanity of murder, pursuant to Articles 7(1)(a) and 25(3)(a) of the Rome Statute, committed on 29 April 2004, at or near Odek IDP camp;

under **Count 13** of the charges, **GUILTY** of the **war crime of murder**, pursuant to Articles 8(2)(c)(i) and 25(3)(a) of the Rome Statute, committed on 29 April 2004, at or near Odek IDP camp;

under Count 14 of the charges, GUILTY of the crime against humanity of attempted murder, pursuant to Articles 7(1)(a) and 25(3)(f) and 25(3)(a) of the Rome Statute, committed on 29 April 2004, at or near Odek IDP camp;

under Count 15 of the charges, GUILTY of the war crime of attempted murder, pursuant to Articles 8(2)(c)(i) and 25(3)(f) and 25(3)(a) of the Rome Statute, committed on 29 April 2004, at or near Odek IDP camp;

under **Count 16** of the charges, **GUILTY** of the **crime against humanity of torture**, pursuant to Articles 7(1)(f) and 25(3)(a) of the Rome Statute, committed on 29 April 2004, at or near Odek IDP camp;

under **Count 17** of the charges, **GUILTY** of the **war crime of torture**, pursuant to Articles 8(2)(c)(i) and 25(3)(a) of the Rome Statute, committed on 29 April 2004, at or near Odek IDP camp;

under Count 18 of the charges NOT GUILTY of the crime against humanity of other inhumane acts, pursuant to Articles 7(1)(k) and 25(3)(a), or (b), or (d)(i) and (ii) or 28(a), of the Rome Statute, on 29 April 2004, at or near Odek IDP camp;

under Count 19 of the charges, NOT GUILTY of the war crime of cruel treatment, pursuant to Articles 8(2)(c)(i) and 25(3)(a), or (b), or (d)(i) and (ii), or 28(a), of the Rome Statute on 29 April 2004, at or near Odek IDP camp;

under Count 20 of the charges, GUILTY of the crime against humanity of enslavement, pursuant to Articles 7(1)(c), and 25(3)(a) of the Rome Statute, committed on 29 April 2004, at or near Odek IDP camp;

under Count 21 of the charges, GUILTY of the war crime of pillaging, pursuant to Articles 8(2)(e)(v) and 25(3)(a) of the Rome Statute, committed on 29 April 2004, at or near Odek IDP camp;

under Count 22 of the charges, GUILTY of the war crime of outrages upon personal dignity, pursuant to Articles 8(2)(c)(ii), and 25(3)(a) of the Rome Statute, committed on 29 April 2004, at or near Odek IDP camp;

under Count 23 of the charges, GUILTY of the crime against humanity of persecution, on political grounds, of civilians perceived by the LRA as being affiliated with, or supporting the Ugandan government, by attack against the civilian population as such, murder, attempted murder, torture, enslavement, outrages upon personal dignity and pillaging, committed on 29 April 2004 at or near Odek IDP camp, pursuant to Article 7(1)(h) and 25(3)(a) of the Rome Statute;

under Count 24 of the charges, GUILTY of the war crime of attack against the civilian population as such, pursuant to Articles 8(2)(e)(i) and 25(3)(a) of the Rome Statute, committed on or about 19 May 2004, at or near Lukodi IDP camp;

under Count 25 of the charges, GUILTY of the crime against humanity of murder, pursuant to Articles 7(1)(a) and 25(3)(a) of the Rome Statute, committed on or about 19 May 2004, at or near Lukodi IDP camp;

under **Count 26** of the charges, **GUILTY** of the **war crime of murder**, pursuant to Articles 8(2)(c)(i) and 25(3)(a) of the Rome Statute, committed on or about 19 May 2004, at or near Lukodi IDP camp;

under Count 27 of the charges, GUILTY of the crime against humanity of attempted murder, pursuant to Articles 7(1)(a) and 25(3) (f) and 25(3)(a) of the Rome Statute, committed on or about 19 May 2004, at or near Lukodi IDP camp;

under **Count 28** of the charges, **GUILTY** of the **war crime of attempted murder**, pursuant to Articles 8(2)(c)(i) and 25(3)(f) and 25(3)(a) of the Rome Statute, committed on or about 19 May 2004, at or near Lukodi IDP Camp;

under Count 29 of the charges, GUILTY of the crime against humanity of torture, pursuant to Articles 7(1)(f) and 25(3)(a) of the Rome Statute, committed on or about 19 May 2004, at or near Lukodi IDP Camp;

under **Count 30** of the charges, **GUILTY** of the **war crime of torture**, pursuant to Articles 8(2)(c)(i) and 25(3)(a) of the Rome Statute, committed on or about 19 May 2004, at or near Lukodi IDP Camp;

under Count 31 of the charges, NOT GUILTY of the crime against humanity of other inhumane acts, pursuant to Articles 7(1)(k) and 25(3)(a), or (b), or (d)(i) and (ii), or 28(a), of the Rome Statute, on or about 19 May 2004, at or near Lukodi IDP Camp;

under Count 32 of the charges, NOT GUILTY of the war crime of cruel treatment, pursuant to Articles 8(2)(c)(i) and 25(3)(a), or (b), or (d)(i) and (ii), or 28(a), of the Rome Statute, on or about 19 May 2004, at or near Lukodi IDP Camp;

under Count 33 of the charges, GUILTY of the crime against humanity of enslavement, pursuant to Articles 7(1)(c) and 25(3)(a) of the Rome Statute, committed on or about 19 May 2004 at or near Lukodi IDP Camp;

under **Count 34** of the charges, **GUILTY** of the **war crime of pillaging**, pursuant to Articles 8(2)(e)(v) and 25(3)(a) of the Rome Statute, committed on or about 19 May 2004, at or near Lukodi IDP Camp;

under Count 35 of the charges, GUILTY of the war crime of destruction of property, pursuant to Articles 8(2)(e)(xii) and 25(3)(a) of the Rome Statute, committed on or about 19 May 2004, at or near Lukodi IDP Camp;

under **Count 36** of the charges, **GUILTY** of the **crime against humanity of persecution**, on political grounds, of civilians perceived by the LRA as being affiliated with, or supporting the Ugandan government, by attack against the civilian population as such, murder, attempted murder, torture, enslavement, pillaging and destruction of property committed on or about 19 May 2004, at or near Lukodi IDP camp, pursuant to Article 7(1)(h) and 25(3)(a) of the Rome Statute;

under Count 37 of the charges, GUILTY of the war crime of attack against the civilian population as such, pursuant to Articles 8(2)(e)(i) and 25(3)(a) of the Rome Statute, committed on 8 June 2004, at or near Abok IDP camp;

under Count 38 of the charges, GUILTY of the crime against humanity of murder, pursuant to Articles 7(1)(a) and 25(3)(a) of the Rome Statute, committed on 8 June 2004, at or near Abok IDP camp;

under **Count 39** of the charges, **GUILTY** of the **war crime of murder**, pursuant to Articles 8(2)(c)(i) and 25(3)(a) of the Rome Statute, committed on 8 June 2004, at or near Abok IDP camp;

under Count 40 of the charges, GUILTY of the crime against humanity of attempted murder, pursuant to Articles 7(1)(a) and 25(3)(f) and 25(3)(a) of the Rome Statute, committed on 8 June 2004, at or near Abok IDP camp;

under Count 41 of the charges, GUILTY of the war crime of attempted murder, pursuant to Articles 8(2)(c)(i) and 25(3)(f) and 25(3)(a) of the Rome Statute, committed on 8 June 2004, at or near Abok IDP camp;

under Count 42 of the charges, GUILTY of the crime against humanity of torture, pursuant to Articles 7(1)(f) and 25(3)(a) of the Rome Statute, committed on 8 June 2004, at or near Abok IDP camp;

under **Count 43** of the charges, **GUILTY** of the **war crime of torture**, pursuant to Articles 8(2)(c)(i) and 25(3)(a) of the Rome Statute, committed on 8 June 2004, at or near Abok IDP camp;

under Count 44 of the charges, NOT GUILTY of the crime against humanity of other inhumane acts, pursuant to Articles 7(1)(k) and 25(3)(a), or (b), or (d)(i) and (ii) or 28(a), of the Rome Statute, on 8 June 2004, at or near Abok IDP camp;

under Count 45 of the charges, NOT GUILTY of the war crime of cruel treatment, pursuant to Articles 8(2)(c)(i) and 25(3)(a), or (b), or (d) (i) and (ii), or 28(a), of the Rome Statute, on 8 June 2004, at or near Abok IDP camp;

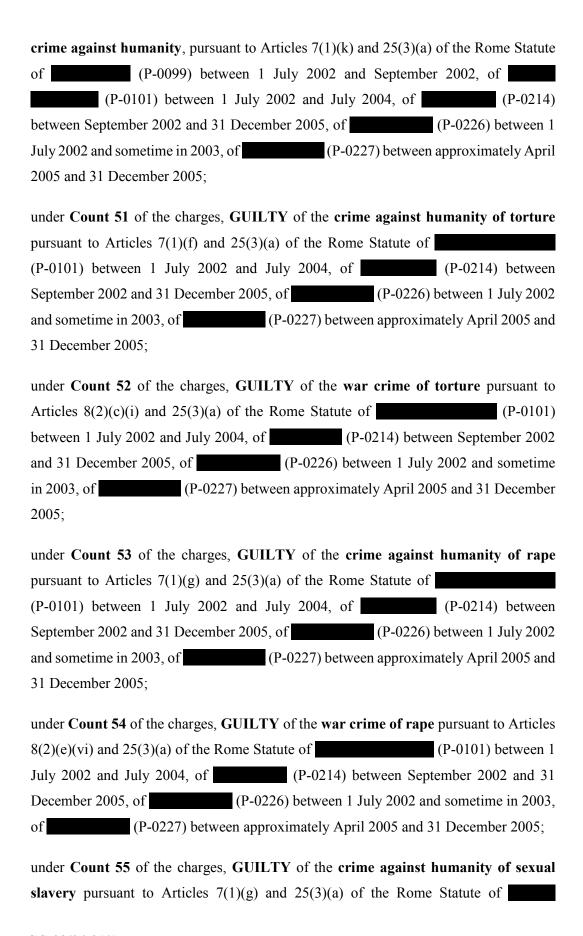
under Count 46 of the charges, GUILTY of the crime against humanity of enslavement, pursuant to Articles 7(1)(c) and 25(3)(a) of the Rome Statute, committed on 8 June 2004, at or near Abok IDP camp;

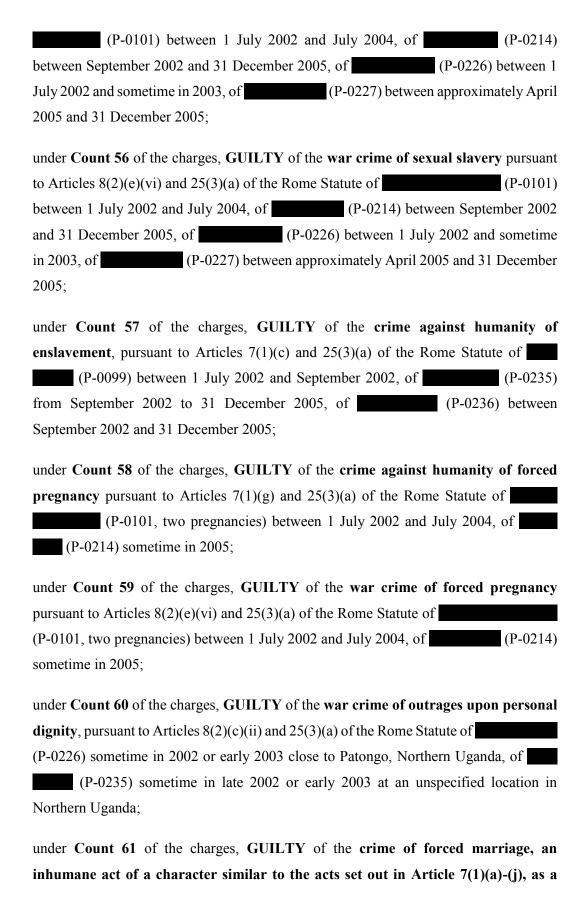
under **Count 47** of the charges, **GUILTY** of the **war crime of pillaging**, pursuant to Articles 8(2)(e)(v) and 25(3)(a) of the Rome Statute, committed on 8 June 2004, at or near Abok IDP camp;

under **Count 48** of the charges, **GUILTY** of the **war crime of destruction of property**, pursuant to Articles 8(2)(e)(xii) and 25(3)(a) of the Rome Statute, committed on 8 June 2004, at or near Abok IDP camp;

under **Count 49** of the charges, **GUILTY** of the **crime against humanity of persecution**, on political grounds, of civilians perceived by the LRA as being affiliated with, or supporting the Ugandan government, by attack against the civilian population as such, murder, attempted murder, torture, enslavement, pillaging and destruction of property, committed on 8 June 2004 at or near Abok IDP camp, pursuant to Article 7(1)(h) and 25(3)(a) of the Rome Statute;

under Count 50 of the charges, GUILTY of the crime of forced marriage, an inhumane act of a character similar to the acts set out in Article 7(1) (a)-(j), as a





crime against humanity, pursuant to Articles 7(1)(k), and 25(3)(a) of the Rome Statute, from at least 1 July 2002 until 31 December 2005;

under Count 62 of the charges, GUILTY of the crime against humanity of torture, pursuant to Articles 7(1)(f) and 25(3)(a) of the Rome Statute, from at least 1 July 2002 until 31 December 2005;

under **Count 63** of the charges, **GUILTY** of the **war crime of torture**, pursuant to Articles 8(2)(c)(i) and 25(3)(a) of the Rome Statute, from at least 1 July 2002 until 31 December 2005;

under Count 64 of the charges, GUILTY of the crime against humanity of rape, pursuant to Articles 7(1)(g) and 25(3)(a) of the Rome Statute, from at least 1 July 2002 until 31 December 2005;

under **Count 65** of the charges, **GUILTY** of the **war crime of rape**, pursuant to Articles 8(2)(e)(vi) and 25(3)(a) of the Rome Statute, from at least 1 July 2002 until 31 December 2005;

under Count 66 of the charges, GUILTY of the crime against humanity of sexual slavery, pursuant to Articles 7(1)(g) and 25(3)(a) of the Rome Statute, from at least 1 July 2002 until 31 December 2005;

under Count 67 of the charges, GUILTY of the war crime of sexual slavery, pursuant to Articles 8(2)(e)(vi) and 25(3)(a) of the Rome Statute, from at least 1 July 2002 until 31 December 2005;

under Count 68 of the charges, GUILTY of the crime against humanity of enslavement, pursuant to Articles 7(1)(c) and 25(3)(a) of the Rome Statute, from at least 1 July 2002 until 31 December 2005;

under Counts 69 and 70 of the charges, GUILTY of the war crime of conscripting children under the age of 15 into an armed group and using them to participate actively in hostilities, pursuant to Articles 8(2)(e)(vii) and 25(3)(a) of the Rome Statute, between 1 July 2002 and 31 December 2005 in Northern Uganda.

Done in both English and French, the English version being authoritative.

Judge Bertram Schmitt, Presiding Judge

Judge Péter Kovács

Judge Raul C. Pangalangan

Dated 4 February 2021

At The Hague, The Netherlands